



# Combined molecular dynamics and neural network method for predicting protein antifreeze activity

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**Antifreeze proteins (AFPs) are a diverse class of proteins that depress the kinetically observable freezing point of water. AFPs have been of scientific interest for decades, but the lack of an accurate model for predicting AFP activity has hindered the logical design of novel antifreeze systems. To address this, we perform molecular dynamics simulation for a collection of well-studied AFPs. By analyzing both the dynamic behavior of water near the protein surface and the geometric structure of the protein, we introduce a method that automatically detects the ice binding face of AFPs. From these data, we construct a simple neural network that is capable of quantitatively predicting experimentally observed thermal hysteresis from a trio of relevant physical variables. The model's accuracy is tested against data for 17 known AFPs and 5 non-AFP controls.**

proteins | antifreeze | molecular dynamics | neural networks | simulation

**A**ntifreeze proteins (AFPs) have been identified from a variety of sources, including fish, insects, bacteria, plants, and fungi (1). The antifreeze activity of these proteins is characterized by the difference between the nonequilibrium melting and freezing points, referred to as thermal hysteresis ( $\Delta T$ ) (2).  $\Delta T$  values span a wide range from  $<1$  K for most alanine-rich  $\alpha$ -helical AFPs in fish (3, 4) to more than 6 K in hyperactive threonine-rich  $\beta$ -helical proteins found in insects (5). At lower concentrations ( $<0.5$  g/L), hyperactive AFPs greatly outperform more traditional antifreeze agents, making them of potential interest for use in medicine, agriculture, food processing, and surface protection (6).

The most widely accepted theory for the origin of  $\Delta T$  was put forward by Raymond and DeVries (7) in 1977. They postulated that AFPs first bind irreversibly to the surface of a nascent ice crystal. The ice surface is then forced to adopt an increased curvature as a cap grows between the bound AFPs. This increased surface curvature then depresses the freezing point through the Gibbs–Thomson (Kelvin) effect (8, 9):

$$\Delta T = \alpha_p \left( \frac{\gamma_{sl} T_m \nu}{\Delta H_m} \right) \cos \theta / d. \quad [1]$$

Here,  $\alpha_p$  is a geometric constant (two for cylindrical ice cap, four for spherical),  $\gamma_{sl}$  is the ice–liquid surface tension,  $T_m$  is the bulk freezing point,  $\nu$  is the molar volume of ice,  $\Delta H_m$  is the molar latent heat of fusion,  $\theta$  is the ice cap contact angle, and  $d$  is distance between adsorbed AFPs. This theory has recently been supported via molecular simulation work by Naullage et al. (9), who accurately calculated  $\Delta T$  from  $\theta$  and  $d$  for a model system. Additionally, Kuiper et al. (10) confirmed that the binding of an AFP to the ice front is nearly irreversible in microsecond-long simulations, agreeing with earlier experimental evidence (11).

However, how does the AFP first recognize and bind to a small quantity of solid water in a vast reservoir of liquid water? Nutt and Smith (12) suggested that AFPs accomplish this feat by preorganizing a “quasi ice-like layer” of water on the ice binding surface (IBS) of the protein. This layer can then be easily

incorporated into the growing ice crystal, binding the protein to the solid–liquid interface (12). Recent work by Hudait et al. (13) has shown that water near the IBS is not truly ice like, since its structural order is much lower than ice, but simulations do show that water near the IBS displays exceptionally slower hydrogen bond reorientation dynamics compared with other protein surfaces (14). The presence of slow hydrogen bond dynamics near the IBS was also confirmed experimentally by Meister et al. (15).

Despite a growing body of literature on the topic of AFPs, there has been little progress in successfully engineering new AFPs. Many studies have shown that single mutations often lead to decreased antifreeze activity, with the best-performing mutants often showing little to no advantage over the naturally occurring protein (16–19). In contrast, Marshall et al. (20) showed that the antifreeze activity of a commonly studied AFP, isolated from the spruce budworm beetle, could be enhanced by the addition of coils already found in the AFP. While encouraging, this technique relies on copying an existing structure, and therefore is not a viable route for designing improved antifreeze functionality. Similar efforts have been made by linking two AFPs together, but on a per mass basis, this showed very little improvement (21).

Development of nonbiological thermal hysteresis molecules has been similarly challenging. Synthetic polymers, while often good ice recrystallization inhibition agents, possess a  $\Delta T$  less than 1 K at relevant concentrations (22). Thermal hysteresis has also recently been observed with a red synthetic dye, Safranin, but its activity was found to be considerably smaller than the best naturally occurring AFPs (23).

Given the growing use of computation in materials design, the availability of a quantitative method for predicting AFP activity in silico would clearly be of interest. Since ice nucleation and

## Significance

**Antifreeze proteins offer a technologically underutilized approach for controlling the freezing of water, a process intrinsically important in broad areas, such as medicine, agriculture, and food engineering, among others. To harness this capability, a better understanding of the measurable properties involved and their quantitative contribution to the observed antifreeze effect is needed. Here, we present a physically motivated method for the prediction of antifreeze activity purely from simulation, opening routes for the design of computationally optimized antifreeze materials.**

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**Automated Detection of the IBS.** The determination of the IBS is critical to our analysis. Since we intend our method for materials design, we keep this calculation as general as possible, selecting the IBS based on geometric requirements and the optimization of our three physical variables as described next.

For each protein, standard molecular dynamics simulations were performed as described in *Materials and Methods*. From the simulation data, an average protein structure composed of residue coordinates is generated, and a water–water hydrogen bond lifetime,  $L_i$ , is assigned to each residue (the procedure is described in *Materials and Methods*). The residues are then grouped into planes using the following procedure.

- i) Using any three noncollinear residues, define a plane  $P$ .
- ii) Identify a set  $S$  of all residues within 0.11 nm of  $P$ .
- iii) If  $S$  contains eight or more residues and all residues have at least two neighbors in  $S$  within 1 nm, continue. Otherwise, discard the set. This ensures a connected set with a relevant number of residues.
- iv) Calculate the number of residues not in  $S$  found above or below  $P$ , defined as  $n_1$  and  $n_2$ . If  $\min(n_1, n_2) = 0$ , continue. Otherwise, discard the set. This effectively eliminates all planes “inside” the protein structure.
- v) Add set  $S$  to the set of possible IBSs,  $S_{all}$ .
- vi) Repeat for all possible sets of three noncollinear residues.

For each  $S$  in  $S_{all}$ , we then calculate  $L_{S,B}$ , the average of  $L_i$  for all residues in  $S$  weighted by the solvent-accessible surface area (SASA) of each residue, and  $L_{S,N}$ , being the same as  $L_{S,B}$  but for all residues not in  $S$ . We also calculate  $A_S$ , which is defined as the area enclosed by the convex envelope containing the projection of all of the solvent-accessible atoms corresponding to the points in  $S$  onto plane  $P$ —essentially the planar area of the IBS.

Since the scoring function is not known a priori, we choose the IBS simply as the  $S$  that maximizes the expression

$$A_S^* + (L_{S,B} - L_{S,N})^* \quad [4]$$

Here, the asterisks denote that the value was normalized by the maximum of the respective quantity observed in  $S_{all}$  so that all values can be compared on a one-to-one basis.  $A_S$ ,  $L_{S,B}$ , and  $L_{S,N}$  of the  $S$  selected as the IBS then become  $A$ ,  $L_B$ , and  $L_N$ , respectively, for the scoring functions described below. If no  $S$  is found during evaluation (i.e.,  $S_{all}$  is an empty set), the protein can be considered a non-AFP and scored as having a  $\Delta T$  of zero. A schematic of this process is included in *SI Appendix*, Fig. S1.

We note that the IBS predicted by our method shows excellent agreement with the IBS suggested by experiment and computationally by Doxey et al. (24). Fig. 2 shows a graphical display of the IBS for selected AFPs and additional details. This automated method successfully identifies the IBS based on geometry, and on the dynamic properties of water near the protein.

**Predicting Antifreeze Activity Using an NN.** We measured our selected variables for each protein using 10-ns blocks from 30 to 100 ns for a total of 7 measurements per protein and 154 measurements overall. Averages are shown in Table 1. We first fit the data (excluding the two homologs) to the simplest possible equation, a linear combination:

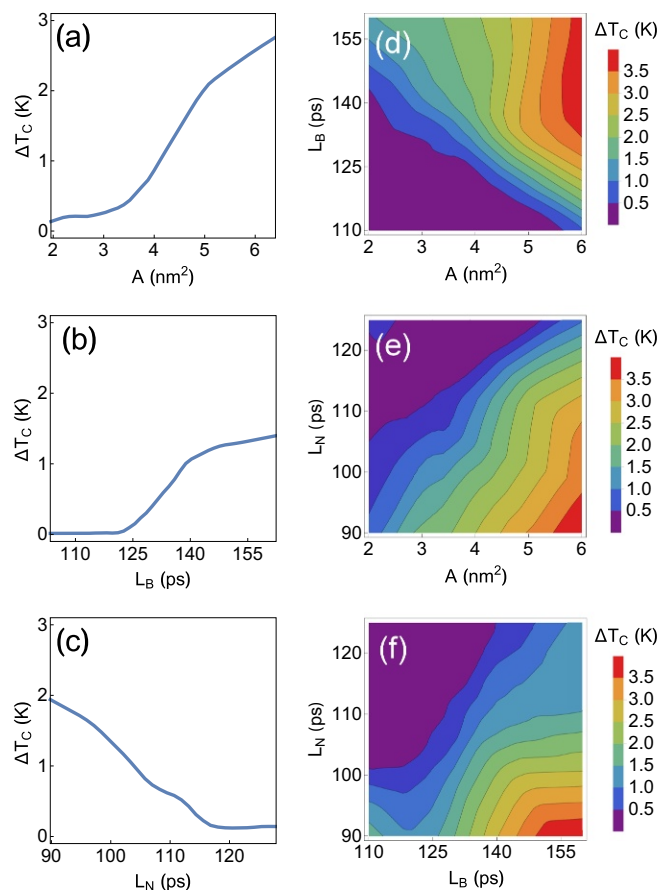
$$\Delta T_C = a_0 + a_1 A + a_2 L_B + a_3 L_N \quad [5]$$

Here,  $a_0$  through  $a_3$  are fitted constants, and  $\Delta T_C$  is the experimental  $\Delta T$  evaluated at a concentration of 0.3 g/L using

Eq. 3. This concentration was selected to avoid saturation effects (where  $\Delta T$  is nearly constant with increasing concentration) and extrapolating the data. While this treatment is somewhat biased against AFPs like PDB ID code 4DT5 that show better performance at higher concentrations, some compromise is necessary, since an accurate single-variable equation for  $\Delta T$  as a function of concentration is not available.

The results of this LM are shown in Fig. 3A, and the values of the fitted coefficients in Eq. 5 are as follows:  $a_0 = -0.167$  K,  $a_1 = 0.456$  K/nm<sup>2</sup>,  $a_2 = 0.032$  K/ps,  $a_3 = -0.0411$  K/ps. The performance of the LM is quite mediocre, with a mean error from experiment of 0.51 K and a correlation coefficient of  $R^2 = 0.80$ . Clearly, Eq. 5 is not complex enough to accurately capture  $\Delta T_C$ . We show it here, however, as it does exhibit an important qualitative insight: our coefficients match the physical intuition discussed earlier.  $A$  and  $L_B$  are positively correlated with  $\Delta T_C$ , and  $L_N$  is negatively correlated with  $\Delta T_C$ .

Given the quantitative shortcomings of the LM, we turn to a non-LM in the form of an NN. The NN is trained on the same 154 data points as the LM. To minimize overfitting, we use a validation holdout set along with L2 regularization (42) and model averaging (43). Details are given in *Materials and Methods*. Five-fold cross-validation for the NN shows a mean deviation from experiment of 0.36 K, suggesting that the NN is quite robust. When trained on 70% of the data, the NN shows a mean error from experiment of 0.19 K and an  $R^2$  of 0.97, a significant improvement compared with the LM. Results are given in Fig. 3 and Table 1. This NN is remarkable in its accuracy given the



**Fig. 4.** Average behavior of the NN: (A–C) holding two variables at their average value and (D–F) holding one variable at its average value ( $\langle A \rangle = 3.57$  nm<sup>2</sup>,  $\langle L_B \rangle = 131$  ps,  $\langle L_N \rangle = 113$  ps).

diversity of the proteins in the dataset and that it only requires three variables ( $A$ ,  $L_B$ , and  $L_N$ ) as input.

While the NN performs extremely well for almost all proteins in the dataset, it does struggle to accurately predict our one plant AFP, PDB ID code 3ULT (also known as *Lolium perenne* ice-binding protein, *LpIBP*), isolated from ryegrass. This error may be due to the lack of other plant AFP samples in the model, but interestingly, this is not the first instance where the ryegrass AFP has underperformed expectation. Work by Middleton et al. (44) showed that, while PDB ID codes 3ULT and 1EZG (an insect AFP; also known as *Tenebrio molitor* antifreeze protein, *TmAFP*) are very similar in structure, PDB ID code 1EZG exhibits a  $\Delta T$  more than 10 times that of PDB ID code 3ULT. Importantly, ice growth experiments in the same study showed that PDB ID code 1EZG binds to all ice faces, while PDB ID code 3ULT binds almost exclusively to the basal plane. This difference would not be captured by our model given that we make no distinction regarding which ice face the AFP prefers. Incorporating this information may lead to increased accuracy in future work.

As an additional test of the NN, we also score the two homologs in our dataset that were not included in any of the training procedures. The selected homologs are (i) the C-terminal domain of sialic acid synthase (PDB ID code 3CM4), which is a homolog of type III AFPs like PDB ID code 1HG7, and (ii) a C-type lectin (PDB ID code 3WHD), which is a homolog of type II AFPs like PDB ID code 2PY2. Both homologs were evaluated in the same manner as the rest of the AFPs and received  $T_C$  scores of nearly zero (Table 1), confirming that the NN can discriminate even against AFP homologs.

For a better understanding how the NN scores AFPs, we hold two of three variables constant at their average values in the dataset and vary the third. An effective trend for each variable is, therefore, calculated as shown in Fig. 4. Overall, the curves in Fig. 4 are very clear:  $\Delta T_C$  is positively correlated with  $A$  and  $L_B$  and negatively correlated with  $L_N$ , in agreement with the LM.

Importantly, the NN additionally shows that there are certain thresholds at which  $\Delta T_C$  changes dramatically with respect to the input variables. Moving from  $A = 2$  to  $3.5 \text{ nm}^2$  results in very little change, but moving from  $A = 3.5$  to  $5 \text{ nm}^2$  results in a nearly fourfold increase in  $\Delta T_C$ . Similarly, for  $L_B$  between 110 and 125 ps,  $\Delta T_C$  is roughly zero, but for  $L_B$  between 125 and 140 ps, there is a significant gain in activity, which then slows after 140 ps. With respect to  $L_N$ , there is a nearly linear drop in activity with increasing  $L_N$  until  $\Delta T_H$  is nearly zero at  $L_N > 115$  ps. We imagine that this information might be of use when deciding how to construct, run, and attain convergence of a computational design process. We also include the behavior of the NN as a function of two variables (holding the third constant at its average value in the dataset) in Fig. 4.

## Conclusions

This work presents a straightforward and physically motivated method for predicting the antifreeze activity of AFPs from molecular simulation. The method supports current understand-

ing that AFPs recognize and bind ice with a water layer defined by long hydrogen bond lifetimes near the IBS. We show that a simple NN produces quantitatively accurate predictions of thermal hysteresis. Furthermore, the NN suggests that short hydrogen bond lifetimes on the NBS are also quite important for producing high-activity AFPs. We hope that this information will aid in the development of advanced antifreeze materials.

## Materials and Methods

**Molecular Dynamics.** All molecular dynamics simulations were performed using GROMACS 2016.4 (45–48). Protein structures were obtained from the Research Collaboratory for Structural Bioinformatics (RCSB) PDB and solvated in at least 1.5 nm of water in all directions using periodic boundary conditions for a protein–protein self-image distance of at least 3 nm. Water was modeled using the Transferable Intermolecular Potential, 4-point, Ice (TIP4P/Ice) model (49) for its realistic melting temperature of  $\sim 270 \text{ K}$  (50), and proteins were modeled by the Amber03w force field (51) for its compatibility with four-site water models (52, 53). Additional details and comments on computational efficiency are included in *SI Appendix*.

**Protein Structure Coordinates.** An average protein structure was first generated by averaging over atomic coordinates for the simulation window. This structure was then reduced to a set of  $N$  residue coordinates using the geometric center of all atoms with an SASA  $\geq 0.01 \text{ nm}^2$  in each residue. If a residue has no atoms with SASA  $\geq 0.01 \text{ nm}^2$ , it is eliminated. SASA is determined using GROMACS 2016.4 following the method of Eisenhaber et al. (54).

**Hydrogen Bond Lifetimes.** We define a hydrogen bond lifetime,  $L_i$ , as the average time that it takes for the hydrogen bond autocorrelation function (HBAF<sub>*i*</sub>) to decay to 0.1. HBAF<sub>*i*</sub> was defined for water–water hydrogen bonds occurring between water molecules within 0.8 nm of any atom in residue  $i$ . HBAFs were calculated over 1-ns blocks and averaged over the sample simulation window. Calculations were performed using the Python package MDAnalysis (55, 56), which uses the definition provided by Rapaport (57). For a select few partially buried residues, there exist trapped water molecules that do not influence ice binding but nevertheless, drive  $L_i \rightarrow \infty$ . We, therefore, ignore any  $L_i$  longer than 1 ns by setting it to zero.

**NN.** The NN was trained using the machine learning suite in Mathematica 11.3 (58). It was composed of one three-node batch normalization layer, four fully connected hidden layers with six nodes each, and a final single-node output layer. Linear, Ramp, Linear, Ramp activation functions, respectively, were used for the hidden layers. A minimum of 10,000 training rounds using the ADAM algorithm (59) were used to minimize a mean squared error loss function. L2 regularization coefficient 0.01 was used for all training. Standard fivefold cross-validation was used to check performance (60). The final NN is an average of five separately trained NNs using different random samplings of 70% of the data, with the remaining 30% of the data acting as a validation holdout set to reduce the overfitting and error from outliers. Experimentation with larger/deeper NNs and more complex activation functions lead to worse cross-validation scores. A grid of points scored by the NN is included in *Dataset S1*.

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