RESEARCH Open Access

# Immunoglobulin G subclass and antibody avidity responses in Malian children immunized with *Plasmodium falciparum* apical membrane antigen 1 vaccine candidate FMP2.1/AS02<sub>A</sub>

Andrea A. Berry<sup>1\*</sup>, Eric R. Gottlieb<sup>1,5</sup>, Bourema Kouriba<sup>2</sup>, Issa Diarra<sup>2</sup>, Mahamadou A. Thera<sup>2</sup>, Sheetij Dutta<sup>3</sup>, Drissa Coulibaly<sup>2</sup>, Amed Ouattara<sup>1</sup>, Amadou Niangaly<sup>2</sup>, Abdoulaye K. Kone<sup>2</sup>, Karim Traore<sup>2</sup>, Youssouf Tolo<sup>2</sup>, Vladimir Mishcherkin<sup>1</sup>, Lorraine Soisson<sup>4</sup>, Carter L. Diggs<sup>4</sup>, William C. Blackwelder<sup>1</sup>, Matthew B. Laurens<sup>1</sup>, Marcelo B. Sztein<sup>1</sup>, Ogobara K. Doumbo<sup>2</sup>, Christopher V. Plowe<sup>1,6</sup> and Kirsten E. Lyke<sup>1</sup>

# **Abstract**

**Background:** A malaria vaccine based on *Plasmodium falciparum* apical membrane antigen 1 (AMA1) elicited strain specific efficacy in Malian children that waned in the second season after vaccination despite sustained AMA1 antibody titers. With the goal of identifying a humoral correlate of vaccine-induced protection, pre- and post-vaccination sera from children vaccinated with the AMA1 vaccine and from a control group that received a rabies vaccine were tested for AMA1-specific immunoglobulin G (IgG) subclasses (IgG1, IgG2, IgG3, and IgG4) and for antibody avidity.

**Methods:** Samples from a previously completed Phase 2 AMA1 vaccine trial in children residing in Mali, West Africa were used to determine AMA1-specific IgG subclass antibody titers and avidity by ELISA. Cox proportional hazards models were used to assess correlation between IgG subclass antibody titers and risk of time to first or only clinical malaria episode and risk of multiple episodes. Asexual *P. falciparum* parasite density measured for each child as area under the curve were used to assess correlation between IgG subclass antibody titers and parasite burden.

**Results:** AMA1 vaccination did not elicit a change in antibody avidity; however, AMA1 vaccinees had a robust IgG subclass response that persisted over the malaria transmission season. AMA1-specific IgG subclass responses were not associated with decreased risk of subsequent clinical malaria. For the AMA1 vaccine group, IgG3 levels at study day 90 correlated with high parasite burden during days 90–240. In the control group, AMA1-specific IgG subclass rise and persistence over the malaria season was modest and correlated with age. In the control group, titers of several IgG subclasses at days 90 and 240 correlated with parasite burden over the first 90 study days, and IgG3 at day 240 correlated with parasite burden during days 90–240.

**Conclusions:** Neither IgG subclass nor avidity was associated with the modest, strain-specific efficacy elicited by this blood stage malaria vaccine. Although a correlate of protection was not identified, correlations between subclass titers and age, and correlations between IgG subclass titers and parasite burden, defined by area under the curve parasitaemia levels, were observed, which expand knowledge about IgG subclass responses. IgG3, known to have the shortest half-life of the IgG subclasses, might be the most temporally relevant indicator of ongoing malaria exposure when examining antibody responses to AMA1.

<sup>&</sup>lt;sup>1</sup> Center for Vaccine Development and Global Health, University of Maryland School of Medicine, Baltimore, MD, USA Full list of author information is available at the end of the article



<sup>\*</sup>Correspondence: aberry@som.umaryland.edu

Berry et al. Malar J (2019) 18:13 Page 2 of 10

**Keywords:** Malaria vaccine, Adjuvant, Natural exposure, Avidity, Immunoglobulin G subclass, Area under the curve

# **Background**

A malaria vaccine is regarded as an essential tool towards the global eradication of malaria, and short of this ambitious goal, an effective blood stage malaria vaccine would prevent disease and death [1, 2]. Predicting and evaluating efficacy in malaria vaccine trials would be simplified with a reliable correlate of protection; however, identification of immune correlates of protection has proven elusive [3].

A previous clinical trial tested a blood stage malaria vaccine composed of a recombinant apical membrane antigen 1 (AMA1) formulated with the AS02<sub>A</sub> adjuvant system (FMP2.1/AS02<sub>A</sub>) in Malian children. Children who received the vaccine had strain-specific protective efficacy and had increased AMA1 titers that were sustained for 24 months; however, no clinical efficacy was observed in the second season after vaccination, even though titers remained elevated [4, 5]. The short-lived efficacy of this vaccine cannot be explained by waning overall antibody titer, motivating exploration of other potential immune correlates of protection.

Total immunoglobulin G (IgG) is comprised of IgG subclasses named in order of relative abundance, IgG1, IgG2, IgG3, and IgG4 [6]. Differences in structural composition determine functionality: IgG1 and IgG3 are associated with T-cell dependent, cytophilic activity and typically recognize proteins [6]. They are the predominant subclasses observed in antibody dependent cellular cytotoxic responses to blood-stage merozoite antigens [7–14]. In contrast, IgG2 predominantly binds bacterial capsular polysaccharide antigens, which are typically T-cell independent. IgG4 binds allergens and chronically persistent antigens [15] and is, therefore, thought to exert anti-inflammatory effects.

Another humoral immune response characteristic that might influence protection is binding strength of antibodies to their targets, or avidity. This measure of intrinsic antibody function may distinguish effective from ineffective responses when total immunoglobulin quantities are equivalent. Avidity has been shown to differ across malaria transmission settings and to be associated with different clinical presentations [9, 16]. Low avidity has also been found to predict the failure of licensed vaccines for other infectious diseases [17], and although results vary across vaccine candidates, high avidity has been associated with vaccine-induced protection from malaria [18].

The hypothesis explored was that increased levels of cytophilic antibodies (IgG1 or IgG3) in malaria vaccine

recipients and increased antibody avidity would be associated with protection from clinical episodes of malaria.

# **Methods**

## Study participants and trial design

The study was conducted in Bandiagara (population ~14,000), a rural town in Mali, West Africa that has intense seasonal transmission of P. falciparum malaria from July to November with a peak of up to 60 infective mosquito bites per person per month in August or September [19]. A randomized, double-blind, controlled Phase 2 trial of safety and efficacy against clinical malaria was conducted in 400 children from ages 1-6 years old in 2007-2008 and has been previously described [5]. Briefly, children were randomized in a 1:1 ratio to receive FMP2.1/AS02<sub>A</sub>, which comprises recombinant AMA1 based on the 3D7 P. falciparum strain formulated with GSKBio's adjuvant system AS02<sub>A</sub>, or unadjuvanted rabies vaccine as a control, as three vaccinations given intramuscularly 1 month apart. Participants were followed for 2 years. The primary endpoint was a clinical episode of malaria, defined as fever (axillary temperature of  $\geq$  37.5 °C) with *P. falciparum* density of  $\geq$  2500 parasites/mm<sup>3</sup> visualized on a thick blood smear. Efficacy was measured 6 months after final vaccination, or day 240. The primary analysis was of the first clinical malaria episode in an individual; a secondary analysis included multiple episodes in an individual. Exploratory efficacy endpoints included cumulative asexual P. falciparum parasite density measured for each child as the total area under the curve (AUC).

# Pilot study

Ten FMP2.1/AS02<sub>A</sub> recipients and 10 controls who received rabies vaccine were randomly selected from all 400 participants for whom the previously measured day 90 total IgG titer was in the 25th–75th percentile for their respective groups (n=98 and 96, respectively). Avidity and IgG subclass ELISAs were performed for samples from day 0, 90, and 150 for these subjects.

# Main study

90 FMP2.1/AS02<sub>A</sub> recipients and 30 controls who had not already been included in the pilot study and from whom sera from appropriate time points were readily available (n=177 from the malaria vaccine group, n= and 173 from the control group) were randomly chosen from the study population. Evaluable data was obtained from 85 vaccinees and 29 controls. Blinding to

Berry et al. Malar J (2019) 18:13 Page 3 of 10

vaccine assignments was maintained until all assays were complete. IgG subclass ELISAs were performed on samples from days 0, 90, and 240.

# Positive control

A pool of sera from fifteen semi-immune adults obtained during peak malaria season who participated in a Phase 1 clinical trial of  $FMP1/AS02_A$ , a merozoite surface protein-1 vaccine [20], was used as a positive control to monitor for batch effects and for construction of standard curves.

#### **ELISA** methods

Ninety-six-well round-bottom Immulon plates (Thermo Fisher Scientific, Waltham, MA) were coated with 50 µg of FMP2.1 diluted in phosphate buffered saline (PBS) to a total volume of 100 µL per well and incubated at 37 °C for 3 h. Plates were washed with PBS 0.05% Tween-20 (PBST) six times by a Biotek ELx405 automated plate-washer (Biotek, Winooski, VT), blocked with 10% nonfat milk by mass (Quality Biological, Gaithersburg, MD) in PBS, and incubated at 4 °C overnight. After washing, two-fold serum dilutions were tested in duplicate in PBS 0.05% Tween-20 with 10% nonfat milk (PBSMT). Plates were incubated for 2 h at 37 °C and then washed. For avidity assays, 100 µL of 5 M urea in PBST or 100 µL of PBST were added to wells and allowed to incubate at room temperature for 30 min. After washing, 100 μL of horse radish peroxidase (HRP)-conjugated goat anti-human IgG diluted with PBSMT to 1:10,000 (Jackson ImmunoResearch Laboratories, West Grove, PA) for avidity assays or subclass-specific HRP-conjugated sheep-anti-human secondary antibodies (The Binding Site, Birmingham, UK) diluted in PBSMT at concentrations of 1:400, 1:200, 1:250, and 1:100 for IgG1, IgG2, IgG3, and IgG4, respectively, were added to all wells. Plates were incubated at 37 °C for 1 h and then washed. For developing, 3,3',5,5'-tetramethylbenzidine (KPL, Gaithersburg, MD) was allowed to equilibrate to room temperature and 100 µL was added to each well. Plates were placed in the dark for 15 min. The reaction was stopped with 100 µL per well of 1 M phosphoric acid, and optical densities (OD) were read by a Spectramax M2 plate-reader (Molecular Devices, Sunnyvale, CA) at 450 nm. Titers were calculated from linear regression curves created from positive control standards run on each plate, and defined as the reciprocal of the serum dilution that produced an OD of 0.2 above the blank. Avidity index was calculated as the ratio of the titer of the urea assay to the PBST assay. Cytophilic ratios were calculated as the sum of IgG1 and IgG3 titers divided by the sum of IgG2 and IgG4 titers.

# Statistical analysis

# Comparison of titers across groups and time points

Log<sub>10</sub>-transformed IgG subclass titers, cytophilic ratios, avidity indices as well as differences between time points for these variables were compared using a two-tailed two-sample student's t-test; a Kruskal–Wallis test was used for comparisons among age strata.

# Correlation between IgG subclass and risk of malaria episode

For analysis of time to first or only clinical malaria episode, Cox proportional hazards models, using the Firth penalized likelihood to reduce bias [21], were fit with log<sub>10</sub>-transformed IgG subclass antibody titer as the explanatory variable, day of episode as the censoring variable, and survival to episode as the response variable. The models were fit with and without age as a covariate. The log-transformed titer was used to reduce the influence of extreme values. Subjects who had a clinical malaria episode or any parasitaemia (including illnesses for which anti-malarials were administered but that did not meet the fever and/or parasitaemia thresholds defined by the primary study outcome, as well as actively detected asymptomatic parasitaemia) before day 90 were excluded from the models, as the day 90 antibody responses would reflect both vaccination and infection. As a post hoc assessment of statistical power, for the AMA1 vaccine group, minimum detectable hazard ratios were calculated that took into account the number of clinical malaria illnesses (events) and standard deviations of each subclass IgG titer. The study had 80% power to detect a significant association between IgG subclass titer and hazard of clinical malaria episode when the hazard ratio varied from 1.61 to 4.03 (for risk factors), or from 0.62 to 0.25 (for protective factors), depending on the subclass titer and time point.

# Correlation between IgG subclass and multiple episodes of malaria

For analysis of multiple episodes, a Cox proportional hazards gap time model with common coefficients for each episode, using the Firth penalized likelihood function, was used to assess the association of the log<sub>10</sub>-transformed day 90 IgG-subclass titer with clinical malaria [22].

# Correlation between IgG subclass and level of parasitaemia

Asexual *P. falciparum* parasitaemia measured as AUC was estimated using the trapezoidal rule for each participant from day 90 to day 240 (AUC $_{
m d90-240}$ ) and for control volunteers from time of enrollment to day 90 (AUC $_{
m d0-90}$ ). As specified in the statistical analysis plan of the study protocol, all recorded episodes of parasitaemia were

Berry et al. Malar J (2019) 18:13 Page 4 of 10

included regardless of symptom presence or absence, and parasite density was assumed to decline linearly to zero 3 days after a treated malaria episode [5]. IgG subclass titers were correlated with  $\rm AUC_{d90-240}$  for the malaria vaccine group and the control group and  $\rm AUC_{d0-90}$  for the control group, and Spearman's rank correlations were calculated.

Statistical analysis was performed using SAS software, version 9.4 for Windows (SAS Institute, Cary, NC);

Table 1 Avidity index<sup>a</sup> for pilot study of FMP2.1/AS02<sub>A</sub> vaccinees and controls

	Day 0	Day 90	Day 150
FMP2.1/AS02 <sub>A</sub> (n = 10	))		
Average (%)	35	31	30
Minimum (%)	9	15	15
Maximum (%)	89	56	52
Rabies ( $n = 10$ )			
Average (%)	21	26	23
Minimum (%)	9	5	3
Maximum (%)	47	52	48
P value <sup>b</sup>	0.26	0.19	0.078

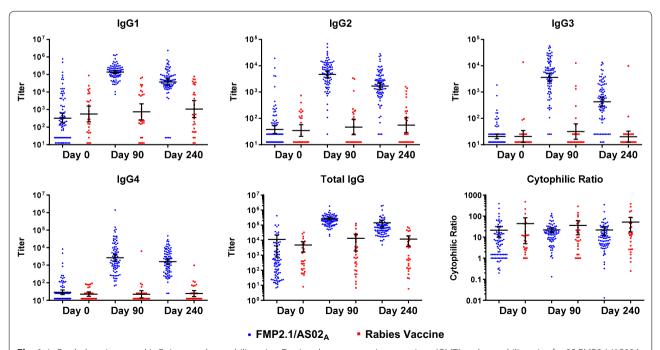
<sup>&</sup>lt;sup>a</sup> Avidity index is the ratio of the endpoint titer of the urea assay to the endpoint titer of the PBS-tween assay

GraphPad Prism version 6.0 for Windows (GraphPad Software, La Jolla, CA); and R version 3.4.4 with RStudio version 1.0.136 and packages tidyverse, ggplot2, ggbeeswarm, scales, and grid. Results with a P-value of less than 0.05 were considered statistically significant. No adjustment was made for multiple comparisons.

## Results

In children immunized on days 0, 30 and 60 with either the AMA1 vaccine or rabies vaccine as a control, avidity levels did not change significantly from day 0 to day 90 or from day 90 to day 150 and did not differ when comparing 10 AMA1 vaccinees to 10 children from the control group (Table 1). Based on the results of this pilot study, further avidity studies were not performed.

As previously observed for whole IgG titers, in both the pilot study and the main study, baseline anti-AMA1 antibody titers for all four IgG subclasses in the FMP2.1/  $\rm AS02_A$  group increased after vaccination and remained elevated 180 days after the last vaccination (Fig. 1, Additional file 1). In addition, at each time point after day 0, post-vaccination titers of all four IgG subclasses tested were significantly greater in the malaria vaccine group as compared with the control group. The total IgG titer for the subset of the FMP2.1/AS02\_A and control groups presented here had similar magnitude and kinetics to the



**Fig. 1** IgG subclass titers, total IgG titers, and cytophilic ratios. Depicted are geometric mean titers (GMT) and cytophilic ratios for 85 FMP2.1/AS02A vaccinees (blue) and 29 rabies vaccine controls (red) with 95% confidence intervals at days 0, 90, and 240. Vaccinations were administered on days 0, 30, and 60. Cytophilic ratios were calculated as the sum of the IgG1 and IgG3 titers divided by the sum of the IgG2 and IgG4 titers

b Mann–Whitney–Wilcoxon rank sum test P value

Berry et al. Malar J (2019) 18:13 Page 5 of 10

total IgG titers from all 400 participants in the original clinical trial [7].

Subclass titers for each time point were compared across age strata (1–2 years, 3–4 years, and 5–6 years; Fig. 2, Additional file 2). At day 0, before vaccination, compared with the youngest age stratum, the older age strata of both the control and AMA1 vaccine groups had higher titers of IgG1, IgG2, and cytophilic ratio. In addition, for the AMA1 vaccine group, IgG3 titers at baseline were higher in the older age strata. At day 90, the control group continued to demonstrate greater IgG1, IgG2, and cytophilic ratios in the older age strata. In the AMA1 vaccine group, which had day 90 (30 days post-vaccination) titers that were 1-2 logs greater than those in the control group, day 90 antibody subclass titer did not differ among age strata. The lack of differentiation among age strata by day 90 subclass antibody titers also held true when comparing volunteers who did not have a clinical episode before day 90. At day 240, titers for IgG1 in the AMA1 vaccine group and IgG1, IgG2, and IgG4 in the control group differed significantly by age strata.

To explore the association between log-transformed IgG subclass titer and risk of infection, Cox proportional hazards models were constructed in which any subject who had a clinical episode before day 90 was excluded from the model (n = 66 AMA1 vaccinees and n = 22 control subjects included). In addition, to ensure that each day 90 subclass titer reflected AMA1 vaccination only and not exposure to natural infection including asymptomatic parasitaemia, a model that excluded all parasitaemias before day 90 was also explored in the AMA1 vaccine group (n=49). For all models tested in the malaria vaccine and control groups, no log-transformed subclass titer predicted decreased time to first or only clinical malaria episode (Table 2). Moreover, post-vaccination subclass titers were not associated with the occurrence of multiple clinical malaria episodes in either the AMA1 vaccine or the control groups (Table 3).

Asexual *Plasmodium falciparum* parasite densities measured as area under the curve (AUC) were calculated to study correlations between parasite burden and IgG subclass levels. In the malaria vaccine group, not including those with clinical malaria episodes between days 0 and 90 (n=66), the  $AUC_{d90-240}$  correlated positively with IgG3 at day 90 and IgG3 at day 240. In contrast,  $AUC_{d90-240}$  did not have a statistically significant correlation with any IgG subclass at any time point in the control arm when those with clinical malaria episodes between days 0 and 90 were excluded (n=22) (Table 4). However, when the control arm was evaluated without censoring participants for having infections between days 0 and 90 (n=29),  $AUC_{d0-90}$  correlated positively with IgG1, IgG2, IgG3, and IgG4 at day 90 and IgG2 and IgG3 at day 240;

additionally AUC<sub>d90-240</sub> correlated with IgG3 at day 240 (Table 5). Spearman's rank correlations for IgG subclass titers for each time point and vaccination group were calculated—strong correlations were seen when comparing subclasses to each other except when comparing IgG3 to the other subclasses for the rabies group at day 0 and day 240 (Additional file 3).

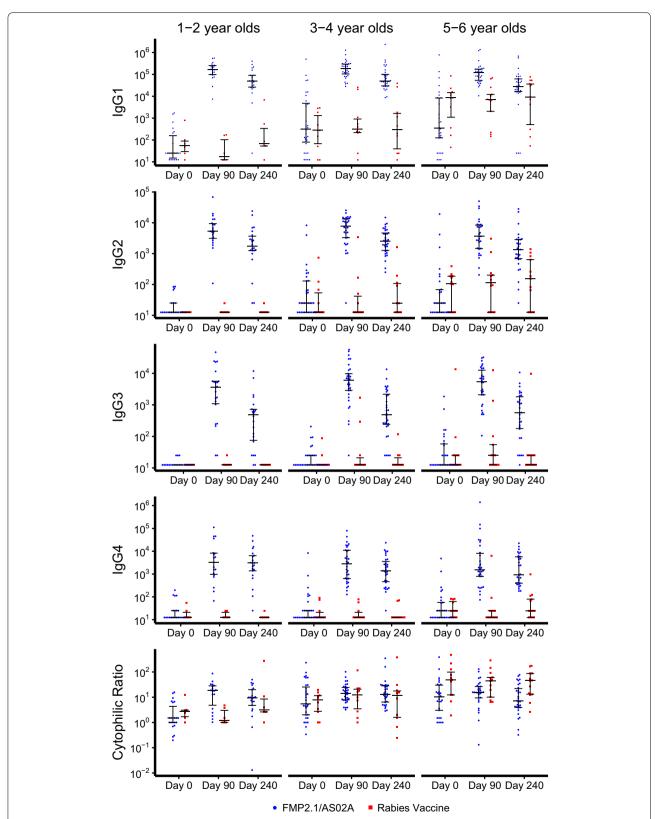
#### **Discussion**

In this analysis of IgG subclass antibodies elicited in response to an AMA1 vaccine, the vaccine elicited robust,  $\geq 2$ -log<sub>10</sub> increases in all four IgG subclasses that peaked at day 90 (30 days post-vaccination) and then waned by day 240, but remained  $\geq 1 \log_{10}$  higher than pre-vaccination antibody responses. In contrast, over the malaria transmission season, the rabies vaccine control children had a steady increase in IgG subclass antibodies that remained 1-2 log<sub>10</sub> lower than the antibody responses in the AMA1 vaccine group, representing the naturally occurring immune response to a blood stage malaria antigen over a malaria transmission season. In the control group, IgG subclass titers correlated with increasing age, reflecting cumulative acquisition of immunity. In the AMA1 vaccine group, these effects were not observed, presumably because the adjuvanted vaccine increased titers to all subclasses globally, such that the youngest children's titers approached the levels of the oldest children. Indeed, children who received FMP2.1/AS02, had a several-fold lower baseline than adults residing in the same area, but after vaccination they had total IgG titers that were similar in magnitude to those of adults who received the same vaccine [5, 23]—thus the fold-rise in IgG was much larger in children than in semi-immune adults, who have a greater degree of acquired humoral immunity from previous *P. falciparum* exposure.

The original hypothesis of this study was that increased levels of cytophilic antibodies (IgG1 or IgG3) in malaria vaccine recipients would be associated with protection from clinical episodes of malaria. However, increased IgG subclass titers were not associated with decreased risk of clinical episodes among children who received the AMA1 vaccine. By examining antibody responses in the control group, the effect of natural malaria exposure on subclass IgG titers was explored; however, no associations between day 90 (peak season) IgG subclass titers and risk of subsequent clinical malaria illness were identified.

The relationship between exposure to *P. falciparum* and subclass immunoglobulin titer was assessed by estimating the parasite burden for each volunteer, expressed as AUC parasitaemia. In the AMA1 vaccine group, for children who did not have clinical malaria episodes within the first 90 days (n=66),  $AUC_{d90-240}$  correlated with

Berry et al. Malar J (2019) 18:13 Page 6 of 10



**Fig. 2** IgG subclass titers and cytophilic ratios across three age strata. IgG subclass titers for vaccine recipients (blue) and control subjects (red) with geometric mean titers and 95% confidence intervals at day 0, 90, and 240, grouped by age strata. Vaccinations were administered on days 0, 30, and 60. Cytophilic ratios were calculated as the sum of the IgG1 and IgG3 titers divided by the sum of the IgG2 and IgG4 titers

Berry et al. Malar J (2019) 18:13 Page 7 of 10

Table 2 Cox regression survival analysis<sup>a</sup> of time to clinical malaria episode<sup>b</sup> between days 90 and 240

Predictor variable	AMA1 vaccine group				Control group	
	No primary outcome episodes before day 90 (n = 66)		No parasitaemia before day 90 (n = 49)		No primary outcome episodes before day 90 (n = 22)	
	Hazard ratio <sup>c</sup>	P	Hazard ratio	P	Hazard ratio	Р
log <sub>10</sub> (lgG1 day 0)	1.15 [0.85, 1.55]	0.36	1.38 [0.88, 2.16]	0.16	1.17 [0.64, 2.14]	0.60
log <sub>10</sub> (IgG2 day 0)	1.07 [0.65, 1.77]	0.80	0.92 [0.30, 2.79]	0.88	0.99 [0.29, 3.34]	0.98
log <sub>10</sub> (lgG3 day 0)	1.27 [0.59, 2.74]	0.54	1.45 [0.55, 3.84]	0.45	0.77 [0.24, 2.48]	0.66
log <sub>10</sub> (IgG4 day 0)	1.36 [0.82, 2.27]	0.24	1.59 [0.47, 5.46]	0.46	5.70 [0.58, 56.3]	0.14
log <sub>10</sub> (cytophilic ratio <sup>d</sup> day 0)	1.42 [0.80, 2.54]	0.23	1.93 [0.92, 4.02]	0.081	1.30 [0.50, 3.39]	0.60
log <sub>10</sub> (IgG1 day 90)	1.07 [0.42, 2.77]	0.88	0.785 [0.21, 2.89]	0.72	1.20 [0.66, 2.18]	0.55
log <sub>10</sub> (IgG2 day 90)	0.85 [0.46, 1.59]	0.62	0.73 [0.28, 1.90]	0.52	1.35 [0.43, 4.27]	0.61
log <sub>10</sub> (lgG3 day 90)	1.81 [0.93, 3.51]	0.079	1.62 [0.73, 3.56]	0.23	0.94 [0.36, 2.43]	0.90
log <sub>10</sub> (lgG4 day 90)	1.05 [0.67, 1.65]	0.84	0.91 [0.48, 1.73]	0.77	3.58 [0.16, 79.9]	0.42
log <sub>10</sub> (cytophilic ratio day 90)	0.83 [0.40, 1.75]	0.63	1.06 [0.29,3.81]	0.93	1.21 [0.48, 3.09]	0.68

<sup>&</sup>lt;sup>a</sup> Using Firth's penalized likelihood

Table 3 Cox regression analysis<sup>a</sup> of time to multiple clinical malaria episodes<sup>b</sup> between days 90 and 240

	AMA1 vaccine group	Control group		
	No primary outcome e	pisodes before day 90 (n = 66)	No primary outcome epis before day 90 (n = 22)	odes
Predictor variable	Hazard ratio <sup>c</sup>	P	Hazard ratio	Р
log <sub>10</sub> (lgG1 day 90)	1.17 [0.47, 2.93]	0.74	1.14 [0.65, 2.00]	0.66
log <sub>10</sub> (lgG2 day 90)	0.92 [0.5, 1.70]	0.79	1.27 [0.43, 3.72]	0.66
log <sub>10</sub> (lgG3 day 90)	1.89 [0.99, 3.61]	0.053	0.93 [0.35, 2.43]	0.87
log <sub>10</sub> (lgG4 day 90)	1.06 [0.69, 1.63]	0.79	8.45 [0.61, 116.86]	0.11
log <sub>10</sub> (cytophilic ratio <sup>d</sup> day 90)	0.83 [0.40, 1.70]	0.61	1.05 [0.44, 2.53]	0.91

<sup>&</sup>lt;sup>a</sup> Gap time models with common regression coefficients using Firth's penalized likelihood

increased IgG3 at the day 90 and day 240 time points, suggesting that IgG3 levels in the AMA1 vaccine group correlate with exposure to parasites. One explanation is that increased IgG3 levels at day 90 indicate ongoing malaria exposure and counterintuitively predict infection in the ensuing 150 days. This correlation was not seen in the control group; the smaller sample size of the control arm might have limited the power to detect this association.

When the association between IgG subclass titer and AUC parasitaemia in the control arm without censoring

subjects who had clinical infections in the first 90 study days was examined, correlations were identified between IgG subclass titers and parasite burden attributable to natural exposure. These correlations were observable because of the larger sample size (n=29 vs. n=22) and examination of  $\rm AUC_{d0-90}$  (rather than  $\rm AUC_{d90-240}$ ), an exposure interval not explored in the AMA1 vaccine group because vaccination occurred within the 0–90 day interval. In a pre-planned exploratory analysis of the original vaccine trial, median AUC was lower in vaccines than controls, suggesting an overall reduction in

<sup>&</sup>lt;sup>b</sup> Clinical malaria episode using the primary outcome definition, i.e., an episode of malaria with axillary temperature of  $\geq$  37.5 °C and asexual *P. falciparum* density of  $\geq$  2500 parasites/mm<sup>3</sup> of blood

 $<sup>^{\</sup>rm c}\,$  Hazard ratio and 95% confidence intervals for risk of clinical malaria episode after day 90

<sup>&</sup>lt;sup>d</sup> Cytophilic ratio is the sum of IgG1 and IgG2 titers divided by the sum of the IgG3 and IgG4 titers

<sup>&</sup>lt;sup>b</sup> Clinical malaria episode defined using the primary outcome definition, i.e., an episode of malaria with axillary temperature of  $\geq$  37.5 °C and asexual *P. falciparum* density of  $\geq$  2500 parasites/mm<sup>3</sup> of blood

<sup>&</sup>lt;sup>c</sup> Hazard ratio and 95% confidence intervals for risk of multiple clinical malaria episodes after day 90

 $<sup>^{\</sup>rm d}\,$  Cytophilic ratio is the sum of IgG1 and IgG2 titers divided by the sum of the IgG3 and IgG4 titers

Berry et al. Malar J (2019) 18:13 Page 8 of 10

Table 4 Correlation between area under the curve parasitaemia during study days 90–240 and IgG subclass titers

	FMP2.1/AS02 <sub>A</sub> vaccine group (n = 66)		Control group (n = 22)	
	R	P-value	R	P-value
lgG1 day 90	0.077	0.54	0.22	0.32
lgG2 day 90	0.026	0.84	0.11	0.64
lgG3 day 90	0.29	0.019	0.14	0.53
lgG4 day 90	<b>-</b> 0.057	0.66	0.22	0.33
lgG1 day 240	0.24	0.052	0.041	0.86
lgG2 day 240	0.13	0.28	0.11	0.62
lgG3 day 240	0.32	0.010	0.23	0.30
lgG4 day 240	-0.16	0.20	0.24	0.28

Italic values denote significant results. Volunteers who were treated for a clinical malaria episode before day 90 were excluded from this analysis. R, Spearman correlation coefficient

Table 5 Correlation between area under the curve parasitaemia and IgG subclass titers in volunteers who received control vaccine

	Control group (n = 29)		
	R	P-value	
AUC days 0–90			
IgG1 day 90	0.48	0.009	
IgG2 day 90	0.60	0.0005	
IgG3 day 90	0.71	< 0.0001	
IgG4 day 90	0.57	0.001	
IgG1 day 240	0.25	0.19	
IgG2 day 240	0.39	0.036	
IgG3 day 240	0.45	0.015	
IgG4 day 240	0.35	0.059	
AUC days 90-240			
IgG1 day 90	0.28	0.14	
IgG2 day 90	0.16	0.41	
IgG3 day 90	0.28	0.15	
IgG4 day 90	0.27	0.16	
lgG1 day 240	0.14	0.47	
IgG2 day 240	0.35	0.062	
lgG3 day 240	0.42	0.022	
lgG4 day 240	0.26	0.18	

Italic values denote significant results

R Spearman correlation coefficient, AUC area under the curve

parasite burden that did not translate into a statistically significant reduction in the hazard of clinical malaria episodes [5]. In the current study, the significant correlations between the control arm IgG subclass titers and  $AUC_{d0-90}$  support further evaluation the utility of AUC

as a clinically relevant readout of malaria exposure. The finding that IgG3 at day 90 correlated with AUC $_{
m d90-240}$  in both the AMA1 vaccine and control arms suggests that IgG3, which has the shortest half-life of the IgG subclasses, might be the most temporally relevant indicator of ongoing exposure. Thus IgG3 may be worthy of exploring as a biomarker for surveillance to detect populations experiencing ongoing or very recent exposure to help target malaria elimination interventions.

In other infectious diseases, humoral immune responses have served as correlates of protection [24, 25]. In malaria, antibodies have a proven functional role, although no generalizable and consistent correlates of vaccine-induced or naturally acquired protection have been established [26]. Naturally occurring human antibodies against AMA1 are associated with clinical protection from malaria [27] and have functional invasion inhibition activity [28], justifying a focus on humoral immunity when searching for AMA1 vaccine-induced immune correlates of protection [29]. Antibody avidity to full length VAR2CSA has been associated with absence of placental malaria in both high and low transmission settings in Cameroon [30, 31], and a study of adjuvanted circumsporozoite vaccine (RTS, S/AS01) showed increased avidity and protection when the third dose was fractional rather than full-dose [18]. But, the association between avidity and protection is not universal [32, 33], and some studies have observed a lack of correlation between avidity assays and other functional antibody assays [34, 35].

Overall, the results from this study demonstrate the robust humoral response elicited by the FMP2.1/AS02<sub>A</sub> vaccine across all subclasses, and are among a handful of descriptions of antibody subclass and avidity responses to a candidate malaria vaccine. Although a correlate of protection based on antibody subclass or avidity was not observed for this vaccine, antibody subclass levels or avidity could be associated with protection for other malaria vaccines that exert broader efficacy. The lack of correlation of avidity or antibody subclass titer with protection despite the FMP2.1/AS02A vaccine eliciting strain-specific efficacy, and the lack of correlation of a growth inhibition assay with protection [36] suggest that additional signatures of humoral immune responses could be explored. These could include post-vaccination antibody response epitope mapping (Bailey et al. pers. comm.), additional studies of antibody function [37], and transcriptomic investigations that explore somatic hypermutation and affinity maturation [38, 39].

# **Conclusions**

IgG subclass titers were not associated with vaccineinduced protection from subsequent malaria illness. However, older age correlated with increased IgG Berry et al. Malar J (2019) 18:13 Page 9 of 10

subclass titers in the control arm and not in the AMA1 vaccine arm, which reflects IgG subclass response to natural infection in the former and the adjuvant effect in the latter. IgG subclass titers were a marker of exposure that correlated with AUC parasitaemia levels. An association between IgG3 and subsequent parasite burden suggests that of the IgG subclasses, IgG3 may be the most temporally relevant indicator of ongoing malaria exposure when assessing AMA1 responses, and worthy of further exploration as a biomarker for surveillance in support of elimination efforts.

# **Additional files**

**Additional file 1.** AMA1 IgG subclass titers, total IgG titers, and cytophilic ratios for AMA1 vaccine and control groups.

Additional file 2. Effect of age strata on IgG subclass titer.

**Additional file 3.** Spearman's Rank correlations of AMA1 IgG subclass titers grouped by time point and vaccine group.

#### Abbreviations

AMA1: apical membrane antigen 1; AUC: area under the curve; GMT: geometric mean titer; HRP: horseradish peroxidase; IgG: immunoglobulin G; IRB: Institutional Review Board; NIAID: National Institute of Allergy and Infectious Diseases; NIH: National Institutes of Health (NIH); PBS: phosphate buffered saline; OD: optical density; PBST: phosphate buffered saline and 0.05% Tween-20; PBSMT: phosphate buffered saline, 0.05% Tween-20, and 10% nonfat milk.

# Authors' contributions

Concept and study design: AAB, ERG, MAT, SD, AO, LS, CLD, WCB, MBL, MBS, OKD, CVP, KEL; Data acquisition: AAB, ERG, BK, MAT, DC, AO, AN, AKK, KT, YT, ID; Data analysis and interpretation: AAB, ERG, VM, WCB, MBS, CVP, KEL. All authors except OKD read and approved the final manuscript.

## **Author details**

<sup>1</sup> Center for Vaccine Development and Global Health, University of Maryland School of Medicine, Baltimore, MD, USA. <sup>2</sup> University of Sciences, Techniques, and Technologies, Bamako, Bamako, Mali. <sup>3</sup> Walter Reed Army Institute of Research, Silver Spring, MD, USA. <sup>4</sup> United States Agency for International Development, Washington, DC, USA. <sup>5</sup> Present Address: Warren Alpert Medical School, Brown University, Providence, RI, USA. <sup>6</sup> Present Address: Duke Global Health Institute, Duke University, 310 Trent Drive, Durham, NC, USA.

## Acknowledgements

The authors would like to thank the community of Bandiagara, Mali, the volunteers and their parents for their support in the conduct of this trial. The authors thank Danzele Coulibaly, Sekouba Mariko, and Moctar Traore for administrative support at the MRTC; Nicole Eddington Johnson, Amanda Icenroad, and Katherine Frankel for administrative support at UMB; Karen Ball for regulatory support; Kathy Strauss, Sudhaunshu Joshi, Sandra Mon, and Matthew Adams for laboratory support, and the team of the Bandiagara Malaria Project in Bandiagara for their dedication. In sorrow and gratitude, this work is dedicated to the memory of co-author Ogobara K. Doumbo, who passed away in June 2018. Professor Doumbo co-created the Malaria Research and Training Center at the University of Bamako and has been recognized during his prolific career as a global leader in malaria research. His colleagues, mentees, and collaborators will continue to build upon his scientific contributions for years to come.

#### Competing interests

MBL reports Grants from the Maryland Industrial Partnerships Program, outside the submitted work. All other authors declare that they have no competing interests.

# Availability of data and materials

The datasets used and analysed during the current study are available from the corresponding author on reasonable request.

#### Consent for publication

Not applicable

#### Ethics approval and consent to participate

Analysis of samples was approved by the Institutional Review Boards (IRB) of the University of Maryland, Baltimore (IRB #HP00041298) and the University of Sciences, Techniques, and Technologies, Bamako (IRB #FWA00001769).

#### Funding

This work was supported by a cooperative agreement (U19Al065683) from the National Institute of Allergy and Infectious Diseases (NIAID), U.S. National Institutes of Health (NIH) Grant R01Al093635; a training grant (D43TW001589) from the Fogarty International Center of the NIH; and awards to CVP from the Doris Duke Charitable Foundation and the Howard Hughes Medical Institute. AAB is supported by NIAID Grant K23Al125720 and was supported by a University of Maryland School of Medicine Passano Foundation Clinician-Investigator Award. KEL is supported by the NIH (U19 Al110820, U01 Al089342, and R01Al110852), the Vaccine Research Center of NIH and the EMMES Corporation (HHSN272201000049l), The Office of the Surgeon General, Department of the Army (W81XWH-15-R-0034), and the Joint Warfighter Medical Research Program and Sanaria Inc. (W81XWH-JW14843). KEL was funded by an NIH R01 (Al-067954) and a Doris Duke Clinical Scientist Development Award at the time of trial conduct. The USAID Malaria Vaccine Development Program supported development of the vaccine.

#### **Publisher's Note**

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Received: 9 October 2018 Accepted: 8 January 2019 Published online: 18 January 2019

#### Reference

- Henderson DA. Lessons from the eradication campaigns. Vaccine. 1999;17(Suppl 3):S53–5.
- Thera MA, Plowe CV. Vaccines for malaria: how close are we? Annu Rev Med. 2012;63:345–57.
- Ouattara A, Laurens MB. Vaccines against malaria. Clin Infect Dis. 2015;60:930–6.
- Laurens MB, Thera MA, Coulibaly D, Ouattara A, Kone AK, Guindo AB, et al. Extended safety, immunogenicity and efficacy of a blood-stage malaria vaccine in malian children: 24-month follow-up of a randomized, doubleblinded phase 2 trial. PLoS ONE. 2013;8:e79323.
- Thera MA, Doumbo OK, Coulibaly D, Laurens MB, Ouattara A, Kone AK, et al. A field trial to assess a blood-stage malaria vaccine. N Engl J Med. 2011;365:1004–13.
- Schur PH. IgG subclasses—a review. Ann Allergy. 1987;58:89–96.
- Mehrizi AA, Asgharpour S, Salmanian AH, Djadid ND, Zakeri S. IgG subclass antibodies to three variants of *Plasmodium falciparum* merozoite surface protein-1 (PfMSP-1(19)) in an area with unstable malaria transmission in Iran. Acta Trop. 2011;119:84–90.
- Courtin D, Oesterholt M, Huismans H, Kusi K, Milet J, Badaut C, et al. The quantity and quality of African children's IgG responses to merozoite surface antigens reflect protection against *Plasmodium falciparum* malaria. PLoS ONE. 2009;4:e7590.
- Ferreira MU, Kimura EA, De Souza JM, Katzin AM. The isotype composition and avidity of naturally acquired anti-Plasmodium falciparum antibodies: differential patterns in clinically immune Africans and Amazonian patients. Am J Trop Med Hyg. 1996;55:315–23.
- Leoratti FM, Durlacher RR, Lacerda MV, Alecrim MG, Ferreira AW, Sanchez MC, et al. Pattern of humoral immune response to *Plasmodium falciparum* blood stages in individuals presenting different clinical expressions of malaria. Malar J. 2008;7:186.

Berry et al. Malar J (2019) 18:13 Page 10 of 10

- Olesen CH, Brahimi K, Vandahl B, Lousada-Dietrich S, Jogdand PS, Vestergaard LS, et al. Distinct patterns of blood-stage parasite antigens detected by plasma IgG subclasses from individuals with different level of exposure to *Plasmodium falciparum* infections. Malar J. 2010;9:296.
- Richards JS, Stanisic DI, Fowkes FJ, Tavul L, Dabod E, Thompson JK, et al. Association between naturally acquired antibodies to erythrocyte-binding antigens of *Plasmodium falciparum* and protection from malaria and high-density parasitemia. Clin Infect Dis. 2010;51:e50–60.
- Stanisic DI, Richards JS, McCallum FJ, Michon P, King CL, Schoepflin S, et al. Immunoglobulin G subclass-specific responses against *Plasmodium falci-parum* merozoite antigens are associated with control of parasitemia and protection from symptomatic illness. Infect Immun. 2009;77:1165–74.
- Ndungu FM, Bull PC, Ross A, Lowe BS, Kabiru E, Marsh K. Naturally acquired immunoglobulin (Ig)G subclass antibodies to crude asexual *Plasmodium falciparum* lysates: evidence for association with protection for IgG1 and disease for IgG2. Parasite Immunol. 2002;24:77–82.
- 15. Vidarsson G, Dekkers G, Rispens T. IgG subclasses and allotypes: from structure to effector functions. Front Immunol. 2014;5:520.
- Ssewanyana I, Arinaitwe E, Nankabirwa JI, Yeka A, Sullivan R, Kamya MR, et al. Avidity of anti-malarial antibodies inversely related to transmission intensity at three sites in Uganda. Malar J. 2017;16:67.
- Lee YC, Kelly DF, Yu LM, Slack MP, Booy R, Heath PT, et al. Haemophilus influenzae type b vaccine failure in children is associated with inadequate production of high-quality antibody. Clin Infect Dis. 2008;46:186–92.
- Regules JA, Cicatelli SB, Bennett JW, Paolino KM, Twomey PS, Moon JE, et al. Fractional third and fourth dose of RTS,S/AS01 malaria candidate vaccine: a Phase 2a controlled human malaria parasite infection and immunogenicity study. J Infect Dis. 2016;214:762–71.
- Coulibaly D, Travassos MA, Kone AK, Tolo Y, Laurens MB, Traore K, et al. Stable malaria incidence despite scaling up control strategies in a malaria vaccine-testing site in Mali. Malar J. 2014;13:374.
- Thera MA, Doumbo OK, Coulibaly D, Diallo DA, Sagara I, Dicko A, et al. Safety and allele-specific immunogenicity of a malaria vaccine in Malian adults: results of a phase I randomized trial. PLoS Clin Trials. 2006;1:e34.
- 21. Firth D. Bias reduction of maximum-likelihood-estimates. Biometrika. 1993;80:27–38.
- 22. Prentice RL, Wlliams BJ, Peterson AV. On the regression analysis of multivariate failure time data. Biometrika. 1981;68:373–9.
- Thera MA, Doumbo OK, Coulibaly D, Diallo DA, Kone AK, Guindo AB, et al. Safety and immunogenicity of an AMA-1 malaria vaccine in Malian adults: results of a phase 1 randomized controlled trial. PLoS ONE. 2008;3:e1465.
- Schillie SF, Murphy TV. Seroprotection after recombinant hepatitis B vaccination among newborn infants: a review. Vaccine. 2013;31:2506–16.
- Black FL. Measles active and passive immunity in a worldwide perspective. Prog Med Virol. 1989;36:1–33.
- 26. Teo A, Feng G, Brown GV, Beeson JG, Rogerson SJ. Functional antibodies and protection against blood-stage malaria. Trends Parasitol. 2016;32:887–98.

- 27. Polley SD, Mwangi T, Kocken CH, Thomas AW, Dutta S, Lanar DE, et al. Human antibodies to recombinant protein constructs of *Plasmodium falciparum* apical membrane antigen 1 (AMA1) and their associations with protection from malaria. Vaccine. 2004;23:718–28.
- Hodder AN, Crewther PE, Anders RF. Specificity of the protective antibody response to apical membrane antigen 1. Infect Immun. 2001;69:3286–94.
- Miura K, Zhou H, Moretz SE, Diouf A, Thera MA, Dolo A, et al. Comparison of biological activity of human anti-apical membrane antigen-1 antibodies induced by natural infection and vaccination. J Immunol. 2008:181:8776–83
- Tutterrow YL, Salanti A, Avril M, Smith JD, Pagano IS, Ako S, et al. High avidity antibodies to full-length VAR2CSA correlate with absence of placental malaria. PLoS ONE. 2012;7:e40049.
- Babakhanyan A, Fang R, Wey A, Salanti A, Sama G, Efundem C, et al. Comparison of the specificity of antibodies to VAR2CSA in Cameroonian multigravidae with and without placental malaria: a retrospective casecontrol study. Malar J. 2015;14:480.
- Olotu A, Clement F, Jongert E, Vekemans J, Njuguna P, Ndungu FM, et al. Avidity of anti-circumsporozoite antibodies following vaccination with RTS,S/AS01E in young children. PLoS ONE. 2014;9:e115126.
- Ibison F, Olotu A, Muema DM, Mwacharo J, Ohuma E, Kimani D, et al. Lack of avidity maturation of merozoite antigen-specific antibodies with increasing exposure to *Plasmodium falciparum* amongst children and adults exposed to endemic malaria in Kenya. PLoS ONE. 2012;7:e52939.
- Walker KM, Okitsu S, Porter DW, Duncan C, Amacker M, Pluschke G, et al. Antibody and T-cell responses associated with experimental human malaria infection or vaccination show limited relationships. Immunology. 2015;145:71–81.
- Remarque EJ, Roestenberg M, Younis S, Walraven V, van der Werff N, Faber BW, et al. Humoral immune responses to a single allele PfAMA1 vaccine in healthy malaria-naive adults. PLoS ONE. 2012;7:e38898.
- Laurens MB, Kouriba B, Bergmann-Leitner E, Angov E, Coulibaly D, Diarra I, et al. Strain-specific *Plasmodium falciparum* growth inhibition among Malian children immunized with a blood-stage malaria vaccine. PLoS ONE. 2017;12:e0173294.
- Chaudhury S, Regules JA, Darko CA, Dutta S, Wallqvist A, Waters NC, et al. Delayed fractional dose regimen of the RTS, S/AS01 malaria vaccine candidate enhances an IgG4 response that inhibits serum opsonophagocytosis. Sci Rep. 2017;7:e7998.
- 38. Murugan R, Buchauer L, Triller G, Kreschel C, Costa G, Pidelaserra Marti G, et al. Clonal selection drives protective memory B cell responses in controlled human malaria infection. Sci Immunol. 2018;3:eaap8029.
- Kazmin D, Nakaya HI, Lee EK, Johnson MJ, van der Most R, van den Berg RA, et al. Systems analysis of protective immune responses to RTS, S malaria vaccination in humans. Proc Natl Acad Sci USA. 2017:114:2425–30.

# Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- $\bullet\,$  thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

#### At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

