

HHS Public Access

Author manuscript *J Hosp Med.* Author manuscript; available in PMC 2018 December 17.

Published in final edited form as:

J Hosp Med. 2017 April; 12(4): 209–216. doi:10.12788/jhm.2711.

Predicting 30-Day Pneumonia Readmissions Using Electronic Health Record Data

Anil N. Makam, MD, $MAS^{1,2,*}$, Oanh Kieu Nguyen, MD, $MAS^{1,2}$, Christopher Clark, MPA^3 , Song Zhang, PhD², Bin Xie, PhD⁴, Mark Weinreich, MD¹, Eric M. Mortensen, MD, $MSc^{1,2,5}$, and Ethan A. Halm, MD, $MPH^{1,2}$

¹Department of Internal Medicine, University of Texas Southwestern Medical Center, Dallas, Texas

²Department of Clinical Sciences, University of Texas Southwestern Medical Center, Dallas, Texas

³Office of Research Administration, Parkland Health and Hospital System, Dallas, Texas

⁴Parkland Center for Clinical Innovation, Dallas, Texas

⁵VA North Texas Health Care System, Dallas, Texas

Abstract

BACKGROUND: Readmissions after hospitalization for pneumonia are common, but the few risk-prediction models have poor to modest predictive ability. Data routinely collected in the electronic health record (EHR) may improve prediction.

OBJECTIVE: To develop pneumonia-specific readmission risk-prediction models using EHR data from the first day and from the entire hospital stay ("full stay").

DESIGN: Observational cohort study using stepwise-backward selection and cross-validation.

SUBJECTS: Consecutive pneumonia hospitalizations from 6 diverse hospitals in north Texas from 2009-2010.

MEASURES: All-cause nonelective 30-day readmissions, ascertained from 75 regional hospitals.

RESULTS: Of 1463 patients, 13.6% were readmitted. The first-day pneumonia-specific model included sociodemographic factors, prior hospitalizations, thrombocytosis, and a modified pneumonia severity index; the full-stay model included disposition status, vital sign instabilities on discharge, and an updated pneumonia severity index calculated using values from the day of discharge as additional predictors. The full-stay pneumonia-specific model outperformed the first-day model (C statistic 0.731 vs 0.695; P = 0.02; net reclassification index = 0.08). Compared to a validated multi-condition readmission model, the Centers for Medicare and Medicaid Services pneumonia model, and 2 commonly used pneumonia severity of illness scores, the full-stay pneumonia-specific model had better discrimination (C statistic range 0.604-0.681; P < 0.01 for all

^{*}Address for correspondence and reprint requests: Anil N. Makam, MD, MAS; 5323 Harry Hines Blvd., Dallas, TX, 75390-9169; Telephone: 214-648-3272; Fax: 214-648-3232; anil.makam@utsouthwestern.edu.

comparisons), predicted a broader range of risk, and better reclassified individuals by their true risk (net reclassification index range, 0.09-0.18).

CONCLUSIONS: EHR data collected from the entire hospitalization can accurately predict readmission risk among patients hospitalized for pneumonia. This approach outperforms a first-day pneumonia-specific model, the Centers for Medicare and Medicaid Services pneumonia model, and 2 commonly used pneumonia severity of illness scores.

Pneumonia is a leading cause of hospitalizations in the U.S., accounting for more than 1.1 million discharges annually.¹ Pneumonia is frequently complicated by hospital readmission, which is costly and potentially avoidable.^{2,3} Due to financial penalties imposed on hospitals for higher than expected 30-day readmission rates, there is increasing attention to implementing interventions to reduce readmissions in this population.^{4,5} However, because these programs are resource-intensive, interventions are thought to be most cost-effective if they are targeted to high-risk individuals who are most likely to benefit.^{6–8}

Current pneumonia-specific readmission risk-prediction models that could enable identification of high-risk patients suffer from poor predictive ability, greatly limiting their use, and most were validated among older adults or by using data from single academic medical centers, limiting their generalizability.^{9–14} A potential reason for poor predictive accuracy is the omission of known robust clinical predictors of pneumonia-related outcomes, including pneumonia severity of illness and stability on discharge.^{15–17} Approaches using electronic health record (EHR) data, which include this clinically granular data, could enable hospitals to more accurately and pragmatically identify high-risk patients during the index hospitalization and enable interventions to be initiated prior to discharge.

An alternative strategy to identifying high-risk patients for readmission is to use a multicondition risk-prediction model. Developing and implementing models for every condition may be time-consuming and costly. We have derived and validated 2 multi-condition riskprediction models using EHR data—1 using data from the first day of hospital admission ('first-day' model), and the second incorporating data from the entire hospitalization ('fullstay' model) to reflect in-hospital complications and clinical stability at discharge.^{18,19} However, it is unknown if a multi-condition model for pneumonia would perform as well as a disease-specific model.

This study aimed to develop 2 EHR-based pneumonia-specific readmission risk-prediction models using data routinely collected in clinical practice—a 'first-day' and a 'full-stay' model—and compare the performance of each model to: 1) one another; 2) the corresponding multi-condition EHR model; and 3) to other potentially useful models in predicting pneumonia readmissions (the Centers for Medicare and Medicaid Services [CMS] pneumonia model, and 2 commonly used pneumonia severity of illness scores validated for predicting mortality). We hypothesized that the pneumonia-specific EHR models would outperform other models; and the full-stay pneumonia-specific model would outperform the first-day pneumonia-specific model.

METHODS

Study Design, Population, and Data Sources

We conducted an observational study using EHR data collected from 6 hospitals (including safety net, community, teaching, and nonteaching hospitals) in north Texas between November 2009 and October 2010, All hospitals used the Epic EHR (Epic Systems Corporation, Verona, WI). Details of this cohort have been published.^{18,19}

We included consecutive hospitalizations among adults 18 years and older discharged from any medicine service with principal discharge diagnoses of pneumonia (ICD-9-CM codes 480-483, 485, 486-487), sepsis (ICD-9-CM codes 038, 995.91, 995.92, 785.52), or respiratory failure (ICD-9-CM codes 518.81, 518.82, 518.84, 799.1) when the latter 2 were also accompanied by a secondary diagnosis of pneumonia.²⁰ For individuals with multiple hospitalizations during the study period, we included only the first hospitalization. We excluded individuals who died during the index hospitalization or within 30 days of discharge, were transferred to another acute care facility, or left against medical advice.

Outcomes

The primary outcome was all-cause 30-day readmission, defined as a nonelective hospitalization within 30 days of discharge to any of 75 acute care hospitals within a 100-mile radius of Dallas, ascertained from an all-payer regional hospitalization database.

Predictor Variables for the Pneumonia-Specific Readmission Models

The selection of candidate predictors was informed by our validated multi-condition riskprediction models using EHR data available within 24 hours of admission ('first-day' multicondition EHR model) or during the entire hospitalization ('full-stay' multi-condition EHR model).^{18,19} For the pneumonia-specific models, we included all variables in our published multi-condition models as candidate predictors, including sociodemographics, prior utilization, Charlson Comorbidity Index, select laboratory and vital sign abnormalities, length of stay, hospital complications (eg, venous thromboembolism), vital sign instabilities, and disposition status (see Supplemental Table 1 for complete list of variables). We also assessed additional variables specific to pneumonia for inclusion that were: (1) available in the EHR of all participating hospitals; (2) routinely collected or available at the time of admission or discharge; and (3) plausible predictors of adverse outcomes based on literature and clinical expertise. These included select comorbidities (eg, psychiatric conditions, chronic lung disease, history of pneumonia),^{10,11,21,22} the pneumonia severity index (PSI). ^{16,23,24} intensive care unit stay, and receipt of invasive or noninvasive ventilation. We used a modified PSI score because certain data elements were missing. The modified PSI (henceforth referred to as PSI) did not include nursing home residence and included diagnostic codes as proxies for the presence of pleural effusion (ICD-9-CM codes 510, 511.1, and 511.9) and altered mental status (ICD-9-CM codes 780.0X, 780.97, 293.0, 293.1, and 348.3X).

Statistical Analysis

Model Derivation.—Candidate predictor variables were classified as available in the EHR within 24 hours of admission and/or at the time of discharge. For example, socioeconomic factors could be ascertained within the first day of hospitalization, whereas length of stay would not be available until the day of discharge. Predictors with missing values were assumed to be normal (less than 1% missing for each variable). Univariate relationships between readmission and each candidate predictor were assessed in the overall cohort using a pre-specified significance threshold of P < 0.10. Significant variables were entered in the respective first-day and full-stay pneumonia-specific multivariable logistic regression models using stepwise-backward selection with a pre-specified significance threshold of P < 0.05. In sensitivity analyses, we alternately derived our models using stepwise-forward selection, as well as stepwise-backward selection minimizing the Bayesian information criterion and Akaike information criterion separately. These alternate modeling strategies yielded identical predictors to our final models.

Model Validation.—Model validation was performed using 5-fold cross-validation, with the overall cohort randomly divided into 5 equal-size subsets.²⁵ For each cycle, 4 subsets were used for training to estimate model coefficients, and the fifth subset was used for validation. This cycle was repeated 5 times with each randomly-divided subset used once as the validation set. We repeated this entire process 50 times and averaged the C statistic estimates to derive an optimism-corrected C statistic. Model calibration was assessed qualitatively by comparing predicted to observed probabilities of readmission by quintiles of predicted risk, and with the Hosmer-Lemeshow goodness-of-fit test.

Comparison to Other Models.—The main comparisons of the first-day and full-stay pneumonia-specific EHR model performance were to each other and the corresponding multi-condition EHR model.^{18,19} The multi-condition EHR models were separately derived and validated within the larger parent cohort from which this study cohort was derived, and outperformed the CMS all-cause model, the HOSPITAL model, and the LACE index.¹⁹ To further triangulate our findings, given the lack of other rigorously validated pneumoniaspecific risk-prediction models for readmission,¹⁴ we compared the pneumonia-specific EHR models to the CMS pneumonia model derived from administrative claims data,¹⁰ and 2 commonly used risk-prediction scores for short-term mortality among patients with community-acquired pneumonia, the PSI and CURB-65 scores.¹⁶ Although derived and validated using patient-level data, the CMS model was developed to benchmark hospitals according to hospital-level readmission rates.¹⁰ The CURB-65 score in this study was also modified to include the same altered mental status diagnostic codes according to the modified PSI as a proxy for "confusion." Both the PSI and CURB-65 scores were calculated using the most abnormal values within the first 24 hours of admission. The 'updated' PSI and the 'updated' CURB-65 were calculated using the most abnormal values within 24 hours prior to discharge, or the last known observation prior to discharge if no results were recorded within this time period. A complete list of variables for each of the comparison models are shown in Supplemental Table 1.

We assessed model performance by calculating the C statistic, integrated discrimination index, and net reclassification index (NRI) compared to our pneumonia-specific models. The integrated discrimination index is the difference in the mean predicted probability of readmission between patients who were and were not actually readmitted between 2 models, where more positive values suggest improvement in model performance compared to a reference model.²⁶ The NRI is defined as the sum of the net proportions of correctly reclassified persons with and without the event of interest.²⁷ Here, we calculated a categorybased NRI to evaluate the performance of pneumonia-specific models in correctly classifying individuals with and without readmissions into the 2 highest readmission risk quintiles vs the lowest 3 risk quintiles compared to other models.²⁷ This pre-specified cutoff is relevant for hospitals interested in identifying the highest risk individuals for targeted intervention.⁷ Finally, we assessed calibration of comparator models in our cohort by comparing predicted probability to observed probability of readmission by quintiles of risk for each model. We conducted all analyses using Stata 12.1 (StataCorp, College Station, Texas). This study was approved by the University of Texas Southwestern Medical Center Institutional Review Board.

RESULTS

Of 1463 index hospitalizations (Supplemental Figure 1), the 30-day all-cause readmission rate was 13.6%. Individuals with a 30-day readmission had markedly different sociodemographic and clinical characteristics compared to those not readmitted (Table 1; see Supplemental Table 2 for additional clinical characteristics).

Derivation, Validation, and Performance of the Pneumonia-Specific Readmission Risk-Prediction Models

The final first-day pneumonia-specific EHR model included 7 variables, including sociodemographic characteristics; prior hospitalizations; thrombocytosis, and PSI (Table 2). The first-day pneumonia-specific model had adequate discrimination (C statistic, 0.695; optimism-corrected C statistic 0.675, 95% confidence interval [CI], 0.667-0.685; Table 3). It also effectively stratified individuals across a broad range of risk (average predicted decile of risk ranged from 4% to 33%; Table 3) and was well calibrated (Supplemental Table 3).

The final full-stay pneumonia-specific EHR readmission model included 8 predictors, including 3 variables from the first-day model (median income, thrombocytosis, and prior hospitalizations; Table 2). The full-stay pneumonia-specific EHR model also included vital sign instabilities on discharge, updated PSI, and disposition status (ie, being discharged with home health or to a post-acute care facility was associated with greater odds of readmission, and hospice with lower odds). The full-stay pneumonia-specific EHR model had good discrimination (C statistic, 0.731; optimism-corrected C statistic, 0.714; 95% CI, 0.706-0.720), and stratified individuals across a broad range of risk (average predicted decile of risk ranged from 3% to 37%; Table 3), and was also well calibrated (Supplemental Table 3).

First-Day Pneumonia-Specific EHR Model vs First-Day Multi-Condition EHR Model

The first-day pneumonia-specific EHR model outperformed the first-day multi-condition EHR model with better discrimination (P = 0.029) and more correctly classified individuals in the top 2 highest risk quintiles vs the bottom 3 risk quintiles (Table 3, Supplemental Table 4, and Supplemental Figure 2A). With respect to calibration, the first-day multi-condition EHR model overestimated risk among the highest quintile risk group compared to the first-day pneumonia-specific EHR model (Figure 1A, 1B).

Full-Stay Pneumonia-Specific EHR Model vs Other Models

The full-stay pneumonia-specific EHR model comparatively outperformed the corresponding full-stay multi-condition EHR model, as well as the first-day pneumonia-specific EHR model, the CMS pneumonia model, the updated PSI, and the updated CURB-65 (Table 3, Supplemental Table 5, Supplemental Table 6, and Supplemental Figures 2B and 2C). Compared to the full-stay multi-condition and first-day pneumonia-specific EHR models, the full-stay pneumonia-specific EHR model had better discrimination, better reclassification (NRI, 0.09 and 0.08, respectively), and was able to stratify individuals across a broader range of readmission risk (Table 3). It also had better calibration in the highest quintile risk group compared to the full-stay multi-condition EHR model (Figure 1C and 1D).

Updated vs First-Day Modified PSI and CURB-65 Scores

The updated PSI was more strongly predictive of readmission than the PSI calculated on the day of admission (Wald test, 9.83; P = 0.002). Each 10-point increase in the updated PSI was associated with a 22% increased odds of readmission vs an 11% increase for the PSI calculated upon admission (Table 2). The improved predictive ability of the updated PSI and CURB-65 scores was also reflected in the superior discrimination and calibration vs the respective first-day pneumonia severity of illness scores (Table 3).

DISCUSSION

Using routinely available EHR data from 6 diverse hospitals, we developed 2 pneumoniaspecific readmission risk-prediction models that aimed to allow hospitals to identify patients hospitalized with pneumonia at high risk for readmission. Overall, we found that a pneumonia-specific model using EHR data from the entire hospitalization outperformed all other models—including the first-day pneumonia-specific model using data present only on admission, our own multi-condition EHR models, and the CMS pneumonia model based on administrative claims data—in all aspects of model performance (discrimination, calibration, and reclassification). We found that socioeconomic status, prior hospitalizations, thrombocytosis, and measures of clinical severity and stability were important predictors of 30-day all-cause readmissions among patients hospitalized with pneumonia. Additionally, an updated discharge PSI score was a stronger independent predictor of readmissions compared to the PSI score calculated upon admission; and inclusion of the updated PSI in our full-stay pneumonia modelled to improved prediction of 30-day readmissions.

The marked improvement in performance of the full-stay pneumonia-specific EHR model compared to the first-day pneumonia-specific model suggests that clinical stability and trajectory during hospitalization (as modeled through disposition status, updated PSI, and vital sign instabilities at discharge) are important predictors of 30-day readmission among patients hospitalized for pneumonia, which was not the case for our EHR-based multicondition models.¹⁹ With the inclusion of these measures, the full-stay pneumonia-specific model correctly reclassified an additional 8% of patients according to their true risk compared to the first-day pneumonia-specific model. One implication of these findings is that hospitals interested in targeting their highest risk individuals with pneumonia for transitional care interventions could do so using the first-day pneumonia-specific EHR model and could refine their targeted strategy at the time of discharge by using the full-stay pneumonia model. This staged risk-prediction strategy would enable hospitals to initiate transitional care interventions for high-risk individuals in the inpatient setting (ie, patient education).⁷ Then, hospitals could enroll both persistent and newly identified high-risk individuals for outpatient interventions (ie, follow-up telephone call) in the immediate postdischarge period, an interval characterized by heightened vulnerability for adverse events,²⁸ based on patients' illness severity and stability at discharge. This approach can be implemented by hospitals by building these risk-prediction models directly into the EHR, or by extracting EHR data in near real time as our group has done successfully for heart failure. 7

Another key implication of our study is that, for pneumonia, a disease-specific modeling approach has better predictive ability than using a multi-condition model. Compared to multi-condition models, the first-day and full-stay pneumonia-specific EHR models correctly reclassified an additional 6% and 9% of patients, respectively. Thus, hospitals interested in identifying the highest risk patients with pneumonia for targeted interventions should do so using the disease-specific models, if the costs and resources of doing so are within reach of the healthcare system.

An additional novel finding of our study is the added value of an updated PSI for predicting adverse events. Studies of pneumonia severity of illness scores have calculated the PSI and CURB-65 scores using data present only on admission.^{16,24} While our study also confirms that the PSI calculated upon admission is a significant predictor of readmission,^{23,29} this study extends this work by showing that an updated PSI score calculated at the time of discharge is an even stronger predictor for readmission, and its inclusion in the model significantly improves risk stratification and prognostication.

Our study was noteworthy for several strengths. First, we used data from a common EHR system, thus potentially allowing for the implementation of the pneumonia-specific models in real time across a number of hospitals. The use of routinely collected data for risk-prediction modeling makes this approach scalable and sustainable, because it obviates the need for burdensome data collection and entry. Second, to our knowledge, this is the first study to measure the additive influence of illness severity and stability at discharge on the readmission risk among patients hospitalized with pneumonia. Third, our study population was derived from 6 hospitals diverse in payer status, age, race/ethnicity, and socioeconomic status. Fourth, our models are less likely to be overfit to the idiosyncrasies of our data given

Page 8

that several predictors included in our final pneumonia-specific models have been associated with readmission in this population, including marital status,^{13,30} income,^{11,31} prior hospitalizations,^{11,13} thrombocytosis,^{32–34} and vital sign instabilities on discharge.¹⁷ Lastly, the discrimination of the CMS pneumonia model in our cohort (C statistic, 0.64) closely matched the discrimination observed in 4 independent cohorts (C statistic, 0.63), suggesting adequate generalizability of our study setting and population.^{10,12}

Our results should be interpreted in the context of several limitations. First, generalizability to other regions beyond north Texas is unknown. Second, although we included a diverse cohort of safety net, community, teaching, and non-teaching hospitals, the pneumonia-specific models were not externally validated in a separate cohort, which may lead to more optimistic estimates of model performance. Third, PSI and CURB-65 scores were modified to use diagnostic codes for altered mental status and pleural effusion, and omitted nursing home residence. Thus, the independent associations for the PSI and CURB-65 scores and their predictive ability are likely attenuated. Fourth, we were unable to include data on medications (antibiotics and steroid use) and outpatient visits, which may influence readmission risk.^{2,9,13,35–40} Fifth, we included only the first pneumonia hospitalization per patient in this study. Had we included multiple hospitalizations per patient, we anticipate better model performance for the 2 pneumonia-specific EHR models since prior hospitalization was a robust predictor of readmission.

In conclusion, the full-stay pneumonia-specific EHR readmission risk-prediction model outperformed the first-day pneumonia-specific model, multi-condition EHR models, and the CMS pneumonia model. This suggests that: measures of clinical severity and stability at the time of discharge are important predictors for identifying patients at highest risk for readmission; and that EHR data routinely collected for clinical practice can be used to accurately predict risk of readmission among patients hospitalized for pneumonia.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

The authors would like to acknowledge Ruben Amarasingham, MD, MBA, president and chief executive officer of Parkland Center for Clinical Innovation, and Ferdinand Velasco, MD, chief health information officer at Texas Health Resources for their assistance in assembling the 6-hospital cohort used in this study.

Disclosures: This work was supported by the Agency for Healthcare Research and Quality-funded UT Southwestern Center for Patient-Centered Outcomes Research (R24 HS022418-01); the Commonwealth Foundation (#20100323); the UT Southwestern KL2 Scholars Program supported by the National Institutes of Health (KL2 TR001103 to ANM and OKN); and the National Center for Advancing Translational Sciences at the National Institute of Health (U54 RFA-TR-12-006 to E.A.H.). The study sponsors had no role in design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, or approval of the manuscript. The authors have no financial conflicts of interest to disclose.

REFERENCES

 Centers for Disease Control and Prevention. Pneumonia. http://www.cdc.gov/nchs/fastats/ pneumonia.htm. Accessed January 26, 2016.

3. van Walraven C, Bennett C, Jennings A, Austin PC, Forster AJ. Proportion of hospital readmissions deemed avoidable: a systematic review. CMAJ. 2011;183(7):E391–E402. [PubMed: 21444623]

service program. N Engl J Med. 2009;364(16):1582.

- Rennke S, Nguyen OK, Shoeb MH, Magan Y, Wachter RM, Ranji SR. Hospital-initiated transitional care interventions as a patient safety strategy: a systematic review. Ann Intern Med. 2013;158(5 pt 2):433–440. [PubMed: 23460101]
- Hansen LO, Young RS, Hinami K, Leung A, Williams MV. Interventions to reduce 30-day rehospitalization: a systematic review. Ann Intern Med. 2011;155(8):520–528. [PubMed: 22007045]
- Rennke S, Shoeb MH, Nguyen OK, Magan Y, Wachter RM, Ranji SR. Interventions to Improve Care Transitions at Hospital Discharge. Rockville, MD: Agency for Healthcare Research and Quality, US Department of Health and Human Services;3 2013.
- Amarasingham R, Patel PC, Toto K, et al. Allocating scarce resources in real-time to reduce heart failure readmissions: a prospective, controlled study. BMJ Qual Saf. 2013;22(12):998–1005.
- Amarasingham R, Patzer RE, Huesch M, Nguyen NQ, Xie B. Implementing electronic health care predictive analytics: considerations and challenges. Health Aff (Millwood). 2014;33(7):1148–1154. [PubMed: 25006140]
- Hebert C, Shivade C, Foraker R, et al. Diagnosis-specific readmission risk prediction using electronic health data: a retrospective cohort study. BMC Med Inform Decis Mak. 2014;14:65. [PubMed: 25091637]
- Lindenauer PK, Normand SL, Drye EE, et al. Development, validation, and results of a measure of 30-day readmission following hospitalization for pneumonia. J Hosp Med. 2011;6(3):142–150. [PubMed: 21387551]
- Mather JF, Fortunato GJ, Ash JL, Davis MJ, Kumar A. Prediction of pneumonia 30-day readmissions: a single-center attempt to increase model performance. Respir Care. 2014;59(2): 199–208. [PubMed: 23942750]
- O'Brien WJ, Chen Q, Mull HJ, et al. What is the value of adding Medicare data in estimating VA hospital readmission rates? Health Serv Res. 2015;50(1):40–57. [PubMed: 25040588]
- Tang VL, Halm EA, Fine MJ, Johnson CS, Anzueto A, Mortensen EM. Predictors of rehospitalization after admission for pneumonia in the veterans affairs healthcare system. J Hosp Med. 2014;9(6):379–383. [PubMed: 24648401]
- Weinreich M, Nguyen OK, Wang D, et al. Predicting the risk of readmission in pneumonia: a systematic review of model performance. Ann Am Thorac Soc. 2016;13(9):1607–1614. [PubMed: 27299853]
- Kwok CS, Loke YK, Woo K, Myint PK. Risk prediction models for mortality in communityacquired pneumonia: a systematic review. Biomed Res Int. 2013;2013:504136. [PubMed: 24228253]
- Loke YK, Kwok CS, Niruban A, Myint PK. Value of severity scales in predicting mortality from community-acquired pneumonia: systematic review and meta-analysis. Thorax. 2010;65(10):884– 890. [PubMed: 20729235]
- Halm EA, Fine MJ, Kapoor WN, Singer DE, Marrie TJ, Siu AL. Instability on hospital discharge and the risk of adverse outcomes in patients with pneumonia. Arch Intern Med. 2002;162(11): 1278–1284. [PubMed: 12038946]
- Amarasingham R, Velasco F, Xie B, et al. Electronic medical record-based multicondition models to predict the risk of 30 day readmission or death among adult medicine patients: validation and comparison to existing models. BMC Med Inform Decis Mak. 2015;15:39. [PubMed: 25991003]
- Nguyen OK, Makam AN, Clark C, et al. Predicting all-cause readmissions using electronic health record data from the entire hospitalization: Model development and comparison. J Hosp Med. 2016;11(7):473–480. [PubMed: 26929062]
- Lindenauer PK, Lagu T, Shieh MS, Pekow PS, Rothberg MB. Association of diagnostic coding with trends in hospitalizations and mortality of patients with pneumonia, 2003-2009. JAMA. 2012;307(13):1405–1413. [PubMed: 22474204]

- Ahmedani BK, Solberg LI, Copeland LA, et al. Psychiatric comorbidity and 30-day readmissions after hospitalization for heart failure, AMI, and pneumonia. Psychiatr Serv. 2015;66(2):134–140. [PubMed: 25642610]
- Jasti H, Mortensen EM, Obrosky DS, Kapoor WN, Fine MJ. Causes and risk factors for rehospitalization of patients hospitalized with community-acquired pneumonia. Clin Infect Dis. 2008;46(4):550–556. [PubMed: 18194099]
- Capelastegui A, España Yandiola PP, Quintana JM, et al. Predictors of short-term rehospitalization following discharge of patients hospitalized with community-acquired pneumonia. Chest. 2009;136(4):1079–1085. [PubMed: 19395580]
- 24. Fine MJ, Auble TE, Yealy DM, et al. A prediction rule to identify low-risk patients with community-acquired pneumonia. N Engl J Med. 1997;336(4):243–250. [PubMed: 8995086]
- 25. Vittinghoff E, Glidden D, Shiboski S, McCulloch C. Regression Methods in Biostatistics: Linear, Logistic, Survival, and Repeated Measures Models (Statistics for Biology and Health). New York City, NY: Springer; 2012.
- 26. Pencina MJ, D'Agostino RB, Sr, D'Agostino RB, Jr, Vasan RS. Evaluating the added predictive ability of a new marker: from area under the ROC curve to reclassification and beyond. Stat Med. 2008;27(2):157–172; discussion 207–112. [PubMed: 17569110]
- Leening MJ, Vedder MM, Witteman JC, Pencina MJ, Steyerberg EW. Net reclassification improvement: computation, interpretation, and controversies: a literature review and clinician's guide. Ann Intern Med. 2014;160(2):122–131. [PubMed: 24592497]
- Krumholz HM. Post-hospital syndrome--an acquired, transient condition of generalized risk. N Engl J Med. 2013;368(2):100–102. [PubMed: 23301730]
- Micek ST, Lang A, Fuller BM, Hampton NB, Kollef MH. Clinical implications for patients treated inappropriately for community-acquired pneumonia in the emergency department. BMC Infect Dis. 2014;14:61. [PubMed: 24499035]
- Metersky ML, Fine MJ, Mortensen EM. The effect of marital status on the presentation and outcomes of elderly male veterans hospitalized for pneumonia. Chest. 2012;142(4):982–987. [PubMed: 22459780]
- Calvillo-King L, Arnold D, Eubank KJ, et al. Impact of social factors on risk of readmission or mortality in pneumonia and heart failure: systematic review. J Gen Intern Med. 2013;28(2):269– 282. [PubMed: 23054925]
- Mirsaeidi M, Peyrani P, Aliberti S, et al. Thrombocytopenia and thrombocytosis at time of hospitalization predict mortality in patients with community-acquired pneumonia. Chest. 2010;137(2):416–420. [PubMed: 19837825]
- 33. Prina E, Ferrer M, Ranzani OT, et al. Thrombocytosis is a marker of poor outcome in communityacquired pneumonia. Chest. 2013;143(3):767–775. [PubMed: 23187959]
- Violi F, Cangemi R, Calvieri C. Pneumonia, thrombosis and vascular disease. J Thromb Haemost. 2014;12(9):1391–1400. [PubMed: 24954194]
- 35. Weinberger M, Oddone EZ, Henderson WG. Does increased access to primary care reduce hospital readmissions? Veterans Affairs Cooperative Study Group on Primary Care and Hospital Readmission. N Engl J Med. 1996;334(22):1441–1447. [PubMed: 8618584]
- Field TS, Ogarek J, Garber L, Reed G, Gurwitz JH. Association of early post-discharge follow-up by a primary care physician and 30-day rehospitalization among older adults. J Gen Intern Med. 2015;30(5):565–571. [PubMed: 25451987]
- Spatz ES, Sheth SD, Gosch KL, et al. Usual source of care and outcomes following acute myocardial infarction. J Gen Intern Med. 2014;29(6):862–869. [PubMed: 24553957]
- Brooke BS, Stone DH, Cronenwett JL, et al. Early primary care provider follow-up and readmission after high-risk surgery. JAMA Surg. 2014;149(8):821–828. [PubMed: 25074237]
- Adamuz J, Viasus D, Campreciós-Rodriguez P, et al. A prospective cohort study of healthcare visits and rehospitalizations after discharge of patients with community-acquired pneumonia. Respirology. 2011;16(7):1119–1126. [PubMed: 21736665]
- Shorr AF, Zilberberg MD, Reichley R, et al. Readmission following hospitalization for pneumonia: the impact of pneumonia type and its implication for hospitals. Clin Infect Dis. 2013;57(3):362– 367. [PubMed: 23677872]

Makam et al.



FIG.

Comparison of the calibration of different readmission models. The Hosmer-Lemeshow goodness-of-fit test using deciles of predicted risk confirmed adequate model fit for the first-day and full-stay pneumonia-specific models (P value = 0.16 and 0.31, respectively)

TABLE 1.

Baseline Characteristics of Patients Hospitalized with Pneumonia

	No Readmission n = 1,264	Readmission n = 199	P value
Sociodemographic characteristics			
Age in years, mean (SD)	64.5 (17.2)	70.3 (15.0)	0.001
Male, n (%)	549 (43.4)	101 (50.8)	0.054
Race/ethnicity			0.894
White	880 (69.6)	138 (69.6)	
Black	166 (13.1)	29 (14.6)	
Hispanic	165 (13.1)	23 (11.6)	
Other	53 (4.2)	9 (4.5)	
Marital status, n (%)			0.027
Single	295 (23.3)	30 (15.1)	
Married	493 (39.0)	81 (40.7)	
Other	476 (37.7)	88 (44.2)	
Primary payer, n (%)			0.001
Private	517 (40.9)	60 (30.2)	
Medicare	540 (42.7)	117 (58.8)	
Medicaid	72 (5.7)	15 (7.5)	
Charity, self-pay, or other	135 (10.7)	7 (3.5)	
Median income (<\$30,000) per ZIP code, n (%)	102 (8.1)	26 (13.1)	0.022
Utilization history			
1 hospitalizations in past year, n $(\%)^a$	433 (33.4)	99 (50.0)	0.001
Clinical factors from first day of hospital stay			
Charlson Comorbidity Index, median [IQR] b	0 [0-1]	0 [0-3]	0.001
Platelets $>350 \times 10^3/\mu L$	142 (11.2)	43 (21.6)	0.001
PSI ^C			0.001

	No Readmission $n = 1,264$	Readmission n = 199	P value
70	428 (33.9)	33 (16.6)	
71-90	318 (25.2)	50 (25.1)	
91-130	398 (31.5)	73 (36.7)	
>130	120 (9.5)	43 (21.6)	
Clinical factors from remainder of hospital stay			0.001
Length of stay in days, median [IQR]	5 [3-7]	6 [4-9]	
Updated pneumonia severity index c			0.001
70	561 (44.4)	43 (21.6)	
71-90	359 (28.4)	56 (28.1)	
91-130	301 (23.8)	83 (41.7)	
>130	43 (3.4)	17 (8.5)	
Vital sign instabilities on discharge, $1, n(\%)^d$	310 (24.5)	68 (34.2)	0.004
Discharge location, n (%)			0.001
Home	889 (70.3)	106 (53.3)	
Home health	106 (8.4)	30 (15.1)	
Post-acute care e	237 (18.8)	60 (30.2)	
Hospice	32 (2.5)	3 (1.5)	

J Hosp Med. Author manuscript; available in PMC 2018 December 17.

 $b_{\rm Calculated}$ from diagnoses available within 1 year prior to index hospitalization.

^COmitted nursing home residence and included diagnostic codes as provies for pleural effusion (ICD-9-CM codes 510, 511.1, and 511.9) and altered mental status (ICD-9-CM codes 780.0X, 780.97, 293.0, 293.1, and 348.3X).

^dOn day of discharge, or last known observation prior to discharge. Instabilities were defined as temperature 37.8°C, heart rate >100 beats/minute, respiratory rate >24 breaths/minute, systolic blood pressure 90 mm Hg, or oxygen saturation <90%.¹⁷

 e^{t} Includes discharges to nursing home, skilled nursing facility, or long-term acute care hospital.

NOTE: Abbreviations: IQR, interquartile range; PSI, pneumonia severity index.

Author Manuscript

TABLE 2.

Final Pneumonia-Specific EHR Risk-Prediction Models for Readmissions

	Odds Rati	0 (95% CI)
	Univariate	Multivariate ^a
First-day pneumonia-specific model		
Single	0.58 (0.39-0.88)	0.61 (0.40-0.95)
Medicare	1.91 (1.41-2.59)	1.72 (1.23-2.41)
Medicaid	1.35 (0.76-2.40)	2.16 (1.14-4.11)
Median income (<\$30,000) per ZIP code	1.71 (1.08-2.71)	1.85 (1.14-3.02)
Prior hospitalizations in past year	1.35 (1.19-1.53)	1.18 (1.03-1.36)
Platelets $>350 \times 10^3/\mu L$	2.18 (1.49-3.18)	2.18 (1.46-3.24)
PSI, per 10 points	1.15 (1.06-1.17)	1.11 (1.06-1.17)
Full-stay pneumonia-specific model		
Median income (<\$30,000) per ZIP code	1.71 (1.08-2.71)	1.92 (1.18-3.12)
Platelets $>350 \times 10^3/\mu L$	2.18 (1.49-3.18)	2.35 (1.57-3.52)
Prior hospitalizations in past year	1.35 (1.19-1.53)	1.26 (1.10-1.44)
Vital sign instabilities on discharge, 1	1.60 (1.16-2.20)	1.47 (1.05-2.07)
Updated PSI, per 10 points	1.23 (1.17-1.30)	1.22 (1.15-1.29)
Disposition status at hospital discharge		
Home	[Reference]	[Reference]
Home with home health	2.37 (1.51-3.73)	1.61 (0.99-2.62)
Post-acute care facility	2.12 (1.50-3.01)	1.39 (0.94-2.03)
Hospice	0.79 (0.24-2.61)	0.23 (0.07-0.83)

J Hosp Med. Author manuscript; available in PMC 2018 December 17.

 $^{a}\mathrm{Adjusted}$ for all factors listed in the table for each respective model

NOTE: Abbreviations: CI, confidence interval; EHR, electronic health record; PSI, pneumonia severity index.

Author Manuscript

Model Performance and Comparison of Pneumonia-Specific EHR Readmissions Models vs Other Models

					Average Predi	cted Risk (%)
Model	C Statistic	Comparison of C Statistic (P value) ^a	IDI (%) (95% CI)	Categorical NRI ^b (95% CI)	Lowest Decile	Highest Decile
First-day pneumonia	0.695	[Reference]	[Reference]	[Reference]	0.04	0.33
First-day multi-condition	0.656	0.029	-0.01 (-0.03 to 0.01)	-0.06 (-0.15 to 0.02)	0.07	0.36
CMS pneumonia	0.640	0.014	-0.03 (-0.04 to -0.02)	-0.07 (-0.15 to 0.02)	0.08	0.29
ISd	0.638	<0.001	-0.03 (-0.04 to -0.02)	-0.09 (-0.17 to 0.01)	0.06	0.27
CURB-65	0.578	<0.001	-0.05 (-0.07 to -0.04)	-0.14 (-0.23 to 0.05)	0.10	0.18
Full-stay pneumonia	0.731	[Reference]	[Reference]	[Reference]	0.03	0.37
First-day pneumonia	0.695	0.018	-0.02 (-0.01 to -0.03)	-0.08 (-0.01 to 0.15)	0.04	0.33
Full-stay multi-condition	0.681	0.008	-0.01 (-0.03 to 0.01)	-0.09 (-0.17 to 0.01)	0.06	0.39
CMS pneumonia	0.640	<0.001	-0.05 (-0.04 to -0.07)	-0.15 (-0.23 to 0.06)	0.08	0.29
Updated PSI	0.673	<0.001	-0.04 (-0.06 to -0.03)	-0.09 (-0.16 to 0.01)	0.05	0.30
Updated CURB-65	0.604	<0.001	-0.07 (-0.08 to -0.05)	-0.18 (-0.27 to -0.09)	0.09	0.22
^{a}P values are shown for each n	nodel compare	d to the respective reference model using the	he DeLong, DeLong, and C	larke-Pearson method.		

. .

 $b_{
m The}$ categorical NRI compares reclassification between the highest 2 risk quintiles and the lowest 3 risk quintiles.

NOTE: Abbreviations: CI, confidence interval; CMS, Centers for Medicare and Medicaid Services; IDI, integrated discrimination improvement; NRI, net reclassification index; PSI, pneumonia severity index.