



The role of gut microbiota in immune checkpoint inhibitor therapy

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It has been well established that the gut microbiota has a substantial influence on the host immune system. By crosstalk between specific microorganism-associated molecular patterns and the immune system, in addition to bacterial metabolic activity, gut microbiota regulates local or systemic inflammation (1). Recently, the composition of gut microbiota has been presumed to be one of the factors affecting the cancer-immune set point of cancer patients, which subsequently determines the efficacy of immune checkpoint inhibitor (ICI) treatment (2).

In a mouse xenograft model, Routy *et al.* noticed that the efficacy of anti-programmed death-1 (α -PD-1) or its combination with anti-cytotoxic T-lymphocyte associated protein 4 (α -CTLA-4) treatment was undermined, in the context of therapy, by broad-spectrum antibiotic or rearing in a specific pathogen-free (SPF) environment (3). A similar phenomenon was observed in non-small cell lung cancer (NSCLC) patients, and the main contributor to ICI resistance was speculated to be gut microbiota dysbiosis caused by broad-spectrum antibiotic. Further analysis of microbiota composition of patient fecal samples showed that some specific bacteria, such as *A. muciniphila* and *Ruminococcus spp.*, were enriched in ICI responding patients (3). To confirm the enhanced treatment effect resulting from gut microbiota, 8 germ-free mice were prepared with fecal microbiota transplantation from different NSCLC patients (including 4 ICI responders and 4 ICI non-responders). After inoculation of MCA-205 tumor cells, these mice received subsequent α -PD-1 treatment. As expected, tumor growth was suppressed in the mice with fecal microbiota transplantation from

responders (3).

Given the unsatisfactory response rate of ICI (4), there is no doubt that this study meaningfully contributes to the understanding of how to overcome ICI resistance. In addition, other observations in the study confirmed the relationship between gut microbiota and ICI efficacy (*Table 1*). However, the exact mechanism by which gut microbiota influences the efficacy of ICI is still unclear. Presumably, multiple steps of the cancer-immunity cycle are promoted or inhibited by gut microbiota (*Figure 1*) (10,11). The first step is cancer antigen presentation and T cell priming/activation. We observed that elevated abundance of *Bifidobacterium* was related with the upregulated transcription level of genes influencing production of cytokines (e.g., interferon- γ) in dendritic cells (DC) (5). DC is one of the most important antigen presentation cells (APC) and initiates the whole anti-tumor immune response. The second step of the cancer-immunity cycle is the trafficking and infiltration of T cells into a tumor condition. We further found that recolonization of *A. muciniphila* and *E. hirae* in germ-free mice was followed by the emergence of CXCR3⁺ CCR9⁺ central memory T cells (T_{CM}) in the tumor draining lymph node and tumor bed, indicating that more T cells were recruited to the tumor (3). The last step of the cycle is the recognition of cancer antigen and cytotoxic effect by the T cells. We discovered that cancer neoantigen was homological with some non-self materials from the microbiota which indicates the potential molecular mimicry between the microbiota and cancer cells (12). Release of cancer neoantigen *in vivo* could have enhanced

Table 1 Influence of gut microbiota on ICI treatment

Author	Type of ICI	Bacteria	Influence on ICI treatment	Ref.
Sivan A	α -PD-L1	<i>B. breve</i>	Positive	(5)
		<i>B. longum</i>	Positive	
Routy B	α -PD-1	<i>A. muciniphila</i>	Positive	(3)
		<i>A. indistinctus</i>	Positive	
		<i>E. hirae</i>	Positive	
Gopalakrishnan V	α -PD-1	<i>Ruminococcaceae</i>	Positive	(6)
Vétizou M	α -CTLA-4	<i>B. fragilis</i>	Positive	(7)
		<i>B. thetaiotaomicron</i>	Positive	
		<i>B. cepacia</i>	Positive	
Matson V	α -PD-1	<i>B. adolescentis</i>	Positive	(8)
		<i>B. longum</i>	Positive	
		<i>B. obeum</i>	Negative	
		<i>C. aerofaciens</i>	Positive	
		<i>E. faecium</i>	Positive	
		<i>K. pneumonia</i>	Positive	
		<i>P. merdae</i>	Positive	
		<i>R. intestinalis</i>	Negative	
		<i>V. parvula</i>	Positive	
Chaput N	α -CTLA-4	<i>Butyrate producing bacterium L2-21</i>	Positive	(9)
		<i>F. prausnitzii L2-6</i>	Positive	
		<i>G. formicilis</i>	Positive	

α -CTLA-4, anti-cytotoxic T-lymphocyte associated protein 4; α -PD-1, anti- α -programmed death-1; α -PD-L1, anti-programmed death-ligand 1; ICI, immune checkpoint inhibitor.

tumor-specific activity by both a broadened T-cell receptor (TCR) spectrum and increased T cell abundance. In addition to cross-reactivity, regulatory T cell (Treg) is another factor determining T cell activity. *Faecalibacterium prausnitzii* and *Clostridia* promote Treg differentiation and increase the size of the Treg pool (13). Meanwhile, Treg is the primary target of α -CTLA-4 therapy which means these bacteria might be predictors for α -CTLA-4 therapy.

The findings of Routy *et al.* reveal that the composition of the gut microbiota is a predictive biomarker and treatment target in ICI therapy. Also, fecal microbiota transplantation is believed to be a promising approach to enhance ICI efficacy and overcome resistance. However,

further investigation is needed for the application of gut microbiota in clinical practice. Previous studies have analyzed gut microbiota using a fecal sample; however, Zmora *et al.* confirmed that microbiota obtained from stool was not a perfect surrogate of gut mucosa-associated microbiota (14). Partial correlation between fecal microbiota and gut mucosa-associated microbiota might result in the misinterpretation of gut microbiota. Moreover, the colonization of transplanted microbiota might be interfered with by the indigenous microbiota. Indeed, the resistance to colonization of transplanted microbiota varies from person to person, suggesting that a personalized strategy is essential to the proper application of gut microbiota (14).

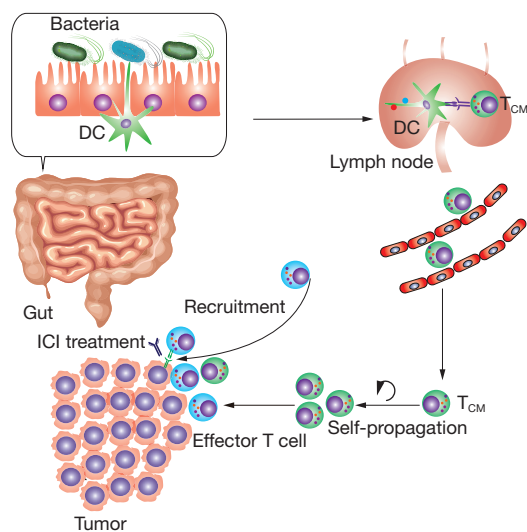


Figure 1 Main mechanism by which the gut microbiota influences ICI treatment. The homology between non-self material of the gut microbiota and tumor antigen induces cross-reactivity of T cells. Dendritic cells (DC) recognize the non-self material of gut microbiota, then present the antigen and activate the T cells in the lymph node. Exposure to antigens and central memory T cells (T_{CM}) is formed. Subsequently, accumulated T_{CM} in tumor bed could differentiate to effector T cell and further recruit effector T cells from peripheral circulation. The increased T cell activity has a positive influence on immune checkpoint inhibitor (ICI) treatment.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

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