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Emerging insights into human health and NK cell biology from the study of NK cell deficiencies

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Abstract

Human NK cells are innate immune effectors that play a critical roles in the control of viral infection and malignancy. The importance of their homeostasis and function can be demonstrated by the study of patients with primary immunodeficiencies (PID), which are part of the family of diseases known as inborn defects of immunity. While NK cells are affected in many PIDs in ways that may contribute to a patient's clinical phenotype, a small number of PIDs have an NK cell abnormality as their major immunological defect. These PIDs can be collectively referred to as NK cell deficiency disorders (NKD), and include effects upon NK cell numbers, subsets and/or functions. The clinical impact of NKD can be severe including fatal viral infection, with particular susceptibility to herpesviral infections, such as cytomegalovirus, varicella zoster virus and Epstein-Barr virus. While NKD is rare, studies of these diseases are important for defining specific requirements for human NK cell development and homeostasis. New themes in NK cell biology are emerging through the study of both known and novel NKD, particularly those affecting cell cycle and DNA damage repair, as well as broader PIDs having substantive impact upon NK cells. In addition, the discovery of NKD that affect other innate lymphoid cell (ILC) subsets opens new doors for better understanding the relationship between conventional NK cells and other ILC subsets. Here we describe the biology underlying human NKD, particularly in the context of new insights into innate immune cell function, including a discussion of recently described NKD with accompanying effects on ILC subsets. Given the impact of these disorders upon human immunity with a common focus upon NK cells, the unifying message of a critical role for NK cells in human host defense singularly emerges.

Introduction

Natural killer (NK) cells are innate lymphocytes that critically function in defense against viral infection and malignancy. They serve these roles through multiple mechanisms that collectively exert both direct anti-viral and anti-tumor responses, while also helping to shape the adaptive and innate immune responses. According to current paradigms, NK cells are considered within the innate lymphoid cell (ILC) family, which includes both conventional NK cells and ILCs that primarily reside within tissue and have specialized functions that

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parallel T cell helper subsets^{1,2}. Based upon this nomenclature, conventional NK cells are functionally and phenotypically similar to the ILC1 group, as they are potent producers of interferon gamma and express $Tbx21^{1,3}$. However, conventional NK cells are distinct from the other 'helper' ILCs as NK cells are the cytotoxic effectors of the ILC family, which functionally also aligns them with $CD8⁺$ T cells. They perform targeted lysis through the directed secretion of perforin and granzymes, contained within specialized organelles termed lytic granules, in response to activating signals transmitted through germline-encoded receptors. It is this latter characteristic, in concert with the general preparedness of human NK cells attributed to their baseline high lytic granule content⁴, that allows them to fill a unique and non-redundant role in the innate immune response.

Primary immunodeficiency (PID) occurs when genetic abnormalities impacting immunity lead to immune dysregulation, immune impairment or both. Recent advances in genetic and genomic technology have enabled the acceleration of this field, and there are currently more than 350 described monogenic disorders of immunity that can cause $PID⁵$. These may affect single cell subsets, or may stretch across the immune system and affect multiple lineages, reflecting a conserved requirement for the gene or protein in immune function. While they are rare, PID that primarily affect a single immune cell lineage, or that have a unique phenotype in a single lineage, can be remarkably informative about the function and natural history of these cells. This approach has led to important discoveries about the uniquely human aspects of immune function in cases where human immunodeficiency phenotypes differ significantly from those seen in a mouse model. These include human CD19 deficiency as a cause of hypogammaglobulinemia⁶, and Zap70 deficiency, which leads to significant differences in its effect on circulating T cell subsets between mice and humans^{$7-10$}. Such differences continue to be uncovered, including the recent discovery of human RIPK1 deficiency, which leads to immunodeficiency, gut inflammation and polyarthritis, a surprisingly different phenotype from the systemic inflammation and early morbidity seen in $Right1$ -knockout mice^{11–14}. Finally, the study of patients with primary immunodeficiency has the potential to provide important therapeutic insights and help in the design and guidance of new treatments for others.

With this in mind, the study of patients with PID that affect the NK cell subset has shaped our understanding of uniquely human aspects of immunology. Innate immune cells are finely tuned to respond to environmental cues for their function and homeostasis. While model organisms are an irreplaceable tool in our understanding of the requirements for immunity, we must turn to the human model to fully understand the human system. The differing environs between humans and experimental mice, who are frequently housed in the absence of specific pathogens, are likely at least partially responsible for the functional distinctions between innate immune cell subsets between the species¹⁵. There is also notable divergent evolution with a number of important of functional orthologues being represented by distinct gene families in humans and mice, such as Ly49 in mice and KIR in humans¹⁶. As technologies improve for studying specifically human immunology, we can also take advantage of these to drive new investigations into lesser-understood immune subsets, including NK cell subsets, adaptive and memory-like NK cells, and ILCs.

Here, we will summarize the findings from the study of patients with PID that can primarily or solely affect NK cells, and place these in the context of our current understanding of human NK cell development and homeostasis. These NKD have traditionally been divided into those that affect maturation, survival and development, as opposed to those that singularly affect NK cell function. We are increasingly becoming aware of the complexity of drawing such distinctions, however, and will discuss current thinking about the relationship between phenotype and function in NKD. Similarly, we will also include a brief discussion of select combined immunodeficiencies in which an NK cell abnormality may be a minor component of the immunological phenotype, but provides significant insight into human NK cell biology. While these affect other immune subsets, they additionally provide valuable information about the mechanistic requirements for human NK cells. Finally, we will consider the implication of these findings given the emerging understanding of the relationship between NK cells and ILCs. The careful study of patients with NKD gives us the opportunity to better understand how inherent defects in NK cell development, differentiation and function can inform our current understanding of the requirement for this particular subset of human immune cells.

Human NK cell development, differentiation and function

Human NK cells arise from bone marrow hematopoietic precursors, and in both mice and humans, ablation of the bone marrow abrogates NK cell development^{17–19}. While the generation of mature NK cells in bone marrow has been described, it is thought that bone marrow precursors traffic to peripheral tissues, the best-described of which is secondary lymphoid tissue, to undergo terminal maturation and subsequently exit to circulation $20-22$. Despite the first description of this natural history of human NK cells in 2005, it is still poorly understood how these steps of maturation are regulated, and the precise localization and regulation of NK cell generation has not been well defined.

Within peripheral blood, the primary NK cell subset is the CD56^{dim} subset, which has cytolytic capacity at baseline and is thought to circulate to enable recruitment to sites of infection and inflammation. The minor subset in peripheral blood, $CD56^{bright}$, are potent cytokine producers, particularly of IFN γ and TNF α . While CD56^{dim} NK cells predominate in peripheral blood, within tissue most NK cells fall within the CD56^{bright} subset, although tissue specialization ultimately shapes their receptor repertoire²³. CD56^{bright} NK cells are thought to give rise to CD56dim NK cells based upon in vitro, in vivo, transplantation and humanized mouse models^{24–29}. Despite these findings, which include longer telomeres in $CD56^{bright} NK cells²⁷ and the early appearance of $CD56^{bright} NK cells following$$ transplantation^{28,29}, the mechanism by which this transition is regulated is not defined, and the precise physiological relationship between the two subsets is called into question by recent studies in non-human primates that suggests the two subsets have independent origins^{30,31}.

The difficulty in understanding human NK cell development has arisen from several factors. NK cell development and terminal maturation is a process with much greater plasticity than T or B cell development, including much less rigorous tissue restriction. While T and B cell developmental subsets can be spatially and temporally mapped within thymic and bone

marrow environments respectively, NK cell precursors and developmental intermediates in adults can be isolated from a number of sites, including bone marrow³², spleen³², thymus^{33–36}, intestine³⁷, secondary lymphoid tissue^{20,32,38}, uterus³⁹, and liver⁴⁰. With the exception of bone marrow, which generates hematopoietic precursors, none of these sites are critical for the generation of mature circulating NK cells. Instead, it is becoming increasingly clear that NK cell development is shaped by the microenvironment, leading to generation of diverse, tissue specific subsets with unique functions⁴¹. This model highlights the plasticity of NK cell precursors, which includes common NK-ILC precursors, and underscores the role that the cytokine and tissue microenvironment play in shaping functionally mature NK cells.

A second factor that has confounded the study of human NK cell development lies in the difficulty in drawing comparisons between mouse and human models. While analogous functional subsets are commonly identified, key differences in receptor expression make this difficult. This includes the lack of CD56 or a known CD56 homologue on murine NK cells. Perhaps more important is the role that environmental exposure plays in human NK cell maturation. The phenotype of circulating NK cells is frequently described in terms of CD56bright or CD56dim subsets, however careful dissection of peripheral blood NK cells reveals receptor expression that changes through life and in response to immune experiences. These changes include distinct patterns of receptor diversity that are induced in response to genetic and environmental factors⁴², and the formation of adaptive or memory NK cells in response to common viruses. The best characterized of these is CMV infection, which leads to the generation of an adaptive pool of NK cells that were originally identified as expressing NKG2C⁴³⁻⁴⁵, but have also been identified in NKG2C-negative individuals⁴⁶. Further studies have identified other characteristics of these cells, including their downregulation of the intracellular adaptors Syk and FcεRγ and the transcription factors EAT2 and PLZF $1^{47,48}$. Adaptive NK cells are also present following diverse viral infections including hantavirus⁴⁹, chikungunya virus⁵⁰ and $HIV^{51–53}$, and memory-like NK cells are generated in response to cytokine stimulation⁵⁴. It is likely that there are additional environmental factors that similarly shape NK cell phenotype and function that are even less well understood and are also not well replicated in mice housed under specific pathogen-free conditions. These could include the role of the microbiome in shaping innate immune cell function, and exposure to fungi and other non-viral pathogens^{55–57}.

The commonly used model of NK cell development now assigns this process to 7 stages (Stages 1–3, 4a, 4b, 5 and 6) but can be minimally defined by expression of CD34, CD117 (c-Kit), CD94 and CD16 or KIRs^{58,59} (Figure 1). These stages can be isolated from tissue, including secondary lymphoid tissue, but can also be recapitulated using in vitro differentiation^{60,61}. CD34⁺ cells from cord blood, circulating blood or mobilized bone marrow are a common source of NK cell progenitors for experimental modeling of human NK cell development, although the more restricted precursor, and technically the Stage 1 cell, is defined as CD34+CD45RA+CD10+. Progression to Stage 2 minimally includes the up-regulation of CD117 with CD34 retained on the cell surface, and Stage 3 is defined by loss of CD34 with retained CD117 expression. Acquisition of CD94 defines progression to Stage 4, which is followed by terminal maturation marked by acquisition of CD16 and/or KIRs (Stages 5 and 6). The recent delineation of Stage 4 NK cells to '4a' and '4b' subsets,

and the important phenotypic and functional differences between the two, suggests that the current model retains opportunity for further dissection⁵⁸.

The recent discovery that NK cells are members of a larger group of innate lymphoid cells has prompted a re-examination of what features can be used to identify a conventional NK cell relative to other lineage-negative lymphocytes, namely ILC1, ILC2 and ILC3 subsets. Conventional NK cells are akin to the ILC1 subset due to their capacity for IFNγ production³; however, traditionally it is the capacity for cytotoxic function by a non-B, non-T lymphocyte defines a conventional NK cell⁶². Therefore, while the expression of lytic effector molecules such as $Fc\gamma$ RIIIA (CD16), perforin, and granzymes and the transcription factor EOMES may not be unique to NK cells amongst lymphocytes, using these markers in combination with lineage exclusion is effective for identifying conventional NK cells amongst other $ILCs³$.

NK cells in peripheral blood are approximately 1–17% of the lymphocyte population in healthy donors^{63,64}. In contrast, circulating ILC subsets are found at even lower frequency $(<0.2\%)$ as they are predominantly found within tissue⁶⁵. However, while at low frequency, both mature ILC subsets and ILC precursors can be isolated from peripheral blood $66-68$. These are negative for multiple lymphocyte lineages but identified by expression of CD117 (c-Kit), and in some cases CD294 (CRTH2), CD161 and NKp44. The circulating lineage negative CD117+ ILCP can give rise to all ILC subsets, including conventional NK cells, distinguishing it from gut CD117⁺ ILCs that express NKp44 and ROR γT^{68} . As Stage 3 NK cells are minimally defined as being lineage negative $CD117⁺$ cells, it is important to be aware of these subsets, particularly when using tissue or in vitro samples, as it is likely that phenotypic heterogeneity ascribed to Stage 3 NK cells arises from the inclusion of ILC3 or ILCP in analyses.

NK cell developmental subsets have unique and defining phenotypes, however they also have unique functions. The CD56^{dim} (Stage 5) subset is considered the canonical cytolytic subset, due to its lytic granule content, expression of perforin and granzymes, as well as the KIR molecules and low affinity Fc receptor Fc γ RIIIA (CD16). In contrast, the CD56^{bright} subset (Stage 4) is considered the most potent for cytokine production. However, recent studies of cytokine primed peripheral blood NK cells demonstrate that the CD56^{bright} subset can be highly functional for contact dependent killing, and CD56dim NK cells can be potent producers of cytokines, including IFN $\gamma^{69,70}$. These studies highlight the plasticity of all NK cell subsets and further underscore the context-dependent regulation of their function.

NK cell lytic function is exerted through the formation of an immunological synapse, a highly organized signaling platform that directs secretion towards a susceptible target cell (reviewed in⁷¹). This function is controlled by the expression of activating and inhibitory receptors that tune NK cell responsiveness to MHC class I and virally infected or stressinduced ligands on target cells. In an interaction with a potential target cell, surpassing the threshold of NK cell restraint leads to the cytoskeletal polarization of the NK cell toward to target. This is accompanied by the re-orientation of lytic granules towards the immune synapse following the rapid recruitment of lytic granules to the microtubule organizing center (MTOC) also known as convergence^{72,73}. Following delivery to the immune synapse,

granules pass through the cortical actin network to the plasma membrane, where they fuse with the NK cell membrane and release their contents. NK cell function can be modulated for efficiency within the microenvironment, both by the positioning of lytic granules within the cell, and by the use of serial killing to rapidly eliminate multiple targets^{73–76}.

NK cell subsets are frequently enumerated as CD56bright and CD56dim, or simply as CD56+CD16+. However, the comprehensive interrogation of NK cell receptors, including activating and inhibitory receptors and those associated with developmental subsets, can provide important insight to functional questions. The application of mass cytometry has provided the capacity to evaluate these parameters together on a single cell level and derive new and important information about receptor diversity⁴². In addition, it has allowed for the targeted analyses of specific signaling pathways or signaling axes $54,69$. In addition to characterization by cell surface phenotype, NK cells can be functionally interrogated using standard cytotoxicity assays and microscopy-based single cell killing assays against susceptible MHC class I-negative target cells. This approach can also be used to measure ADCC, which is cytotoxicity mediated by $Fc\gamma$ RIIIA binding to IgG on opsonized target cells. Cytokine production may be measured by ELISA, or increasingly commonly by intracellular staining or cytokine bead array, both of which are flow cytometric-based approaches. Ideally, both phenotype and function are thoughtfully and carefully measured when undertaking the study of human NK cells in health and disease. The comprehensive measurement of both functional and phenotypic parameters enables the high-resolution characterization of both known and novel NK cell subsets $42,63$.

Clinical hallmarks of NK cell deficiencies

The term NK cell deficiency, or NKD, defines a PID in which the major immunological defect is that of the NK cell subset⁷⁷. At present this includes 6 monogenetic disorders (Table 1). As we will discuss in detail below, there are some cases where clinical phenotypes may vary between patients having an abnormality within a given gene, but progress has been made to identify, as best possible, "pure" cases of NKD in which NK cells are solely or primarily affected. NKD may be manifest by an absence or decrease of NK cells or specific NK cell subsets, or it may be defined by impaired NK cell function in the context of seemingly normal phenotype. However, it is expected that when NK cell phenotype is affected, NK cell function will be measurably diminished, either as a feature of reduced number or aberrant functional maturation. NKD may be defined as 'classical' (cNKD) or 'functional' (fNKD), with the former referring to cases in which NK cell development or maturation is affected, and the latter referring to aberrant function in the presence of seemingly normal numbers, including distribution of subsets, in peripheral blood⁷⁸. The specific reason for the term 'classical' as opposed to 'developmental' or 'maturational' is that the first reported cases of NKD (which were ultimately genetically solved) were ones in which NK cells were initially reported or subsequently defined as absent or greatly reduced in the peripheral circulation^{79–88}.

Clinically, the presentation of NKD is variable, however the hallmark of NKD is generally considered to be herpesviral infections including varicella zoster virus (VZV), herpes simplex virus (HSV), Epstein-Barr Virus (EBV) and cytomegalovirus (CMV), with these

viruses present in almost 60% of reported NKD cases⁷⁸. While some NKD may affect other immune compartments or lead to other clinical phenotypes, the unusual susceptibility to these viruses is a conserved feature of each of the monogenic causes of NKD reported to date (Figure 2). This is especially important as while all of these monogenic defects impact the individual in some way outside of the NK cell subset, the common infectious susceptibility underlies the particular clinical nature of having a more isolated NK cell abnormality. Conversely it points to an importance of NK cells in isolation in defense against these infections. This was first suggested in humans over 3 decades ago in posttransplant patients who had defective NK cell killing despite intact cytotoxic T cell function in the context of CMV infection⁹⁴. This unusual susceptibility to these viruses can be defined by multiple severe infections, recurrences of infection for viruses that are typically not recurrent, clinically relevant infection from multiple herpesviruses, infections that are refractory to common treatments, and infection at multiple or unusual sites of the body. In addition to herpesviral infections, human papillomavirus infections are reported, as well as increased frequencies of some generally experienced viral infections. Fungal and bacterial infections have also been described in some patients and although there are experimental evidence for roles of NK cells in defense against these types of organisms it is less well understood. Of those patients that have been reported with NKD, almost half had died prematurely, underscoring a likely substantive reporting bias but also the severity their disease78. Correlation between NK cell function and the control of viral infections can be additionally defined by the study of GATA2 deficient patients, which demonstrates that decreasing frequency of NK cell counts were associated with increasing complications of disease⁹⁵.

While NK cells have an inherent ability to provide surveillance for cancerous cells and powerful anti-tumor functions, the susceptibility to malignancy in NKD patients has been less well-defined. This is perhaps in part because of the high morbidity of NKD decreasing the mean lifespan of many of the reported patients. There are, however, susceptibilities to certain malignancies associated with some NKD, specifically those that are driven by oncogenic viruses. EBV-driven cancers have been described in NKD patients, including lymphomas in GATA2 deficiency⁹⁶, an EBV-smooth muscle tumor in a patient with cNKD79, and EBV-driven Castleman's disease in two patients with fNKD93,97. HPV-driven dysplasia was detected in 35% of patients with GATA2 deficiency, and HPV-related head and neck cancers have also been observed⁹⁵. The importance of NK cells in the control of malignancy has also been illustrated by the study of their role in hematopoietic stem cell transplant. These studies show that the presence and functionality of NK cells, specifically donor-derived cells with alloreactivity to the leukemia, is a determinant of patient survival⁹⁸. This has led to a number of efforts to harness this functionality to improve transplant rates, and there has been an explosion of exciting new NK cell-based therapies that are showing promise in the clinic⁹⁹. Taken together, the severe clinical phenotype of patients with NKD, combined with clinical outcomes of transplant, reinforces the conclusion that NK cells play at least some non-redundant role in human health.

Functional NKD (fNKD)

To date, the only example of an NKD that strictly affects function (fNKD) but not maturation, development or more broadly phenotype, is a result of homozygous mutations in FCGRIIIA leading to the protein having an L66H substitution, which has been reported in 3 unrelated patients^{93,97,100}. No changes in NK cell maturation or other NK cell phenotypic markers were noted, however all had impaired NK cell cytotoxicity. These patients experienced recurrent HSV and HPV, as well as one with EBV-driven Castleman's disease. Despite CD16's role as the IgG Fc receptor, these patients have only impaired natural cytotoxicity, while their ADCC function is intact^{93,100}. This seemingly paradoxical finding is explained by the fact that the variant amino acid is outside of the CD16 IgG Fc-binding domain and is not predicted to change the structure of that region. It does, however, cause variation in the distal Ig domain of CD16 and interrupts the role of CD16 in stabilizing the activating receptor CD2 at the immunological synapse⁹³. Thus, while the ability of this aberrant CD16 to recognize an opsonized target cell is normal, it is unable to be utilized by CD2 when CD2 is engaged at the immunological synapse. This prevents ligated CD2 from accessing CD16 costimulation and inducing CD3ζ signaling downstream of CD16. As a result the signaling for natural cytotoxicity induced by susceptible target cells is reduced, leading to decreased NK cell killing. While CD16 can be expressed by non-NK cells, neutrophils express a form lacking the intracellular signaling domain as it is replaced with a GPI-linkage¹⁰¹. Thus this defect owing to the variation in the CD16 extracellular domain is likely NK cell specific.

Importantly, while these particular FCGRIIIA mutations do not affect NK cell phenotype, the single amino acid change in these patients disrupts the epitope of CD16 recognized by monoclonal antibody B73.1. Thus NK cells from the patients harboring the homozygous CD16 mutation can be detected by flow cytometry through loss of detection of CD16 by the B73.1 clone, provided it can still be recognized by a monoclonal antibody outside of the variant domain such as $3G8^{93}$. This abnormal 'dual epitope assay' can help in screening patients for this abnormality, but does not serve as a replacement for direct gene sequencing.

While it is somewhat surprising that to date there is only one fNKD described, the difficulty in defining fNKD lies not necessarily in their rarity, but in identifying the patients themselves as well as those function-imparing genes that are unique to NK cells. The first challenge arises as from a clinical standpoint, as the main assays used are generally a simple functional test of cytotoxicity and singular NK cell count. In fNKD cytotoxic function will be reduced and the count will be normal. Access to more detailed diagnostics to screen for specific NK cell receptors or other functional proteins are not yet clinically available. As for specificity, while there are a number of PID and other conditions that include impaired effector cell function, the commonality of signaling for secretion between NK cells, T cells and macrophages and dendritic cells generally makes these more broadly affected. These are valuable for defining how NK cells work, and patients may suffer from having aberrations of NK cell function, but they leave questions as to whether there are NK cell-specific components of common functional pathways. Better understanding of the molecular signaling for cytotoxicity and rigorous comparison of this process across multiple immune effector subtypes in concert with ongoing studies of patients with suggestive clinical

histories will no doubt lead to the discovery of other uniquely NK cell functional deficiencies.

Classical NKD (cNKD)

The majority of genetic explanations for NKD that have been published in the peer-reviewed literature (5 to date) are classical NKD, which can also be referred to as developmental NKD. These are defined by impaired NK cell development, which can range from a complete absence of NK cells in peripheral blood to a stable, dysregulated subset distribution most frequently reflected by a low overall number of peripheral blood NK cells (Figure 1). As the primary subsets in peripheral blood, $CD56^{bright}$ and $CD56^{dim}$, also represent stages of NK cell development, the loss of these specific subsets as a result of NKD are informative about the requirements for NK cell development and homeostasis.

GATA2

Perhaps the best-known case of NKD was that of a teenage girl, first described in 1989, who had extreme susceptibility to herpesvirus infections⁸⁷. Beginning at the age of 13, she had recurrent and severe infections with CMV, VZV (including varicella pneumonia) and HSV. Given the described link between NK cells and control of herpesviral infection^{102–104}, NK cell functional testing was performed and revealed that the patient had essentially absent NK cells, accompanied by severely impaired NK cell function⁸⁷. T cell numbers and function were mostly normal, underscoring the observation that human NK cells seemed particularly important for the control of herpesviral infections; an observation in part based upon earlier experience with CMV disease post-transplantation where CTL functions were intact but NK cell cytotoxicity was impaired 94 . The genetic origin of this patient's disease was subsequently found to be a particular heterozygous GATA2 mutation, and this finding was reported with a cohort of other GATA2-deficient patients who had a range of frequencies of NK cells within peripheral blood¹⁰⁵. This included many patients with $\langle 1\% \text{ NK} \rangle$ cells within the lymphocyte gate, as well as those who had higher frequencies of NK cells; however, it should be noted that even patients with NK cell frequencies that fell within normal range had reduced NK cell function as well as aberrant NK cell subsets 105 .

A consistent feature of GATA2-deficient NK cell populations is the decreased relative frequency of the CD56bright subset^{105–108}. The aberration in subset distribution has been identified both in patient peripheral blood and in NK cell subsets that have been differentiated from patient CD34⁺ hematopoietic stem cells in vitro. This is surprising, given that the CD56bright cells are thought to be precursors of the CD56dim subset. The mechanism of this relationship is still unknown however, and it is unclear how a $CD56^{bright} NK$ cell may undergo differentiation to a CD56^{dim} cell, both in terms of regulation of CD56 itself and also the host of receptors and functions associated with this transition. The role that GATA2 plays in NK cell homeostasis can be explained by the finding that the remaining CD56dim NK cells in GATA2-deficient patients have an adaptive phenotype identified by downregulation of SYK, EAT2, PLZF1 and/or FceGR $\gamma^{47,48}$, suggesting that these are longerlived and that conventional NK cells have been lost from circulation due to attrition of stem cell precursors from the bone marrow108. In addition, there may be effects on NK cell

trafficking, given the loss of CXCR4 expression on NK cells from GATA2 deficient patients¹⁰⁷. These findings highlight compelling biology that remains to be defined about the relationship between stem cell precursors, viral infection and NK cell differentiation and homeostasis. Another puzzling feature of GATA2 deficiency is the clinical heterogeneity found even between individuals with disease, even amongst those having the same genetic lesion⁹⁵. While some patients have seemingly isolated NKD, others have monocytopenia, B cell deficiency, dendritic cell deficiency, or Emberger's disease. This suggests that there are either environmental events that shape the nature of disease progression, or other background genetics that contribute. Regardless, 82% of patients experienced major infections, and of these, 70% are herpesviral infections that are commonly one of the earliest clinical features of disease⁹⁵. There additionally is a correlation between decreasing NK cell numbers in the periphery and increasing complications of disease, and asymptomatic carriers of GATA2 mutations are often CMV seronegative and have higher frequencies of NK cells in peripheral blood^{95,108}. Taken together, these observations suggest that viral infection in the face of impaired NK cell homeostasis and function contributes to NK cell attrition and progression of disease. Better delineating the role that viral infection may play in shaping both the NK and stem cell populations will be important for improved therapeutic interventions, given the impaired survival time of patients following the onset of symptoms⁹⁵. Further studies are necessary to better understand the natural history of this complex disease and where NKD fits into the spectrum of clinical possibilities that derive from aberrations of this singular transcription factor.

CMG helicase mutations: MCM4 and GINS1

Discovery of the Cell Division Cycle (CDC) 45-Mini Chromosome Maintenance (MCM)- Go-Ichi-Ni-San (GINS) complex (CMG) helicase mutations as a cause of NKD arose out of the study of cohorts of patients with isolated NK cell deficiency^{83,84,109,110}. The first of these was a population of endogamous Irish travelers with unusual susceptibility to EBV, CMV, HSV and VZV infections $83,110$. In addition, these patients had extra-immune features, including short stature, adrenal insufficiency and microcephaly. While their T cell phenotype and function were relatively unaffected, these patients had stable and significant decreases in NK cell numbers in peripheral blood. In addition, they had stable relative overrepresentation of the CD56^{bright} subset within peripheral blood as a result of reduced numbers of $CD56^{dim} NK cells^{89,111}$. Whole exome sequencing identified homozygous mutations in MCM4, a component of the CMG complex that comprises the eukaryotic DNA helicase^{89,111}.

The CMG complex is comprised of CDC45, MCM2–7, MCM10 and GINS1–4, which interact with double- and single- stranded DNA to promote DNA replication. During licensing of origins of replication, MCM2–7 hexamers are loaded onto double-stranded DNA. Initiation of DNA synthesis ('firing') requires helicase co-factors CDC45 and GINS, and firing is followed by DNA synthesis and replication elongation throughout the S phase of cell cycle. MCM10 is recruited following formation of the pre-recognition complex, and is thought to play a critical role in assembly and activation of the helicase¹¹². Stalling of replication forks or activation of the checkpoint response leads to induction of DNA damage response (DDR) pathways if the replication fork cannot be restarted. Induction of the DDR

as a result of stalled replication forks or single- or double-stranded breaks is associated with increased expression and phosphorylation of ATM, CHK1/2, and p53, and phosphorylation of the histone γH2AX. Given the ubiquitous role that these proteins play in replication and genomic integrity, complete deletion of CMG helicase complex members is not supportive of life; however, partial deletion or loss of function leads to DNA damage marked by the presence of γ H2AX foci, and impaired cell cycle progression^{113,114}.

Patient mutations lead to MCM4 deficiency through the introduction of a premature termination codon and 2 new translation initiation codons downstream $89,111$. While expression of other MCM2–7 complex members is unaffected, progression through cell cycle is impaired as demonstrated by the decreased frequency of patient-derived SV40 transformed fibroblasts in G2/M phase of cell cycle relative to control cells⁸⁹. This is accompanied by genomic instability and increased chromosome breakage in patient SV40 fibroblasts. In addition to the previously noted decreased frequency of NK cells in peripheral blood and over-representation of the CD56^{bright} subset, NK cell proliferation in response to cytokine stimulation was impaired, and increased apoptosis specifically of the CD56^{bright} subset was observed 89 . This careful analysis of selective NK cell deficiency in these patients suggested that there was a unique role for helicase function in the generation and/or homeostasis of the CD56^{dim} NK cell subset in particular. Despite the fact that this discovery was made by two groups simultaneously, it was hard to conceive a role for the CMG complex that would be specific to NK cells.

The further study of additional patients with NKD put further emphasis upon the CMG complex mandating further understanding of how NK cells may be specifically intolerant to mutational pressure on the complex. In particular, there was an additional cohort of individuals with susceptibility to CMV infections, accompanied by a similar NK cell phenotype84,109. One of the families described represented one of the earliest reports of inherited NKD 84 . These patients also had neutropenia, and mild T cell lymphopenia, but otherwise had a phenotype seemingly restricted to NK cells. Like the MCM4 deficient patients, they also had some extra-immune manifestations including short stature and dysmorphic features. Whole exome sequencing identified mutations in GINS1, leading to decreased protein expression of GINS1 and other GINS complex members⁹¹. As with MCM4 mutations, biochemical analysis demonstrated impaired initiation of DNA replication in patient fibroblasts, and cells containing damaging GINS1 variants had cell cycle arrest and induction of DNA damage repair pathways measured by γH2AX staining.

In addition to NK cell deficiency, individuals with *GINS1* mutations had stable neutropenia. This observation is noteworthy given the previously described link between neutrophils and NK cell also derived from the study of patients with PID¹¹⁵. Patients with severe congenital neutropenia due to mutations in ELANE, or unidentified variants, were shown to have decreased NK cell number and function. Notably, neutropenic patients had reduced numbers of CD56dim NK cells, a phenotype very similar to that of MCM4- and GINS1-deficient patients. Through the study of patients with autoimmune neutropenia, who lack only peripheral neutrophils, this phenotype was shown to be at least partially dependent on events in the periphery¹¹⁵. While the NK cell defect in GINS1 patients is intrinsic, there remains an interesting and poorly understood link between neutropenia and NK cell subsets. In addition,

some individuals with GINS1 mutations had decreased frequency of circulating ILC subsets, demonstrating another poorly understood but exciting insight that can be derived from these patients. In aggregate these two CMG-related NKDs underscore a critical role for the complex in aspects of NK cell development, terminal maturation, and/or subset survival. Additional biological investigation as well as hopeful discoveries of new etiologies through ongoing NKD patient studies will give further clarity to the seemingly special relationship between the CMG complex and NK cells.

IRF8

An additional classic case of NK cell deficiency was first published in the Journal of Pediatrics in 1982 and described a family whose children were highly susceptible to EBV infection88. In a family of 4 children, 1 died as a result of severe EBV, a second had lifethreatening prolonged illness and died several years later, and a third was hospitalized extensively and has continued ongoing sequelae. The fourth child was unaffected. Whole exome sequencing and analysis identified biallelic variants in the IRF association domain (IAD) of IRF8 that segregated appropriately within the family and were novel and damaging92. Additional families were identified, including a child with homozygous variants in IRF8 and disseminated BCG disease, and a second child with compound heterozygous mutations and recurrent respiratory infections^{116,117}.

Similarly to MCM4 and GINS1 deficiency, the distinguishing feature of NK cell subsets from patients with biallelic IRF8 deficiency is over-representation of the CD56bright subset, with concomitant decreased frequency of the CD56 dim subset dim ^{92,116,117}. This particular phenotype is suggestive of an arrest in terminal NK cell maturation, particularly given that the NK cells found in peripheral blood in these patients do not merely have increased surface density of CD56, but are seemingly prototypical CD56^{bright} NK cells when all receptors associated with the subset are taken into consideration⁹². NK cell cytolytic function in these patients is reduced, however IFNγ production is retained, further supporting the observation that the CD56^{bright} NK cells found in these patients are bona fide and functional. Importantly, family members and unrelated individuals carrying a single IRF8 variant did not have any NK cell abnormalities. This is relevant as a mild dendritic cell abnormality associated with a decrease in CD1c+ subsets has been identified in some patients harboring single IRF8 alleles¹¹⁶. These patients have been reported as having susceptibility to mycobacterial infections¹¹⁶. Interestingly, the patient with biallelic IRF8 deficiency from the original NKD family, or his heterozygous children, did not have overt deficiency of these dendritic cell subsets. This might be attributed to the fact that both of the IRF8 mutations in this family were missense mutations that affect the IRF association domain of the protein, which might be preferentially impactful in NK cell development.

IRF transcription factors are master regulators of the immune response, and IRF8 can mediate co-activation of other transcription factors, including PU.1 and Spi^{118–122}. Despite the previously demonstrated role for PU.1 in NK cell lineage commitment, however, the NK cell phenotype in IRF8-deficient patients is not as a result of impaired PU.1 transactivation⁹². This suggests that IRF8 has novel, NK cell-specific regulatory functions.

Given the phenotype of impaired terminal maturation identified in biallelic IRF8 deficiency, it seems that IRF8 plays a crucial role specifically in the maturation of CD56dim NK cells from precursors. This had been recapitulated in CD34⁺ hematopoietic cells from patients with biallelic IRF8 deficiency that had been differentiated in vitro to NK cells as it was difficult to expand terminally matured cells⁹². Gene expression analyses of NK cells from IRF8-deficient patients gave clues as to mechanism and identified deregulation of transcription factors previously shown to be important for NK cell development, including PRDM1 and $EABP4^{22}$. Therefore, it seems that the role of IRF8 could be to control a transcriptional program that regulates NK cell terminal maturation. This hypothesis was strengthened by the study of $Irf8^{-/-}$ mice, who were found to have decreased frequency of terminally mature NK cells in peripheral blood and spleen⁹². Further study of these mice showed that they are particularly susceptible to LCMV infection and malignancy, and that generation of adaptive NK cells in these mice following infection is impaired¹²³. In both murine¹²³ and human⁹² NK cells, IRF8 is up-regulated in response to cytokine signaling, and in mice this leads to Stat4-mediated binding of Irf8 to the cell cycle regulator Zbtb32. In this way, viral infection or expansion in response to tumor challenge leads to Irf8-mediated NK cell expansion and generation of the NK cell memory $pool^{123}$.

Taken together, these data suggest that at least part of the mechanism of NK cell deficiency in patients with IRF8 deficiency may be impaired NK cell expansion in response to viral challenge. This would link the phenotype found in these individuals with the very similar NK cell phenotype in patients with CMG complex mutations, which have defined impairment in NK cell proliferation and cell cycle progression. Further study of the relationship between NK cell proliferation, antiviral response and phenotype as informed by patients with NKD will be highly informative in understanding the relationship between these NK cell phenotypes and their manifestations in human health and disease.

RTEL1

Biallelic mutations in regulator of telomerase elongation 1 (RTEL1) lead to Hoyeraal-Hreidersson syndrome, which includes dyskeratosis congenita, bone marrow failure, cerebellar hypoplasia, intrauterine growth retardation, developmental delay and short telomeres^{124–126}. Immunodeficiency is also frequently present, including progressive lymphopenia affecting T, B and NK cell subsets 124 . However, in at least one case, homozygous RTEL1 mutations led to isolated NKD in a young girl with fatal varicella infection^{82,90}. While NK cell subsets were not measured, total NK cell numbers were significantly decreased and NK cell cytotoxic function was impaired 82 . Other lymphocyte subsets were unaffected, and no extra-immune features were noted. It is unclear why NK cells were the sole affected population in this case, particularly as the mutation that was identified in the index case is a founder mutation within the Ashkenazi Jewish population⁹⁰. This may be an additional manifestation of the sensitivity of NK cells to genetic lesions that affect proliferation and DNA replication, as seen in helicase mutations. It may also reflect a tendency, as with GATA2 deficiency, for disorders of bone marrow failure to selectively progress to NKD in advance of broader immune abnormalities and cellular deficiencies.

Primary immune deficiencies with NK cell features

In addition to NKD, in which NK cell aberration is the primary, majority or only clinically relevant immune manifestation, there are other monogenic causes of PID that have an effect on NK cell phenotype or function (Table 2). While less easily defined, there are aspects of these diseases that can be attributed to impaired NK cell function, given what

we know about the function of NK cells in host defense based upon NKD and experimental studies. There are more than 350 PIDs now recognized, and of these more than 50 include an effect on NK cell phenotype and function. Some of these, such as hemophagocytic lymphohistiocytosis (HLH), are quite well defined and understood, while others are still emerging and yielding important insight into the regulation of human NK function. These include mutations in the STAT and PI3K signaling pathways, as well as many cytoskeletal regulators. While full consideration of all of these is beyond the scope of this review, it is worthwhile to consider a few more recent advances beyond what has been already reviewed elsewhere78,127,128 to help understand what can be learned about NK cells from PID with NK cell features.

Familial hemophagocytic lymphohistiocytosis

One of the most easily understood causes of impaired NK cell function can be found in cases of HLH due to mutations in lytic granule components or the secretory machinery. These affect other lytic and secretory cells as well, namely $CD8⁺$ T cells and macrophage/DCs as well as certain biologically analogous non-immune cells, such as melanocytes which are responsible for pigmentation. As a result, while NK cell killing is impaired, this is generally overshadowed clinically by massive cytokine over-production due to impaired control of the immune response resulting from an inability of cytotoxic cells to eliminate sources of infection, as well as albinism for certain types of mutations. These particular lesions still drive a greater understanding of NK cell function through the mechanistic study of the requirements for lytic granule secretion and function, and despite decades of study, new insights are still being derived. In particular, several new publications have been focused on the biology of LYST, which when aberrant causes Chediak-Higashi syndrome. These have demonstrated differences in the severity of disease associated with mutations that affect different domains of $LYST^{144}$. While lytic granules from patients with Chediak-Higashi syndrome have previously been shown to be unusually large^{193,194}, this was recently advanced further for granules in NK cells from patients with LYST mutations^{144,195}. New studies have demonstrated that the size of lytic granules can be a physical barrier to their directed secretion, as they are unable to transverse the cortical actin network located below the NK cell synaptic embrane¹⁹⁵. This network has been shown to regulate granule exocytosis¹⁹⁶ and the fact that large granules cannot find egress is further evidence to support the actin meshwork as a checkpoint that lytic granules must navigate and pass. These studies complement those that show that mutations in the actin regulating protein Coronin 1A that cause primary immune deficiency lead to impaired NK cell function due to increased density of actin at the NK cell lytic synapse (discussed further below)¹⁷⁰.

A second emerging theme in the cell biology of HLH is the recent description of patients with disease-causing RAB27A mutations who have normal skin and hair

pigmentation^{197–199}. To date, there have been three cohorts of patients described in which this is the case. In the first two, novel mutations lead to selective disruption of the interaction between RAB27A and Munc13–4, but not melanophilin. This leads to impaired immune cell secretion, but normal melanocyte function^{197,198}. In the third cohort of 5 patients from 5 families, whole genome sequencing identified distinct transcriptional start sites differentially utilized by lymphocytes and melanocytes¹⁹⁹. Mutations in the start site used primarily by lymphocytes leads to HLH, however melanocyte function is retained through the utilization of the alternate transcriptional start site. Both of these led to complex and difficult to diagnose cases, particularly the second in which whole exome sequencing failed to uncover the cause of disease and the tell-tale hypopigmentation associated with Griscelli's Syndrome Type 2 was not present.

Actin regulators

Wiskott-Aldrich syndrome (WAS) was amongst the earliest primary immune deficiencies to be described, and is classically described as a triad of eczema, thrombocytopenia and infections200,201. Early cell biological studies identified the role for WASp in cytoskeletal regulation however, and these led the way for the description of the requirement for actin remodeling in NK cell immune synapse formation and function¹⁶⁹. Impaired NK cell function in WAS patients leads to unusual susceptibility to herpesviral infections, with clinically relevant infections in approximately one third of WAS patients 202 . The functional defect in actin remodeling at the NK cell synapse can be corrected by addition of IL-2, which activates alternative actin remodeling pathways through WAVE2^{203,204}. Further mechanistic studies have identified the importance of actin nucleation and remodeling throughout immune synapse formation and function, including mediating adhesion to target cells, sustaining signaling through receptor dynamics, and positioning and extruding lytic granules.

Given this central importance of actin remodeling, it is not surprising that as new PID are identified that affect actin regulatory proteins, many of these will likely have a component of NK cell dysfunction as well. WIPF1 and DOCK8 mutations lead to PID having also associated with an NK cell abnormality^{171,173}. As with WASp, each of these are required for the generation of branched actin networks, and mutations in these proteins impair the remodeling of actin at the immunological synapse. This prevents multiple critical steps of cytotoxic function, including receptor clustering leading to the amplification of signal that is required for MTOC and granule polarization. In addition, given the requirement for actin remodeling in cell migration, many of the accompanying symptoms in these patients can be attributed to defects in lymphocyte migration and trafficking. Interestingly, while defects in WASp lead to an overall reduction in F-actin content in NK cells^{169,204}, DOCK8 aberrations do not and instead only prevent actin accumulation and synaptic reorganization¹⁷¹. This is likely due to DOCK8 serving not as an actin nucleator but in a regulator of WASp activation and the induction of its function at the lytic synapse. DOCK2 mutations similarly lead to impaired NK cell degranulation, IFNγ production and activation-induced actin polymerization, with impaired activation of key signaling molecules likely reflecting a requirement for actin polymerization in receptor clustering and signal transduction 174 .

Additional novel insight into signaling for NK cell cytotoxicity was revealed by the study of a single patient with biallelic $RASGRP1$ mutations¹⁷⁵. RASGRP1 is a key regulator of RAS signaling, and the patient had profound T and B cell abnormalities that reflect a requirement for RAS signaling in T cell development, survival and proliferation. In addition, NK cell lytic function in patient cells was impaired despite normal NK cell numbers and expression of perforin and granzymes. Formation of the NK cell lytic synapse was marked by impaired actin accumulation, consistent with the finding that activation signaling, including $Erk1/2$ phosphorylation, was decreased in RASGRP1-deficient T cells. An unexpected finding was the observation of impaired lytic granule convergence to the MTOC, which was subsequently explained by the identification of a previously unknown interaction between RASGRP1 and the minus-ended motor dynein, which mediates trafficking of lytic granules along microtubules to the MTOC⁷³. Together, these findings demonstrate a previously unknown role for RASGRP1 in orchestrating both lytic granule and cytoskeletal dynamics at the NK cell lytic synapse.

Coronin 1A (also known as p57, clabp and TACO), is a WD-repeat domain containing protein that binds to both Arp2 and cofilin, as well as actin directly, and promotes actin turnover. Patients with biallelic mutations in Coronin 1A have a combined immune deficiency, with marked T cell lymphopenia despite the presence of a thymus, variable B cell lymphopenia, and an impaired T cell proliferative response to mitogens²⁰⁵⁻²⁰⁷. NK cell numbers are sometimes reduced, however NK cells are present with a seemingly normal phenotype. Patients frequently have unusually severe EBV infections and EBV-driven lymphomas, which can likely be attributed to the demonstrated functional impairment of NK cells in peripheral blood¹⁷⁰. In contrast with WASp, Arp2 and other actin nucleation promoting factors, however, immunological synapse formation occurs in NK cells from patients with Coronin 1A mutations, and MTOC and granule polarization to the synapse are unaffected¹⁷⁰. Instead, decreased NK cell function can be attributed to impaired translocation of lytic granules across the cortical actin network to enable membrane fusion and exocytosis. Reduced actin remodeling in the absence of Coronin 1A function leads to an actin barrier that physically impedes the transit of granules through minimally sized clearances in the actin network. As opposed to mutations that lead to gross actin defects, these changes are only detectable on the nanoscale. The increased optical resolution afforded by super-resolution microscopy will likely lead to the identification of similar defects that result in fine changes in molecular tuning that translate to significant biological effects 208 .

Recent developments in combined immune deficiencies having phenotypic and functional NK cell impairments

While the majority of PID that are associated with an NK cell abnormality include both functional and phenotypic aberrations a number of relevant developments have been reported since recent reviews on NK cells in PID have been published^{78,127,128}. Several of these are reviewed here in order to be able to contextualize the derivative biology in light of advances in the NK cell field. Both STAT deficiencies and mutations in the PI3K signaling pathway lead to combined immune deficiencies, with multi-lineage impairments reflective of the conserved requirements for these pathways in immune cell function. In each case there is also an NK cell component that contributes to clinical phenotype in many patients.

While an in-depth review of these diseases is beyond our current scope, it is again worth considering how these contribute to an NK cell phenotype that is abnormal in both phenotype and function.

Gain-of-function mutations in the PI3K signaling pathway

The PI3K signaling pathway is a ubiquitous and important pathway in lymphocyte function, and the recent discovery of patients with gain-of-function, and far less frequently, loss-offunction mutations in this pathway has led to increased understanding of the respective contribution of PI3K signaling to NK cell development, homeostasis and function (reviewed in^{128}). Gain-of-function mutations in *PIK3CD* lead to p110 delta activating mutation causing senescent T cells, lymphadenopathy, and immunodeficiency (PASLI) disease, also referred to as activated PI3K delta syndrome type 1 (APDS1), whereas those in PIK3R1 lead to PASLI-R1, or APDS $2^{209-212}$. In each case, mutations lead to hyperactivation through the S6, mTOR and AKT pathways through constitutive activation of the PI3K110δ signaling complex at the cell membrane.

Patients with PASLI disease have increased frequencies of senescent T cells in the periphery, as well as increased transitional B cells, and unusual susceptibility to EBV and CMV infections are frequently reported $209-211$. NK cell numbers are low, with a relative increase in the frequency of the CD56^{bright} subset; accordingly, overall expression of CD16 is low in the total NK cell population, as is expression of perform¹⁶². In addition to these phenotypic features, NK cell function is impaired due to defective immune synapse formation and polarization of the NK cell lytic machinery towards target cells.

Treatment of patients with rapamycin or new small molecule inhibitors specifically targeting PI3K110δ leads to clinical improvement, and in the case of rapamycin this is accompanied by partial restoration of NK cell function but no detectable change in NK cell phenotype^{162,213}. It is interesting to speculate as to the source of cytolytic dysfunction in the NK cells in these patients, particularly in the context of their phenotype. While some downstream effectors of the PI3K pathway are hyperactivated in patient cells, such as AKT, the phosphorylation of ERK in NK cells is significantly decreased when compared to healthy donors¹⁶². This suggests hyporesponsiveness of NK cells, which is also reflected by their impaired function. The modulation of this responsiveness by the administration of rapamycin suggests that it originates with the hyperactive mTOR signal. Given the known tunability of NK cell function in response to signaling (for example in the case of licensing), and the potential for damage if NK cell function itself were to become hyperactivated, it is not surprising that the responsive to excess receptor-mediated activation is to shut down the cytotoxic response.

The NK cell phenotype in these patients is less easily explained. While in some senses the phenotype seems to indicate that the cells are immature, the increase in CD56^{bright} cells is distinct from that seen in patients with MCM4, GINS1 or IRF8 mutations. The selective loss of some receptors associated with the CD56^{dim} subset, even when combined with high expression of CD56, is less indicative of a block in terminal maturation and more suggestive of deregulation of specific receptors in response to dysregulated activation signaling. This is an important point to remember when considering primary immunodeficiencies that appear

to interfere with NK cell development, as it is relevant to determine whether the defect truly impacts development or whether there is instead a deregulation of the expression of receptors associated with maturation.

JAK/STAT signaling pathway

STAT molecules have a long-appreciated central role in NK cell development and function, which includes the role of STAT5 as the critical signaling intermediate downstream of IL-15 receptor mediated signaling²¹⁴. The requirement for IL-15 signaling in human NK cell development is defined by patients with mutations in the common gamma chain (IL2R γ) that interrupt signaling downstream of the IL-15 receptor and lead to T[−]B[−]NK[−] SCID^{129,215}. Underscoring the importance of IL-15 signaling specifically, are patients with particular mutations in IL2R γ that predominantly affect IL-15 signaling as opposed to those that affect both IL-2- and IL-15-mediated signaling. In the first case, NK cells are absent, however if IL-15-mediated signaling is retained, NK cells are present despite impaired IL-2-mediated signaling 216 .

Perhaps unsurprisingly, patients with STAT5B mutations have profoundly impaired NK cell development, with few NK cells in the periphery and accompanying poor NK cell function¹³³. NK cell phenotype and function are also affected in patients with gain-offunction mutations in STAT1, or loss-of-function mutations in STAT3^{131,132}. In the case of STAT3 mutations, which lead to hyper-IgE syndrome, poor NK cell function can be attributed to decreased expression of the activating receptor NKG2D on peripheral blood NK cells132. In addition, deregulated STAT signaling may impair NK cell function or homeostasis through downstream signaling, as is the case in NK cells from patients with $STAT1-GOF$ mutations¹³¹. NK cells from these patients are poorly functional, and additionally have altered phenotype and homeostasis. Similarly to those seen in PASLI disease patients, these cells seem to be immature, with an increase in the CD56^{bright} subset and decreased expression of CD16, perforin and $KIR¹³¹$. However, again it is unclear whether this phenotype represents a true halt in terminal maturation, or whether this is more reflective of impaired homeostasis or regulation of specific receptors. The complexity of STAT signaling makes it difficult to isolate non-redundant roles for individual STATs in NK cell development, however the identification of rare patients with STAT mutations provides an invaluable opportunity to better understand this critical signaling axis and its role specifically in NK cell maturation and function.

Finally, JAK1 and JAK3 are important adaptors that mediate STAT signaling, and treatment of STAT1-GOF patients with the JAK inhibitor ruxolitinib improves NK cell function and phenotype¹³¹. Patients with *JAK3* mutations generally present with T^{−B+}NK[−] SCID, demonstrating that JAK3 function is non-redundant for IL-15 mediated signaling supporting NK cell development¹³⁰. A single patient identified with biallelic *JAK1* mutations did not have significant alterations in NK cell numbers, however NK cell subsets and function were not specifically tested²¹⁷.

Other informative PIDs affecting NK cells

There are a number of other combined immune deficiencies with more recently reported effects on NK cell phenotype and function. These include molecules that promote lymphocyte effector function in both T cells and NK cells, such as SAP, mutations in which cause XLP and HLH. In patients with XMEN syndrome, caused by mutations in MAGT1, NK cells are poorly functional in part due to decreased expression of NKG2D^{176,218}. There are others that are less well understood that are not NK cell intrinsic but affect the cellular microenvironment. This includes the molecular basis for NK cell deficiency in Comel-Netherton syndrome, in which SPINK5 mutations lead to loss of LEKTI expression in epithelial cells and accompanying NK cell dysfunction¹⁵⁷. There are also genes that control cellular metabolism that affect NK cell development at early stages, such as MTHFD1, ADA and $AK2$ mutations^{129,154,155}. These likely affect lineage commitment in bone marrow or peripheral tissue, however the mechanism by which they exert function is poorly understood. Similarly, homozygous mutations in IKZF1, which encodes the master transcriptional regulator Ikaros, leads to profound multi-lineage cytopenias that in some patients includes reduced NK cell numbers¹⁴⁸; heterozygous IKZF1 mutations also lead to reduced frequency of circulating NK cells in some patients¹⁴⁹. Even though an abnormality of NK cells in these conditions represents a minor immunological aberration, the study of NK cells in these conditions can yield often unexpected biological insights into NK cell biology.

Emerging themes in NK cell deficiency

Helicase mutations, proliferation and cell cycle

Given the rarity of NKD, there is an increasingly strong signal around the theme of genes associated with cell cycle and proliferation in NKD. Mutations in MCM4 and GINS1 all lead to a strikingly similar NK cell phenotype with seemingly little involvement of other lymphocyte lineages^{89,91,111}. While less well described, mutations in other DNA replication and repair enzymes also lead to NK cell aberrations, including RTEL1, ZBTB24, and POLE2^{82,90,146,219}.

The obvious question, given the clear signal around this pathway in human NK cells and the ubiquitous requirement for these proteins, is why would these mutations in the helicase complex selectively affect NK cell maturation? While this is still not well understood, there are several potential explanations. The first consideration is that each mutation leads to a fairly narrow window of insufficiency, as mouse models demonstrate that complete deletion of CMG complex members are embryonic lethal^{113,114}. Therefore, the mutations in question have the effect of impairing function without completely abrogating it. It is possible that other, more damaging mutations may have more severe effects but have not been identified because they lead to more systemic phenotypes. Similarly, if T cell proliferation was seriously affected, one could predict a SCID-like phenotype, which may also be less supportive of life. The rare incidence of these patients, combined with an insufficient phenotype that is permissive of early life yet leads to manifestation of disease in later childhood or adolescence, may enable their detection.

The question still remains of why, even in this case, NK cells are primarily or solely affected. One hypothesis is that there is an NK-specific transcriptional program that is promoted by the CMG complex, DNA damage repair, or cell cycle. MCM5 binds directly to the STAT1b promoter to promote transcription of interferon response genes²²⁰, and it is conceivable that a similar mechanism may be required for NK cell maturation. In both T and B cells, there is a link between cell cycle and gene transcription, suggesting that impaired cell cycle may directly impact transcriptional programs required for NK cell terminal maturation^{221,222}. A more straightforward explanation, however, is that other cell types have better protection from CMG complex insufficiency. Accessibility to origins of replication is a critical requirement for CMG complex function, and as such complex members are present far in excess of what is required to minimally enable replication²²³. Given the clonal expansion that is a key feature of T and B cell lymphocyte function, it is possible that these cells have a baseline higher expression of CMG complex components to accommodate these needs, or have developed better failsafe mechanisms to protect this critical aspect of their activation and homeostasis.

In addition to CMG complex mutations, there are other signs that pressure on this signaling pathway may lead to impaired NK cell maturation and function in the context of other combined immune deficiencies. This includes Hoyeraal-Hreidarsson syndrome caused by mutations in *RTEL1*, which in at least in one patient has led to $cNKD^{82,90}$. In addition, there are reports of other proteins associated with cell cycle, DNA damage repair or homologous recombination leading to NK cell phenotypes. Mutations in ZBTB24, which cause immunodeficiency-centromeric instability-facial anomalies syndrome, also can cause progressive loss of NK cell subsets and function in peripheral blood²¹⁹. More acutely, mutations in FANCA-G and DKC1 lead to immune deficiency that can include low frequencies of NK cells, although this is likely due to impaired generation of precursors in bone marrow152,153. Finally, as discussed above, there may be a component of the NKD found in IRF8-deficient patients that is a feature of impaired NK cell expansion, a phenotype that could help explain the striking phenotypic similarities between the NK cells in these patients and those with CMG helicase mutations.

This leads to the question of the significance of over-representation of CD56bright NK cells in peripheral blood. The simplest explanation may be that there is a block in terminal maturation, suggesting that the transition from CD56^{bright} to CD56^{dim} is not occurring, and that instead the CD56^{bright} NK cells are exiting, presumably from tissue to peripheral blood, without undergoing terminal maturation. An alternative explanation is that there is selective survival of the CD56^{bright} subset, and that CD56^{dim} NK cells are undergoing increased rates of apoptosis. This could reflect a requirement for a 'proliferative burst' that accompanies the terminal maturation step, and modeling of turnover rates in peripheral blood supports this hypothesis²²⁴.

A similar NK cell phenotype in peripheral blood, in the absence of impaired function, is seen in patients with SCID due to hypomorphic RAG mutations, or mutations in DCLRE1C $(Artemis)^{225}$. Specifically, in these patients approximately 40% of their circulating NK cells were the CD56^{bright} phenotype²²⁵. While lytic function against tumor targets has not directly been tested, the NK cells from these patients have increased degranulation in response to co-

culture with K562 tumor targets²²⁵. This phenotype is supported by studies in mice that demonstrate that up to 30% of mature NK cells in the periphery have expressed RAG at some point, and that RAG expression is associated with longer-lived NK cells with a mature phenotype226. Murine RAG-deficient NK cells, while highly functional, have increased rates of apoptosis and fail to expand or persist following viral infection. This is accompanied by increased rates of DNA double-stranded breaks and increased phosphorylation of γ H2AX, thereby linking RAG-mediated DNA damage repair directly to this phenotype. Together, these data suggest that expression of RAG is associated with increased cellular fitness that is required to sustain mature or developing NK cells through periods of proliferation or cellular stress. Additional evidence can be gleaned from linkage analysis studies that identified p53 as a master regulator of NK cell terminal maturation in mice²²⁷. This study sheds light on the puzzling observation that NK cells from non-obese diabetic (NOD) mice were poorly functional and demonstrated that this decreased functionality was associated with decreased frequencies of terminally mature NK cells. While the mechanism of this impairment was not fully defined, this provides another compelling link between cell cycle, proliferation and NK cell terminal maturation.

Together, these data suggest that, in both mice and humans, there is a critical link between NK cell development, proliferation, and functional maturation. Given the requirement for IRF8-driven NK cell expansion, mediated by the cell cycle regulator Zbtb32, in the generation of adaptive NK cells in mice¹²³ it is plausible to think that this expansion is the feature that is 'broken' in NK cells from patients with CMG complex or biallelic IRF8 mutations. It remains to be seen how this so dramatically affects the function of remaining NK cells in these patients, however, particularly given the presumed retained function of the NK cells in patients with hypomorphic RAG mutations²²⁵.

Finally, there is evidence to suggest that the loss of NK cells in these patients may be a result of reduced stem cell fitness and functionality. While T and B cell precursor populations are largely set early in life, NK cells and other innate cells require continuous regeneration from bone marrow precursors. Repeated infection and chronic interferon signaling can drive premature terminal differentiation and subsequent depletion of the hematopoietic stem cell pool228,229. In addition, aging has a measurable effect on the generation of mature NK cells and their subsets, an observation that is due at least in part to changes in environmental and infectious exposures, but also likely reflects changes in the stem cell population²³⁰. A reduction in NK cell production and proliferation in elderly adults is interesting in the context of reduced MCM complex expression in aging stem cells and accompanying replication stress^{231–233}. In healthy adults, these changes are likely balanced by an increase in the population of longer-lived adaptive cells and increased diversity of NK cell receptors, in part due to exposure to viral antigens. However, it is likely that progressive changes in the HSC pool due to infection and inflammation may drive increasing NK cell deficiency in the periphery in patients with NKD. This could account for the delayed onset, in some cases well into adulthood, of NKD that could affect the stem cell subset, particularly GATA2 deficiency. It is also important to consider the natural history of exposure to herpesviral infections as a driver of NK cell subsets and activation/maturation²³⁴, particularly as many of these happen in adolescence and likely account for the timing of appearance of

symptoms, particularly in patients with IRF8 and CMG helicase mutations83,84,88,89,91,92,109,111 .

Given the emerging importance of NK cells as tools for immune therapy⁹⁹, and the demonstrated role that they play in the setting of transplant²³⁵, it will be critical to better understand the relationship between proliferation, transcription, cellular fitness, and NK cell maturation and function. The advantage of doing so will hopefully be the ability to make improvements to these cells as therapeutic vehicles.

Adaptive NK cells and NKD

The identification of a persistent adaptive NK cell population in GATA2-deficient patients¹⁰⁸ highlights the distinct longevity of these cells and suggests that there may be other cases whether the generation of adaptive cells is either impaired, or where the progressive loss of non-adaptive cells leads to their selective survival. Identifying an NKD with a specific deficit in adaptive or memory-like NK cell generation would be informative in understanding their role in control of viral infection and malignancy. In particular, other immune deficiencies with bone marrow failure and progressive loss of NK cell subsets, such as RTEL1 and Zbtb24 deficiencies, would be of interest. Given the recently described link between STAT4-mediated induction of IRF8 leading to Zbtb32-mediated cell proliferation and the generation of adaptive NK cells in mice¹²³, it would also be of interest to more closely examine the presence of adaptive NK cells in patients with helicase complex mutations. As clonal expansion is a prerequisite for generation of an adaptive immune response, it will be important to determine whether impaired proliferation has an impact on the generation of long-lived memory-like NK cells in these patients. It would also be invaluable to be able to probe the function and inherent value of these populations in the human system via expanded specific study of these disorders.

What can NKD and ILCD tell us about the requirements for NK and ILC development?

The decreased frequency of circulating ILC subsets in some patients with GINS1 deficiency was exciting as it was the first report of a common cause of NK and ILC deficiency⁹¹. Given that non-NK cell ILC subsets are predominantly found in tissue, the question of the relevance of circulating ILCs in peripheral blood had dogged the field for some time. However, the discovery of ILC precursors and mature subsets in circulation has made the measurement of ILCs in peripheral blood more common and informative⁶⁸. It will be important, as tools for ILC detection and classification continue to be developed, that we define normal ranges of ILC subsets and better understand how these are affected by age, infection, transplant and immunodeficiency. This will include, when possible, the cataloguing of these cells in tissues and the comprehensive study of their phenotype and ontology, an undertaking that has already been significantly advanced by single cell analyses²³⁶.

The relationship between NK cells and ILCs is plastic and the study of this relationship is a rapidly evolving field. The identity of common precursors, particularly in humans, has been difficult to definitively define and study. An NK- and ILC- restricted precursor, the common innate lymphoid precursor (CILP), has been identified in tissue and can be identified by

expression of $ROR\gamma T^{237}$. In addition, it is widely cited that ILC3 subset generation arises from a CD34⁺ ROR γ T⁺ precursor, and ILC3 are defined by ROR γ T expression^{3,238}. However, the presence of conventional NK cells in patients with immune deficiency as a result of mutations in the RORC gene encoding ROR γ and ROR γ T, suggests that RORC is not required for conventional human NK cell development^{68,239}. In addition, in two patients examined with biallelic mutations in RORC, ILC precursors were present in peripheral blood and gave rise to functional conventional NK cells, ILC1, and ILC2 subsets, as well as IL-13-, but not IL-17-producing, ILC3s⁶⁸. Given that *RORC* deficiency affects all IL-17 producing subsets, including Th17 cells, it is not an isolated ILCD. The pan-cytopenic impairment in IL-17 production accounts for the overwhelming susceptibility to candidiasis in these patients; in addition, decreased IFNγ production by $\alpha\beta$ and γδ T cell subsets leads to increased susceptibility to *Mycobacterium* infection²³⁹.

While transcription factors such as GATA3, EOMES and RORγT are used to define ILC subsets, ILCs are highly reliant on cytokine signaling for their development and homeostasis, and their primary function is cytokine production. As such, their phenotype and function can be changed in response to inflammatory conditions, and they are thought to play a role in the pathology of IBD, psoriasis and rheumatoid diseases. Within the context of primary immunodeficiency, the emerging understanding of diseases with interferon gene signatures includes an awareness of the effect that this has on ILC subsets. In patients with CVID, the expansion of an IFN γ^+ circulating ILC subset is found in patients with noninfectious complications, which frequently include autoimmune cytopenias, lymphoid hyperplasia and granulomatous disease 240 . Therefore, particularly as new diseases emerge with interferon signatures and existing conditions are better delineated, it will be important to place the ILC subsets in context of both immunity and pathology in these diseases.

In contrast to RORC-deficient patients, the reduced frequency of both conventional NK cells and ILCs, as well as MAIT and NKT cells, in some, but not all, GINS1-deficient patients suggests a common requirement for GINS1 in their development 91 . Should the requirement for CMG helicase components reflect a need for a proliferative burst of development by NK precursors, this suggests that generation or maturation of ILCs may require a similar stage. Alternatively, this common loss of subsets may indicate depletion or restriction of the stem cell pool. It is important to note that these patients developed lymph nodes, suggesting function of the lymphoid tissue inducer (LTi) ILC subset is intact. The neutrophil defect in GINS1 patients was found to be primarily manifested in the periphery, as opposed to at the bone marrow precursor level, suggesting that the overall defect may be a result of dysregulated balance between apoptosis and proliferation in the periphery⁹¹. Mouse models of the effect of reduced helicase or DNA damage pathway function, however, reveal skewing of multipotent progenitors at the earliest stages, suggesting there may be effects on lineage potential at the earliest stages of hematopoiesis²⁴¹. In addition, it is likely that these may become more damaging as the effects of aging and, potentially, inflammation change the function and kinetics of the stem cell population^{232,233}. It will be of value to determine the changes in gene expression that accompany NK and ILC precursors in patients with helicase mutations throughout development in comparison to healthy donors. Ultimately, a better understanding of the relationship between the requirements for stem cell fitness and function and NK cell generation, homeostasis and function will be important in defining the

mechanism of disease in these patients and the role that all innate lymphoid cells play in maintaining human health. This includes a better understanding of tissue resident NK cell and ILC subsets, and their origins and kinetics.

Conclusion

Our understanding of NK cell biology has undergone rapid expansion in recent years. The discovery of other ILC subsets, advances in our understanding of NK cell memory and the generation of adaptive NK cells, and advanced technologies such as single cell sequencing have provided new insights into the origins and functions of human NK cells. In addition, the extraordinary promise of NK cell-based immunotherapy and advances in understanding the role of NK cells in transplantation has helped to underscore their therapeutic relevance and potential. In parallel, the field of NKD has continued to evolve and support the findings made in these respective biological displines. The critical role that NK cells play in human health has been further defined by the discovery of new NKDs that continue to define and strengthen the clinical hallmarks associated with the disease. In other words, the common features between the common clinical presentations is increasingly clear to be the aberration in NK cells (Figure 2). In addition, we see new themes emerging, particularly around replication, cell cycle and replication stress. Finally, the effect of NKD on more recently described subsets, including adaptive NK cells and ILC, provides further nuanced insight into the biology of human innate immune cells. These are merging with exciting new themes within immunology, including immune cell metabolism and tissue-dependent immune cell regulation.

The field of primary immunodeficiency as a whole has also undergone tremendous changes in recent years, particularly powered by whole exome-based gene discovery. The explosion of novel genes has been followed by increased awareness that we are only beginning to scratch the surface of understanding the genetic and biological factors that contribute to infectious susceptibility. The days of monogenic discoveries are giving way to more complex analyses of the contribution of multiple genetic elements, non-coding genetic regulators and epigenetic modifiers. With evolving technology will come exciting new insights to drive deeper understanding and, ultimately, improved patient care.

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Figure 1. Human NK cell and ILC developmental subsets and NK cell/ILC deficiencies that affect them.

Key cell surface receptors that identify NK cell and ILC developmental subsets are shown. Monogenic causes of NKD or ILC deficiency are shown in red. This model of human NK cell development is adapted from^{59,237}, with circulating ILC subsets and precursors⁶⁸ shown as described in Cottineau et $al⁹¹$ to be affected in some patients with *GINS1* mutations. Lineage markers are CD3, CD4, CD5, CD14, CD16, CD94, CD123, CD34, CD303, CD19, FceR1a. **IL-17 producing subset only. ILCP, systemic innate lymphoid cell precursor⁶⁸. Dashed line indicates inferred relationship, solid line indicates experimentally defined relationships.

Figure 2. Herpesviral susceptibility is a unifying feature of human NK cell deficiencies.

Within the >350 primary immunodeficiencies registered by the International Union of Immunological Societies, an effect on NK cell function or phenotype has been noted in >50 of these (left). There are currently 6 published monogenic causes of human NK cell deficiency, in which disrupted NK cell development is the sole or predominant feature. While these may have other clinical features, the underlying clinical theme is that of viral susceptibility, particularly severe or refractory herpesviral infections (right). Despite the diverse functions of these genes, this shared susceptibility to viral infection, particularly by herpesviruses, underscores the common theme of NK cell deficiency amongst each of these defects and therefore the critical role that human NK cells play in antiviral immunity.

Table 1:

Monogenic causes of human NK cell deficiency

cNKD, classical NKD; fNKD, functional NKD; VZV, varicella zoster virus; HSV, herpes simplex virus; CMV, cytomegalovirus; HPV, human papillomavirus; EBV, Epstein-Barr virus

Table 2:

Primary Immunodeficiencies with an NK cell component Primary Immunodeficiencies with an NK cell component

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VZV, varicella zoster virus; HSV, herpes simplex virus; CMV, cytomegalovirus; HPV, human papillomavirus; EBV, Epstein-Barr virus; BCG, bacille Calmette-Guerin VZV, varicella zoster virus; HSV, herpes simplex virus; CMV, cytomegalovirus; HPV, human papillomavirus; EBV, Epstein-Barr virus; BCG, bacille Calmette-Guerin