

RESEARCH

Open Access



Air pollution in the week prior to delivery and preterm birth in 24 Canadian cities: a time to event analysis

David M. Stieb^{1,2*} , Eric Lavigne^{2,3}, Li Chen¹, Lauren Pinault⁴, Antonio Gasparri⁵ and Michael Tjepkema⁴

Abstract

Background: Numerous studies have examined the association between air pollution and preterm birth (< 37 weeks gestation) but findings have been inconsistent. These associations may be more difficult to detect than associations with other adverse birth outcomes because of the different duration of exposure in preterm vs. term births, and the existence of seasonal cycles in incidence of preterm birth.

Methods: We analyzed data pertaining to 1,001,700 singleton births occurring between 1999 and 2008 in 24 Canadian cities where daily air pollution data were available from government monitoring sites. In the first stage, data were analyzed in each city employing Cox proportional hazards models using gestational age in days as the time scale, obtaining city-specific hazard ratios (HRs) with their 95% confidence intervals (CIs) expressed per interquartile range (IQR) of each air pollutant. Effects were examined using distributed lag functions for lags of 0–6 days prior to delivery, as well as cumulative lags from two to six days. We accounted for the potential nonlinear effect of daily mean ambient temperature using a cubic B-spline with three internal knots. In the second stage, we pooled the estimated city-specific hazard ratios using a random effects model.

Results: Pooled estimates across 24 cities indicated that an IQR increase in ozone (O₃, 13.3 ppb) 0–3 days prior to delivery was associated with a hazard ratio of 1.036 (95% CI 1.005, 1.067) for preterm birth, adjusting for infant sex, maternal age, marital status and country of birth, neighbourhood socioeconomic status (SES) and visible minority, temperature, year and season of birth, and a natural spline function of day of year. There was some evidence of effect modification by gestational age and season. Associations with carbon monoxide, nitrogen dioxide, particulate matter, and sulphur dioxide were inconsistent.

Conclusions: We observed associations between daily O₃ in the week before delivery and preterm birth in an analysis of approximately 1 million births in 24 Canadian cities between 1999 and 2008. Our analysis is one of a limited number which have examined these short term associations employing Cox proportional hazards models to account for the different exposure durations of preterm vs. term births.

Keywords: Preterm birth, Air pollution, Time-to-event

* Correspondence: dave.stieb@canada.ca

¹Environmental Health Science and Research Bureau, Health Canada, 101 Tunney's Pasture Driveway, Ottawa, ON K1A 0K9, Canada

²School of Epidemiology and Public Health, University of Ottawa, Room 101, 600 Peter Morand Crescent, Ottawa, ON K1G 5Z3, Canada

Full list of author information is available at the end of the article



Introduction

Preterm birth is a key determinant of infant mortality and morbidity, and of health status in childhood and even adulthood [1–3]. Numerous studies conducted worldwide have examined the association between air pollution and preterm birth [4–10]. Many studies have found that air pollution exposure increases the risk of preterm birth and it has been estimated that 23% or 3.4 million preterm births globally were attributable to PM_{2.5} in 2010 [1]. However, there has been some inconsistency in findings, including in Canada, where in some instances we observed significant associations [11], while in others we did not [12, 13]. Most studies have employed cohort or case-control designs, characterizing exposure over the entire pregnancy, trimester or birth month [8], while a smaller number have examined short term exposure, employing time-series [14–20], case-crossover [21] or time to event analysis [22–26]. It has been hypothesized that the association between air pollution and preterm birth may be more difficult to detect than associations with other outcomes such as term low birth weight or small for gestational age because of the different duration of exposure over the entire pregnancy or third trimester in preterm vs. term births, and the existence of seasonal cycles in incidence of preterm birth [15, 21, 27, 28]. To address these issues and to examine the influence of short-term exposure, here we employ a time to event analysis, using Cox models examining exposures in the week prior to birth.

Methods

We employed data from the Canadian births database. Live birth events are reported to Statistics Canada by the provincial and territorial Vital Statistics Registries in Canada. For this study, singleton live births between 1999 and 2008 in 24 cities with daily air pollution data were eligible. Data include more than one birth to the same mother, but these could not be identified due to data limitations. Preterm births were those occurring at less than 37 weeks gestation, which were further categorized as 20–27, 28–31, 32–33 and 34–36 weeks gestation [29]. Information on maternal behaviours including smoking and alcohol consumption, and individual-level data on socioeconomic status (SES) and ethno-cultural origins were not available in this dataset. Area-level socioeconomic status characteristics were assigned to singleton births by geocoding birth records using the six character maternal postal code from the births database and the Postal Code Conversion File Plus (PCCF+) version 5 k in order to obtain Statistics Canada standard geographic identifiers [30]. Using geocoded birth records, neighbourhood-level SES variables were calculated at the Dissemination Area (DA) level using census data, including the proportion of individuals aged 15 years and over

who were unemployed, or in the lowest income quintile, and the proportion of females aged 25 years and over with post-secondary education [31, 32]. Proportion of individuals in a DA who were classified as visible minority was also calculated. Visible minority groups are defined by the Canadian Employment Equity Act and classification of individuals is based on response to census questions pertaining to self-identified population group [33]. Neighbourhood-level variables were calculated based on the census year closest to the date of birth (2001 or 2006). There were 52,993 and 54,626 DAs in the 2001 and 2006 censuses respectively. Based on the 2006 census, the median and 70th percentile of DA population and land area were 513, 598, 0.26 km² and 1.27 km² respectively.

Daily air pollution data were obtained from the National Air Pollution Surveillance (NAPS) monitoring network for particulate matter of median aerodynamic diameter less than 2.5 μm (PM_{2.5}) as well as carbon monoxide (CO), nitrogen dioxide (NO₂), ozone (O₃), and sulfur dioxide (SO₂). Daily temperature data were obtained from Environment and Climate Change Canada's meteorology data archive. Where data were available from multiple monitors, they were averaged.

Statistical analysis was conducted in two stages. In the first stage, data were analyzed in each city employing Cox proportional hazards models using gestational age in days as the time scale, obtaining city-specific hazard ratios (HRs) with their 95% confidence intervals (CIs) expressed per interquartile range (IQR) of each air pollutant. We tested the proportional hazards assumption using the `cox.zph` function in R, which evaluates the significance of the interaction between the scaled Schoenfeld residuals for the air pollution term(s) and time, and found no evidence of violation of the proportional hazards assumption. Effects of air pollution were examined using distributed lag functions [34, 35] for lags of 0–6 days prior to delivery, as well as cumulative lags from two to six days. Specification of the lag structure for air pollution and temperature was based on natural spline functions employing three to five degrees of freedom, optimality of which was evaluated based on model Akaike Information Criterion (AIC). We evaluated the optimal lag response specification for O₃ and temperature in three cities representing diverse climates: Toronto (central Canada), Edmonton (north) and Vancouver (coastal). Three degrees of freedom in the natural spline of both O₃ and temperature exhibited the lowest AIC for all three cities. We therefore employed this lag structure specification in all 24 cities. Potential non-linearity in associations with air pollution was assessed by specifying air pollution as a natural spline with 3 degrees of freedom. We accounted for the potential nonlinear effect of daily mean ambient temperature using a cubic B-spline with 3 internal knots, placement of which was

evaluated based on model AIC and guided by recent literature [36]. We compared cubic B-splines with 3 internal knots placed at the 10th, 50th and 90th vs. 10th, 75th, and 90th percentiles of city-specific temperature distributions, and found that the AIC was lowest for the latter. Infant sex, maternal age (19 years or less, 20–39, 30–39, 40+ years), maternal marital status (single, married, separated, divorced, widowed), maternal country of birth (Canada, elsewhere), tertile of neighbourhood percent unemployed, low income, visible minority, and with post-secondary education, indicator variables for year and season of birth and a natural spline function of day of year with 3 degrees of freedom were included as covariates in each city specific model. Subgroup analyses were conducted by infant sex, gestational age category (20–27, 28–31, 32–33, 34–36 weeks), tertile of neighbourhood percent low income and season. In the second stage, we pooled the estimated city-specific hazard ratios using a random effects model. Associations with p -values < 0.05 were considered statistically significant. Analyses were performed with R version 3.4, using *dlnm* package, version 2.3.2 and *metafor*, version 2.0.

Results

During the study period there were 1,248,240 singleton births in the 24 cities. Frequency and prevalence of preterm and term birth by maternal and infant characteristics, city, season and year are shown in Table 1. Maternal age 19 years and under or 40 years and over, and maternal marital status of single, divorced and separated were associated with a higher prevalence of preterm birth. St. John's, Winnipeg, Calgary and Edmonton had the highest prevalence of preterm birth. There was no apparent trend by year or season. After exclusion of births with missing covariate data, 1,001,700 births were included in the analysis including 63,400 preterm births, resulting in an overall prevalence of preterm birth of 6.34%. (Note that in accordance with Statistics Canada disclosure rules, all frequencies were randomly rounded to base five. Statistical analyses employed unrounded data.)

The combined population of the 24 cities was 11,522,776 in 2006. A descriptive summary of air pollution and temperature data is shown in Table 2. Mean $PM_{2.5}$ concentrations were highest in Montreal, Hamilton and Windsor in relation to traffic and industrial activity, while maxima were highest in Kamloops and Kelowna due to wildfire smoke. Mean NO_2 concentrations, an indicator of traffic pollution, were greatest in Vancouver, Calgary and Toronto, while mean and maximum ozone concentrations were generally highest in the southwestern Ontario cities of Brampton, Hamilton, St. Catharines and Kitchener, consistent with the most common location of summer regional smog events. Mean SO_2 concentrations were highest in Saint John, Montreal,

Hamilton and Windsor, reflecting local industrial activity, and CO concentrations were uniformly low. Mean temperatures were generally mildest and exhibited the narrowest ranges in the coastal British Columbia cities of Richmond, Vancouver, Victoria and Nanaimo.

Pooled estimates of associations with O_3 by lag day from distributed lag models are shown in Fig. 1. The lag 0, 1 and 6 day Hazard Ratios (HR) were positive and significant, while lags 3 and 4 days were negative and significant. I^2 , Cochran's Q and p -values of Q are shown in Table 3. There was significant heterogeneity between cities only for lag 2 days. The cumulative lag HRs for 0–1, 0–2 and 0–3 days were significant. Results for individual cities at lag 0 days are shown in Additional file 1: Figure S1. Significant positive associations were observed in Toronto (HR 1.038 95% CI 1.009, 1.067), Mississauga (HR 1.057 95% CI 1.005, 1.111), Quebec City (HR 1.075 95% CI 1.004, 1.151), Edmonton (HR 1.096 95% CI 1.040, 1.156) and Windsor (HR 1.131 95% CI 1.035, 1.236) (all are expressed per 13.3 ppb O_3). As a sensitivity analysis, we specified O_3 as a natural spline function with 3 degrees of freedom in four cities of varying sizes and climates (Vancouver, Edmonton, Winnipeg and Toronto) and found that in all cases models employing a linear O_3 term had a lower AIC, indicating better fit.

Analyses by subgroups revealed similar results by lag day for male and female infants (Fig. 2). Significant positive associations were observed of O_3 with preterm birth at lags 0 and 1 days in the 1st tertile, lag 0 days in the 2nd tertile and lag 6 days in the 3rd tertile of neighbourhood percent low income (Fig. 3). Significant positive associations at lag 0 days were observed for births at 34–36 weeks, while no significant positive associations were observed for births at 20–27, 28–31 or 32–33 weeks (Fig. 4). Significant positive associations were observed at multiple lags in spring, summer and fall, and only at lag 0 in winter (Fig. 5).

Associations with other pollutants were mixed (Additional file 1: Figures S2–S5). CO and NO_2 exhibited significant negative associations with preterm birth at lag 0, 1, 5 and 6 days and 0, 1 and 6 days respectively, $PM_{2.5}$ exhibited no significant associations, and SO_2 exhibited significant negative associations at lag 0 and 1 day.

Discussion

We observed associations between daily O_3 in the week prior to delivery and preterm birth in an analysis of approximately 1 million births in 24 Canadian cities between 1999 and 2008. Our findings for $PM_{2.5}$ and NO_2 were similar to our earlier analysis where we found null or negative associations of preterm birth with $PM_{2.5}$ or NO_2 averaged over gestational month, trimester or the entire pregnancy [12, 13]. Associations were similar for

Table 1 Preterm and term births by maternal and infant characteristics, city, season and year

Variable	Number of Births ^a			Percent Preterm
	Preterm	Term	Total	
Maternal age (years)				
19 or less	3515	38,690	42,200	8.33
20–29	33,795	501,050	534,845	6.32
30–39	39,760	587,780	627,540	6.34
40+	3800	39,765	43,565	8.72
Missing	10	75	90	11.11
Maternal marital status				
Single	18,080	223,090	241,170	7.50
Married	51,410	809,340	860,755	5.97
Widowed	70	965	1035	6.76
Divorced	1050	12,175	13,225	7.94
Separated	330	3345	3675	8.98
Missing	9935	118,450	128,385	7.74
Parity				
0	41,025	546,435	587,460	6.98
1	23,890	405,325	429,215	5.57
2	15,775	213,305	229,080	6.89
Missing	190	2300	2490	7.63
Maternal country of birth				
Other	33,855	500,350	534,205	6.34
Canada	45,085	642,225	687,310	6.56
Missing	1935	24,790	26,725	7.24
Infant sex				
Male	44,380	596,410	640,790	6.93
Female	36,495	570,950	607,445	6.01
Missing	5	5	10	50.00
City				
St. John's	725	8570	9295	7.80
Saint John	455	6760	7215	6.31
Fredericton	335	4620	4955	6.76
Quebec	2630	37,975	40,605	6.48
Trois Rivières	625	9690	10,315	6.06
Montreal	11,350	170,830	182,180	6.23
Ottawa	5110	76,605	81,715	6.25
Oshawa	855	12,845	13,700	6.24
Toronto	17,395	265,895	283,290	6.14
Mississauga	4340	72,490	76,830	5.65
Brampton	3695	52,325	56,020	6.60
Hamilton	3205	44,960	48,165	6.65
St. Catharines	690	11,390	12,085	5.71
Kitchener	1370	21,600	22,970	5.96
Windsor	1445	21,885	23,330	6.19

Table 1 Preterm and term births by maternal and infant characteristics, city, season and year (Continued)

Variable	Number of Births ^a			Percent Preterm
	Preterm	Term	Total	
Winnipeg	4965	64,845	69,810	7.11
Calgary	8980	111,425	120,405	7.46
Edmonton	6630	78,655	85,285	7.77
Richmond	835	14,630	15,470	5.40
Vancouver	3475	51,610	55,085	6.31
Victoria	375	5805	6180	6.07
Nanaimo	425	6385	6810	6.24
Kamloops	440	6940	7385	5.96
Kelowna	525	8620	9150	5.74
Birth year				
1999	7660	113,210	120,875	6.34
2000	7980	113,205	121,185	6.58
2001	7810	115,315	123,130	6.34
2002	7750	113,460	121,210	6.39
2003	6880	100,615	107,495	6.40
2004	8115	115,690	123,805	6.55
2005	8365	118,735	127,100	6.58
2006	8505	122,110	130,615	6.51
2007	8720	125,625	134,340	6.49
2008	9090	129,395	138,485	6.56
Season				
Spring (Apr-Jun)	20,725	300,785	321,510	6.45
Summer (Jul-Sep)	20,195	305,135	325,330	6.21
Autumn (Oct-Dec)	19,850	280,030	299,880	6.62
Winter (Jan-Mar)	20,110	281,415	301,525	6.67
Gestation (weeks)				
20–27	4590	0	4590	.
28–31	6580	0	6580	.
32–33	8735	0	8735	.
34–36	60,970	0	60,970	.
37+	0	1,167,365	1,167,365	.
Total	80,875	1,167,365	1,248,240	6.48
Total (excluding missing covariates)	63,400	938,300	1,001,700	6.34

^aIn accordance with Statistics Canada disclosure rules, case counts of less than five were suppressed, and all frequencies were randomly rounded to base five. As a result, there may be discrepancies between column totals and totals by infant/maternal characteristic. Statistical analyses employed unrounded data

male and female infants but differed by gestational age and season. Our observation of significant associations only at longer gestational ages may result from greater statistical power afforded by the larger number of pregnancies in these categories of gestational age. Greater time spent outdoors and/or increased indoor penetration

Table 2 Summary of population, air pollution and temperature data by city

City	2006 population	PM _{2.5} (µg/m ³) ^a			NO ₂ (ppb) ^a			O ₃ (ppb) ^a			SO ₂ (ppb) ^a			CO (ppm) ^a			T (°C) ^a								
		N	mean	min	max	n	mean	min	max	n	mean	min	max	n	mean	min	max	n	mean	min	max				
St John's	100,646	3470	4.6	0.0	49.0	3040	7.8	0.1	57.8	3620	25.0	2.1	57.5	3105	2.5	0.0	19.7	3605	0.4	0.0	1.9	3655	6.2	-15.3	24.6
Saint John	68,043	3350	6.8	0.0	109.1	3575	7.4	0.0	59.4	3655	25.0	3.0	72.7	3645	4.4	0.0	63.9	3510	0.5	0.0	3.3	3655	5.6	-23.4	23.6
Fredericton	50,535	3365	5.9	0.0	42.0	3190	4.9	0.0	34.8	3460	24.6	2.4	56.1	0	.	.	.	3470	0.3	0.0	1.9	3645	6.7	-23.8	28.2
Quebec	491,142	3360	10.1	0.0	111.9	3465	12.8	0.4	56.9	3655	21.1	1.0	57.2	3440	2.1	0.0	26.4	3480	0.4	0.0	2.6	3655	5.3	-28.2	28.5
Trois Rivières	126,323	3540	9.7	0.0	66.3	0	.	.	.	3460	21.2	0.7	58.5	3630	3.0	0.0	36.5	0	.	.	.	3655	6.0	-27.3	28.5
Montreal	1,620,693	3650	11.4	0.0	83.4	3655	18.3	3.8	57.9	3655	19.1	0.9	68.0	3640	4.4	0.0	28.4	3655	0.4	0.0	2.1	3655	7.3	-26.4	29.2
Ottawa	812,129	3435	8.5	0.0	70.0	3575	14.7	0.0	57.0	3635	22.8	0.8	66.2	3640	2.4	0.0	17.0	3640	0.5	0.0	2.0	3655	7.0	-26.7	30.4
Oshawa	141,590	3610	9.8	0.0	63.5	3180	15.3	0.3	52.9	3610	25.1	2.5	66.5	610	3.3	0.0	16.4	365	0.7	0.0	2.1	3655	8.6	-21.0	29.0
Toronto	2,503,281	3655	10.6	0.0	64.9	3650	21.9	4.8	62.3	3650	21.7	2.4	65.6	3650	3.2	0.0	19.6	3645	0.6	0.0	2.5	3655	9.2	-19.6	30.3
Mississauga	668,549	3580	10.1	0.0	67.8	1855	19.8	3.2	58.9	3590	22.6	0.7	75.6	2670	3.4	0.0	42.0	2245	0.7	0.0	2.7	3655	8.9	-20.3	31.5
Brampton	433,806	3070	9.9	0.0	69.2	3035	16.2	1.8	58.1	3085	25.6	0.5	78.5	2365	2.1	0.0	17.4	1590	0.8	0.0	3.0	3655	8.3	-20.5	30.8
Hamilton	504,559	3595	11.5	0.0	64.2	3575	17.7	1.0	62.6	3595	23.8	0.0	84.6	3580	5.1	0.0	35.6	3335	0.5	0.0	2.0	3655	8.2	-19.6	29.6
St. Catharines	131,989	3555	10.2	0.0	63.5	2515	13.9	2.1	77.6	3555	24.3	0.0	81.0	1705	2.9	0.0	19.2	1305	0.3	0.0	1.4	3655	9.7	-15.0	30.0
Kitchener	204,668	3360	9.8	0.0	67.8	3305	12.2	0.8	53.2	3580	26.4	0.8	82.7	2080	2.9	0.0	16.8	1750	0.4	0.0	1.6	3650	7.3	-22.0	30.0
Windsor	216,473	3475	11.9	0.5	68.0	3490	18.4	2.9	55.7	3590	22.7	0.5	76.9	3590	5.9	0.0	30.5	3365	0.4	0.0	2.4	3655	10.6	-16.8	31.5
Winnipeg	633,451	3650	7.1	0.0	36.8	3650	11.8	1.1	39.3	3655	19.9	1.2	51.0	0	.	.	.	3645	0.4	0.0	1.6	3640	4.6	-33.0	30.0
Calgary	988,193	3635	9.1	0.7	90.7	3655	21.3	4.9	63.7	3655	18.9	0.6	51.1	3625	2.1	0.0	14.2	3655	0.5	0.2	2.4	3655	4.9	-31.6	24.7
Edmonton	730,372	3655	9.3	0.0	74.0	3650	20.8	3.2	62.9	3653	18.4	0.5	48.6	0	.	.	.	3655	0.5	0.1	2.7	3655	4.0	-34.7	26.5
Richmond	174,461	3570	6.8	0.0	44.0	3655	16.7	3.6	40.5	3655	15.2	0.3	44.9	3580	1.0	0.0	4.5	3655	0.5	0.1	2.3	3655	10.6	-8.6	24.2
Vancouver	578,041	3565	6.9	1.0	36.3	3655	22.5	4.7	43.2	3655	10.0	0.0	37.5	3655	3.3	0.0	18.1	3655	0.6	0.1	2.4	3655	11.1	-7.0	25.0
Victoria	78,057	3160	7.3	0.0	37.9	2770	11.1	0.5	30.9	3215	17.9	0.2	46.4	2945	1.3	0.0	16.5	3055	0.5	0.0	2.1	3650	10.2	-7.2	26.7
Nanaimo	78,692	3620	5.5	0.0	24.5	865	8.4	1.1	20.1	3600	19.2	0.1	45.0	1055	1.0	0.0	3.8	0	.	.	.	3655	10.4	-11.0	27.0
Kamloops	80,376	3595	6.9	0.0	140.0	3545	10.6	0.1	38.2	3600	20.9	0.0	52.4	3645	0.5	0.0	5.4	2895	0.2	0.0	1.4	3655	9.4	-24.2	29.8
Kelowna	106,707	3590	6.9	0.0	186.0	3345	9.0	0.2	35.3	3615	21.0	0.0	51.1	2915	0.2	0.0	2.8	2915	0.3	0.0	1.7	3650	8.9	-24.2	28.5

^a24 h average values

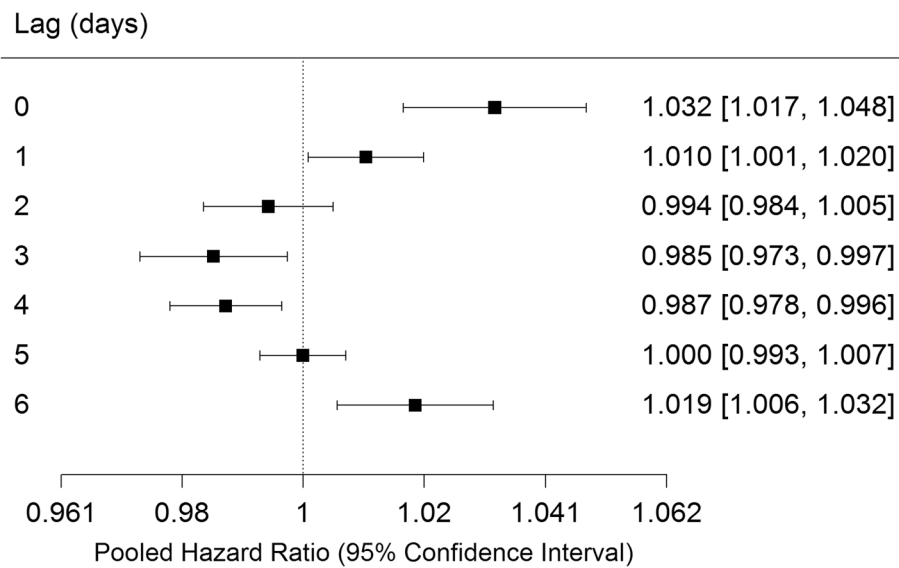


Fig. 1 Pooled hazard ratios, 95% confidence intervals by single day lag, distributed lag models. Expressed per 13.3 ppb O₃ (interquartile range)

of outdoor pollutants in spring, summer and autumn could explain our observation of significant positive associations over multiple lags during these seasons, but only for a single lag in winter. Associations with other pollutants were inconsistent.

Our analysis is one of a limited number which have examined these short term associations employing Cox proportional hazards models to account for the different exposure durations of preterm vs. term births (in contrast to studies based on exposure during the entire pregnancy or third trimester). O₃ exposure in particular has received relatively little attention in previous studies. In their analysis of 13 birth cohorts comprising 71,493

births from the European Study of Cohorts for Air Pollution Effects (ESCAPE), Giorgis-Allemand et al. found no association of preterm birth with NO₂, nitrogen oxides (NO_x), PM_{2.5} and PM₁₀ exposures over durations ranging from one week to the entire pregnancy [22]. In an analysis of 78,633 births in Rome and 27,255 in Barcelona, Schifano et al. found that PM₁₀ and NO₂ in the week prior to delivery were positively associated with preterm birth in Barcelona and negatively associated with preterm birth in Rome, while ozone was positively associated with preterm birth in both cities [23]. The hazard ratios for O₃ were comparable in magnitude to what we observed: 1.010 (95% CI 1.001, 1.020) per 9.2

Table 3 Pooled hazard ratios, 95% confidence intervals and heterogeneity measures from distributed lag models

Lag	Hazard Ratio ^a	95% confidence interval ^a		<i>p</i>	<i>I</i> ²	Q	<i>p</i> (Q)
0	1.032	1.017	1.048	<.0001	12.83%	26.3845	0.2831
1	1.010	1.001	1.020	0.0327	26.81%	31.4232	0.1127
2	0.994	0.984	1.005	0.2897	38.81%	37.5859	0.0282
3	0.985	0.973	0.997	0.0171	33.68%	34.6821	0.0559
4	0.987	0.978	0.996	0.0066	23.55%	30.0833	0.147
5	1.000	0.993	1.007	0.9832	0.00%	18.9691	0.703
6	1.019	1.006	1.032	0.005	0.00%	22.7601	0.4749
0–1	1.032	1.016	1.049	<.0001	16.81%	27.649	0.2293
0–2	1.042	1.016	1.068	0.0012	23.37%	30.0158	0.1489
0–3	1.036	1.005	1.067	0.0209	29.10%	32.4378	0.0914
0–4	1.022	0.987	1.057	0.227	33.94%	34.8155	0.0543
0–5	1.009	0.971	1.049	0.6396	34.61%	35.1717	0.05
0–6	1.006	0.971	1.042	0.7587	20.63%	28.9787	0.181

^aPer 13.3 ppb O₃ (interquartile range)

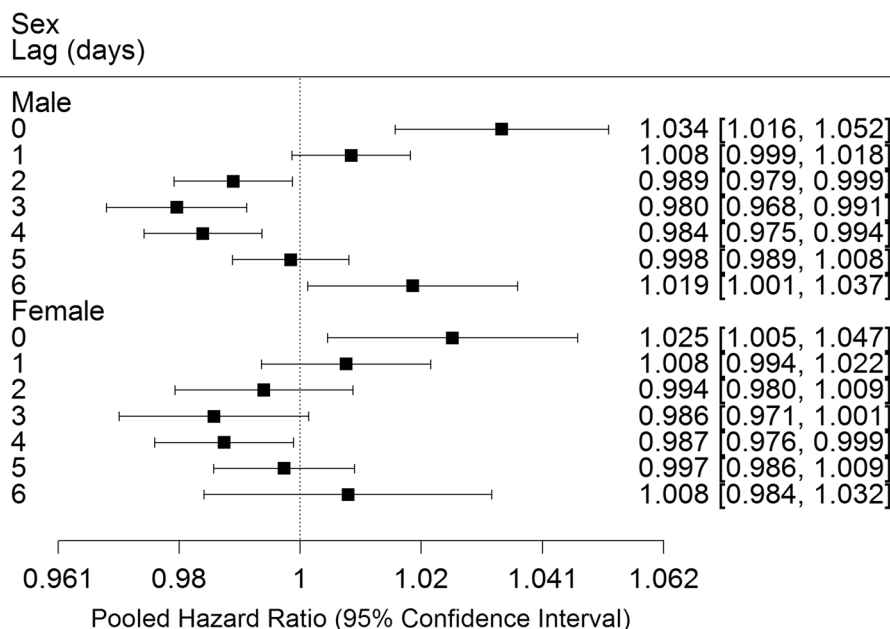


Fig. 2 Pooled hazard ratios, 95% confidence intervals by infant sex, single day lag, distributed lag models. Expressed per 13.3 ppb O₃ (interquartile range)

ppb in Barcelona and 1.025 (95% CI 1.009, 1.042) per 15.3 ppb in Rome [23]. In contrast to our findings, they observed larger associations at shorter pregnancy durations [23]. An earlier study by the same authors examining preterm birth in Rome using time-series methods found that PM₁₀, O₃ and NO₂ lagged 0–2 days were not associated with preterm birth in the warm or cold season; only PM₁₀

lagged 12–22 days in the warm season was significantly associated with preterm birth [19]. In a study of nearly 500,000 births in Guangzhou, significant associations were observed between preterm birth and PM₁₀, NO₂ and O₃, with the peak magnitude of effect at 25 weeks (HR = 1.048, 95% CI 1.034–1.062 per IQR, 37.0 μg/m³), 26 weeks (HR = 1.060, 95% CI 1.028–1.094 per IQR, 15.4 ppb) and 28

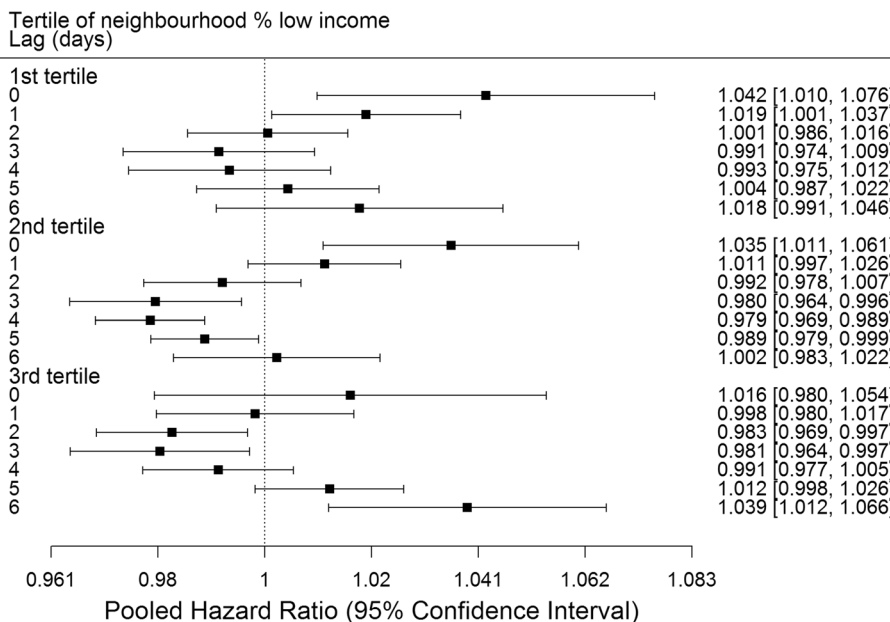
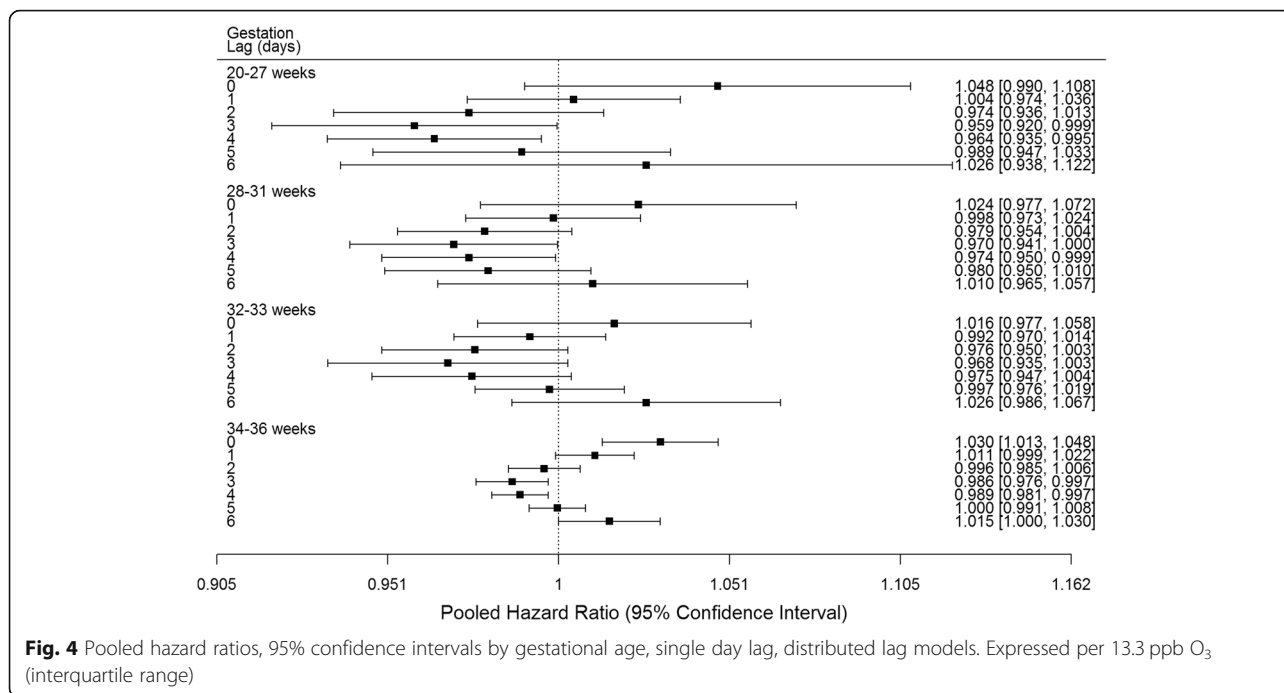
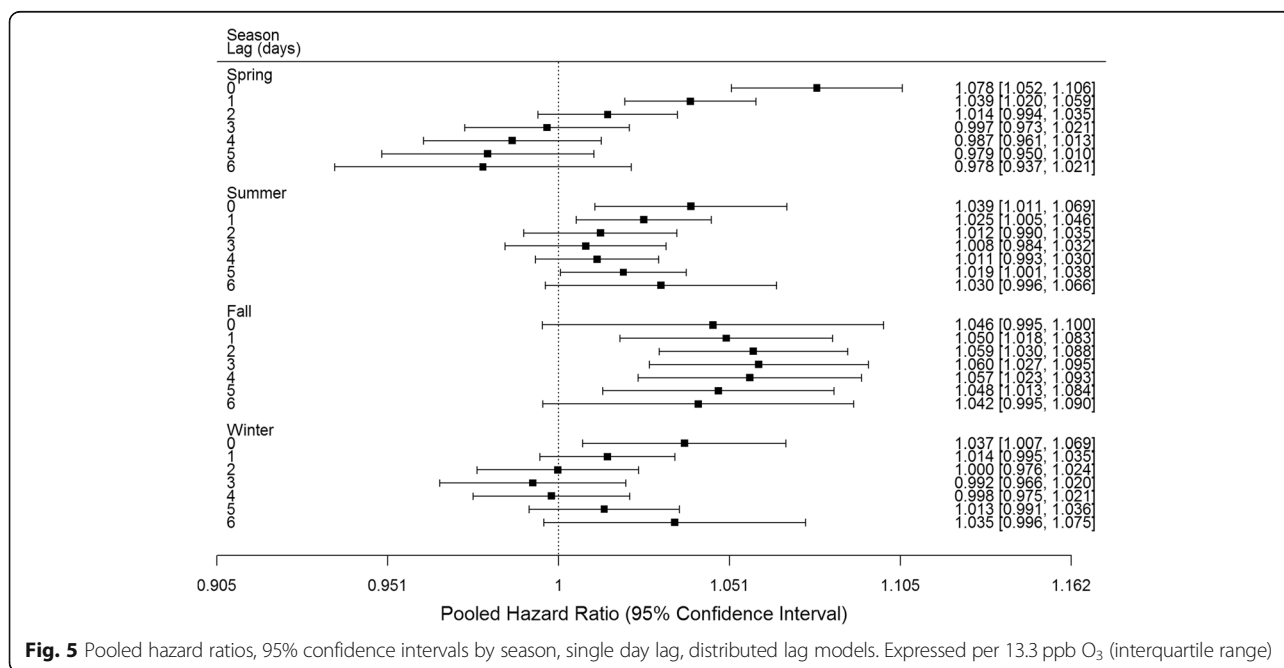


Fig. 3 Pooled hazard ratios, 95% confidence intervals by tertile neighbourhood percent low income, single day lag, distributed lag models. Expressed per 13.3 ppb O₃ (interquartile range)



weeks (HR = 1.063, 95% CI 1.046–1.081 per IQR, 45.8 ppb) gestation respectively [26]. We recently reported that PM_{2.5} on the day of delivery was associated with preterm birth only among women assigned to the highest quartile of PM_{2.5} glutathione-related oxidative potential based on approximately 200,000 births among 31 cities in the province of Ontario, Canada [25]. Johnson et al. found no association between cumulative third trimester PM_{2.5} or NO₂ and preterm birth in a discrete

time survival analysis of 258,294 births in New York City [24]. Sagiv et al. conducted a time-series analysis of 187,997 births in Pennsylvania and found that preterm birth was associated with PM₁₀ 2 days and 5 days before birth (relative risk (RR) = 1.10; 95% CI, 1.00–1.21 per 50 µg/m³ and RR = 1.07; 95% CI, 0.98–1.18 per 50 µg/m³ respectively) [14]. Associations with O₃ were not reported. In another time series analysis of 476,489 births in Atlanta, Darrow et al. observed mostly null



associations with air pollution (including O_3), but reported that preterm birth was associated with $PM_{2.5}$ sulfate and $PM_{2.5}$ water-soluble metal concentrations in the week preceding delivery [15]. Rappazzo et al. also reported that $PM_{2.5}$ lagged 0–2 weeks before birth was associated with an increased risk of preterm birth in an analysis of nearly 1.8 million births in Ohio, Pennsylvania, and New Jersey [17]. O_3 was included as a covariate but associations of preterm birth with O_3 were not reported. A time series study in Ahvaz, Iran found no association between O_3 in the two weeks prior to birth and preterm birth, although significant associations with CO , NO_2 and PM_{10} were observed [20]. Lee et al. reported no associations of O_3 , PM_{10} or meteorological variables with preterm birth in a time series analysis in London examining exposures in the week prior to birth [16]. Arroyo et al. found an association between O_3 in the twelfth week of gestation and preterm birth in a time-series analysis in Madrid [18]. Finally, employing a novel hierarchical spatiotemporal model, Warren et al. found that O_3 in weeks 1–5 and $PM_{2.5}$ in weeks 4–22 were associated with increased risk of preterm birth in a study in eastern Texas [37]. In their analysis of air pollution attributable preterm births worldwide, Malley et al. [1] employed an odds ratio of 1.13 (95% CI 1.03, 1.24) per $10 \mu\text{g}/\text{m}^3$ $PM_{2.5}$ based on the meta-analysis by Sun et al. [9], considerably larger than what Sagiv et al. [14] or Schifano et al. [23] observed. It should be noted that there may be substantial differences in other factors that could contribute to preterm birth among these studies, including prenatal care, employment rights of pregnant women, and obstetrical decision-making (e.g. decision to induce labour).

Mechanisms through which exposures in the days prior to delivery might trigger preterm birth are unknown, but may include non-specific processes such as inflammation or oxidative stress, which are known to be associated with both preterm birth [38–41] and air pollution exposure [42]. $PM_{2.5}$ could also trigger preterm birth through cardiovascular mechanisms or effects on endothelial function [42].

Strengths of our study include the large sample size distributed over multiple cities and utilization of Cox models which account for the differing length of exposure in preterm and term births, and distributed lag models which parsimoniously evaluate effects over multiple lags. We also assessed the shape of the exposure response relationship, examined effect modification by infant, maternal and other factors, and considered the effects of other pollutants. Limitations of our study include the lack of data on maternal behavioural risk factors and possible exposure measurement error owing to the limited number of monitors within each city. Since our analysis deals by design with short term temporal variability in air pollution exposure, observed associations are unlikely to be confounded by short-term time invariant risk factors such as smoking.

In the only other study employing the same design which included data on maternal smoking, Giorgis-Allemand et al. found that results were not sensitive to inclusion of smoking and other individual characteristics as covariates [22]. Exposure measurement error would be expected to be non-differential, biasing observed associations towards the null [43], and as a secondary pollutant, O_3 concentrations would be expected to be relatively homogeneous over larger areas compared to pollutants such as NO_2 . Of four other studies with the same design, two with the same limitations with respect to relatively sparse fixed site monitoring data found consistent associations with O_3 and inconsistent associations with NO_2 and PM_{10} [23] and consistent associations with PM_{10} , NO_2 and O_3 [26], while two others employing temporally adjusted land use regression models for NO_2 , NO_x , $PM_{2.5}$ and PM_{10} [22] and NO_2 and $PM_{2.5}$ [24], potentially reducing exposure measurement error, found no significant associations with preterm birth [22, 24]. Data were missing for at least one covariate for approximately 20% of births in our study. Marital status was the most common missing covariate, and births for which this was missing had a higher prevalence of preterm birth. This suggests that these births differed from those where all covariate data were available which could have biased our results. Results from individual cities were pooled using a random effects model, which treats estimates from individual cities as originating from separate underlying distributions rather than a single common distribution [44]. Differences between cities may stem from differences in the exposure mix, impact of confounders such as weather, or population characteristics. The random effects model is conservative relative to a fixed effects model in that it assigns greater variance to the overall (pooled) measure of effect by incorporating both within and between study variance [44].

Conclusions

In this study, one of a small number employing time to event analysis, we observed significant associations between O_3 in the week prior to delivery and preterm birth, based on an analysis of approximately 1 million births over a ten year period. Given the mixed findings in other studies of this kind, additional studies are needed to determine whether the weight of evidence supports the existence of a causal association between preterm birth and air pollution exposure in the days preceding delivery.

Additional file

Additional file 1: Figure S1. Hazard Ratio by City per 13.3 ppb O_3 lag 0 days. **Figure S2.** Pooled Hazard Ratio by Lag per 0.36 ppm CO . **Figure S3.** Pooled Hazard Ratio by Lag per 10.3 ppb NO_2 . **Figure S4.** Pooled Hazard Ratio by Lag per $7.4 \mu\text{g}/\text{m}^3$ $PM_{2.5}$. **Figure S5.** Pooled Hazard Ratio by Lag per 2.9 ppb SO_2 . (PDF 119 kb)

Abbreviations

AIC: Akaike Information Criterion; CIs: Confidence intervals; CO: Carbon monoxide; DA: Dissemination Area; ESCAPE: European Study of Cohorts for Air Pollution Effects; HR: Hazard ratio; IQR: Interquartile range; NO₂: Nitrogen dioxide; O₃: Ozone; PCCF+: Postal Code Conversion File Plus; PM_{2.5}: Particulate matter of median aerodynamic diameter ≤ 2.5 µm; SES: Socioeconomic status; SO₂: Sulfur dioxide

Acknowledgements

The authors thank Branka Jovic and Marc Smith-Doiron for assistance with obtaining air pollution and temperature data.

Funding

Research was supported by Health Canada. Health Canada employees designed the study, analysed and interpreted the data and contributed to writing the manuscript.

Availability of data and materials

Supporting data are available to bona fide researchers, subject to registration, from Statistics Canada Research Data Centres: <https://www.statcan.gc.ca/eng/rdc/data>.

Authors' contributions

DS, EL, LC, and MT designed the study. LC, LP and MT analyzed the data with contributions from DS, EL and AG. All authors contributed to writing the manuscript and read and approved the final manuscript.

Ethics approval and consent to participate

The study was approved by Health Canada's Research Ethics Board (2011–0046). Consent to participate is not applicable since the study was based on de-identified vital statistics data.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Author details

¹Environmental Health Science and Research Bureau, Health Canada, 101 Tunney's Pasture Driveway, Ottawa, ON K1A 0K9, Canada. ²School of Epidemiology and Public Health, University of Ottawa, Room 101, 600 Peter Morand Crescent, Ottawa, ON K1G 5Z3, Canada. ³Water and Air Quality Bureau, Health Canada, 269 Laurier Avenue W, Ottawa, ON K1A 0K9, Mail Stop 4903B, Canada. ⁴Health Analysis Division, Statistics Canada, 100 Tunney's Pasture Driveway, Ottawa, ON K1A 0T6, Canada. ⁵Department of Social and Environmental Health Research, London School of Hygiene & Tropical Medicine, Room 213, 15-17 Tavistock Place, London WC1H 9SH, UK.

Received: 13 July 2018 Accepted: 7 December 2018

Published online: 03 January 2019

References

- Malley CS, Kuylenstierna JCI, Vallack HW, Henze DK, Blencowe H, Ashmore MR. Preterm birth associated with maternal fine particulate matter exposure: a global, regional and national assessment. *Environ Int*. 2017;101:173–82.
- Ray JG, Park AL, Fell DB. Mortality in infants affected by preterm birth and severe small-for-gestational age birth weight. *Pediatrics*. 2017;140.
- Behrman RE, Butler AS, editors. *Preterm birth: causes, consequences, and prevention*. Washington (DC): National Academies Press (US); 2007.
- Lamichhane DK, Leem J-H, Lee J-Y, Kim H-C. A meta-analysis of exposure to particulate matter and adverse birth outcomes. *Environ Health Toxicol*. 2015;30:e2015011.
- Li X, Huang S, Jiao A, Yang X, Yun J, Wang Y, et al. Association between ambient fine particulate matter and preterm birth or term low birth weight: an updated systematic review and meta-analysis. *Environ Pollut*. 2017;227:596–605.
- Sapkota A, Chelikowsky AP, Nachman KE, Cohen AJ, Ritz B. Exposure to particulate matter and adverse birth outcomes: a comprehensive review and meta-analysis. *Air Qual Atmos Health*. 2012;5:369–81.
- Liu C, Sun J, Liu Y, Liang H, Wang M, Wang C, et al. Different exposure levels of fine particulate matter and preterm birth: a meta-analysis based on cohort studies. *Environ Sci Pollut Res Int*. 2017;24:17976–84.
- Stieb DM, Chen L, Eshoul M, Judek S. Ambient air pollution, birth weight and preterm birth: a systematic review and meta-analysis. *Environ Res*. 2012;117:100–11.
- Sun X, Luo X, Zhao C, Chung Ng RW, Lim CED, Zhang B, et al. The association between fine particulate matter exposure during pregnancy and preterm birth: a meta-analysis. *BMC Pregnancy Childbirth*. 2015;15:300.
- Zhu X, Liu Y, Chen Y, Yao C, Che Z, Cao J. Maternal exposure to fine particulate matter (PM_{2.5}) and pregnancy outcomes: a meta-analysis. *Environ Sci Pollut Res Int*. 2015;22:3383–96.
- Lavigne E, Yasseen AS, Stieb DM, Hystad P, van Donkelaar A, Martin RV, et al. Ambient air pollution and adverse birth outcomes: differences by maternal comorbidities. *Environ Res*. 2016;148:457–66.
- Stieb DM, Chen L, Beckerman BS, Jerrett M, Crouse DL, Omariba DWR, et al. Associations of pregnancy outcomes and PM_{2.5} in a national Canadian study. *Environ Health Perspect*. 2016;124:243–249.
- Stieb DM, Chen L, Hystad P, Beckerman BS, Jerrett M, Tjepkema M, et al. A national study of the association between traffic-related air pollution and adverse pregnancy outcomes in Canada, 1999–2008. *Environ Res*. 2016;148:513–526.
- Sagiv SK, Mendola P, Loomis D, Herring AH, Neas LM, Savitz DA, et al. A time-series analysis of air pollution and preterm birth in Pennsylvania. *Environ Health Perspect*. 2005;113:602–6.
- Darrow LA, Klein M, Flanders WD, Waller LA, Correa A, Marcus M, et al. Ambient air pollution and preterm birth: a time-series analysis. *Epidemiology*. 2009;20:689–98.
- Lee SJ, Hajat S, Steer PJ, Filippi V. A time-series analysis of any short-term effects of meteorological and air pollution factors on preterm births in London, UK. *Environ Res*. 2008;106:185–94.
- Rappazzo KM, Daniels JL, Messer LC, Poole C, Lobdell DT. Exposure to fine particulate matter during pregnancy and risk of preterm birth among women in New Jersey, Ohio, and Pennsylvania, 2000–2005. *Environ Health Perspect*. 2014;122:992–7.
- Arroyo V, Díaz J, Carmona R, Ortiz C, Linares C. Impact of air pollution and temperature on adverse birth outcomes: Madrid, 2001–2009. *Environ Pollut*. 2016;218:1154–61.
- Schifano P, Lallo A, Asta F, De Sario M, Davoli M, Michelozzi P. Effect of ambient temperature and air pollutants on the risk of preterm birth, Rome 2001–2010. *Environ Int*. 2013;61:77–87.
- Dastoorpoor M, Idani E, Goudarzi G, Khanjani N. Acute effects of air pollution on spontaneous abortion, premature delivery, and stillbirth in Ahvaz, Iran: a time-series study. *Environ Sci Pollut Res*. 2018;25:5447–58.
- Darrow LA. Invited commentary: application of case-crossover methods to investigate triggers of preterm birth. *Am J Epidemiol*. 2010;172:1118–20 discussion 1121–1122.
- Giorgis-Allemand L, Pedersen M, Bernard C, Aguilera I, Beelen RMJ, Chatzi L, et al. The influence of meteorological factors and atmospheric pollutants on the risk of preterm birth. *Am J Epidemiol*. 2017;185:247–58.
- Schifano P, Asta F, Dadvand P, Davoli M, Basagana X, Michelozzi P. Heat and air pollution exposure as triggers of delivery: a survival analysis of population-based pregnancy cohorts in Rome and Barcelona. *Environ Int*. 2016;88:153–9.
- Johnson S, Bobb JF, Ito K, Savitz DA, Elston B, Shmool JLC, et al. Ambient fine particulate matter, nitrogen dioxide, and preterm birth in New York City. *Environ Health Perspect*. 2016;124:1283–90.
- Lavigne E, Burnett RT, Stieb DM, Evans GJ, Godri Pollitt KJ, Chen H, et al. Fine particulate air pollution and adverse birth outcomes: effect modification by regional nonvolatile oxidative potential. *Environ Health Perspect*. 2018;126:077012.
- Wang Q, Benmarhnia T, Zhang H, Knibbs LD, Sheridan P, Li C, et al. Identifying windows of susceptibility for maternal exposure to ambient air pollution and preterm birth. *Environ Int*. 2018;121:317–24.
- Chang HH, Warren JL, Darrow LA, Reich BJ, Waller LA. Assessment of critical exposure and outcome windows in time-to-event analysis with application to air pollution and preterm birth study. *Biostatistics*. 2015;16:509–21.
- Darrow LA, Strickland MJ, Klein M, Waller LA, Flanders WD, Correa A, et al. Seasonality of birth and implications for temporal studies of preterm birth. *Epidemiology*. 2009;20:699–706.

29. Padula AM, Noth EM, Hammond SK, Lurmann FW, Yang W, Tager IB, et al. Exposure to airborne polycyclic aromatic hydrocarbons during pregnancy and risk of preterm birth. *Environ Res*. 2014;135:221–6.
30. Wilkins R, Peters PA. Postal Code Conversion File Plus (PCCF+) Version 5K User's Guide (catalogue no. 82-F0086-XDB) [Internet]. Ottawa; Statistics Canada. Available from: <http://www5.statcan.gc.ca/olc-cel/olc.action?objId=82F0086X&objType=2&lang=en&limit=0>
31. Crouse DL, Peters PA, van Donkelaar A, Goldberg MS, Villeneuve PJ, Brion O, et al. Risk of nonaccidental and cardiovascular mortality in relation to long-term exposure to low concentrations of fine particulate matter: a Canadian national-level cohort study. *Environ Health Perspect*. 2012;120:708–14.
32. Dadvand P, Parker J, Bell ML, Bonzini M, Brauer M, Darrow LA, et al. Maternal exposure to particulate air pollution and term birth weight: a multi-country evaluation of effect and heterogeneity. *Environ Health Perspect*. 2013;121:267–373.
33. Statistics Canada. Visible minority [Internet]. [cited 2015 May 6]. Available from: <https://www12.statcan.gc.ca/census-recensement/2016/ref/dict/pop127-eng.cfm>.
34. Gasparri A. Modeling exposure-lag-response associations with distributed lag non-linear models. *Stat Med*. 2014;33:881–99.
35. Gasparri A. Distributed lag linear and non-linear models in R: the package dlrm. *J Stat Softw*. 2011;43:1–20.
36. Lavigne E, Gasparri A, Stieb DM, Chen H, Yasseen AS, Crighton E, et al. Maternal exposure to aeroallergens and the risk of early delivery. *Epidemiology*. 2017;28:107–15.
37. Warren J, Fuentes M, Herring A, Langlois P. Spatial-temporal modeling of the association between air pollution exposure and preterm birth: identifying critical windows of exposure. *Biometrics*. 2012;68:1157–67.
38. Boyle AK, Rinaldi SF, Norman JE, Stock SJ. Preterm birth: inflammation, fetal injury and treatment strategies. *J Reprod Immunol*. 2017;119:62–6.
39. Cuffe JS, Xu ZC, Perkins AV. Biomarkers of oxidative stress in pregnancy complications. *Biomark Med*. 2017;11:295–306.
40. Nadeau-Vallee M, Obari D, Palacios J, Brien M-E, Duval C, Chemtob S, et al. Sterile inflammation and pregnancy complications: a review. *Reproduction*. 2016;152:R277–92.
41. Sultana Z, Maiti K, Aitken J, Morris J, Dedman L, Smith R. Oxidative stress, placental ageing-related pathologies and adverse pregnancy outcomes. *Am J Reprod Immunol*. 2017;77.
42. Erickson AC, Arbour L. The shared pathoetiological effects of particulate air pollution and the social environment on fetal-placental development. *J Environ Public Health*. 2014;2014:901017.
43. Zeger SL, Thomas D, Dominici F, Samet JM, Schwartz J, Dockery D, et al. Exposure measurement error in time-series studies of air pollution: concepts and consequences. *Environ Health Perspect*. 2000;108:419–26.
44. DerSimonian R, Laird N. Meta-analysis in clinical trials. *Control Clin Trials*. 1986;7:177–88.

Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

