

HHS Public Access

Angew Chem Int Ed Engl. Author manuscript; available in PMC 2018 December 31.

Published in final edited form as:

Author manuscript

Angew Chem Int Ed Engl. 2017 August 01; 56(32): 9278–9290. doi:10.1002/anie.201703079.

Direct Asymmetric Alkylation of Ketones: Still Unconquered

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Abstract

The alkylation of ketones is taught at basic undergraduate level. In many cases this transformation leads to the formation of a new stereogenic center. However, the apparent simplicity of the transformation is belied by a number of problems. So much so, that a general method for the direct asymmetric alkylation of ketones remains an unmet target. Despite the advancement of organocatalysis and transition-metal catalysis, neither field has provided an adequate solution. Indeed, even use of an efficient and general stoichiometric chiral reagent has yet to be reported. Herein we describe the state-of- the-art in terms of direct alkylation reactions of some carbonyl groups. We outline the limited progress that has been made with ketones, and potential routes towards ultimately achieving a widely applicable methodology for the asymmetric alkylation of ketones.

Keywords

asymmetric; ketones; organocatalysis; transition-metal catalysis; α-alkylation

1. Introduction

The formation of a new carbon-carbon bond alpha (a) to a carbonyl group is one of the most important reactions in organic synthesis and has contributed significantly to the development of organic chemistry as a whole. In this respect, ketones have found widespread use. Undoubtedly this is due to the diversity of ketone-derived enolate chemistry and the abundance of α-substituted ketones (and derivatives) in biologically active systems, but it is also due to the wide availability of starting materials. Remarkably there are over $10⁵$ commercially available ketones of the general structure depicted in Figure 1 a ^[1]

Conflict of interest

The authors declare no conflict of interest.

The ORCID identification number(s) for the author(s) of this article can be found under<https://doi.org/10.1002/anie.201703079>.

The α-alkylation of ketones via its enolate seems the obvious choice, but this approach is marred by complications (Figure 2). For example, the geometry of the C—C bond generated during the process should be controlled, as well as the facial approach of the electrophile.^[6] For non-symmetrical ketones with two sites for deprotonation, regioselectivity of enolization must also be maintained.

In the formation of tertiary stereogenic centers, mild conditions are required in order to avoid the racemization of the newly formed stereogenic center. Undesired reactions such as homolytic couplings and overalkylation are also ever-present problems. Unwanted alkylation at β or γ can also occur.^[7]

It should be noted that modifications at the α -position of ketones such as arylation,^[8] alkenylation and alkynylation,^[9] or alkylating methods that involve a previously modified (e.g. halogenated) α -position^[10] will not be discussed here. Rather, we present a compilation of related transformations and efforts towards accomplishing the direct asymmetric α- alkylation of ketones. The word "direct" is, of course, open to interpretation. In this context, we consider it to be a transformation whereby a ketone, unfunctionalized at the α-position, is alkylated in an asymmetric fashion, in one chemical transformation and one pot, without recourse to the formation of, potentially isolable, intermediates. For example, the formation of a chiral auxiliary followed by alkylation and auxiliary cleavage (e.g. Enders' SAMP/RAMP method), would not qualify as "direct", even if carried out in one pot. Whereas in situ use of a chiral amine leading to asymmetric iminium alkylation (unreported) would qualify as "direct". The transformations outlined herein will approach a "direct" transformation to various degrees. In some cases, this will be commented on, in others, opinions on how close the methodologies deviate, will be left to the reader. Examples have been classified depending on the stoichiometric or catalytic system employed.

2. Chiral Lithium Bases

One of the early approaches for the α-alkylation of ketones involved the use of homo chiral lithium bases (HCLAs).^[11] In pioneering work, Koga^[12] and Simpkins^[13] independently reported the use of chiral lithium amide bases (Scheme 1) to desymmetrize substituted cyclohexanones.

The chiral base incorporates a stereoelectronic preference for removal of axial protons in rigid systems with a plane of symmetry, and further discriminates between the pair of axial protons to produce the enantiomerically enriched products (Figure 3). Despite the array of chiral amides reported, the application of this methodology has been limited to the desymmetrization of conformationally locked prochiral cyclic ketones. Limited exploitation

of the HCLA methodology has emerged but allylation of 2,6-dimethylcyclohexanone, for example, was reported in moderate enantiomeric excess (ee) (Scheme 1).

The limited substrate scope, which could be accessed by the HCLA methodology restricted widespread uptake.^[14]

3. Enolates and Azaenolates

Asymmetric transformations via their corresponding enolates or azaenolates have proven the most effective route to chiral α -substituted ketones in terms of yield and selectivity.^[2d] Palomo reported in 2001 a methodology for the asymmetric construction of α-alkylated ketones (Scheme 2).^[15] Although far from a direct method, this strategy accomplished the sequential introduction of three alkyl groups by the use of $(1R)-(+)$ -camphor. The preparation of the corresponding $(1R)$ - $(+)$ -camphor enolate allows the introduction of two consecutive alkyl groups. Regeneration of camphor can be achieved with concomitant liberation of the a-branched carboxylic acid or ketone.

Use of the $(1R)$ -(+)-camphor derived enolate directs the chemo-, regio- and diastereoselectivity (Figure 4). It selects the enolate configuration and prevents the alkylation at the a'-position. It blocks one of the two possible approaches of R^2X to the enolate allowing the second alkylation enantio- selectively. Finally, addition of only one $R³X$ is allowed.

Azaenolates offer notable advantages over their corresponding enolates: Higher stability, greater reactivity towards electrophiles and better regioselectivity. The first widely used approach involved the SAMP/RAMP methodology.^[16] En- ders reported the use of (S) - and (R) -1-amino-2-methoxypyr- rolidine dialkyl hydrazines as chiral auxiliaries for the aalkylation of hydrazones (Scheme 3). Although this methodology has been widely applied to a number of total syntheses,^[2d] it harbors some limitations. The formation of the azaenolate requires exposure to lithium diisopropylamide for long periods (because the hydrazones are weakly acidic) and the alkylation must be conducted at very low temperatures (-78 °C to -110° C), making large-scale applications impractical. Furthermore, the installation and removal (usually O_3 or MeI/H₃O⁺) of the auxiliary, limits its step-economy and substrate group tolerance.

More recently, Coltart has reported the use of chiral N- amino cyclic carbamates (Scheme 4). [17] The enhanced acidity of these activated hydrazones allowed a fast deprotonation and avoided the requirement for very low alkylation temperatures. Furthermore, it was possible to control the regiose- lectivity and facilitate the unprecedented a,a-bis-alkylation of ketones. [18] The regiochemical outcome obtained with the N-amino cyclic carbamates is the opposite of that obtained for kinetic LDA-mediated deprotonation of ketones, and the SAMP/RAMP hydrazones. Recently, a racemization-free method to remove the auxiliaries has been reported.[19]

The above methodologies involve the use of chiral auxiliaries and the transfer of chiral information in an intramolecular manner. The first example of the asymmetric a-alkylation of non-chiral hydrazones, via intermolecular transfer of chiral information, was reported by

McGlacken.^[20] Dimethylhydrazones were successfully alkylated at the a- position using sparteine and other cyclic diamines as chiral ligands, albeit in modest to good enantioselectivities (Scheme 5).

4. Metal-Based Catalytic Methods

The formation of α-allylated ketones, especially those bearing quaternary centers, via palladium catalysis has been well documented over the last 20 years.^[21] The well-known Tsuji allylation involves the Pd⁰ mediated decarboxylation of allyl β -ketoesters, or the use of enol derivatives.^[22] The enantioselective version of the Tsuji allylation was reported by Stoltz two decades later (Scheme 6).[23]

Further studies on Pd-catalyzed intramolecular allylic alkylations of ketones through allyl enol carbonates and allyl β-ketoesters were reported by Trost^[24] (Scheme 7 a) and Stoltz^[25] (Scheme 7 b) independently. All these methods are very limited in substrate scope and they normally require symmetrical ketones, or unsymmetrical precursors possessing only one available side for allylation.[26]

The aforementioned examples involved intramolecular allylation, and required a preformed allyl enol carbonate. A seminal report by Trost outlined the asymmetric intermolecular allylation of ketones as early as 1999 .^[27] The combined action of a Pd complex and a chiral ligand with external allyl donors, allowed the asymmetric alkylation of ketone enolates using six membered rings and 1-tetralones (Scheme 8).

Jacobsen expanded the scope of catalytic methods for the asymmetric synthesis of alkylated ketones with the use of Cr/ salen complexes with tributyltin enolates, derived from cyclic and non-cyclic systems (Scheme 9).^[28] The tributyltin enolates derived from acyclic systems were prepared as a mixture of E and Z isomers. The Cr/salen complex allowed the incorporation of alkyl groups with other functionalities (which was limited to allylic alkylating agents with previous methodologies). Alkyl halides containing alkynes, alkenes or esters were successfully employed. Good enantioselectivities were achieved, even with tributyltin enolates derived from acyclic ketones.

Acylsilanes were reported as suitable nucleophile precursors to perform the asymmetric allylic alkylation of ketones (Scheme 10).^[29] Using a Pd catalyst in combination with a ferrocene based ligand, it was possible to achieve the allylation of the acylsilane precursor with high regio-, diastereo- and enantioselectivities to form two new stereogenic centers. The undesired alkylated products were also formed, but in small amount. The corresponding acylsilanes could be further converted to the corresponding α-alkylated ketones without epimerization.

Stoltz described in 2010 an enantioselective tandem process that involves palladiumcatalyzed decarboxylative enolate formation, followed by conjugate addition and reductive alkylation to ultimately achieve the alkylation of cyclic ketones (Scheme 11).[30]

Later the same group reported the Ir-catalyzed allylic alkylation of β-ketoesters (Scheme 12a).^[31] Moreover, the 2- (trimethylsilyl)ethyl β-ketoesters prepared in this manner could

then be treated with a source of fluoride (TBAT, tetrabutylammonium difluorotriphenylsilicate) to generate a prochiral enolate and palladium mediated allylation using allyl methylcarbonates, gave the desired a-quaternary ketones in excellent yield and ee (Scheme 12 b).

A combination of Wilkinson's catalyst and a chiral monodentate phosphite was reported by Evans for the allylic alkylation of acyclic α -alkoxy aryl ketones (Scheme 13).^[32]

A study with a preformed configurationally defined enolate provided insight into the origin of asymmetric induction. Treatment of the silyl enol ether with methyllithium gave the αalkylated ketone with the same yield and enantioselectivity as the corresponding aryl ketone, proving that the enolate geometry is not responsible for the formation of the minor enantiomer. The alkylation process is believed to proceed through a saturated 18-electron rhodium allyl complex, which suppresses the direct coordination of the enolate through an outer-sphere process. The π-facial selectivity of the nucleophilic addition determines the enantio selectivity (Figure 5).

Cyclic ketone enolates were successfully transformed through an asymmetric allylic alkylation using an iridium based catalyst by Hartwig (Scheme 14 a).^[33] New quaternary centers were generated with high enantio selectivity. Another more recent report by Hartwig described the diastereo- and enantioselective allylation of acyclic α-alkoxy ketones catalyzed by a copper/iridium system.^[34] Upon deprotonation of the α -alkoxy ketone, the geometry of the resulting enolate is fixed by chelation of both oxygen atoms to the copper cation. Iridium catalyzed allylation gave products with vicinal tetrasubstituted and tertiary stereocenters in high yield and excellent d.r. and ee (Scheme 14b). Very recently the protocol was extended for the α-allylation of aryl acetic acid esters by the same group.[35]

A significant advance was reported by List to afford the α- allylation of cyclic ketones (Scheme 15).^[36] This is an excellent atom-economic strategy that combines palladium catalysis, enol organocatalysis and CO₂-catalyzed activation of allylic alcohol. The corresponding allylated ketones with a-quater- nary centers were obtained in good yields and ee.

A triple catalytic cycle is proposed by the authors. Initially the chiral phosphoric acid interacts with the ketone enabling reactivity from the thermodynamically more stable enol (Figure 6). Allyl alcohol is activated by $CO₂$ generating the carbonic acid ester, a more reactive p-allyl precursor. In parallel a Tsuji-Trost type catalytic cycle takes place, where the π -allyl-Pd electrophile is generated in an oxidative addition into the carbonic acid ester. Then a $[Pd-ally]$ ⁺ A^* complex is formed upon release of water and CO₂ regeneration. The phosphine ligand *t*-BuXPhos stabilize the Pd complex avoiding the formation of Pd black (only traces of product is obtained in absence of the phosphine), as well as act as a chiral counteranion. Finally, the successive nucleophilic attack of the enol onto the cation, reductive elimination, and release of the chiral acid affords the desired product with regeneration of the phosphoric acid catalyst.

A recent report by Huo et al. describes an excellent example of bimetallic cooperation.[37] The combined action of a chiral Ir complex derived from phosphoramidites and a chiral Zn-

ProPhenol complex achieved the α-allylation of unprotected α-hydroxyketones (Scheme 16). The bimetallic system demonstrated exquisite control over the absolute and relative configuration of the newly generated stereogenic centers. Remarkably, all four stereoisomers could be furnished from the same set of starting materials and conditions using different enantiomers of each ligand.

Finally another example of bimetallic catalysis has been described by Lautens.^[38] A combination of a Ru-catalyzed C— H activation and a Pd-catalyzed asymmetric allylic alkylation allowed the preparation of 3-allyl-3-aryl oxindoles from aryl a-diazoamides (Scheme 17).

5. Organocatalysis

Organocatalysis, as a synthetic methodology, has delivered many advances in the preparation of enantiomerically enhanced α -substituted carbonyls.^[3] With ketones specifically however, there are far fewer protocols reported which utilize organocatalytic protocols. However, in 2004 a phase-transfer catalyst was reported for asymmetric glycolate alkylations.^[39] A trifluorobenzyl cinchonidinium bromide catalyst was used with allyl, propargyl and benzyl halides as alkylating agents (Scheme 18 a). A similar phase-transfer catalyst was applied for the asymmetric alkylation of substituted isoflavones by Scheidt (Scheme 18b).[40]

MacMillan reported in 2010 the first example utilizing singly occupied molecular orbital $(SOMO)$ catalysis $(Scheme 19)$.^[41] Excellent enantioselectivities were obtainedby this methodology, however the applicability was limited to cyclic and heterocyclic ketones and allyl silanes as alkylating agents. α-Enolation and α-homobenzylation of cyclohexanone were also accomplished.

Wang reported a stereoselective Michael addition of α- aryl cyclopentanones to nitroolefins catalyzed by a bifunctional amine-thiourea organocatalyst.^[42] A bifunctional organocatalyst bearing multiple hydrogen-bonding donors was able to activate, simultaneously, the α-aryl cyclopentanone and the nitroolefin. Excellent diastereo- and enantioselectivities in the corresponding products was achieved at the quaternary a-position and the adjacent tertiary stereocenter (Scheme 20a). However when a-phenyl cyclohexanone was tried, the yield dropped to 20%. The asymmetric alkylation of cyclohexanones through an asymmetric Pfau–dQAngelo reaction was accomplished by the use of thiourea- and primary amine-based systems by Carter (Scheme 20b) and Kotsuki (Scheme 20c) independently.^[43] However, only cyclic substrates were reported.

Another interesting approach was disclosed in 2011 by Tian.^[44] Using chiral imidazolidinone and trifluoroacetic acid, the α -alkylation of ketones was achieved using Nbenzylic sulfonamides as alkylating agents through C—N bond cleavage (Scheme 21). Good enantioselectivities were obtained, but again the methodology was applied on cyclic ketones only.

Chiral phosphoric acids have showed potential as suitable organocatalysts. Peng reported in 2012 the alkylation of ketones with alcohols in high yields, d.r. and ee ^[45] The methodology

was applied mainly to cyclic ketones, but three examples of acyclic ketones were also reported with excellent d.r. and ee, but with lower yields (Scheme 22).

List reported in 2015 the use of chiral phosphoric acids in the enantioselective Michael addition of cyclic ketones to enones (Scheme 23 a).^[46] A recent study by Toste on chiral phosphoric acid catalysis has extended the asymmetric α- alkylation of α-substituted cyclic ketones using allenamides (Scheme 23b).^[47] The reaction products could be transformed under acidic conditions into their corresponding 1,4- and 1,5- ketoaldehydes derivatives.

Another example of phase-transfer catalysis was presented by Maruoka (Scheme 24).[48] The asymmetric α-alkylation of 2-arylcyclohexanones was described, but the requirement of an N-methylanilinomethylene blocking group will hamper the applicability.

Palomo recently described a cinchona-alkaloid derived bifunctional Brϕnsted base catalyst, which was employed in the regio-, diastereo- and enantioselective a-alkylation of αsubstituted-β-tetralones with Michael acceptors (Scheme 25).^[49] The applicability of the catalyst was also investigated for α-unsubstituted β-tetralones, aromatic ring- fused cycloalkanones with an oxygen heteroatom in the cycle, or larger seven-membered cycloalkanones. In the case of aromatic ring-fused cycloalkanones with an oxygen heteroatom in the cycle the diastereoselectivities obtained were lower in general, probably due to epimerization under basic conditions.

6. Photochemistry

The rapidly developing area of photocatalysis has been applied to the asymmetric αalkylation of aldehydes.^[3e,f] More recently, a few examples have appeared involving the aalkylation of ketones. Melchiorre's group^[50] extended theirA similar approach was reported by Luo and co-work- $ers^{[52]}$ for the alkylation of β-ketocarbonyls, accessing acyclic quaternary centers (Scheme 27). Again the combination of a chiral primary amine and $Ru(bpy)_{3}Cl_{2}$ as photocatalyst achieved the corresponding alkylated products with good yields and excellent enantioselectivities. Remarkably, it was possible to apply this system to the challenging 1,3-diketone and β-keto amide acyclic systems.established methodology based on the in situ photogeneration of chiral electron donor-acceptor (EDA) complexes. $[3e,f]$ Using a chiral primary amine in combination with light, they accomplished the alkylation of cyclic ketones with alkyl bromides with high levels of regio-, diastereo- and enantio- selectivity (Scheme 26 a). The EDA complexes were also used for the perfluoroalkylation of β-ketoesters by the same group (Scheme 26b).^[51]

A similar approach was reported by Luo and co-workers^[52] for the alkylation of βketocarbonyls, accessing acyclic quaternary centers (Scheme 27). Again the combination of a chiral primary amine and $Ru(bpy)_{3}Cl_{2}$ as photocatalyst achieved the corresponding alkylated products with good yields and excellent enantioselectivities. Remarkably, it was possible to apply this system to the challenging 1,3-diketone and β-keto amide acyclic systems.

Meggers reported a chiral Ir-catalyst mediated α-alkylation of 2-acylimidazoles, activated by visible light.^[53] Benzyl and phenacyl bromides were used as alkylating reagent, as well as

α-silylamines. Recently they reported a combination of a Ru-photoredox catalyst and a Rh-Lewis acid catalyst to afford the α-amination and α-alkylation of ketones with diazo compounds (Scheme 28).[54] The α-alkylation of 2-acylimidazoles was also achieved with good yields and enantioselectivities.

7. Summary and Outlook

What is clear from this perspective is that a substantial effort has been directed towards the development of the asymmetric alkylation of ketones, and many excellent contributions have emerged, especially over the last decade. What is also clear is that many efforts bypass some of most prominent and simple ketones, for example, 3-pentanone and propiophenone (Figure 1). Considering that even 2-butanone begets the additional problem of regioselectivity, the challenges remain significant. Yet, it is quite remarkable that despite much effort, no *general* solution to the problem of asymmetric α-alkylation of simple ketones has become available. The available diversity of starting ketones and electrophiles (e.g. halides and even alcohols) [55] would quickly provide a myriad of diverse chemical entities. Extension to the use of simple olefins as enolate reacting partners, would further improve the atom economy and environmental value, but to date no enantioselective variants have been reported using this abundant feedstock.^[56] The complexity of the transition states generated during some transition-metal mediated transformations makes enantiocontrol difficult, but some preliminary studies show promise (enantioselectivities are currently moderate),^[57] and a recently developed H- borrowing strategy (H-auto-transfer) could have potential for modification to an asymmetric system.^[58] Other interesting alternatives to solve this problem is the kinetically controlled enantioselective α-protonation of α-branched ketones. [59] Although many examples have been reported to date, a highly efficient system with a broad scope is still unknown. An asymmetric alkylation catalyzed by enzymes would be a very attractive solution.^[60] So far this field has been very limited by two factors: a) the complexity of the enzymes (only a few enzymes have been characterized in vitro) and b) the high cost of S-adenosylmethionine as the usual methyl donor used by the methyltransferases.

Overall, there are few indications that future strategies will involve the formation of a simple enolate followed by addition to an electrophile. Perhaps there is merit in considering this back-to-basics approach, focusing on an alkali metal enolate in a chiral environment. The stereoselectivity of enolization should be surmountable, but perhaps the most daunting problem is control of aggregation states.^[61] Much is yet to be learned about the fascinating chemistry of aggregates. Intriguingly, the emerging picture involving lithium or other alkali and alkali earth metals, suggests that chemical behavior is best considered in the realm of coordination chemistry, rather than simplistic concerns of acidity and basicity. Other key obstacles include the post-reaction racemization of the newly formed (tertiary) stereogenic centers. That said, these problems have been overcome previously in other domains, and will not prove impervious to the creativity and tenacity of organic chemists.

Acknowledgements

G.M.G. thanks the Science Foundation Ireland (SFI/12/IP/ 1315, 09/REP/CHS2353 and SFI/12/RC/2275) and the Irish Research Council (RC). A.Z. thanks the National Institutes of Health (NIGMS R01 077379) for support of this work.

Biography

Rafael Cano obtained his B.Sc. and PH.D. at the University of Alicante. in 2013, he accepted a post-doctoral position with the McClacken group at University College Cork. During this time he undertook research secondments at Merck (Ballydine, Ireland) and at University of Oxford (UK) with Prof. Michael C. Willis. His research is focused on heterogeneous catalysis and asymmetric synthesis.

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Figure 1. Unreported direct α-alkylation of ketones.

Figure 2.

Complications associated with the asymmetric alkylation of ketones. a) Geometry of enolate; b) Electrophile orbital approach.

Figure 3. Discrimination of axial protons by chiral lithium bases.

Regeneration of chiral inductor

Figure 5. Proposed model for asymmetric induction of rhodium-catalyzed allylic alkylation of acyclic α-alkoxy aryl ketones.

Figure 6.

Proposed model for asymmetric α-allylation of ketones with allylic alcohols.

Scheme 1.

Chiral amines used and the asymmetric α-alkylation of cyclic ketones.

Scheme 2.

Alkylation of ketones derived from (1 R)-(+)-camphor.

SAMP/RAMP methodology applied to the a-alkylation of ketones.

Scheme 4.

Coltart's chiral N-amino cyclic carbamate hydrazones methodology.

Scheme 5.

Asymmetric α-alkylation of dimethylhydrazones using sparteine as chiral ligand.

Scheme 6. Enantioselective Tsuji allylation reported by Stoltz.

Scheme 7.

a) Allylic alkylation of ketones through allyl enol carbonates. b) Decarboxylative allylation of β-ketoesters.

Pd-catalyzed asymmetric alkylation of ketone enolates.

Enantioselective alkylation of tributyltin enolates.

Scheme 10. Enantioselective allylic allylation of acylsilanes.

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Scheme 12.

a) Iridium-catalyzed allylic alkylation of β-ketoesters, b) Palladium-catalyzed enantioselective allylic allylation of (trimethylsilyl)- ethyl ester protected enolates.

Scheme 14.

a) Iridium-catalyzed enantio- and diastereoselective allylation of cyclic ketone enolates, b) iridium-catalyzed enantio- and diastereoselective allylation of a-alkoxy ketones.

Scheme 18.

a) Asymmetric phase-transfer-catalyzed glycolate alkylation; b) Asymmetric phase-transfercatalyzed alkylation of isoflavones.

Scheme 19.

Asymmetric α-alkylation of cyclic ketones via (SOMO) catalysis.

Scheme 20.

a) Asymmetric Michael addition of α-substituted cyclo- pentanones to nitroolefins. b) Thiourea-catalyzed enantioselective synthesis of a,α-substituted cyclic ketones. c) Primary amine-catalyzed enantioselective synthesis of a,α-substituted cyclic ketones.

Asymmetric α-alkylation of cyclic ketones using ^N-benzylic sulfonamides.

Scheme 23.

a) Chiral phosphoric acid-catalyzed addition of cyclic ke tones to enones, b) Asymmetric aalkylation of a-substituted cyclic ketones with allenamides.

Phase-transfer catalyzed α-alkylation of 2-arylcyclohexa- nones.

Asymmetric α-alkylation of β-tetralones and other related cyclic ketones.

Scheme 26.

a) Photo-organocatalyzed a-alkylation of cyclic ketones by a chiral primary amine. b) a) Photo-organocatalyzed enantioselective perfluoroalkylation of β-ketoesters.

Scheme 27.

α-Photoalkylation of β-ketocarbonyls by primary amine and $Ru(bpy)$ ₃Cl₂ catalysis.

Enantioselective α-photoalkylation with diazo compounds.