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## DNA Mismatch Repair Preferentially Safeguards Actively Transcribed Genes

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### Abstract

DNA mismatch repair (MMR) is an evolutionally conserved genome maintenance pathway and is well known for its role in maintaining replication fidelity by correcting biosynthetic errors generated during DNA replication. However, recent studies have shown that MMR preferentially protects actively transcribed genes from mutation during both DNA replication and transcription. This review describes the recent discoveries in this area. Potential mechanisms by which MMR safeguards actively transcribed genes are also discussed.

### Keywords

H3K36me3; MSH6; replication timing; actively-transcribed genes; transcription-coupled repair; mutation frequency

## 1. Introduction

DNA mismatch repair (MMR) is commonly regarded as a replication-coupled system that ensures replication fidelity by correcting misincorporated nucleotides during DNA replication [1–4]. The importance of MMR in genome maintenance is underscored by the fact that defects in MMR cause cancers, including hereditary and sporadic colorectal cancers [2, 5, 6]. The typical MMR reaction in human cells involves three major steps: repair initiation, mismatch excision, and DNA resynthesis. The initiation reaction occurs when mismatch recognition protein MutS $\alpha$  (a MSH2-MSH6 heterodimer) or MutS $\beta$  (a MSH2-MSH3 heterodimer) binds to a mismatch, which triggers interactions and communications between MutS $\alpha$  (or MutS $\beta$ ), PCNA (proliferating cell nuclear antigen), RFC (replication factor C), and MutL $\alpha$  (a MLH1PMS2 heterodimer). This leads to the recruitment of

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Conflict of interest

The authors declare that they have no conflicts of interest with the contents of this article.

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Most active protein-coding genes that play essential roles in a cell reside in euchromatin and are replicated early [33–35]. Recent cancer genome studies [22, 36] have revealed that somatic mutations in MMR-proficient tumors occur less frequently in early replicating euchromatin and more frequently in late replicating heterochromatin. However, in tumors displaying microsatellite instability (MSI), which is often associated with a defective MMR system [4], mutations are no more frequent in late replicating heterochromatin than in early replicating euchromatin [22, 36]. This indicates that MMR accounts for the observed difference in mutation frequency between euchromatin and heterochromatin, suggesting that MMR preferentially prevents mutation accumulation in euchromatin. Consistent with human studies, MMR's preferential protection of open chromatin has also been reported recently in yeast and plants [37, 38], implying that all organisms use the same mechanism to ensure the stability of important genetic materials.

### 3. H3K36me3-MSH6 co-enrichment determines local chromatin mutation frequency

MMR functions in the context of chromatin in vivo [39, 40]. We justified this concept when we found that the histone mark H3K36me3 recruits MutS $\alpha$  to replicating chromatin through its interaction with the PWWP domain in the MSH6 subunit of MutS $\alpha$  [16]. Therefore, it is reasonable to believe that the chromatin distribution and abundance of H3K36me3 could influence local MMR activity and mutation frequency. Our recent ChIP-Seq analysis revealed that this is indeed the case [21]. We found that H3K36me3 is more widely distributed than MutS $\alpha$ , but all MutS $\alpha$ -enriched genes are also abundant in H3K36me3, further indicating that MutS $\alpha$  is recruited to chromatin via H3K36me3. However, like spontaneous mutations [29–31], H3K36me3 and MutS $\alpha$  are not evenly distributed in the genome. Instead, they are more enriched in euchromatin, exons, and 3' gene bodies than in heterochromatin, introns, and 5' gene bodies, respectively. Correspondingly, the mutation frequencies in euchromatin, exons, and 3' gene bodies are much lower than those in heterochromatin, introns, and 5' gene bodies, respectively. These observations indicate that H3K36me3/MutS $\alpha$  abundance is inversely correlated to local mutation frequency [21].

Because actively transcribed protein-coding genes are replicated early [33–35] and MMR is known for its role in maintaining replication fidelity [4, 25–28], one would assume that replication-associated MMR ensures the stability of early replicating genes/chromatin. Indeed, studying the relationship between replication timing, H3K36me3/MutS $\alpha$  enrichment, and mutation frequency revealed that chromatin regions highly enriched for H3K36me3/MutS $\alpha$  tend to replicate earlier and have a lower relative mutation frequency than regions with fewer H3K36me3 signals [21], suggesting that H3K36me3-mediated MMR significantly contributes to the replication accuracy of early replicating genes/chromatin.

#### 4. H3K36me3-mediated MMR preferentially protects actively transcribed genes

In addition to playing roles in DNA repair [16, 21], H3K36me3 was initially identified for its involvement in transcription [41–43]. However, its actual role(s) in transcription are still unclear. Since transcription requires chromatin in an open structure, the transcribed regions are vulnerable to attack by various DNA damage reagents during transcription [44, 45]. These DNA lesions must be removed before transcription to maintain both transcription accuracy and gene stability. Although transcription-coupled nucleotide excision repair (TC-NER) has been shown to safeguard the genome during transcription [46], the narrow DNA substrate specificity of NER may limit its role in transcription-coupled repair. In contrast, MutS $\alpha$  can recognize almost all non-WatsonCrick DNA structures (i.e., mismatches and damaged bases or nucleotides) and can be efficiently recruited to transcription sites by H3K36me3, making MMR an ideal system to deal with all kinds of DNA lesions produced during transcription.

Our recent studies have provided evidence suggesting that H3K36me3-mediated MMR is involved in protecting actively transcribed genes from mutation during transcription [21]. We observed that a number of very actively transcribed genes (including some critical tumor suppressor genes), which are highly enriched for H3K36me3/MSH6, had higher mutation frequencies than genes with less transcriptional activity and less H3K36me3/MutS $\alpha$  abundance. This phenomenon does not accord with the inverse relationship between H3K36me3/MutS $\alpha$  enrichment and local mutation frequency, and it cannot be explained by replication-associated mutation, as actively transcribed genes would have been better protected by H3K36me3-mediated MMR during replication. However, extensive exposure to DNA damage reagents and inefficient repair of DNA lesions in actively transcribed genes during transcription could solve the puzzle, because actively transcribed genes are persistently exposed in the open chromatin structure during transcription and thus suffer more DNA damage-induced mutations than less actively transcribed genes. This assumption is supported by the fact that actively transcribed genes displayed a higher H<sub>2</sub>O<sub>2</sub>-induced mutation frequency than less actively transcribed ones in MMR-deficient, but BER- and NER-proficient cells [21]. It is obvious that the higher mutation frequency is directly related to MMR activity and transcription. If these mutations were induced by H<sub>2</sub>O<sub>2</sub>-caused damage during DNA replication, where actively transcribed genes and less actively transcribed genes can be damaged with equal probabilities, it is difficult to imagine why DNA polymerases preferentially induce mutations only in actively transcribed genes. Taken together, the evidence shows that, in addition to its mismatch correction function coupled with DNA replication, MMR also maintains the stability of actively transcribed genes by directly or indirectly removing DNA lesions associated with transcription. This could adequately explain recent cancer genome data showing that mutations preferentially occur in active genes in tumors defective in MMR [23, 24].

## 5. Proposed mechanisms for MMR's involvement in transcription-coupled repair

As described above, emerging evidence suggests that MMR preferentially protects actively transcribed genes during both DNA replication and transcription (see Figure 1). Like in replication, MutS $\alpha$  can be recruited to transcribed chromatin through its interaction with H3K36me3. There are at least four possible ways that MMR can participate in transcription-associated lesion removal. First, MutS $\alpha$  directly recognizes DNA lesions [9–14] and initiates the downstream MMR reaction. Second, MutS $\alpha$  identifies DNA damages first and then recruits TC-NER factors to the damage site in the transcribed strand to process the lesion via the NER pathway. Third, TC-NER proteins recognize DNA lesions, but MMR proteins (MutS $\alpha$  and MutL $\alpha$ ) facilitate the recruitment of other essential repair factors, as proposed by Cantor and colleagues [47]. Finally, a damaged base/nucleotide can be converted to another base/nucleotide by enzymes (i.e., APOBEC3) to form a mismatch, which is then processed by MMR.

MMR is a strand-specific reaction that targets the newly synthesized strand for error correction [1–4]. This strand-specific reaction is directed either by a pre-existing strand break (e.g., the ends of Okazaki fragments) or a nick generated by the MutL $\alpha$  endonuclease activity [3, 4, 48]. How the MMR system removes the strand-specific damage during transcription is unknown. However, previous studies have provided some hints. MMR has been shown to occur in non-dividing cells exposed to high levels of DNA damage, a reaction called non-canonical MMR [49–51]. Recent studies by Modrich and colleagues [48, 52, 53] have revealed that covalently closed circular DNA containing a lesion or a helix perturbation that MutS $\alpha$  recognizes stimulates PCNA loading and subsequently activates the MutL $\alpha$  endonuclease activity. Although the endonucleolytic cleavage by MutL $\alpha$  lacks strand bias *in vitro*, it has been proposed that *in vivo* interactions between PCNA and MutS $\alpha$  [54] and/or unidentified DNA signals confer strand-specificity on the reaction. Alternatively, strand-specific nicks can be generated by TC-NER factors XPG and XPF [46]. Therefore, once H3K36me3 recruits MutS $\alpha$  to actively transcribed chromatin containing a DNA lesion, strand-specific lesion removal targeting the damaged transcribed strand will occur.

## 6. Perspectives

In summary, recent studies have identified a new MMR function, i.e., as a genome-maintenance system that preferentially protects actively transcribed chromatin from mutation in an H3K36me3-dependent manner during both DNA replication and transcription. While MMR's role in replication has been well studied, its participation in transcription-associated lesion removal was controversial [55–57] but is currently being re-discovered [21]. However, the mechanism of the latter remains to be investigated. In addition, the H3K36me3-mediated MMR seems to protect exons better than introns within actively transcribed genes. We observed that mutation frequency is higher in introns than in exons in MMR-proficient human cells, but the relationship is inverted in cells depleted of H3K36me3 or disrupted in the H3K36me3-MSH6 interaction [21]. Frigola et al. analyzed the whole genome expression and mutation data of several hundred MMR-proficient and

MMR-deficient tumors deposited in the TCGA database and demonstrated that mismatches in exonic DNA are repaired more efficiently than their intronic counterparts in a H3K36me3-dependent manner [24]. Since introns are usually transcribed together with their corresponding exons, how does the MMR system selectively repair DNA lesions in exons? Frigola et al. [24] suggest that a crosstalk between the RNA splicing machinery and MMR could account for the differential mutation frequencies in exons and introns. Alternatively, since H3K36me3 is more enriched in exons than introns, Schwartz et al. suggest that H3K36me3 levels define exon-intron boundaries during mRNA synthesis and that H3K36me3's preferential recruitment of MutS $\alpha$  to exon-containing nucleosomes allows MMR to specifically target exons [58]. In addition, RNA polymerase II is known to be an important sensor for TC-NER [46], but do MMR proteins and RNA polymerase II communicate with each other? Recent studies have identified somatic mutations of lysine 36 (e.g., mutated to methionine and isoleucine) and glycine 34 (e.g., to arginine and valine) of the transcription-associated histone H3.3 as cancer drivers for pediatric gliomas and other malignancies [59–64]. Do these mutations block MMR function in transcription? All these questions await future thorough investigations.

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## The abbreviations used are:

<b>MMR</b>	mismatch repair
<b>H3K36me3</b>	histone H3 lysine 36 trimethylation
<b>PCNA</b>	proliferation cellular nuclear antigen
<b>RPA</b>	replication protein A
<b>RFC</b>	replication factor C
<b>PWWP domain</b>	Proline-Tryptophan-Tryptophan-Proline motif
<b>BER</b>	base excision repair
<b>NER</b>	nucleotide excision repair

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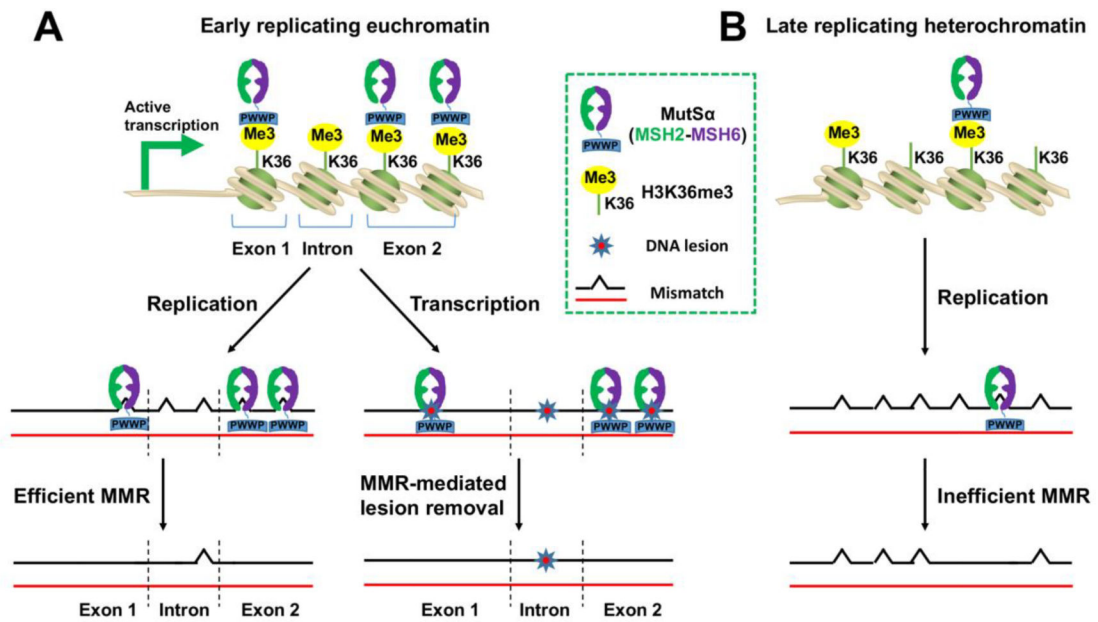
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**Figure 1. Mechanisms by which MMR selectively safeguards actively transcribed genes.** Actively transcribed genes reside in euchromatin, which is not only replicated early, but also highly enriched for H3K36me3 and MutS $\alpha$ . As such, mispairs generated during DNA replication in actively transcribed genes are efficiently repaired by the H3K36me3-mediated MMR system. Similarly, the MMR system acts to directly or indirectly remove DNA lesions created during active transcription (A). In contrast, heterochromatin is replicated late and less enriched for H3K36me3 and MutS $\alpha$ , thus not all mismatches can be corrected in heterochromatin (B).