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Neuritic and Diffuse Plaque Associations with Memory in Non-Cognitively Impaired Elderly

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Abstract

The presence of Alzheimer's disease (AD)-related neuropathology among cognitively normal individuals has been well documented. It has been proposed that these individuals may represent a pre-clinical AD population. Previous studies have demonstrated a negative association between the presence of both amyloid-β (Aβ) plaques and neurofibrillary tangles with ante-mortem cognitive performance, a relationship which is likely influenced by a number of factors including age and APOE ε4 carrier status. The present study determined whether the presence of neuritic plaques (NPs) and diffuse plaques (DPs) are associated with performance in a number of cognitive domains after accounting for APOE ε4 carrier status and neurofibrillary tangle presence in a cohort of 123 older participants from the Rush Religious Order Study who died with a premortem clinical diagnosis of no cognitive impairment (NCI). After adjusting for age at death, education, gender, Braak stage, and APOE ε4 carrier status, the presence of NPs was associated with lower performance in the cognitive domains of Global Cognition ($p = 0.002$), Episodic Memory ($p =$ 0.03), Semantic Memory ($p = 0.009$), and Visuospatial performance ($p = 0.006$), while DPs showed no association with any cognitive domain examined. These results suggest that decreases in cognition in elderly NCI individuals are associated with an increase in NPs and not DPs when age at death, education, gender, APOE ε4 status, and Braak stage are taken into consideration.

Keywords

Amyloid-β; Braak stage; cognition; neuropathology; pre-clinical Alzheimer's disease

INTRODUCTION

The presence of Alzheimer's disease (AD)-related neuropathology among cognitively normal individuals has been well documented [1–7]. These individuals may represent a preclinical disease stage based on the presence of AD neuropathology at autopsy despite a lack

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of clinically significant cognitive impairment premortem [8, 9]. However, studies have demonstrated a negative association between the presence of both amyloid-β (Aβ) plaques and neurofibrillary tangles (NFTs) with ante-mortem cognitive performance [7, 10–14], suggesting that individuals in the pre-clinical stages of AD are already demonstrating disease-related cognitive decline, despite the fact that their performance on cognitive tests is still within normal limits at the time of the assessment. The relationship between AD pathology and cognition is likely a multifactorial process. Factors such as APOE ε4 carrier status, age-related brain changes, gender, and education may have mediating or direct effects on AD pathology and cognition [4, 15, 16].

APOE ε4 carrier status has been reported to have differential effects on the presence of both tau and amyloid plaque pathology [17]. For example, the presence of tau pathology among ε4 carriers was associated with greater amyloid load, although ε4 and ε2 alleles were not related with tau pathology in the absence of $\mathbf{A}\beta$ pathology [17]. Others have reported that APOE genotype effects the distribution of cortical plaque and tangle pathology across brain regions [18] and that age and APOE-related tangle associations are mediated by the presence of neuritic plaques (NPs) [15].

Several studies suggest that cognition is impacted primarily by NPs and not diffuse plaques (DPs) [11, 19, 20]. Previously, our group found that increases in hippocampal NPs correlated with decreased episodic memory and global cognitive performance and that increases in the combined hippocampal/entorhinal cortex $\mathbf{A}\boldsymbol{\beta}$ load was associated with greater NFT pathology [21]. However, this investigation did not find an association between cognition and NFT pathology [21]. Although research using amyloid imaging found that 21% of cognitively normal individuals displayed significant cortical amyloid deposition, there were no significant differences in cognitive performance between those classified as Aβ-positive and Aβ-negative [22]. By contrast, a recent meta-analysis concluded that there is a small, but significant negative association between increased Aβ deposition and cognitive performance among cognitively normal individuals [14]. Since Aβ imaging tracers are not specific to DPs or NPs [23], it is possible that the *in vivo* associations between $A\beta$ deposition and cognition are confounded by the presence of DPs. NPs are thought to have a stronger association with cognition [11, 19, 20, 24].

The aim of the present study was to determine whether the presence of NPs and DPs are associated with performance in a number of cognitive domains after accounting for age at death, education, gender, APOE ε4 carrier status and NFTs in a cohort of older people who came to autopsy with an ante-mortem clinical diagnosis of no cognitive impairment (NCI).

METHODS

Data came from 123 older deceased and autopsied persons with a premortem clinical diagnosis of NCI, no coexisting clinical or neurological condition judged to contribute to cognitive impairment at their last clinical evaluation [25, 26], and who agreed to annual clinical evaluations and signed an informed consent and an Anatomic Gift Act donating their brains at time of death. Data from these subjects have been used in numerous clinical pathological studies supported by our ongoing NIA program project grant entitled the

"Neurobiology of Mild Cognitive Impairment in the Elderly" (P01AG14449). At the time of these studies, individuals were chosen from all available Rush Religious Orders Study (RROS) cases that came to autopsy during a rolling admission [2]. Neuropathological procedures used to determine these conditions have been reported [2, 25, 26]. In addition, those taking anticholinesterases or medication for depression were also excluded. The Human Investigation Committee of Rush University Medical Center approved the study.

Clinical evaluation

Participants underwent a uniform, structured, clinical evaluation, self-reported medical history was obtained by a team led by a neurologist, and cognitive function was determined by a trained neuropsychological test technician [2, 25]. Medications used by the subjects within the previous fourteen days of the examination were reviewed and classified. After review of all clinical data and examination of the participant, a clinical diagnoses was made by a board certified neurologist or geriatrician with expertise in the evaluation of elderly persons with dementia. Clinical diagnostic classification of NCI was performed as described previously [2, 25]. A neurologist reviewed the medical history, medication use, neurologic examination, results of cognitive performance testing, and the neuropsychologist's opinion of cognitive impairment and dementia. Each participant was evaluated in their home, emphasizing findings deemed clinically relevant.

Tissue preparation and neuropathological diagnosis

Brain accruement and processing was described previously [25, 27]. Briefly, each brain was cut into 1 cm thick coronal slabs using a brain slice apparatus and hemisected. One hemisphere was immersion fixed in 4% paraformaldehyde (24–72 h) and cryoprotected (10% glycerol and 2% dimethyl sulfoxide in phosphate buffer solution) until processing for immunohistochemistry.

Diagnostic blocks (mid-frontal, superior temporal, entorhinal cortex, hippocampus, inferior parietal cortex, basal ganglia, thalamus, and substantia nigra) from the opposite hemisphere were paraffin embedded and cut at 6 µm. Examination for cerebral infarctions was conducted as described previously [28]. Bielschowsky silver stain was used to visualize NPs, DPs, and NFTs. Sections were also immunostained for Aβ using antibody M0872 (1 : 100; Dako, CA) raised against $A\beta_{1-40}$ and $A\beta_{1-42}$. Paired helical filament tau (AT8; 1 : 800, Covance) immunohistochemistry [24] was also used to label NFTs. Neuropathological diagnoses were determined according to CERAD [29] and Braak staging [30] as recommended by the NIA-Reagan criteria [31]. Exclusion criteria included AD, Lewy body disease, mixed dementias, Parkinson's disease, frontotemporal dementia, argyrophilic grain disease, vascular dementia, hippocampal sclerosis, and stroke.

Pathologic quantitation

A board-certified neuropathologist or trained technician, blinded to all clinical data, counted total number of NPs, DPs, and NFTs revealed by Bielschowsky silver stain in one square mm area (100 \times magnification) per cortical region as reported previously [27, 32]. Since the distribution of plaques and tangles was not normally distributed, standardized plaque and tangle counts from each area were converted to standard scores by dividing the standard

deviation (SD) of mean raw counts per marker and region from the entire deceased cohort. Scaled scores for NPs and DPs derived from the mid-frontal, mid-temporal, inferior parietal, entorhinal, and hippocampal CA1 regions were summed to develop a summary count score of NPs and DPs for each subject.

Cognitive composite scores

Composite scores are based on the results of 17 individual cognitive tests divided into five domains of cognition [2, 27, 33, 34]. Mini-Mental State Examination (MMSE) described the cohort but not used in the composite scores. Briefly, episodic memory was evaluated with tests including immediate and delayed recall of story A from Logical Memory and of the East Boston Story, and Word List Memory, Recall, and Recognition from the Consortium to Establish a Registry for AD (CERAD). Semantic memory was assessed with three tests including a 15-item version of the Boston Naming Test, Verbal Fluency, which involves naming examples of semantic categories (i.e., animals, vegetables) in 1-min trials; and a reading test that involves reading single words aloud and a 10-item reading test. Scores on the three tests are converted to a standard scale and averaged to get the composite score. Working memory was assessed using Digit Span Forward and Backward and Digit Ordering. Two tests of perceptual speed included Symbol Digit Modalities Test, and Number Comparison. Finally, two tests of visuospatial ability included a 15-item version of Judgment of Line Orientation and a 9-item version of Standard Progressive Matrices [34]. For each test, raw scores were converted into z-scores based on the mean and standard deviation of the sample. The z-scores from the individual tests were averaged to create individual domain composite scores. The Global Composite Score (GCS) is an average of the 17 individual test z-scores.

Statistical analysis

The association between the presence of DPs and NPs with cognition was assessed using a series of linear regression models. The first series of models used the presence (>0) or absence $(= 0)$ of DPs as the predictor with each of the cognitive domain scores as outcome variables while adjusting for Braak stage, APOE ε4 carrier status, age at death, education, and gender. The second series of models used the presence or absence of NPs as the predictor with each of the cognitive domain scores as outcome variables while adjusting for Braak stage, APOE ε4 carrier status, age at death, education, and gender. A third series of models used the presence or absence of both DPs and NPs as the predictor with each cognitive domain score as outcome variables while adjusting for Braak stage, APOE ε4 carrier status, age at death, education, and gender. Cohen's d was used to estimate the effect size for group differences (Small = $0.00-0.49$, Medium = $0.50-0.79$, Large = 0.80). Spearman rank correlation was used to assess the relationship between Braak stage and total plaque scores. The Wilcoxon sign-rank test was used to determine whether DP and NP scores were significantly different within each Braak stage. Logistic regression was used to examine the association of Braak stage with the presence or absence of DPs and NPs. Separate analyses for DPs and NPs were run which adjusted for age, education, gender, APOE ε4 status, and the interaction of Braak stage and APOE ε4 status. When necessary, the false discovery rate was used to correct for multiple comparisons in order to maintain a significance level of 0.05.

RESULTS

Demographic and descriptive statistics

The cohort was comprised of 63 males and 60 females with an average age at death of 83.90 \pm 6.12 years and a mean of 18.29 \pm 3.59 years of education. The average duration between last clinical assessment and autopsy was 0.73 ± 0.79 years and average postmortem interval was 7.59 ± 7.40 h. The average MMSE score was 28.29 ± 1.37 . Twenty-one individals were APOE ε4 carriers and 101 were non-ε4 carriers (APOE was not available for one individual). Among the non-carriers, 16 exhibited APOE ε 2/3 and 85 were ε 3/3. Of the 21 ε4 carriers, only one was APOE ε4/4 homozygous and none were APOE ε2/4. Of the cases examined meeting NIA-Reagan criteria, 56% (95% Confidence Interval (CI): 47%, 65%) were categorized with a low likelihood of AD and 40% (95% CI: 31%, 49%) with an intermediate likelihood of AD. CERAD criteria revealed 41% as no AD (95% CI: 32%, 50%), 13% as possible (95% CI: 8%, 20%), 36% as probable (95% CI: 28%, 45%), and 11% as definite AD: (95% CI: 6%, 18%).

Fifty-seven percent ($n = 72$) of the sample displayed both DPs and NPs while 32% ($n = 39$) had no plaque pathology. Five individuals had only NPs and seven only had DPs. Demographic, cognitive, and neuropathological results for those with and without DPs and NPs are shown in Table 1. For both DP and NP groups, individuals who had plaques were significantly older than those lacking plaques at autopsy (DPs and NPs, $p < 0.001$). No significant differences were noted for education, postmortem interval, brain weight, time between last clinical assessment and death, and MMSE for the presence or absence of either DPs or NPs. Females had a greater frequency of NP presence compared to males ($p = 0.02$), but not for the presence of DPs ($p = 0.09$). Further investigation of the differences in the presence or absence of NPs between gender found that females were more than twice as likely to display NPs compared to males [OR = 2.50, $(1.17, 5.33)$, $p = 0.02$].

Diffuse and neuritic plaque association with cognition

Associations between the cognitive domains and the presence or absence of DPs and NPs are shown in Table 2. GCS ($p = 0.001$), Episodic Memory ($p = 0.03$), Semantic Memory ($p =$ 0.008), and Visuospatial ($p = 0.004$) domains were significantly lower among NCI individuals displaying NPs (Fig. 1A). These associations remained significant after adjusting for multiple comparisons. The effect size for the GCS was larger than that seen for the Episodic Memory, Semantic Memory, and Visuospatial effect sizes. None of the cognitive domains examined showed significant differences between individuals with and without DPs (Fig. 1B). Group differences for the combined presence of NPs and DPs for the cognitive domains examined were nearly identical to the analysis using only NPs (see Table 2).

Diffuse and neuritic plaque association with Braak stage

Total NP score was moderately correlated with Braak stage (rho = 0.52 , $p < 0.001$; Fig. 2A) while total DP score showed a weak correlation (rho = 0.35 , $p < 0.001$) with Braak stage (Fig. 2B. Total DP score was significantly greater than total NP score in Braak stages I ($p =$ 0.02), II ($p = 0.02$), and III ($p = 0.04$) while there were no significant plaque score differences in the 0, IV, and V Braak stages (Fig. 3). Braak stage was not associated with the

presence of DPs $[OR = 1.22, (0.82, 1.83), p = 0.33]$ after adjusting for age at death, education, gender, APOE ε4 status, and the interaction of Braak stage and APOE ε4 status. However, higher Braak stage was significantly associated with the presence of NPs [OR $=$ 1.84, (1.19, 2.83), $p = 0.006$] after adjusting for age at death, education, gender, APOE $e4$ status, and the interaction of Braak stage and APOE ε4 genotype. In each of these models older age at death was significantly associated with an increased likelihood of plaque type (DP: [OR = 1.17, (1.07, 1.27), $p < 0.001$]; NP: [OR = 1.10, (1.01, 1.19), $p = 0.03$]).

Follow-up analysis of Braak V NCI cases

For the five NCI cases classified in Braak stage V, we carried out an additional analysis to compare their NP and DP pathology with that of AD cases that were Braak stage V. The five NCI cases were matched on age, gender, education, and APOE genotype to AD Braak V cases that came from the same study cohort [2]. NP, DP, and NFT scores for each of the cortical areas examined are shown in Table 3. After adjusting for multiple comparisons no significant differences in NP, DP, or NFT pathology were noted between the Braak V NCI subjects and the Braak V AD cases.

DISCUSSION

In a cohort of cognitively non-impaired aged individuals, we found that subjects who displayed NPs had significantly lower cognitive test performance across several domains of cognition while DPs were not associated with differences in cognition. This finding is supported by a recent study showing that cognitively normal individuals who progressed to MCI or AD had significantly lower cognitive test scores across several domains when compared to individuals who remained cognitively normal [35]. Others have also found strong associations between the presence of NPs and decreased performance in several cognitive domains [11]. We also found that NPs had a stronger correlation with Braak stage than DPs and that the latter plaque type was more prevalent in Braak stages I, II, and III even when adjusted for age, APOE ε4 carrier status, and Braak stage, all of which are factors related to β deposition [15, 17, 18, 36]. The present significant association between NPs and cognition even after adjusting for APOE ε4 carrier status and Braak stage support previous findings [11, 19, 20, 24]. The significant differences between DPs and NPs within Braak stages is novel and shows that DPs are more prevalent than NPs in early Braak stages (I, II, III). In the present investigation, however, NPs tended to increase and were nearly equal to DPs in later Braak stages (IV, V). Others have reported that neuritic pathology but not diffuse amyloid deposits significantly affect cognition in AD supporting a difference between NP and DP deposition on cognitive ability [37]. It should be noted that DPs are a common, non-specific lesion among cognitively normal older adults that are not associated with APOE ε4 genotype [38]. Moreover, the observation of a significant increase in NPs, but not NFTs, in patients with mild AD compared with normal control cases further suggests that NPs play a key role in the earliest onset of AD symptoms [39]. Although others report that amyloid load was not related to cognitive status [7, 26], these studies did not differentiate plaque types making a direct comparison with other findings difficult.

The analyses using Braak stage as a predictor of the presence or absence of NPs and DPs provide additional evidence supporting the observation that NFT pathology is associated with NPs and not DPs. Although it has been suggested that NPs mediate the association between APOE status and NFT pathology, other age-related non-amyloid process may also play a role in NFT pathology [15]. In this regard, NPs display extracellular Aβ, tau [24], and cholinergic, noradrenergic, and other types of neurites [40–44] suggesting that the association between NPs and cognition is likely due to an interaction of multiple axonal defects [24, 36, 41]. In addition, complement receptor 1 (CR1) has been shown to be significantly associated with decline in several cognitive domains after controlling for several factors, including APOE genotype [45]. Although this association is thought to be mediated by both DPs and NPs, NFTs were not associated with CR1 and cognitive decline suggesting that immunological mechanisms may also play a significant role in the relationship between cognition and plaque pathology [45].

Several studies have noted that increased Aβ deposition is associated with APOE ε4 carrier status in pre-clinical AD [46–49], which supports the rationale for ongoing AD prevention trials using anti-Aβ agents as disease-modifiers [50, 51]. Although, the hypothesis that increased deposition of $A\beta_{1-42}$ precedes NFT accumulation [52] has also been used to support the rationale for anti- $\Delta\beta$ therapies, others have suggested that these therapies may not be effective once tau-related neurodegeneration has been initiated [53]. Although tau hyperphosphorylation is thought to be a downstream effect of $\mathsf{A}\beta$ deposition [54], it is currently being investigated as a therapeutic target [53, 55]. As the conduct of AD clinical trials has shifted to a paradigm of prevention in asymptomatic individuals at risk for developing AD [56], the relationship between pathological changes and subsequent cognitive decline has taken on greater importance. The observation in the present study of a significant inverse association between cognition and NPs suggests that the desired clinical benefits of anti-Aβ therapies may be achieved through increased binding specificity to NPs. However, this assumes that a therapy initiated early in the disease process would prevent the onset of tau-related degenerative processes induced by Aβ deposition. Bilousova et al. [57] found that oligomeric Aβ deposition within synaptic terminals within the parietal cortex drives the deposition of phosphorylated tau and is associated with the onset of AD, suggesting that therapeutic agents targeting synaptic Aβ oligomers early in the disease process may slow disease onset and trajectory. Moreover, this study also revealed high levels of Aβ oligomers in early AD compared to non-demented cases with histopathologic signs of AD-related pathology suggesting that dementia arises when Aβ oligomers within synaptic terminals reach a certain level [58]. In the present study, we found that NPs affected cognitive domain performance more than DPs and that NPs were related to higher Braak scores, suggesting that the development of NPs may coincide with higher levels of $A\beta$ oligomers and tau phosphorylation at the synapse even before frank dementia occurs.

Recently, we reported that medial temporal lobe NFT pathology does not correlate with cognitive test performance in older persons without cognitive decline [21], suggesting that tangle pathology alone is not necessary or sufficient to induce impairments in cognition. In this regard, neuropathological observations have shown that amyloid pathology begins in the frontal cortex and spreads caudally within the cortex [30], whereas tau pathology is initiated in the medial temporal lobe [30], suggesting a discordance between the location of amyloid

and its action as a putative initiator of NFT tau pathology. However, it is possible that oligomeric amyloid initiates tau signaling via either an antero- or retrograde transneuronal seeding process similar to the regional propagation of tau in human disease and animal models [58, 59] as Aβ first appears in neocortical regions that have extensive reciprocal connections with the medial temporal lobe [60, 61]. Levels of dimeric Aβ derived from AD cortex are sufficient for the induction of tau phosphorylation and dystrophic axonal profiles [38]. Together these findings lend support to the concept of a prion-mediated mechanism of AD disease pathogenesis [62]. It remains to be determined whether synaptic Aβ oligomers expression is increased and if this change would be associated with cognitive performance in the current cohort of cases.

Amyloid pathology is not always a pathogenic trigger for tau pathology. For example, primary age-related tauopathy (PART) is a neuropathological entity that can be distinguished from AD based on minimal, or complete absence of Aβ burden in individuals with significant NFT pathology [63]. However, others have suggested that PART represents a portion of the AD spectrum and is not distinguishable from AD [64]. Cases meeting neuropathologic criteria for PART display more advanced age at death and more severe forms of PART (higher Braak stage) display significantly lower cognitive scores [65]. PART is one example among many non-AD tauopathies without amyloid deposition suggesting that NFT pathology is not consistently a downstream effect of Aβ deposition in all tauopathies [66]. Tau pathology can be attributed to other factors not related to AD such as central nervous system infections, metabolic dysfunction, and physical injury (e.g., concussion) [67].

Another key factor that may play a role in the lack of frank cognitive impairment in the face of amyloid deposition in our population is the concept of brain reserve or cognitive reserve [18, 63]. Brain reserve suggests that some older individuals have a greater quantity of either neurons or synapses at disease onset allowing the continued performance of cognitive tasks [18, 63]. On the other hand, cognitive reserve involves the recruitment of other brain areas not severely affected by the disease process to aid in task performance [68]. Education level is a factor that may affect brain/cognitive reserve and the onset of dementia [69, 70]. In fact, Roe and colleagues [16] found a significant interaction between education level and NP densities for risk of dementia. When their sample was divided into three education levels (0– 12 years, 13–16 years, 17 years), individuals with high levels of education and high levels of NP density (Frequent) were more likely to have dementia compared to those with the same education level at lower levels of NP density (Moderate and Sparse). From a clinical perspective, higher levels of education are also associated with better performance in a number of cognitive domains [71] suggesting that the protective effect that education confers upon the brain can be observed psychometrically. However, our sample had a relatively high education level regardless of the presence of DPs or NPs. Perhaps cases with a lower level of education and amyloid would have shown a greater degree of cognitive impairment. Our analysis of NCI cases but with high NFT pathology (Braak stage V) showed that these individuals displayed NPs, DPs, and NFT pathology at levels comparable to clinical AD subjects. This finding suggests that brain/cognitive reserve may play a role early in delaying the onset of AD up to a point but it is not able to offset cognitive impairment in patients with more advanced pathology suggesting the involvement of other factors.

The underlying mechanisms and pathways that drive the formation AD pathology are not completely understood and the likelihood of interactions adds a significant layer of complexity when trying to understand how these factors affect cognition. Whether $A\beta$ deposition marks the onset of clinical AD or whether it is a by-product of other neurodegenerative processes has been debated in the field [72]. A number of proposed pathways that may play a role in the deposition of Aβ include inflammation [73], insulin resistance [74], and vascular dysfunction [75]. In fact, vascular disease strongly correlates with the onset of AD [76, 77]. The cases examined here were screened for vascular defects that would have effected cognition and not included in this study.

There are some limitations to this study. Subjects were from a community-based group of highly educated retired clergy who had excellent health care and nutrition and were used in multiple clinical pathological [78] and epidemiological investigations [33]. Individuals who volunteer may introduce bias by decreasing pathology but this is partially mitigated by high follow-up and autopsy rates [28]. None of the cases evaluated were Braak stage VI, which is highly associated with AD-dementia [30]. Although subtle changes in neuropsychological testing may not detect cognitive changes in non-demented people [79], our cognitive tests are standard in the dementia field [25]. Another limitation is the relatively small number of APOE ε4 carriers, particularly homozygous individuals and the impact this may have on the associations we reported. Future studies with a greater balance of APOE ε4 carriers and non-carriers will extend the results reported here. Strengths include uniform premortem clinical and postmortem pathological evaluation and that the final pathologic classification was performed without knowledge of clinical evaluation.

The results of this study found that alteration in cognitive performance seen in our NCI subjects is likely attributed to increases in NPs and not DPs when age, education, APOE $e4$ status, and Braak stage are taken into consideration. With regard to NFT pathology, NPs show a stronger association with Braak stage than DPs. These results suggest that NPs confer a negative impact on both NFT pathology and cognition relative to DPs and may represent a more specific therapeutic target for therapies in preclinical AD.

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Total diffuse and neuritic plaque scores by Braak stage. $*p$ 0.05 for neuritic versus diffuse in each Braak stage.

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Table 2

Cognitive domain associations with diffuse and neuritic plaques Cognitive domain associations with diffuse and neuritic plaques

Table 3

NCI Braak V cases compared with age-, gender-, education-, and APOE genotype-matched AD Braak V cases

NPs, neuritic plaques; DPs, diffuse plaques; NFTs, neurofibrillary tangles; median [range]; group differences were analyzed using the Mann-Whitney test; significance level is $p = 0.005$ after correcting for multiple comparisons.