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Atrial-Specific Gene Delivery Using an Adeno-Associated Viral Vector

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Abstract

Rationale: Somatic overexpression in mice using adeno-associated virus (AAV) as gene transfer vectors has become a valuable tool to analyze the roles of specific genes in cardiac diseases. The lack of atrial-specific AAV vector has been a major obstacle for studies into the pathogenesis of atrial diseases. Moreover, gene therapy studies for atrial fibrillation would benefit from atrial-specific vectors. Atrial natriuretic factor (ANF) promoter drives gene expression specifically in atrial cardiomyocytes.

Objective: To establish the platform of atrial specific *in vivo* gene delivery by AAV-ANF.

Methods and Results: We constructed AAV vectors based on serotype 9 (AAV9) that are driven by the atrial-specific ANF promoter. Hearts from mice injected with AAV9-ANF-GFP exhibited strong and atrial-specific GFP expression without notable GFP in ventricular tissue. In

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DISCLOSURES

XHTW is a founding partner of Elex Biotech, a start-up company that developed drug molecules that target ryanodine receptors for the treatment of cardiac arrhythmia disorders. Other authors have no conflicts.

contrast, similar vectors containing a cardiac troponin T promoter (AAV9-TNT4-GFP) showed GFP expression in all 4 chambers of the heart, while AAV9 with an enhanced chicken beta-actin promoter (AAV-enCB-GFP) caused ubiquitous GFP expression. Next, we used *Rosa26^{mT/mG}* (*mT/mG*), a double-fluorescent Cre reporter mouse that expresses membrane-targeted tandem dimer Tomato (mT) prior to Cre-mediated excision, and membrane-targeted green fluorescent protein (mG) after excision. AAV9-ANF-Cre led to highly efficient LoxP recombination in *mT/mG* mice with high specificity for the atria. We measured the frequency of transduced cardiomyocytes in atria by detecting Cre-dependent GFP expression from the *Rosa26^{mT/mG}* allele. AAV9 dose was positively correlated with the number of GFP-positive atrial cardiomyocytes. Finally, we assessed whether the AAV9-ANF-Cre vector could be used to induce atrial-specific gene knockdown in proof-of-principle experiments using conditional junctophilin-2 knockdown mice. Four weeks after AAV9-ANF-Cre injection, a strong reduction in atrial expression of JPH2 protein was observed. Furthermore, there was evidence for abnormal Ca²⁺ handling in atrial myocytes isolated from mice with atrial-restricted JPH2 deficiency.

Conclusions: AAV9-ANF vectors produce efficient, dose-dependent, and atrial-specific gene expression following a single-dose systemic delivery in mice. This vector is a novel reagent for both mechanistic and gene therapy studies on atrial diseases.

Keywords

Animal Models of Human Disease; Arrhythmias; Gene Therapy; Genetically Altered And Transgenic Models; Adeno-associated virus; atrial natriuretic factor; Cre; atrial fibrillation; gene therapy; arrhythmia; animal models of human disease remodeling; genetically altered mice

INTRODUCTION

Diseases of the atria can pose a serious threat to human health. Atrial fibrillation (AF), for example, is the most common sustained cardiac arrhythmia associated with high morbidity and mortality rates.¹ Since current treatment modalities - both pharmacological therapies and cardiac ablation - remain ineffective in about 40% to 50% of AF patients,² there is an urgent need for improved therapies. A better understanding of the molecular mechanisms underlying AF is a prerequisite to the development of new therapeutic approaches. While mouse models have been very helpful in this regard, a major limitation remains ventricular dysfunction arising in global or cardiomyocyte-specific overexpression and deletion models.³ The sarcolipin-Cre mouse model allows for atrial-specific gene manipulation,⁴ but this involves labor-intensive and time-consuming crosses. Moreover, the heterozygous sarcolipin-Cre mice are haplo-insufficient for sarcolipin and susceptible to pacing-induced AF induction (data not shown).

Adeno-associated virus (AAV) is widely used as a gene transfer vector in biomedical and gene therapy studies.⁵ AAV serotype 9 (AAV9) can efficiently transduce cardiac myocytes, among other cell and tissue types.⁶ AAV9 can package sequences up to 4.7 kb that may include a tissue-specific promoter that drives gene expression in the transduced cells.⁷ Atrial-specific gene delivery could therefore be achieved by careful selection of an atrial-specific promoter. Atrial natriuretic factor (ANF), a peptide hormone synthesized and stored in the atria, was originally identified as a major participant in the systemic regulation of

extracellular fluid volume and electrolytes.⁸ *Nppa*, the gene encoding ANF, is initially expressed throughout the whole heart at the early stages of development, but its postnatal expression is restricted to the atrial chambers.⁹

Here we developed an atrial-specific gene transfer vector containing a shortened ANF promoter. AAV9 vectors containing a 653 bp segment of the mouse *NPPA* promoter¹⁰ were used to drive expression of either enhanced green fluorescent protein (GFP) or Cre recombinase. The AAV9-ANF-GFP vector caused highly-specific atrial expression of GFP *in vivo* in mice. Moreover, a single injection of AAV9-ANF-Cre resulted in efficient and highly specific Cre recombination in the atria, for both a fluorescent Cre-dependent reporter, as well as an endogenous gene. Together, these studies demonstrate that AAV9 vectors with the ANF promoter produce efficient, dose-dependent, and atrial-specific gene expression following a single systemic delivery in mice.

METHODS

The authors declare that all supporting data including complete vector sequences are available within the article and its Online Supplementary Material. Detailed experimental protocols are provided in the Online Supplement Material. All experiments were performed by operators who were blinded to the genotype/experimental group the animal or sample belonged to.

AAV9 production and delivery.

Detailed plasmid information and sequences are available in the Online Supplemental Material. Plasmids will be publicly shared through Addgene upon publication.

Animal studies and in vivo vector delivery.

All studies were performed according to protocols approved by the Institutional Animal Care and Use Committee of Baylor College of Medicine conforming to the Guide for the Care and Use of Laboratory Animals published by the U.S. National Institutes of Health (NIH Publication No. 85–23, revised 1996). The *mT/mG* mice were obtained from Jackson Laboratory (Bar Harbor, ME, USA). A transgenic mouse expressing shRNA targeting JPH2 (shJPH2) was previously generated.⁵ ShJPH2 mice were crossed to transgenic mice expressing Cre recombinase driven by the α MHC promoter (α MHC)-MerCreMer (MCM) to achieve cardiac-specific knockdown of JPH2. MCM-shJPH2 mice were intraperitoneal injected (30 mg/kg) with daily 100 μ l tamoxifen (Sigma-Aldrich Co., St. Louis, MO) for 5 consecutive days. Both male and female mice were used and randomly assigned to treatment groups. AAV vectors were injected retro-orbitally into the venous sinus at doses ranging from 5×10^{11} to 5×10^{12} genome copies (GC)/mouse diluted in 100 μ L of saline.¹¹

Confocal imaging.

Sections were imaged using a Zeiss LSM 880 confocal imaging system. Images were collected using a Zeiss AxioCam 503 mono camera. Images were captured with Zen Software.

Atrial myocyte Ca²⁺ imaging.

Detailed methods are provided in the Online Supplemental Material.

Western blot analysis.

Tissues were snap-frozen in liquid nitrogen. Western blotting was performed as detailed in the Online Supplemental Material.¹²

Statistical analysis.

Results are expressed as mean \pm SEM. Continuous variables were evaluated using SPSS or Prism using an unpaired Student t test or ANOVA, after performing the D'Agostino-Pearson normality test for normal distribution of the data. Categorical variables were evaluated with Fisher's exact test. The generalized estimating equation approach was performed by the use of the binomial distribution to study the dichotomous spontaneous SR Ca²⁺ release event. For other multiple group comparison, one-way ANOVA followed by Tukey's post-test was used. P<0.05 was considered statistically significant.

RESULTS

AAV9-ANF-GFP induces atrial myocyte-specific GFP expression.

To assess the specificity and potency of the ANF promoter fragment within an AAV9 vector, we generated an AAV9-ANF-GFP virus for *in vivo* studies with a GFP reporter gene (Figure 1A). GFP expression patterns were compared to those induced by the AAV9-TNT4-GFP vector with a predicted pan-cardiomyocyte specific expression pattern, and AAV9-enCB-GFP for ubiquitous expression. AAV9-ANF-GFP was delivered to adult C57BL/6J mice at 3 different doses (5×10^{11} , 1×10^{12} , 5×10^{12} genome copies [GC]) by retro-orbital injection. GFP expression in atrial tissue collected after 3-weeks correlated positively with the AAV9 dose (Figure 1B). Mice injected with AAV9-ANF-GFP exhibited robust and specific expression of GFP in the atria (Figure 1B), without notable GFP in ventricles or other extracardiac tissues (Figure 1C, 1D, Online Figure I). Mice treated with AAV9-TNT4-GFP showed GFP expression in both atria and ventricles (Figure 1C, 1E). In contrast, AAV9-enCB-GFP produced ubiquitous expression in the entire heart and other tissues (Figure 1C, Online Figure I).

AAV9-ANF-Cre provides efficient LoxP recombination in atrial myocytes of *mT/mG* mice.

To determine whether the ANF promoter fragment within the AAV9 vector could be used for inducible atrial-specific excision of floxed alleles, we generated an AAV9-ANF-Cre virus for *in vivo* studies (Figure 2A). We used *mT/mG*, a double-fluorescent Cre reporter mouse that expresses membrane-targeted tandem dimer Tomato (mT) prior to Cre-mediated excision, and membrane-targeted green fluorescent protein (mG) after excision (Figure 2B). AAV9-ANF-Cre induced highly efficient LoxP recombination in *mT/mG* mice only within atria, without notable GFP-positive cells in ventricle or other extracardiac tissues (Figure 2C, Online Figure II). To determine the effect of AAV9 dosage on LoxP recombination in *mT/mG* mice, we treated mice with 3 different doses of AAV9-ANF-CRE: 5×10^{11} , 1×10^{12} , or 5×10^{12} GC/mouse (Figure 2D). The number of transduced cardiomyocytes (CMs) in atria

was detected by Cre-dependent GFP expression from the *Rosa26^{mT/mG}* allele. AAV dose was positively correlated with the number of the GFP-positive atrial CMs (Figure 2D, 2E). The data indicate that a systemic dose of 1×10^{12} GC injected at the age of 2–3 months produces highly efficient Cre recombination in the majority of atrial cardiomyocytes.

ANF expression has been reported in ventricular tissue under pathological conditions such as heart failure.¹³ To determine whether pathological conditions induce extra-atrial Cre expression driven by the ANF promoter fragment within the AAV9 vector, we performed transverse aortic constriction (TAC) on mTmG mice treated with AAV9-ANF-Cre 1×10^{12} GC/mouse 1 week before surgery. Ventricular function of these mice was assessed using echocardiography at 6 weeks after TAC, demonstrating a decline in EF and dilatation of the left ventricle (Figure 2G, Online Table I). Cardiac sections from TAC mice injected with AAV9-ANF-Cre exhibited efficient Cre recombination in the atria, without notable GFP-positive cells in ventricle (Figure 2F). Thus, these data demonstrate that the ANF promoter fragment can be used for atrial-specific gene expression even under pathological conditions such as pressure overload.

AAV9-ANF-CRE mediated gene knockdown in atrial myocytes of shJPH2 floxed mice.

Next, we tested whether the AAV9-ANF-Cre vector could be used to selectively knock down a gene within atrial myocytes. AAV9-ANF-Cre was administered to adult transgenic mice overexpressing shRNA targeting junctophilin-2 (shJPH2) upon Cre-mediated removal of a loxP-flanked STOP cassette (Figure 3A).¹⁴ Four weeks following retro-orbital injection of AAV9-ANF-CRE (1×10^{12} GC/mouse), a strong reduction of *JPH2* protein expression was observed in atrial samples, while *JPH2* levels were unaltered in ventricular tissue, liver, kidney, spleen, and lung (Figure 3B-C). In contrast, JPH2 protein levels were reduced in both atrial and ventricular tissues from shJPH2 mice injected with AAV9-TNT4-Cre (Figure 3D-E), and MCM-shJPH2 mice injected with tamoxifen (Online Figure III). These data demonstrate the utility of AAV9-ANF-Cre for inducible atrial-specific gene knock down.

AAV9-ANF-Cre mediated JPH2 knockdown caused abnormal SR Ca²⁺ handling in atrial myocytes.

Prior studies revealed that JPH2 knockdown in ventricular myocytes led to profound alterations in intracellular Ca²⁺ handling.¹⁴ Confocal imaging revealed that atrial myocytes isolated from shJPH2 mice 4-weeks after AAV9-ANF-Cre administration exhibit a reduced amplitude of the Ca²⁺ transient at 1-Hz pacing (Figure 4A-B). The SR Ca²⁺ load assessed using a caffeine dump protocol was also significantly reduced due to JPH2 knockdown. To determine whether diastolic Ca²⁺ handling was also affected, spontaneous SR Ca²⁺ release events were measured in atrial myocytes (Figure 4D). Knockdown of JPH2 in shJPH2 mice injected with AAV9-ANF-Cre led to a significantly higher Ca²⁺ spark frequency (Figure 4E-F, Online Table II). Finally, echocardiography revealed normal ventricular function in these mice, demonstrating that the changes in atrial Ca²⁺ handling are not secondary to altered ventricular function (Online Table III).

DISCUSSION

AAV9 vectors are a commonly used tool for manipulating gene expression in mouse models of cardiac disease including atrial arrhythmias.^{3, 15} Time and tissue restricted alterations in gene expression can be achieved by combining Cre and loxP elements within mouse alleles and AAV9 vectors.¹⁵ However, it has been challenging to manipulate gene expression specifically in the atria. A sarcolipin-Cre knock-in mouse model has been described, which enables gene deletion in atrial myocytes.⁴ A limitation of this model is that Cre replaces one endogenous sarcolipin allele, thus rendering the mice haploinsufficient for this gene, which has important functions in the atria. In our hands, the heterozygous sarcolipin-CRE mice exhibit a high incidence of pacing-induced AF (*not shown*).

In this study, we demonstrated that AAV9 with a NPPA proximal promoter fragment can be used for *in vivo* gene delivery to atrial myocytes in an efficient and highly specific manner. Mice injected with AAV9-ANF-GFP produced a strong and specific expression in atrial tissue, while both mice injected with AAV-TNT4-GFP and AAV-enCB-GFP showed a more universal expression in the whole heart. Atrial GFP expression levels were dependent on the AAV9 dose. We also demonstrated the utility of this vector for Cre-mediated recombination using the *mT/mG* reporter mouse.¹⁶ Although the efficiency of Cre recombination may vary between transgenes, our data suggest that a dose of 1×10^{12} GC injected at the age of 2–3 months allows for a highly efficient atrial-specific recombination. Our study also shows that AAV9-ANF-Cre is can efficiently knock down an endogenous protein (JPH2) in the atria of adult conditional transgenic mice that overexpress shRNA to silence JPH2 expression.¹⁷ Downregulation of JPH2 in atrial myocytes was associated with phenotypic changes in SR Ca^{2+} handling similar to those observed previously in ventricular myocytes from shJPH2 mice crossed with $\alpha\text{MHC-mER-Cre-mER}$ mice.¹⁴ A major advantage of our new vector is that genes can be overexpressed or downregulated in a time-restricted way within atrial myocytes only. Moreover, this platform provides the opportunity to study atrial phenotypes without confounding changes in ventricular gene expression or function, which may occur with pan-cardiomyocyte specific vectors.

The NPPA promoter fragment could also be used to develop transgenic mice.¹⁰ However, the ANF promoter is active in both atria and ventricles before birth, and is then repressed in the ventricle postnatally.¹⁰ Therefore, such mice would be expected overexpress the transgene during cardiac development, which might alter atrial or ventricular function. The AAV9-ANF approach in adult mice would be superior to ANF transgenic mice for studies into the role of genes within the atria without confounding ventricular phenotypes. Prior studies revealed that ANF can be reexpressed in ventricular tissue under pathological conditions such as heart failure.¹³ Our data, however, demonstrate that the ANF promoter fragment in our AAV9-ANF vectors can be used for atrial-specific gene expression even under pathological conditions such as pressure overload (Fig. 2F-G). Another advantage of the AAV9-ANF approach compared with conventional Cre transgenes is the reduction in time and effort needed to generate experimental cohorts. Breeding of double transgenic lines requires at least two mating rounds and potentially 5–10 rounds of backcrossing. In contrast AAV9-ANF-Cre can be applied directly in a homozygous mouse line using littermates as controls. AAV9-ANF vectors should provide investigators with a useful tool for atrial-

specific overexpression or gene deletion in adult mice, accelerating research in atrial biology and disease.

Our atrial-specific AAV vectors have potential clinical implications for the future treatment of AF. Although AF is the most common arrhythmia currently affecting 6 million in the US, current pharmacologic treatments remain inadequate.¹⁸ Experimental gene therapy approaches are being developed, but rather than using an atrial-specific promoter these studies have relied on site-specific application, such as gene painting.¹⁹ AAV gene therapy may be a promising approach to directly target the molecular mechanisms of AF, improving long term efficacy while decreasing potential risks and side effects. We chose to use AAV9 because of the high transduction efficiency of this serotype for cardiomyocytes in mice.^{6, 20} AAV9 is also currently in human gene therapy trials, and has shown remarkably positive results for spinal muscular atrophy.²¹ A recent study found that very high doses of an AAV9 variant can cause severe liver and neuron damage in rhesus macaques and pigs,²² calling for caution with the use of this serotype. These doses likely far exceed what would be necessary to transduce the atria by intravenous delivery. It should also be noted that our ANF promoter based vector could be packaged into any naturally occurring or engineered AAV capsid serotype, and there are numerous other candidates for cardiac delivery.²³ To our knowledge, atrial-specific gene therapy has not been attempted in humans with viral vectors. Atrial-specific AAV vectors will facilitate the identification of novel therapeutic targets as well as enable gene therapy for atrial diseases.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Nonstandard Abbreviations and Acronyms:

AAV9	adeno-associated virus serotype 9
AF	atrial fibrillation
ANF	atrial natriuretic factor
GC	genome copies
JPH2	junctional protein-2
mT	membrane-targeted tandem dimer Tomato
mG	membrane-targeted green fluorescent protein

TAC transverse aortic constriction

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NOVELTY AND SIGNIFICANCE

What Is Known?

- Atrial fibrillation is the most common sustained cardiac arrhythmia associated with high morbidity and mortality rates.
- Adeno-associated viruses (AAV) are widely used as gene transfer vectors in biomedical research and for gene therapy applications.
- To date, no vector exists for atrial-specific gene transfer.

What New Information Does This Article Contribute?

- Adeno-associated virus serotype 9 (AAV9) containing a shortened atrial natriuretic factor (ANF) promoter provided highly specific atrial gene expression in vivo in mice.
- A single injection of AAV9-ANF-Cre resulted in efficient and highly atrial-specific Cre recombinase expression in the atria.
- Atrial-specific gene expression using AAV9-ANF vectors was not associated with extra-atrial transgene expression or off-target phenotypes.

The findings demonstrate that AAV9 vectors containing the shortened ANF promoter can be used to induce atrial-specific transgene expression or gene knockdown following a single systemic delivery in mice. Atrial-specific AAV vectors will facilitate the identification of novel therapeutic targets as well as enable gene therapy approaches for atrial diseases..

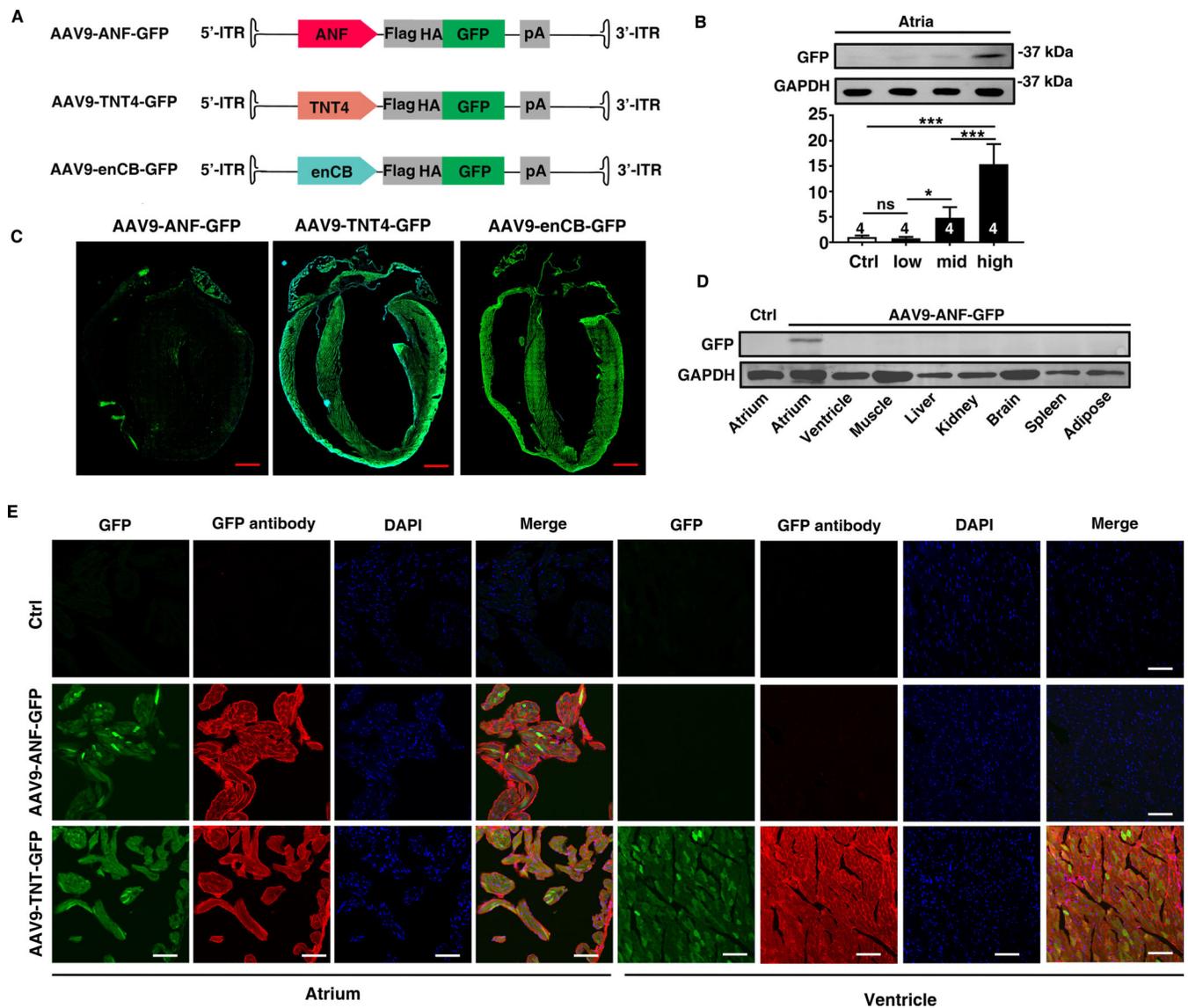


Figure 1. AAV9-ANF-GFP induces atrial myocyte-specific GFP expression.

A, Schematic representation of the AAV transgenes used for these studies. ITR, I-terminal repeat; GFP, green fluorescent protein. **B**, Western blots showing GFP expression in atria of mice receiving different doses of AAV9-ANF-Cre (low: 5×10^{11} GC; mid: 1×10^{12} GC; high: 5×10^{12} GC), or saline (Ctrl). **C**, Images of cardiac sections showing atrial-restricted GFP expression in mice treated with AAV9-ANF-GFP (1×10^{12} GC). Mice receiving AAV9-TNT4-GFP and AAV9-enCB-GFP exhibited GFP expression in both atria and ventricles. **D**, Western blots showing GFP expression only in atrial tissue but not in ventricle or extra-cardiac tissues. **E**, Images of cardiac sections incubated with GFP antibody confirming atrial GFP expression in mice treated with AAV9-ANF-GFP, while both atria and ventricles expressed GFP in AAV9-TNT4-GFP treated mice. Scale bar, 100 μ m.

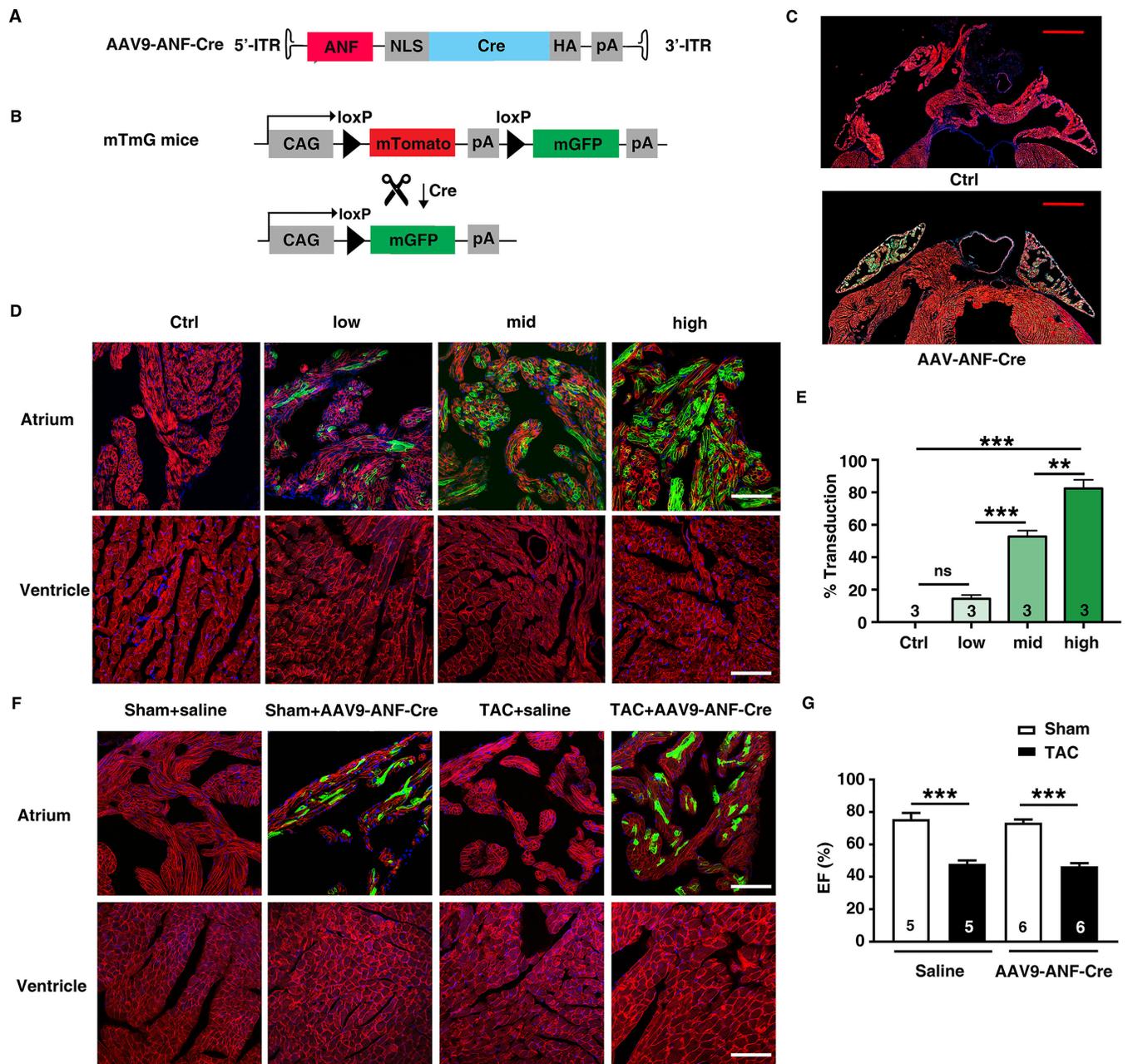


Figure 2. AAV9-ANF-Cre provides efficient *LoxP* recombination in atrial myocytes of *mT/mG* mice.

A, Schematic diagram of the AAV9-ANF-Cre vector used in the *mT/mG* mice. **B**, Schematic diagram of the *mT/mG* allele before and after Cre-mediated recombination. **C**, Representative images of hearts from *mT/mG* mice treated with AAV9-ANF-Cre and saline. Scale bar, 1.0 mm. **D**, Representative images of heart sections from *mT/mG* mice that were injected with different doses of AAV9-ANF-Cre (low: 5×10^{11} GC; mid: 1×10^{12} GC; high: 5×10^{12} GC), or saline (Ctrl). Scale bar, 100 μ m. **E**, Bar graph showing average percentages of GFP-positive cardiomyocytes. **F**, Representative images of heart sections from TAC mice pretreated with AAV9-ANF-Cre (1×10^{12} GC) showing efficient Cre recombination in atrial

myocytes resulting in GFP expression, while no GFP-positive cells were observed in ventricles. Scale bar, 100 μm . **G**, Echocardiography revealed reduced ejection fraction (EF) in mice 6 weeks after TAC. ** $p < 0.01$; *** $p < 0.001$.

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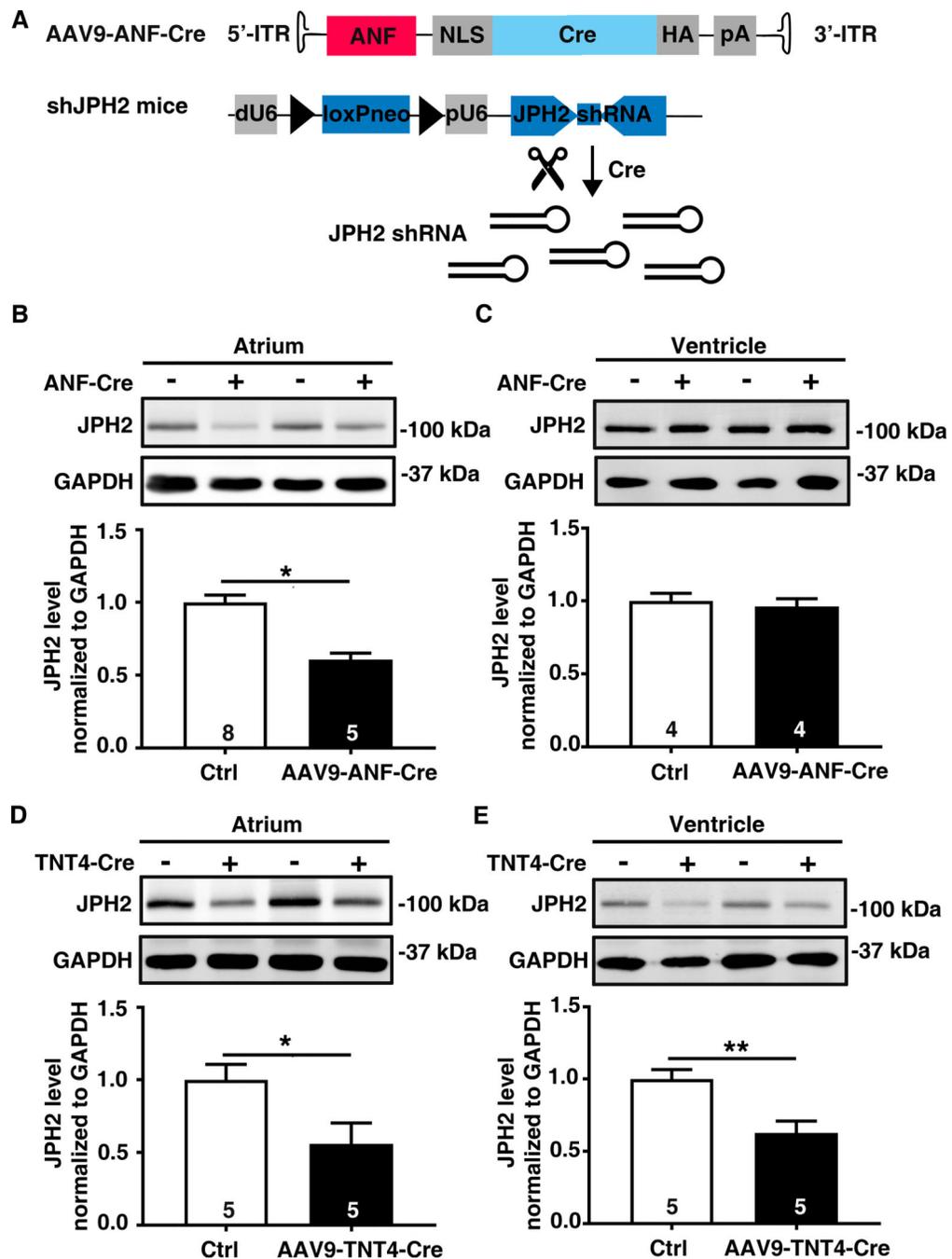


Figure 3. AAV9-ANF-CRE mediated gene knockdown in atrial myocytes of NFATc1 floxed mice. **A**, Schematic diagram of AAV9-ANF-Cre vector, and transgene in shJPH2 mice which contains a JPH2-silencing shRNA sequence downstream of a split U6 promoter, inactivated by a loxP-flanked neomycin stop element.¹⁴ **B**, AAV9-ANF-Cre administration to shJPH2 mice led to a reduction in atrial JPH2 protein levels assessed by western blotting, but **C**, while ventricular JPH2 levels remained unaltered. **D**, Western blots showing reduced atrial, and **E**, ventricular JPH2 protein levels in shJPH2 mice treated with AAV9-TNT4-Cre. *N*, number of mice. $p < 0.05$, $**p < 0.01$.

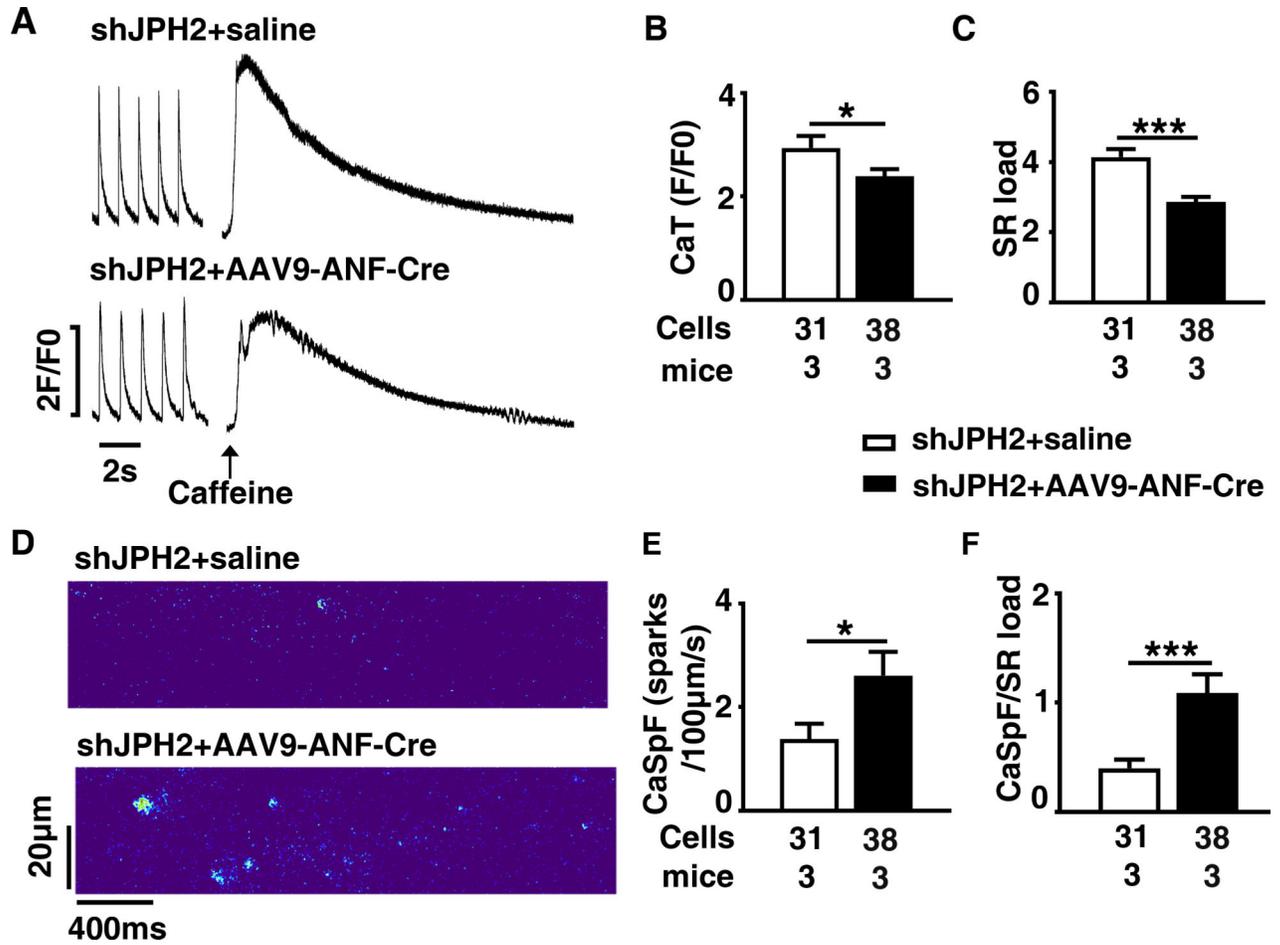


Figure 4. Abnormal SR Ca²⁺ handling in atrial myocytes from shJPH2 mice injected with AAV9-ANF-Cre.

A, Representative tracings of Ca²⁺ transients after 1-Hz pacing in atrial myocytes from shJPH2 mice. Caffeine induced SR Ca²⁺ dump. **B**, Quantification of Ca²⁺ transient amplitude (CaT), and **C**, total SR Ca²⁺ content (SR load). **D**, Confocal microscopy line scans revealing Ca²⁺ sparks in atrial myocytes from shJPH2 mice. **E**, Bar graphs showing average Ca²⁺ spark frequency (CaSpF), and **F**, CaSpF normalized to SR Ca²⁺ load. *P<0.05; *** P<0.001.