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### Adverse Effects of Small for Gestational Age Differ by Gestational Week among Very Preterm Infants

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#### Abstract

**Objective:** To characterize the excess risk for death, grade 3–4 intraventricular hemorrhage (IVH), bronchopulmonary dysplasia (BPD), and stage 3–5 retinopathy of prematurity independently associated with birth small-for-gestational-age (SGA) among very preterm infants, stratified by completed weeks of gestation.

**Methods:** Retrospective cohort study using the Optum Neonatal Database. Study infants were born < 32 weeks gestation without severe congenital anomalies. SGA was defined as a birth weight  $<10^{\text{th}}$  percentile. The excess outcome risk independently associated with SGA birth among SGA babies was assessed using adjusted risk differences (aRD).

**Results:** Of 6,708 infants sampled from 717 US hospitals, 743 (11.1%) were SGA. SGA compared to non-SGA infants experienced higher unadjusted rates of each study outcome except

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Dr. Jensen conceptualized the study design, performed the statistical analysis, wrote the first and final drafts of the manuscript, and approved the final manuscript as submitted. Dr. Jensen had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Dr. Foglia conceptualized the study design and data analysis, participated in data interpretation, critically reviewed the manuscript, and approved the final manuscript as submitted.

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Dr. DeMauro conceptualized the study design and data analysis, participated in data interpretation, critically reviewed the manuscript, and approved the final manuscript as submitted.

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grade 3–4 IVH among survivors. The excess risk independently associated with SGA birth varied by outcome and gestational age. The highest aRD for death (0.27; 95% CI 0.13, 0.40) occurred among infants born at 24 weeks gestation and declined as gestational age increased. In contrast, the peak aRDs for BPD among survivors (0.32; 95% CI 0.20, 0.44) and the composites of death or BPD (0.35; 95% CI 0.24, 0.46) and death or major morbidity (0.35; 95% CI 0.24, 0.45) occurred at 27 weeks gestation. The risk-adjusted probability of dying or developing one or more of the evaluated morbidities among SGA infants was similar to that of non-SGA infants born approximately 2–3 weeks less mature.

**Conclusion:** The excess risk for neonatal morbidity and mortality associated with being born SGA varies by adverse outcome and gestational age.

#### INTRODUCTION

Severe fetal growth restriction and in-utero stress can result in infants being born small for gestational age (SGA). Multiple epidemiological studies have investigated the association between SGA birth and the risk for adverse neonatal outcomes among very preterm infants. (1–10) While most reports indicate that SGA compared to non-SGA very preterm infants are at increased risk for mortality, the strength and direction of the associations between SGA birth and prognostically important neonatal morbidities are not fully established.(1–10) Limitations of the current literature examining the effects of SGA birth among very preterm infants include infrequent assessment of morbidity-mortality composite outcomes, few data on infants born at or near the current limits of viability, and scant comparisons of SGA to non-SGA infants stratified by gestational age. Moreover, most population based studies that compared SGA to non-SGA very preterm infants within gestational age week strata evaluated infants delivered over 15 years ago.(1, 7) Contemporary data on the potential adverse effects associated with SGA birth may help inform current perinatal counseling, obstetrical decision making, and prediction of long-term outcomes.

We conducted the present study to characterize the independent association between SGA birth and neonatal morbidity and mortality in a recent, multicenter cohort of very preterm infants. We examined variability in the risks for the following 3 well-established predictors of long-term neurodevelopment, stratified by completed weeks of gestation: severe intraventricular hemorrhage, bronchopulmonary dysplasia, and severe retinopathy of prematurity.(13, 14) We limited our analyses to these outcomes, and their composites with mortality, as they are likely to be among the most important prognostic factors considered during the perinatal period.

#### METHODS

#### **Data Source and Population**

We performed a retrospective cohort study using data collected prospectively for the Optum Neonatal Database (Eden Prairie, MN). The Optum Corporation provides neonatal care management services for multiple private, government, and self-insured employer health plans throughout the United States. The database is comprised of predefined clinical information that is abstracted from the primary medical record 3–4 times per week by

trained neonatal nurses. Infants whose insurer contracts with the Optum Corporation to provide care management services and are cared for in a level II or higher neonatal intensive care unit (NICU) are captured in the database. Clinical data are collected throughout each hospitalization, regardless of whether an infant is cared for in a single institution or transferred between hospitals. For the present analyses, we evaluated infants with gestational ages < 32 weeks born between January 1, 2010 and October 31, 2016 who survived in the delivery room and were admitted to a NICU. Infants with severe congenital anomalies were excluded. The Thomas Jefferson University Institutional Review Board certified the use of this de-identified dataset as non-human subjects research.

#### **Exposure and Outcome Definitions**

The exposure of interest was birth SGA, defined as a birth weight  $< 10^{th}$  percentile based on gestational age (week and day) and sex according to the Olsen growth curves.(15) SGA infants were compared to non-SGA infants, defined as those with birth weights  $10^{th}$  percentile. Gestational age and all maternal data were abstracted from the neonatal record. The method used to define each infant's gestational age and details of the mother's menstrual history and ultrasonographic findings are not recorded in the Optum Neonatal Database.

The study outcomes were death; bronchopulmonary dysplasia (BPD), defined as supplemental oxygen use at 36 weeks postmenstrual age; severe (stage 3–5) retinopathy of prematurity (ROP); and severe (grade 3–4) intraventricular hemorrhage (IVH) among surviving infants. We also evaluated the composites of each morbidity with mortality and a combined outcome of death or major morbidity, defined as the presence of 1 or more of the evaluated morbidities.

#### **Statistical Analysis**

Infant and maternal characteristics and the study outcome rates were compared between SGA and non-SGA infants using standard descriptive statistics. For our primary analyses, the risks for the study outcomes were compared between the two groups using gestational age defined as: (1) the number of completed weeks of gestation considered as a categorical variable and, (2) the gestational age day and week considered as a continuous variable. Owing to smaller samples sizes at the lowest gestational ages, we performed a post-hoc analysis categorizing gestational age according the following 3 strata:  $23^{0/7} - 25^{6/7}$  weeks.  $26^{0/7} - 28^{6/7}$  weeks, and  $29^{6/7} - 31^{6/7}$  weeks. For each comparison, the association between birth SGA and the study outcomes was assessed using logistic regression. Each regression model included an interaction term between the SGA and gestational age variables and was adjusted for the following prespecified maternal and infant characteristics previously shown to be associated with one or more of study outcomes: infant sex, race, insurance status, cesarean birth, multiple gestation pregnancy, maternal initiation of prenatal care during the first trimester, preeclampsia or eclampsia, gestational diabetes, gestational hypertension, treatment with antenatal corticosteroids, and rupture of amniotic membranes 18 hours prior to delivery. Covariates that may contribute to fetal growth restriction were included in the regression models to enable use of the study results regardless of whether the etiology for SGA is known or unknown. A robust variance estimator for cluster-correlated

For gestational age week considered as a categorical variable, we calculated adjusted risk differences (aRD) using the post-regression Stata command adjrr.(17, 18) The adjrr function first determined risk-adjusted outcome probabilities at each gestational age week stratum for SGA and non-SGA infants using marginal standardization, holding all independent covariates at their original values.(18, 19) aRD values were then calculated by subtracting the adjusted outcome probability for SGA infants from that of non-SGA infants.(18) The 95% confidence intervals (CI) were calculated using delta-method standard errors. In our primary analyses, we determined risk differences, instead of a relative measure of effect such as an odds ratio, to quantify the *excess outcome risk* among SGA babies that is independently associated with SGA birth. Here, an aRD value above zero indicates greater risk for the study outcome associated with SGA birth while a value below zero indicates lower risk. For completeness, we also report adjusted relative risks for the study outcomes stratified by gestational age week.

Finally, for gestational age considered as a continuous variable, we used post-estimation linear prediction from the logistic regression models to calculate the adjusted probability of developing the study outcomes among SGA and non-SGA babies. These results, with 95% CI, were summarized graphically using kernel-weighted local polynomial regression. A p-value < 0.05 was used to define statistical significance. No adjustment for multiple comparisons was performed in this exploratory analysis. All statistical testing was conducted using Stata 13.1 (StataCorp, College Station, Texas).

#### RESULTS

Of 6,708 infants without severe congenital anomalies sampled from 717 US hospitals, 743 (11.1%) were SGA. Demographic, baseline clinical, and unadjusted outcome data are compared between SGA and non-SGA infants in Table 1. Mothers of SGA infants were more commonly diagnosed with gestational hypertension and preeclampsia or eclampsia, and more frequently gave birth via cesarean section. Multiple gestation pregnancies and prolonged rupture of amniotic membranes were more common among the mothers of non-SGA babies. The frequencies of all study outcomes, except for severe IVH, were significantly higher among SGA than non-SGA infants (Table 1).

Unadjusted outcome rates and adjusted risk differences for each study outcome, stratified by completed weeks of gestation, are shown in Figure 1 (adjusted outcome rates and relative risks are shown in Supplemental Tables 1 and 2). Model fit for each outcome was excellent (c-statistics >0.8; see Supplemental Tables 1 and 2 for individual values). The risks for the study outcomes associated with SGA varied by outcome and week of gestation. For death, the adjusted risk difference was highest among infants born at 24 weeks gestation (0.27; 95% CI 0.13, 0.40) and decreased with each subsequent week of gestation until 29 weeks (Figure 1). SGA birth was not associated with increased mortality risk among babies born at 30 and 31 completed weeks. In contrast, the adjusted risk differences peaked at 27 weeks gestation for BPD among survivors (32; 95% CI 0.20, 0.44) and the composites of death or

BPD (0.35; 95% CI 0.24, 0.46) and death or major morbidity (0.35; 95% CI 0.24, 0.45) (Figure 1). SGA was not associated with higher or lower risk of severe IVH among survivors at any gestational age (Figure 1).

With gestational age stratified into 3 subgroups, SGA birth was associated with the greatest excess risk for mortality and stage 3–5 ROP among the least mature infants (gestational ages:  $23 \ ^{0/7} - 25 \ ^{6/7}$  weeks)(Table 2). The adjusted risk differences for BPD among survivors, death or BPD, and death or major morbidity were highest among infants in the middle subgroup (gestational ages:  $26 \ ^{0/7} - 28 \ ^{6/7}$  weeks).

Lastly, Figure 2 shows the adjusted probabilities for developing the study outcomes according to gestational age, defined as a continuous variable. These data indicate that the risk-adjusted probability of mortality, BPD, and death or major morbidity among SGA infants was similar to that of non-SGA infants born approximately 2–3 weeks less mature.

#### DISCUSSION

In this contemporary, multicenter cohort of very preterm infants who survived to NICU admission, SGA birth was independently associated with increased risk for death, BPD, and the composite of death or major morbidity at most gestational ages between 23 and 31 completed weeks. Severe IVH among survivors was the only study outcome for which SGA babies were not at increased risk, both when considering data from the cohort as a whole and within individual gestational age week subgroups. Prior studies postulated that the higher morbidity and mortality rates among SGA compared to non-SGA preterm infants result from an additive "double insult" owing to diminished nutrient delivery to the growing fetus and postnatal sequelae attributed to prematurity.(1, 20–22) Our data support this hypothesis and further suggest that the relative importance of these two factors varies depending on the outcome and the gestational age at birth.

For mortality, the adjusted risk differences associated with SGA birth were greatest among the infants born at the lowest gestational ages. Between 24 and 29 weeks gestation, the excess mortality risk associated with SGA birth decreased among SGA babies with each subsequent week of maturation. This suggests that the mechanisms underlying fetal growth restriction such as impaired mitochondrial function, oxidative stress, decreased substrate availability, and in utero hypoxia and acidosis, when combined with extreme prematurity, may be most injurious among SGA infants born at the limits of viability.(22–24)

In contrast to death, the excess risk for BPD associated with SGA birth was greatest among SGA infants born between 26 <sup>0/7</sup> and 29 <sup>6/7</sup> weeks. Data from animal models suggest a potential mechanism for this finding. Growth restriction in fetal sheep impairs surfactant production and maturation and hinders postnatal growth of the terminal, gas exchanging airways.(25–27) At 23 and 24 weeks, the human lung is in the canalicular stage of development, prior to when most surfactant production and terminal air sac expansion begins.(28) At this stage, exposure to an unfavorable intrauterine environment may have less impact on the risk for BPD than if exposure continues into the early saccular phase (25–28 weeks).(28) However, if pregnancy progresses further, up to or beyond the mid point in the

saccular phase (30–32 weeks), sufficient lung development may have occurred such that the excess risk for BPD associated with birth SGA begins to decrease.(28) Of note, the similar trend in adjusted risk differences observed for the composite outcome of death or BPD suggests this finding is not solely the result of censoring by deaths prior to 36 weeks PMA.

Similar to several previous studies, we found no difference in the risk for severe IVH among SGA compared to non-SGA infants.(1, 2, 7, 12, 29) Although the etiology for this observation is unknown, the higher rates of maternal hypertension and pre-eclampsia among SGA babies may protect against IVH and offset the adverse effects of other factors contributing to fetal growth restriction.(30, 31) There may also be fetal compensatory mechanisms that spare brain growth and nutrient delivery at a cost to other organ systems. (32–34)

In the analysis stratified by gestational week, the adjusted risk difference for stage 3–5 ROP among survivors was significantly increased only among SGA babies born at 25 weeks. It is unknown whether this represents a true biological phenomenon or a spurious finding due to chance. The higher mortality but lower ROP rates among SGA infants born at 23 and 24 weeks raises concern for possible censoring of this outcome by earlier death in these infants.

For each study outcome, the peak adjusted risk difference and peak adjusted relative risk values occurred at different gestational ages. This finding reflects the inherent differences in the two measures. The relative risk is useful to characterize the strength of the association between SGA birth and the study outcomes, but it can be misleading when the absolute difference in outcome rates between SGA and non-SGA infants is low. The adjusted risk difference, assuming a cause and effect relationship, quantifies the excess outcome risk among SGA babies that may be independently "attributable" to SGA birth and fetal growth restriction. The higher adjusted risk differences for mortality among infants born at 23 and 24 weeks gestation suggest that prevention of poor in-utero growth during the first or second trimester would proportionally result in the largest reduction in mortality among infants born at these gestations. However, as with all observational studies, we cannot determine whether SGA birth was causative of the observed associations or whether there is residual, unmeasured confounding.

We acknowledge several other study limitations. Only infants whose insurer contracts with the Optum Corporation are included in the dataset. While the cohort was sampled across a broad range of hospitals and geographic locations, the study results may be less generalizable to infants who are uninsured and those receiving care through a health maintenance organization. We utilized SGA as a marker of fetal growth restriction as obstetrical ultrasonographic findings were not captured in the database. However, SGA has been shown to be a reliable proxy for intrauterine growth restriction among infants born at early preterm gestations.(35) Our dataset does not include certain anthropometric measures, such as head circumference, preventing assessment of whether the SGA infants were symmetrically or asymmetrically small. Lastly, all infant and maternal data were abstracted from the infant medical record and some values, including gestational ages estimated after the first trimester, may be imprecise.(36)

In summary, the findings from this large, contemporary cohort of very preterm infants suggest that the excess risk for prognostically important complications of prematurity independently associated with SGA birth varies between outcomes and by gestational age. The risk-adjusted probability of developing one or more of the evaluated study outcomes among SGA infants was generally equivalent to non-SGA infants born approximately 2–3 weeks less mature. These new data may help inform perinatal care decision making, neonatal outcome prediction, and family counseling in the setting of second trimester fetal growth restriction, particularly among threatened preterm deliveries occurring near the current limits of viability

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### What is already known on this topic?

• Very preterm small for gestational age (SGA) infants are at increased risk for death and neonatal morbidity.

#### What this study adds?

- The excess risk for neonatal morbidity and mortality associated with being born SGA varies by outcome and gestational age among very preterm infants admitted to the neonatal intensive care unit.
- The excess risk for death independently associated with SGA birth was highest at 24 weeks. For bronchopulmonary dysplasia, the excess risk peaked at 27 weeks.
- The risks for death and prognostically important morbidity among SGA infants were generally equivalent to non-SGA babies born 2–3 weeks less mature.

Death						Grade 3-	4 IVH amon	g survivors			
Gestation age	nal SGA n/N (%)	Non-SGA n/N (%)	Unadjusted P-value	Adjusted F (9	Risk Difference 5% CI)	Gestationa age	al SGA n/N (%)	Non-SGA n/N (%)	Unadjusted P-value	Adjusted F (9	Risk Difference 5% CI)
23 wk	13/23 (57)	72/177 (41)	0.15		- 0.23 (0.01, 0.44)	23 wk	3/10 (30)	21/105 (20)	0.43	+	0.08 (-0.11, 0.28
24 wk	22/52 (42)	68/375 (18)	< 0.01		0.27 (0.13, 0.40)	24 wk	4/30 (13)	68/375 (18)	1.0		-0.03 (-0.13, 0.06
25 wk	18/65 (28)	51/428 (12)	< 0.01		0.18 (0.05, 0.30)	25 wk	6/47 (13)	53/377 (14)	1.0		0.02 (-0.12, 0.16
26 wk	15/67 (22)	35/494 (7.1)	< 0.01		0.16 (0.06, 0.26)	26 wk	3/52 (5.8)	35/494 (7.1)	0.79	-	-0.003 (-0.09, 0.08
27 wk	15/80 (19)	28/555 (5.0)	< 0.01		0.13 (0.04, 0.22)	27 wk	5/65 (7.7)	29/527 (5.5)	0.41		0.03 (-0.04, 0.10
28 wk	14/94 (15)	32/675 (4.7)	< 0.01	-	0.11 (0.03, 0.18)	28 wk	2/80 (2.5)	26/643 (4.0)	0.76	+	-0.01 (-0.05, 0.02
29 wk	8/90 (8.9)	13/789 (1.6)	< 0.01	-	0.07 (0.01, 0.12)	29 wk	1/82 (1.2)	16/776 (2.1)	1.0	+	-0.007 (-0.02, 0.02
30 wk	6/118 (5.1)	17/1062 (1.6)	0.02	•	0.04 (-0.01, 0.08)	30 wk	1/112 (0.9)	11/1045 (1.1)	1.0	•	-0.001 (-0.02, 0.02
31 wk	1/154 (0.6)	16/1410 (1.1)	1.0	•	-0.004 (-0.02, 0.01)	31 wk	0/153 (0.0)	11/1394 (0.8)	0.62		Unable to calculate
			-0.25	0 0.25	0.5				-0.25	0 0.25	0.5
BPD an	nong survivo	rs				Stage 3-	5 ROP amor	ng survivors			
Gestation age	nal SGA n/N (%)	Non-SGA n/N (%)	Unadjusted P-value	Adjusted F (9	Risk Difference 5% CI)	Gestationa age	al SGA n/N (%)	Non-SGA n/N (%)	Unadjusted P-value	Adjusted F (9:	Risk Difference 5% CI)
23 wk	9/10 (90)	86/105 (82)	1.0 -	•	0.09 (-0.08, 0.26)	23 wk	2/10 (20)	30/105 (29)	0.72 🗲	•	-0.07 (-0.40, 0.25
24 wk	25/30 (83)	221/307 (72)	0.18	+	0.11 (-0.03, 0.25)	24 wk	6/30 (20)	64/307 (21)	0.91		0.01 (-0.15, 0.17
25 wk	38/47 (81)	237/377 (63)	0.02		0.18 (0.05, 0.30)	25 wk	16/47 (34)	36/377 (9.5)	< 0.01		0.26 (0.12, 0.39
26 wk	41/52 (79)	230/459 (50)	< 0.01		0.29 (0.16, 0.42)	26 wk	8/52 (15)	36/459 (7.8)	0.11	+-	0.09 (-0.02, 0.20
27 wk	45/65 (69)	191/527 (36)	< 0.01		- 0.32 (0.20, 0.44)	27 wk	4/65 (6.2)	13/527 (2.5)	0.11	+-	0.04 (-0.03, 0.11
28 wk	39/80 (49)	162/643 (25)	< 0.01		0.22 (0.11, 0.34)	28 wk	4/80 (5.0)	8/643 (1.2)	0.03	+	0.04 (-0.02, 0.10
29 wk	36/82 (44)	106/776 (14)	< 0.01		0.29 (0.18, 0.40)	29 wk	2/82 (2.4)	7/776 (0.9)	0.21	+	0.02 (-0.02, 0.05
30 wk	25/112 (22)	131/1045 (13)	< 0.01	-	0.09 (0.02, 0.16)	30 wk	0/112 (0.0)	12/1045 (1.1)	0.62		Unable to calculate
31 wk	30/153 (20)	130/1394 (9.3)	< 0.01	-	0.09 (0.03, 0.16)	31 wk	2/153 (1.3)	4/1394 (0.3)	0.11	•	0.01 (-0.01, 0.03
			-0.25	0 0.25	0.5				-0.25	0 0.25	0.5
Death c	or major mor	bidity				Death or	r grade 3-4 l'	VH			
Gestation age	nal SGA n/N (%)	Non-SGA n/N (%)	Unadjusted P-value	Adjusted F (9	Risk Difference 5% CI)	Gestationa age	al SGA n/N (%)	Non-SGA n/N (%)	Unadjusted P-value	Adjusted F	Risk Difference 5% CI)
23 wk	22/23 (96)	164/177 (93)	1.0 -	<b>.</b>	0.03 (-0.06, 0.12)	23 wk	16/23 (70)	93/177 (53)	0.12		0.21 (0.05, 0.38
24 wk	48/52 (92)	313/375 (84)	0.10		0.09 (0.01, 0.17)	24 wk	26/52 (50)	117/375 (31)	< 0.01		0.22 (0.08, 0.35
25 wk	57/65 (88)	309/428 (72)	< 0.01		0.16 (0.06, 0.25)	25 wk	24/65 (27)	104/428 (24)	0.03		0.17 (0.03, 0.30
26 wk	56/67 (84)	284/494 (58)	< 0.01		0.27 (0.16, 0.37)	26 wk	18/67 (27)	70/494 (14)	< 0.01		0.15 (0.05, 0.25
27 wk	63/80 (79)	243/555 (44)	< 0.01		- 0.35 (0.24, 0.45)	27 wk	20/80 (25)	57/555 (10)	< 0.01		0.15 (0.04, 0.26
28 wk	54/94 (57)	216/675 (32)	< 0.01		0.25 (0.14, 0.35)	28 wk	16/94 (17)	58/675 (8.6)	< 0.01		0.09 (0.01, 0.16
29 wk	45/90 (50)	136/789 (17)	< 0.01		- 0.32 (0.22, 0.43)	29 wk	9/90 (10)	29/789 (3.7)	0.01	+	0.06 (0.003, 0.13
30 wk	32/118 (27)	168/1062 (16)	< 0.01		0.11 (0.03, 0.19)	30 wk	7/118 (5.9)	28/1062 (2.6)	0.08	+-	0.03 (-0.01, 0.08
31 wk	32/154 (21)	158/1410 (11)	< 0.01	-	0.09 (0.03, 0.15)	31 wk	1/154 (0.6)	27/1410 (1.9)	0.52	•	-0.01 (-0.03, 0.003
			-0.25	0 0.25	0.5				-0.25	0 0.25	0.5
Death o	r BPD					Death or	r stage 3-5 F	OP			
Gestation	nal SGA	Non-SGA	Unadjusted P-value	Adjusted F	Risk Difference	Gestationa	al SGA	Non-SGA	Unadjusted P-value	Adjusted F	Risk Difference
23 wk	22/23 (96)	158/177 (89)	0.48		0.06 (-0.01, 0.14)	23 wk	15/23 (65)	102/177 (58)	0.49	•	0.12 (-0.12, 0.36
24 wk	47/52 (90)	289/375 (77)	0.03		0.13 (0.04, 0.22)	24 wk	28/52 (54)	132/375 (35)	< 0.01		0.21 (0.06, 0.37
25 wk	56/65 (86)	288/428 (67)	< 0.01		0.19 (0.08, 0.29)	25 wk	34/65 (52)	87/428 (20)	< 0.01		- 0.34 (0.21, 0.48
26 wk	56/67 (84)	265/494 (54)	< 0.01		0.30 (0.19, 0.41)	26 wk	23/67 (34)	71/494 (14)	< 0.01		0.22 (0.11, 0.33
27 wk	60/80 (75)	219/555 (40)	< 0.01	-+	- 0.35 (0.24, 0.46)	27 wk	19/80 (24)	41/555 (7.4)	< 0.01		0.17 (0.06, 0.27
28 wk	53/94 (56)	194/675 (29)	< 0.01		0.27 (0.16, 0.37)	28 wk	18/94 (19)	40/675 (5.9)	< 0.01	_	0.14 (0.05, 0.22
29 wk	40/90 (44)	119/789 (15)	< 0.01		- 0.33 (0.22, 0.44)	29 wk	10/90 (11)	20/789 (2.5)	< 0.01	-	0.09 (0.02, 0.15
30 wk	31/118 (26)	148/1062 (14)	< 0.01		0.12 (0.04, 0.19)	30 wk	6/118 (5.1)	29/1062 (2.7)	0.15	+	0.03 (-0.02, 0.07
31 wk	31/154 (20)	146/1410 (10)	< 0.01	-	0.09 (0.03, 0.15)	31 wk	3/154 (1.9)	20/1410 (1.4)	0.49	+	0.006 (-0.02, 0.03
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**Figure 1. Unadjusted rates and adjusted risk differences for death and the individual morbidities among survivors and morbidity-mortality composite outcomes stratified by gestational age week.** The adjusted risk difference values above zero quantify the excess proportion of risk for the study outcome independently associated with SGA birth among SGA babies. Risk difference values are adjusted for infant sex, race/ethnicity, insurance type, cesarean birth, multiple gestation pregnancy, maternal initiation of prenatal care during the first trimester, preeclampsia or eclampsia, gestational diabetes, gestational hypertension, treatment with antenatal corticosteroids, and rupture of amniotic membranes 18 hours prior to delivery. A robust variance estimator for cluster-correlated data was used in all models to account for potential within hospital outcome correlation.



## Figure 2. Risk adjusted probability and 95% confidence interval for the study outcomes among small for gestational age (SGA) and non-SGA infants.

Outcome probabilities adjusted for infant sex, race/ethnicity, insurance type, cesarean birth, multiple gestation pregnancy, maternal initiation of prenatal care during the first trimester, preeclampsia or eclampsia, gestational diabetes, gestational hypertension, treatment with antenatal corticosteroids, and rupture of amniotic membranes 18 hours prior to delivery. A robust variance estimator for cluster-correlated data was used in all models to account for potential within hospital outcome correlation. Model c-statistics: death 0.827, grade 3–4 IVH among survivors 0.809, BPD among survivors 0.800, stage 3–5 ROP among survivors 0.857, death or grade 3–4 IVH 0.820; death or BPD 0.814; death or stage 3–5 ROP 0.842; death or major morbidity 0.819.

#### Table 1.

Characteristics and study outcomes of SGA and non-SGA infants

	SGA N=743	Non-SGA N=5,965	Р
Infant Characteristics			
Gestational age, week mean (SD)	28.5 (2.4)	28.7 (2.4)	0.01
Birth weight, g mean (SD)	767 (235)	1241 (379)	< 0.001
Male, n (%)	412 (55.5)	3123 (52.4)	0.11
Race/ethnicity, n (%)			0.32
Caucasian	241 (32.4)	2009 (33.7)	
African American	154 (20.7)	1069 (17.9)	
Hispanic	132 (17.8)	1078 (18.1)	
Asian/Biracial/Other	216 (29.1)	1809 (30.3)	
Insurance, n (%)			0.96
Commercial/Private	302 (40.7)	2455 (41.2)	
НМО	125 (16.8)	992 (16.6)	
Medicaid/Medicaid HMO	316 (42.5)	2518 (42.2)	
Maternal Characteristics			
Cesarean section, n (%)	652 (87.8)	3826 (64.1)	< 0.001
Multiple gestation pregnancy, n (%)	150 (20.2)	1737 (29.1)	< 0.001
Full prenatal care, n (%)	630 (84.8)	4707 (78.9)	< 0.001
Pre/eclampsia, n (%)	217 (29.2)	619 (10.4)	< 0.001
Gestational diabetes, n (%)	26 (3.5)	253 (4.2)	0.34
Gestational hypertension, n (%)	166 (22.3)	532 (8.9)	< 0.001
Antenatal corticosteroids, n (%)	362 (48.7)	3026 (50.7)	0.30
Rupture of amniotic membranes 18hr, n (%)	46 (6.2)	1008 (16.9)	< 0.001
Infant Outcomes			
Death, n (%)	112 (15.1)	332 (5.6)	< 0.001
Grade 3–4 IVH, n (%) <sup><i>a</i></sup>	25 (4.0)	251 (4.5)	0.57
BPD, n $(\%)^a$	288 (45.6)	1494 (26.5)	< 0.001
Stage 3–5 ROP, n (%) $^a$	44 (7.0)	210 (3.7)	< 0.001
Death or grade 3–4 IVH, n (%)	137 (18.4)	583 (9.8)	< 0.001
Death or BPD, n (%)	400 (53.8)	1826 (30.6)	< 0.001
Death or stage 3–5 ROP, n (%)	156 (21.0)	542 (9.1)	< 0.001

BPD, bronchopulmonary dysplasia; HMO, health maintenance organization; IVH, intraventricular hemorrhage; ROP, retinopathy of prematurity; SGA, small for gestational age

<sup>a</sup>Determined for 631 surviving SGA and 5,633 surviving non-SGA infants

# Table 2.

Unadjusted outcome rates and adjusted risk differences utilizing 3 gestational age strata

Outcome (by gestational age subgroup)	SGA n/N (%)	Non-SGA n/N (%)	p-value	Adjusted RD (95% CI)
Individual Outcomes				
Death				
23 <sup>07</sup> – 25 <sup>6/7</sup> weeks	53/140 (37.9)	191/980 (19.5)	<0.001	0.23 (0.14, 0.31)
$26^{07} - 28^{67}$ weeks	44/241 (18.3)	95/1724 (5.5)	<0.001	0.14 (0.08, 0.19)
29 <sup>0/7</sup> – 31 <sup>6/7</sup> weeks	15/362 (4.1)	46/3261 (1.4)	<0.001	0.03 (0.01, 0.05)
Grade 3-4 IVH among survivors				
$23^{07} - 25^{67}$ weeks	13/87 (14.9)	123/789 (15.6)	0.87	0.009 (-0.07, 0.09)
$26^{0/7} - 28^{6/7}$ weeks	10/197 (5.1)	90/1629 (5.5)	0.79	0.002 (-0.03, 0.03)
29 <sup>0/7</sup> – 31 <sup>6/7</sup> weeks	2/347 (0.6)	38/3215 (1.2)	0.31	-0.006 (-0.02, 0.004)
BPD among survivors				
23 <sup>0/7</sup> – 25 <sup>6/7</sup> weeks	72/87 (82.8)	544/789 (69.0)	0.007	0.14 (0.05, 0.23)
$26^{0/7} - 28^{6/7}$ weeks	125/197 (63.5)	583/1629 (35.8)	<0.001	0.27 (0.19, 0.35)
29 <sup>0/7</sup> – 31 <sup>6/7</sup> weeks	91/347 (26.2)	367/3215 (11.4)	<0.001	$0.14\ (0.09,\ 0.18)$
Stage 3-5 ROP among survivors				
$23^{07} - 25^{67}$ weeks	24/87 (27.6)	130/789 (16.5)	0.01	0.13 (0.03, 0.23)
$26^{07} - 28^{67}$ weeks	16/197 (8.1)	57/1629 (3.5)	0.002	0.05 (0.002, 0.10)
29 <sup>0/7</sup> – 31 <sup>6/7</sup> weeks	4/347 (1.2)	23/3215 (0.7)	0.37	0.005 (-0.007, 0.02)
Composite Outcomes				
Death or grade 3-4 IVH				
23 <sup>0/7</sup> – 25 <sup>6/7</sup> weeks	66/140 (47.1)	314/980 (32.0)	<0.001	0.20 (0.11, 0.28)
$26^{0/7} - 28^{6/7}$ weeks	54/241 (22.4)	185/1724 (10.7)	<0.001	0.13 (0.07, 0.19)
29 <sup>0/7</sup> – 31 <sup>6/7</sup> weeks	17/362 (4.7)	84/3261 (2.6)	0.02	0.02 (0.001, 0.05)
Death or BPD				
23 <sup>0/7</sup> – 25 <sup>6/7</sup> weeks	125/140 (89.3)	735/980 (75.0)	<0.001	$0.14\ (0.08,\ 0.21)$
$26^{07} - 28^{67}$ weeks	169/241 (70.1)	678/1724 (39.3)	<0.001	0.30 (0.23, 0.37)

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29 <sup>0/7</sup> – 31 <sup>6/7</sup> weeks 10	n/N (%)	(%) N/u		
	)6/362 (29.3)	413/3261 (12.7)	<0.001	0.16 (0.141 0.21)
Death or stage 3–5 ROP				
$23 \ 0.7 - 25 \ 6.7$ weeks	7/140 (55.0)	321/980 (32.8)	<0.001	0.26 (0.16, 0.36)
26 <sup>0/7</sup> – 28 <sup>6/7</sup> weeks	0/241 (24.9)	152/1724 (8.8)	<0.001	0.17 (0.11, 0.23)
29 <sup>0/7</sup> – 31 <sup>6/7</sup> weeks	(9/362 (5.3)	69/3261 (2.1)	<0.001	0.03 (0.01, 0.06)
Death or major morbidity				
23 <sup>0/7</sup> – 25 <sup>6/7</sup> weeks	27/140 (90.7)	786/980 (80.2)	0.003	0.11 (0.05, 0.17)
$26^{0/7} - 28^{6/7}$ weeks 17	73/241 (71.8)	743/1724 (43.1)	<0.001	0.29 (0.22, 0.35)
29 <sup>0/7</sup> – 31 <sup>6/7</sup> weeks	)9/362 (30.1)	462/3261 (14.2)	<0.001	0.16 (0.11, 0.20)

BPD, bronchopulmonary dysplasia; IVH, intraventricular hemorrhage; RD, risk difference; ROP, retinopathy of prematurity; SGA, small for gestational age