Association between Obstructive Sleep Apnea and Cardiovascular Risk Factors: Variation by Age, Sex, and Race

The Multi-Ethnic Study of Atherosclerosis

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Abstract

Rationale: The association between obstructive sleep apnea (OSA) and cardiovascular disease (CVD) is complex, bidirectional, and may vary across groups. Understanding which cardiovascular risk factors vary in their relationship to OSA across population groups may improve knowledge of OSA-related CVD susceptibility.

Objectives: To better understand the heterogeneity of associations, we assessed whether associations of OSA with cardiovascular risk factors vary by age, sex, and race/ethnicity.

Methods: We performed cross-sectional analyses of 1,344 Multi-Ethnic Study of Atherosclerosis participants who underwent overnight full polysomnography, assays of fasting blood, and assessments of cardiovascular risk factors. Risk factors considered were blood pressure, glucose/lipid concentrations, white blood cell (WBC) total and subset counts, and cystatin C. The outcome was the apnea–hypopnea index (AHI). Linear regression analyses with tests for interactions were conducted.

Results: The sample had a mean age of 68 ± 9 years. Forty-seven percent of the sample was male, and 32% had moderate or severe OSA (AHI, \geq 15). Multivariable adjusted analysis showed significant associations between higher AHI with lower high-density lipoprotein cholesterol and higher diastolic blood pressure and neutrophil counts. Significant interactions with demographic factors were observed. Stronger associations were shown between AHI and higher total WBC count ($P_{int} = 0.006$) and glucose concentrations ($P_{int} = 0.006$) in younger (<65 yr) than in older individuals, higher triglyceride concentrations in men than in women ($P_{\text{int}} = 0.006$), and higher total WBC ($P_{\text{int}} = 0.07$) and monocyte counts ($P_{\text{int}} = 0.03$) in African American individuals than in other racial groups.

Conclusions: In a multiethnic cohort, we found increased levels of cardiovascular risk factors in association with OSA, including elevated neutrophil counts, a marker of inflammation. Furthermore, several associations were stronger in men, younger individuals, and African American individuals, highlighting pathways for CVD risk that may explain heterogeneity in the associations between CVD and OSA across population groups.

Keywords: sleep apnea; cardiovascular risk factors; neutrophils; leukocytes; granulocytes

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Obstructive sleep apnea (OSA) is associated with an increased risk of cardiovascular disease (CVD) (1–3). However, the pathways linking OSA to CVD are complex and include variations in metabolic, vascular, and inflammatory risk factors, and they may vary across population groups (4–7). Some associations may be causal and others bidirectional, and yet others may partly reflect shared risk factors such as obesity (8–11). Although traditional CVD risk factors can vary with OSA (8), prior research has not systematically examined variation of risk factors with OSA by race, age, and sex. Furthermore, limited research has addressed the variation of OSA with nontraditional risk factors, such as inflammation and renal dysfunction, despite strong evidence for the role of inflammation in CVD pathogenesis $(11-13)$.

Given that CVD and OSA associations are generally stronger in younger populations (3, 4, 14, 15) and in men than in women (16–21), we postulated that OSA severity would be more strongly associated with CVD risk factors in these groups. We specifically examined associations between OSA and white blood cell (WBC) counts, given data showing that leukocyte activation and elevated leukocyte counts, direct markers of inflammation, associate with an increased CVD risk (8, 9). By characterizing the associations between CVD risk factors and OSA across age, sex, and racial/ethnic groups, we aimed to identify factors that may explain differences in OSA and CVD across population groups. Some of these results were previously reported in the form of an abstract (22).

Methods

Sample

The sample included participants in the Multi-Ethnic Study of Atherosclerosis (MESA), a multiethnic, community-based, prospective cohort designed to investigate the prevalence and progression of subclinical CVD. Briefly, MESA recruited 6,814 participants aged 45 to 84 years from four ethnic groups. The participants were recruited at six centers, one each in Baltimore, Maryland; Chicago, Illinois; Los Angeles, California; St. Paul, Minnesota; New York, New York; and Forsyth County, North Carolina. Participants were free of

clinical CVD at the baseline examination (examination 1 in 2000–2002). Follow-up examinations were performed.

At examination 5 (2010–2012), MESA participants who were not receiving treatment for OSA were invited to participate in a sleep ancillary examination that included overnight in-home polysomnography. Participants using oxygen therapy $(n = 4)$, an oral appliance $(n = 4)$, or continuous positive airway pressure therapy ($n = 95$) for OSA treatment were excluded. Of 2,261 subjects invited to undergo sleep evaluations, 2,057 had technically acceptable sleep studies. Of those, 1,350 had assays performed for WBC count. The analytical sample included 1,344 individuals with complete data on sleep measures and biomarkers. Table E1 in the online supplement describes the characteristics of the sleep ancillary study population ($n = 2,057$) and the analytical sample ($n = 1,344$).

This study was conducted in accordance with the amended Declaration of Helsinki. Written informed consent was obtained from all MESA participants. The study was approved by the institutional review board (IRB) at each field center and the data coordinating center. Each IRB is certified by the U.S. Department of Health and Human Services Office for Human Research Protections: Wake Forest University (IRB number IRB00008492 under Federalwide Assurance FWA00001435); Columbia University (IRB number IRB00002973 under Federalwide Assurance FWA00002636); Johns Hopkins University (IRB number 00001656 under Federalwide Assurance FWA00005752); University of Minnesota (IRB number IRB00000438 under Federalwide Assurance FWA00000312); Northwestern University (IRB number IRB00005003 under Federalwide Assurance FWA00001549); and University of California, Los Angeles (IRB number 00000172 under Federalwide Assurance FWA00004642).

Sleep Measures

Polysomnography was conducted using a 15-channel monitor (Somté System; Compumedics). Recordings included measurement of electroencephalography, electrooculography, chin electromyography, electrocardiography, thoracic and abdominal respiratory inductance plethysmography, airflow (thermocouple and nasal pressure), pulse oximetry, and

bilateral limb movements, as described before (23). OSA severity was assessed with the apnea–hypopnea index (AHI), derived as the sum of all apneas (regardless of desaturation) and hypopneas, accompanied by at least a 4% drop in oxygen saturation, divided by sleep duration (23).

Cardiovascular Risk Factors

At examination 5, systolic and diastolic blood pressures (SBP and DBP, respectively) were calculated as the average of the second and third blood pressure measurements made while the participant was seated. Hypertension was defined as SBP greater than or equal to 140 mm Hg and/or DBP greater than or equal to 90 mm Hg, or use of antihypertensive medication (24). We also analyzed SBP and DBP as continuous measurements. Diabetes was defined as a fasting glucose concentration greater than or equal to 7.0 mmol/L (126 mg/dl) or use of hypoglycemic medications (25). Lipids included fasting concentrations of total cholesterol, high-density lipoprotein (HDL), low-density lipoprotein (LDL), and triglycerides (26). A central laboratory performed assays, as described before (27).

Other laboratory measurements included complete blood count with differential analysis. Cystatin C was measured using a BN II nephelometer (Siemens) with a particle-enhanced immunonephelometric assay (N Latex Cystatin C; Siemens) with fasting plasma specimens stored at -70° C. The intra-assay coefficient of variation for cystatin C ranged from 2.0% to 2.8% (27).

Covariates

Information on demographic and behavioral factors, including age, sex, race/ ethnicity, smoking, and medication use, were obtained at examination 5 using a standardized questionnaire. Smoking status was defined as never, former (no cigarettes within the past 30 d), or current. Alcohol drinking was defined as current use (yes/no). Body mass index (BMI) was calculated from measurements of height and weight. Waist circumference was directly measured.

Statistical Analysis

Bivariate associations were assessed using analysis of variance for continuous variables, the Kruskal-Wallis test for nonnormally distributed measures, and the

chi-square test for categorical variables. Associations between AHI (with natural logarithm transformation after adding 1) and risk factors were evaluated using multivariable linear regression, adjusting for age, sex, race/ethnicity (white, African American, Hispanic, Chinese), smoking status, statin use, antihypertensive use, hypoglycemic medication use, waist circumference, and BMI. Analyses of glucose excluded individuals using diabetes medications. Tests for multiplicative interaction were performed to assess whether the associations between AHI and CVD risk factors varied across age groups $(<65$ yr vs. ≥ 65 yr) (28), sex, and race/ ethnicity.

Analyses were conducted using PASW Statistics version 18 (SPSS) or SAS version 9.0 software (SAS Institute). For interpretation of statistical interactions, we used $P < 0.05$, with suggestive interactions defined as $P < 0.10$.

Results

Table 1 shows the distributions of participant characteristics by OSA category. As expected, increasing BMI, increasing waist circumference, and male sex were associated with more severe OSA. Hispanic American individuals were overrepresented in the most severe AHI category. Associated positively with OSA severity were diabetes, fasting glucose and triglyceride concentrations; hypertension prevalence; and DBP. HDL cholesterol and total cholesterol were associated inversely with OSA. No significant associations were observed for LDL or non-HDL cholesterol or for smoking status, alcohol use, or statin use. In unadjusted analyses, higher total WBC counts and all WBC subsets other than lymphocytes, as well as cystatin C concentrations, were associated positively with OSA category, with statistical significance (Figure 1 and Table E2).

Analyses of the overall sample that were adjusted for demographic factors, smoking status, medication use, and

Table 1. Distributions of demographic factors and metabolic and cardiovascular risk factors according to obstructive sleep apnea severity, by apnea–hypopnea index category

Definition of abbreviations: AHI = apnea-hypopnea index; AHI <5 = no obstructive sleep apnea; AHI 5-14 = mild obstructive sleep apnea; AHI 15-29 = moderate obstructive sleep apnea; AHI >30 = severe obstructive sleep apnea; BMI = body mass index; BP = blood pressure; eGFR = estimated glomerular filtration rate (measurement of kidney function); HDL = high-density lipoprotein; LDL = low-density lipoprotein.

Data are shown as mean ± SD for continuous variables and percents for categorical variables. P values were calculated by analysis of variance for continuous variables and chi-square test for categorical variables. P values in bold typeface highlight the statistical significance. Non-HDL cholesterol was calculated by subtracting the HDL cholesterol value from the total cholesterol reading.

 $n = 1,104$, restricted to those not using diabetes medications.

Figure 1. Differences across AHI category groups were statistically significant, specifically when we compared the no OSA and severe OSA groups, except for lymphocyte counts. Because more than two groups were used for comparison, we used P values calculated by Kruskal-Wallis test, a nonparametric test. All values are medians, owing to skewed distribution. AHI = apnea–hypopnea index; AHI $<$ 5 = no OSA; AHI 5–14 = mild OSA; AHI 15–29 = moderate OSA; AHI \geq 30 = severe OSA; OSA = obstructive sleep apnea; WBC = white blood cell.

adiposity showed that DBP, HDL cholesterol concentration, and neutrophil count were each significantly associated with AHI (Table 2). The adjusted associations between AHI and triglyceride concentrations and SBP did not reach statistical significance ($P = 0.062$ and $P =$ 0.095, respectively).

Evidence for Modification by Age

Adjusted analyses showed statistically significant interactions between age and total WBC count ($P_{int} = 0.006$), with stronger associations found in individuals younger than age 65 years than in older individuals (Table 3). More specifically, each increase of 1,000 WBCs per microliter was estimated to be associated with an approximately 8% increase in AHI among individuals younger than 65 years old, with nonsignificant associations in older individuals. The association between neutrophils and AHI also was suggestively stronger in younger than in older subjects $(P_{\text{int}} = 0.10)$. The association between glucose concentrations and AHI was stronger in younger individuals $(P_{int} =$ 0.006). The association between HDL cholesterol and AHI appeared stronger in

older than in younger participants (P_{int} = 0.09) (Table 3).

Evidence for Modification by Sex

A significant sex interaction was observed only for the adjusted association between triglyceride concentrations and AHI (P_{int} = 0.006), with a stronger positive association in males (Table 4). The association observed between DBP and AHI in the overall sample appeared stronger in men than in women $(P_{\text{int}} = 0.08)$. Women but not men showed a suggested association between monocytes and AHI ($P_{\text{int}} = 0.06$).

Evidence for Modification by Race

Tests for race/ethnicity interactions showed significant differences in the adjusted association between AHI and monocyte counts in African American individuals $(P_{int} = 0.03$ for differences across four racial groups) (Table 5). WBC total counts showed a similar trend ($P_{\text{int}} = 0.07$). The results estimate an approximately 9% increase in AHI per 1,000 WBCs per microliter and a 2.9-fold increase in AHI per 1,000 monocytes per microliter. After excluding the Chinese group (owing to the small sample; $n = 13$), the association between

AHI and both monocytes ($P_{\text{int}} = 0.02$) and total WBC counts ($P_{int} = 0.04$) were stronger in the African American participants than in white and Hispanic participants (Table E3). Table E4 shows the overall variation of CVD risk factors among the three larger racial/ ethnic groups.

Sensitivity Analyses

We further adjusted for sleep duration (total sleep time) and allergy (seasonal allergy in the past 2 weeks by self-report), and we observed no appreciable differences in key findings. In addition, we excluded individuals using oral steroids use $(n = 17)$ and likewise observed no significant differences in the findings (Table E5).

Discussion

The emergence of OSA as a risk factor for incident CVD (1–3) has stimulated clinical and public health efforts to improve recognition and treatment of OSA. However, cohort studies indicate significant heterogeneity in the associations between OSA and established CVD across the population (1–4, 8–10). The heterogeneity relating OSA to CVD has raised questions regarding the effects of age, sex, and other factors on underlying phenotypic and mechanistic aspects of OSA and their impact on CVD susceptibility. Differences in associations between OSA and CVD may relate to variations in metabolic and other physiological responses to OSA. Population group differences may partly relate to differences in the severity and duration of OSA exposures (e.g., younger age of onset of OSA in men). Alternatively, genetic and environmental factors that influence levels of traditional and nontraditional CVD risk factors may contribute to differences in associations through causal, bidirectional, and pleiotropic pathways. To help understand this heterogeneity, we analyzed data on CVD risk factors and OSA derived from a large, well-characterized, multiethnic sample of men and women. For the first time in a large and diverse community-based sample, we showed that increasing OSA severity associates with higher WBC counts, suggesting that disturbances in innate immunity may be a pathway linking OSA and CVD and that this pathway may differ by age and

Table 2. Multivariable linear regression analysis testing associations between risk factors with AHI

Definition of abbreviations: AHI = apnea–hypopnea index [number of events per h of sleep; outcome variable was $ln(AHI + 1)$]; BMI = body mass index; BP = blood pressure; HDL = high-density lipoprotein; WBC = white blood cells.

Adjustments were made for age, sex, race/ethnicity, smoking status, statin use, any antihypertensive medication, antidiabetes medication (insulin use and/or oral hypoglycemic use), BMI, and waist circumference. Each row represents a unique regression model. Values are natural logarithm transformations. P values in bold typeface highlight the statistical significance.

 $*n = 1,104$, restricted to those not using antidiabetes medications. All other analyses are based on $n = 1,336$ (without missing data).

race/ethnicity. In particular, the stronger relationship of WBC counts and OSA in middle-aged and African American individuals than in older individuals as well as white and Hispanic individuals suggests that OSA associates with more inflammation in these groups. We also observed significant sex interactions, with stronger associations between triglyceride concentrations and DBP with OSA in men than women, suggesting that metabolic dysfunction and hypertension

may contribute to OSA-associated CVD risk in men.

The overall sample showed significant unadjusted associations between OSA severity and multiple measures of metabolic and vascular dysfunction (adiposity, hypertension/blood pressure, diabetes/ glucose concentration, and dyslipidemia). In addition, we found significant unadjusted associations between OSA severity and markers of inflammation (total leukocyte

counts and all subsets except for lymphocytes) and renal function (cystatin C). After adjusting for multiple potential confounders, significant associations in the overall sample were observed between higher AHI and higher DBP, higher neutrophil counts, and lower HDL cholesterol, supporting the increased prevalence of indices of metabolic syndrome and inflammation in individuals with more severe OSA (29–32).

A novel finding was the association of neutrophil counts with higher AHI in adjusted models. Inflammation contributes to atherogenesis (13), and biomarkers of inflammation predict risk for future CVD (11–13). Elevated markers of inflammation measured by C-reactive protein (33) and inflammatory cytokines (5–7) also have been reported in OSA, although associations often attenuate after adjusting for obesity (7–10). The association of OSA with leukocyte count, a measure of systemic inflammation, has rarely been examined, although several small studies reported abnormalities in leukocytes in patients with OSA (7, 34–36). No prior study has examined variation of WBC counts in large, racially diverse, wellcharacterized cohorts. The present findings based on total and neutrophil counts implicate innate immunity as a link between OSA and heightened cardiovascular risk. In this regard, even small variations in neutrophil numbers within the normal range strongly associate with the incidence of heart failure, abdominal aortic aneurysm, and nonfatal myocardial infarction (37). Shah and colleagues showed a linear association

Table 3. Variation of adjusted associations between cardiovascular risk factors by age for AHI

Definition of abbreviations: AHI = apnea-hypopnea index [number of events per h of sleep; outcome variable was ln(AHI + 1)]; BMI = body mass index; CI = confidence interval; HDL = high-density lipoprotein; WBC = white blood cell.

Models shown when there was evidence of a suggestive $(P < 0.10)$ or significant $(P < 0.05)$ interaction with the risk factor and age category (<65 yr vs. >65 yr). Each row represents a unique regression model adjusted for sex, race/ethnicity, smoking, statin use, any antihypertensive medication, antidiabetes medication (insulin and/or hypoglycemic), BMI, and waist circumference for each stratified analysis. The P value for interaction terms are based on the full model, including an interaction term for age $(<$ 65 yr vs. \geq 65 yr) and the exposures. P values in bold typeface highlight the statistical significance. Analyses based on $n = 1,336$ (without missing data). Values are natural logarithm transformations.

 $n = 1,104$, restricted to those not using diabetes medication.

Table 4. Variation of adjusted associations between cardiovascular risk factors by sex for apnea–hypopnea index

Definition of abbreviations: BP = blood pressure; CI = confidence interval.

Models are shown when there was evidence of a suggestive $(P < 0.10)$ or significant $(P < 0.05)$ interaction with the risk factor and sex category. Each row represents a unique regression model adjusted for age, race/ethnicity, smoking, statin use, any antihypertensive medication, antidiabetes medication (insulin and/or hypoglycemic), body mass index, and waist circumference for each stratified analysis. P value for interaction was calculated for the full model, including an interaction term for an interaction term for sex and the exposures (monocytes, diastolic BP, triglycerides). P values in bold typeface highlight the statistical significance. Analyses are based on $n = 1,336$ (without missing data). Values are natural logarithm transformations.

between neutrophil counts with the risk of developing CVD over a median of 3.8 years of follow-up (37). Increase in CVD was associated with small absolute differences in WBCs: Comparison of neutrophil counts $6-7 \times 10^9$ /L vs. $2-3 \times 10^9$ /L (both within the "normal" range) showed strong associations with heart failure (hazard ratio [HR], 2.0), peripheral arterial disease (HR, 1.95), and nonfatal myocardial infarction (HR, 1.58) (37). Notably, low-grade inflammation has been identified as a risk for recurrent cardiovascular events (38). Therefore, our findings point to the potential for future therapies that target underlying inflammatory mechanisms that may link OSA and CVD.

In our diverse cohort, we also identified evidence for effect modification by age, sex, and race/ethnicity, providing insight into the variation in the relationship between CVD across groups previously observed (39–41). In agreement with the findings of Newman and coworkers (8), we observed stronger associations between OSA severity and higher glucose concentration in

middle-aged than in older individuals. In addition, a stronger association between OSA severity and total WBC count was found in middle-aged individuals. Whether these observations reflect differences in metabolic and inflammatory responses to OSA-related physiological stresses or whether inflammation is a stronger driver of OSA susceptibility in younger than in older individuals requires further study. Regardless of the causal direction, efforts at targeting inflammation may be a particularly promising strategy in middle-aged individuals with OSA.

We also identified significant sex differences in associations. Stronger associations between triglyceride concentrations with increasing AHI were identified in men than in women. Analyses also suggested a stronger association between DBP and AHI in men. These findings suggest a greater aggregation of cardiometabolic risk factors in men than in women with OSA, a pattern that parallels several reports of a greater prevalence of

CVD disease in men than in women with OSA (1, 2, 4, 41, 42).

A novel aspect of this study was the ability to explore effect modification by race/ethnicity. We observed a stronger association between WBC counts and OSA severity in African American individuals than in individuals of other racial groups. The elevation in monocyte counts is of particular relevance for CVD, given that macrophages (monocytes that have migrated from the bloodstream to tissues) are integral components of the atherosclerotic plaque, which consists of an accumulation of lipids in the arterial wall, together with infiltration of immune cells, such as macrophages, T cells, and mast cells (13). The roles of multiple aspects of the innate immune system are under active investigation as mediators of chronic inflammation and atherogenesis. Prior research has identified variation in the prevalence of OSA across racial groups (43–45). OSA prevalence risk in African American adults strongly associates with obesity (42), and obesity associates with

Table 5. Variation of adjusted associations between cardiovascular risk factors by race for apnea–hypopnea index

Definition of abbreviations: CI = confidence interval; WBC = white blood cell.

Models are shown when there was evidence of a suggestive $(P < 0.10)$ or significant $(P < 0.05)$ interaction with the risk factor and race categories. Each row represents a unique regression model adjusted for age, sex, smoking, body mass index, waist circumference, statin use, any antihypertensive medication, and antidiabetes use (insulin and/or hypoglycemic), per stratified analysis. P for interaction was calculated for the full model, including an interaction term for race/ethnicity category and exposure. P values in bold typeface highlight the statistical significance. Analyses are based on $n = 1,336$ (without missing data). Values are natural logarithm transformations.

increased leukocytes (46–48). African American children and young adults, however, have a high prevalence of OSA not explained by obesity (49), possibly reflecting inflammation in upper airway lymphoid tissue. Additional research on differences in the association between inflammation and OSA may provide insight into cardiovascular health disparities.

This study's strengths include the inclusion of a multiethnic sample recruited from the community. This study used standardized and comprehensive objective sleep measurements and a central laboratory for biomarker assays. A number of CVD risk factors were evaluated, allowing assessment of metabolic, inflammatory, and vascular risk factors. Study limitations include its cross-sectional design, precluding assessment of causality. We modeled the AHI as our outcome to illustrate which CVD risk factors associate with OSA, extending the approach used by Newman and colleagues, who first demonstrated an aggregation of CVD risk factors with OSA (8). Although many of the observed associations likely reflect the contribution of OSA to CVD risk markers, our findings also

allow for the possibility that OSA and CVD may be linked by bidirectional and/or common mechanisms, suggested by studies showing associations between variants in inflammatory genes and OSA (49). We adjusted for many known correlates of OSA or CVD; however, there is potential for residual confounding. Markers of inflammation may vary with many factors, including stress. Although we adjusted for multiple factors and conducted sensitivity analyses excluding the small number of individuals using oral steroids and adjusting for seasonal allergies, other factors, such as "stress," are difficult to measure, and an inability to adjust for these may have confounded the findings. Our sample had a wide range of OSA, but participants were recruited from the community, unselected for the presence of symptoms, and individuals under active treatment for OSA were excluded. It is possible that alternative associations would be observed in a more symptomatic sample. Typical for a community sample of older individuals, a high proportion used statins or antihypertensive medications. Although we statistically adjusted for medication use, it is possible that medication effects attenuated

associations between lipids and blood pressure with OSA.

Conclusions

Associations between several cardiovascular risk factors, including WBC count, and OSA varied by age, sex, and race/ethnicity. These differences may underlie population variation in OSA-related CVD susceptibility, and they suggest pathways that may serve as targets for future interventions aimed at reducing CVD in individuals with OSA. Further assessment of causal pathways, including investigation of interindividual differences in response to OSA-related stressors, such as intermittent hypoxia, or to underlying differences in cardiometabolic profile and genetic susceptibility may yield insight into sources of health disparities across the population and their links to OSA. \blacksquare

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