Therapeutics

FORUM REVIEW ARTICLE

# Targeting Oxidative Stress Using Nanoparticles as a Theranostic Strategy for Cardiovascular Diseases

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# Abstract

**Significance:** Nanomedicine is an application of nanotechnology that provides solutions to unmet medical challenges. The unique features of nanoparticles, such as their small size, modifiable components, and diverse functionality, make them attractive and suitable materials for novel diagnostic, therapeutic, or theranostic applications. Cardiovascular diseases (CVDs) are the major cause of noncommunicable illness in both developing and developed countries. Nanomedicine offers novel theranostic options for the treatment of CVDs.

**Recent Advances:** Many innovative nanoparticles to target reactive oxygen species (ROS) have been developed. In this article, we review the characteristics of nanoparticles that are responsive to ROS, their limitations, and their potential clinical uses. Significant advances made in diagnosis of atherosclerosis and treatment of acute coronary syndrome using nanoparticles are discussed.

Critical Issues: Although there is a tremendous potential for the nanoparticle applications in medicine, their safety should be considered while using in humans. We discuss the challenges that may be encountered with some of the innovative nanoparticles used in CVDs.

**Future Directions:** The unique properties of nanoparticles offer novel diagnostic tool and potential therapeutic strategies. However, nanomedicine is still in its infancy, and further in-depth studies are needed before wide clinical application is achieved. *Antioxid. Redox Signal.* 30, 733–746.

Keywords: nanomedicine, cardiovascular diseases, oxidative stress, theranostics

# Introduction

THE ROLE OF NANOTECHNOLOGY IN various disease con-<br>ditions has been well recognized (60). Nanomedicine is an application of nanotechnology that provides solutions to various medical challenges. Generally, nanomedicine involves the use of nanomaterials to diagnose, treat, and prevent diseases (11). The potential uses of nanotechnology in medicine are vast, including diagnostic tests, novel medical devices, biosensors, bioimaging agents, drug delivery systems (DDSs), and therapeutic drugs. The term ''theranostic'' refers to the dual capacity of a single agent to be both diagnostic and therapeutic (13).

Cardiovascular diseases (CVDs) are the major cause of noncommunicable illness in both developing and developed countries and are responsible for 30% of deaths globally (6).

Despite many advances in diagnostic and therapeutic technologies for CVDs, the overall grim statistics of CVDs has not significantly improved over the last decade and is expected to further increase as the population ages. Thus, additional therapeutic strategies for cardiovascular care are needed.

Overproduction of reactive oxygen species (ROS) has been recognized in many CVDs, such as myocardial infarction and acute coronary syndrome (ACS) (57, 68, 77, 105). Thus, ROS have become a critical target for diagnostics, therapeutics, and theranostics. In this review, we provide an overview of nanoparticles that are responsive to ROS by reviewing the profile of their special features, advantages, and limitations in potential clinical applications. We also provide a literature review of recent developments in nanoparticles that may potentially have roles in clinical applications.

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#### Characterization of Nanoparticles

#### Size advantage

A major advantage of nanoparticles is their small size. Nanoparticles are typically in the 1–100 nm diameter range, although they can be up to  $\sim$  400 nm. Figure 1 provides relative size comparisons of various common items. The small size of nanoparticles allows for an exponential increase in surface area per volume of materials (92, 95). For example, a decrease by a factor of 10 in radius results in greater than a 1020-fold increase in the surface area-to-volume ratio of spherically shaped particles. This enables further modifications and a significant increase in the direct contact area of nanomaterials with target cells or biomaterials. Furthermore, the very small size of nanoparticles may also lead to vascular permeability because they can go through anatomic barriers that macromolecules cannot cross. Particles up to 400 nm may experience some degree of enhanced vascular permeability (92). However, a substance greater than several nanometers can be recognized as ''foreign'' by the body and may be taken up by the reticuloendothelial system (RES), which could significantly lower the half-life.

The specific half-life of nanoparticles in plasma also depends on how the particles are engineered and what surface modifications are present. These characteristics affect the potency of the drug. Some nanoparticles may allow a similar efficacy that is achieved using a lower drug dose, which could result in less toxicity and lower cost.

#### Nanoparticle composition

The composition of nanoparticles is also important. For example, depending on the surface properties (hydrophilic or hydrophobic) of the nanomaterials, the compound that a nanoparticle can carry may be different (81). Surface modification of nanoparticles can affect their circulation in the plasma as well as their ability to penetrate target tissues (92). The shape of the nanomaterial also affects its permeability to anatomic barriers, such as alveolar, hepatic, sinusoidal, and glomerular membranes.

The degree of deformability is another feature that allows vesicles to navigate different compartments in response to various cellular changes. On the contrary, the degree of nondeformability, uniformity, or stability also influences the predictability of particle response. More deformable and thus less stable nanoparticles are generally associated with less predictable responses. The available basic particles are summarized with their special features in Figure 2. Matching these specific and unique characteristics of nanoparticles to respective functions will allow better interactions with cellular molecules in a more predictable manner.

#### Administration strategy

The route of nanoparticle administration is also an important factor. The most common administration route is intravenous injection, but direct administration into the regional blood supply or the tissue itself is also possible. Common considerations when determining the administration strategy include the percentage of cardiac output distribution, the organ-specific control of circulation, and the degree of influence of a specific condition, such as hypoxia (Table 1) (18). Precise timing to target an opportune period may also be a critical factor in the setting of ischemia/reperfusion injury.

If nanoparticles are administered *via* peripheral vessels, they must remain in the plasma to circulate long enough to exert their functions. A commonly used method to increase the half-life of nanoparticles in the plasma is to incorporate polyethylene glycol (PEG), termed PEGylation, in which PEG is combined with the drug to enhance the availability of the drug in the plasma (84, 106, 118). PEG is generally considered a nontoxic compound. Covalent attachment of



FIG. 1. Relative size comparison of common items and nanomaterials. The *shaded area* represents the size range of nanomaterials. RBC, red blood cell. To see this illustration in color, the reader is referred to the web version of this article at www.liebert pub.com/ars



PEG polymer chains has been shown to increase hydrophilicity, decrease renal clearance, reduce immunogenicity, and increase stability of PEGylated particles in the body by ''disguising'' them from being recognized by macrophages.

#### Targeted delivery

 $FIG. 2.$ 

liposome,

depicted structural

Targeting can be either active or passive (20, 41). The characteristics of active and passive targeting are summarized in Figure 3. Targeting applies to the way in which delivery is made, either passively or actively, to the target of interest, such as a specific microenvironment, biological material, or cell surface modifier. This also enables an agent to be multifunctional in therapeutics, diagnostics, or theranostics, depending on the modifications (Fig. 4).

Passive targeting is commonly used in oncology. The effects of tumors on microvasculature increase permeability, which results in preferential nanoparticle accumulation through the enhanced permeability and retention (EPR) effect (20). The EPR effect can also be present in ischemic myocardial tissues and may facilitate delivery of nanoparticles to ischemic areas. Studies in mice have shown that micelles, liposomes, and PEGylated polysaccharide compounds accumulate preferentially in areas of infarcted myocardium and muscles (24, 29, 32, 46).

There is also delayed enhancement in the infarct bed of human hearts as demonstrated by magnetic resonance imaging (MRI), although the findings are not specific to the infarcted area. The most important features of nanoparticles for passive targeting are their size, shape, and surface characteristics. Lipophilicity as well as modifications that alter membrane permeability can also determine the capacity of the nanoparticles to cross through physiological membrane barriers.

Active targeting results from surface modifiers (or affinity ligands), such as ligand/receptor, antibody/antigen, or another form of molecular recognition, to guide particles into the tissue of interest (3, 19, 99). By concentrating a drug in the tissue of interest, nanoparticles with active targeting can potentially reduce the total amount of drug that is needed for comparable efficacy. This should in turn reduce side effects and cost. The biocompatibility of surface modifiers is important to decrease the removal of the nanoparticles through

Table 1. Distribution (%) of Cardiac Output at Rest and Organ-Specific Control of Major Circulatory Beds

Organs	Percent of CO at rest	Degree of control by local metabolites	Influence by hypoxia
Heart		$+ + +$	$+ + +$
	100	$+ + +$	$++++$
Lungs Brain		$++++$	$+ + +$
<b>Muscles</b>	20	$++$ (During exercise)	

From the right ventricle, 100% of the blood volume is delivered to the lungs (unless there is a right to left shunt). From the left ventricle, the percent of blood flow, given as CO, is listed. These blood flows are controlled by the local metabolites and the degree of hypoxia (18). CO, cardiac output.

# **Passive vs. Active Targeting**

# **Passive Targeting**

- Based on size, shape and surface charge of the NPs. Physiologic condition or anatomic barrier could affect the passive targeting
- Delivery by Enhanced Permeability and Retention (EPS) effect in tumor, inflammation, infarct or other insults related to leaky vasculature and anatomic barrier, accumulating the drug and or imaging agent
- Amount of drug/imaging agent needed is generally more
- More potential side effects and toxicity and has higher cost
- Technically less effort to engineer the NPs

# **Active Targeting**

- Based on affinity ligands, ligand receptor, antibody-antigen, or another form of molecular recognition/reaction to bring NPs home to the tissue of interest
- Improved potency expected by more focused and concentrated delivery
- Less potential side effects and toxicity expected
- Less cost of drug/delivery/imaging agent by multifactorial reasons
- Technically more challenging to have NPs stick to and remain functional in order to have successful activity of interest

Optimal, combined approaches, taking advantages in each targeting method to achieve most benefits of best potency and efficacy are ideal.

FIG. 3. Passive versus active targeting. Characteristics of passive and active targeting. Advantages and disadvantages of passive and active targeting are listed. Based on needs, a diagnostic, therapeutic, or theranostic agent can be designed for active or passive targeting to achieve the best possible potency and efficacy (112). NPs, nanoparticles. To see this illustration in color, the reader is referred to the web version of this article at www.liebertpub.com/ars

uptake by the mononuclear phagocytic system (for larger and foreign substances), renal elimination (for smaller particles), or endosomal uptake and degradation.

Once the nanoparticles have reached the tissue of interest, an additional control method to release the payload could be devised. The nature of the bonding between the drug component and the nanoparticle can also be manipulated to fine tune drug release. For example, a molecule can be attached to a nanoparticle by a pH-labile bond to keep the drug bound until it reaches an acidic microenvironment, such as the location of a tumor or an infection (58, 63, 123). In our studies, we have used nanoparticles that were designed to target an excess amount of hydrogen peroxide  $(H_2O_2)$ . These nanoparticles have peroxalate bonds that are cleaved by excessive levels of  $H_2O_2$ . Once these peroxalate bonds are cleaved, there is a release of a potent antioxidant molecule, such as vanillyl alcohol, which has both general antioxidative and anti-inflammatory effects. Thus, these nanoparticles have



FIG. 4. Multifunctional properties of targeted nanoparticles. Nanoparticles can be used as therapeutics, drug delivery systems, or diagnostic bioimaging agents. To see this illustration in color, the reader is referred to the web version of this article at www.liebertpub.com/ars

two-step antioxidative effects at the site of oxidative stress (61, 62, 82). Other targeted systems that are sensitive to specific stimuli, such as temperature, magnetic field, and sheer stress, have also been reported (65, 71, 90).

## Nanoparticle formulations

Biocompatibility. Both biocompatibility and biodegradability are critical factors in clinical applications. Biological polymer-based nanomaterials are usually biocompatible, which make them attractive materials for diagnostic and/or therapeutic applications. They are also usually biodegradable without significant accumulation. For these reasons, polymerbased agents have been used safely in food and drug formulations for many years.

One of the commonly used organic nanoparticles is liposomes, which have been successfully applied clinically, primarily in the establishment of nanoparticle-based DDSs (114, 130). Liposomes are both biocompatible and biodegradable. They can be modified to entrap both hydrophilic and hydrophobic drugs, and they often have higher efficacy and lower toxicity than their nonliposomal analogs. However, they have several limitations. They have low encapsulation efficiency and are associated with fast-burst drug release. They also have limited storage capacity and poor circulation stability. These issues can be partially overcome by surface modifications, such as PEGylation, to decrease their removal by the RES and increase their circulation time (106, 118).

Polymeric formulation. Polymeric nanoparticles have several different shapes and can be engineered through anionic or cationic synthesis (16, 34, 63). They can be organic and can be tuned to release their encapsulated drug in response to different types of local stimuli to exert different functions (Fig. 4). Polymer/drug conjugates can be created to increase drug solubility and prolong circulation time. However, this process is not trivial. It may require chemical modification of existing drugs, which technically creates a new chemical entity that may need new FDA approval even though it was created using an approved drug. These particles can be degraded under stress, similar to liposomes. They can cause hypersensitivity reactions, and the polymers usually need to be synthesized within a certain size range. If the size is too small (<400 Da), the particle may be metabolized to toxic alcohols; if the size is too large  $(>20-60 \text{ kDa})$ , the particle may not be excreted by the kidney and accumulate in the liver.

Other organic nanoparticle subtypes include polymeric micelles, hydrogels, dendrimers, and protein-based nanoparticles. Polymeric micelles are composed of a hydrophilic outer shell and a hydrophobic inner core produced by spontaneous self-organization of amphiphilic polymers (10, 127).

The inner hydrophobic core can serve as a reservoir for water soluble and amphiphilic drugs. The outer hydrophilic shell is where hydrophilic drugs can be incorporated *via* covalent bonding. They are relatively simple to prepare and can be loaded without modifying the encapsulated drug, and thus, the drug release can be controlled. Polymeric micelles tend to be smaller and are able to better incorporate hydrophobic drugs (114, 130). However, inversion is possible when the hydrophilic layer becomes the inner component. They can also break into smaller particles. Hydrogels are three-dimensional polymer networks of hydrophilic monomers that can absorb and retain a large amount of water while maintaining a particular shape (28, 50). Because they can mimic native tissue architecture and functions, they have been used as a matrix for tissue engineering and as drug-delivery vehicles (79, 88, 117). In addition, other nanoparticles can be incorporated into hydrogels to engineer nanocomposites (31, 64). However, it is challenging to maintain a consistent release profile in the setting of constant physiochemical changes.

Inorganic nanoparticles. Inorganic nanoparticles typically include precious metals (gold, silver, palladium, and platinum), superparamagnetic iron oxide (SPIO), zinc, ceramic, and carbon (5, 54, 72). Gold nanoparticles have long been used and extensively studied for applications in cancer therapy.

The advantages of inorganic nanoparticles include their relative inertness, easy synthesis, and well-established properties and principles for surface functionalization. They have been used as imaging agents and DDSs (97, 116). In addition, they can be delivered to a tissue and heated using a laser to provide thermal disruption (12, 30). However, the main disadvantages of inorganic nanoparticles are their high cost and potential toxicity, which have limited their clinical use (43). Platinum-based particles are most commonly used as cancer chemotherapeutic agents, but they have limited use in CVDs (124). Silver nanoparticles may result in vascular dysfunction through potentially maladaptive sensitization of the immune system (2).

Iron-based nanoparticles, such as SPIO nanoparticles, have been extensively studied in cardiovascular research. One of the advantages of SPIOs is that they can be magnetically manipulated. They have been used as imaging agents for various imaging modalities. Iron-based nanoparticles can be functionalized to carry a drug and tuned for drug release. However, their use in humans to diagnose and treat oxidative stress-related conditions has been limited due to potential generation of harmful radicals (Fenton reaction). SPIOs were previously approved by the FDA as a stand-alone MRI contrast agent (ferumoxides, marketed as Feridex/Endorem) for a brief period of time, but they are currently off the clinical market in the United States.

Ceramic nanoparticles are inorganic compounds with porous surfaces (111). Silica nanoparticles have received significant attention due to their biocompatibility as well as their relative ease of synthesis and modification (67, 76, 120). Silica nanoparticles have been used to carry antibiotics and to increase the biocompatibility of other types of nanoparticles. Gold and silica have also been combined to produce gold nanoparticles within a silica shell (39, 40, 83, 101). By varying the thickness of the gold shell relative to the silica core, the nanoparticle properties can be adjusted. These nanoparticles can be designed to either absorb or scatter resonant light, creating useful diagnostic capabilities in optical scattering, optical coherence tomography, and therapeutic photothermal cancer therapy (101, 115). However, despite being biocompatible, they are not biodegradable. Therefore, there is concern that they may accumulate in the body and exert toxicity.

Other nonbiodegradable agents include carbon-based nanoparticles, such as carbon nanotubes, fullerenes, and diamond-based particles. In particular, carbon nanotubes have shown promise as nanocarriers that can transport chemotherapeutics and nucleic acids (66, 129). However, they

# **ROS < Antioxidants**

**Excessive antioxidants** 

- **Cellular signaling defect**
- **Impaired DNA repair**
- Certain type of cancers

**ROS = Antioxidants Balanced homeostasis** 

- Physiologic signaling
- Normal host defense

# **ROS > Antioxidants**

ROS overproduction and/or Lack of adequate antioxidants

- **Oxidative stress**
- Cellular damage and death
- Cardiovascular diseases
- **Chronic Inflammation**
- Neurodegenerative diseases
- **Aging Process**
- Certain type of cancers

FIG. 5. Schematic representation of net ROS balance between ROS generation and the status of the host antioxidant defense system. When there is a balanced homeostasis between ROS and antioxidants, ROS play important roles in health maintenance through appropriate signaling, biosynthesis, and host defense. A net ROS balance that is either too low or too high may result in pathological processes (55). ROS, reactive oxygen species. To see this illustration in color, the reader is referred to the web version of this article at www.liebertpub.com/ars

are not biodegradable and require surface modification for aqueous solubility.

## Nanotechnology in CVDs

The intracellular net ROS  $(e.g., H<sub>2</sub>O<sub>2</sub>)$ , superoxide anion radical  $[O_2^-]$ , OH) level is tightly regulated by cellular defense mechanisms to maintain homeostasis (Fig. 5). The physiological ROS level facilitates cellular signaling and maintains normal host defense. However, overproduction of ROS or lack of antioxidants can cause oxidative stress, which could lead to various pathological conditions (Fig. 6). Superoxide can be generated with or without an enzyme, such as nicotinamide adenine dinucleotide phosphate hydrogen (NADPH) oxidase (NOX). Superoxide conversion to  $H_2O_2$  can also occur independent of enzymatic actions. For example, in a very acidic microenvironment, superoxide is nonenzymatically converted to  $H_2O_2$ . On the contrary, if there is an excessive level of antioxidants, cellular signaling and DNA repair mechanisms can be affected, which could potentially lead to cancer. Figure 7 illustrates common oxidative insults in CVDs and their effects.

## Atherosclerosis

Atherosclerosis is a systemic process that involves accumulation of cholesterol beneath the intima of the arteries, followed by local activation of the immune system, proliferation of vascular smooth muscle cells, and deposition of



FIG. 6. Oxidative stress and biological effects. Oxidative stress causes a number of deleterious effects, such as acidosis, mitochondrial dysfunction, inhibition of NO, DNA damage, and lipid peroxidation. Acidosis results from cellular death and inflammation. These pathological effects of ROS lead to inflammation, apoptosis, and fibrosis (78). NO, nitric oxide; NOX, nicotinamide adenine dinucleotide phosphate hydrogen oxidase. To see this illustration in color, the reader is referred to the web version of this article at www.liebertpub.com/ars



FIG. 7. Oxidative insults in cardiovascular diseases. Atherosclerosis, ischemia/reperfusion, hypertension, and toxins can cause excessive ROS production, such as  $O_2^-$ , rapidly converting to neutral  $H_2O_2$ .  $H_2O_2$  can then permeate the cell membrane freely and cause cellular dysfunction (49).  $H_2O_2$ , hydrogen peroxide; HOCl, hypochlorous acid; LDL, lowdensity lipoprotein; MAPK, mitogen-activated protein kinase; MMP, matrix metalloproteinase;  ${}^{1}O_2$ , singlet oxygen;  $O_2^-$ , superoxide anion radical; ONOO- , peroxynitrite anion; SOD, superoxide dismutase. To see this illustration in color, the reader is referred to the web version of this article at www.liebertpub.com/ars

connective tissues (52, 85, 93). When the atheromatous plaque ruptures, it could lead to thromboembolic ischemia.

There is mounting evidence showing that ROS have a dual role: as signaling messengers in maintaining physiologic vascular homeostasis and as harmful culprits in oxidative stress.  $H_2O_2$  has been shown to be an important signaling messenger in maintaining endothelial function by regulating the activity of signaling proteins, enzymes, and ion channels (47). However, dysfunction occurs when ROS levels exceed the maximum amount that intrinsic defense mechanisms can handle, which may lead to a disease state. In explanted human hearts, the coronary arteries from patients with atherosclerosis had increased expression of NOX proteins, such as gp91phox and NOX4, compared with nonatherosclerotic coronary arteries (102).

There have been investigations to characterize the biological process in CVDs at the cellular and molecular level. The increased number of macrophages expressing CD163 has been demonstrated in atherosclerosis plaques using goldcoated nanoparticles (2, 80, 109, 122). PEGylated nanoparticles also have been developed to image macrophages in atherosclerosis (25, 126). The enzymatic reaction of myeloperoxidase, an enzyme secreted by activated macrophages in the plaque, produces ROS, causing the progression and rupture of the atherosclerotic plaques (53, 96).  $H_2O_2$  also reacts with chloride and myeloperoxidase to form hypochlorous acid (HOCl) that can further react with another molecule of  $H_2O_2$  to produce harmful singlet oxygen ( ${}^{1}O_2$ ) in addition to

the macrophage-mediated ROS production. Gadoliniumlinked gold nanoparticles have been used to quantitate macrophage-rich atherosclerosis plaques using MRI (86). These data demonstrate the feasibility of using ROS imaging techniques to monitor the progression of atherosclerosis during early and late plaque formation in animals. However, translation of these techniques to humans requires further investigation.

#### Acute coronary syndrome

ACS encompasses a spectrum of ischemic heart disease from unstable angina to acute myocardial infarction. ACS is usually caused by the disruption of a plaque. An unstable or ruptured plaque can activate an occlusive thromboembolic event. Myocardial infarction is the result of a complete or subtotal thrombotic occlusion in the artery. Reperfusion of the previously ischemic tissues is accompanied by the generation of a large amount of ROS. This overwhelms the cellular defense system and subsequently damages normal cellular functions, leading to apoptosis or even necrosis of the cells.

In particular,  $H_2O_2$ , the most abundant form of ROS, plays an important role in the process by inducing proinflammatory cytokine release as well as cell apoptosis, causing further tissue damage (70). Overproduction of  $H_2O_2$  exceeding the local antioxidant capacity may result in oxidative damage. Superoxide converts rapidly to  $H_2O_2$  and could have harmful effects and lead to apoptosis and tissue damage. This can be minimized if there is a temporal- or spatial-specific decrease in  $H_2O_2$ . Therefore, focusing on local overproduction of  $H_2O_2$  has tremendous potential as a therapeutic target to halt oxidative stress injury.

Ischemic and reperfusion injuries. Reperfusion injuries are associated with ischemic heart disease (45, 89). Current treatments include medical, endovascular, or surgical revascularization, with the aim of restoring blood supply in the ischemic area. Ischemia initiates a number of damaging cellular events, including acidosis, elevation of intracellular calcium, release of intracellular contents, and production of damaging substances, such as oxygen free radicals (38, 47, 56, 107). Particularly, ROS generation has been implicated as the main cause of reperfusion injuries and cell death. The expression of NOX has been shown to be induced by ischemia and leads to activation of apoptosis in the human heart (9, 128). Thus, by developing targeted nanomaterials that could deliver therapeutic drugs to treat specific cellular events during oxidative stress, we could minimize the tissue damage during reperfusion injury.

Innovative nanoparticle-based approaches have been developed to mitigate reperfusion injury following revascularization procedures to minimize endothelial injury, prevent microvascular injury, and inhibit apoptosis and necrosis of cardiac myocytes (26). The innovative modifications include using targeted nanoparticles to deliver drugs and small interfering RNA (siRNA) to the ischemic area (69).

Our group has designed several  $H_2O_2$ -responsive nanoparticles based on polyoxalate to limit the effects of  $H_2O_2$  in ischemia/reperfusion injury (61, 62, 82). These nanoparticles have peroxalate bonds that are cleaved by an excessive level of  $H_2O_2$ , and thus, they can be used to target excess levels of  $H<sub>2</sub>O<sub>2</sub>$ . Once these peroxalate bonds are cleaved, these nanoparticles break apart and release a potent antioxidant molecule at the site of oxidative stress, which has both antioxidative and anti-inflammatory effects. In addition, overexpression of intracellular superoxide dismutase 1 (SOD1, Cu/Zn SOD) has been found to protect against ischemia in a mouse myocardial infarction model (108). Investigators have subsequently designed nanoparticles carrying SOD1, which can scavenge both intra- and extracellular antioxidants, to decrease myocyte apoptosis and improve postmyocardial infarction cardiac function (94).

Apoptosis is associated with the development of various CVDs. Blocking apoptosis has been demonstrated to prevent cellular damage after oxidative insults (38, 89, 107). Externalization of the cellular protein annexin V is an early step in the apoptotic process. Annexin V is found in the membranes of platelet- and lipid-laden cells of plaques. The annexin-based nanoparticles consisting of iron oxide linked to annexin V and near-infrared fluorophore have been used to image cardiomyocyte apoptosis in animal models of reperfusion injury (14, 103). In addition, annexin V conjugated with very small iron oxide nanoparticles has been shown to effectively detect apoptotic cells (27).

Myocardial infarction and thromboembolic conditions. Nanoparticles can be modified to target specific cell surface markers or biological substances, such as thrombus. This modification technique has been used to target a specific cell surface antigen in cancer therapeutics (3, 17, 22). Similarly, targeting a biological substance, such as a thrombus, will allow accumulation of nanoparticles at the site of thrombus formation. Thrombus formation is the key pathological feature in ACS, deep vein thrombosis, pulmonary embolism, and stroke. Thus, use of nanomaterials to deliver specific antithrombotic agents that can be directed specifically to the area of the acute event could be a new avenue of therapy.

Although therapies with various antithrombotic regimens have been developed to treat these conditions clinically, the nonspecific nature of these therapies has been associated with a number of complications, most commonly bleeding. Studies have shown promising results for targeting nanoparticles that deliver a thrombolytic drug after thrombotic events (1, 48). In addition, determining the specific sites of emboli formation would be helpful to guide therapeutic decisions and to directly improve therapeutic outcomes through a targeted approach. Nanoparticles can also be targeted to a smallcaliber vascular event that may not be amenable to catheterdirected intervention.

The conversion of fibrinogen to fibrin by thrombin is a critical step in thrombus formation (113, 121). Various investigators have used nanoparticles targeting fibrin to image clots in different animal and human models of thrombus formation (51, 75, 110). EP-2104R, an MRI contrast agent designed to detect thrombus by binding to the fibrin, has been showed to effectively detect thrombi with high sensitivity (4, 104). Nanomaterial-based imaging agents that target other components of the blood clotting factors, such as thrombin and factor XIIIA, have been also studied (59, 110). The pentapeptide Cys-Arg-Glu-Lys-Ala has been shown to be specific for the fibrinogen/fibrin complex in a newly formed clot and has been used for bioimaging of active thrombus formation (51).

Platelet activation is another critical step in thrombus formation (21). P-selectin is a cell adhesion protein that is expressed on the surface of activated platelets. The gadoliniumbased P-selectin-targeted paramagnetic nanoparticles have been shown to detect and to localize microthrombi with high sensitivity in dog model of thrombus formation (119). Also, novel ligand-conjugated microparticles of iron oxide to Pselectin have been developed as an MR contrast agent to image thrombi atherosclerotic plaques (74).

In patients treated with thrombolysis for acute stroke, the incidence of intracranial hemorrhage is  $\sim 6\%$  (35). Thus, nanomaterial-based DDS of thrombolytic agents only to the affected area could significantly reduce bleeding complications in acute stroke patients. Targeted thrombolysis using magnetic nanoparticles (MNPs) conjugated to urokinase (UK) showed a decrease in thrombus size compared to saline (7). Also, the thrombolytic activity of the conjugated UK/ MNPs directed by a magnetic field was found to be 5.0-fold more effective than treatment with UK alone and 2.6-fold more effective than UK/MNPs without a magnetic field. Silica-coated MNPs as a nanocarrier of tissue plasminogen activator (tPA) also demonstrated 40% reductions in blood clot lysis time compared with the same drug dosage of free tPA (15).

## Heart failure

Our laboratory has demonstrated that  $H_2O_2$ -targeted nanoparticles can effectively decrease myocardial damage

## TARGETED NANOPARTICLES FOR CARDIOVASCULAR DISEASES 741

caused by doxorubicin in a mouse model of heart failure (82). In addition to preventing myocardial damage caused by doxorubicin administration, nanoparticles have also been designed to target tissues of interest. In such studies, doxorubicin is bound to the nanoparticle core in peripheral circulation and is only released at the tumor site (37, 44, 98). These applications greatly decrease the systemic concentration of doxorubicin and limit myocardial damage. N-acetylcysteine conjugated to nanoparticles has also been investigated in its capacity to attenuate the development of cardiac fibrosis in heart failure in mice (33).

#### Other therapeutic applications in CVDs

Oxidative stress injuries are seen in cardiovascular and other vascular surgeries. Since reperfusion injury during these surgeries is predictable, with well-defined time and duration, suppressing ROS-induced injury using nanoparticles will be a good strategy to limit reperfusion injury during these surgeries. The use of nanoparticles to decrease chronic heart transplant rejection has been investigated (36). Using a chitosan-DNA nanoparticle encoding the major histocompatibility class I antigen molecule of the donors abdominal aorta, the investigators showed improvement in the degree of transplant-associated intimal proliferation in their nanoparticle treatment group compared with the control group.

Another area of study is related to aging and the regeneration potential of nanoparticles. The regenerating potential of the heart is very limited in adults. Animal studies have demonstrated that myocardial delivery of stimulating material can lead to the formation of myocytes and coronary vessels and improve cardiac function after a period of ischemia (100). However, one of the main problems of many early strategies, which delivered either stem cells or proteins to stimulate cardiac stem cells, is the use of local injections, which limits their therapeutic effects.

Using nanoparticles could overcome this issue as they may contribute either as targeted drug delivery vehicles or as intravenously delivered sustained release preparations (*e.g.*, hydrogels) (23, 42). Because hydrogels can mimic a functional extracellular matrix, they represent an implanted construct that facilitates the delivery of nutrients and the formation of tissue and capillaries (73). Hydrogels can also be made into nanogels that are responsive to the redox effect (125), although covalently bound systems consist of stronger bonds than hydrogen bonds and offer more stability.

## Limitations in Clinical Translation of Nanotechnology

The applications of nanotechnology in medicine are still in their infancy. Despite much advancement, translation to clinical use has been slow due to several reasons (87, 91). First, the data obtained through animal research cannot be directly applied to humans. For example, the typical optical and fluorescence techniques used in preclinical studies to image molecular changes cannot be directly applied in clinical imaging.

Second, there is uncertainty about the actual behavior of nanoparticles in response to dynamic physiological and pathological changes *in vivo*. Plasma survivability and the permeability of the nanoparticles in nontargeted organs and tissues need to be investigated. The specific behaviors and mechanisms of developed nanoparticles also need to be elucidated in not only the disease condition but also in the normal physiological state.

Third, there is a need to establish biocompatibility and biodegradability in a systematic way, which has not yet been performed. Establishing criteria or guidelines in nanomedicine research is a complex process because different types of nanostructures have different properties that can be altered by surface moieties.

Fourth, ischemia/reperfusion injury is a vascular event that leads to sequential molecular changes and signaling, including redox reactions associated with oxidative stress that are time sensitive. In addition to targeting relatively stable ROS, timely diagnosis and intervention are a critical factor and can potentially be achieved using theranostic nanoparticles.

Fifth, manufacturing nanoparticles is much more complex than current biopharmaceutical manufacturing. It is challenging to determine a scalable manufacturing system and to standardize drug quality and functionality tests for clinical use.

Sixth, safety is the primary concern for nanomaterial use, particularly for human use (8). Finally, properly acknowledging the intellectual property of nanomedicine will be a challenge because each nanoparticle component, for example, may be the subject of multiple unique patents.

## Future Perspectives

Nanoparticles have many attractive features, including their small size (and thus large surface area per volume), relative ease of modification, and surface components. These features enable the attachment of ligands to create targeted molecules, allowing diverse functionalities, such as theranostic properties. These unique properties make nanoparticles a truly novel entity with vast potential for future therapeutics. Many of the challenges described above require close collaboration among different disciplines, which may not be an easy task. Nanoparticles can be a successful addition to the diagnosis and treatment approaches for CVDs only after rigorous testing and screening to verify their safety and lack of short- and long-term toxicity. Despite these challenges, we remain optimistic about the future of nanomedicine.

#### Conclusion

Nanoparticles represent one of the most important and exciting new developments in modern medicine. Further studies are needed in chemistry, materials science, biology, and medicine, as well as in fusion biotechnology, to further develop the truly unique role of nanomedicine. We are optimistic that nanomedicine will become a valuable contributor in solving the many important unmet needs in medicine.

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# Abbreviations Used  $ACS = acute coronary syndrome$  $CVDs =$  cardiovascular diseases  $DDS = drug$  delivery system  $EPR = enhanced$  permeability and retention  $H_2O_2 =$ hydrogen peroxide  $HOCI = hypochlorous acid$  $MNPs = magnetic$  nanoparticles  $MRI =$  magnetic resonance imaging  $NOX = NADPH$  oxidase  $PEG = polyethylene glycol$  $RES = reticuloendothelial system$  $ROS = reactive$  oxygen species  $SOD =$ superoxide dismutase SOD1, Cu/Zn  $SOD =$  superoxide dismutase 1  $SPIO = superparamagnetic iron oxide$  $tPA = tissue plasminogen activator$  $UK = uvokinase$