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Incorporation of Functionalized Carbon Nanotubes into Hydrophobic Drug Crystals for Enhancing Aqueous Dissolution

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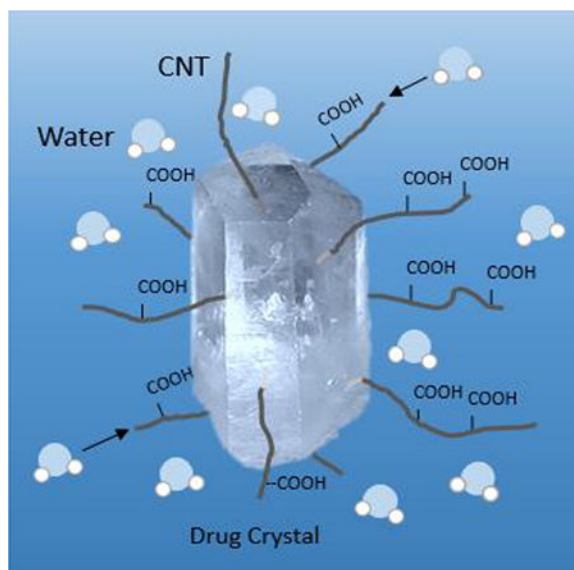
Abstract

We present for the first time the direct incorporation of carboxylated carbon nanotubes (f-CNTs) into hydrophobic drug particles during their formation via anti-solvent precipitation. The approach was tested using two drugs namely antifungal agent Griseofulvin (GF) and antibiotic Sulfamethoxazole (SMZ) that had very different aqueous solubility. It was observed that the f-CNTs dispersed in the water served as nucleating sites for crystallization and were readily incorporated into the drug particles without altering crystal structure or other properties. The results showed that the hydrophilic f-CNTs dramatically enhanced dissolution rate for both drugs, and the time necessary to reach 80% dissolution (t_{80}) reduced from 67 to 10 with the incorporation of 5.1% f-CNTs in SMZ, and from 66 to 18 min with 4.0% f-CNTs in GF. The enhanced dissolution is attributed to the fact that the hydrophilic f-CNTs served as conduits for bringing in water in close contact with the drug crystals.

Graphical Abstract

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Keywords

Hydrophobic drugs; drug delivery; bioavailability; carbon nanotubes; dissolution rate; nanomedicine

1. INTRODUCTION

The high aspect ratio, potential for unique functionalization via covalent and non-covalent means have made carbon nanotubes (CNTs) attractive in many medical, therapeutic and nano medicine applications¹. The CNTs have demonstrated excellent potential in tissue engineering, scaffolding, as material for bone, neuron and cell growth, thermal ablation and photo-thermal therapy, and as materials that promote differentiation of stem cells into specific lineages²⁻¹⁴. They have been used to deliver a wide range of small molecules such as chemotherapeutic drugs¹⁵⁻²¹, anti-inflammatory and antimicrobials agents²²⁻²³, and as carriers for controlled release²⁴. They have also been used to deliver complex molecules such as peptide based vaccines²⁵⁻²⁶, antibodies²⁷, nucleic acids proteins and genes²⁸. CNTs have been particularly attractive in targeted delivery because they have shown enhanced permeability and retention in tumor tissues, their needle-like shape can facilitate transmembrane penetration and they have been shown to enter cells via endocytosis²⁹.

Drug loadings on CNTs have been addressed via covalent and noncovalent functionalization. Functionalization by a hydrophilic group also improves aqueous dispersability and reduces cytotoxicity³⁰⁻³¹. Varieties of small and large molecules have been covalently attached to CNTs and it remains a popular approach to drug loading³². Besides direct conjugation of the active molecule, functionalization of CNTs with carboxylic, amine and polymer molecules have been used to facilitate the physical adsorption of drugs on CNTs surface. Non-covalent coating of CNTs for the purpose of drug delivery include amphiphilic macromolecules like lipid and polymers³², cancer drug³³⁻³⁴ and anti-Alzheimer drug³⁵. CNTs have also been used for controlled release. For example, drugs encapsulated into

oxidized CNTs with the open ends capped with thiol modified gold nanoparticles have shown controlled release activity³⁶, Heparin attachment to carbodiimide functionalized CNTs have shown prolonged anticoagulant activity³⁷, a dual-targeted drug carrier for prolonged release has been designed by combining CNTs with folic acid and iron nanoparticles³⁸. Electrical properties of CNTs have been utilized to design hydrogel systems to electro-responsive drug release³⁹, and nano precipitation technique has been reported where water soluble drugs have been incubated with CNTs followed by solvent evaporation where the drug adsorbed in the interstitial spaces in the CNTs to show slow release²⁴. While there is much ongoing effort for using CNTs in drug delivery and biomedical applications, it is worth noting that there have been concerns about the toxicity of CNTs and numerous in vitro and in vivo studies have been carried out with conflicting reports^{40–48}. However, functionalization with carboxyl group has shown to be an effective way to mitigate MWCNTs toxicity^{49–50}.

However, a large number of pharmaceutically active molecules have low aqueous solubility, reduced dissolution rate and these lead to poor absorption and therapeutic failures^{51–52} of oral drugs. The inability to hydrogen bond in an aqueous medium is among the main reasons behind low aqueous solubility⁵³. Biopharmaceutical classification system (BCS) highlights the fact that dissolution as a rate-limiting step for oral absorption of BCS class 2 and class 4 drugs, which have low solubility. About 70% of active pharmaceutical ingredients and new chemical entities are considered as poorly soluble which is great obstacle for drug development⁵⁴. Increasing solubility can not only improve drug absorption but also potentially reduces dosage needed to achieve the same therapeutic effect while reducing side effects. Typically, dissolution can be improved by size reduction or incorporating into drug carriers⁵⁵. Methods such as dry and wet milling and homogenization are conventional methods for the synthesis of micron/nano-scale drug particles where the control of particle size, morphology, and surface properties can be relatively challenging⁵⁵. Precipitation processes are also effective methods for synthesizing micron and submicron hydrophobic drug particles⁵⁶

Anti-solvent crystallization is a functionally simple and rapid precipitation process⁵⁷ where a drug is dissolved in a solvent and then contacted with a miscible anti-solvent to precipitate micro/nano particles. The physio-chemical properties of the anti-solvent can alter the rate of nucleation, crystal growth and colloidal behavior of the crystallizing molecule⁵⁷. In the case of hydrophobic molecules, water which has relatively high miscibility with many polar solvents can serve as an anti-solvent⁵⁷. Ultra-sonication is known to bring about uniform nucleation, rapid crystallization. Narrow size distribution can be obtained by carrying out the process in the presence of additives that prevent agglomeration⁵⁸.

An interesting possibility in anti-solvent precipitation is that the drug particles can also be directly incorporated into a drug delivery vehicle such as CNTs. Carboxylated CNTs which are highly water dispersible offer the potential to serve as nucleating sites for a hydrophobic drug during its anti-solvent synthesis. This could be a way to incorporate CNTs into the drug structure where some specific sites on the CNTs may provide targeting capabilities. Also, the hydrophilic CNTs incorporated into a hydrophobic drug could potentially enhance hydrogen bonding with the aqueous medium leading to faster dissolution. The objective of

this work was to study the possibility of incorporation of hydrophilic CNTs during anti-solvent synthesis of micron-scale drug particles and see if the CNTs would enhance dissolution. Of particular interest to this study were antifungal agent Griseofulvin (GF) and antibiotic Sulfamethoxazole (SMZ).

2. MATERIALS and METHODS

2.1 Materials

Griseofulvin (GF) and Sulfamethoxazole (SMZ) were purchased from Sigma Aldrich, USA. Sodium dodecyl sulphate (SDS) was purchased from GFS Chemicals. Hydrochloride acid was purchased from Fisher Sci. Raw multiwall carbon nanotube (20–30 nm diameter, 10–30 μm length) was purchased from Cheap Tubes. The water used in the experiments was purified with a Milli-Q Plus system.

2.2 Preparation of carbon nanotube-drug composite

Carboxylated multiwall carbon nanotubes (f-CNTs) were synthesized following a previously published methodology^{49, 59–60}. In short, pre-weighed amounts of purified CNTs were treated in a microwave reactor (Model: CEM Mars) with a mixture of concentrated H_2SO_4 and HNO_3 at 140°C for 20 min. This led to the formation of carboxylic groups on the CNTs surface leading to high aqueous dispersibility. The f-CNTs were filtered through a $10\mu\text{m}$ membrane filter, washed with water to a neutral pH and dried under vacuum at 80°C to a constant weight.

Anti-solvent precipitation was carried out at room temperature. Acetone was used to dissolve GF and SMZ. f-CNTs was dispersed in water under ultrasonic agitation for 10 min to serve as the anti-solvent. Then the f-CNTs suspension was added dropwise into the drug solution under ultra-sonication. The solution turned cloudy indicating that the crystals were formed and these are referred to as GF-CNT and SMZ-CNT respectively. Ultrasonication was stopped after all f-CNTs suspension was added. The resulting suspension was filtered and washed with water through a $10\mu\text{m}$ membrane filter. The filtered solid was collected and dried in a vacuum oven to a constant weight.

The resulting drug/CNTs composites were characterized with SEM, TEM, DSC, XRD and the release behavior was examined by dissolution testing. TGA was performed with PerkinElmer Pyris 1 thermogravimetric analyzer. Samples were heated from 30 to 1200°C under a 10ml/min air flow at 10°C per min. SEM was performed with LEO 1530VP. Samples were mounted on aluminum stubs with adhesive tape and coated with carbon using Quorum EMS 150T ES sputtering coater to improve conductivity. Raman spectroscopy was carried out with DXR Raman Microscope from Thermo Scientific with 532 nm filter. XRD was carried out with PANalytical EMPYREAN XRD. Melting point was measured with PerkinElmer DSC 6000.

Dissolution measurements were carried out based on standard US Pharmacopeia Method (USP 41) with Symphony 7100 dissolution system. Since GF is absorbed in the lower intestine and SMZ in the stomach, the dissolution tests were carried out at different pH. For GF-CNT, the composite was added to 40mg/ml sodium dodecyl sulfate (pH 5.2) and stirred

at 75 rpm, 37°C. Samples were withdrawn at different points in time, filtered to remove CNTs and then analyzed with UV at 291 nm to determine the amount of GF dissolved. For SMZ-CNT samples, the composite was added in 0.1 N hydrochloric acid (pH 1.4) and stirred at 75 rpm at 37°C. Once again, the samples were withdrawn at different times, filtered and analyzed with UV at 265 nm to determine the amount of SMZ dissolved.

3. Results and Discussion

The aqueous solubility of Griseofulvin (GF) and Sulfamethoxazole (SMZ) were 12 µg/ml and 0.61 mg/ml respectively. It was possible to form excellent crystals of both these drugs in the presence of f-CNTs.

The SEM image of functionalized carbon nanotubes are shown on Figure 1 a. It shows that the structure of carbon nanotubes was not altered after microwave treatment. XRD spectra of f-CNTs is showed in Figure S-1 in supplemental materials. The RAMAN spectra of raw CNTs and f-CNTs are shown on Figure S-2 a and b in supplemental materials.

The amount of f-CNTs in the antisolvent was varied to make drug/CNT composites. The incorporated concentrations of f-CNTs in each composite were measured using TGA (Figure 2 a and b). The amount of f-CNTs incorporated in the GF crystals prepared from f-CNTs suspension containing 0.1, 0.5, 1.0, 2.0 and 5.0% were 2.3, 2.4, 2.7, 3.4 and 4.0% respectively. For SMZ-CNT, the corresponding values for the same f-CNTs suspensions were 1.3, 1.4, 2.5, 3.0 and 5.1% respectively. These are referred to as SMZ-CNT-X or GF-CNT-X where X represents the incorporation concentration of f-CNTs. Figure 1 b is a plot of incorporation concentration of f-CNTs in the drug as a function of f-CNTs in the original suspension. The f-CNTs served as nucleation point for drug crystallization.

The morphology of GF-CNT and SMZ-CNT samples was studied using SEM. Figure 3 a, b showed SEM images of pure GF and GF-CNT composites while Figure S-3 a, b in supplemental materials showed respective images of SMZ-CNT. The crystal shape and size did not depend on f-CNTs incorporation. All the samples showed the presence of the f-CNTs on the crystal surface. SEM of some samples are not presented here for brevity. The TEM images (Figures 4 a, b) clearly indicate that the f-CNTs were also embedded inside the drug crystals, the tubes were partially incorporated into the crystal while some portion remained outside. The section remaining outside was effective in increasing the composite's interactions with the aqueous phase during dissolution studies.

Figure 5 showed the RAMAN spectra of pure GF and GF-CNTs of various composition. The D-band at 1350 cm⁻¹ and G-band at 1580 cm⁻¹ from the f-CNTs were overlaid with peaks from SMZ and GF. The GF spectra showed strong peaks in the region 1550–1800cm⁻¹ and 2800–3200 cm⁻¹ which were attributed to the C=O stretching of benzo furan ring and C-H stretching of GF respectively⁶¹. The same characteristic peaks were also observed in all samples, which indicated that the presence of the f-CNTs didn't change the chemical structure of GF. The similar observation was found in Figure S-4 in supplemental materials which showed RAMAN spectra of pure SMZ and SMZ-CNTs of various f-CNTs concentration.

XRD was used to study the crystal structure of the GF-CNT and SMZ-CNT composites. The scanning range for GF was 5 to 70 degrees and 5 to 50 degrees for SMZ. Figure 6 shows XRD spectra of pure GF, GF-CNT-2.7, GF-CNT-4.0. It was seen that the crystal structure did not change in the presence of f-CNTs. The spectra of pure drug and drug-CNTs composites were identical, and neither splitting nor shifting of the peak was observed for the drug-CNTs composites. This indicated that there was no change in polymorphism, which is an important consideration in drug synthesis. The similar observation was found in Figure S-5 in supplemental materials which showed XRD spectra of pure SMZ, SMZ-CNT-2.5 and SMZ-CNT-5.1.

The melting point were analyzed by DSC where the SMZ-CNT samples were heated from 25°C to 200°C, and GF was heated from 25°C to 250°C. Both samples were programmed to cool down and reheated for the second time. The results are presented in Table 1. No significant change in melting point was observed between pure GF, SMZ and their respective composites with different f-CNTs concentrations indicating the drug structure weren't altered with incorporation of f-CNTs.

Dissolution Studies

Dissolution profiles for SMZ-CNT and GF-CNT are presented in Figure 7. It is evident from both profiles that the f-CNTs helped enhance the release of the drugs. It also showed that increasing concentration of f-CNTs in the composite increased the release rate quite dramatically. Table 1 shows the t_{50} and t_{80} , or the time necessary to reach 50 and 80% dissolution. Both t_{50} and t_{80} reduced with the increased concentration of f-CNTs. With the incorporation of 1.4% f-CNTs, the t_{50} and t_{80} of SMZ dropped from 22 to 10 min and from 67 to 29 min respectively. Corresponding drop for GF with 2.4% CNT incorporation were from 27 to 12 min and from 66 to 48 min respectively. Simple mixtures of the drugs with 5% f-CNTs were prepared and dissolution data are shown in Figure 7. The results showed that simply adding f-CNTs to the drug did not improve the dissolution. This demonstrated that the enhanced dissolution was brought about by incorporation into the crystal structure. The mediums used in the dissolution of both drugs are considered resemble to gastrointestinal environment, thus the dissolution behavior is relevant to human biology.

The increased dissolution was attributed to the two factors. The carboxylated CNTs were hydrophilic and hydrogen bonded well with water molecules and brought the latter into close contact with the drug crystals. The TEM image in Figure 4 shows that some f-CNTs were incorporated into the crystals. A water molecule could potentially adsorb on the hydrophilic f-CNTs and use it as a conduit to enter the crystal thus enhancing the dissolution.

4. Conclusion

The f-CNTs dispersed in the water served as nucleating sites for crystallization and were readily incorporated into both GF and SMZ during their formation via anti-solvent precipitation. The SEM and TEM images show f-CNTs incorporation and their presence inside as well as outside the crystals. Raman, XRD and DSC showed presence of f-CNTs didn't change the crystal structure and melting point with the incorporation of as much as

5.1% f-CNTs by weight. The increase in dissolution rate was dramatic with t_{50} and t_{80} reducing by 78 for and 73% respectively for GF, and the corresponding increase for SMZ were 77 and 85%. This paper presents a novel approach to f-CNTs incorporation that can go beyond enhancing dissolution and opens the door to targeting and other forms of drug delivery.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Highlights

- Hydrophilic, carboxylated carbon nanotubes were incorporated in drug crystals
- Crystal structure remained unchanged by incorporation via anti-solvent precipitation
- Hydrophilic carbon nanotubes dramatically enhanced dissolution of hydrophobic drugs

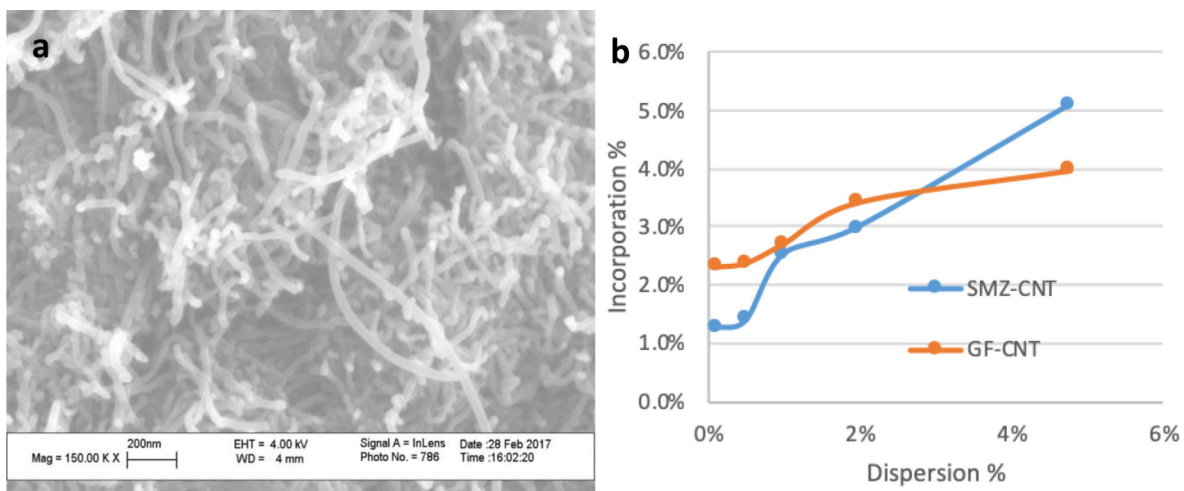


Figure 1. (a) SEM image of f-CNTs, (b) Incorporation of CNTs in drug composites as a function of concentration of f-CNTs in the dispersion.

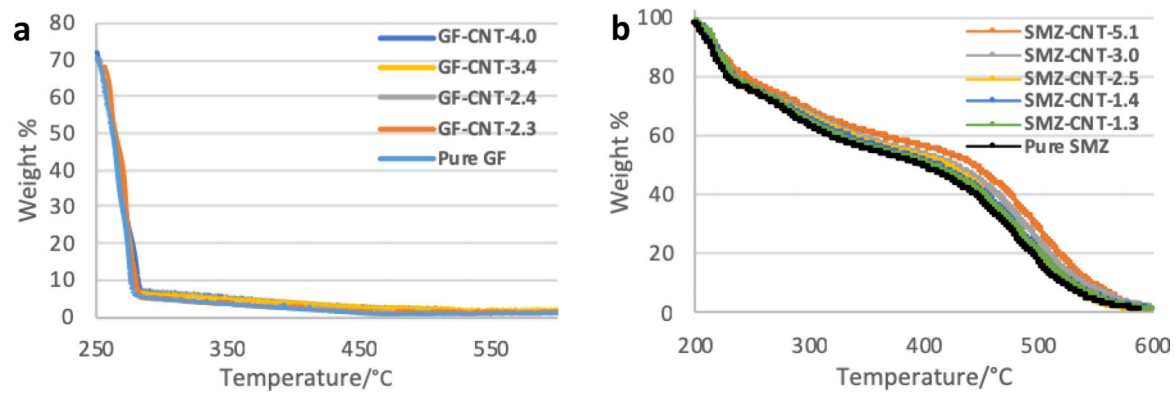


Figure 2. TGA curve of drug and drug incorporated with different amount of f-CNTs: (a) GF-CNT and (b) SMZ-CNT.

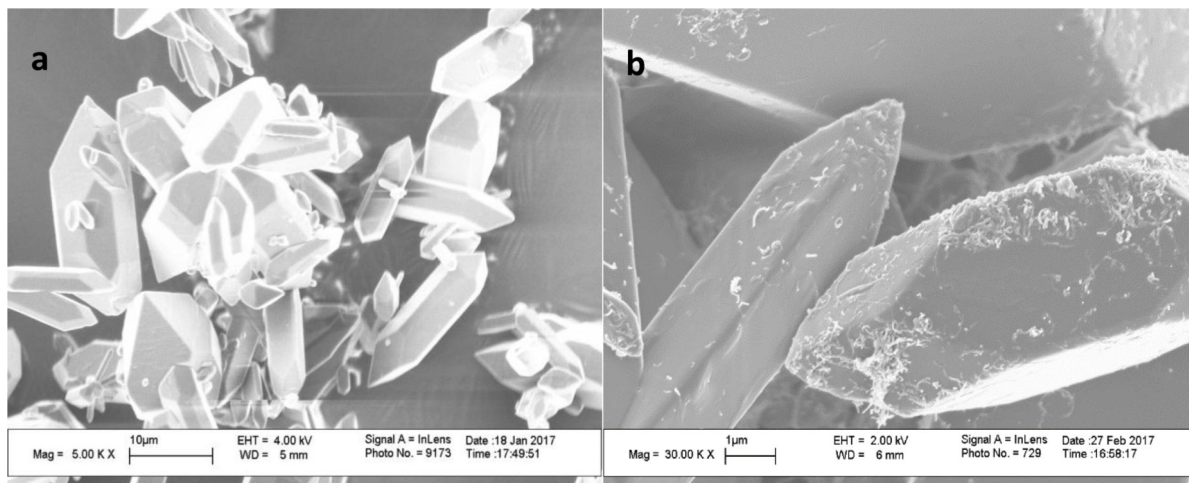


Figure 3.
SEM images of (a) Pure GF and (b) GF-CNT-2.4

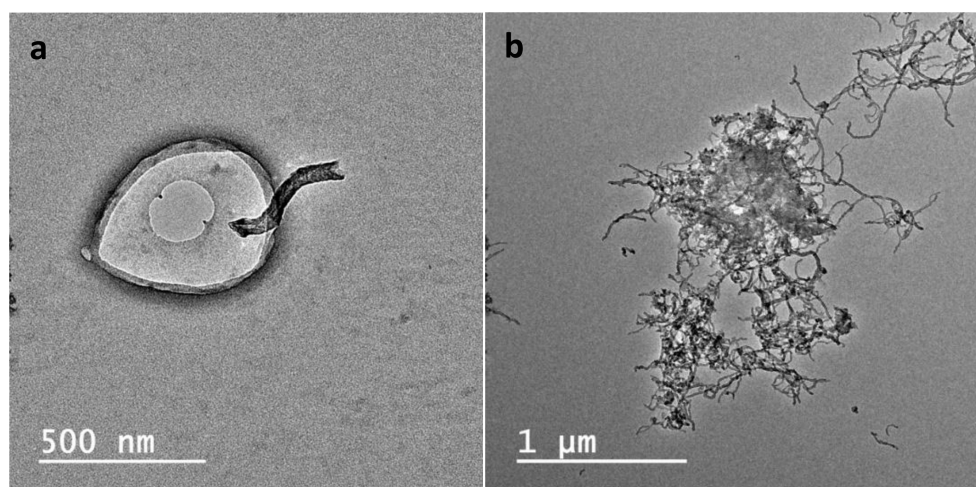


Figure 4.
TEM images of (a) GF-CNT-4.0 and (b) GF-CNT-2.7

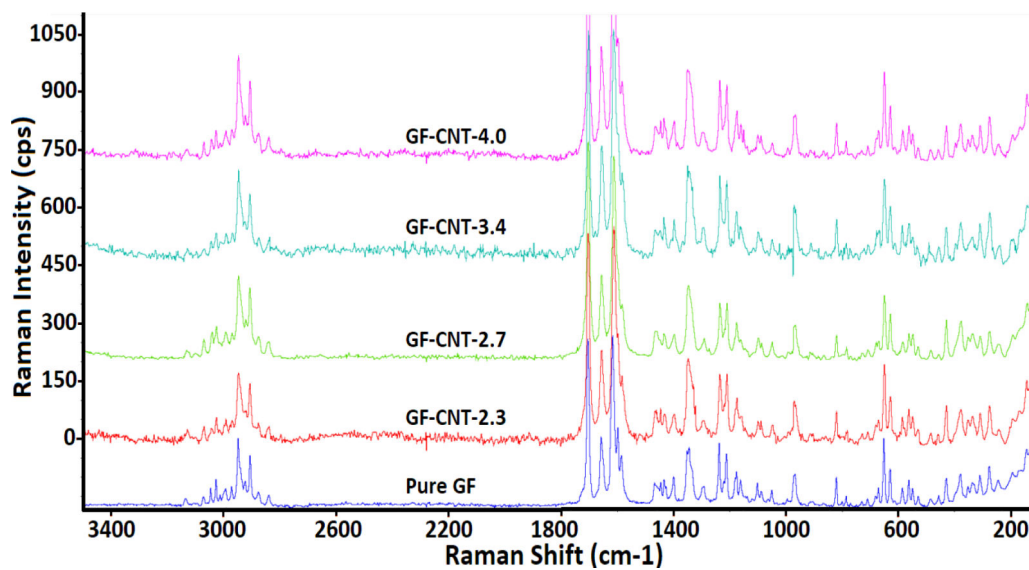


Figure 5.
RAMAN spectra of pure GF and GF incorporated with different amount of f-CNTs.

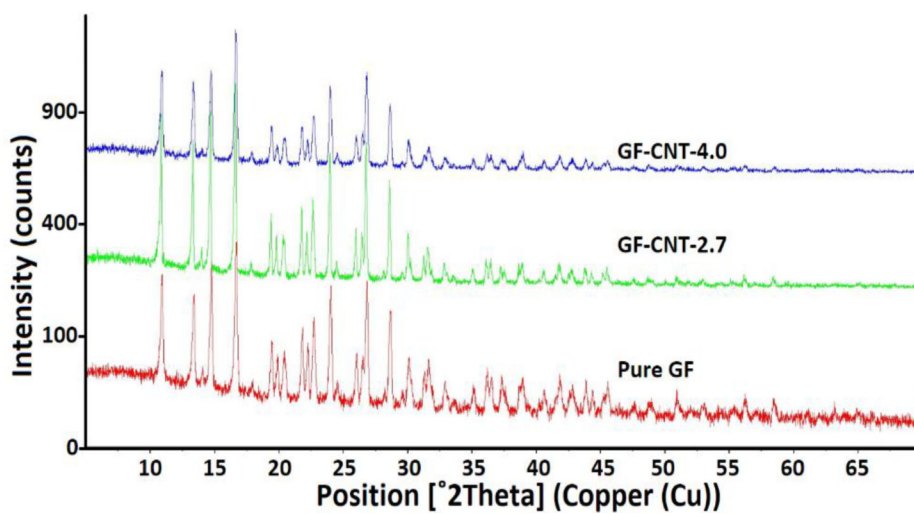


Figure 6.
XRD spectra of pure GF and GF incorporated with different amount of f-CNTs.

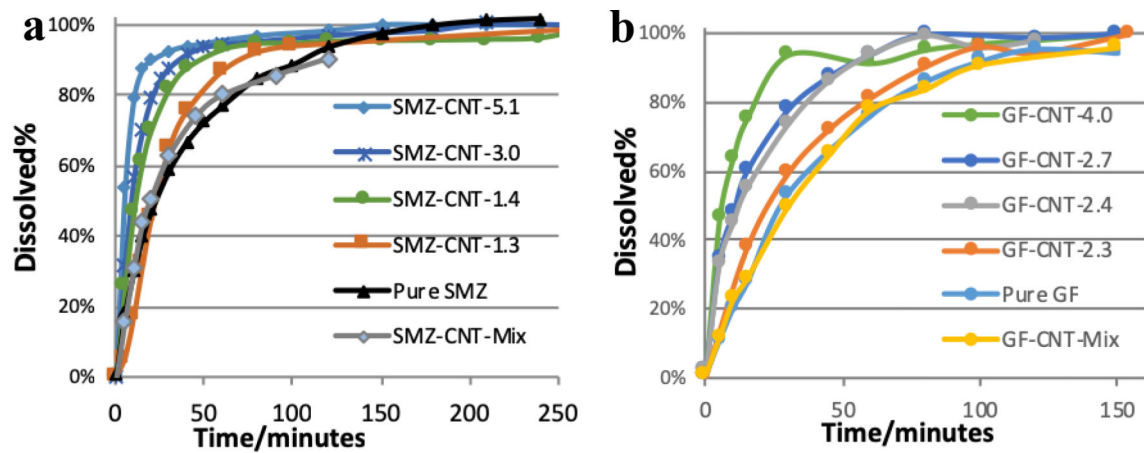


Figure 7. Dissolution of (a) SMZ-CNTs and (b) GF-CNTs showed enhanced dissolution with the incorporation of f-CNTs in drug crystals.

Table 1.

Dissolution and melting point of SMZ-CNTs and GF-CNTs

	Amount of CNT in Composite (%)	T ₅₀ (min)	T ₈₀ (min)	M _p (°C)
SMZ	0	22	67	170.37
	1.3	20	38	170.31
	1.4	10	29	170
	3.0	8	21	170.38
	5.1	5	10	169.96
GF	0	27	66	221.25
	2.3	22	56	221.3
	2.4	12	48	221.08
	2.7	11	33	221.04
	4.0	6	18	220.38

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