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## Inhibition of TGF- $\beta$ signaling in genetically engineered tumor antigen-reactive T cells significantly enhances tumor treatment efficacy

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### Abstract

Transforming growth factor  $\beta$  (TGF- $\beta$ ) is a cytokine with complex biological functions that may involve tumor promotion or tumor suppression. It has been reported that multiple types of tumors secrete TGF- $\beta$ , which can inhibit tumor-specific cellular immunity and may represent a major obstacle to the success of tumor immunotherapy. In this study, we sought to enhance tumor immunotherapy using genetically modified antigen-specific T cells by interfering with TGF- $\beta$  signaling. We constructed three  $\gamma$ -retroviral vectors, one that expressed TGF- $\beta$ -dominant-negative receptor II (DNRII) or two that secreted soluble TGF- $\beta$  receptors: soluble TGF- $\beta$  receptor II (sRII) and the sRII fused with mouse IgG Fc domain (sRIIFc). We demonstrated that T cells genetically modified with these viral vectors were resistant to exogenous TGF- $\beta$ -induced smad-2 phosphorylation *in vitro*. The functionality of antigen-specific T cells engineered to resist TGF- $\beta$  signaling was further evaluated *in vivo* using the B16 melanoma tumor model. Antigen-specific CD8<sup>+</sup> T cells (pmel-1) or CD4<sup>+</sup> T cells (tyrosinase-related protein-1) expressing DNRII dramatically improved tumor treatment efficacy. There was no enhancement in the B16 tumor treatment using cells secreting soluble receptors. Our data support the potential application of the blockade of TGF- $\beta$  signaling in tumor-specific T cells for cancer immunotherapy.

### Keywords

adoptive T-cell therapy; antigen-specific T cell; TGF- $\beta$

## INTRODUCTION

T cells specific for tumor antigens have been observed both within tumors and in the peripheral blood. Encouraging results have been reported using adoptive transfer of the

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### CONFLICT OF INTEREST

The authors declare no conflict of interest.

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tumor infiltrating lymphocytes resulting in tumor regression in patients with metastatic melanoma.<sup>1,2</sup> For many patients though, even the administration of large numbers of tumor-reactive cells does not mediate clinical response. One of the explanations for this treatment failure is that the tumors may have acquired immune evasion mechanisms. Secretion of transforming growth factor  $\beta$  (TGF- $\beta$ ) by tumor cells is one of the widely observed strategies for tumor evasion.<sup>3,4</sup> The role of TGF- $\beta$  in cancer biology is complex and involves tumor suppression as well as tumor promotion, depending on when or where the cytokine is secreted. As an immune suppressor factor, the biological actions of TGF- $\beta$  include the inhibition of proliferation and effector functions of T cells and regulation of differentiation of functionally distinct subsets of T cells.<sup>5,6</sup> In addition, tumor cells may avoid the differentiation and apoptotic effects of TGF- $\beta$  by expressing a nonfunctional TGF- $\beta$  receptor.<sup>7,8</sup>

The signaling pathway of TGF- $\beta$  is mediated by its receptors including TGF- $\beta$  receptor I (TGF- $\beta$ -RI), TGF- $\beta$  receptor II (TGF- $\beta$ -RII) and TGF- $\beta$  receptor III (TGF- $\beta$ -RIII).<sup>9,10</sup> The interaction between the receptor complex and ligand causes phosphorylation of transcription factors smad2 and smad3, resulting in their translocation to the nucleus and regulation of gene expression.<sup>11</sup> Inhibitors targeting the TGF- $\beta$  signaling pathway are being evaluated in preclinical models and early clinical trials, including oligonucleotide AP12009, TGF- $\beta$  antibody GC1008 and TGF- $\beta$ 2 antisense vaccine *et al.*<sup>3</sup> Though systemic blockade of TGF- $\beta$  using anti-TGF- $\beta$  antibody was well tolerated in preclinical studies,<sup>12</sup> given the pleiotropic effect of this cytokine, one potential concern of this systemic therapy is the development of autoimmune toxicities in human. Other potential toxicities related to systemic blockade might result from the cytokine's homeostatic function in other tissues outside of the immune system, including angiogenesis and development of musculoskeletal tissues. To control the toxicity related to systemic inhibition of the TGF- $\beta$  pathway, we evaluated three strategies to generate the antigen-specific T cell resistant to TGF- $\beta$  by expressing a dominant-negative TGF- $\beta$  receptor type II (DNRII) or two types of decoy-soluble TGF- $\beta$  receptor II.

## RESULTS AND DISCUSSION

Tumor cells or immature myeloid cells secrete TGF- $\beta$  to evade immune surveillance through inhibition of effector T-cell proliferation, cytokine release and cytolytic activity. Those effects might affect the treatment efficacy of adoptively transferred tumor-specific cytotoxic T lymphocytes (CTL) in tumor immunotherapy. Owing to the highly pleiotropic properties of TGF- $\beta$  and the presence of TGF- $\beta$  receptors on most cell types, neutralization efforts using monoclonal antibodies targeting TGF- $\beta$  or its receptors may have unpredictable consequences *in vivo*. It was demonstrated using TGF- $\beta$ -dominant-negative receptor transgenic mouse model that specific blockade of TGF- $\beta$  on T cells leads to the enhancement of antitumor immunity.<sup>13,14</sup> More recently, Bollard *et al.* had reported that human Epstein-Barr virus-CTLs transduced with a retrovirus vector expressing a DNRII were resistant to the antiproliferative and anticytotoxic effects of exogenous TGF- $\beta$ .<sup>15,16</sup> The TGF- $\beta$ -resistant CTL had a functional advantage over unmodified CTL in the presence of TGF- $\beta$ -secreting Epstein-Barr virus-positive lymphoma, and had enhanced antitumor activity *in vivo*.<sup>15,16</sup>

An alternative strategy to specifically neutralize TGF- $\beta$  at the tumor site and shield the immune cells from negative effects of the cytokine is the use of soluble TGF- $\beta$  receptors, which abrogate TGF- $\beta$  signaling by competitive binding of the ligand to its receptor.<sup>17</sup> Furthermore, it had been reported that systemic administration of an oncolytic adenovirus expressing a soluble form of TGF- $\beta$  receptor II fused with human Fc IgG1 (sRIIFc) resulted in significant inhibition of tumor growth of established bone metastases in a human xenograft mouse model.<sup>18–20</sup>

In this study, we evaluated the strategies to deliver modified TGF- $\beta$  receptors to the tumor environment by antigen-specific T cells. We constructed three  $\gamma$ -retroviral vectors, one that expressed mouse TGF- $\beta$ -dominant-negative receptor II (MSGV1.DNRII), a second that secreted a soluble TGF- $\beta$ -RII containing the extracellular domain of TGF- $\beta$ -RII (MSGV1.sRII) and third a soluble TGF- $\beta$ -RIIFc, in which the extracellular domain was fused to the mouse immunoglobulin (IgG<sub>2a</sub>) Fc fragment (MSGV1.sRIIFc)(Figure 1a). In order to track the transduced cells *in vitro* and *in vivo*, the *Thy1.1* gene was inserted downstream of the receptor genes and separated by a picornavirus T2A linker (Figure 1a). The vector-expressing green fluorescent protein (GFP) (MSGV1.GFP) was used as an experimental control. To evaluate the expression and functionality of these receptors, mouse splenocytes were transduced with three vectors expressing DNRII, sRII and sRIIFc, respectively. Using western blot analysis, we readily detected the expression of DNRII, sRII and sRIIFc in transduced lymphocytes. As expected, both soluble sRII and sRIIFc were detected in the cell culture media as well as in total cell lysates (Figure 1b).

To determine the biological activity of the soluble decoy receptors, culture medium from transduced cells was collected and applied to mouse T cells. The decoy receptors prevented exogenous TGF- $\beta$ 1-induced smad-2 phosphorylation in a dosage-dependent manner (Figure 1c). It was also demonstrated that the cells transduced with soluble receptors were resistant to phosphorylation of smad-2 induced by exogenous TGF- $\beta$ 1 (Figure 1d); however, the TGF- $\beta$  blockade was less than that observed in cells transduced with DNRII. These results indicated that both DNRII and decoy vectors could successfully transduce mouse T cells and block TGF- $\beta$  signaling pathways *in vitro*.

B16 melanoma, derived from C57BL/6 mice, is a 'poorly immunogenic' tumor. Penafuerte *et al.* had found that B16 tumor secreted biologically active TGF- $\beta$ , which in turn inhibited cytokine-induced immune cell proliferation and downregulated interleukin-2R $\beta$  expression and interferon- $\gamma$  secretion by natural killer cells.<sup>4</sup> Using real-time PCR and enzyme-linked immunosorbent assay, the B16-F10 melanoma line cultured in the Surgery Branch NCI was confirmed to express TGF- $\beta$  (Supplementary 1). We have previously reported that large established B16 tumors can be specifically treated using adoptive transfer of antigen-specific T cells (Pmel-1 cells).<sup>21</sup> Pmel-1 cells were stimulated and transduced with viral vectors expressing DNRII, sRII, sRIIFc or GFP. The transduction efficiency of each vector was around 70% measured by flow cytometry analysis using Thy1.1-FITC antibody (Figure 2a). There was no effect on cell proliferation in cells transduced by different vectors (Figure 2b). The genetically modified cells also retained similar antigen recognition as measured by interferon- $\gamma$  secretion following antigen-specific peptide stimulation (Figure 2c).

To determine the *in vivo* efficacy of these cells, different doses of genetically modified cells ( $5 \times 10^6$ ,  $1 \times 10^6$  or  $1 \times 10^5$ ) were infused into B16 tumor-bearing mice ( $n = 5$ ) along with administration of rVVhgp100 and interleukin-2. As previously reported, compared with animals receiving no treatment, animals receiving Pmel-1 cell (GFP control) showed delayed tumor growth and prolonged survival (Figure 3). We observed that tumor-bearing mice receiving T cells transduced with DNRII vector displayed an augmented tumor treatment compared with the mice giving cells modified by GFP ( $P = 0.009$ ) and this was observed at all dose levels (Figure 3). In addition, the tumor-bearing mice treated by DNRII-genetically modified pmel-1 cells had significantly prolonged survival compared with the control group ( $P < 0.01$ , Figure 3). However, cells expressing the soluble receptors did not enhance treatment compared with GFP-engineered control Pmel-1 cells in these experiments (Figure 3).

While CD8+ Pmel-1 T cells are an example of a classic effector T cell, CD4+ T cells can display a variety of phenotypes, including both suppressor and effector T-cell functions. For example, we and others had reported that CD4 T cells transduced with a major histocompatibility complex class II-restricted T-cell receptor (TCR) specific for tyrosinase-related protein-1 (TRP1) could eradicate established B16 tumor.<sup>22–24</sup> The differentiation status of CD4+ T cells can be influenced by several cytokines, including TGF- $\beta$ . It was reported that TGF- $\beta$  promoted differentiation of naïve CD4 T cells into regulatory T cells (iTreg) and Th17 cells via a paracrine mechanism.<sup>25–27,28</sup> Based on these observations, we next investigated the effect of blockade TGF- $\beta$  signaling on the *in vivo* function of CD4 anti-TRP1 T cells. CD4 T cells were stimulated and cotransduced with viral vectors expressing the TRP1-TCR and sRII, sRIIFc, DNRII or GFP vectors. B16 tumor-bearing mice were given  $1 \times 10^6$  or  $1 \times 10^5$  double-engineered cells along with vaccine rVVTRP1 and interleukin-2 administration. Consistent with reported results, CD4 T cells genetically modified by TCR targeting TRP1 resulted in B16 tumor regression with as few as 100 000 cells and prolonged the survival of tumor-bearing mice ( $P < 0.01$ , Figure 4a). The CD4 cells double engineered with TRP1 and DNRII significantly augmented this tumor treatment efficacy and displayed longer survival compared with cells modified by TRP1 and GFP ( $P < 0.05$ ) (Figures 4a and b). Again, there was no treatment difference among the mice receiving cells cotransduced by TRP1 and sRII, sRIIFc versus GFP (Figures 4a and b).

In its function as a tumor suppressive cytokine, TGF- $\beta$  has been reported to enhance tumor migration and invasion as well as inhibit antitumor immune responses.<sup>29–31</sup> The effect of neutralizing TGF- $\beta$  on antitumor activity has been evaluated by expressing of soluble TGF- $\beta$  receptors in a variety of cell lines and animal tumor models for pancreatic, prostate or breast cancer.<sup>32–35</sup> In these tumor models, the soluble receptor was delivered either by engineered tumor cells or intraperitoneal injection. Systemic delivery of the oncolytic adenovirus Ad.sT $\beta$ RFc, which expressing soluble TGF- $\beta$  receptor sRIIFc, was reported to inhibit the progression of established bone metastases and conferred a survival advantage to mice in a breast cancer model.<sup>20</sup> The success of this treatment relied on the combination of sTGF- $\beta$ -RIIFc production and tumor destruction by adenovirus.<sup>20</sup>

In this study, we aimed to improve adoptive T-cell therapy by abrogating TGF- $\beta$  in the tumor microenvironment. Our *in vitro* experimental data indicated that two types of soluble

receptors secreted by the T cells were effective in inhibiting smad-2 phosphorylation mediated by exogenous TGF- $\beta$ 1, and the engineered antigen-specific T cells maintained their antigen recognition property. However, the *in vitro* blocking activity in the cells expressing sRII and sRIIFc were weaker than that in the cells expressing DNRII, possibly owing to an insufficient amount of soluble proteins required to neutralize the added TGF- $\beta$ 1. *In vivo*, there was no toxicity observed upon transferring the cells constitutively secreting soluble receptor, but also no treatment benefit. The loss of efficacy could be owing to inadequate local concentration of the receptor antagonists, or possibly owing to the TGF- $\beta$  being presented to the T cells in a form that is inaccessible to the soluble receptors, which may occur via direct presentation of cell surface-bound TGF- $\beta$  on Tregs or myeloid cells.<sup>36,37</sup>

It was reported that Epstein-Barr virus-CTL genetically modified by TGF- $\beta$ -dominant-negative receptor had greater antitumor activity in an immunodeficient mouse model.<sup>16</sup> Our data demonstrated that blockade of TGF- $\beta$  signaling in tumor antigen-specific CD8 T cells (anti-gp100) and CD4 T cells (antiTRP1) dramatically improved the adoptive T-cell treatment in an immunocompetent mouse melanoma tumor treatment model. This augmentation appeared to be more significant in CD4 (TRP1) T cells than the CD8 (Pmel-1) T cells. This observation was not associated with significant differences in blockade of smad-2 phosphorylation. As TGF- $\beta$  is known to be involved in the differentiation of CD4 T cells into Treg, blockade TGF- $\beta$  on CD4 cell would possibly generate fewer Treg cells, which could favor the antitumor activity of immune effector CD4 T cells. The use of antigen-specific T cells engineered with a TGF- $\beta$  DNRII may be a useful strategy to potentially improve the outcome of adoptive T-cell therapy targeting cancers that express TGF- $\beta$ .

## MATERIALS AND METHODS

### Mice and cell lines

Splenocytes from C57BL/6 mice were used to generate CD8<sup>+</sup> and CD4<sup>+</sup> murine T cells. Murine CD4<sup>+</sup> T cells were purified from splenocytes using mouse CD4<sup>+</sup> T-cell enrichment kit (Stem cell Tech, Vancouver, BC, Canada). The cells were then stimulated with 1 $\mu$ gml<sup>-1</sup> of anti-CD3 and anti-CD28 antibody (BD Biosciences, San Jose, CA, USA) for 36h and cultured in 60IUml<sup>-1</sup> of interleukin-2 (Chiron, Emeryville, CA, USA). Platinum-E retroviral package cell line (Plat-E, Cell Biolab, San Diego, CA, USA) was used to produce retrovirus and cultured in Dulbecco's Modified Eagle media (Invitrogen, Carlsbad, CA, USA) with 10% fetal bovine serum (Biofluid Inc., Gaithersburg, MD, USA), 100Uml<sup>-1</sup> penicillin and 100 $\mu$ gml<sup>-1</sup> streptomycin, 2mM l-glutamine and 25mM HEPES buffer solution (Invitrogen).

### Vector design

Murine TGF- $\beta$ -DNRII and soluble TGF- $\beta$  receptor fusion with IgG Fc fragment (sRIIFc) were synthesized as codon-optimization sequences (Invitrogen). TGF- $\beta$ -soluble receptor (sRII) was amplified from sRIIFc using the primer pair: 5' - TTTCCATGGGTCTCGGGGCTGCTCVAGGGCCT-3' and 5' - TT TGAATTCTCGTCAGGATTGCTGGTGTATA-3' by PCR. The genes were cut by NcoI/

EcoRI and ligated to 2A.Thy1.1 fragment with EcoRI/BamH1 restriction sites and inserted into MSGV1 vector<sup>38</sup> at NcoI/BamH1 enzyme sites. All vectors have been confirmed by enzyme digestion and DNA sequencing.

### Western blot

The C57BL/6 mice splenocytes were stimulated by anti-CD3/anti-CD28 and transduced by DNR11, sR11 and sR11Fc vectors viral supernatant. The cells were lysed by using RIPA buffer (Thermo Scientific, Rockford, IL, USA). Total cell protein was separated at 12% SDS–polyacrylamide gel electrophoresis (SDS-PAGE) (Invitrogen) and transferred to nitrocellulose membrane (Invitrogen). The membrane was then probed with antibodies against TGF- $\beta$  RII (R&D, Minneapolis, MN, USA), p-smad2 (Cell Signaling, Danvers, MA, USA) and  $\beta$ -actin (Santa Cruz, Santa Cruz, CA, USA).

### Retroviral vector preparation and transduction

To generate retrovirus, 293 GP cells, which stably express GAG and POL proteins, were transfected as previously described.<sup>39</sup> In brief, 9  $\mu$ g of vector DNA and 4  $\mu$ g of RD114 envelope plasmid DNA were mixed with lipofectamine 2000 (Invitrogen) in serum-free medium and incubated at room temperature for 20 min. The mixture was applied to 293 GP cells that had been plated the prior day on a 100-mm<sup>2</sup> polylysine-coated plate (Becton Dickinson, Franklin Lakes, NJ, USA). After 6 h of incubation, the medium was replaced with Dulbecco's Modified Eagle Medium (Invitrogen) with 10% fetal bovine serum and the viral supernatants were harvested 48 h later. Platinum-E cell, a retroviral package cell line, was infected by 293 GP produced by the retroviral vector and cultured in Dulbecco's Modified Eagle Medium. Retrovirus harvested from the platinum-E cells was used for splenocyte transduction as described before.<sup>40</sup> Briefly, the stimulated murine T cells were transduced with retroviral vectors in 24-well plates with 1  $\mu$ gml<sup>-1</sup> protamine sulfate, centrifuged at 1000 *g*, 1.5 h.

### Adoptive cell transfer

C57BL/6 mice were housed at the National Institutes of Health (NIH). B16 (H-2<sup>b</sup>), a poorly immunogenic gp100+ murine melanoma cell line, was maintained in RPMI-1640 (Invitrogen) with 10% fetal bovine serum.

C57BL/6 mice at 6–12 weeks of age were injected with  $2 \times 10^5$  to  $5 \times 10^5$  B16 melanoma cells. Ten days later, groups of tumor-bearing mice ( $n = 5$ ) were treated with 5 Gy lymphodepleting irradiation and given retroviral vectors-engineered CD4<sup>+</sup> or CD8<sup>+</sup> T cells, respectively, by tail vein injection. The perpendicular diameters of the tumors were measured with a caliper by an independent investigator in a blinded manner. The tumor curve data were shown as mean $\pm$ s.e.m. The NCI Animal Care and Use Committee of the NIH approved all animal experiments.

### Statistic analysis

Tumor growth slopes were compared using Wilcoxon rank sum test. Survival curves at different treatment groups were compared using Mantel–Cox test.  $P < 0.05$  was considered significant.



## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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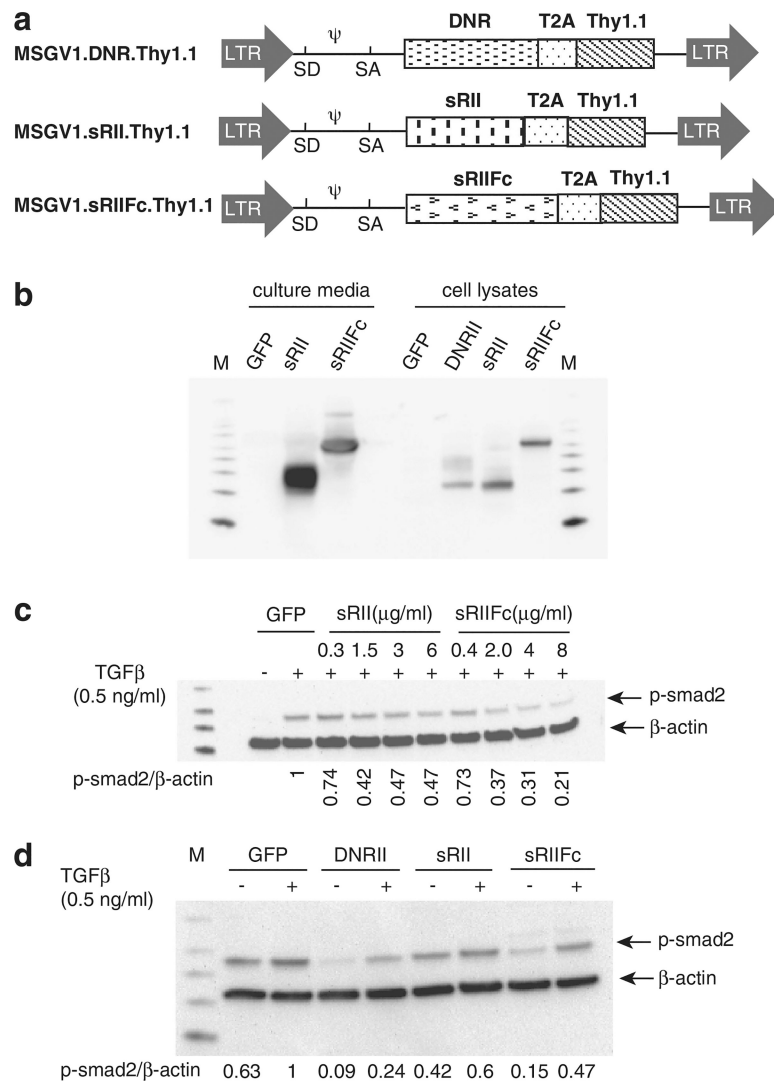
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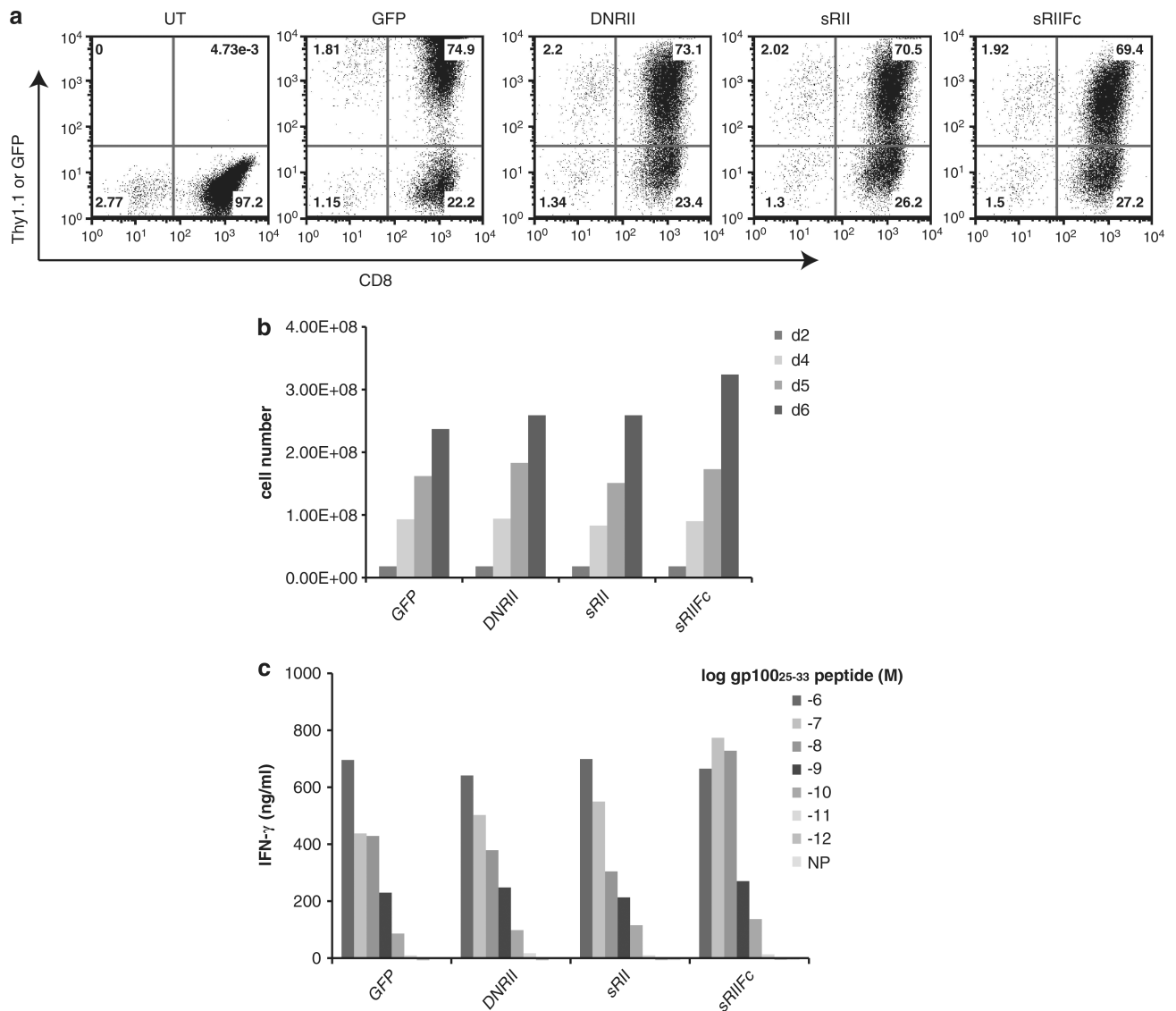
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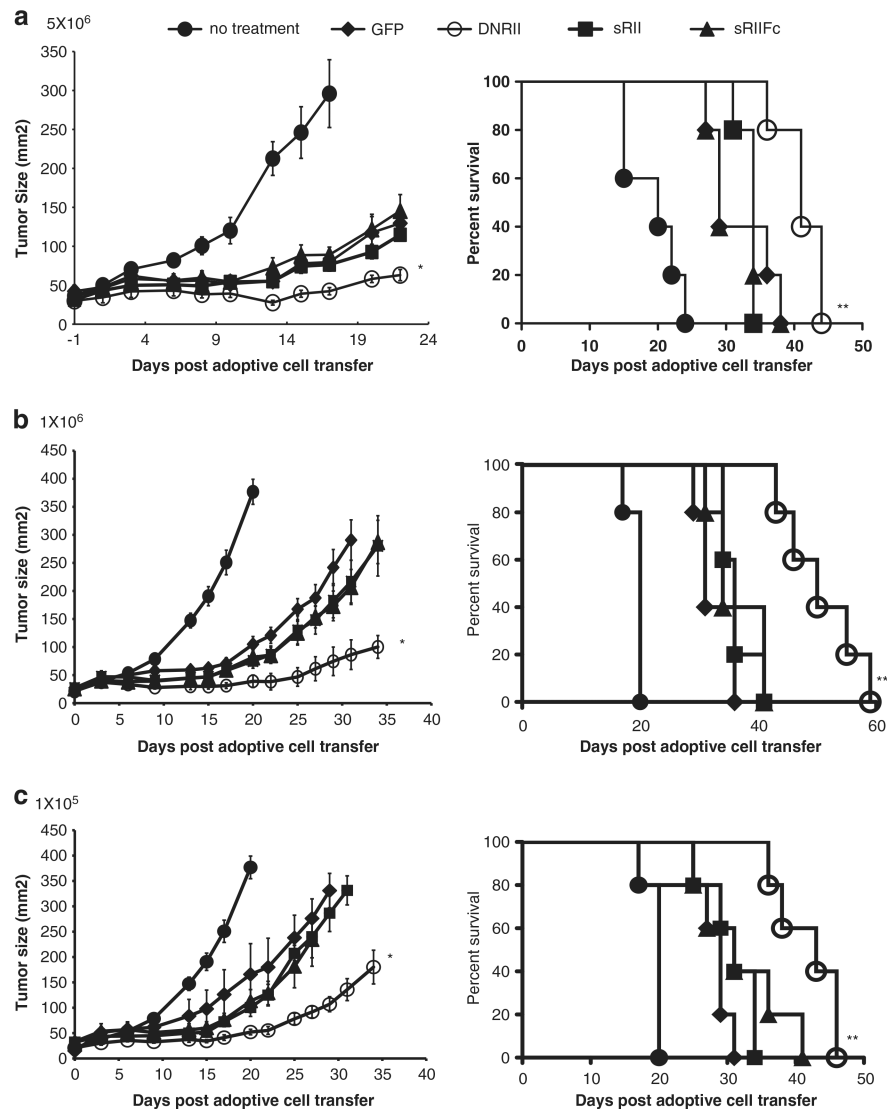
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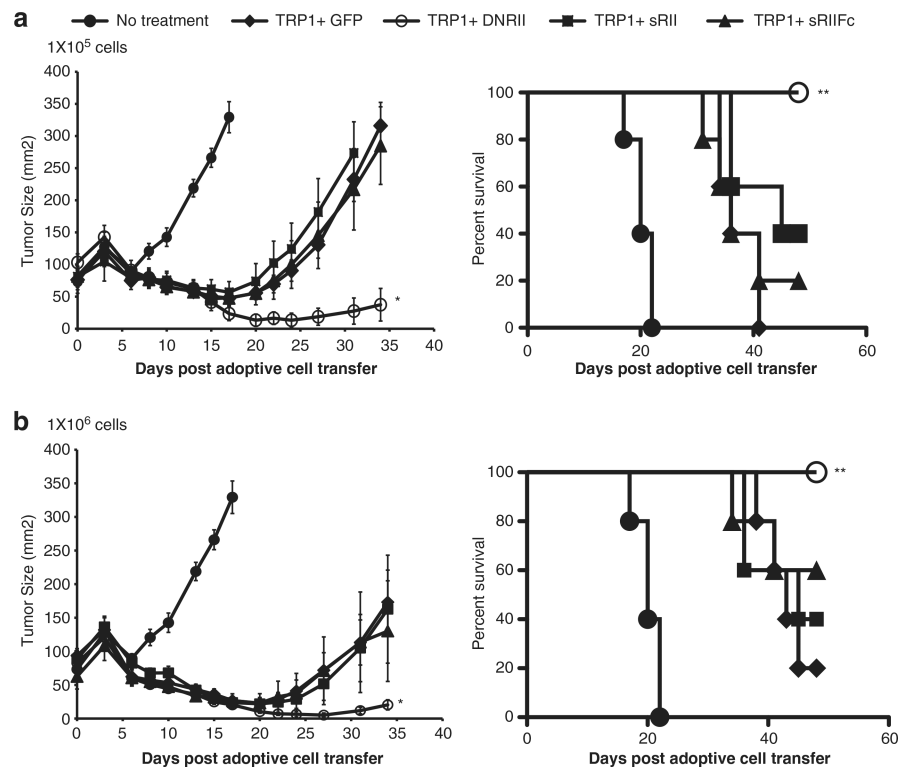
**Figure 1.** DNRII-, sRII-, sRIIFc-transduced T cells were resistant to TGF-β-mediated smad2 phosphorylation. **(a)** Schematic representation of retroviral vectors: MSGV1.DNRII, MSGV1.sRII and MSGV1.sRIIFc. LTR, long terminal repeat; SD, splice donor; SA, splice acceptor; T2A, ribosomal skip peptide. **(b)** Mouse splenocytes were transduced with the MSGV1.GFP, MSGV1.DNRII, MSGV1.sRII and MSGV1.sRIIFc. The cells and culture supernatant were harvested 48 h later. The DNRII, sRII and sRIIFc expression were measured by immunoblotting with anti-TGF-β-RII antibody. **(c)** Different amount of partially concentrated conditioned media was added to T cells treated with exogenous TGF-β1 (0.5 ng ml<sup>-1</sup>) for 1 h. Phosphorylation smad2 (p-smad2) was measured by western blot. The relative level of p-smad2 was normalized by β-actin. The p-smad2 level in the cells treated with TGF-β1 and the supernatant from GFP-transduced cells was set as 1. **(d)** The T cells were transduced with GFP, DNRII, sRII or sRIIFc individually and treated without or with exogenous TGF-β1 (0.5 ng ml<sup>-1</sup>, 1 h). The smad2 phosphorylation was measured by western blot. The relative level of p-smad2 was normalized by β-actin. The relative p-smad2 level in the GFP-transduced cells treated with TGF-β1 and was set as 1.

**Figure 2.**

Pmel-1 T cells expressing DNRII, sRII or sRIIFc did not affect cell proliferation or antigen recognition. **(a)** The pmel-1 cells were transduced with GFP, DNRII, sRII or sRIIFc, and analyzed by fluorescence-activated cell sorting using Thy1.1-FITC and CD8-PE antibody. **(b)** The transduced cells were enumerated every 2 days by trypan blue exclusion. **(c)** The cells transduced with DNRII, sRII or sRIIFc vector were co-cultured with various concentration (from  $10^{-6}$  M to  $10^{-12}$  M) of hgp100<sub>25-33</sub> peptide-pulsed cells for 16 h. The interferon- $\gamma$  level in the culture was measured by enzyme-linked immunosorbent assay (shown are the mean values of duplicate determinations). NP, negative control peptide.



**Figure 3.** DNR II expressing pmel-1 cells had enhanced antitumor activity against B16 melanoma tumor. Pmel-1 cells were transduced with vector-expressing GFP, DNR II, sRII or sRIIFc. B16 tumor-bearing mice ( $n = 5$ ) were adoptively transferred with  $5 \times 10^6$  (a),  $1 \times 10^6$  (b) or  $1 \times 10^5$  (c) cells genetically modified by pmel-1 cells as described in Materials and methods. Tumor sizes were assessed with serial measurements. Error bars represent s.e.m. (\* $P = 0.009$ , DNR II compared with GFP). The survival of tumor-bearing mice that received  $5 \times 10^6$  (a),  $1 \times 10^6$  (b) or  $1 \times 10^5$  (c) of genetic-modified cell transfer were determined as shown (\*\* $P < 0.05$ , DNR II compared with GFP).



**Figure 4.** TRP1 CD4 cells co-expressing DNR II dramatically augmented the tumor treatment in B16 melanoma tumor model. CD4 T cells were isolated from normal mouse splenocytes and stimulated with anti-CD3 and anti-CD28 *in vitro*. The cells were then cotransduced with TRP1-TCR and GFP, DNR II, sR II or sR II Fc. B16 tumor-bearing mice ( $n = 5$ ) were adoptively transferred with  $1 \times 10^5$  (a) or  $1 \times 10^6$  (b) double-engineered CD4 T cells. Tumor sizes were assessed with serial measurements. Error bars represent s.e.m. (\* $P < 0.05$ , TRP1 + DNR II compared with TRP1 + GFP). The survival of tumor-bearing mice that received  $1 \times 10^5$  (a) or  $1 \times 10^6$  (b) of genetically modified cell transfer were determined as shown (\*\* $P < 0.05$ , TRP1 + DNR II compared with TRP1 + GFP).