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### Early Life Inhalation Exposure to Mine Tailings Dust Affects Lung Development

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#### Abstract

Exposure to mine tailings dust from active and abandoned mining operations may be a very significant health hazard, especially to sensitive populations living in arid and semi-arid climates like the desert southwest of the US. It is anticipated that early life exposures during sensitive times of development can lead to adult disease. However, very few studies have investigated the effects of inhalation exposure to real world dusts during lung development. Using a mouse model, we have examined the effect(s) of inhalation of real world mine tailing dusts under three separate conditions: (1) Exposure only during *in utero* development (exposure of the pregnant moms) (2) exposure only after birth and (3) exposures that occurred continuously during in utero development, through gestation and birth until the mice reached adulthood (28 days old). We found that the most significant changes in lung structure and function were observed in male mice when exposure occurred continuously throughout development. These changes included increased airway hyper-reactivity, increased expression of epithelial to mesenchymal (EMT) transition protein markers and increased expression of cytokines related to eosinophils. The data also indicate that *in utero* exposures through maternal inhalation can prime the lung of male mice for more severe responses to subsequent postnatal exposures. This may be due to epigenetic alterations in gene regulation, immune response, molecular signaling, and growth factors involved in lung development that may make the neonatal lung more susceptible to continued dust exposure.

#### Keywords

Mine Tailings Dust; Lung Development; Lung Disease

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#### Introduction

Growth and development requires the temporal and spatial coordinated expression of genes and gene products. During this critical time, in utero and early postnatal exposure to toxicants has the potential to alter organ structure and physiological function, which can be manifested as adult disease (Merkus, 2003; Shi et al, 2007; Wei et al, 2007; Whitsett et al, 2011; Farzan et al 2013; ; Tang et al, 2017). While the potential adverse health outcomes that result from exposures during these development times are recognized, only limited attention has been paid to the effect(s) of environmentally relevant exposures to toxicants during these critical periods of development (Majumdar and Mazumder, 2012; Vahter, 2008) and even fewer studies have examined whether early life inhalation exposures may lead to adult disease. (Chan et al, 2011; Fedulov et al, 2008; Clark et al, 2010; Hsu et al, 2015; Spann et al, 2016). The low humidity and strong winds found in the arid US Southwest make respirable dust levels potentially problematic. The incidence of high dust storms in the Southwestern US has been increasing in recent years and this is especially a serious concern in arid and semi-arid regions due to the increased susceptibility to windborne transport of metal-laden dust particles. This issue can be particularly acute just downwind from mining sites where dusts can contain high levels of metal(oid)s (Csavina et al, 2011). Global climate models predict warmer and drier conditions in the Southwest in the near future that will heighten windblown dust and the abundance of dust-borne particulates (Breshears et al, 2012). Thus, there are immediate and growing needs to evaluate the toxicity of dusts and their components following early life inhalation exposures.

Very few previous reports have evaluated sensitive developmental exposure times following toxicant inhalation. Mauad et al, (2008) evaluated the effects of inhalation of vehicle emissions exposures (urban  $PM_{25}$ ). Animals were housed near a busy roadway and were exposed to emissions prenatally, postnatally, or both pre- and postnatally. Significant alterations in lung surface to volume ratios and lung function were only observed in animals exposed both pre- and postnatally. In a separate study, Thevenot et al, (2013) showed that early postnatal inhalation exposure to radical-containing ultrafine particulate matter resulted in increased expression of epithelial to mesenchymal transition (EMT) markers in 7-day old animals. Alterations in EMT were attributed to the production of reactive oxygen species (ROS). However, the effects of in utero exposures were not examined. The need for continuous developmental exposures to produce adverse outcomes was also observed in rats exposed to sidestream cigarette smoke (Joad et al, 1995). Exposure to sidestream smoke both pre- and postnatally (but not only pre- or only postnatally) resulted in lungs with less compliance, increased airway hyper-reactivity, and had a greater number of pulmonary neuroendocrine cells. A separate report showed increased airway hyper-reactivity with prenatal and early postnatal exposures without increases in pulmonary neuroendocrine cells or mast cells (Joad et al, 1999). Finally, intraperitoneal injection of PM2 5 extracts into pregnant mice resulted in alterations in lung function and induction of EMT markers in 28day old offspring (Tang et al, 2017). With the exception for a few studies on occupational exposures, little data exist concerning the risk in adults from exposure to metal(oid) containing dusts through inhalation, and the effect of inhalation of metal/metalloidcontaining dusts during *in utero* and early life exposure is not known.

Using metal-containing real world dusts collected from an abandoned mine tailing, we have exposed male and female mice during *in utero* development alone, postnatally alone or continuous *in utero* and postnatal exposures. We have found that significant increases in airway reactivity are only seen in male mice and that the greatest changes in lung function and structure occur following continuous exposures. Alterations in male mice include increased pulmonary resistance, increased airway hyperreactivity, increased expression of EMT markers and indications that these changes may be associated with increased reactive oxygen products. The finding that both *in utero* and early postnatal exposures are required to produce significant alterations in lung structure and function suggests that there are *in utero* effects caused by the mothers' inhalation of the toxicant that "primes" the lung of the offspring for subsequent responses to inhaled toxicants after birth. The effects of the *in utero* exposures only become apparent following a subsequent exposure in the pups after birth.

#### **Methods and Materials**

#### Laboratory Dust Generation and Fractionation

The present inhalation toxicology study focuses on the Iron King and Humboldt Smelter Superfund (IKMSS) site in Dewey-Humboldt, Arizona. In order to collect enough real world respirable dusts for inhalation exposures, a portable dust generator and size fractionation system was developed by engineers working under the University of Arizona Superfund Research Program. Dust was generated from topsoil collected from mine tailings. The dust collection system, dust metal content and size fraction characteristics are given in detail in Gonzales et al (2014). The fine dust produced was effectively comprised of particles smaller than 10  $\mu$ m, with the majority of particles by number in the range of 0.5–5  $\mu$ m. Fine dust generated from all samples had a size distribution with a count median diameter around 1.2 µm and a geometric standard deviation of approximately 3 µm as determined by spectrometric size distribution analysis. Dusts collected at the mine tailings have been found to contain significant arsenic (As) and lead (Pb) levels with the highest levels seen in the  $PM_{10}$  size fraction. (Gonzales et al, 2014). Levels of arsenic and lead comprised 1.1% and 1.3% of the total mass, respectively. As and Pb concentrations are the highest in the tailings at the IKMSS site and consistently decreased in the soil samples when comparing all size fractions at increasing distances from the tailings (Stovern et al, 2016).

#### Animal Inhalation Exposures

This study was carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the Institutional Animal Care and Use Committee of the University of Arizona (Protocol Number 07–140). All surgery was performed under sodium pentobarbital anesthesia, and all efforts were made to minimize suffering. Beginning one week before mating, female C57BL/6 mice were exposed to daily dust IKMSS aerosol (PM<sub>10</sub>) by the Vilnius dust generator (CH Technologies, Westwood, NJ) attached to 9 individual inhalation cages with an in-line real-time aerosol particle measurement device (Casella Microdust Pro Sampler, Casella Measurement, Bedford, UK) with continuous negative feedback dust generation at the flow rate of 2 L/min at a concentration of 4.8 mg/m<sup>3</sup> for 30 min/day. This exposure period of 30 min/day was chosen to reduce stress to the mice to achieve a 24-hour

time weighted average exposure of  $100 \ \mu\text{g/m}^3$ . The level of dust concentration inside the cages was also measured by a separate device, Dust Track II, which was placed inside the exposure system. The concentration of the dust exposure was adjusted by constant evaluation of the two dust measurement systems.

Four groups of mice were utilized in the study; a control group exposed to ambient air (Control), a group exposed to dust only *in utero* (gestational development, GD), a group exposed to dust only post-natally (PN), and a combined *in utero* and post-natally exposed group (GDPN). Pregnant mice were placed in groups and exposed to the dust until they reached gestational time and then were divided into two groups; *in utero* only group that had their dust exposure stopped when the pups were born and a combined *in utero* and post-natal exposure group that kept undergoing the dust inhalation exposure. The post-natal group was housed in the animal facility until they reached gestational day 18 and then were transferred to the inhalation cages whereby the dust exposures commenced on the day of birth of the mouse pups. The mouse pups were then exposed on a daily basis. When animals in all groups reached 28 days of age both male and female offspring mice underwent pulmonary function tests. Additional animals were sacrificed and tissue, bronchoalveolar lavage (BALF) and blood were collected for histopathological study and analysis of protein expression.

#### **Cytokine Analyses**

Plasma and BALF fluids for this study were collected and sent to Eve Technologies (Calgary, Canada) for 32 cytokine and chemokine multiple analyses. Plasma and BALF fluids were run at a 2-fold dilution with PBS at a pH of 7.5. Alterations in protein expression detected with the multiplex analysis were validated using ELISA kits from R&D Systems, Minneapolis, MN.

#### **Pulmonary Function Tests**

Airway responsiveness and respiratory mechanics were assessed with a Flexivent (SCIREQ, Montreal, Canada). Following anesthesia, the mouse trachea was surgically exposed and a cannula was inserted into the trachea and tied off with surgical string. The mouse was then paralyzed with intraperitoneal (IP) injection of 4 mg/kg pancuronium bromide and then connected to a small animal ventilator with a respiratory rate set at 150 breaths/min, tidal volume of 10 ml/kg body weight, and 2.5 cm H<sub>2</sub>O positive end expiratory pressure (PEEP) for 5 min with 0, 0.5, 1.0, 2.0, and 2.5 microgram/g body weight methacholine delivered sequentially via a nebulizer. After each dose, the Flexivent system would automatically perform a force oscillation cycle and acquire various lung mechanical data including dynamic resistance, elastance, and compliance of the respiratory system. Each methacholine dose delivery and its measurement were completed within a 3 min time frame. The mouse was under continuous thermal support using a heat lamp throughout the pulmonary function test protocol.

#### Lung Immunohistochemistry and Morphometry

Immunohistochemistry was utilized to localize alpha smooth muscle actin (AB 5694, Abcam,), SNAIL 1 (SC28199, Santa Cruz) TWIST (SC15393, Santa Cruz), ZEB2 (SC4878,

Santa Cruz) and NOX4 (AB133303, Abcam). Lungs were fixed by intratracheal instillation of buffered formalin at a constant pressure of 20 cm H<sub>2</sub>O. Paraffin embedded sections (5 µm) were baked for 1 hour at 65 degrees C then subjected to a series of de-paraffinization (3  $\times$  5 min in xylene) and dehydration. Microwave antigen retrieval method was performed by boiling in 10mM sodium citrate buffer, pH of 6, for 10 min, then cooled for 20 min after the slide was washed with PBS. The slide was then incubated in 1% H<sub>2</sub>O<sub>2</sub> to quench peroxidase activity for 10 min, washed with PBS, and then incubated in 2% normal secondary host serum for 30 min. The slides were incubated with primary antibodies diluted in PBS 0.05% Tween 20 for one hour at room temperature. The antibody dilutions utilized were: 1:100 for SNAIL1, TWIST, and ZEB2 from Santa Cruz. 1:500 for, Alpha SMA and NOX4 at 1:500 from Abcam. After three washes of 3 min each of buffer (PBS with 0.1% Tween 20), the slides were incubated with biotinylated HRP secondary antibodies for one hour using ABC Vector Elite kits (Vector Laboratories, Burlingame, CA). The slides were again washed 3 times for 3 min each wash, incubated with Avidin-Biotin complex for 30 min, and finally administered another 3 washes with buffer solution. The color development was done using a Vector VIP detection solution following the manufacturer's instructions. Airway collagen was stained using pico-sirius red dye special stain and visualized by polarized light microscopy.

The amount of smooth muscle and collagen around the airways and the expression of SNAIL 1, TWIST, ZEB2 and NOX4 in airway epithelium was quantitated by analyzing digital images collected by using PCI software (Pittsburgh, PA) as previously described (Lantz et al., 2009). Sections of lung tissue were scanned and all airways cut in cross section (the ratio of maximum to minimum diameter was less than 2) were analyzed. Diameters were determined by filling of the area inside of the airway epithelium. PCI was able to obtain the minimum and maximum diameters of a region of interest. Basement membrane perimeter was obtained by tracing. The area of protein expression was determined by thresholding the images to detect only the antibody staining and measuring the number of pixels detected (Camateros et al, 2007). Area of collagen staining was obtained using polarized light microscopy of sirius red stained sections (Lantz et al, 2009). Area of protein expression and collagen staining were then normalized to the square of the basement membrane perimeter (Camateros et al, 2007). Data were analyzed for all airways and also were subdivided by airway diameter (small airways, diameter < 100 µm versus large airways, diameter > 100 microns). The minimum diameter was used as a measure of the airway diameter (Weibel 1979).

#### Statistical Analyses

Statistical analyses were performed using ANOVA, requiring p < 0.05 for statistical significance (Winer et al, 1991). Student-Newman-Keuls procedure was used post hoc to determine significant differences between groups.

#### Results

Inhalation exposure of dust from the Iron King and Humboldt Superfund site (IKMSS) in Arizona caused physiological changes in the lungs of male mice. Baseline and methacholine

challenged (airway reactivity) values of pulmonary resistance were measured in male (Figure 1A) and female (Figure 1B) mice.

Male mice that were exposed either only *in utero* (GD) or only postnatally (PN) did not show significant increases in methacholine-induced resistance compared to control animals. However, the combined continuous exposure to the dust (GDPN) resulted in a significant increase in airway resistance at lower methacholine challenges when compared to controls. Airway baseline resistance was also significantly increased in the GDPN group in male mice  $(1.29 +/- 0.13 \text{ cm H}_2\text{O/ml})$  versus controls  $(0.89 +/- 0.08 \text{ cm H}_2\text{O/ml})$ . Baseline resistance in the GD and PN groups was not significantly different from controls. There were no significant differences in compliance between controls and any of the three exposure groups. Female mice did not show a similar response. Females did not have a significant increase in the airway reactivity for any of the exposure groups (Figure 1B). Baseline resistance was also not different from controls in the females. Since the females did not demonstrate any pulmonary function alterations, subsequent data were only collected in the males.

Increases in airway reactivity and baseline resistance seen in the GDPN exposure group could be due to interactions with developmental processes leading to structural alterations to the airways. We have previously shown that continuous *in utero* and postnatal exposure to arsenic in drinking water resulted in increased airway reactivity associated with alterations in smooth muscle and extracellular matrix around airways (Lantz et al, 2009). These alterations could result from induction in epithelial to mesenchymal transition (EMT) leading to alterations in developmental programing. Alterations in EMT can result in altered smooth muscle and extracellular matrix. We first examined smooth muscle actin levels around airways in 28-day-old male mice to detect airway remodeling in response to dust exposures. All dust exposure groups showed significantly increased smooth muscle actin levels compared to ambient air-exposed controls (Figure 2).

Additionally, the PN and GDPN exposure groups also had increased smooth muscle actin levels when compared to the GD group. Collagen levels around airways in adult mice were only significantly increased in larger (> 100  $\mu$ m in diameter) airways in the GD group (Figure 3).

It is accepted that EMT plays a critical role in airway remodeling, (Lamouille et al, 2014; Xu et al, 2009) and that TGF $\beta$ 1 is a master regulator of EMT. (Mei and Saiz 2014). To determine if dust exposure induced changes in TGF $\beta$ 1, we evaluated bronchoalveolar lavage fluid (BALF) and plasma (Figure 4) from male mice. We detected significant increases in TGF $\beta$ 1 in both BALF and plasma in the GDPN exposure group (Figure 4).

Airway remodeling also involves proteins of the matrix metalloproteinase family, including MMP-9, essential in the breakdown of extracellular matrix in normal physiological processes. (Lin et al, 2012). Similar to that found for TGF $\beta$ 1, MMP-9 levels were significantly increased in both the BALF and serum fractions in the GDPN group in male mice (Figure 5). Plasma MMP-9 concentrations were increased approximately 4-fold (85 pg/ml) in the GDPN mouse group compared to all other mouse groups which had a mean plasma MMP-9 level at 20 pg/ml. (Figure 5).

TGFβ1 can regulate EMT through downstream activation of several transcription factors that include SNAIL, TWIST and ZEB. To determine if these transcription factors were influenced by dust exposure and contribute to EMT, we examined their expression in the airway epithelium. SNAIL1 epithelial expression was significantly elevated in the GDPN exposed male mice versus all other groups (Figure 6). While SNAIL 1 levels were significantly elevated following GDPN exposures, TWIST and ZEB 2 levels were not significantly increased over control levels (Figure 6)

The ability of TGF $\beta$ 1 to drive EMT depends in part on production of ROS through NADPH oxidase-4 (NOX4) (Boudreau et al, 2012). Although NOX4 is constitutively expressed in endothelial cells, smooth muscle, airway epithelial cells and fibroblasts, overall ROS production varies with NOX4 expression level. TGF $\beta$ 1 (acting through the SMAD signaling pathway) can increase NOX4 expression, which in turn can upregulate EMT and further increase expression of TGF $\beta$ 1 in a feed-forward manner. Thus, we examined NOX4 expression in the airway epithelium and found that it was significantly elevated in the GDPN exposed group (Figure 7).

In addition to changes in EMT, increased airway reactivity may be the result of chronic inflammation. We therefore evaluated the effects of early life inhalation exposures to IKMSS mine tailing dust on lung inflammation and cytokine production. Results revealed increased expression in male mice of multiple cytokines associated with eosinophilia in BALF of the GDPN exposure group. These include eotaxin 1, IL-5 and CXCL10. (Figure 8).

#### Discussion

Using a mouse model, we have examined the effect(s) of inhalation of real world dusts that occur prior to birth (*in utero* alone), that occur only after birth and that occurred continuously from before mating, through gestation and birth until the animals reached adulthood (28 days old). Our results indicate that the most significant changes in lung structure and function are observed when exposure occurs in male mice following exposure continuously throughout development. These changes include increased airway hyper-reactivity and baseline resistance, increased expression of epithelial to mesenchymal (EMT) transition protein markers (TGF $\beta$ 1, MMP-9 and SNAIL1) and increased expression of cytokines related to eosinophils.

Our results for the GDPN exposure group are consistent with the model that inhalation of the real world dusts increases production of ROS by induction of NOX4. This provides a positive feedback to increase TGF $\beta$ 1 levels and drive EMT leading to alteration in lung structure and function (Boudreau et al, 2012). Our results also show gender differences. Males exposed continuously through gestation and postnatal development had increased airway reactivity, while pulmonary function in females from the same litters was not significantly different from controls. These results are similar to previously reported alterations following early life exposures to PM<sub>2.5</sub> (Jedrychowski et al. 2009; Hsu et al. 2015) or ingested arsenic (Raqib et al, 2009).

While the importance of early life exposures to real-world dusts on lung structure and function in adults is recognized, very few reports have addressed this important issue (Mauad et al, 2008; Joad et al, 1995; Tang et al, 2017; Thevenot et al, 2013; Lantz et al, 2009). We believe that this study is the first systematically to quantitate/speciate real-world mine tailings dust and utilize this dust in a mouse model of exposure through inhalation. In the few examples, exposure to real-world airborne toxicants has demonstrated that in utero and early postnatal exposures to PM<sub>2.5</sub> can alter lung growth and change adult lung function. Mauad et al, (2008) using an in situ exposure system, located near a heavy traffic street, were able to demonstrate decreased lung function in offspring that had been exposed both *in utero* and after birth. No differences were observed with either exposure alone. Similarly, Joad et al (1995) showed that both in utero and postnatal exposures to cigarette smoke were required to affect lung function and structure. Our data are in agreement with these studies. The most significant changes in lung structure and function are observed when inhalation exposure to mine tailing dust occurs continuously throughout development. In utero exposures alone did not result in dramatic changes in lung structure and function. The greatest effects required a second exposure after birth. This suggests that *in utero* exposures primes the lung and alters the response to a postnatal exposure. This could potentially be due to epigenetic changes that occur during *in utero* exposures. We have not examined epigenetic changes in this study. However, reports in the literature do support this possibility. Available evidence suggests that the modulated activities of DNA methyltransferase (DNMT), histone acetylase (HAT) and histone deacetylase (HDAC) may contribute to the epigenetic changes induced by PM (Wang et al, 2012). Prenatal exposures to  $PM_{10}$  are associated alterations in DNA methylation in children (Breton et al, 2016).

Tang et al, (2017) has shown that maternal exposures to PM constituents can lead to alterations in pulmonary function and EMT in the offspring. *In utero* exposure to  $PM_{2.5}$  through intraperitoneal injection in the mother leads to altered lung function, increased TGF $\beta$ 1 production and increased ROS production. While this is not a normal route of exposure for PM, these results do show that maternal exposures to PM constituents can lead to alterations in the offspring. We used the more realistic inhalation route of exposure and did see alterations in lung smooth muscle actin and collagen in the *in utero* only exposure group. However, other functional and structural parameters were not changed. Differences between our results and those of Tang can be due to differences in route of exposure, effective *in utero* concentrations and chemical makeup of the PM.

Major metal(oid)s (iron, zinc, arsenic and lead) in the Iron King Mine tailings have been found to be enriched in the  $PM_{10}$  fraction (Gonzales et al, 2014). Because of the complex makeup of the real world dusts we used, we are not able to define which constituents (metals/metalloids) or properties (PM) are associated with the adverse outcomes we have seen. However, responses reported here are similar to those found upon water ingestion of arsenic. Our previous studies and reports by others have shown that continuous *in utero* and early life arsenic exposures via water ingestion can alter lung phenotype and function (Lantz et al, 2009; Petrick et al, 2009; Smith et al, 2006; Recio-Vega et al, 2014; Smith et al, 2013; Olivas-Calderon et al, 2015). Alterations include increased airway reactivity, increased airway smooth muscle mass (most prominent in smaller airways), increased airway collagen deposition and altered expression of transforming growth factor beta-1 (TGF $\beta$ –1) and

matrix metalloproteinase-9 (MMP-9). In addition, we have shown an association between levels of an important lung anti-inflammatory protein (club cell protein 16) and soil arsenic in children living close to the Iron King site. This suggests that localized arsenic exposure in the lungs could damage the airway epithelium and predispose children for diminished lung function (Beamer et al 2016). Reports of the effects of early life inhalation exposures to other metals is mostly limited to occupational exposures (welding). Exposures during pregnancy may lead to risk of small-for-gestational-age outcomes (Quansah and Jaakkola 2009). Because of its known developmental neurological effects, of particular concern is potential exposure to lead. However, assessment of children living near the Iron King tailings did not show any increased blood lead levels (Loh et al, 2016).

In addition to epigenetic changes, *in utero* priming may be a result of immunological changes in the lung. Ferrini et al, (2017) investigated the immunological consequences of prenatal exposure to environmental tobacco smoke (ETS) in order to understand events responsible for the development or exacerbation of allergic asthma. *In utero* exposure to ETS significantly exacerbated airway eosinophilic inflammation, airway hyperreactivity, mucus secretion, cysteinyl leukotriene biosynthesis, and type 2 cytokine production in the offspring which was dependent on NOX. In our study, NOX4 was significantly elevated in the GDPN mouse group, suggesting ROS from NOX4 may contribute to induction of EMT as shown by Boudreau et al, (2012).

A key inflammatory cell in the development of asthma/airway hyperreactivity may be the eosinophil. Eosinophilia has long been associated with airway hyperreactivity and eosinophils have also been shown to promote EMT through a TGFβ1 mechanism (Yasukawa et al 2013.). Eotaxin 1 is a C-C motif chemokine 11 also known as eosinophil chemotactic protein that selectively recruits eosinophils by inducing their chemotaxis, and therefore, is implicated in allergic responses (Adar et al, (2014). We also measured IL-5, and CXCL10 in this study. IL-5 receptors and IL-5 are expressed by eosinophils and contributes to allergic asthma. (Broughton et al, 2012). CXCL10 (aka, interferon gamma-induced protein 10 (IP-10)) contributes to airway hyperreactivity and eosinophilia. Our chemical mediator data demonstrates that eotaxin, IL-5 and CXCL10 concentrations were only elevated in the GDPN mouse group (Figure 8) consistent with the changes in airway hyperreactivity observed in (Figure 1A).

In addition to increasing airway reactivity, eosinophils can also interact and exacerbate EMT. Yasukawa et al, (2013) induced EMT in bronchial epithelial cells co-cultured with human eosinophils and it was associated with increased expression of TGF $\beta$ 1 and Smad3 phosphorylation in the bronchial epithelial cells. Treatment with anti-TGF $\beta$ 1 antibody blocked EMT development in the bronchial epithelial cells.

Arizona has an estimated 100,000 abandoned mines, indicating the potential magnitude of exposure to metal(oid) containing dusts (Arizona State Mine Inspector, 2018). This is not only an issue in the arid southwest of the US but is an issue in arid and semi-arid locations throughout the world where hard rock mining occurs. An assessment of the properties and constituents of the particulate matter that cause adverse health outcomes is important. Our research group has studied the bioaccessibility of mine tailings dust elements from the

IKMSS in Arizona. (Thomas et al, 2018). Other researchers have begun to assess the potential toxicity of mine tailings. Martin et al, (2017) used a biologically relevant extract to assess the 24-hour lung bioaccessibility of arsenic in simulated lung lining fluid in dust isolated from four distinct types of historical gold mine wastes common to regional Victoria, Australia. Drahota et al, 2017 studied mine wastes from the historical mining activities in the village of Kank (in the northern part of the Kutna Hora ore district, Czech Republic) which contain significant amounts of metal(oid) contaminants such as arsenic (As), copper (Cu), Pb, and zinc (Zn). Bulk chemical analyses indicated that As was the most important contaminant in the mine wastes (1.15 wt%), urban soils (~ 2900 mg/kg), and road dusts (~ 440 mg/kg) and was found to be the element posing the greatest risk.

A key question in these studies of dust exposure and specifically mine tailings dust exposure is exposure and health outcomes in human populations. Herrera et al, (2016) studied a community in northern Chile that suggested a considerable increase in the risk for respiratory diseases closer to the two mines, and only beyond a minimum distance of more than 1800 meters, the health impact of the mine dusts was considered to be negligible. Our research group found soil As concentrations in the town of Dewey-Humboldt, AZ near the IKMSS to have a significant association with urinary levels of CC16 in children (Beamer et al, 2016). Both arsenic exposure and decreased levels of CC16 in childhood have been associated with decreased adult lung function (Beamer et al, 2016; Bernard et al, 1994; Burgess et al, 2003; Lantz et al, 2009; Parvez et al, 2010; Smith et al, 2013). CC16 appears to be a key protecting protein that is reduced in response to inhaled toxicants

#### **Future Directions**

Our data indicate that the most significant changes in lung structure and function are observed when exposure occurs continuously throughout development. Our data suggest that *in utero* exposures primes the lung for subsequent alterations following additional postnatal exposures. We do not yet know the exact mechanism(s) of the *in utero* priming. In addition, the role of arsenic and other constituents and properties of the particulate matter that confer developmental alterations in the lung need to be determined. More extensive studies involving children exposed to real world dusts needs to be initiated. These areas should be the focus of future research.

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#### Abbreviations:

GD	gestational exposure only
PN	postnatal exposure only
GDPN	continuous gestational and postnatal exposures

EMT	epithelial to mesenchymal transition
IKMSS	Iron King Mine and Humboldt Smelter Superfund Site

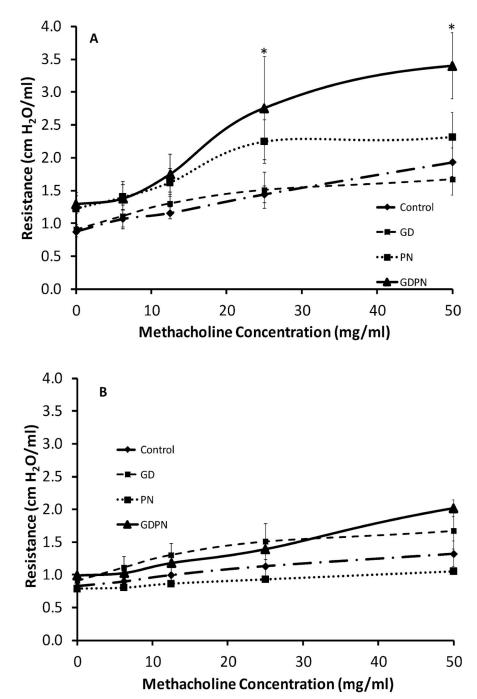
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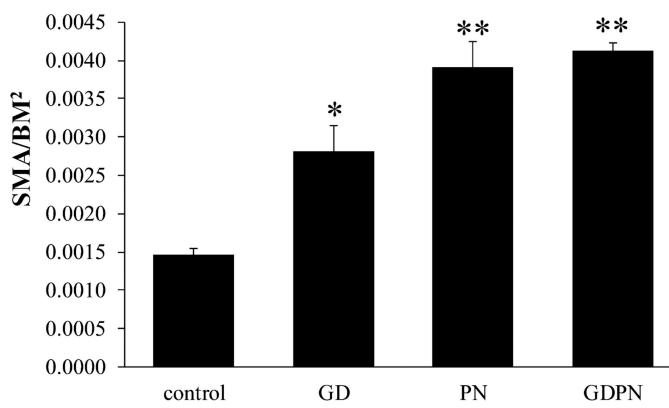


#### Figure 1.

Airway reactivity following methacholine challenge. 28-day old male (Figure 1A) and female (Figure 1B) mice were assessed for their baseline and methacholine challenged pulmonary resistance. Animals were exposed via inhalation to Iron King Mine tailing dust either in utero (GD) (N=6for males, N=4 for females) only, postnatally only (PN) (N=10 for males, N=4 for females) or during both developmental periods (GDPN) (N=5 for males, N=4 for females). Control animals (N=19 for males, N=7 for females) were exposed to filtered air only. In males, continuous exposure to the dusts throughout development

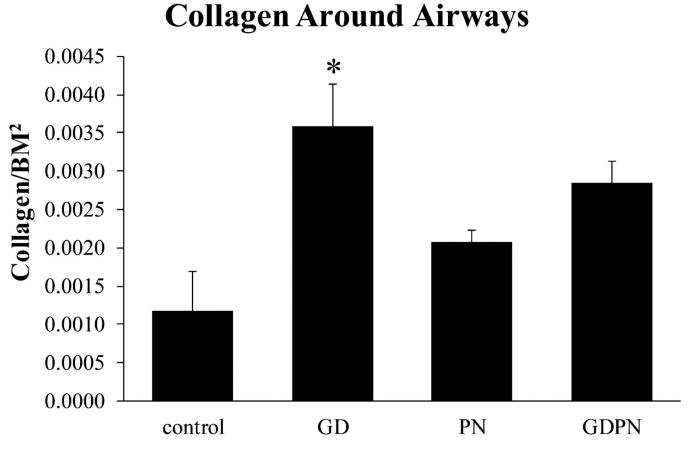
(GDPN) led to significant increases in airway reactivity compared to controls. Females did not show any increase in airway reactivity for any of the exposure groups. \* = significantly different from control animals (p<0.05).

# **Smooth Muscle Actin Around Airways**



#### Figure 2.

Smooth muscle actin around airways. All exposure paradigms resulted in significant increases in smooth muscle actin around airways in 28-day old male mice compared to controls (N=4). In addition, PN and GDPN exposed animals had increased smooth muscle compared to *in utero* alone. GD = *in utero* only exposure (N=4); PN = postnatal only exposure (N=4); GDPN = both *in utero* and postnatal exposure (N=3). \* = significantly different from controls (p<0.05); \*\* = significantly different from controls and *in utero* alone exposures. (p<0.05).



#### Figure 3.

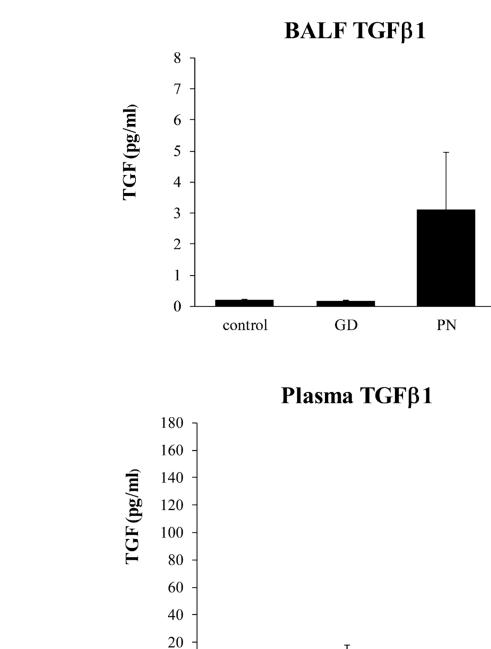
Collagen expression around airways. Increases in airway collagen expression in 28-day old male mice was only significantly increased in large airways (>100  $\mu$ m) from animals that had only been exposed *in utero* alone. Controls (N=4); GD = *in utero* only exposure (N=5); PN = postnatal only exposure (N=6); GDPN = both in utero and postnatal exposure (N=5). \* = significantly different from controls (p<0.05)

\*

**GDPN** 

\*

**GDPN** 



#### Figure 4.

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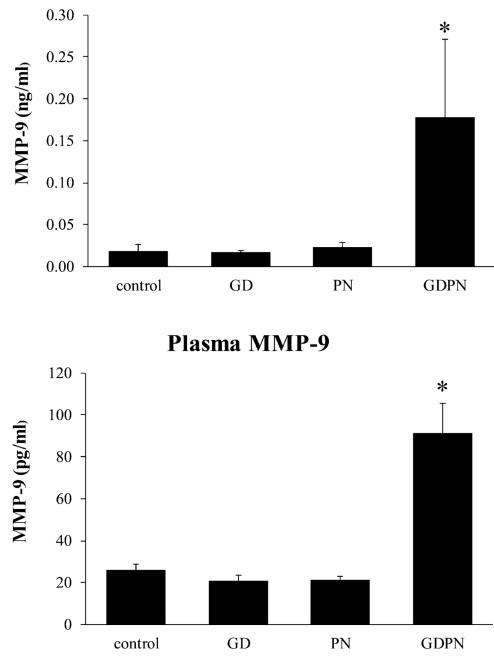
control

TGF $\beta$ 1 protein expression in 28-day old male mice following developmental exposure to Iron King Mine tailing dust. Both lung BALF and plasma TGF $\beta$ 1 levels are significantly increased in the animals that were continuously exposed during development (GDPN). Controls (N=3); GD = *in utero* only exposure (N=3); PN = postnatal only exposure (N=3); GDPN = both *in utero* and postnatal exposure (N=3). \* = significantly different compared to all other groups (p<0.05)

GD

PN

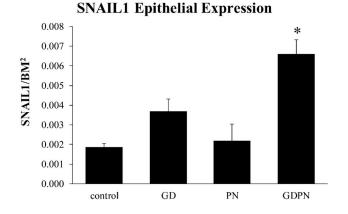
Witten et al.

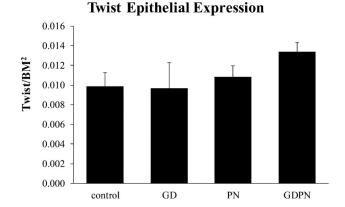


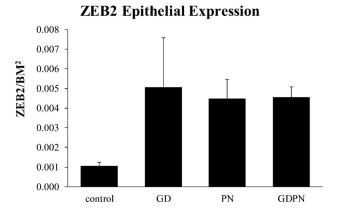
**BALF MMP-9** 

#### Figure 5.

MMP-9 levels in BALF and plasma. MMP-9 was significantly increased in continuously exposed male mice compared to all other groups. Controls (N=3); GD = in utero only exposure (N=3); PN = postnatal only exposure (N=3); GDPN = both *in utero* and postnatal exposure (N=3). \* = significantly different than all other groups (p<0.05)



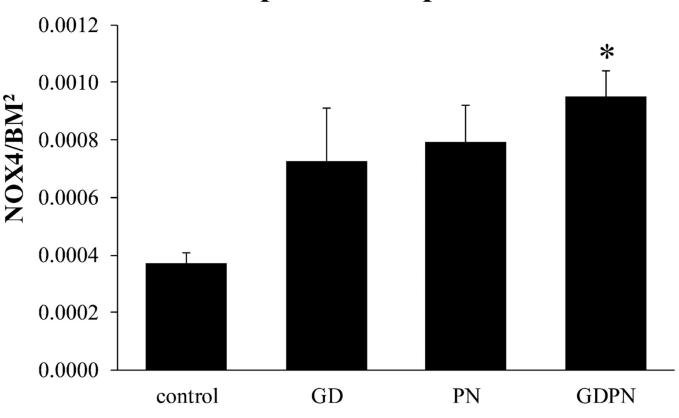




#### Figure 6.

Expression of EMT transcription factors in airway epithelial cells. Continuous developmental (GDPN) exposures caused increased expression of SNAIL1 in male mice compared to all other exposure groups. For SNAIL 1 N=3 for controls, PN and GDPN groups and N=4 for GD group. TWIST and ZEB2 expression were not significantly different from controls. For TWIST, N=3 for all groups. For ZEB2, N=6 for controls, PN and GDPN groups and N=4 for GD group. GD = *in utero* only exposure; PN = postnatal only exposure;

GDPN = both *in utero* and postnatal exposure. \* = significantly different from all other exposure groups. (p<0.05)



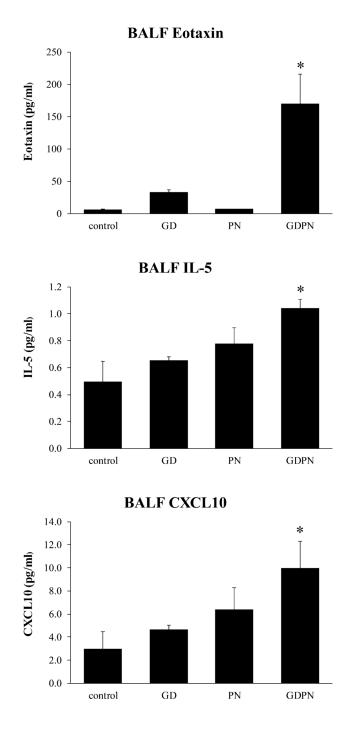
# **NOX4** Epithelial Expression

#### Figure 7.

NOX4 expression in airway epithelial cells. NOX4 was significantly increased versus controls in 28-day old male mice following continuous developmental exposure to IK tailing dust. Controls (N=5); GD = *in utero* only exposure (N=4); PN = postnatal only exposure (N=4); GDPN = both *in utero* and postnatal exposure (N=3). \* = significantly different from controls (p<0.05)

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#### Figure 8.

Cytokine expression in BALF. Expression of eosinophil related cytokines in BALF of 28day old male mice. Continuous *in utero* and early postnatal exposures resulted in significant increased expression of eotaxin, interleukin-5 and CXCL10. For all groups, N=3; GD = *in utero* only exposure; PN = postnatal only exposure; GDPN = both *in utero* and postnatal exposure. \* = significantly different from all other groups (p<0.05)