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Polymorphisms in sex steroid receptors: From gene sequence to behavior

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Abstract

Sex steroid receptors have received much interest as potential mediators of human behaviors and mental disorders. Candidate gene association studies have identified about 50 genetic variants of androgen and estrogen receptors that correlate with human behavioral phenotypes. Because most of these polymorphisms lie outside coding regions, discerning their effect on receptor function is not straightforward. Thus, although discoveries of associations improve our ability to predict risk, they have not greatly advanced our understanding of underlying mechanisms. This article is intended to serve as a starting point for psychologists and other behavioral biologists to consider potential mechanisms. Here, I review associations between polymorphisms in sex steroid receptors and human behavioral phenotypes. I then consider ways in which genetic variation can affect processes such as mRNA transcription, splicing, and stability. Finally, I suggest ways that hypotheses about mechanism can be tested, for example using *in vitro* assays and/or animal models.

Keywords

androgen receptor; anxiety; association studies; depression; endophenotype; estrogen receptor; ESR1; ESR2; polymorphism; regulatory variation.

1. Introduction

The middleman between genotype and phenotype is often a hormone. Over the past two decades, more and more human behavioral phenotypes have been linked with hormone-related genes. Variation in sex steroid receptors in particular has been the subject of numerous studies; more than 50 polymorphisms in androgen or estrogen receptors have been associated with human behaviors or mental health outcomes. Most of these links have been revealed not by genome-wide association studies, but rather by targeted, hypothesis-driven approaches in which a single gene, or group of related genes, is considered. Genotype-phenotype associations are found by comparing allele frequencies between groups of individuals with vs. without the phenotype of interest or by correlating phenotypic expression with the number of copies of a certain allele. These types of studies tell us the

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extent to which a particular gene sequence predicts the expression of a phenotype, which can be a useful start to determining underlying mechanisms. When significant associations are found between a receptor polymorphism and a phenotype, authors typically conclude that the receptor is “involved” or “plays a role” in the phenotype. The trouble here is twofold: first, an association between genotype and phenotype could be mediated by any number of yet unknown factors, only some of which are biological, and does not necessarily indicate a direct relationship. Second, even if the effect is causal, the association itself tells us little about *how* a gene sequence contributes to behavior.

What do associations between polymorphisms and behavior actually tell us? Answering this question will require us to look under the hood, inside the black box. Efforts to move beyond associations will take advantage of tools that can reveal the impact of genetic variation at the molecular level—tools that are becoming more and more accessible to researchers in a variety of non-molecular fields. Functional studies, in which the effects of polymorphisms are tested experimentally, have been relatively slow to gain popularity in the area of sex steroid receptors and behavior. Below, I will review the known associations between sex steroid receptor polymorphisms and behavior in humans, discuss the mechanisms by which polymorphisms can, theoretically, affect gene function, and suggest ways that we might use sex steroid polymorphisms to understand how behavior is encoded in the genome.

Before proceeding, I should make clear that I have intentionally avoided the topic of effect sizes, or the extent to which a particular polymorphism predicts a behavioral phenotype. The fact that polymorphisms predict little variation has been covered extensively elsewhere (e.g., Cannon & Keller, 2006; Saltz, 2017). The predictive power of each polymorphism is not particularly relevant to my purposes here. Instead, I will focus on the following question: When an association (of any size) is found, to what extent can we infer that the receptor plays a role (of any size) in behavior? Jumping to such a conclusion glosses over perhaps too many steps in the path from genotype to phenotype. Given the state of current knowledge, the effect of a polymorphism on receptor functioning can be difficult to predict. The present review is a call for greater consideration of mechanisms, and for the type of research that will allow us to make stronger predictions and draw more rigorous conclusions.

2. Associations between polymorphisms and behavior in humans

2.1. What is a polymorphism?

A given location in the genome is polymorphic if at least two different sequences, or alleles, occur in the same population. For a site to be called polymorphic, the rarer allele must be found in at least 0.5–1% of the population; in other words, it must be frequent enough that it cannot be explained by a random mutation. Currently, the sex steroid receptor polymorphisms known to associate with behavior take one of two forms: single nucleotide polymorphism (SNP) or short tandem repeat (STR). In the case of SNPs, which are by far the most common type of polymorphism, variation is limited to a single base pair. For example, in a location where one allele may have an “A”, another may have a “C”. The overall length of the allele is not affected because one nucleotide is simply substituted for another. The human genome contains approximately 12 million SNPs (with frequencies above 0.5%), depending on the population (1000 Genomes Project Consortium, 2015).

A second major source of variation, STRs (also called microsatellites), are composed of short sequences, usually two or three nucleotides long, repeated over and over for a variable stretch. For example, the motif “CAG” might be repeated four times in some individuals (CAGCAGCAGCAG) whereas in others, this stretch could go on for 30–40 repetitions. Variation due to STRs is therefore represented in the number of repeats present in a particular allele. STRs, which are likely caused by errors during DNA replication, are common; they cover 1–3% of the human genome (Ellegren, 2004; Gymrek et al., 2016). STRs and SNPs are not the only types of polymorphisms, but they make up the majority of polymorphisms that have been linked to human behavioral phenotypes.

Polymorphisms can be a tool for linking genotype to phenotype. They represent genetic variation within a population that can be connected, via association studies, to phenotypic variation. Millions of polymorphisms in the human genome have been mapped and catalogued, and can be queried using online tools such as dbSNP (<https://www.ncbi.nlm.nih.gov/projects/SNP>). According to dbSNP, there are thousands of SNPs in the human genes for androgen and estrogen receptors (see also Gottlieb et al., 2012). Some polymorphisms have become more popular to study than others, however, and only a fraction have been investigated in the context of human behavior.

2.2. Androgen receptor

The gene for androgen receptor (AR), which in humans is located on the X chromosome, encodes a receptor with high affinity for the androgens testosterone and dihydrotestosterone. This receptor is likely to be the primary pathway by which testosterone affects behavior without being converted to estradiol. Its best-understood function is as a transcription factor that binds DNA and initiates the transcription of androgen-dependent genes. Nongenomic actions have also been described in a variety of tissues, including brain (reviewed by Foradori et al., 2008). The protein itself is large—more than 900 amino acids long—and like other steroid receptors, consists of three major functional domains (reviewed by Gao et al., 2005; see also Gottlieb et al., 2012). Exon 1 encodes a transactivation domain, which is necessary for the bound receptor to initiate transcription (Jenster et al., 1991). Exons two and three encode a DNA binding domain, which recognizes “response elements” in DNA – sequences that mark the genes regulated by AR and recruit the receptors. The remaining five exons encode the ligand-binding domain, which binds the hormone. Deletion of the ligand-binding domain can activate receptor activity as if hormone were bound, suggesting that when unbound, this domain may inhibit receptor function (Jenster et al., 1991). The ligand-binding domain also contains a conserved sequence necessary for association with the cell membrane during nongenomic actions (Pedram et al., 2007).

In humans, mutations in the AR gene can cause partial or complete androgen insensitivity syndrome (AIS), which results in an intersex or female phenotype in genetic males. Mutational analysis of AIS patients has shown that the genetic cause of the syndrome differs from family to family; in other words, it is not uniformly caused by a particular genetic event. Up to 40% of cases show no mutation in AR at all; of those that do, those mutations have occurred at hundreds of different locations spread throughout the gene (Gottlieb et al., 2012). Although it has been interesting and important to study these families and the effect

each mutation has on phenotype, such mutations are not frequent enough in the population to qualify as polymorphisms and thus do not lend themselves well to case-control studies or other types of association studies of a single genetic site.

The overwhelming majority of studies associating true polymorphisms in AR with human behavior have focused on one or two STRs in exon 1. The first (rs193922933) consists of a CAG repeat in exon 1 (Fig. 1). Very long alleles (>38 CAG repeats) are linked to the neurological disease spinal bulbar muscular atrophy (La Spada et al., 1991); healthy individuals commonly have between 9 and 36 repeats. Because the relative length of the allele can be assessed simply by assessing the size of a PCR product on a gel, rather than expensive sequencing, genotyping is straightforward. As a result, this polymorphism has been associated with a wide variety of behavioral traits (see Table 1).

A similar but less well-studied polymorphism (rs869109080) consists of a series of repeats downstream in exon 1 (Fig. 1). The repeated motif is designated “GGN”, with the N standing for T or C (GGT and GGC code for the same amino acid). The repeat number tends to fall between 10 and 31; 86% of the European Caucasian population has either 23 or 24 repeats (Brockschmidt et al., 2007). Like the CAG repeat polymorphism, the GGN polymorphism predicts a number of traits such as aggression and impulsivity in men (Aluja et al., 2011; Comings et al., 2002). In some cases, the effect of this polymorphism is mediated by the effect of the other AR STR polymorphism, the CAG repeat (e.g. Aluja et al., 2011; Comings et al., 1999a), suggesting that the two interact to affect AR function.

Apart from the two STRs, few polymorphisms in AR have been associated with behavior. Because of the hypothesized link between androgens and autism, Henningsson et al. (2009) genotyped several hundred patients with autism, as well as controls, for both repeat polymorphisms and a SNP located between them (rs6152). In addition to associations with the repeat polymorphisms, they found a higher prevalence of the A (vs. G) allele of rs6152 in affected females. Overall, however, associations between AR polymorphisms and human behavioral phenotypes have been largely limited to the two repeat polymorphisms—likely both because the method of genotyping is well-worked out and widely available, and because the repeats occur in coding regions, meaning that their effects on receptor function are easier to conceptualize than for polymorphisms in non-coding regions (see section 4 below).

2.3 Estrogen receptors

Of the nuclear steroid receptors, estrogen receptors (ERs) are the most evolutionarily ancient (Thornton, 2001). Estrogens play key roles in reproductive behavior in all vertebrate taxa (reviewed by Maney, 2010), and in some taxa they drive sexual differentiation. Also relevant to ERs is testosterone, which can be aromatized to estradiol. Thus, ERs contribute to both androgen- and estrogen-dependent behaviors. ERs share many features with other steroid receptors; when bound to hormone, these receptors bind to DNA to initiate transcription of target genes. Their basic structure is similar to that of AR: a transactivation domain, a DNA binding domain, which in this case recognizes estrogen response elements in DNA, and a ligand-binding domain (Marino et al., 2006). ERs can also act *via* nongenomic mechanisms,

for example through associations with membrane-bound receptors (Micevych & Mermelstein, 2008; Razandi et al., 1999).

The human genome contains two distinct genes for canonical estrogen receptors: ER α and ER β , located on chromosomes six and fourteen, respectively. Most research on these two receptors in animal models has been carried out on rodents, which, like all mammals and birds, express homologs of both. The gene for ER α (ESR1) was cloned and sequenced first (Greene et al., 1986), followed a decade later by ER β (ESR2; Mosselman et al., 1996). The two receptors have unique, albeit overlapping, distributions in the rodent brain and are thought to perform distinct functions (Ervin et al., 2015; Pfaff et al., 2011; Rissman, 2008). Early studies in mice lacking either ESR1 or ESR2 led to the preliminary conclusion that ER α was more important for reproduction, whereas ER β mediated non-reproductive functions (Ogawa et al., 1999; Rissman et al., 1999). It is currently understood that the two receptors can in fact modulate the same behaviors (reviewed by Tetel & Pfaff, 2010). In some cases, their effects are synergistic, in others clearly antagonistic (reviewed by Handa et al., 2011). Most comparisons of the two receptor subtypes have been carried out in rodent models, although there is a small literature in humans. The human hippocampus, for example, appears to express relatively high levels of ER β , which led to the hypothesis that this receptor may be important for memory and cognition (Osterlund et al., 2000). Overall, the complexity of the relationship between the two receptors precludes assigning distinct roles to each, especially with respect to behavioral phenotypes.

The literature on ESR1 polymorphisms is dominated by two SNPs: the *PvuII* polymorphism (rs2234693) and the *XbaI* polymorphism (rs9340799). These are known as “restriction fragment length polymorphisms” because they disrupt sites at which the restriction enzymes by the same names, *PvuII* or *XbaI*, cut DNA. Samples can be genotyped by amplifying the relevant portion of ESR1, digesting the product with the appropriate enzyme, and inspecting the resulting fragments on a gel. For example, a “C” substituted for the “T” in the sequence “CACCTG” renders the enzyme *PvuII* unable cut at that location, making the digestion products of one allele distinguishable from the products of the other allele. The C allele occurs with a frequency of 45% in the American Caucasian population (Database of Single Nucleotide Polymorphisms), so it is quite common. The *XbaI* polymorphism, for which the less prevalent allele is also common, similarly disrupts the restriction site for the enzyme *XbaI*.

The *PvuII* and *XbaI* polymorphisms were first associated with breast cancer and loss of bone density (e.g., Hill et al., 1989; Kobayashi et al., 1996). Associations with many behavioral phenotypes have now been discovered, for example with expression of anger (Vermeersch et al., 2013) and with episodic memory in women (Kravitz et al., 2006a; Sowers et al., 2006). These polymorphisms are also associated with clinical outcomes such as anxiety (Prichard et al., 2002), depression in women (e.g., Keyes et al., 2015), and obsessive compulsive disorder (Alonso et al., 2011). The *PvuII* and *XbaI* polymorphisms are located close together in an intron between exons 1 and 2 (Fig. 2), which complicates the assessment of their independent predictive power. When both are used in the same study, researchers often consider the haplotype, or the complement of both alleles, and investigate the interactions between the two (e.g., Prichard et al., 2002).

The third most popular ESR1 polymorphism for behavioral association studies consists of a dinucleotide (TA) repeat upstream of exon 1. The number of repeats ranges between nine and 27 and is bimodally distributed, with frequency peaks at 14 and 23 repeats (van Meurs et al., 2003). The number of repeats in this STR has been linked with behaviors such as aggression in men (Vaillancourt et al., 2012), harm avoidance (Gade-Andavolu et al., 2009), and non-conformism in women (Westberg et al., 2003) as well as to clinical outcomes such as psychoticism in women (Westberg et al., 2003), conduct disorder (Comings et al., 2000), and postpartum depression (Pinsonneault et al., 2013).

A number of other SNPs in ESR1 have also been associated with behavioral phenotypes (Table 2). These SNPs are distributed throughout the gene, occurring in both coding and non-coding regions (Fig. 2). Even so, behavioral studies of the *PvuII* and *XbaI* polymorphisms outnumber the studies of other polymorphisms combined. The enthusiasm for these two SNPs has stemmed from the fact that the protocols for detecting them are well-established and that the AR literature has set a precedent for focusing on only one or two polymorphisms. The number of SNPs in ESR1 that have been investigated in the context of behavior, however, now greatly exceeds the number for AR.

The second ER, encoded by the gene ESR2, is less well-studied thus far. ESR2 polymorphisms associated with behavior are concentrated on the 3' end of the gene, downstream of exon 6 (Fig. 2). These polymorphisms have been associated with clinical outcomes such as anorexia nervosa (Scott-van Zeeland et al., 2014), autistic traits (Chakrabarti et al., 2009), cognitive impairment (Yaffe et al., 2009), and depression (Keyes et al., 2015; Ryan et al., 2011b). One of the most interesting polymorphisms, an STR with 19–35 repeats (rs113770630), has been associated with female-to-male transsexualism in two different studies (Fernández et al., 2014; Henningsson et al., 2005; c.f. Ujike et al., 2009); in neither of these studies was an association found with the CAG repeat in AR. Further downstream, in the 3' untranslated region, the SNP rs928554 is associated with face recognition (Karlsson et al., 2016), intellectual giftedness (Celec et al., 2013) and sexual desire (Gunst et al., 2015).

2.4 Related genes

Because of the sheer number of studies linking them with behavioral phenotypes, this review focuses on polymorphisms in the canonical receptors for sex steroids. The sex steroid pathway includes other genes, and many association studies of sex steroid receptors have included other candidates. For example, SNPs in an evolutionary precursor to estrogen receptors, estrogen-related receptor gamma (Giguère, 2002) are associated with bipolar disorder (Jiang & Zhang, 2011) and substance abuse (Johnson et al., 2011; Kapoor et al., 2013). Although non-nuclear estrogen receptors such as GPER1 (formerly called GPR30) and Gq-mER would certainly be relevant to look at in the context of sex steroid receptors and behavior, they are understudied in that regard (Sundermann et al., 2010). More typically, when association studies of sex steroid receptors include other genes, they focus on steroid metabolic enzymes or factors known to interact with steroid receptors (e.g., Chakrabarti et al., 2009; Fernandez et al., 2014; Pinsonneault et al., 2013; Prichard et al., 2007; Yeung et al., 2016; Zettergren et al., 2013; Zhao et al., 2016). Associations between those genes and

behavior are beyond the scope of this review; nonetheless, our interpretations of all candidate gene association studies and the conclusions we can draw from them are subject to the same caveats discussed below.

2.5 Interpreting association studies

Almost every paper cited in the tables contains a version of the line, “Our results show that receptor X may play a role in phenotype Y.” The interpretation of the study typically does not move beyond that, leaving open how a small change in gene sequence, which may or may not affect receptor function, could affect behavior. In order to gain the most information from association studies, more consideration should be given to mechanisms. How does a small change like a SNP ultimately influence receptor expression or function, and hence phenotype? Answering this question will ultimately require investigations at many levels, including gene transcription, transcript processing and stability, and ligand-receptor interactions. Only by looking carefully at *how* gene sequence affects receptor expression and function will we make meaningful progress toward understanding the role of polymorphisms in the evolution of behavior and the etiology of disease.

Before considering the impact of any polymorphism, it is important to acknowledge the correlational nature of association studies and the fact that the polymorphism itself may not drive associations. In other words, if a particular polymorphism is associated with a phenotype, it may simply be near another polymorphism that actually affects the phenotype. Because recombination rates can vary along any stretch of DNA, having a certain allele at one locus may significantly increase the odds of a certain allele at another, especially if the two genes are close together. This phenomenon, known as linkage disequilibrium, is an old problem in behavioral genetics because it limits the resolution with which one can map causal genes (see Wray, 2007). The human genome is structured into haplotype blocks, such that SNPs within the same block are highly likely to be inherited together (Gabriel et al., 2002). The ESR1 polymorphisms *PvuII*, *XbaI*, and the T(n) STR are close together and in linkage disequilibrium (Becherini et al., 2000; van Meurs et al., 2003). This issue is addressed, in some studies, by performing a linkage analysis to account for correlations among polymorphisms (e.g. Costas et al., 2009; Giegling et al., 2008; Goumidi et al., 2011; Huo et al., 2007; Karlsson et al., 2016; Ma et al., 2009; Pinsonneault et al., 2013; Versini et al., 2010; Weickert et al., 2008; Zhao et al., 2011). It is important to note that in many cases when polymorphisms are used to associate the “receptor” with a phenotype, researchers are not arguing that that polymorphism itself causes a change in expression or function. They are using it simply as a marker, which they assume is linked to *something* causal near that site. This approach represents a classic paradigm in quantitative genetics: mapping a polymorphism to a region of DNA, called a quantitative trait locus, without assuming it is causal for the trait. But as those associations are communicated by quantitative geneticists to behavioral endocrinologists, that detail can get lost in translation. Now that the complete sequence of these receptors is available from thousands of people and all common SNPs are presumably mapped, we can start to identify causal variants. To do so, we will need to test the impact of polymorphisms using experimental approaches.

3. Moving beyond association studies

3.1 The concept of endophenotypes

Genetic influences on behavior are complex. Phenotypic variation happens largely *via* the combined effects of numerous genetic polymorphisms, making it difficult to isolate and identify causal genetic variants. Early attempts to associate genetic variants with mental disorders, for example, famously failed to replicate (Sklar, 2002). To manage this complexity and design studies with greater power, Gottesman and Gould (2003) proposed breaking down the pathway from genotype to phenotype into intermediate levels, or “endophenotypes”, that are more feasible to study (see also Cannon & Keller, 2006). According to this framework, the pathway can be conceptualized as a watershed with small rivulets leading into larger streams, finally culminating in a major river (Fig. 3A). At each point where tributaries coalesce, noise is introduced into the pathway which becomes thunderous at the level of the river. Rather than quantifying the extent to which changes at the sources of the rivulets (the DNA sequences) predict the nature of the river (the phenotype), we should be asking how they predict the nature of the smaller streams into which they feed. In other words, the actual causal links between genes and behavior reside in relatively simpler *intermediate* levels that can be described quantitatively. To better understand an association between an ESR1 polymorphism and short-term memory loss, for example, we might ask whether the polymorphism predicts an endophenotype upstream, such as ER α expression in the hippocampus. If we start too far upstream, however, the endophenotype may be no better correlated with the polymorphism than with the phenotype itself (Flint & Munafò, 2007; Iacono et al., 2014). Such a result may tell us we are swimming up the wrong branch. It is important to ask how each endophenotype alters those *immediately* downstream, and so on, in order to finally understand what influences the river. When considering behavior, the endophenotypes might be conceptualized as gene, protein, signaling pathway, neural circuit, possibly cognition, and finally, behavior (Fig. 3B). This list is oversimplified and of course other levels would be appropriate to consider, depending on the context. But overall, this theoretical framework allows us to parse complexity and to ask questions about the effects of polymorphisms with greater power.

The concept of endophenotypes was proposed in the 2000’s from the perspective of psychiatry and clinical psychology, and arose from the need to understand the genetic basis of mental disorders. The ideas were soon incorporated into a new NIMH-mandated framework for translational research on mental disorders, the Research Domain Criteria (RDoC; Insel et al., 2010), which encourages attention to underlying biology (Glatt & Lee, 2016). Around the same time, researchers in the field of molecular evolution were independently making a similar argument (Dean & Thornton, 2007; Dalziel et al., 2009; Wray, 2007). For example, Dean & Thornton (2007) hailed the arrival of a “functional synthesis” which would move the field of molecular evolution beyond gene associations to empirical studies of *how* genes affect function and fitness. They advocated an experimental approach to determine the effects of specific mutations on the function of encoded proteins, for example by synthesizing the mutated sequence and testing its effects on the properties of the resulting receptor. Along the same lines, Dalziel et al. (2009) argued that the impact of variation in candidate genes will be understood only through empirical, mechanistic studies

at multiple levels of biological organization—for example genes, proteins, and biochemical networks. Only such an approach, which makes causal connections between each level and the level below, can explicitly connect genotype with phenotype.

Such an approach is best implemented by taking advantage of *a priori* knowledge about the function of proteins and their effects on phenotypic traits (Dalziel et al., 2009). Given the voluminous nature of our knowledge about the effects of sex steroids on behavior, what better molecules for this task than sex steroid receptors? The sequencing of these genes in thousands of human genomes, and the mapping of each human SNP, allows us to begin at gene sequence and work upward. In the sections below, I consider ways in which polymorphisms in the genes for sex steroid receptors might affect endophenotypes far below the level of behavior, for example receptor function and abundance. A truly integrated translational approach will ultimately involve consideration at additional levels downstream in the watershed, for example the effects of genetic changes on signaling pathways and neural circuits.

3.2 Variation in coding regions

Genes are composed of a number of elements, such as coding regions, untranslated regions, promoters, and introns. Because of this heterogeneous structure, the impact of a genetic polymorphism depends on its location within the gene. Polymorphisms within coding regions, in other words regions that directly encode the amino acid sequence of the protein, have the potential to cause changes in the protein's structure and thus its function. Steroid receptors must interact not only with steroids, but also with a large number of other transcription factors and with DNA. Changes in the amino acid sequence can therefore affect function *via* many different mechanisms. Moreover, because steroid receptors are themselves transcription factors, functional changes could potentially affect the expression of all the genes targeted by the receptor elsewhere in the genome (see Carroll, 2005; Ketterson & Nolan, 1992).

The best-studied polymorphism in a sex steroid receptor, the CAG repeat in the AR gene, has a pronounced effect on the final AR protein. Each CAG codon, or triplet, encodes the amino acid glutamine, meaning that the series of repeats translates into a “polyglutamine stretch” (Fig. 1B). Many transcription factors contain such stretches, which typically exhibit high allelic variation (Gerber et al., 1994). They occur in the N-terminal domain, which activates transcription, and are widely thought to regulate the efficiency with which the protein is able to initiate the transcription of target genes. The effect of the AR polyglutamine stretch has been directly tested by performing experiments in cultured cells *in vitro* (e.g., Beilin et al., 2000; Chamberlain et al., 1994; Kazemi-Esfarjani et al., 1995; Tut et al., 1997). Chamberlain et al. (1994) constructed ARs that varied according to the position and the length of the stretch and tested their ability to activate transcription of a test gene, called a reporter gene, inserted downstream of androgen response elements. The number of glutamines in the stretch was negatively related, in a strikingly linear fashion, to transcription of the reporter gene (Fig. 1C). These results suggest that *in vivo*, individual variation in the length of the polyglutamine stretch could cause significant variation in the transcription of AR target genes. Although they cannot exactly mimic conditions in the

brain, *in vitro* studies are an excellent first step toward making connections between receptor structure and the endophenotypes that influence behavior.

The other behaviorally relevant STR in AR, the GGN polymorphism, also occurs in the coding region of exon 1. This polymorphism may alter AR transactivation as well. Whereas the CAG repeats code for a stretch of glutamines, the GGN repeats encode a stretch of glycines. *In vitro* experiments have shown that transcription activity may depend on the length of the polyglycine stretch, but in a nonlinear manner (Ding et al., 2005; Gao et al., 1996; Lundin et al., 2007). Thus, the mechanism by which this STR affects receptor function may not be as straightforward.

As is the case for STRs, protein function can also be profoundly altered by SNPs. Nucleotide substitutions that alter a codon such that it codes for a different amino acid are called “non-synonymous”. Such alterations can change the physiochemical properties of the protein, impacting protein folding and the ability to bind to the ligand or DNA. Changes in protein sequence can also affect post-translational modifications such as phosphorylation and acetylation, which can alter receptor stability and activity (le Romancer et al., 2011). More often, however, SNPs in coding regions are “synonymous”, meaning that both alternative codons code for the same amino acid. Among the SNPs in the coding regions of sex steroid receptor genes, all that are known to be linked to behavioral phenotypes are synonymous (rs2077647, rs746432, and rs1801132 in ESR1; rs1256049 in ESR2, and rs6152 AR; see Fig. 2). The preponderance of synonymous changes, rather than non-synonymous, might arise because of strong selection pressure against alterations in the structure of highly pleiotropic genes. Synonymous changes are often said to be “silent” because they do not alter the sequence of the protein, at least not in the usual way (reviewed by Chamary et al., 2006). We will return in Section 4 to the issue of whether synonymous SNPs are actually silent.

Polymorphisms in coding regions can affect not only the sequence but also the abundance of mRNA or protein. For example, in an *in vitro* study of AR, Choong et al. (1996) showed a near-linear relationship between the number of CAG repeats and the levels of both the mRNA and protein (Fig. 1C). This finding emphasized the need to control for the amount of AR when assessing its activity *in vitro*, as well as the fact that even when polymorphisms alter protein sequence, they can also affect protein availability *via* lesser-known mechanisms. Some of these mechanisms are reviewed below.

3.3 Variation in non-coding regions

Most genetic variation associated with phenotypic traits or disorders, behavioral and otherwise, is not located in coding regions. Instead, it is more likely to be found in introns or between genes (Fraser, 2013; Hindorff et al., 2009). Genomic regions between coding regions have been called “junk DNA” (e.g., O’Brien, 1973). Even the term “non-coding” suggests that these sequences are less important. But the idea that variation in non-coding DNA can be important, particularly in the context of evolution, has been around for decades (Monod & Jacob, 1961; Britten & Davidson, 1971). In the 1970s, for example, King and Wilson (1975) argued that because protein sequences are nearly identical in humans and chimpanzees, phenotypic divergence between the two species must be attributable to

differences in the regulation of gene expression. After this concept was proposed, other researchers reported evidence that differentiation of non-coding regions can, in fact, affect expression. Such variation has been called “regulatory variation” (Carroll, 2000; Stern, 2000), because, rather than causing variation in protein *structure*, it affects the regulation of protein *abundance*—i.e., the extent to which a particular gene is transcribed or translated, and under what circumstances.

The distinction between functionality and abundance is important in the context of sex steroid receptors because the receptors are themselves regulators – they bind to DNA sequences of other genes. It is therefore easy to conflate *cis*-regulatory variation, which occurs in non-coding regions of the receptor gene and affects expression of the receptor mRNA, with *trans*-regulatory effects, which alter the expression of steroid target genes *elsewhere* in the genome by affecting the abundance or function of the steroid receptor. It is not the case, for example, that transcriptional activation of the receptor protein is affected similarly by STRs in coding and non-coding regions alike, as has been argued by some authors. *Cis*-regulatory variation cannot alter the *functionality* of the final, translated receptor (but see section 4.3 below for an exception). Its power comes primarily from its ability to alter *when*, *where*, and *how much* of the receptor is produced, which of course can determine hormone sensitivity and, through feedback mechanisms, the level of the hormone itself. Indeed, some polymorphisms in sex steroid receptors are linked with plasma levels of sex steroid hormones (Westberg et al., 2001). Thus, *cis*-regulatory variation can have its own *trans* effects, adding further to the downstream effects of sex steroid receptor polymorphisms.

When polymorphisms in non-coding regions of sex steroid receptor genes are found to associate with behavioral phenotypes, authors are generally cautious about attributing behavioral effects to that polymorphism alone. Some authors consider the possibility of linkage with a causal genetic driver. Some authors cite molecular studies as evidence that a particular SNP affects gene expression (e.g., Alonso et al., 2011 cites Maruyama et al., 2000). Rarely, authors have performed their own functional studies to complement findings of associations (e.g., Maruyama et al., 2000; Weickert et al., 2008). More commonly, authors simply point out that more research is needed to understand the mechanisms that underlie gene-behavior associations. Performing such research can be a daunting undertaking because there are so many mechanisms by which variation in *cis*-regulatory sequences can lead to alterations in mRNA or protein expression. Sex steroid receptors in particular are extraordinarily complex, with multiple promoters, coding and noncoding exons, alternative splice variants, and isoforms (Pinsonneault et al., 2017). Below, I have reviewed the most obvious processes that can be affected by regulatory variation; those processes are also shown in Fig. 4. The next section is not meant to be an exhaustive review of these mechanisms, which would be impossible. Rather, it is intended as a jumping-off point as we begin to assess the meaning and impact of known variation in sex steroid receptor genes.

4. Regulatory variation: Mechanisms and experimental approaches

4.1 Transcription factor binding sites

Transcription factors work by recruiting transcriptional activators or repressors to a gene or by altering the accessibility of that gene to those agents. Each transcription factor binds preferentially to a consensus sequence, or motif, usually located just upstream of the transcription start site—in other words, the promoter region. If a polymorphism disrupts that motif, the transcription factor may be less able to bind to the promoter and therefore less likely perform its regulatory function. Alternatively, a polymorphism may create a transcription factor binding site, thus inviting new regulatory control. Disruption or addition of transcription factor binding sites was one of the earliest-proposed models of how genetic variation leads to phenotypic variation (Monod & Jacob, 1961), and it remains one of the most popular. Some of the first work demonstrating this phenomenon was done in the context of hereditary diseases such as thalassemia, hemophilia, and some forms of cancer, which are associated with specific SNPs. Mutations in affected patients were found to alter the ability of transcription factors to bind to regulatory sequences in non-coding DNA, thereby either increasing or decreasing transcription of critical genes (Miller & Bieker, 1993; Reijnen et al., 1992; see also Deplancke et al., 2016; Funnell & Crossley, 2013). These findings laid the groundwork, both theoretically and technically, for the search for similar mechanisms underlying other kinds of traits.

Several of the polymorphisms reviewed here (see Tables 2 and 3) disrupt transcription factor binding sites upstream of exon 1. For example, the ESR1 SNPs rs6903180, rs488133, and rs9478245, which are each associated with obsessive compulsive disorder (Alonso et al., 2011), occur within binding sites for the transcription factors myt1, NGF1C, and sry, respectively (Weickert et al., 2008). Whether a particular polymorphism is capable of affecting transcription can be tested using *in vitro* reporter assays. The variable sequence, presumably containing the promoter region, is inserted into a DNA construct upstream of a reporter gene such as luciferase or green fluorescent protein. When the construct is then introduced into cultured cells, the degree to which the promoter region drives transcription can be assessed by quantifying the resulting amount of reporter gene product. In this way, the effect of a polymorphism can be determined by comparing the level of transcription between alleles. For example, Herrington et al., (2002) compared the level of transcription activity driven by either allele of the ESR1 SNP rs2234693, the “PvuII” polymorphism; see Fig. 2, Table 2). Similarly, Chen et al. (2013) compared transcription rate between two alleles of rs1271572, a SNP in ESR2 (Fig. 2) associated with autistic traits (Chakrabarti et al., 2009), face recognition (Karlsson et al., 2016), and sexual desire (Gunst et al., 2015). In both cases, not only was one allele transcribed at significantly higher levels than the other, but that difference appeared to be attributable to differentiation of a transcription factor binding site. These two polymorphisms occur within binding motifs of the transcription factors myb-b and YY1, respectively. In the absence of the appropriate transcription factor, transcription of the two alleles was not significantly different in either case (Fig. 5). These studies illustrate the value of the *in vitro* approach, supplying convincing evidence that variation in sequence can have concrete, quantifiable effects on gene expression.

Multiple tools (e.g. rSNP-MAPPER, TRANSFAC) are now available to help predict whether differentiation of DNA sequences affects transcription factor binding motifs, and it is becoming popular to include analysis of such in candidate gene association studies. The practice is controversial, however (Deplancke et al., 2016), because alteration of transcription factor binding sites does not automatically point to a causal mechanism. Binding of transcription factors is complex, and the effects of a polymorphism can be difficult to predict. A SNP within a particular binding motif may not affect local transcription at all; rather, it might affect expression of a relatively distant gene. In other words, associations between behavior and a SNP located within ESR1 may not actually be related to ER α at all. Second, the dynamics of transcription factor-DNA binding can be locally regulated and highly tissue-specific (reviewed by Deplancke et al., 2016); in other words, the degree to which a SNP affects transcription factor binding can depend on the cell type and even the brain region. Thus, even when the effect of a SNP is tested experimentally *in vitro*, studies of cell lines cannot tell us everything about what is going on locally in the brain. Finally, although more than a thousand transcription factors that bind DNA have been described in humans (Vaquerizas et al., 2009), there are undoubtedly others yet to be discovered. For all of these reasons, *in vitro* assays cannot show definitively that a particular polymorphism causes differential expression *in vivo* or that it affects behavior.

4.2 Epigenetic regulation

To be transcribed, DNA must be accessible to the proteins responsible for transcription, i.e., polymerases and other transcription machinery. The accessibility of DNA can be altered by epigenetic factors, such as acetylation of histones or methylation of the DNA itself. Methylation of the genes for sex steroid receptors mediates the influence of hormones and experience on the expression of those genes and is thought to contribute to sex differences in the brain and behavior (Champagne & Curley, 2009; Schwarz et al., 2010; Walker & Gore, 2017). Despite the name “epigenetic”, such mechanisms do depend, to a degree, on gene sequence (see Furey & Sethupathy, 2013). DNA methylation of promoter sequences, which can block transcription, occurs largely at cytosines followed by guanines, or “CpG” sites. Thus, the methylation status of a gene can theoretically be affected by genetic polymorphisms. STRs, particularly those such as the GGC repeat in AR, can dramatically change the methylation landscape because they can add or subtract many such sites. Methylation state can also be affected by SNPs; if a cytosine or guanine is substituted for a different nucleotide, a CpG site can be gained—or in the opposite scenario, lost—and a transcription factor binding site subsequently blocked or unblocked.

Of the more than 50 SNPs in sex steroid receptors reviewed here, (Tables 1, 2, and 3) 35% are associated with a loss, gain, or shift in CpG sites. The extent to which such changes actually affect expression is not well understood. A SNP in ESR1 (rs7766585) that causes a gain of a CpG site is highly associated with breast cancer (Harlid et al., 2011). It can be hard to detect associations between methylation and behavior, however, because the relevant methylation would presumably occur in brain tissue. The methylation state of any given locus can vary dramatically according to tissue type, meaning that a locus methylated in tissue easily obtainable from humans, such as blood or saliva, may not be methylated in brain (see Smith et al., 2015). Further, methylation can occur at locations other than CpG

sites, making it difficult to predict which polymorphisms affect methylation state. The length of the CAG repeat in AR is correlated with the degree of nearby methylation, even though it does not itself contain CpG sites (Hickey et al., 2002; Vottero et al., 1999; cf. Calvo et al., 2000). Although genetic variation can help determine the likelihood that a particular site is methylated, it is perhaps not the most important driver of such. By definition, epigenetic modifications in the absence of underlying genetic variation can explain individual differences in phenotypic traits. They may therefore represent an important future avenue of research into phenotypic variation of sex steroid-dependent traits (see Champagne & Curley, 2009).

4.3 Alternative splicing

Almost all mRNA transcripts undergo splicing, a process that removes selected sequences such as introns. Because splice sites in mRNA are defined by the local sequence, a SNP could lead to large insertions or deletions in the final mRNA message. Introns could be left in the sequence, or exons skipped. In this way, regulatory variation can lead to alternative isoforms of the protein that vary in functionality. For example, in a schizophrenic patient with an aberrant isoform of ER α mRNA, part of intron 4 was not spliced out; the resulting sequence contained a premature stop codon which resulted in a truncated protein missing the estrogen binding domain (Weickert et al., 2008). More commonly, alternative splicing leads to missing exons; in some cases extra exons can result from the transcription of an intronic sequence. In either case, the functionality of the resulting translated protein can be difficult to predict. Not only can alternative isoforms lose function, but they can also inhibit functional isoforms by blocking DNA hormone response elements on DNA (Ohlsson et al., 1998). Alternative isoforms may even be able to initiate transcription without hormone or coactivators (Fuqua et al., 1991). Thus, alternative isoforms can have “dominant negative” or “dominant positive” effects in heterozygotes, and these effects may even go in opposite directions in different tissues of the same individual (Inoue et al., 2005). The functionality of alternative isoforms can be determined *in vitro* using reporter assays (see Section 3.2 above; Fig 1C), which test the ability of the receptor to initiate the transcription of target genes (e.g. Weickert et al., 2008) or to interact with other proteins (e.g., Wong et al., 2011).

More than 60 unique ER α mRNAs, missing one or more exons compared with the canonical receptor ESR1-001, have been isolated from human brain. Some authors have argued, in fact, that alternative transcripts far outnumber the canonical version in brain (Pinsonnault et al., 2017). The complement of distinct ER α mRNAs varies from person to person (Ishunina & Swaab, 2012; Perlman et al., 2005) and may affect risk for mental disorders. Schizophrenic patients were significantly less likely than healthy controls to express a full-length ER α (Weickert et al., 2008). On the other hand, the number of alternatively spliced variants was lower in Alzheimer’s patients than in a control population (Ishunina & Swaab, 2012).

Weickert et al. (2008) performed an elegant analysis combining a candidate gene association study with experimental investigations of exon skipping. Using human brain tissue, they tested for SNPs that were predictive of alternative ER α transcripts. They identified a SNP (rs2773206) associated with an alternatively spliced transcript of ER α ; people with the G

allele of this SNP were more likely than those with the T allele to express an ER α variant missing exon 7 (7-ESR1). Using an *in vitro* reporter assay, they then showed that the ER α isoform transcribed from this variant was unable to initiate transcription at estrogen response elements in DNA (Fig. 6). In fact, the isoform also inhibited the transcription activity of the full-length, wildtype ER α isoform. These results suggested that expression of the variant may impact ER α activity *in vivo*. When the researchers sequenced ER α variants in brain tissue from patients with mental disorders and from healthy controls, they found that 7-ESR1 was expressed in 80% of patients with major depression but only in 48% of controls. A few years later, El-Ibiary et al. (2013) discovered a nominal association between the predictive SNP and postpartum depression. Although the *in vitro* experiments of Weickert et al. do not tell us definitively that the SNP is *causal* for depression, they provide a much clearer picture about endophenotypes than is currently available for most sex steroid receptor polymorphisms associated with human behaviors.

4.4 mRNA structure

To be translated into protein, mRNA must be relatively stable and accessible to translation machinery. Although most non-coding sequence is eliminated when introns are spliced out, the remaining sequence can nonetheless contain variation that affects mRNA stability and accessibility. A loss of stability can result in early degradation, which means less mRNA translated into protein. The effects of polymorphisms on thermodynamic stability can be modeled with software that predicts structure. Such analyses have shown, for example, that SNPs in ESR2 (rs2987983) and ESR1 (rs3798577) are likely and unlikely, respectively, to affect mRNA stability (Veronica et al., 2016; Haas et al., 2012). Sequence variation can cause changes in RNA folding and thus modify structural elements such as hairpins (Pinsonnault et al., 2017); variation can also affect RNA modifications such as methylation and RNA editing (the conversion of adenosine to inosine). These types of changes can alter the physical access of translation-related proteins and RNAs (Nainar et al., 2016), thus affecting translation rate.

4.5 microRNAs

One of the best-understood ways that polymorphisms can influence mRNA stability is by altering sites that interact with short, non-coding RNAs known as microRNAs (miRs). miRs bind to consensus sequences in the 3' untranslated region (UTR) of mRNA to initiate its degradation. When an miR binding site is altered by a polymorphism, meaning that miRs are more or less likely to bind to that site, RNA abundance can be up- or down-regulated as a result (Kim & Bartel, 2009; Zhang et al., 2012). Several of the behaviorally-relevant polymorphisms in ESR1 and ESR2 are located in 3' UTR, making them candidates for such regulation (Fig. 2). The ESR1 SNP rs2747648, which is associated with autism spectrum disorder (Zettegren et al., 2013) is predicted to affect the binding capacity of miR-453 (Tchatchou et al., 2009). Similarly, the ESR1 SNP rs3798577, which is associated with anorexia nervosa (Versini et al., 2010), occurs within a binding site for miR-122 (Haas et al., 2012). Whether these SNPs actually affect mRNA abundance is, however, not known.

The effect of a polymorphism on miR-associated regulation can be modeled *in silico*, then tested *in vitro*. For example, Adams et al. (2007) identified a C > T SNP in the 3' UTR of

ESR1 (rs9341070) that they predicted would affect the binding capacity of miR-206. When they tested constructs containing each variant in reporter assays, they found that activity from the T variant was lower and more sensitive to miR-206 regulation than the C allele. These results suggested that the T variant may reduce mRNA stability by introducing an miR-206 target sequence. Putnik et al. (2009) performed similar analyses on two behaviorally-relevant SNPs in the 3'UTR of ESR2 (rs4986938 and rs928554; see Table 3). They found that neither polymorphism significantly affected mRNA stability, and concluded that the association with phenotypes is more likely explained by linkage disequilibrium with causal SNPs.

Polymorphisms can occur not only in regions *targeted* by miRs, but also regions *encoding* the miRs themselves. Sequences encoding miRs are found in the introns and other regulatory sequences of many genes. Polymorphisms in these sequences could theoretically affect the stability of other mRNAs by altering the ability of the encoded miRs to interact with their targets. None of the polymorphisms in human sex steroid receptors, however, disrupt known miR coding sequences. According to miRBase.org, there are no known miR coding sequences in AR or ESR1. There is one near the 3' end of ESR2, but this region does not contain known SNPs. Thus, at this time there is no evidence that polymorphisms in sex steroid receptor genes influence the expression of other genes by disrupting miR sequences. The study of miRs is relatively young, however, and new miRs are still being discovered at a rapid rate (e.g. Wake et al., 2016).

4.6 Codon optimality

Synonymous SNPs, in other words SNPs that occur within coding sequence but do not change the sequence of the resulting protein, represent the quintessential silent mutation. This sort of substitution does not alter the protein sequence because the new codon encodes the same amino acid as the old one; for example TTG and CTG both encode leucine. The T > C change in this case is not likely to be completely silent, however, because although it does not affect the protein sequence, it could affect the rate of translation and, ultimately, mRNA stability. Not all codons are translated at equal rates. There are multiple hypotheses about why (Plotkin & Kudla, 2011); translational efficiency may be an important cause. The rate of translation may be related to the availability of corresponding tRNA molecules, such that tRNAs are readily available for “optimal” codons but more rare for non-optimal ones (dos Reis et al., 2004; Pechmann & Frydman, 2013). According to this hypothesis, optimal codons are translated at a faster rate, which may also affect the overall stability of the mRNA (Presnyak et al., 2015). In the above example, the synonymous change from TTG to CTG, although “silent”, represents a change from a highly optimal, stable codon to a non-optimal, unstable one (Presnyak et al., 2015).

A single codon substitution is unlikely to meaningfully affect overall translation rate. It is interesting to note, however, that some of the SNPs in sex steroid receptors alter codons in the predicted direction, given the associations with behavioral phenotypes. The G > A change in the ESR2 polymorphism rs1256049 is in women associated with generalized anxiety disorder, depression, and mild cognitive decline (Ryan et al., 2011a; 2011b; 2013). The corresponding codon, GTA, is associated with lower mRNA stability than the original

GTG (Presnyak et al., 2015), which is consistent with a protective role for estrogen in these conditions. The nonsynonymous SNP in AR, rs6152, swaps out the low-stability, non-optimal codon GAG for the more stable and optimal GAA, theoretically increasing the rate of synthesis for AR. This change is associated with increased risk of autism spectrum disorder in females, consistent with the widely hypothesized role for androgens in the etiology of this disorder (Henningsson et al., 2009). Two synonymous SNPs in ESR1, on the other hand, result in codons with about the same optimality and stability despite their associations with depression and Alzheimer's disease. Isolated changes in codon usage are unlikely to account completely for associations between genotype and phenotype, but they may contribute to important variation by acting in concert with other mechanisms.

4.7 Region-specific expression

One of the most important factors to consider about regulatory variation is that its impact depends strongly on the local cellular environment. Because the local complement of transcription factors and miRs can vary dramatically according to cell phenotype (see Carroll, 2000; Deplancke et al., 2016; Hobert et al., 2004), the effects of a sequence polymorphism can also vary. In other words, the effects of a SNP on mRNA abundance in the amygdala may differ dramatically from those in cortex simply because the two brain regions express different complements of regulatory molecules, like transcription factors and miRs, that would interact with the affected sequence. Methylation and alternative splicing of sex steroid receptor genes and transcripts, respectively, can depend not only on brain region but also on age (Mott & Pak, 2013; Price et al., 2000; Schwarz et al., 2010). The main point here is that it can be difficult to predict the effect of a SNP on transcription in a particular type of tissue, because the same SNP could produce gain-of-function, loss-of-function, or be completely neutral depending on many tissue-specific factors. Those of us interested in behavior must analyze tissue collected from the relevant area of brain—a tall order when studying humans. To best understand how regulatory variation in sex steroid receptors contributes to behavioral phenotype, it will be necessary to make use of well-chosen animal models.

5. Animal models

5.1 Laboratory models

Animal models provide opportunities that human subjects cannot: a controlled environment, manipulation of the genes of interest, and extraction of any tissue to study endophenotypes. They have enabled the discovery of many causal links between expression of sex steroid receptors and behavior, which is a crucial step in the long and winding path from genotype to phenotype. Reviewing this literature is beyond our scope here, but it is worth briefly mentioning the animal models that have most informed our understanding of how variation in sex steroid receptors might contribute to phenotypic variation.

For studying the role of sex steroid receptors in behavior, the most commonly used models are testicular feminization mutants (*Tfm*) and knockout mice. The *Tfm* mutation was first described in rats (Bardin et al., 1970) and, shortly thereafter, in mice (Lyon & Hawkes, 1970). In both cases, animals carrying the *Tfm* allele were genetic males but exhibited a

female-like external appearance, suggesting androgen insensitivity. Both mutations have been confirmed to reside within the gene for AR. In rats, a non-synonymous SNP in exon 5 causes an amino acid change in the ligand-binding domain, greatly reducing both androgen binding and receptor transactivation (Yarbrough et al., 1989). In mice, a deletion in exon 1 causes a shift in the translational reading frame, leading to a premature stop. The resulting truncated protein, which lacks both the DNA binding and the ligand binding domains (He et al., 1991), is non-functional.

For many years, *Tfm* rodents represented one of the only animal models in which the role of AR in a behavior could be tested; they were found to differ from wild-type animals with respect to spatial memory, anxiety-related behavior, aggression, social investigation and vocalization behavior (reviewed by Zuloaga et al., 2008). The models proved laborious to work with, however; because the mutations are located on the X chromosome, all male carriers are infertile and breeding programs are therefore difficult. This problem was solved with the advent of Cre-loxP technology, which allows editing of gene sequences such that females homozygous for a disrupted AR can be generated for breeding. Using this strategy, a number of AR knockout mice were developed to lack parts of exons 1, 2, or 3. With these newer models, the anatomical and behavioral phenotypes of *Tfm* mice were largely confirmed (reviewed by De Gendt & Verhoeven, 2012; Kerkhofs et al., 2009).

ER α knockout mice (ER α KO) were introduced by Lubahn et al. (1993). In these mice, ESR1 is disrupted by an insertion in the second exon. Although a truncated variant is expressed in brain, the full-length receptor is not (Shughrue et al., 2002). Early behavioral characterization of ER α KO mice revealed disruption of female sexual receptivity, masculine sexual behavior, male-male aggression, and maternal behavior (Ogawa et al., 1996; 1997; Rissman et al., 1997; Wersinger et al., 1997). Subsequent work suggested other phenotypic alterations, including effects on anxiety-like behavior, social discrimination, and cognitive function (Choleris et al., 2006; Fugger et al., 2000; Imwalle et al., 2002). A few years after the ER α KO mouse became available, Kregge et al. (1998) introduced a line of mice lacking ESR2 expression (ER β KO). Unlike ER α KO mice, the ER β KOs engaged in nearly normal reproductive behaviors (Ogawa et al., 1999; c.f. Temple et al., 2003). The behavioral effects of ESR2 disruption in mice were more closely related to mood and cognition (reviewed by Bodo & Rissman, 2006; Rissman, 2008) and in females included alterations in social discrimination (Choleris et al., 2006), anxiety (Walf et al., 2009), and spatial cognition (Rissman et al., 2002). The AR and ER knockouts, which essentially changed the face of reproductive neuroendocrinology, quickly became so sophisticated that it is now possible to knock out a receptor selectively in neurons of a particular phenotype (De Gendt & Verhoeven, 2012; Mayer et al., 2010) or during a particular developmental period (e.g., Cheong et al., 2014). The utility and importance of these powerful models cannot be overestimated—transgenic mice will be major players in behavioral neuroendocrinology for the foreseeable future.

Studies on knockout mice are often cited in human association studies. For example, if a particular SNP in a sex steroid receptor is associated with memory, the report may review literature showing that knockout mice show similar deficits. Such comparisons are a bit tenuous, however, because in knockout mice the expression of the relevant gene is not

simply altered—it is essentially abolished. Although insertions or nucleotide substitutions do sometimes abolish expression of functional sex steroid receptors in humans (Batch et al., 1992; Ahmed et al., 2000), none of the behaviorally relevant polymorphisms reviewed here (Figs. 1, 2; Tables 1, 2, 3) do so. Even unusually short or long polyglutamine stretches do not, alone, prevent receptor transactivation completely (McPhaul et al., 1991; Chamberlain et al., 1994). Knockout mice are thus not ideal for modeling the effects of functional receptor variants on behavioral phenotypes in humans. Other models will be necessary to recapitulate human biology.

It is technically possible to create “knockin” lines of animals that express human genetic variants. Nonetheless, few researchers have created such lines for genes relevant to behavioral disorders (see Chen et al., 2006; Mague et al., 2009). Using Cre-loxP technology, Robins and colleagues created a line of “humanized” mice that express exon 1 and surrounding intronic sequence of the human AR (Robins et al., 2008). The inserted sequences incorporate stretches of 12, 21, or 48 glutamines to mimic human variation. In males, the length of the polyglutamine stretch was inversely related to androgen-dependent measures such as body weight, seminal vesicle weight, prostate lobe weight, and plasma luteinizing hormone (Albertelli et al., 2006; Simanainen et al., 2011), suggesting that this STR polymorphism can alter the sensitivity of target organs to androgens. Surprisingly, however, the behavioral phenotype of these mice has yet to be characterized in detail. We do not know, for example, whether the polyglutamine stretch is associated with aggression, hyperactivity, or impulsivity, as it is in humans, or whether it affects performance on tests of depression-like behavior or cognitive impairment. Given the level of interest in this polymorphism in human behavioral phenotypes and disorders, more behavioral research with this model is warranted. Models of other polymorphisms can be developed as well. With the advent of CRISPR-Cas9 technology, which not only simplifies gene editing but extends the technique to a wider variety of model organisms (Hsu et al., 2014; Irion et al., 2014), we should expect to see increased availability of animals expressing human variants (see Zhu et al., 2016).

5.2 Animal models of naturally occurring genetic variation

Gene editing technology allows precise substitutions of human sequences into the genomes of non-human models, which presents tremendous opportunities for studies of mechanism. It also presents challenges because the edited sequences have been removed from their endogenous environment. It is important, therefore, to study variation in more natural contexts as well. Working with wild species, for example, allows us to take advantage of naturally occurring genetic diversity and individual differences in behavior. One such model is the white-throated sparrow (Maney, 2008; Maney & Goodson, 2011; Maney et al., 2015). In this songbird, a rearrangement of chromosome 2 (ZAL2^m) has captured and subsequently driven the differentiation of genes inside it (Thomas et al., 2008). One of these genes is ESR1.

Differentiation of the genes within ZAL2^m has led to differentiation of plumage and steroid-dependent behaviors. Birds of the white-striped (WS) morph (Fig. 7A), which are heterozygous for the rearrangement, exhibit higher levels of vocal aggression (Horton et al.,

2014a). WS males engage in more risk-taking behavior, intruding into the territories of other males to attempt extra-pair copulations (Tuttle, 2003). Birds of the tan-striped (TS) morph, on the other hand, have two copies of the non-rearranged chromosome (ZAL2) and exhibit higher levels of parental provisioning (Horton et al., 2014a). TS males form stronger social attachments to their mates and offspring, performing more mate-guarding and parental provisioning than WS males (Horton et al., 2014b; Kopachena & Falls, 1993). Thus, the morphs differ with respect to a whole suite of steroid-dependent, correlated traits.

The numbers of WS and TS birds are balanced in each population because of disassortative mating—nearly all mating pairs consist of one WS and one TS bird. Thus, this species essentially has four “sexes” in that individuals seek out partners of opposite sex *and* morph. This mating system confines ZAL2^m to a near-constant state of heterozygosity reminiscent of the mammalian Y chromosome. This situation profoundly suppresses recombination (Thomas et al., 2008) and has led to the accumulation of SNPs. Two nonsynonymous mutations have occurred in the coding region of ESR1, but these changes are not expected to affect receptor function (Horton et al., 2014b). We have therefore turned our attention to the regulation of expression.

In a field study of breeding birds, we showed that expression of ESR1 differs between WS and TS birds in at least 10 brain regions, including nucleus taeniae of the amygdala (Horton et al., 2014a; Fig. 7D). Notably, levels of ESR1 mRNA in this region significantly predicted vocal aggression (Fig. 7E), suggesting a possible causal relationship between ER α and behavior. In contrast with the coding sequences, the promoter sequences upstream of the ER α start site contain substantial variation (Fig. 7F). This variation affects putative binding sites for transcription factors. The ZAL2^m allele, for example, has gained a binding site for Pbx-1, a transcription factor that may regulate the expression of ESR1 in humans (Cheung et al., 2009). To test whether such variation drives variation in gene expression, we cloned the putative promoter regions and tested their ability to drive transcription of a luciferase reporter gene in cultured cells. We found that the ZAL2^m and ZAL2 promoters do in fact drive transcription to different degrees (Horton et al., 2014b; Fig. 7F), which may explain differential ESR1 expression in the brain (Fig. 7D). Thus, we have shown that in this model, variation in the ESR1 sequence leads to variation in expression, and that variation in expression predicts variation in behavior. This approach illustrates an integrative strategy that can be used in other organisms to connect genotype to phenotype across multiple levels of biological organization.

One of the most interesting studies of AR polymorphism in non-humans was done by Konno et al. (2011) in Japanese Akito Inu dogs. Like humans, these dogs are polymorphic for an STR in exon 1. The number of CAG repeats, which was found to be 23, 24, or 26 in the three alleles, was inversely correlated with aggression as reported by the dogs' owners. The AR polyglutamine polymorphism has been characterized in a number of other species, including non-human apes and equines. The length of the CAG stretch is significantly longer in bonobos, for example, than in their more aggressive congeners, chimpanzees (Garai et al., 2014). Similarly, the number of repeats in wild zebras was found to be lower than in domesticated horses, which are presumed to be less aggressive (Ito et al., 2015). Each of these results recapitulates those showing that in humans, the length of the repeat is inversely

proportional to aggression (Butovskaya et al., 2012; 2015; Rajender et al., 2008; c.f. Jönsson et al., 2001). The number of repeats may have comparable effects on receptor function (Fig. 1C) in multiple species.

Because speciation alters the genome at many locations, interspecific comparisons, for example between chimpanzees and bonobos, make it somewhat difficult to relate behavior to a single polymorphism. It is perhaps more valuable to compare more recently diverged populations, for example geographically isolated populations of the same species—particularly species from which tissue can be collected to investigate endophenotypes. I'll briefly discuss two good examples here: the dark-eyed junco and the prairie vole. Both species have been studied for decades in the context of behavioral neuroendocrinology. In both species, geographically separated subpopulations have recently diverged with respect to sex steroid-dependent behavioral phenotypes. In a population of juncos in South Dakota, for example, males have become larger, more ornamented, and more aggressive than males in an ecologically similar population in Virginia (Nolan et al., 2002; Bergeon Burns et al., 2013). The two populations did not differ with respect to plasma T (Bergeon Burns et al., 2013), suggesting differential sensitivity to androgens or estrogens at the level of receptors. In fact, the populations differed with respect to levels of AR mRNA in the ventromedial telencephalon, which includes nucleus taeniae of the amygdala (Bergeon Burns et al., 2013). Interestingly, the relationship between aggression and ER α mRNA in this brain region was positive for the Virginia population (see also Rosvall et al., 2012) but negative for the South Dakota one. Outside the brain, AR mRNA was higher in the pituitary in males of the Virginia population (Bergeon Burns et al., 2014); other differences in the steroidogenic pathway were recently described in testes (Rosvall et al., 2016). Overall, the work with juncos suggests that the mechanisms underlying steroid-dependent behaviors are plastic and subject to natural selection, and that divergence in behavioral phenotypes can and will be traced to specific, identifiable variation in steroid-related genes. Thus, this species is rapidly becoming an important model for understanding the impact of genetic diversity on behavioral diversity (see also McGlothlin & Ketterson, 2016).

The same comparative approach has been taken a bit further in a wild rodent, the prairie vole. Research on two study populations, one in Kansas and the other in Illinois, has revealed population-based differences in several behaviors in males. Although males in both populations are monogamous, those in Kansas are larger, wider-ranging, more aggressive, and less parental than their Illinois counterparts (reviewed by Cushing et al., 2001). These behavioral differences are correlated with expression of ER α in the medial amygdala; the number of cells immunopositive for ER α was higher in this region in the Kansas males than in the Illinois males (Cushing et al., 2004). This relationship appears to extend to another species of vole, the mandarin vole; ER α expression in the medial amygdala was higher in less parental, more aggressive males from a population in Xinzhen, China than in a more parental, less aggressive population in Chengcun (Wu et al., 2011). These correlations suggest, but do not definitively show, a causal relationship between ER α expression and behavior in voles. In a critical follow-up study, Cushing et al. (2008) used viral vectors to over-express ER α in the medial amygdala of male prairie voles from the more parental Illinois population. Artificial elevation of ER α expression inhibited parental behavior and stimulated interest in novel females; in other words, it induced behavior more typical of the

Kansas males. This study, which remains among few of its kind in a vertebrate, showed strong evidence that phenotypic divergence between the populations is explained by divergence in ER α distribution. Levels of ER α in the medial amygdala depend, to a degree, on environmental factors (Perry et al., 2016) but may also be modulated by regulatory variation as described above in Section 4. To further connect gene sequence with behavior in voles, juncos, and other behaviorally diverging populations, regulatory variation in sex steroid receptors should be identified and its ability to alter gene expression tested *in vitro*.

Naturally-occurring variation, whether introduced by an inversion or by geographic separation, presents both opportunities and challenges. The rearrangement of chromosome 2 in white-throated sparrows contains about a thousand genes, all of which are inherited together as a supergene (Thomas et al., 2008; Thompson & Jiggins, 2014). This situation magnifies the problem of linkage; the effects of the genes located on that chromosomal branch of the watershed (Fig. 3A) cannot be separated, even with large sample sizes. Linkage is a problem also in the case of diverging populations because many genes are affected in addition to sex steroid receptors. Increasing sample size could theoretically diminish the problem of linkage in divergent populations of voles and juncos, but because animals must be observed and collected in the field, often at locations distant from the lab, such an approach is not very practical. The best approach will involve a combination of traditional and non-traditional models in both the lab and the field.

6. Conclusion

Understanding how behavior is encoded in the genome, and therefore how behavior evolves and how it is inherited, is one of the most interesting and important problems in psychology. Hormones and hormone pathways are uniquely positioned to shed a tremendous amount of light here. They are already established as powerful drivers of natural behavior; in other words, they mediate “some of the best phenotypic phenomena that can be analyzed” (Pfaff et al., 2011). From work with animal models, causal roles have been established for steroid hormone receptors in a variety of behaviors, both during development and in adulthood. In order for a SNP-behavior association to expand our knowledge into truly uncharted territory, we need to consider the factors that explain the association. Even if the goal is simply to use the SNP as a marker of risk, it is nonetheless beneficial to learn about the underlying mechanisms so that we can identify the most informative—ideally causal—markers.

Making causal connections between non-coding polymorphisms and behavior will require a multi-tier, experimental approach. Not all SNPs are in a position to affect gene expression. SNPs that actively regulate mRNA transcription and stability are likely to be located within stretches of ‘open’ chromatin, in other words within sequences that are accessible to transcription factors. Such areas can be identified using techniques such as DNase-seq, ATAC-seq, or FAIRE-seq (Tsompana & Buck, 2014). The variation must then be shown to affect mRNA abundance or protein function. This goal can be accomplished using *in vitro* approaches, such as the reporter assays described above (Figs. 5, 6, 7F). It is even possible to use high throughput reporter assays to identify new sequences regulating expression of genes of interest (Diao et al., 2016; Rajagopal et al., 2015). Reporter assays can establish

that variation has the *potential* to regulate expression in brain tissue, but they come with the caveat that endogenous conditions are not easily simulated in a dish.

In vitro or *in vivo*, gene expression is far removed from behavioral phenotype. Expression must be connected with the behavior of interest. Proteins can be manipulated *via* knockdown or pharmacological interventions, and effects on phenotype shown (e.g. Cushing et al., 2008), but even that approach does not show direct evidence that a genetic change affects phenotype. Connecting specific genetic sequence with a behavioral phenotype requires a transgenic approach (Hoekstra & Coyne, 2007). The gold standard experiment is to replace the specific polymorphism in the genome, then test for phenotypic change (Wray, 2013). This goal can currently be accomplished in mice with Cre-loxP knockins (Glatt & Lee, 2016; Robins, 2008); the future of this field likely lies with the newer CRISPR-Cas9 technology (Hsu et al., 2014; Irion et al., 2014; Zhu et al., 2016) in a variety of non-traditional models.

Researchers working with humans have few options for performing functional studies. Nonetheless, if the hypothesis to be tested is whether the receptor plays a role in a human behavioral phenotype, steps can be taken to maximize the informativeness of associations and to draw stronger conclusions. First, since there are hundreds of polymorphisms in sex steroid receptors to choose from, we can focus first on those that have already been modeled *in vitro* or *in vivo*. Instead of looking to functional studies in which the gene has been entirely knocked out, for example, we should cite those in which the polymorphism itself is modeled—and encourage new studies on this topic. Second, we should directly address the fact that functional studies may not generalize across different types of tissue, for example from blood to brain. Studies are sorely needed in which the functional impact of polymorphisms, for example on transcription or methylation, is compared among tissue types in animal models. Such studies may lend credence to the typical conclusions drawn in the human literature, or at the very least they will show the extent to which those conclusions are warranted.

The path from SNP to behavior is long and circuitous. Small changes at the level of gene sequence have direct effects at the levels immediately above, e.g., transcription, but these effects can become difficult to detect at higher and higher levels (Fig. 3). At the level of behavioral phenotype, they often explain very little variation (Cannon & Keller, 2006; Saltz, 2017). Experimental manipulations of gene sequence in animal models have taught us that the effects of a polymorphism on lower-level endophenotypes can quickly lead to complex and even paradoxical effects at higher levels (Glatt & Lee, 2016). A polymorphism may produce both gain-of-function and loss-of-function in different tissues in the same individual, for example. For these reasons, it seems premature to use a polymorphism as a proxy for measuring the contribution of a receptor to a behavior. If we do make that leap, it is important to look down at what we are leaping over because that view might change everything.

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Highlights

- More than 50 variants of sex steroid receptor genes are associated with human behavioral phenotypes.
- Most of the polymorphisms do not occur in coding regions, making interpretation challenging.
- Variation in non-coding regions can affect gene transcription *via* a variety of mechanisms.
- Making use of *in vitro* assays and non-human models will advance understanding of these processes.

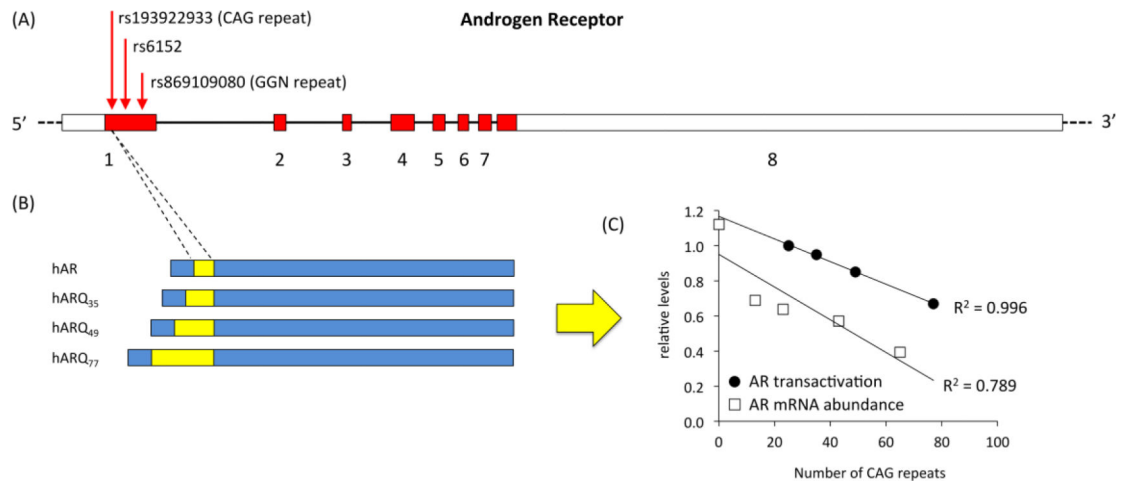


Fig. 1. Genetic polymorphisms in human AR.

(A) Polymorphisms that have been associated with behavioral phenotypes or outcomes are shown. Boxes indicate exons, and lines indicate introns (introns not drawn to scale). Exon numbering, coding regions (red) and UTRs (white) are based on the primary transcript (AR-001) as annotated in ENSEMBL. The positions of two tandem repeat polymorphisms and one single nucleotide polymorphism are indicated by arrows. Each polymorphism is labeled with the Reference SNP ID (rs) number assigned by dbSNP (NCBI). Locations of polymorphisms are approximate. (B) The greater the number of CAG repeats, the longer a stretch of glutamine residues in the translated protein (not to scale). This “poly-Q stretch” (yellow) contains 25 glutamines in the human wild-type AR (hAR). (C) Increased length of the poly-Q stretch inhibits the trans-regulatory activity of the AR protein, as evidenced by a decrease in the initiation of transcription in reporter assays *in vitro* (Chamberlain et al., 1994), as well as the abundance of AR mRNA (Choong et al., 1996).

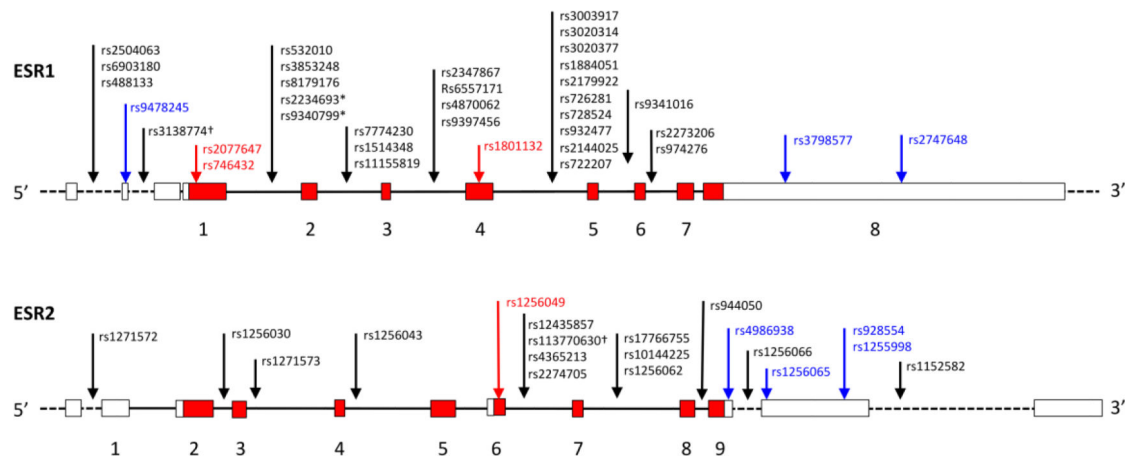


Fig. 2. Genetic polymorphisms in human ESR1 and ESR2.

Polymorphisms that have been associated with behavioral phenotypes or outcomes are shown. Boxes indicate exons, and lines indicate introns (introns not drawn to scale). Exon numbering, coding regions (red) and UTRs (white) are based on the primary transcripts (ESR1–001 and ESR2–001) as annotated in ENSEMBL. The dotted lines and unnumbered exons indicate introns and exons of alternative transcripts. The approximate positions of polymorphisms, most of which are single nucleotide polymorphisms, are indicated by arrows. Each polymorphism is labeled with the Reference SNP ID (rs) number assigned by dbSNP (NCBI). Black, blue, and red arrows indicate intronic, UTR, and synonymous (coding) variants, respectively. **PvuII* and *XbaI* polymorphisms. †Repeat polymorphisms.

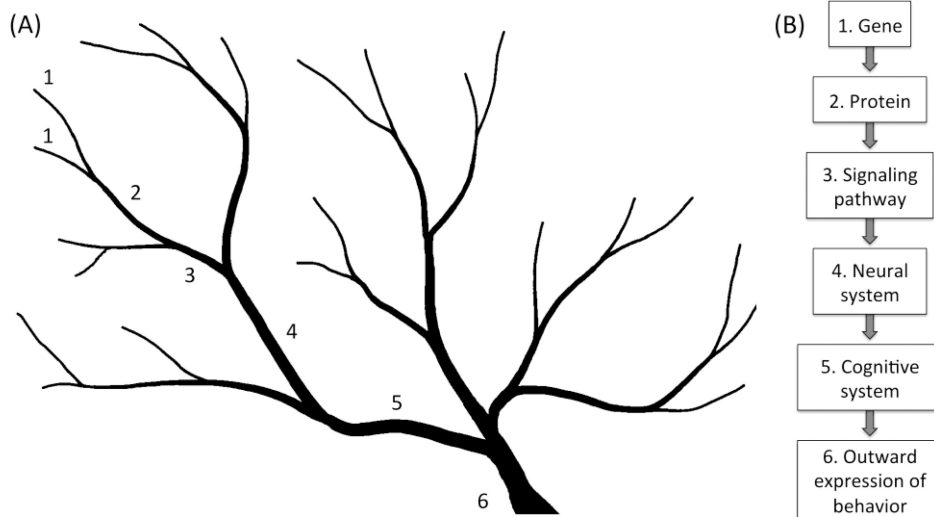


Fig. 3. The concept of endophenotypes.

(A) The watershed model of Cannon & Keller (2006) illustrates the pathway from genotype to phenotype. Specific variants (1) affect precisely defined endophenotypes such as the level of ESR1 expression in hippocampus (2) or downstream targets of estrogen receptor alpha (3). This endophenotype combines with others from different branches to influence broader endophenotypes such as the functioning of memory circuits (4) and subsequently, short-term memory (5). The endophenotype of short-term memory then in turn combines with still others to create behavioral phenotypes, such as dementia (6). (B) The pathway from gene to behavioral phenotype involves endophenotypes at many levels of biological organization.

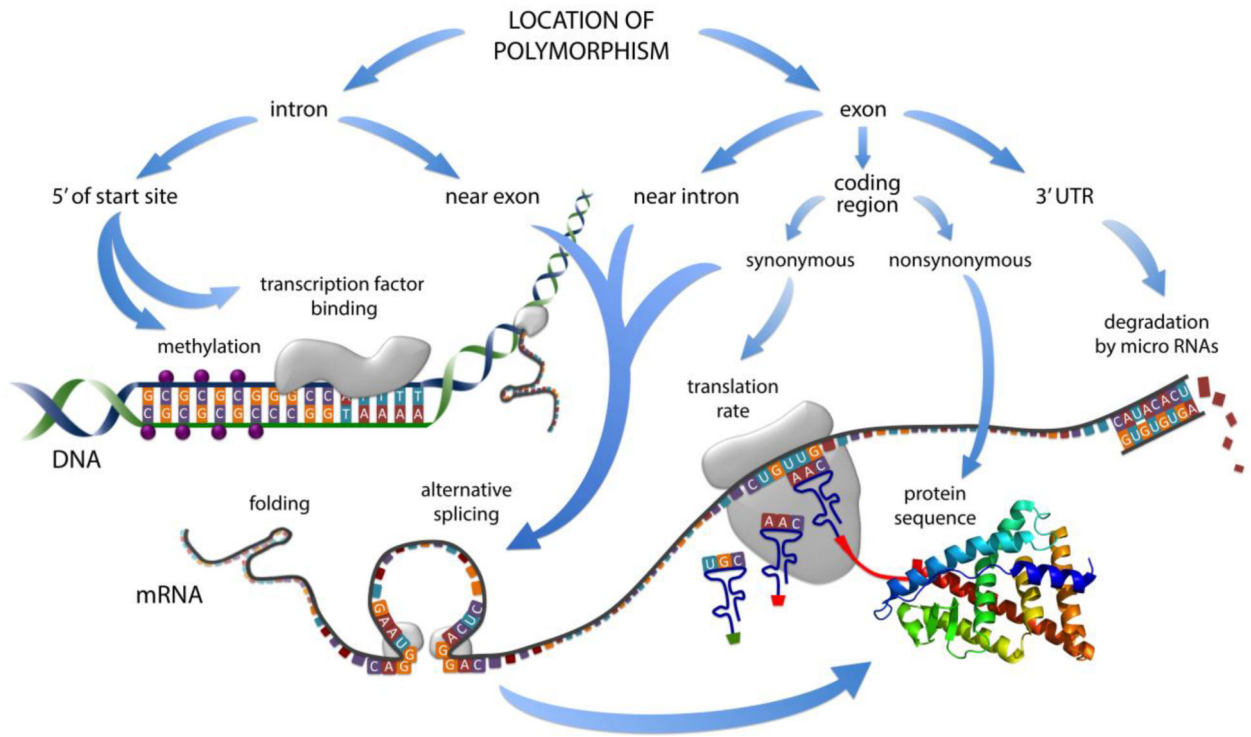


Fig. 4. The effect of a polymorphism depends on its location within a gene.

The above diagram can be used as a decision tree to identify some of the possible effects of a polymorphism with a known location. For example, a polymorphism in an intron 5' of the start site could alter methylation of the promoter or the binding of transcription factors, thus affecting transcription rate. A nonsynonymous SNP in the coding region of an exon could affect protein sequence, thus affecting receptor function. A synonymous SNP in the coding region could affect translation rate and thus protein abundance, or splicing and thus protein sequence. This figure is meant as a starting point for considering mechanisms and does *not* depict all possible outcomes. For example, methylation and folding can be affected by variation at any location, and all of the processes depicted here may depend on the type of cell and/or region of the brain. Rendition of protein by Emw (2015).

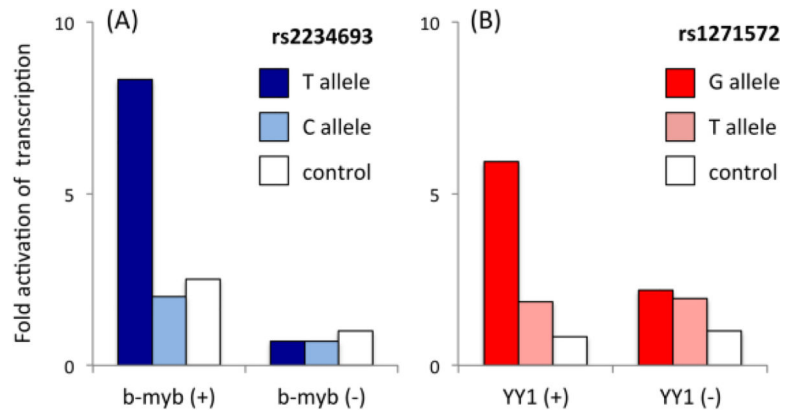


Fig. 5. Single nucleotide polymorphisms within transcription factor binding sites affect transcription rate *in vitro*.

rs2234693 (ESR1) and rs1271572 (ESR2) occur within binding motifs of transcription factors b-myb and YY1, respectively. Results from *in vitro* reporter assays show that the transcription rate of one allele is higher than the other, but only in the presence of the relevant transcription factor. (A) Herrington et al. (2002) demonstrated higher transcription rates for the T allele than the C allele of rs2234693 when the gene for b-myb was co-transfected into the cells. (B) Chen et al. (2013) showed higher levels of transcription of the G allele than the T allele of rs1271572, but when YY1 was knocked down, the difference was abolished. In both (A) and (B), the control condition is the level of transcription without either allele in the construct. All data are normalized to the control condition without transcription factor present.

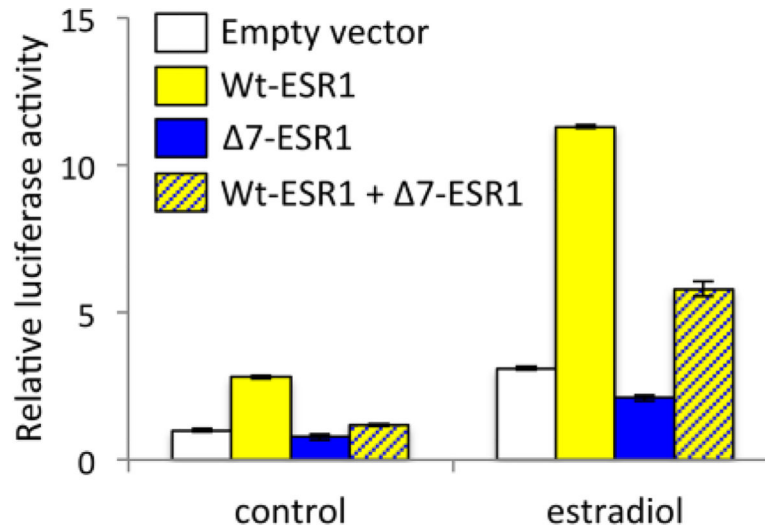


Fig. 6. Deletion of exon 7 abolishes ER α transcription activity *in vitro*.

Cultured cells were transfected with plasmid vectors containing the genes for wild-type ESR1 (Wt-ESR1), ESR1 missing exon 7 ($\Delta 7$ -ESR1), or both. Each plasmid also contained a luciferase reporter gene downstream of estrogen-response elements. Cells were then incubated for 24h in the presence or absence of 17β -estradiol. $\Delta 7$ -ESR1 was unable to initiate transcription of the luciferase reporter and attenuated the activity of Wt-ESR1. All values are normalized to the empty vector control. Redrawn from Weickert et al. (2008).

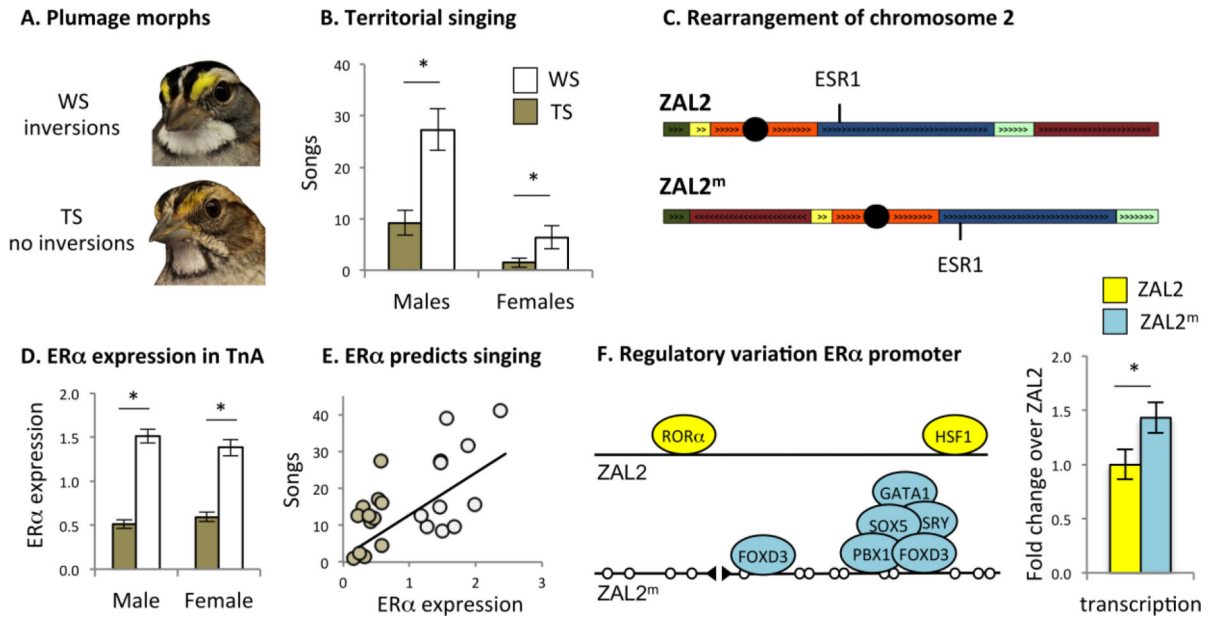


Fig. 7. The white-throated sparrow as a model of ERα polymorphism.

(A) white-throated sparrows occur in two plumage morphs known as white-striped (WS) and tan-striped (TS). (B) WS birds of both sexes engage in higher levels of singing in response to a simulated territorial intrusion. Data from Horton et al. (2014a). (C) WS birds are heterozygous for a series of nested inversions on chromosome 2, which have captured the gene ESR1. The rearranged chromosome is designated ZAL2^m for metacentric (Thornycroft, 1975; redrawn from Thomas et al., 2008; see also Horton et al., 2013). (D) Levels of ERα mRNA are much higher in nucleus taeniae of the amygdala (TnA) in WS than TS birds, and (E) that expression is correlated with songs given in response to simulated territorial intrusion (Horton et al., 2014b). (F) Regulatory variation in the promoter region of ESR1 causes the loss of two and the gain of six transcription factor binding sites on ZAL2^m, relative to ZAL2. Open circles represent single nucleotide polymorphisms, close circles insertions, and gaps deletions. The ZAL2^m sequence drives more expression of a luciferase reporter gene *in vitro* than the ZAL2 sequence. Redrawn from Horton et al. (2014b).

Table 1.

Polymorphisms of AR that are associated with human behavioral phenotypes.

Polymorphism	Class	Phenotype (trait or disorder)	Reference
rs193922933	STR	Aggression	Butovskaya et al. (2012; 2015); Rajender et al. (2008); c.f. Jönsson et al. (2001)
		Autism	Henningsson et al. (2009)
		Cognitive impairment	Yaffe et al. (2003)
		Depression	Colangelo et al. (2007); Sankar et al. (2012); Schneider et al. (2011); Seidman et al. (2001); c.f. Schneider et al. (2013)
		Extroversion	Westberg et al. (2009)
		Hostility	Pivovarciova et al. (2016)
		Hyperactivity	Butovskaya et al. (2012); Hurd et al. (2011); c.f., Jönsson et al. (2001)
		Impulsivity	Aluja et al. (2011; 2015); Mettman et al. (2014)
		Intellectual giftedness	Celec et al. (2013)
rs6152	SNP	Autism	Henningsson et al. (2009)
rs869109080	STR	Aggression	Comings et al. (2002)
		Biological parents divorced	Comings et al. (2002)
		Biological father absent	Comings et al. (2002)
		Number of sex partners	Comings et al. (2002)
		Sexual compulsivity	Comings et al. (2002)
		Impulsivity	Aluja et al., (2012); Comings et al. (2002)

SNP, single nucleotide polymorphism; STR, short tandem repeat

Table 2.

Polymorphisms of ESR1 that are associated with human behavioral phenotypes.

Polymorphism	Class	Phenotype (trait or disorder)	Reference
<i>rs11155819</i>	SNP	Autistic-like traits	Chakrabarti et al. (2009)
<i>rs1514348</i>	SNP	Alzheimer's disease	Ma et al. (2009); c.f.Goumidi et al. (2011)
<i>rs1801132</i>	SNP	Abstractedness	Miller et al. (2010)
		Alzheimer's disease	Ma et al. (2009)
		Emotional stability	Miller et al. (2010)
		Impression management	Miller et al. (2010)
<i>rs1884051</i>	SNP	Abstractedness	Miller et al. (2010)
		Harm avoidance	Miller et al. (2010)
		Negative (harsh) parenting	Lahey et al. (2012)
		Neuroticism	Miller et al. (2010)
		Premenstrual dysphoric disorder	Huo et al. (2007)
<i>rs2077647</i>	SNP	Alzheimer's disease	Ma et al. (2009; age of onset); Schupf et al. (2008); c.f. Goumidi et al. (2011)
<i>rs1256062</i>	SNP	Childhood-onset mood disorder	Mill et al. (2008)
		Postpartum depression	Pinsonneault et al. (2013)
<i>rs2144025</i>	SNP	Various traits in bipolar disorder, ADHD, and schizophrenia	Pinsonneault et al. (2017)
<i>rs2179922</i>	SNP	Episodic memory	Ma et al. (2014)
<i>rs2234693 "PvuII"</i>	SNP	Alzheimer's disease	Boada et al. (2012); Brandi et al. (1999); Corbo et al. (2006); Ji et al. (2000); Pan et al. (2014); Ryan et al. (2014); see also Xing et al. 2013
		Anger expression	Vermeersch et al. (2013)
		Anxiety-related traits	Prichard et al. (2002)
		Cognitive impairment	Yaffe et al. (2002)
		Depression	Keyes et al. (2015); Kim et al. (2010); Ryan et al. (2011a); Tsai et al. (2003); Vermeersch et al. (2013); cf. Kravitz et al. (2006b)
		Episodic memory	Kravitz et al. (2006a); Sowers et al. (2006)
		Number of children	Corbo et al. (2007)
<i>rs4986938</i>	SNP	Obsessive compulsive disorder	Alonso et al. (2011)
		Phobia	Ryan et al. (2011b)
		Schizophrenia	Weickert et al. (2008)
<i>rs2273206</i>	SNP	Postpartum depression*	El-Ibiary et al. (2013)
<i>rs2347867</i>	SNP	Alzheimer's disease	Ma et al. (2009)
		Age at first birth	Barban et al. (2016)
<i>rs2504063</i>	SNP	Voice recognition	Karlsson et al. (2016)
<i>rs2747648</i>	SNP	Autistic-like traits	Zettergren et al. (2013); cf. Zettergren et al. (2016)
<i>rs3003917</i>	SNP	Abstractedness	Miller et al. (2010)
		Premenstrual dysphoric disorder	Huo et al. (2007)
<i>rs3020314</i>	SNP	Abstractedness	Miller et al. (2010)
		Harm avoidance	Miller et al. (2010)
		Premenstrual dysphoric disorder	Huo et al. (2007)

Polymorphism	Class	Phenotype (trait or disorder)	Reference
<i>rs.3020377</i>	SNP	Abstractedness	Miller et al. (2010)
		Harm avoidance	Miller et al. (2010)
		Negative (harsh) parenting	Lahey et al. (2012)
		Premenstrual dysphoric disorder	Huo et al. (2007)
<i>rs.3138774 "TA(n)"</i>	STR	Aggression	Vaillancourt et al. (2012)
		Anxiety-related traits	Comings et al (1999b); Prichard et al. (2002)
		Conduct disorder	Comings et al. (2000)
		Harm avoidance	Gade-Andavolu et al. (2009)
		Non-conformism	Westberg et al. (2003)
		Postpartum depression	Pinsonneault et al. (2013)
<i>rs.3798577</i>	SNP	Anorexia nervosa	Versini et al. (2010); c.f. Slof-Op 't Landt et al., (2014)
<i>rs.3853248</i>	SNP	Alzheimer's disease (age of onset)	Ma et al. (2009)
<i>rs.4870062</i>	SNP	Abstractedness	Miller et al. (2010)
<i>rs.488133</i>	SNP	Obsessive compulsive disorder	Alonso et al. (2011)
<i>rs.532010</i>	SNP	Childhood-onset mood disorder	Mill et al. (2008)
<i>rs.6557171</i>	SNP	Alzheimer's disease	Ma et al. (2009)
<i>rs.6903180</i>	SNP	Obsessive compulsive disorder	Alonso et al. (2011)
<i>rs.722207</i>	SNP	Harm avoidance	Giegling et al. (2009)
<i>rs.726281</i>	SNP	Anorexia nervosa	Versini et al. (2010)
<i>rs.728524</i>	SNP	Cognitive impairment	Yaffe et al. (2009)
		Perceptual speed	Kravitz (2006a)
<i>rs.746432</i>	SNP	Childhood-onset mood disorder	Mill et al. (2008)
<i>rs.7774230</i>	SNP	Autism-like traits	Chakrabarti et al. (2009)
<i>rs.8179176</i>	SNP	Cognitive impairment	Yaffe et al. (2009)
<i>rs.932477</i>	SNP	Episodic memory	Ma et al. (2014)
<i>rs.9340799 "Xbal"</i>	SNP	Alzheimer's disease	Brandi et al. (1999); Corbo et al. (2006); Ji et al. (2000); Monastero et al. (2006); Pan et al. (2014)
		Anger expression	Vermeersch et al. (2013)
		Anxiety-related traits	Prichard et al. (2002)
		Cognitive impairment	Olsen et al. (2006); Yaffe et al. (2002); Yaffe et al. (2009)
		Depression	Keyes et al. (2015); Kim et al. (2010); Ryan et al. (2011a); Tsai et al. (2003)
		Episodic memory	Kravitz et al. (2006a); Sowers et al. (2006)
		Morphine consumption, postoperative	De Gregori et al. (2016)
		Number of children	Corbo et al. (2007)
		Obsessive compulsive disorder	Alonso et al. (2011)
		Phobia	Ryan et al. (2011a)
<i>rs.9341016</i>	SNP	Episodic memory	Ma et al. (2014)
<i>rs.9397456</i>	SNP	Alzheimer's disease	Ma et al. (2009)
<i>rs.9478245</i>	SNP	Obsessive compulsive disorder	Alonso et al. (2011)
<i>rs.974276</i>	SNP	Harm avoidance	Giegling et al. (2008)

SNP, single nucleotide polymorphism; STR, short tandem repeat

* This association was marginal; it is included here because the polymorphism is mentioned in section 4.3 of the text

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Table 3.

Polymorphisms of ESR2 that are associated with human behavioral phenotypes.

Polymorphism	Class	Phenotype (trait or disorder)	Reference
rs10144225	SNP	Semantic memory	Fehsel et al. (2016)
rs113770630	STR	Alzheimer's disease	Forsell et al. (2001)
(D14S1026)		Depression	Geng et al. (2007); Takeo et al. (2005)
		Female-to-male transsexualism	Fernández et al. (2014); Henningsson et al. (2005); cf. Ujike (2009)
rs1152582	SNP	Autistic-like traits	Chakrabarti et al. (2009)
rs12435857	SNP	Alzheimer's disease	Zhao et al. (2011)
rs1255998	SNP	Cognitive impairment	Yaffe et al. (2009)
rs1256030	SNP	Cognitive impairment	Yaffe et al. (2009)
		Face recognition	Karlsson et al. (2016)
rs1256043	SNP	Alzheimer's disease	Pirskanen et al. (2005)
rs1256049	SNP	Cognitive impairment	Ryan et al. (2013)
		Depression	Ryan et al. (2011b)
		Generalized anxiety disorder	Ryan et al. (2011a)
rs1256062	SNP	Semantic memory, executive function	Fehsel et al. (2016)
rs1256065	SNP	Cognitive impairment	Yaffe et al. (2009)
rs1256066	SNP	Anorexia nervosa	Scott-Van Zeeland et al. (2014)
rs1271572	SNP	Autism-like traits	Chakrabarti et al. (2009)
		Face recognition	Karlsson et al. (2016)
		Sexual desire in women	Gunst et al. (2015)
rs1271573	SNP	Alzheimer's disease	Pirskanen et al. (2005)
rs17766755	SNP	Alzheimer's disease	Zhao et al. (2011); c.f. Goumidi et al. (2011)
rs2274705	SNP	Semantic memory	Fehsel et al. (2016)
rs4365213	SNP	Alzheimer's disease	Zhao et al. (2011)
rs4986938	SNP	Alzheimer's disease	Zhao et al. (2012); c.f. Goumidi et al. (2011)
		Cognitive impairment	Ryan et al. (2013)
		Depression	Keyes et al. (2015); Ryan et al. (2011b)
		Sexual desire	Gunst et al. (2015)
rs928554	SNP	Face recognition	Karlsson et al. (2016)
		Intellectual giftedness	Celec et al. (2013)
		Sexual desire	Gunst et al. (2015)
rs944050	SNP	Anorexia nervosa	Scott-Van Zeeland et al. (2014)

SNP, single nucleotide polymorphism; STR, short tandem repeat