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Author manuscript *Chem Rev.* Author manuscript; available in PMC 2019 November 28.

Published in final edited form as: *Chem Rev.* 2018 November 28; 118(22): 10840–11022. doi:10.1021/acs.chemrev.8b00074.

# Synthetic Fe/Cu Complexes: Toward Understanding Heme-Copper Oxidase Structure and Function

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## Abstract

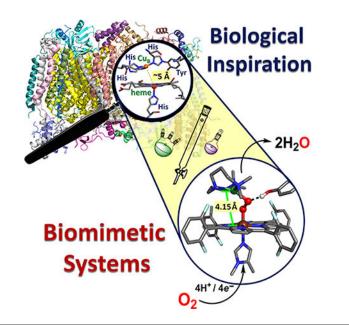
Heme-copper oxidases (HCOs) are terminal enzymes on the mitochondrial or bacterial respiratory electron transport chain, which utilize a unique heterobinuclear active site to catalyze the  $4H^+/4e^-$  reduction of dioxygen to water. This process involves a proton-coupled electron transfer (PCET) from a tyrosine (phenolic) residue and additional redox events coupled to transmembrane proton pumping and ATP synthesis. Given that HCOs are large, complex, membrane-bound enzymes, bioinspired synthetic model chemistry is a promising approach to better understand heme-Cumediated dioxygen reduction, including the details of proton and electron movements. This review encompasses important aspects of heme-O<sub>2</sub> and copper-O<sub>2</sub> (bio)chemistries as they relate to the design and interpretation of small molecule model systems and provides perspectives from fundamental coordination chemistry, which can be applied to the understanding of HCO activity. We focus on recent advancements from studies of heme-Cu models, evaluating experimental and computational results, which highlight important fundamental structure–function relationships. Finally, we provide an outlook for future potential contributions from synthetic inorganic chemistry and discuss their implications with relevance to biological O<sub>2</sub>-reduction.

# **Graphical Abstract**

The authors declare no competing financial interest.

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Since completion of this Review, a number of excellent articles have appeared, which are of relevance to sub-topics described here. An important paper on 2nd sphere hydrogen-bonding for O<sub>2</sub>-reduction by hemes appeared, see ref 1240. A further study on pMMO affirms the hypothesis that the active site consists of a mono-copper center including a "histidine brace" chelate (ref 1241). An excellent perspective article on LPMOs was recently published (ref 1242). The importance of methane and its oxidation to methanol has been highlighted for chemistry occurring in zeolites (refs 1243, 1244, 1245, 1246, 1247, 1248) or in Metal-Organic Frameworks (MOFs) (refs 1249, 1250). Two new CcO X-ray structures (refs 1251, 1252) have been published and new data on a CcO reaction intermediate appears in a recent report (ref 1253).



## 1. INTRODUCTION

#### 1.1. O<sub>2</sub> Activation and Reduction

The diversity of dioxygen chemistry spans all subcategories of chemistry and is the cornerstone of aerobic life. The high oxidizing power stored in the O=O double bond requires redox "activation" in order to be accessed and utilized (i.e., for chemical transformations), as molecular oxygen is readily abundant, yet kinetically inert. Complete reduction of dioxygen to water requires 4 protons and 4 electrons ( $O_2 + 4H^+ + 4e^- \rightarrow 2H_2O$ ), and an electrochemical understanding of these stepwise proton transfers and/or electron transfers is well-characterized in aqueous media (see Figure 1).<sup>1,2</sup>

This oxygen reduction reaction (ORR) is extremely important in biology for cellular respiration and oxidative phosphorylation, but it is also the cathodic reaction in sustainable fuel cells and, therefore, of interest for industrial applications.<sup>3–9</sup> Incomplete reduction of O<sub>2</sub> results in generation of (partially reduced) reactive oxygen species, (P)ROS, which can be toxic or destructive in certain biological systems and manifest in decreased efficiencies for fuel cells. Therefore, researchers have focused on developing systems to control the reactivity of dioxygen in order to better understand the favorable conditions which lead to complete, selective O2-reduction to water. Since stepwise reduction in this manner involves consecutive proton-coupled electron transfer (PCET) reactions (see Figure 1), a detailed understanding of mechanism in natural systems as well as synthetic model systems is desirable (i.e., how protons and electrons move, together or sequentially, and their relative rates and affinities).<sup>10-14</sup> In heme-copper oxidases (HCOs), a diverse superfamily of integral membrane proteins which use a binuclear center (BNC) (Figure 2) to activate and reduce dioxygen to water, another layer of complexity stems from the coupling of the proton and electron movements associated with O2-reduction to additional transmembrane proton translocations (vide infra).

#### 1.2. Dioxygen Activation and Reduction in Biology by Metalloenzymes

Partial and complete reduction of dioxygen is carried out in biological systems by a variety of metalloenzymes in which redox-active transition metal ions including iron, copper, and manganese adopt diverse coordination/ligand environments and, at times, cooperate with redox-active amino acid residues, allowing them to activate O<sub>2</sub> (Figure 3). Despite the aqueous chemistry of dioxygen reduction being quite well-characterized, what is known about (i) how dioxygen interacts with metals, or (ii) how the protonation and/or redox chemistry of dioxygen is effected when bound in different ways to various metal ions, is very limited. Relevant to the subject reviewed herein, that is to say, the thermodynamic reduction potential of an O2-derived fragment (e.g., a peroxide group) bound to a metal ion or a bimetallic center, is certainly different from that of the free O<sub>2</sub>-derived fragment in water. Likewise, the basicity of a superoxide  $(O_2^{\bullet-})$  or peroxide  $(O_2^{2-})$  group bound to positively charged metal ions (however, as expressed by the pKa value of the metal-bound conjugate acids, M-(<sup>•</sup>O<sub>2</sub>H) or M-(<sup>-</sup>O<sub>2</sub>H), respectively) undoubtedly varies from the aqueous analog, and such factors substantially affect the conditions under which O-O reductive cleavage occurs, to eventually yield water. This is evidenced by the large structural variety of known metal-oxy adducts and other protein interactions which give rise to unique functionalities among metalloenzymes. Thus, for example, in cytochrome P-450 monooxygenases, the exact reduction potential and basicity of the iron(III)-hydroperoxide [i.e., Fe<sup>III</sup>(OOH) intermediate] must be understood and critically controlled so that protonation and O-O reductive cleavage and elimination of water (as an excellent leaving group) will correctly lead to the high-valent active oxygenating species Cmpd I (Figure 4A and also see section 2). The model systems which are the focus of this review are inspired by a class of enzymes which use a heme-Cu binuclear center to effect O<sub>2</sub>-reduction, although both heme-only and Cu-only enzymes are known to be active in O2-chemistry (Figure 3, Figure 4, see also section 2 and 3, respectively).<sup>15–19</sup> A comprehensive understanding of heme-copper oxidase biochemistry therefore benefits from, and builds upon, the parallel O2reduction chemistries of heme-only and Cu-only systems (Figure 3). For example, cytochrome P450s are a widely studied class of monooxygenases which contain a heme in their active site and catalyze two-electron reduction of  $O_2$  via a high-valent (P<sup>+•</sup>)·Fe<sup>IV</sup>=O, coupled to substrate oxygenation (oxygen atom incorporation, formally) (Figure 3, Figure 4A, and section 2). Multicopper oxidases can also catalyze 4-electron reduction of O<sub>2</sub> (in some cases, also with substrate oxygenation) by utilizing proximal Cu ions and an array of terminal and bridging  $[Cu]_n$ -O<sub>2</sub> (n = 1, 2) adducts (Figure 3, Figure 4B, and section 3). Many other heme- or copper-containing enzymes are involved in the chemistry of partial O<sub>2</sub>reduction or ROS regulation (see Figure 3); however, perhaps the best examples we have from biology to study the complete  $O_2$  reduction reaction are HCOs (Figure 4C). Nature has evolved an electron transport chain in aerobic organisms (both prokaryotic and eukaryotic) in which multiple systems, including the terminal HCOs, work synchronously to efficiently reduce dioxygen while harnessing its thermodynamic potential energy as chemical energy to drive transmembrane proton pumping.<sup>20</sup> Indeed, far-reaching research efforts over many decades have elucidated a great deal of the biochemistry and bioenergetics of HCOs. However, direct studies of heme-copper-oxidases are extremely complicated, especially with respect to the metal ion cofactors (including the heme-copper center where dioxygen binds and is reduced), as they are such large proteins which are embedded within a membrane and

contain multiple spectroscopically active moieties. HCOs are therefore attractive targets for model chemistry, and detailed fundamental insights can be gained from systematic studies of heme- and copper-containing models as we describe below (see section 5 for details).

#### 1.3. Synthetic Modeling Approach to Understanding O<sub>2</sub>-Reduction by HCOs

Scientific modeling can be viewed as a chemist's solution to a biologist's problem. Certain questions regarding catalytic mechanisms and fundamental active site structure–function relationships within systems such as HCOs can likely best be or perhaps only be answered via synthetic model chemistry.<sup>21,22</sup> Indeed, large-scale cooperative effects such as allostery and conducting channels (for moving protons, electrons, water, substrates, etc. over relatively long distances) have important roles in multifunctional enzymes such as HCOs; however, when considering the transfer of understanding to designing functional catalytic systems for industrial purposes such as fuel cells, one must identify the specific factors and conditions which lead to selective and efficient O–O bond cleavage by the local environment of the BNC. Relevant and critical aspects include knowledge of (i) O<sub>2</sub>-binding modes for O<sub>2</sub> and its reduced derivatives (e.g., superoxo, peroxo, or oxo), (ii) protonation states of different intermediate species, and (iii) the timing and ordering of electron-transfer (ET) and proton-transfer (PT) events.

These details can be sought and probed systematically using active site models of varying complexity, and indeed the evolution of HCO model compounds has shown that there are advantages of studying both simple and elaborate systems, including small redesigned proteins (see section 5). $^{23-26}$  As will be described, the essence of this approach is based on mimicry of one or multiple characteristics of the active site and evaluation of their resultant reactivities. Insights gained from heme-only and Cu-only model complexes importantly guide the design of heme-and-copper-containing models such that modifications to heme or copper ion environments (coordination number and geometry, ligand donating ability and denticity, sterics, and H-bonding factors) in these systems can be comprehensively understood in relation to O<sub>2</sub>-activation and reduction by the BNC.<sup>22</sup> Additionally, synthetic inorganic models allow access and detailed study of inferred, yet unobserved, catalytic/ reactive intermediates and give researchers control over substrate addition and properties  $[pK_a (H^+) and E^0 (e^-)]$ . Often, models can take advantage of conditions which some would consider to be irrelevant for enzyme functional mimicry (such as the use of organic solvents, <sup>27</sup> low temperatures, or unnatural pH), in order to eliminate side reactions, solubilize metalligand complexes, stabilize reactive intermediates, and/or control H-bonding interactions. Recent advances in theoretical techniques and their application have led to extremely valuable contributions which supplement scientific modeling in general and relate experimental results obtained in model systems back to the understanding of HCOs. Overall, the rigorous (physical and electronic) structural characterization of HCO models, their reactivities with O<sub>2</sub>, and parallel theoretical investigations, have provided significant insight into structure-function relationships related to O<sub>2</sub>-activation and reduction chemistry by HCOs, yet it is the collaboration between enzyme studies, and these synthetic models and computations, which expands our understanding and inspires design of new systems.

# 2. HEME/DIOXYGEN INTERACTIONS: FROM BIOLOGY TO MODEL SYSTEMS

#### 2.1. Hemoproteins: Structure, Function, and Mechanism

As they are ubiquitous in physiological systems within a large range of organisms, heme proteins carry out numerous pivotal functions such as gas transportation, substrate oxidation and oxygenation, and biomolecule degradation. A listing of heme-only-containing proteins and their functions is given in Table 1. It is fascinating how these protein active sites are evolutionarily fine-tuned to be suited to particular organisms and for precise function toward particular substrates, mainly by modifying the identity of their axial (i.e., proximal) ligand, the details of the amino acid composition, and/or local structure within the distal binding pocket.<sup>28,29</sup>

The central paradigm of dioxygen binding and activation by hemoproteins follows a distinctive panel of intermediates, as the stepwise reduction of O<sub>2</sub> occurs via O-O reductive cleavage, concomitant with the oxidation of the heme-iron moiety. A diagram depicting the generalized landscape of heme proteins with their variations, relationships, and interconversions between these key intermediates is summarized in Figure 5. Critically important realizations come from comparison of the discrete steps and partially reduced oxygen species (PROS) produced during (i) aqueous four-electron four-proton  $O_2$  reduction (see Figure 1, top) with (ii) the analogous steps and species where these partially reduced  $O_2$ derivatives are heme-bound (see Figure 4), and (iii) these same or very similar metal-bound PROS within heme enzymes wherein the identity of the proximal axial ligand is critical to function, as outlined in Figure 5. As will be noted in part in further discussions, all aspects of practical O<sub>2</sub>-utilization, that is O<sub>2</sub> reduction in fuel cells, O<sub>2</sub>-activation for organic substrate oxidation, and water oxidation to produce dioxygen, will at a fundamental level require a complete elucidation of our understanding concerning redox potentials and pKa's for metal-bound O<sub>2</sub>-reduced and partially protonated species and their relationship to structure and reactivity.

Dioxygen transportation and storage proteins such as hemoglobin and myoglobin, respectively, possess histidine axial ligands, which lack strong electron-donating effects as in cysteinate or tyrosinate axial bases present in dioxygen-activating heme proteins such as cytochrome P450 mono-oxygenases or catalase. Furthermore, the polarity of the distal binding pocket for the latter are much greater, as compared to those of the former due to the presence of amino acid residues with much more polar side chains that aid in stabilizing charge accumulated intermediates. In fact, it has been observed that the replacement of the histidine axial base of dioxygen carrier/storage proteins with cysteinate, which is the proximal residue within dioxygen activating P450 monooxygenases, switches the protein  $O_2$ -processing from reversible dioxygen binding to  $O_2$ -activation via further dioxygen reduction, giving rise to oxidizing high-valent intermediates (Figure 9).<sup>39</sup> The collective effect of these properties results in the evolution of heme local environments with the well-known "push-pull" machinery, which facilitates the O–O bond cleavage step (*vide infra*). On the other hand, the main oxidizing species for most heme degradation enzymes (i.e., heme oxygenases) is thought to be a (P)Fe<sup>III</sup>–OOH moiety, which effects the conversion of heme

to biliverdin (a powerful physiological antioxidant) and carbon monoxide (a neurotransmitter) along with the release of Fe<sup>II</sup> for its future utilization. This is to say that biological evolution has led to a (P)Fe<sup>III</sup>–OOH intermediate which does not self-destroy the porphyrinate moiety, but rather further reductive O–O cleavage occurs to give Cmpd I or II in catalases, peroxidases, and P-450 enzymes. Irregularities in this finetuning of active site chemistry may give rise to undesired heme degradation processes potentially leading to organism disease states. Structural and mechanistic details pertaining to these multifunctional heme enzymes have been meticulously reviewed elsewhere,<sup>17,40–43</sup> and we herein mainly describe the basic differences in some of their structures and mechanisms, with the main emphasis being on properties of the key intermediates involved. The identification and understanding already in place for these long-studied enzymes which affect O<sub>2</sub>-binding, and subsequent oxidase and/or oxygenase function, will likely be relevant to O<sub>2</sub>-activation/reduction in HCOs and thus of interest for this review article.

Upon initial binding of dioxygen to the  $(P)Fe^{II}$  (P = porphyrinate ligand supporting the iron center) center in the reduced state of the active site, a heme-iron(III)-superoxo [(P)Fe<sup>III</sup>-O<sub>2</sub><sup>•-</sup>] species forms via single electron transfer from the Fe<sup>II</sup> center to the ligated dioxygen moiety. This is widely referred to in the biological literature as the "oxy-form", especially in the dioxygen carrier and storage proteins hemoglobin and myoglobin. These proteins contain heme in the form of protoporphyrin IX (Figure 6) and carry out reversible dioxygen association/dissociation cycles [i.e., mediate the reversible equilibrium (P)Fe<sup>II</sup> +  $O_2 \rightleftharpoons$  $(P)Fe^{III}-O_2^{\bullet-}$  without further activation of the bound dioxygen moiety. The most suitable electronic structure description of these oxy compounds has been under severe debate over a period of many decades, and the essential details of the current understanding best describes this intermediate as a (P)Fe<sup>III</sup>-O<sub>2</sub><sup> $\bullet-$ </sup> species (and see section 2.2.2). In contrast to these dioxygen transport proteins, dioxygen-activating enzymes take things much further. That is, further reduction gives the two-electron reduced dioxygen-ligated species, an (P)iron(III)peroxo [(P)Fe<sup>III</sup>–O<sub>2</sub><sup>2-</sup>] or hydroperoxo species [(P)Fe<sup>III</sup>– OOH] if or when protonated. This is also known as Compound 0 (Cmpd 0) and may actually exist as an Fe<sup>III</sup>- (H<sub>2</sub>O<sub>2</sub>) adduct, which is what first forms in peroxidases via resting state heme-Fe<sup>III</sup> + H<sub>2</sub>O<sub>2</sub> chemistry (*vide* infra). These (P)Fe<sup>III</sup>–OOH adducts are critical intermediates in biology; while sometimes serving as the oxidant which attacks substrates (vide infra), they serve as pivotal precursors leading to the generation of much more reactive iron high-valent oxidants that can oxidize or oxygenate quite unreactive hydrocarbon substrates.<sup>44</sup> The homolytic or heterolytic nature of the O–O bond cleavage step gives rise to either (P)Fe<sup>IV</sup>= O [also known as Compound II (Cmpd II)] or (P<sup>++</sup>)Fe<sup>IV</sup>=O [also known as Compound I (Cmpd I)], respectively (vide infra).

The O–O reductive cleavage step is critical, and it has been the subject of many decades of critical interrogation in P-450 and peroxidase enzymes, as well as synthetic model systems.  $^{41,45-53}$  Still, there are aspects that require further elucidation. (P)Fe<sup>III</sup>–OOH + proton to give (P<sup>++</sup>)Fe<sup>IV</sup>=O, Cmpd I species, represents a two-electron transfer to hydroperoxide, thus the initial dioxygen molecule has been fully reduced by four-electrons, producing one molecule of water and an oxo (oxide; O<sup>2–</sup> formally) atom bound to a highly oxidized heme. In HCOs, the homolytic cleavage of a putative (P)Fe<sup>III</sup>–OOH…Cu<sup>II</sup> intermediate takes place to give a detectable Cmpd II intermediate, (P)Fe<sup>IV</sup>=O, formally also with a fully reduced oxo (O<sup>2–</sup>) ligand; formally a hydroxyl radical has been produced by the homolytic cleavage

reaction but is stabilized by further reduction. Further discussion of these matters is given below.

#### 2.1.1. Dioxygen Carrier and Storage Proteins: Hemoglobin and Myoglobin.—

In aerobic organisms, dioxygen transportation and storage are carried out by two wellcharacterized hemoproteins, namely hemoglobin (Hb) and myoglobin (Mb), respectively. These globin proteins are highly efficient in dioxygen binding under physiological conditions, while the protein structures generally prevent further reduction of the bound dioxygen moiety leading to the release of deleterious reactive oxygen species. These hemoproteins have been a main focus of biochemical research for over 150 years, during which, they have been successfully utilized for the initial identification and characterization of heme cofactors within biological systems in the 1850s, to obtaining some of the first Xray crystallographically characterized protein structures back in the 1950s.<sup>29,54,55</sup>

Myoglobin is a monomeric globin protein, with a single dioxygen binding protoporphyrin IX unit. In contrast, the tetrameric hemoglobin contains four Mb-like subunits, with a full binding capacity of four equivalents of dioxygen per molecule. The dioxygen binding in Hb is known to be cooperative, where the affinity is dependent on other external factors such as the partial pressure of O<sub>2(g)</sub> and pH.56 These subtle differences in affinity patterns are primarily responsible for the smooth exchange of dioxygen between Hb and Mb, where the former delivers dioxygen to muscle tissues where it is transferred to the latter over an affinity gradient for storage and future use. The dioxygen binding sites of Hb and Mb are very similar, and in the deoxy form (where dioxygen is not bound), a pentacoordinate highspin (S = 2) ferrous center with an axially coordinated proximal histidine side-chain structure is observed. Upon dioxygen binding, an in-plane movement of the iron center is displayed, along with the shortening of all Fe-N distances, and the spin state of the Fe<sup>III</sup> center changing to low-spin (S = 1/2) (Figure 6).<sup>57–61</sup> These fine structural modifications about the dioxygen binding heme center are transmitted to the rest of the protein by means of conformational changes throughout the protein matrix, leading to the cooperative dioxygen binding mechanism in Hb even though the distance between any two heme centers is over 25 Å. A sigmoidal (or "S-shaped") curve is observed in a plot of Hb protein saturation with molecular oxygen as a function of the partial pressure of dioxygen, indicating that the more O<sub>2</sub> that is bound to Hb, the easier it is for even more dioxygen to ligate, until all binding sites are saturated.<sup>56,62,63</sup> The dioxygen affinity of these hemoproteins is also regulated by noncovalent interactions between amino acid side chains and bound dioxygen moieties. Such interactions have long been proposed<sup>64</sup> but were definitively proved by means of spectroscopic, structural, and theoretical interrogations.<sup>65–76</sup> This work revealed that oxy-forms of these hemoproteins contain an in-plane iron center within the heme unit with an end-on ligated dioxygen ligand that is hydrogen-bonded to a critical histidine (His) residue within the distal binding pocket. This latter interaction greatly enhances the O<sub>2</sub>-affinity for deoxy-Hb and Mb, relative to what it would be without this interaction.

Carbon monoxide  $(CO(_g))$  acts as a common inhibitor of these hemoproteins, and it inherently possesses (in a nonprotein environment) much higher affinities to bind to heme centers than does dioxygen.  $CO_{(g)}$  is a known byproduct of biological heme degradation

(*vide infra*). However, it is known that the globin protein matrix<sup>77,78</sup> and the axially coordinated amino acids play major roles in decreasing the  $CO_{(g)}$  affinity of Mb and Hb, such as by not allowing the optimal bonding which would involve a linear Fe-C-O CO-coordination due to the presence of well-placed distal histidine and valine residues.<sup>79,80</sup> Thus, nature has evolved these heme O<sub>2</sub>-carriers to alter the intrinsic metal–ligand binding properties, enhancing Fe–O<sub>2</sub> interactions (via the distal His H-bond) and to diminish the CO to iron(II) binding; all this being necessary in spite of the overwhelming larger amount of O<sub>2</sub> versus CO normally present in the atmosphere.

The stability of the oxy-heme species is also of great importance for the proper functioning of these hemoproteins; however, a minor portion of Hb in most organisms (e.g.,  $\sim 1 - 2\%$  of Hb in humans)<sup>81,82</sup> exists in oxidized ferric form lacking coordinated dioxygen; this protein form is known as met-Hb. For synthetic porphyrinates, the occurrence of this "autooxidation" reaction [formally the deoxy-heme iron(II) is oxidized to iron(III)] may take place via dimerization pathways (see section 2.2); however, such processes are disfavored within biological active sites due to the surrounding steric bulk of the protein matrix. Proposals for how/why this occurs within such systems include the suggestion of a "proton relay" mechanism facilitated by the distal histidine residue, followed by an S<sub>N</sub>2-type replacement of the coordinated superoxide by anionic nucleophiles (such as SCN<sup>-</sup>, N<sub>3</sub><sup>-</sup>, CN <sup>-</sup>, F<sup>-</sup>, and Cl<sup>-</sup>).<sup>83,84</sup>

Although oxy-heme compounds, both biological and synthetic, have been some of the most extensively studied species in bioinorganic chemistry,<sup>85</sup> the precise electronic structure description of the heme-Fe-O2 moiety remains as a point of discussion and research. In pioneering work, Pauling and Coryell reported the diamagnetism of carbonmonoxy-and oxy-Hb, even though deoxy-Hb was observed to exhibit paramagnetic behavior indicating the presence of unpaired electrons.<sup>86</sup> These researchers' seminal work suggested that the electronic structure of oxy-Hb is best described as a Fe<sup>II</sup>-O<sub>2</sub> adduct (with the electronic structure of the bound dioxygen moiety undergoing major modifications in conjunction with that of the hemoglobin binding site, where two resonance forms, Fe=O–O  $\rightleftharpoons$  Fe–O=O, were introduced); here, complete electron transfer from dioxygen to iron has not occurred, meaning that the coordinated dioxygen moiety was redox innocent (Figure 7). However, dioxygen ligands are well-known to be noninnocent upon metal ligation, which led to the iron(III)-superoxo, Fe<sup>III</sup>-O<sub>2</sub>•-, model of J. J. Weiss first formulated in 1964.<sup>87</sup> Here, the observed diamagnetism of oxy- Hb is explained by the antiferromagnetic coupling between the unpaired spin on the coordinated superoxo ligand (S = 1/2) and that on the low-spin Fe<sup>III</sup> center (S = 1/2) to result in an overall spin of S = 0 (Figure 7).

In addition, the "ozone model" of McClure, Harcourt, and Goddard describes the oxy-form electronic structure as an antiferromagnetically coupled intermediate spin ferrous center (S = 1) and a triplet dioxygen ligand (S = 1) (Figure 7).<sup>88–91</sup> This formulation recently gathered further support through a combination of variable temperature X-ray spectroscopic techniques and computations performed on a variety of Fe– O<sub>2</sub> adducts including those of Hb, Mb, and several synthetic heme and nonheme iron complexes.<sup>92</sup> In this way, the authors probed the molecular and electronic structure concomitantly with a comprehensive Fe–O<sub>2</sub> sample set and deduced that the effective unpaired electron density on the Fe–O=O fragment

(with 2 unpaired spins on intermediate spin ferrous iron center) aligned closely with that of the ozone radical  $(O_3^+)$ .<sup>92</sup> These results are presented so as to give a description of this intermediate in the context of the three models shown in Figure 7 and to clarify the nature of O<sub>2</sub> binding to Fe relevant to understanding of protein environments and their synthetic models. Importantly, the authors also pointed out that care must be taken when making conclusions based on bond lengths and angles, as, for example, radiation from high-energy X-ray techniques can potentially induce changes in these parameters.<sup>93</sup> An unequivocal description of the electronic structure of oxy-Hb/Mb is said to be hindered due to the highly covalent nature of the supporting porphyrinate ligand system, along within complications in accurate probing of the hydrogen-bonding interactions within the distal binding pocket, using spectroscopic and computational methods.<sup>94</sup> Intriguingly, recent comprehensive spectroscopic and theoretical studies by Sarangi and co-workers<sup>95</sup> reveal that the solutionstate structure of oxy-Hb favor the Weiss model (Fe<sup>III</sup>-O<sub>2</sub><sup>•-</sup>), while the solid-state crystalline oxy-Hb is more consistent with the Pauling model (Fe<sup>II</sup>-O<sub>2</sub>). Thus, it was concluded that the dioxygen binding in Hb and Mb does not simply follow either of these proposed models but is a complicated representation of a combination of those proposals with subtleties involved and multiple external factors affecting covalency.<sup>92</sup> Finally, even though the current understanding of oxy-heme intermediates is incapable of presenting a simple explanation for its electronic structure, notable recent work has exhibited the necessity of electron transfer from the iron center to the dioxygen moiety in order to form oxy-heme-type intermediates, emphasizing the unambiguous validity of the Weiss model.<sup>66,85,96–98</sup>

2.1.2. Heme Enzymes with Oxygenase Activity.—This class of heme enzymes performs extremely critical biochemical roles in a large variety of both prokaryotic and eukaryotic organisms.<sup>40</sup> However, enzymes with oxygenase activity were only discovered in 1955 by the remarkable independent work of both Havaishi<sup>99</sup> (heme protein, tryptophan 2.3dioxygenase) and Mason<sup>100</sup> (copper protein, tyrosinase). They demonstrated that unlike heme-containing oxidases mainly known at the time for carrying out terminal electron acceptor roles using dioxygen (vide infra), these enzymes are capable of facilitating the efficient incorporation of either one or both oxygen atoms of dioxygen into organic substrates following dioxygen activation. Later on, Hayaishi named this class of enzymes as "oxygenases",<sup>101</sup> which are then subcategorized into mono- and dioxygenases depending on the stoichiometry of oxygen incorporation into the substrate. A majority of heme- containing oxygenases contain protoporphyrin IX as the heme prosthetic group that coordinate varying axial ligands within different enzymes, as outlined by the presentation in Figure 5. In this review, we present a brief summary and focus on the active-site structure and mechanism of action of cyt P450s, heme-oxygenases (HOs), and the dioxygenases TDO and IDO. The heme-dioxygen chemistry occurring in these enzymes well illustrates the nature of and interconversion of intermediates such as those shown in Figure 5 to help us toward a better understand of HCOs, which also possess a copper ion proximal to the heme center.

**2.1.2.1.** Cytochrome P450 (Cyt P450).: These widespread oxygenases are certainly one of the most comprehensively studied classes of metalloenzymes overall, emphasizing the large array of substrate oxidations they mediate, most of which are crucial for aerobic life. Notable ones include hydrocarbon (and aromatic) hydroxylation, olefin (and arene)

epoxidation, alkyne oxygenation to carboxylic acids, N-/S-/O- dealkylation reactions, alcohol and aldehyde oxidations, and nitric oxide reduction.<sup>40,41</sup> Markedly, a subclass of P450 enzymes known as aromatases also catalyze several physiological interconversions that are of crucial significance for the production of sex hormones<sup>40</sup> (e.g., progesterone to androstenedione, <sup>102,103</sup> androstenedione to estrogen, <sup>104–108</sup> and pregnenolone to dehydroepiandrostenedione<sup>102,103</sup>), including those in humans. One of the most extensively studied P450s is P450<sub>cam</sub>, <sup>17,109</sup> which catalyzes the regio- and stereospecific hydroxylation of camphor to 5-exohydroxycamphor in the first step of camphor oxidation. Within humans, the most notable transformation that P450s catalyze is xenobiotic degradation within the liver. P450s may also exert deleterious effects on important biomolecules such as DNA, where they could epoxidize critical functional groups utilizing their superior oxidative power.<sup>17</sup>

Both membrane-bound (usually mitochondrial) and soluble forms of P450s have been identified to-date, and often the overall structure of P450s exhibit higher flexibility compared to most other hemoproteins, because of the constant flux of reactants, substrates, and their products to and from the active site. Furthermore, P450s work closely with various flavin and/or iron/sulfur proteins for the supplementation of electrons during dioxygen reduction.<sup>110</sup> The resting state of Cyt P450 contains a low-spin six coordinate ferric center with an axially coordinated thiolate group of a cysteine residue on the proximal side (Figure 8), along with a ligated water molecule at the axial distal site. The coordinated proximal thiolate residue is hydrogen-bonded to several other amino acid side chains, and these interactions have been identified as key features for fine-tuning the redox potential of the heme center.<sup>111–113</sup> Generally in the resting state, the Fe<sup>III</sup>/Fe<sup>II</sup> reduction potential lies between approximately -400 and -170 mV, and thus is inactive to reduction.<sup>41</sup> However, upon binding of substrates which are usually hydrophobic, the water molecules (including the water molecule axially ligated to Fe<sup>III</sup>) within the binding pocket are displaced.<sup>114,115</sup> This entropically favorable process triggers the immediate switch of the low-spin sixcoordinate (6C) Fe<sup>III</sup> center to a high-spin five-coordinate state, dramatically elevating its reduction potential by ~400 mV. Now, the electron transfer becomes energetically favorable, resulting in the facile reduction of the five-coordinate Fe<sup>III</sup> center to Fe<sup>II</sup>. This mechanism of generating Fe<sup>II</sup> prevents spontaneous reduction of the resting state by cellular reducing agents such as NADPH, thereby thwarting the release of partially reduced, cytotoxic-reactive oxygen species.<sup>17</sup>

The Fe<sup>II</sup> center readily binds dioxygen, giving rise to the initial heme-oxy intermediate, which has been characterized both by spectroscopic<sup>116–119</sup> and structural methods,<sup>120</sup> revealing its close reminiscence to those of Hb and Mb. Interestingly, the distal O atom of the superoxo moiety is stabilized by several hydrogen-bonding interactions, which are mediated by two new water molecules that enter the cavity.<sup>41</sup> The ferric-bound superoxo moiety then triggers the second electron transfer generating an end-on bound iron(III)-peroxo, Fe<sup>III</sup>-O<sub>2</sub><sup>2–</sup> adduct (Figure 9), this conclusion being based on computational studies and detection via cryoenzymology studies of Davydov and Hoffman.<sup>121</sup> A synthetic model compound of such a species was generated and characterized by Naruta and co-workers (see below).<sup>122</sup> This species is exceedingly basic (based on computations<sup>42</sup> and from model compound investigations<sup>122,123</sup>), in great part due to the presence of the highly donating

axial cysteinate ligand. This end-on peroxo species is then rapidly protonated to generate the low-spin 6C Fe<sup>III</sup>-OOH intermediate, a Cmpd 0 analog of that known for peroxidases (vide infra) (Figure 16). The Fe-OOH moiety is now poised for heterolytic O-O bond cleavage, due to the presence of what is known as "push-pull" machinery (i.e., strong proximal thiolate donation and hydrogen-bonding by neighboring threonine (Thr252) and aspartate (Asp251) residues) (with the aid of a critically important water molecule) on the distal OH of the Fe-OOH moiety. The overall effects are strengthening of the Fe-O and O-H bonds while weakening the peroxidic O–O bond.<sup>40,124</sup> Following another net proton transfer to the hydroperoxo distal O atom, the O–O bond is heterolytically cleaved producing the highly oxidizing Cmpd I intermediate (Figure 9). Due to its superior oxidative power, it, until recently, has only been a fleeting intermediate in P450 studies. The elegant research by Green and co-workers<sup>125–127</sup> has led to the high yield generation of a Cmpd I enzyme intermediate from a thermophilic bacterium (CYP119) by addition of *m*- chloroperbenzoic acid (m-CPBA) to ferric CYP119. These studies have given credence to the long-standing mechanistic proposal describing Cmpd I as being catalytically competent toward hydroxylation of hydrocarbon substrates. Intriguingly, however, both Cmpd i<sup>108,128,129</sup> and the ferric peroxo intermediate of P450 (5 in Figure 9)<sup>102-105,130</sup> have been proposed as catalytically active metal oxidants for the aldehyde deformylation step ("lyase" step) involved in aromatase activity. Extremely important further insights are required pertaining to thermodynamics of the transition from Cmpd I to the next species in the catalytic cycle, formally a protonated Cmpd II. The proximal cysteinate donor makes the oxo atom of the Cmpd II species extremely basic, requiring that Cmpd I not be as strong a one-electron oxidant.

Although formally Fe<sup>V</sup>=O, the electronic structure of Cmpd I is best described as a Fe(IV) center ferromagnetically coupled with a ligand-based  $\pi$ -cation radical [(P<sup>+</sup>)Fe<sup>IV</sup>=O] and has been reviewed elsewhere.<sup>133–135</sup> The manner by which Cmpd I carries out substrate oxidations had been an active topic in the field, and in 1978 J. T. Groves proposed his now well-known and widely accepted "radical rebound mechanism" (Scheme 1).<sup>135–137</sup> Here, in the enzyme rate-determining step (H/D KIE of >11). Cmpd I [i.e.,  $(P^{+})Fe^{IV}=O$ ] accepts a hydrogen atom (H<sup>•</sup>) by homolytic cleavage of a substrate C-H bond, giving rise to (P)Fe<sup>IV</sup>-OH and substrate radical species ( $\mathbb{R}^{\bullet}$ ). The latter abstracts a formally HO<sup> $\bullet$ </sup> radical from the (P)Fe<sup>IV</sup>–OH species, giving the R–OH hydroxylated organic and ferric-heme (Figure 9). The rebound (2nd) step has to happen fast enough to avoid radical rearrangement of the substrate, in order to conserve the regio-/stereo-specificity of hydroxylation. Groves has recently summarized studies relating to aspects of this timing;<sup>135,138</sup> Goldberg and coworkers have elegantly demonstrated the first example of the P-450 enzyme type of rebound, using an Fe<sup>IV</sup>–OH model system.<sup>137</sup> We note that alternative mechanistic proposals exist, wherein charged (instead of radical) intermediates and concerted C-H activation pathways (without the effective formation of a (P)Fe<sup>IV</sup>–OH-type intermediate species) have been observed or proposed. 42,43,139-143

Furthermore, as seen in Figure 9, O-atom surrogates such as peracids and PhIO can be used to effect catalytic hydroxylations by addition of these reagents to the substrate- Fe<sup>III</sup> form, leading directly to Cmpd I ("O-atom shunt"; as mentioned above for the work of M. Green). P450 enzymes can also undergo a productive "peroxide shunt" catalytic process,<sup>144–146</sup>

wherein  $H_2O_2$  or ROOH are added to the substrate-Fe<sup>III</sup> enzyme, directly giving the Fe<sup>III</sup>– OOH(R) intermediate; that is followed by O–O cleavage, etc. We should add that just in the past few years, heme–thiolate proteins using  $H_2O_2$  (and not  $O_2$ ) as the terminal oxidant have been or are being characterized. These "peroxygenases" are very efficient hydrocarbon (including aromatic) substrate hydroxylases and form a Cmpd I type intermediate very much like P450s.<sup>135,147,148</sup>

Interestingly, in one such recent Cmpd I example, a rare nonlinear Brønsted-Evans Polanyi relationship revealed a switch in the transition state (TS) in its hydroxylation reactivity, depending on the substrate BDE. That is, for substrates with BDE > 90 kcal/mol, a midpoint TS was observed, while for those with weaker BDEs, an early TS resulting in entropy-controlled rates was detected.<sup>149</sup> The Cmpd II species of the same peroxygenase was later shown to oxidize unprecedentedly (for Cmpd II intermediates) strong substrate C–H bonds due to the remarkable basicity of the ferryl moiety as compared to others such as in peroxidases (*vide infra*).<sup>147</sup>

Unproductive branching points have been identified for the P450 catalytic cycle:<sup>150–153</sup> (1) autoxidation of the oxy-form to release superoxide anions (or protonated superoxide), as proposed for the decay of Fe-oxy-type intermediates within model systems (see section 2.2), leaving behind the enzyme ferric state;<sup>153</sup> (2) "oxidase uncoupling" is the two-electron/two-proton reduction/protonation of Cmpd I, producing water and the resting ferric state; (3) the reverse of the peroxide shunt is referred to as "uncoupling", wherein productive O–O heterolytic cleavage fails, and proximal (rather than distal) O- atom protonation of the Fe<sup>III</sup>–OOH intermediate leads to the release of H<sub>2</sub>O<sub>2</sub>.

It is especially the well-understood cytochrome P-450 monooxygenase's mechanism of O–O reductive cleavage that relates/connects to HCO mechanism, in that we see that a heme– (hydro)peroxide needs to form, and appropriate machinery for H-bonding to and/or protonation of the O-atom distal to the heme, to efficiently cleave the O–O bond and produce a high-valent Fe=O species. The existence of the P450 peroxide shunt and that being a natural course in peroxygenases also supports similarities to HCOs, in that such a (non-natural) peroxide shunt also works in heme–Cu oxidases (see section 4.2). Of course, the analogy or relationship of Cyt P450 monooxygenase O–O cleavage chemistry to that occurring in HCOs is not as simple as this; in HCOs the normal reductive O–O cleavage is homolytic and  $Cu_B$  likely also plays roles in the enzyme proton translocation process and in setting the overall enzyme bioenergetics.

**2.1.2.2.** Heme Oxygenase (HO).: Heme oxygenases catalyze the regiospecific oxidative degradation of heme (6–8 mg of Hb per day, releasing ~300 mg of oxidized heme) producing biliverdin (later converted to bilirubin by bilirubin reductase),<sup>154</sup> free ferrous irons, and  $CO_{(g)}$ ; some HOs that do not produce  $CO_{(g)}$  have been recently discovered and characterized (*vide infra*). Consequently, HOs are responsible for a variety of physiological functions that include heme catabolism, iron homeostasis, antioxidant defense, and cell signaling via the  $CO_{(g)}$  produced.<sup>155–159</sup> HO also aids in "Fe stealing" mechanisms of pathogenic organisms, where they extract Fe from the host cells under disease conditions. <sup>160,161</sup> HO stands apart from all other heme enzymes since the heme group also behaves as

the substrate in this case, mediating all three dioxygen activation steps (*vide infra*); HO does not require any other O<sub>2</sub>-activating cofactor during its turnover.<sup>162</sup> The reductases that provide electrons during catalytic turnover vary among organisms. In mammals, it is NADPH-Cyt P450 reductase,<sup>163</sup> and in some plant HOs it is thought to be NADPH-ferredoxin reductase and/or ferredoxin.<sup>164</sup> Electron donors that support bacterial HOs are yet to be identified.

The structure of human HO<sup>165,166</sup> demonstrates a unique active site, with a five-coordinate heme center axially ligated by histidine, with the *a-meso-* position of the heme porphyrinate being relatively sterically unencumbered compared to other meso- positions. Unusually close positioning of the distal and proximal helices sandwiches the heme center (Figure 10), where the latter is presumed to precisely tune the electronics of the Fe center, preventing O– O bond weakening/cleavage of the Cmpd 0 hydroperoxo intermediate. The oxy form of HO has been thoroughly characterized and is now known to possess an acute Fe–O–O angle that promotes van der Waaltype interactions, which position the distal O atom in close proximity with the *a-meso* carbon.<sup>167,168</sup> Furthermore, the distal O atom also hydrogen bonds to a distal water molecule that is part of a water cluster held together by a critical aspartate residue (Figure 10).<sup>166,169–171</sup> The mechanism of HO involves three consecutive oxidation steps (Scheme 2), each of which involves one molecule of dioxygen. Substantial details are known in the literature regarding the first oxidation step,<sup>172,173</sup> however, the second and third steps have remained less clear. The latter has been extensively studied in the recent work by Matsui, Ikeda-Saito, and co-workers<sup>161,174,175</sup> revealing salient mechanistic details.

The first step involves the regiospecific self-hydroxylation of the *a-meso* position of the heme porphyrinate to give *a-meso*-hydroxyheme (Scheme 2). The active species responsible for this step is a Cmpd 0-like Fe<sup>III</sup>–OOH moiety, which is formed by stepwise reduction/ protonation events. An exceptionally rapid protonation is observed in Cmpd 0 formation, and the aforementioned water cluster is thought to relay the required protons<sup>176</sup> similar to the above-described mechanism of Cyt-P450. Intriguingly, the Cmpd I intermediate of HO has been shown to be inactive toward the conversion of heme to *a-meso*-hydroxyheme.<sup>177</sup> Furthermore,  $H_2O_2$  has also been observed to successfully carry out the formation of a*meso*-hydroxyheme, presumably via a peroxide shunt-type mechanism. The catalytically active Fe<sup>III</sup>-OOH intermediate of HO has been observed under cryogenic conditions<sup>179-181</sup> and has been characterized in detail by electron paramagnetic resonance (EPR) and electron-nuclear double resonance (ENDOR) spectroscopies.<sup>179</sup> The second proton transfer is presumed to be a concerted process (from H/D isotope-labeling)<sup>182</sup> in that the proton transfer from the water molecule to the distal O atom of Cmpd 0 is concomitant with the C-O bond formation between the distal O atom and the *a-meso*-carbon of the porphyrinate. However, the involvement of an extremely reactive HO<sup>•</sup> radical (formed by homolytic O–O bond cleavage in a stepwise scenario, as described by theoretical studies)<sup>183-185</sup> has not been completely discounted.

In the second oxidation step, the hydroxylated heme activates the second equivalent of dioxygen, which further oxidizes *a-meso*-hydroxyheme to give verdoheme and  $CO_{(g)}$ . This step is a spontaneous autoxidation process, where the involvement of the heme center of HO is not required.<sup>186</sup> Interestingly, however, replacing dioxygen with H<sub>2</sub>O<sub>2</sub> for this step does

not yield desired products, unlike in the two other oxidative transformations of the HO mechanism. For this second step, two mechanistic pathways have been presented, which differ in the sequence of the dioxygen-dependent oxidation of iron(III)-α-meso-hydroxyheme, and the reduction of Fe<sup>III</sup> to Fe<sup>II</sup>, ultimately yielding iron(II)–verdoheme. Given the highly feasible nature of the oxidation of iron(III)-α-meso-hydroxyheme by dioxygen,<sup>187,188</sup> initial oxidation followed by reduction of Fe<sup>III</sup> is generally accepted as the viable pathway. Nonetheless, very little is known regarding further details of the mechanistic events involved in this step.

Finally, a verdoheme-activated third equivalent of dioxygen induces porphyrin ringcleavage, generating the biliverdin final product, releasing the ferrous ion (Scheme 2). This ringopening step is observed to be the overall rate-limiting step under physiological conditions,<sup>189</sup> with an anomalously slow rate of O<sub>2</sub>-binding, making this reaction amenable to be used as a physiological  $O_2$  sensor.<sup>155,174,189</sup> Similar to the second oxidation step, this step has also been observed to occur even in the absence of HO, by either hydrolytic or redox-mediated pathways.<sup>190–192</sup> Interestingly, iron(II)-verdoheme reacts with both O<sub>2</sub> and H<sub>2</sub>O<sub>2</sub> to give the biliverdin product.<sup>174</sup> When a H<sub>2</sub>O<sub>2</sub>-mediated pathway is considered, it is proposed to bind to either the Fe center or the  $\alpha$ -carbon on the pyrrole ring to generate hydroperoxo intermediates (Figure 10). Upon deprotonation, these would lead to biliverdin via the formation of bridged-peroxo intermediates. Interestingly, exposure of verdoheme to alkylperoxides vields alkoxy-biliverdin (Figure 11).<sup>175</sup> This observation further strengthens the proposed formation of an Fe-based hydroperoxo adduct en route to biliverdin rather than a substrate-based hydroperoxo intermediate. In further support, similar to the first oxidation step, the proper positioning of the distal water cluster (Figure 10) was observed to be critical for this final oxidation process.  $^{174,193-195}$  Noticeably, verdoheme reacts rapidly with H<sub>2</sub>O<sub>2</sub>, in fact, much faster than with dioxygen, thereby playing a key role in detoxifying excess reactive oxygen species produced within the cell. Moreover, biliverdin and bilirubin also exhibit remarkable antioxidant properties,<sup>157,174,196</sup> implicating HO in an important role in controlling the detoxification of physiologically derived reactive oxygen species (ROS).

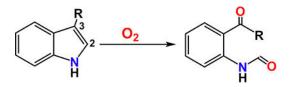
Alternative heme degradation mechanisms (with no production of  $CO_{(g)}$ ) have been recently discovered in certain bacterial HOs, termed IsdG/I and MhuD,<sup>197-199</sup> which are structurally distinct from eukaryotic HOs. These HOs have been observed to convert heme to staphylobilin (a-meso- carbon converted to and aldehyde) and mycobilin (meso-carbon liberated as formaldehyde), respectively (Figure 12).<sup>200,201</sup> Furthermore, "heme-degrading factors" have also been identified, which are essentially proteins that produce biliverdin but with no structural homology to any known HO. The active species these proteins utilize is thought to be an Feoxy-type intermediate, <sup>178,202–204</sup> in contrast to Fe<sup>III</sup>–OOH in traditional HOs. The exact mechanism of action these proteins follow is not known. IsdI and MhuD have been structurally characterized;<sup>199,205</sup> heme ruffling is proposed to induce the tight regiospecificity of each step in heme oxidation, due to the distinct steric interactions observed about the active site.<sup>206</sup> The initial oxidation step, generating meso-hydroxyheme is presumed to be mechanistically similar to that of traditional HOs; however, the events subsequent to meso-hydroxyheme formation are distinctly different. Importantly, the porphyrin ring-opening is not observed at the primarily hydroxylated site (which is either  $\beta$ or  $\delta$ ) but is rather observed at the  $\alpha$ -carbon, where the second dioxygen attacks (Figure 12,

(1)

pathways B and C). This "remote" secondary oxidation is different from conventional HOs since the dioxygen binds to the heme periphery, rather than the metal, and is the key step for suppression of  $CO_{(g)}$  production.<sup>207</sup> In this step, the second dioxygen molecule inserts both O atoms via a dioxetane intermediate cleaving the ring at the  $\alpha$ -position. Thus, three O atoms are incorporated into the heme overall (dioxygenation of meso-hydroxyheme) as confirmed by <sup>18</sup>O labeling, as compared to verdoheme or biliverdin containing only two O atoms from O<sub>2</sub> (monooxygenation of meso-hydroxyheme). Although the precise mechanistic details are still unknown for this recently discovered heme degradation pathway, since  $CO_{(g)}$  is not produced, any verdoheme-type intermediates can be excluded.

### 2.1.2.3. Indoleamine 2,3-Dioxygenase (IDO) and Tryptophan 2,3-Dioxygenase

(**TDO**).: TDOs<sup>209</sup> and IDOs<sup>210</sup> are two of the earliest studied oxygenases by Hayashi, and he discovered that they facilitate similar oxidations, with the latter having a broader substrate scope. The generalized reaction is the oxidative ring cleavage of indoles between the second and third carbons (eq 1). Accordingly, they catalyze the



O<sub>2</sub>-dependent rate-limiting transformation of L-tryptophan (l-Trp) to N-formylkynurenine (NFK), in the first and rate-limiting step of the kynurenine pathway of tryptophan metabolism.<sup>211</sup> Importantly, IDOs are known to aid malignant cells in escaping the host immune system, making IDO inhibitors potential therapeutic targets in modern cancer therapy.<sup>212–216</sup>

The first crystallographic characterization of human-IDO was reported in 2006, revealing the presence of an axially coordinated proximal histidine (His 346) on Fe. The distal side is nonpolar, with no His residues present, in contrast with other O<sub>2</sub>-activating enzymes (Figure 13).<sup>217</sup> The latter observation is significant, since it warranted major revisions in the prior mechanistic proposals (*vide infra*, Scheme 3). However, bacterial<sup>218</sup> and human<sup>219</sup> TDOs bear a distal His residue, but this has been shown to be nonessential for enzyme activity.<sup>220</sup>

A striking feature of the IDO/TDO enzyme mechanism is that it only requires one reducing equivalent for turnover, in contrast to most other dioxygen-dependent hemoenzymes (*vide supra*). Therefore, iron(III)-superoxo-level intermediates are thought to behave as active oxidants. Initial mechanistic proposals describe a base-catalyzed pathway (Scheme 3),<sup>221</sup> since only substrates with a proton on the indole nitrogen were observed to be active. Accordingly, a distal His residue was thought to abstract the proton from the indole N atom. <sup>221,222</sup> However, in light of that IDO structure (showing no such distal His residue), and the observation that indoles with no proton at the 1-position are slow but still active substrates, alternative mechanistic proposals<sup>217,223</sup> have been presented. These involve electrophilic or radical addition of the indole substrate to the ferric-superoxo moiety (Scheme 4).<sup>224</sup> The

latter is much more favored since ferric-superoxos are weak electrophiles but bear significant radical character. Furthermore, the substrate binding at the recent human TDO crystal structure<sup>219</sup> and known chemistry of indoles all support a radical addition pathway. Nonetheless, a solid mechanistic pathway has not been explicitly established for these enzymes to-date.

Importantly, formation of a ferryl-type (Cmpd II) intermediate has been proposed to occur later in the mechanism, en route to the final NFK product (Scheme 4). The evidence comes from resonance Raman experiments on an IDO turnover intermediate, with an Fe=O vibration of 799 ( $^{18}O_2 = -37$ ) cm  $^{-1}$ .<sup>226</sup> However, no such intermediate has ever been observed for TDO, suggesting disparities in their rate-limiting steps as shown in Scheme 4.<sup>225</sup> The detection of a ferryl intermediate suggests the possibility of a stepwise transfer of the two oxygen atoms to the substrate rather than a concerted-addition mechanism. If this species were formed following the first oxygenation step, it would also require the formation of an indole epoxide intermediate (Scheme 4), a suggestion supported by recent theoretical work.<sup>227</sup> Again, experimental evidence for latter steps of the IDO/TDO mechanism is severely lacking, either from enzymatic examples or model systems.

**2.1.2.4.** Nitric Oxide Synthase (NOS).: Mammalian enzyme NOS exists in several isoforms, namely endothelial- (eNOS), neuronal-(nNOS), and inducible-NOS (iNOS). Their active sites contain a heme group with an axially ligated cysteine residue like that of the Cyt P450 active site.<sup>17,228,229</sup> Accordingly, NOS also possesses a flavin-containing electron-donating reductase and catalyzes the monooxygenation of Larginine to give L-citrulline liberating nitric oxide ( $NO_{(g)}$ ).  $NO_{(g)}$  is an important signaling molecule that carries out an array of critical cellular functionalities. The subject of variable roles of  $NO_{(g)}$  has been extensively reviewed elsewhere.<sup>230–237</sup> The amino acid sequence of the heme domain of NOS lacks homology with that of P450s, which may exclude NOS from the P450 superfamily.<sup>40,238</sup>

The catalysis of NOS occurs in two distinct steps: (1) conversion of L-arginine to *N*-hydroxy-L-arginine and (2) oxidation of *N*-hydroxy-L-arginine to L-citrulline plus nitric oxide. Notably, NOS forms functional dimers by coupling its heme centers with the aid of calmodulin (a multifunctional calcium (Ca<sup>2+</sup>) binding messenger protein in eukaryotes), and a Zn<sup>2+</sup> ion coordinated to the cysteine pair at the dimer interface, assisting the binding of the L-biopterin cofactor (BH<sub>4</sub>). This dimer facilitates interdomain electron transfer from flavin proteins to the heme center of the other monomer.<sup>239,240</sup> While this process provides the first electron for the reduction of the Fe-bound dioxygen unit, the second electron (forming Cmpd I) is thought to rise from the l-biopterin cofactor, which then gets rereduced by intracellular reductants such as flavin reductase or ascorbic acid (*vide infra*).<sup>17,241</sup> Therefore, the first oxidation step (L-arginine to *N*-hydroxy-L-arginine) is thought to proceed via a "traditional" P450-type mechanism.<sup>242,243</sup> However, the details of the second step of this mechanism (*N*-hydroxy-L-arginine to L-citrulline) have been highly controversial (Figure 14).

For this subsequent oxidation/oxygenation of *N*-hydroxy-L-arginine, two mechanistic proposals have been put forward (Figure 14).<sup>17</sup> Only one step requires a reducing equivalent;

this process is proposed to be an iron superoxo- or peroxo-level oxidant mediating a formal H atom abstraction from the *N*-hydroxy-L-arginine N–H (and not the O–H) group.<sup>244</sup> Pathway A (Figure 14) involves the one-electron reduction of the heme–oxy complex by the reduced biopterin to give a peroxo-level species, which then passes through a cyclic intermediate that collapses to produce L-citrulline and \*NO. In support, formation of the BH<sub>4</sub><sup>+•</sup>· radical has been observed during turnover;<sup>245</sup> however, the details pertaining to back electron transfer from NO<sup>-</sup> to BH<sub>4</sub><sup>+•</sup>· radical (final step) remain elusive. In pathway B, the heme–superoxo adduct abstracts a hydrogen atom from *N*-hydroxy-L-arginine giving a hydroperoxy species, which attacks the substrate as a nucleophile to give a species which decays to products. The activity of NOS is tightly regulated by calmodulin.<sup>17</sup>

In the above section of this review, we have described a few of the crucial Fe-dependent oxygenases, with the goal of highlighting the reactivity differences of divergent Fe-based dioxygen-dependent oxidants and their mechanisms of action, which helps in broadening our understanding of the mechanistic subtleties involved with the cytochrome c oxidase mechanism, at least for the heme portion of the heme–Cu active site. Most fascinatingly, the oxygenases described patently exemplify how nature utilizes Fe/dioxygen adducts with different levels of oxidation for distinct roles within biological systems. For a full set of heme oxygenases and their functionalities, the reader is referred to Table 1, and extensive literature reviews are available.<sup>17,40,41,43,212</sup>

#### 2.1.3. Heme-Containing Oxidases: (Chloro)-peroxidases and Catalases.-

Hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) serves critical roles in cellular redox signaling, and in oxidative stress,<sup>246,247</sup> but of course it is a cosubstrate for peroxidases [and peroxygenases (*vide supra*)] in required enzymatic oxidation reactions. The O–O bond in H<sub>2</sub>O<sub>2</sub> can (formally) cleave either homolytically or heterolytically. Homolytic O–O bond scission occurs in the presence of reduced iron or copper ions to give deleterious hydroxyl radicals (HO<sup>•</sup>), via the Fenton reaction. Heterolytic cleavage occurs in peroxidases or catalases, either to detoxify or utilize H<sub>2</sub>O<sub>2</sub> for productive oxidative chemistry. Peroxidase and catalase reaction mechanisms are quite similar (*vide infra*), with both initially leading to Cmpd I formation. In the former, Cmpd I mediates substrate dehydrogenative oxidations, while for the latter, a second equiv of H<sub>2</sub>O<sub>2</sub> is oxidized to dioxygen, detoxifying hydrogen peroxide via disproportionation. These enzymes contain protoporphyrin IX as the heme prosthetic group [similar to heme-containing globins and Cyt P450 (*vide supra*)], and the main difference among their active sites is that many peroxidases contain a proximal axially coordinated His residue while catalases accommodate a tyrosinate residue (*vide infra*).

Peroxidases are generally categorized into three classes: (1) intercellular [e.g., mitochondrial yeast cytochrome *c* peroxidase (CCP)], (2) extracellular fungal, and (3) intercellular plant [horseradish peroxidase (HRP)] peroxidases. Both peroxidases and catalases contain similar active sites, although subtle differences about their heme centers exist; the imidazole ring of this His residue in peroxidases is perpendicular to the heme, while it is parallel in catalases. <sup>45,248</sup> Catalases are the only class of heme enzymes to possess a negatively charged tyrosinate proximal ligation. The proximal His in peroxidase is H-bonded to a conserved Asp residue (Figure 15),<sup>249,250</sup> which is thought to induce significant anionic character at the His residue, aiding in heterolytic O–O bond cleavage (*vide infra*). This enhanced anionic

character significantly reduces the Fe<sup>3+</sup>/Fe<sup>2+</sup> redox potential, largely stabilizing the ferric state. This is in clear contrast with proximal His ligating globins. This phenomenon of proximal charge donation in peroxidases was first demonstrated on model systems,  $^{249,251}$  and then further confirmed by protein site-directed mutagenesis studies.  $^{101,252}$  Similarly, the anionic tyrosinate proximal ligation in catalase also results in a negatively shifted Fe<sup>3+</sup>/Fe<sup>2+</sup> redox couple.

On the basis of a rich body of spectroscopic and structural insights, the catalytic cycle of peroxidases can be described by three distinct mechanistic transformations:<sup>253–257</sup> (1) twoelectron reduction of H<sub>2</sub>O<sub>2</sub> by the Fe<sup>III</sup> resting state, inducing the O–O bond cleavage (via the intermediacy of a Fe<sup>III</sup>–OOH species (Cmpd 0; Figure 16) and the generation of Cmpd I [note: Cmpd I in peroxidases is unique, in that the  $\pi$ -cation radical can either reside on the porphyrin ring, a Trp,<sup>258,259</sup> or a Tyr<sup>260–262</sup> residue (vide infra)]; (2) single electron transfer from the substrate to Cmpd I, producing Cmpd II and a substrate-centered radical; and (3) second electron transfer oxidizing a second equivalent of substrate, while the enzyme shuttles back to its resting ferric state. This last step is often the slowest, and thus, the ratedetermining step. Phenols, catechols, and aromatic amines often serve as substrates for peroxidases. In the first step, following the binding of  $H_2O_2$  (Figure 16), the distal His residue<sup>263,264</sup> plays a crucial role in "translocating" the proton on the proximal O atom to the distal, which triggers heterolytic O-O bond cleavage. Despite the proposed role of the distal His residue, it is too far from the proximal O atom to form a strong H-bond.<sup>265</sup> Thus, the involvement of a water molecule has been proposed.<sup>266</sup> which is supported by the distinct positioning of the water molecule in a crystal structure of peroxidase Cmpd I (Figure 15).267-270

Although crystal structures exist, the exact structure of Cmpd I has been a subject of much controversy. This is since spectroscopic methods such as resonance Raman<sup>271-273</sup> and  $EXAFS^{254,274,275}$  (EXAFS = extended X-ray absorption fine structure) provide evidence for a Fe<sup>IV</sup>=O bonding description (Fe–O = 1.64-1.67 Å), while X-ray structures<sup>256,257,276,277</sup> reveal Fe–O distances more consistent with an Fe<sup>IV</sup>–OH moiety (1.8–1.9 Å). This is now known to be due to the propensity of Cmpd I to be photoreduced upon exposure to X-rays, <sup>278,279</sup> and more recent data with minimal X-ray dosage and neutron diffraction methods have revealed distances much more consistent with spectroscopic data [1.73 or 1.88 Å for APX (APX = ascorbate peroxidase), and 1.63 Å for CCP].<sup>280,281</sup> Intriguingly, the distal His residue in the latest Cmpd I neutron diffraction structure by Raven and Moody (Figure 15) is protonated (but not in the resting ferric state), suggesting the existence of a proton relay mechanism that ultimately leads to the O-O bond cleavage of Cmpd 0.269,270 Water molecules are thought to be the source of these protons, resulting in a water-mediated, acidcatalyzed O-O bond heterolysis.<sup>270</sup> The crucial role of a distal Arg residue in the stabilization and reactivity of CCP Cmpd I has also been proposed.<sup>282,283</sup> Furthermore, another elegant recent study by Raven and Moody revealed the crystal structures for Cmpd I and Cmpd II of peroxidases, where they detailed the reasons that may contribute to the much stabilized Cmpd I in peroxidases as compared to that in P450 enzymes.<sup>280</sup> The ligand-based radical of Cmpd I in peroxidases was found to also be distributed over a nearby tryptophan (W191; Figure 15) residue rather than just the heme in CCP.<sup>259,284</sup> In addition, as mentioned, this radical may even migrate on to a nearby Tyr residue.<sup>261,262</sup> By contrast, due

to dissimilarities in amino acid composition, HRP Cmpd I bears the radical character mainly on the porphyrinate, generating a ligand- based  $\pi$ -cation radical.

As mentioned, catalase Cmpd I acts upon a second equivalent of  $H_2O_2$  reducing Cmpd I in a concerted fashion, formally donating two hydrogen atoms ( $H^{\bullet}$ ;  $H^{\bullet} = H^+ + e^-$ ) producing water and dioxygen (Figure 17). Owing to the concerted nature of this step, catalases do not accumulate Cmpd II as an observable turnover intermediate. Notably, the proton translocation of Cmpd 0, which is mediated by an Arg residue in peroxidases (*vide supra*), is facilitated by a critical Asn residue in catalases.<sup>257</sup> The generally accepted mechanism for catalases is shown in Figure 17.

Very similar reactivities can also be ascribed to chloroper-oxidases (CPO) ( in more general terms, referred to as haloperoxidases).<sup>285-288</sup> CPOs, as thoroughly studied highly versatile enzymes, are capable of catalyzing halogenation, dehalogenation, N-demethylation, dismutation, epoxidation, and oxidation reactions.<sup>286,288–298</sup> CPO is named after its primary biological role, that is, to carry out chlorination of organic substrates following the C-H bond activation step. These enzymes may be described as hybrids of P450s and peroxidases, despite their structure being notably different from either. CPO contains an axially coordinated cysteinate proximal ligand; however, its distal amino acid structure resembles that of peroxidases, with the presence of a majority of polar side chains that aid heterolytic O-O bond cleavage (vide supra). In spite of the structural differences, the mechanism of formation of Cmpd I in CPO is very much similar to the aforementioned mechanism seen in peroxidases (Figure 16), with the involvement of a crucial Glu residue at the distal site. <sup>299,300</sup> A recent theoretical study has highlighted the importance of the hydrogen-bonding network about the active site of CPO as effecting its precise regioselctivity.<sup>301</sup> That study further suggested that the hydrogen-bonding interactions in both the proximal and distal sites work in collaboration in order to mediate fast reactivity with H2O2, while maintaining enhanced stability of Cmpd 0, yet decreased stability of Cmpd I. In fact, the Cmpd 0 intermediate of chloroperoxidase has been structurally characterized (Figure 18)<sup>302</sup> and possesses a low-spin Fe center in its Fe<sup>III</sup>-OOH moiety, with Fe-O and O-O distances of 1.80 and 1.50 Å, respectively. Given the versatile catalytic competency of CPO, its catalytic cycle is uniquely amended to carry out multiple classes of reactions mediated by different intermediates formed during turnover (Figure 19).<sup>287,289,300,303–305</sup>

#### 2.1.4. O-O Bond Cleavage Process in Heme Enzyme Mechanisms.—The

mechanistic details involved with the O–O bond scission process of heme enzymes are of pivotal significance, not only since the subsequent formation of the high-valent species with extreme oxidizing power but also due to implications of such events in catalysis and alternative energy applications.<sup>306–308</sup> As described above, Cmpd 0, (P)Fe<sup>III</sup>–OOH, is subjected to O–O bond cleavage, and technically, either Cmpd II, (P)Fe<sup>IV</sup>=O, or Cmpd I, (P<sup>•+</sup>)-Fe<sup>IV</sup>=O, can form, depending on the homolytic or heterolytic nature of O–O bond scission, respectively.<sup>309</sup> For example, for H<sub>2</sub>O<sub>2</sub> activation by peroxidase, it has been observed that His 42 mediates proton transfer to Cmpd 0, in order to trigger heterolytic O–O bond cleavage.<sup>310</sup> However, in mutants where His 42 has been replaced, this proton transfer event is absent, and thus, homolytic O–O bond cleavage was observed, yielding Cmpd II. Subsequently, the Cmpd II species participates in one more electron transfer step (where a

protein radical has been generated), giving Cmpd I.<sup>311</sup> Accordingly, this two-step generation of Cmpd I was observed to be pH-independent, unlike in the wild-type enzyme. In another example, theoretical studies have proposed the possibility of homolytic O–O bond cleavage in Cyt-P450-mediated fatty acid hydroxylation, where the resultant hydroxyl radical (locked in place by a distinct hydrogen bonding network) abstracts a hydrogen atom from protonated Cmpd II, (P)Fe<sup>IV</sup>–OH, yielding Cmpd I.<sup>312</sup> Intriguingly, the necessity of an O–O bond cleavage process in the HO mechanism has also been proposed by Shaik and co-workers using theoretical studies,<sup>183–185</sup> but supporting experimental evidence is lacking. In some cases, reversible O–O bond cleavage has also been proposed for heme enzymes.<sup>313</sup>

Careful analysis of the active site structures of O–O bond cleaving enzymes reveal that this event is almost always driven by a "push-pull"-type mechanism and, thus, is a result of a delicate structure-function relationship. Accordingly, these enzymes often possess highly electron-rich axially ligating amino acid residues such as anionic cysteinate (P450, NOS, Chloroperoxidase) or tyrosinate (catalase) residues, or neutral, but highly electron-rich (vide supra) histidine residues (peroxidases). These axial ligands are highly competent in efficiently pushing electron density into the  $d_{r2}$  orbital of the Fe center.<sup>314–318</sup> Such strong axial donation (especially of the thiolate ligation in P450 and/or chloroperoxidase platforms) has been shown to significantly increase the basicity of the Cmpd II-type intermediate in rebound (the Fe<sup>IV</sup>–OH intermediate resulting from the initial hydrogen-atom transfer (HAT); see Scheme 1), furthering the HAT power of the Cmpd I species, while disfavoring undesired outer-sphere electron transfer events.<sup>319,320</sup> On the other hand, the distal binding pocket of these enzymes contains precisely positioned strongly hydrogen-bond donating amino acid residues, which interact with the distal O-atom of the Cmpd 0-type intermediate, pulling away electron density. The necessity of such structural subtleties for O-O bond cleavage is nicely demonstrated in the work of Watanabe and Morishima, where functional P450 model enzymes were generated by replacing the axial His residue of Mb with Cys.<sup>321</sup> The O-O bond cleavage in CcO enzymes is quite different in that the oxy-form is reduced with four electrons within a single mechanistic step giving Cmpd II. Three of the four electrons in that case are donated by the binuclear heme/copper center,<sup>322</sup> while the fourth electron (and a proton) originates from a nearby tyrosine residue (see section 4.2). In contrast, in the related cytochrome bd oxidase mechanism, all four electrons are generated from the binuclear bis-heme active site itself, resulting in the formation of Cmpd I.<sup>323</sup> Notably, mechanistic studies of O-O bond cleavage of hemoproteins could be complicated by subsequent redox events that modify the actual products of the reaction. For example, Watanabe and coworkers have reported the rapid reduction of a Cmpd I species formed in solution (from heterolytic O-O bond cleavage) to the respective Cmpd II species (as would be expected from homolytic O-O bond cleavage) during H<sub>2</sub>O<sub>2</sub>-dependent oxidations mediated by Mb mutants.<sup>324–326</sup> Such complications resulting from subsequent redox events have also been reported in model systems, and in fact, much detailed work has been carried out in order to understand pH and solvent effects on the O-O bond cleavage mechanism(s) and is described in section 2.4 (vide infra).49

**2.1.5.** Synthetic Models of Cmpd I and Cmpd II.—As described above, biological systems often utilize the superior oxidizing power of high-valent (effectively Fe<sup>V</sup> or Fe<sup>IV</sup>)

iron oxidants in multiple substrate conversion processes. To repeat, Cmpd I's are overall (P)Fe<sup>V</sup>=O oxidants, but with electronic structures best described as a Fe<sup>IV</sup>=O core magnetically coupled with a porphyrin-based  $\pi$ -cation radical, and thus formulated as (P<sup>++</sup>)Fe<sup>IV</sup>=O. Cmpd II's are (P)Fe<sup>IV</sup>=O species, with a neutral porphyrinate supporting ligand (Figure 20). These intermediates derive from peroxide level species' O–O reductive cleavage, thus the understanding of such processes is relevant to the HCO reaction mechanism (see section 2.4.2). Due to their highly oxidizing nature, they have mostly been observed as fleeting intermediates in biological catalytic cycles, one clear exception being the Cmpd I of peroxidase, which has been characterized crystallographically (Figure 15). <sup>269,280</sup> Green and co-workers more recently have extensively spectroscopically characterized the Cmpd I intermediate in cyt. P450 monooxygenases, using advanced experimental techniques to generate and handle this species; the focus has been on the study of the role of the thiolate (from cysteine) proximal axial ligand in enzyme activity, by elucidation of reaction kinetics, redox potentials, and pKa of the protonated Cmpd II.

The studies of model systems of such intermediates serve to significantly increase our understanding of their geometric and electronic structure (which delicately controls their reactivity), as well as provide insights into the scope and mechanism of substrate reactivities. Furthermore, the main C–H activation mechanism that these oxidants follow, often referred to as the "oxygen-rebound" mechanism (Scheme 1; initially proposed by Groves),<sup>136</sup> has been extensively studied using model systems, which has shed light on pivotal factors that tightly govern the reactivity of these oxidants.<sup>135,137</sup> In this section, rather than reporting on all Cmpd I and Cmpd II models described in the literature, we will discuss the essence of their structure and reactivity, presenting appropriate specific examples.

#### 2.1.5.1. Cmpd I/II Models: Electronic Structure and Substituent Effects.: The

pioneering work in high-valent porphyrinate models is ascribed to Groves and co-workers, when they carried out the two-electron oxidation of the Fe<sup>III</sup> precursor complex of TMP (TMP = 5,10,15,20-tetra-(mesityl)porphyrin; Figure 20), using the O-atom transferring reagent, *m*-CBPA, at  $-78 \degree C.^{327,328}$  The authors spectroscopically characterized the electronic structure as an overall S = 3/2 system, where an Fe<sup>IV</sup> (S = 1) center is tightly ferromagnetically coupled with the porphyrin  $\pi$ -cation radical (S = 1/2) moiety.<sup>134</sup> EPR spectroscopic features are consistent with this conclusion, with signals observed at g = 4.3, 3.9, and 1.99 (J > + 40 cm<sup>-1</sup>) for [(TMP<sup>•+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup> at 4 K.<sup>329</sup> In fact, this model system exhibited much stronger magnetic coupling than that observed for Cmpd I species of peroxidase enzymes (*vide supra*).<sup>330</sup> In further studies, it was shown that the one-electron chemical oxidation of a Cmpd II species, obtained via thermally induced homolytic O–O bond cleavage of [{(TMP)Fe<sup>III</sup>}<sub>2</sub>(O<sub>2</sub><sup>2-</sup>)], yields Cmpd I at  $-70 \degree$ C in toluene (Figure 20). This connected Cmpds I and II as one-electron redox partners and illustrated that compound I species can be directly derived from dioxygen.<sup>331</sup>

Further work has also demonstrated the synthetic utility of dimethyldioxirane as an O-atom transfer agent (with TMP porphyrinate)<sup>332</sup> and ozone (TDCPP porphyrinate; Figure 21)<sup>333</sup> as an oxidant to successfully generate these high-valent heme models. Cmpd I model systems have been characterized using a variety of spectroscopic techniques,<sup>133</sup> and the electronic absorption features of  $[(TMP^{\bullet+})Fe^{IV}=O]^+$  bear striking similarities to the Cmpd I

spectrum of catalase.<sup>334</sup> Importantly, the combined insight from EPR and NMR spectroscopies together with theory strongly suggests that the  $[(TMP^{\bullet+})Fe^{IV}=O]^+$  has an  $a_{2\mu}$ ground state, where the radical is placed on a porphyrin-based orbital with an  $a_{2\mu}$  symmetry. Although Cmpd I intermediates within model systems have never been crystallized, important structural information has been revealed by X-ray absorption spectroscopy, including the finding of an expected short Fe–O distance of 1.6 Å for  $[(TMP^{+})Fe^{IV}=O]^+$ , <sup>335</sup> in good agreement with that observed for HRP Cmpd I.<sup>275</sup> Resonance Raman spectroscopic data collected on the same model system definitively revealed (from <sup>54</sup>Fe-O and Fe-18O isotope labeling) an Fe-O stretch centered at 828 cm<sup>-1</sup> in a methanol/ dichloromethane (DCM) mixture,<sup>336</sup> which shifted to 801 cm<sup>-1</sup> in pure DCM.<sup>337</sup> This shift in  $v_{Fe-O}$  energy was attributed to the different properties of axially coordinated ligands (i.e., chloride anion in pure DCM versus methanol in methanol/DCM mixtures) for each case.<sup>338</sup> In fact, these axially coordinated ligands, along with the identity of the oxidant and the solvent are known to significantly affect the formation of Cmpd I model complexes (Figure 22),<sup>133</sup> where they govern key mechanistic features such as homolytic versus heterolytic O-O bond cleavage in synthetic precursors. For example, when [(TMP)Fe<sup>III</sup>(X)] (where X is an axially coordinated anionic Cl<sup>-</sup>, ClO<sub>4</sub><sup>-</sup>, or benzoate ligand) is reacted with *m*-CPBA in DCM, the Cmpd I species,  $[(TMP^{\bullet+})Fe^{IV}=O]^+$ , is produced. However, when  $[(TMP)Fe^{III}(X)]$  is oxidized with *m*-CPBA in toluene where  $X = HO^{-}$ , acetate, or mchlorobenzoate, the formation of the [(TMP)Fe<sup>III</sup>-N-oxide] complex is observed (Figure 22). The latter observation strongly suggests that under the given experimental conditions, the homolytic O-O bond cleavage of a putative Fe-m-CPBA adduct occurs, followed by N-O bond formation with one of the pyrrole N-atoms of the porphyrin ring. It is noteworthy that these major effects resulting in the differential formation and/or reactivity of high-valent model systems as a consequence of subtle experimental modifications has, <sup>135,138</sup> and will continue to, shed light on yet unclear mechanistic details implicated with such intermediates in biology.

Apart from these aforementioned factors, differently substituted porphyrinates with varying overall electronic structure have also been utilized to generate high-valent iron compounds (Figure 21; note that these ligands could possess substituents at either meso- or pyrrole  $\beta$ -positions of the porphyrinate ring). In model systems, EPR spectroscopic and theoretical studies have identified two possible  $\pi$ -cation radical (P<sup>•+</sup>)Fe<sup>IV</sup>=O states (a<sub>1u</sub> and a<sub>2u</sub>).<sup>339</sup> The electronic structure of these (P<sup>•+</sup>)Fe<sup>IV</sup>=O species are highly sensitive to the effect of substitution at the porphyrinate meso-, pyrrole  $\beta$ -positions.<sup>340</sup> Incorporating electron-withdrawing groups at the porphyrin meso- position lowers the energy of the a<sub>2u</sub> state (observed in [(TMP<sup>•+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup>), allowing energy mixing with the fully occupied a<sub>1u</sub> molecular orbital via vibronic coupling. Concomitantly, the ferromagnetic coupling interaction between the S =1 Fe<sup>IV</sup> center and S = 1/2  $\pi$ -cation radical decreases as the electron-withdrawing effect increases, and the HOMO becomes increasingly rich in a<sub>1u</sub> character.<sup>340,341</sup>

Interestingly, incorporation of mesityl groups at the pyrrole  $\beta$ -positions (Figure 21) results in a different  $\pi$ -cation radical ground state, one with an  $a_{1u}$  symmetry, as observed in the [(TMTMP<sup>•+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup> model system (Figure 21).<sup>334</sup> In pyrrole  $\beta$ -derivatized porphyrins, the lack of a meso-mesityl group stabilizes the  $a_{2u}$  orbital (because, mesityl groups are

electron-releasing), which makes the  $a_{1u}$  orbital higher in energy and hence singly occupied. Unlike the  $a_{2u}$  state, the  $a_{1u}$  state leads to weak ferromagnetic coupling between the porphyrin  $S = 1/2 \pi$ -cation radical and the S = 1 Fe<sup>IV</sup>=O moiety due to a lack of spin density on the porphyrin nitrogen atoms. The <sup>1</sup>H NMR and EPR spectroscopic properties of  $[(TMTMP^{\bullet+})Fe^{IV}=O]^+$  are similar to those of horseradish peroxidase compound I, as well as ascorbate peroxidase and Micrococcus lysodeikticus catalase.<sup>341–343</sup> This similarity in the strength of ferromagnetic coupling of the S =1/2 porphyrin radical with the S =1 Fe<sup>IV</sup>=O is also observed in other pyrrole  $\beta$ -substituted synthetic models, suggesting that these represent closer approximations to biological Cmpd I species supported by protoporphyrin IX.

Cmpd I model complexes are capable of reacting with organic substrates in oxo-transfer, epoxidation, and C–H bond activation reactions (Figure 23). For phosphines (e.g., PPh<sub>3</sub>), sulfides, and olefins, oxygen atom transfer reactions are effected.<sup>133,344</sup> Intriguingly, the reaction mechanisms of sulfoxidation and oxidative N-demethylation reactions mediated by Cmpd I model complexes have been found to be very different from those involving Cmpd I in peroxidases, for which, various crucial factors including the predominant steric effects imposed by enzyme protein matrix have been discussed.<sup>345,346</sup> Electron-withdrawing groups increase the reactivity of these Cmpd I model complexes for cyclohexene epoxidation reactions. When the reactivity of [(TMP<sup>•+</sup>)Fe<sup>IV</sup>= O]<sup>+</sup> for cyclohexene epoxidation was compared to that of its trichloro-substituted analogue [(TTCPP<sup>•+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup> (Figure 21), the latter reacts much faster.<sup>340</sup> This is attributed to the increase the rate of olefin oxidation. The ground state ( $a_{1u}$  or  $a_{2u}$ ) of the Cmpd I species within model systems does not appear to have a great effect on their reactivity, as both [(TMTMP<sup>•+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup> and [(TMP<sup>•+</sup>)-Fe<sup>IV</sup>=O]<sup>+</sup> displayed similar activity in cyclohexene epoxidation reactions.<sup>347</sup>

Cmpd I model complexes also catalyze the hydroxylation of unactivated hydrocarbon C-H bonds including aromatics, as do cytochrome P450s.<sup>126,348–350</sup> One recent example from Groves and co-workers which incorporated a positively charged periphery in porphyrin framework, 4-TMPyP (4- TMPyP = 5,10,15,20-tetrakis(N-methyl-4-pyridinium)-porphyrin (Figure 21), displayed extraordinarily high C-H bond hydroxylation reactivity. This was attributed to the positively charged porphyrin framework, which lowered the energy of the  $a_{2\mu}$  orbital, making spin state crossing more facile, while destabilizing the Fe<sup>IV</sup>=O  $\pi$ -cation radical.<sup>351</sup> Generally, with hydrocarbon substrates, an oxygen rebound mechanism is invoked; for instance, a H atom abstraction from the hydrocarbon substrate occurs, forming an Fe<sup>IV</sup>-OH intermediate, which then rebounds with the organic substrate radical yielding the alcohol product (Scheme 1).<sup>348</sup> Fujii and co-workers have recently investigated the factors governing hydrogen tunneling in the rate-limiting HAT step in aliphatic C-H bond activation. Kinetic studies displayed nonlinear Arrhenius plots, which could be fit with equations derived from Bell's tunneling model to prove that hydrogen tunneling contributes to the rate-limiting step. The extent of this tunneling was found to be dependent on the reaction temperature, C-H bond dissociation energy of the substrate, reactivity of the metal oxidant,  $[(TMP^{\bullet+})Fe^{IV}=O(L)]^+$  (where  $L = NO_3$ ,  $Cl^-$ , and Im), and the Fe=O bond strength. <sup>352</sup> The role of ferryl protonation and solvent participation for oxygen atom transfer reactions of these high-valent heme models in aqueous media were also recently evaluated. 353

The hydroxylation reaction of aromatic compounds is less well-understood. Several reaction mechanisms have been proposed for aryl hydroxylation by Cmpd I species, including hydrogen atom transfer (HAT), arene oxide formation, electron transfer/bond formation, and electrophilic attack (Scheme 5).<sup>354</sup> The HAT mechanism has been largely disfavored due to several factors: (1) observation of smaller KIE values than expected, (2) the strength of arvl C-H bonds oxidized, and (3) key theoretical findings (Scheme 5, pathway A).<sup>355–357</sup> Arene oxide formation has been ruled unlikely based on product analysis and other experimental evidence (Scheme 5, pathway b).<sup>358-360</sup> Direct electron transfer has been observed in cytochrome P450 compound I mediated oxidation of tetramethoxybenzene, since electron transfer oxidation is exergonic for these substrates (Scheme 5, pathway C).<sup>361</sup> In a kinetic study by Nam and co-workers, isotope labeling, inverse kinetic isotope effects, and a largely negative Hammett  $\rho$  (rho) value for the reaction of para-substituted benzenes with  $[(TPFPP^{\bullet+})Fe^{IV}=O]^+$  provided evidence for an electrophilic attack type mechanism as shown in pathway D in Scheme 5.<sup>362</sup> Very recently, Fujii and Asaka suggested a new hybrid mechanism through the generation and analyses of Marcus plots that elegantly incorporate features of both pathways C and D. In this case, the aromatic substrate and Cmpd I species participate in an initial electron transfer equilibrium (surrounded by the solvent cage), which is coupled to C–O bond formation (Scheme 5, inset). This proposal reconciles the main features of pathways C and D and provides a new potential mechanistic scenario for aromatic hydroxylation facilitated by Cmpd I species.<sup>354</sup>

In addition to affecting Cmpd I species formation, axial base ligands markedly influence the substrate reactivity of the ( $P^{\bullet+}$ )Fe<sup>IV</sup>=O species, enhancing the rate of epoxidation as the donor strength is increased.<sup>363,364</sup> For example, when imidazole and phenolate axial ligands were incorporated, the rate of substrate epoxidation increased ~100–400 fold when compared to one having an axially ligated nitrate ligand on the Fe center.<sup>364</sup>

The first example of a Cmpd II model complex was reported in 1980 by Balch and coworkers, which formed via the homolytic O–O bond cleavage of a bridging µ-peroxo ferric heme complex (see Scheme 6).<sup>365</sup> This peroxide species was produced by oxygenating the ferrous heme complex,  $[(TmTP)Fe^{II}]$  (TmTP = *meso*-tetra-*m*-tolylporphyrin), at -80 °C in toluene. The subsequent addition of a pyridyl or imidazolyl axial base to this  $\mu$ -peroxo species resulted in the homolytic O-O bond scission (Scheme 6), producing the corresponding Cmpd II species. The paramagnetic <sup>1</sup>H NMR spectra of this model and others (prepared similarly) were compared to that of the Cmpd II species of HRP and a ferryl myoglobin derivative to confirm their assignment as an  $Fe^{IV} = O$  adduct. Specifically, the chemical shift values of the porphyrinate meso-positions<sup>366</sup> (for  $\beta$ -pyrrole derivatized heme) and imidazolyl axial base protons<sup>367,368</sup> were compared. Model Cmpd II complexes were also prepared via the iodosobenzene oxidant using a similar Fe<sup>III</sup> precursor, [(TMP)Fe<sup>III</sup>(X)], featuring strongly coordinating axial ligands such as Cl<sup>-</sup>, F<sup>-</sup>, CH<sub>3</sub>CO<sub>2</sub><sup>-</sup>, and OH<sup>-</sup> in toluene and dichloromethane (see Figure 22). However, more weakly coordinating axial ligands such as ClO<sub>4</sub><sup>-</sup>, CF<sub>3</sub>SO<sub>3</sub><sup>-</sup>, and NO<sub>3</sub><sup>-</sup> yielded the compound I analogue (Figure 22).<sup>51</sup> In a basic methanol media, [(TMP)Fe<sup>IV</sup>=O] could also be prepared via the oxidation of [(TMP)Fe<sup>III</sup>(OCH<sub>3</sub>)] with iodosylmesitylene.<sup>369</sup>

Other examples of porphyrinoid Cmpd II models have been prepared via chemical oxidation, <sup>370,371</sup> ligand metathesis,<sup>372</sup> and the reduction of compound I species.<sup>373</sup> Interestingly in one indirect route, the oxidation of a porphyrinoid Fe<sup>III</sup>–OH species results in a  $\pi$ -cation radical porphyrin Fe<sup>III</sup>-OH adduct, which was then deprotonated (using tetra-Nbutylammonium hydroxide) to yield the corresponding Fe<sup>IV</sup>=O Cmpd II analogue.<sup>374–377</sup> In terms of spectroscopic properties, porphyrinate Fe<sup>IV</sup>=O species are EPR silent (in perpendicular mode) due to their S =1 integer spin state.<sup>365</sup> Their Fe<sup>IV</sup>=O stretching frequencies have been characterized by rR spectroscopy, and five-coordinate Fe=O stretches range from 852–863 cm<sup>-1</sup> as determined from studies in a low-temperature (15 K) matrix<sup>134,378</sup> Electron-withdrawing groups at the meso- positions resulted in stronger Fe=O bonds.<sup>379-381</sup> Solution phase measurements of the Fe=O bond stretches indicated lower values ranging from 841-845 cm<sup>-1</sup>.<sup>382-384</sup> Adding an axial imidazole ligand such as 1methyimidazole shifted the Fe=O bond stretches to lower frequencies, ranging between 815 and 820 cm<sup>-1</sup>.<sup>385–387</sup> However, these v(Fe = O) values are still substantially higher than those reported for Cmpd II species of peroxidases (745–790 cm<sup>-1</sup>). Notably, in a recent model system study, a [(TMP)Fe<sup>IV</sup>=O] species with an axially coordinated imidazole ligand revealed its Fe=O bond stretch to occur at 792 cm<sup>-1</sup>, in good agreement with that of peroxidase Cmpd II.<sup>385</sup>

In terms of reactivity, synthetic Cmpd II complexes have been reported to carry out oxotransfer reactions with triphenylphosphine<sup>388</sup> and with olefins.<sup>371,372</sup> Both solvent polarity and substrate properties are important for determining the nature of carbon-centered radical intermediates formed during the course of the reaction.<sup>372</sup> When electron-withdrawing substituents are incorporated at the porphyrin meso-position, the rate of reaction between the porphyrin Fe<sup>IV</sup>=O adduct and olefins is decreased. It was suggested that the rate-limiting step for this reaction is a disproportionation process involving the Fe<sup>IV</sup>=O adduct, yielding the corresponding Fe<sup>IV</sup>=O  $\pi$ -cation radical species (i.e., a Cmpd I species), which is in reality the active oxidant.<sup>371</sup> Electron-withdrawing substituents would slow down this disproportionation reaction, decreasing the rate of formation of the active oxidant, and thus, the overall rate. However, Cmpd II model complexes are reportedly able to abstract hydrogen atoms from substrates with weak bond dissociation energies such as xanthene.<sup>370</sup>

Synthetic Cmpd I adducts have also been utilized in the modeling of chemistry related to two heme containing haloperoxidases, namely chloroperoxidase (CPO) and myeloperoxidase (MPO). As mentioned above, haloperoxidases are a special class of peroxidases, which carry out the two-electron oxidation of halides such as chloride and bromide to hypochlorite ( $\neg$ OCI), and hypobromite ( $\neg$ OBr), respectively. Chloroperoxidases are involved in the biosynthesis of chlorine-containing compounds, and they possess a thiolate axial base coordinated to the heme (section 2.1.3 and Figure 19).<sup>389–391</sup> Myeloperoxidases are involved in the antimicrobial defense system in neutrophils and feature a histidine imidazole axial base. Both of these enzymes utilize H<sub>2</sub>O<sub>2</sub> in the formation of Cmpd I, which then react with chloride to form hypochlorite, which is believed to be the key oxidant. However, details concerning oxidative halogenation by Cmpd I are not fully understood in this case; in fact, the true oxidizing agent is not known for CPO. Hence, it is a current goal to study the reactivity of small molecule Cmpd I species toward halides.<sup>389–391</sup> Recent work has shown that although [(TMP\*+)Fe<sup>IV</sup>=O]<sup>+</sup> does not react with chlorides, its

perfluorinated analogue [(TPFPP<sup>+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup> does (Figure 21). Addition of tertbutylammonium chloride [(TBA)+Cl<sup>-</sup>] to [(TPFPP<sup>+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup> yielded its compound II species, [(TPFPP)Fe<sup>IV</sup>=O], instead of the ferric heme, as occurs in haloperoxidases. This product could have resulted from direct oxidation of the chloride by the [(TPFPP<sup>+</sup>)Fe<sup>IV</sup>=O] <sup>+</sup> to yield Cl<sub>2(g)</sub> and [(TPFPP)Fe<sup>IV</sup>=O] or from homolytic cleavage of a Cl-O bond in a ferric hypochlorite adduct. Both of these pathways would yield chlorine radicals within the reaction mixture, which may then lead to the liberation of Cl<sub>2(g)</sub>.<sup>392</sup> Remarkably, when substrates are added to the Cmpd I/(TBA)<sup>+</sup>Cl<sup>-</sup> mixture, substrate chlorination was observed. For example, 1,3,5-trimethoxybenzene was oxidized to 1-chloro-2,4,6-trimethoxybenzene but not 1-chloromethoxyl-3,5-dimethoxybenzne. This indicated that a chlorine radical is not likely to be the active oxidant. Anisole and cyclohexene were also oxidized to p/ochloroanisole and trans-1,2-dicyclohexene, respectively. The product ratio of p- to o-anisole was similar to that obtained from direct bubbling of Cl<sub>2</sub> through an anisole solution.<sup>392,393</sup> To this end, it can be postulated that Cl<sub>2</sub> is the active chlorinating agent in these transformations, and thus, the relevance of these models to CPO and MPO is not certain.

When *tert*-butylammonium chloride is added to [(TPFPP<sup>•+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup> in the presence of trifluoroacetic acid, a new chlorinating agent is formed, a ferric *meso*-chloroisoporphyrin species (Scheme 7).<sup>394</sup> The formulation of this ferric meso-chloroisoporphyrin comes from corroborative UV-vis, NMR, and EPR spectroscopic features, when compared with those of other known isoporphyrin species. Further, electrospray ionization mass spectrometric data also validated this formulation. This species was observed to be an active chlorinating agent, producing 1-chloro-2,4,6-trimethoxybenzene from 1,3,5-trimethoxybenzene, and yielded ratios of para- and ortho-chlorinated anisole, similar to CPO. The generation of aqueous hypochlorite was also observed under acidic conditions.<sup>393,395</sup> Even though these results do provide evidence for the chlorination competency of meso-chloroiso-porphyrinoids in model systems, the relevance of such intermediates in CPO or MPO chemistry remains unclear.

Although direct evidence for hypochlorite formation via a Cmpd I species was not obtained, one study showed that addition of tert-butylammonium hypochlorite to the ferric hydroxide species, [(TPFPP)Fe<sup>III</sup>-(OH)], at low temperature gives a ferric bis-hypochlorite product, [(TPFPP)- Fe<sup>III</sup>(ClO)<sub>2</sub>]<sup>-</sup>, a species that is capable of sulfoxidation, epoxidation, and chlorination chemistry.<sup>396</sup> A predominant axial ligand effect was observed when comparing this species' reactivity to that of its monohypochlorite analogue, [(1-methylimidazole) (TPFPP)Fe<sup>III</sup>(ClO)], where the former was observed to be much more reactive. This could indicate that the ferric bis-hypochlorite adduct is a closer model of CPO, which features a more activating thiolate axial ligation on heme, as opposed to MPO, with an axially coordinating imidazole ligand.<sup>396</sup>

Synthetic models of Cmpds I and II have also been accessed through heterolytic (Cmpd I) and homolytic (Cmpd II) O–O bond cleavage of iron(III)-acylperoxide, -alkylperoxide, and -hydroperoxide species (see Scheme 8 and section 2.4). This methodology is particularly biologically relevant, considering that Fe<sup>III</sup>(<sup>-</sup>OOH) O–O cleavage is the main pathway that leads to Cmpd I- and Cmpd II-type species in catalases, peroxidases, and cytochrome P450s. Proximal axial base properties and hydrogen-bonding interactions with distal amino acid residues are critical aspects governing the O–O bond cleavage event of Fe<sup>III</sup>–OOR-type

intermediates within enzymatic systems, and these factors are discussed in detail in section 2.1.

#### 2.2. Dioxygen Binding at Hemoprotein Models: Ferrous-Oxy/Ferric-Superoxo Systems

2.2.1. Significance and Early Studies on Biological Heme-oxy Systems and **Their Models.**—For the past 60 years, a major portion of bioinorganic research efforts has geared toward modeling active sites of heme-containing proteins, especially on revealing the fascinating mysteries behind biological gas carriers and storage proteins with reversible gasbinding properties. This area was majorly fueled by the elegant crystallographic work by Perutz and Kendrew on structural properties of hemoglobin and myoglobin, respectively, <sup>54,55</sup> which earned them the Nobel Prize in 1962. Small molecule models of these hemoprotein active sites have significantly contributed to the current understanding of their biochemistry, most of which were initiated by the classic "picket-fence" porphyrin models of Collman in the early 1970s (vide infra).<sup>56,397</sup> In this review, we will summarize hemeonly model systems with different reduced derivatives of dioxygen ligated, starting from iron(III)-superoxo up to Cmpd I models (Figure 24). All of these adducts have been proposed as key intermediates for reversible dioxygen binding in O<sub>2</sub>-carrier/storage proteins and/or in enzymatic biomolecule transformations via oxidase or oxygenase activity15 (vide supra, section 2.1). In general, these intermediates are generated from heme Fe<sup>II</sup> or Fe<sup>III</sup> precursor complexes through either dioxygen activation or reactivity with reduced derivatives of dioxygen [i.e., superoxide  $(O_2^{-1})$ , peroxide  $(O_2^{2-1})$ , or oxide  $(O^{2-1})$ ] often under specialized reaction conditions. As such models allow facile variation of electronic and steric properties surrounding the Fe center, they often provide a very useful handle on probing structure-function relationships that are crucial for the optimal function of corresponding biomolecules.

**2.2.2. Ferric-Oxy/Ferric-Superoxo Model Systems.**—Designing model systems that can accurately mimic the geometric and electronic characteristics of iron(III)-superoxo moieties that form within biological heme-containing proteins continues to be a challenging task for model chemists. This is not merely due to their impaired thermal stability but also owing to the complexity of their electronic structure,<sup>70</sup> as well as their paramount significance in reversibility and cooperativity of dioxygen binding.<sup>56</sup> In fact, only a handful of oxy-heme models display cooperative dioxygen binding capability to-date.<sup>71,398</sup> Heme iron(III)-superoxo moieties can be readily generated when Fe<sup>II</sup> precursor complexes are exposed to dioxygen, although their isolation and stabilization in solution often requires cryogenic temperatures. In addition, aprotic and/or sterically encumbered environments may also enhance the stability of these iron(III)-superoxo adducts, since their proposed decay pathways have been shown to involve protonation and/or dimerization processes as described in detail below.<sup>79</sup>

Two main pathways have been proposed for the irreversible oxidative decay of heme iron(III)-superoxo moieties in solution. First, the  $\mu$ -peroxo pathway involves the interaction of a monomeric iron(III)-superoxo complex with a second equivalent of iron(II)-porphyrinate in solution giving a bridged peroxide ligand between two Fe<sup>III</sup> centers, (P)Fe<sup>III</sup>– ( $\mu$ -O–O)– Fe<sup>III</sup>(P) (P = porphyrinate ligand; also see Scheme 9). The basis for this

proposal comes from kinetic measurements of oxygenation carried out by Cohen and Caughey on 2,4-diacetyldeuteroporphyrin IX dimethyl ester iron(II) (Figure 25A), where they observed second-order and first-order rate dependencies with respect to Fe<sup>II</sup> and dioxygen concentrations, respectively.<sup>399,400</sup> This suggested that the rate-limiting step of dioxygen activation proceeds with a Fe:O<sub>2</sub> stoichiometry of 2:1, which was also confirmed by others in later studies.<sup>401,402</sup> In light of this work, the isolation and spectroscopic characterization of a (P)Fe<sup>III</sup>– ( $\mu$ -O–O)– Fe<sup>III</sup>(P) species in cryogenic temperatures was first reported by Balch and coworkers,<sup>403,404</sup> and propensity of such compounds to thermally decay giving a diiron(III)-monooxo-bridged "dimer", (P)Fe<sup>III</sup>– O–Fe<sup>III</sup>(P), was later reported.<sup>367</sup> Thus, the aforementioned  $\mu$ -peroxo species was proposed to undergo homolytic O–O bond cleavage giving two equivalents of Cmpd II [or (P)Fe<sup>IV</sup>=O, also see below], which then binds another equivalent of (P)Fe<sup>II.71</sup>

Second, a proton-facilitated decay pathway of iron(III)- superoxo adducts has also been proposed, where protonated superoxide dissociates, leaving behind the oxidized Fe<sup>III</sup> center. This has been presented as a plausible mechanism responsible for the formation of Methemoglobin (MetHb) from oxyhemoglobin (see section 2.1), where water may play a key role as a proton donor and/or a nucleophile facilitating the release of free superoxide. <sup>83,405</sup> Correspondingly, multiple hemoglobin and myoglobin mutant proteins have also provided evidence in favor of such a protonation-assisted decay pathway of their oxy- forms. <sup>405–408</sup> Although probing such a mechanism using model systems is much more complicated given the possibility of acid-base chemistry involving noninnocent ligands (such as axial imidazoles or pyridines). Momenteau and co-workers have observed that the decay of oxyheme "basket-handle" porphyrinates (Figure 25, panels K and M) display a sharp dependency on the water content in organic solvents (such as toluene and dichloromethane). <sup>79,409–411</sup> This is an interesting observation, given the fact that "basket-handle" porphyrin complexes are incapable of dimerizing (due to their extreme steric encumbrance) and therefore cannot proceed through a  $\mu$ -peroxo decay mechanism. Furthermore, a monomeric Fe<sup>III</sup>-OH moiety was observed as the final metal species following the decay process, along with the production of hydrogen peroxide (which is known to form by the disproportionation of protonated, metal-free superoxide), which led to the proposal of a second decay pathway summarized in Scheme 10.

In light of the insight into these mechanisms, two essential properties have been identified for model systems, in order to successfully mimic the dioxygen binding chemistry of hemoproteins: (1) a five-coordinate (5C) Fe<sup>II</sup> center including one equivalent of axially ligated base (e.g., exogenous or tethered imidazole or pyridine; importantly, imidazole has been shown to exceptionally improve dioxygen binding capability compared to pyridine)<sup>251</sup> and (2) steric bulk on either or both sides of the heme–iron center (e.g., "picket-fence", "capped", "strapped", or porphyrins with "hanging base"; see Figure 25, panels A, I, J, L, and M). Generating 5C iron(II) complexes with one equivalent of coordinated axial base is often challenging, due to the strong thermodynamic driving force toward the formation of 6C iron(II) complexes with two axial bases, also known as hemochromes.<sup>399,412,413</sup> However, fine-tuning of the steric properties has led to the successful formation and isolation of desired 5C iron(II) model complexes.

In 1973, Collman and Reed discovered the first 5C iron(II) model complex using tetraphenylporphyrinate (TPP) and axially ligated 2-methylimidazole (Figure 25D).414,415 The out-of-plane displacement of the high-spin iron(II) center in this 5C complex<sup>416</sup> minimizes steric interactions between the porphyrin and the methylimidazole ligand, allowing its successful isolation. In contrast, the corresponding low-spin complex (with two axial imidazole ligands) would move the Fe<sup>II</sup> center in-plane with the porphyrin ligand, resulting in a dramatic increase of the unfavorable steric interactions, which makes its formation an overall energetically uphill process. Since this work, numerous 5C iron(II)porphyrinate complexes have been generated and isolated following different methodologies, some of which have been characterized structurally.<sup>56,72,79,412,417,418</sup> Furthermore, despite being synthetically more demanding, several examples with porphyrinates with tethered axial bases have also been reported as an approach for generating stable 5C iron(II) complexes.<sup>79,419–423</sup> Due to the intramolecular iron-base coordination, these systems produce 5C Fe<sup>II</sup> complexes with greater yield and purity as compared to systems which require an exogenous base. However, in spite of the built-in steric bulk of the tethered bases, these systems have also exhibited dimer formation at cryogenic temperatures.424-426

Introducing steric bulk on single or both sides of a heme has also been utilized in order to minimize dimerization of Fe centers as proposed for the decay of iron(III)-superoxo adducts (Scheme 9). The formation of 5C iron(II) compounds of these face-hindered hemes can be more thermodynamically favorable than their 6C counterparts, which is desirable for designing models with close resemblance to the biological heme centers.<sup>79</sup> It is noteworthy, however, that these sterically encumbered hemes had been primarily designed to provide a preferential, protected binding site for dioxygen in most cases rather than to prevent dimerization or hemochrome formation.<sup>79</sup> Notably, the 5C Fe<sup>II</sup> complexes of these sterically crowded porphyrinates serve as close models for the dioxygen binding sites of myoglobin and hemoglobin, as observed and explained using X-ray absorption spectroscopy.<sup>62,427</sup>

The geometric and electronic properties pertaining to biological oxy-heme forms have been under severe literature debate (section 2.1.1), mainly due to the lack of structural understanding until the elegant, now classic model studies carried out by Coliman and coworkers on crystallizing the oxy- form of "picket-fence" porphyrin in the 1970s (Figure 26). 72,397,428–430 This porphyrinate macrocycle consists of four *ortho*-pivalamide phenyl groups at meso-positions, which creates an ~5.4 A deep hydrophobic pocket on one side of the iron center.<sup>397</sup> The 5C Fe<sup>II</sup> complex was initially prepared along with 1 equiv of 1methylimidazole, which exhibited reversible dioxygen binding capability. The crystal structure of the oxygenated complex displayed an end-on bent (Fe-O-O angle ~126°) dioxygen ligand in close agreement with Pauling's 1964 model<sup>431</sup> for describing oxy-Hb electronic structure (vide supra). This oxygenated complex crystallized in two space groups with four molecules in each unit cell, where the Fe–O–O plane was either parallel or perpendicular to the trans- axial imidazole plane. Thus, significant distortions resulted, albeit the preliminary structure was calculated with satisfiable accuracy. The structure reveals O-O distances of 1.23(8) and 1.26(8) Å, which are in good agreement with that of coordinated superoxide.<sup>432</sup> This and two other closely related heme iron(III)-superoxo complexes

(differing only by the substituents on the axially ligated imidazole) remain the only crystallographically characterized heme–superoxo species in literature to-date.<sup>72,429,430</sup>

Finally, this complex exhibited strong resemblance in its Mössbauer spectroscopic properties to those of oxy-Hb, reaffirming its suitability as an excellent synthetic mimic.<sup>414,434</sup> Furthermore, it has also been proposed that the pivalamide functionalities in "picket-fence" porphyrins and their derivatives could play a key role in facilitating dioxygen binding and stabilizing the oxy- form utilizing noncovalent interactions with dioxygen<sup>435</sup> as seen with a distal histidine in the protein environment.<sup>87,431,436</sup> However, steric properties of these bulky ligands could also significantly affect the dioxygen affinities of the ferrous center.<sup>437</sup> Interestingly, the successive structural work on oxy-hemoglobin and oxy-myoglobin by Shaanan<sup>69</sup> and Phillips,<sup>68</sup> respectively, have also revealed Fe-bound end-on angular superoxide moieties similar to those in Collman's models.

The effect of water molecules on the dioxygen affinity and selectivity at the heme center of the aforementioned  $O_2$  transport/storage proteins<sup>407,438,439</sup> have also been modeled by Collman and co-workers. In that study they utilized several "picket-fence" derivatives with tethered axial bases to provide evidence for a negative effect of water on the dioxygen binding kinetics, possibly due to its protic properties.<sup>440</sup> Furthermore, in addition to these oxy-heme adducts of "picket-fence" and related porphyrinates by Collman and co-workers, multiple research groups have synthesized and characterized a variety of such derivatives, as well as other sterically encumbered porphyrinates (with or without tethered axial bases; see Figure 25) with the intent of gaining more insight into the complicated dioxygen binding process of hemoproteins. These efforts have been comprehensively reviewed elsewhere. 56,79,441,442

More recently, Li, Schulz, Scheidt, and co-workers have reported on detailed structural, spectroscopic, and theoretical studies on the temperature-dependency of the Mössbauer signatures of three oxy-"picket-fence" species with different coordinated axial bases. 433,443 The Mössbauer spectral features of biological and synthetic oxy-forms are known to be similar; however, they exhibit atypically large quadruple splittings and line shapes that display unusual temperature-dependencies compared to other low-spin ferrous systems. The three oxy-compounds examined in this study were crystallized at variable temperatures (80-300 K), which revealed the presence of two independent orientations of the Fe-bound dioxygen unit and of the pivalamide groups. In all cases, the major dioxygen plane of the iron(III)-superoxo unit displayed a near-perpendicular orientation with respect to the axial imidazole ligand plane. Furthermore, the relative orientations of ligated dioxygen unit and pivalamide functionalities are strongly correlated with each other.<sup>444</sup> resulting in a strong coupling between the feasibility of dioxygen rotations with relative energetics of picket positions.<sup>433</sup> Interestingly, the previously reported iron(III) and iron(II) nitrite crystal structures of "picket-fence" porphyrinates do not exhibit such picket dynamics, 445-448 revealing the strong influence of the dioxygen ligand rotations on the large disorder of these oxy-heme crystal structures. Similarly, the oxy- forms of the cobalt analogs of these ligand systems also displayed tight correlation between the dynamics of coordinated dioxygen and the pickets.449

Furthermore, this work provides detailed descriptions of ligand dynamics revealed by variable temperature solid-state structures.<sup>433</sup> This report, for the first time, provides direct insight into the off-axis tilt (of 6.2°) of the coordinated dioxygen moiety of oxy-heme unit of "picket-fence" porphyrinates at 80 K. Such an off-axis tilt has been previously proposed via theoretical studies but lacked experimental evidence.<sup>443,450</sup> The authors of this study have reconfirmed previous observations of Lang and co-workers on Mössbauer spectroscopic features with unusual temperature-dependence, which is common to hemoglobin, myoglobin, and their model systems.<sup>414</sup> Moreover, the variable-temperature Mössbauer characteristics have been fitted with computational models based off of the two theoretical models introduced by Lang<sup>414</sup> and Oldfield.<sup>434</sup> Both models gave satisfactory fits for low temperature (<250 K) experimental data, albeit the quality of fits was observed to decrease with increasing temperature. The constant movement of the ligated dioxygen, and the complicated relationship of that with picket rotations resulting in vibronic contributions,<sup>451</sup> was proposed to induce significant dissimilarity between experimental and theoretical Mössbauer spectroscopic characteristics at higher temperatures.

In another recent study, Hedman, Hodgson, Solomon, and co-workers have revisited the long-standing controversy over the electronic structure description of oxy-heme adducts by analyzing the L-edge X-ray absorption spectroscopic (XAS) properties of 6C "picket-fence" (TpivPP) heme-superoxo species with axially ligated 1-methylimidazole (1-MeIm; see Figure 27).<sup>94</sup> Spectroscopic and theoretical investigations of the electronic structure of oxyheme species have often been complicated by the highly delocalized nature of the porphyrin ligand platform. However, iron L-edge data provides a useful handle to directly analyze the electronic structure of the iron center, despite its highly covalent ligand environment. The authors in this study compared and contrasted the spectroscopic features of the 6C oxyspecies with three reference complexes: the low-spin (S = 0)  $Fe^{II}$  complexes  $[(TpivPP)Fe^{II}(1-MeIm)_2]$  and  $[(TpivPP)Fe^{II}(1-MeIm)_2]$ , the low-spin (S = 1/2) Fe^{III} complex [(TPP)-Fe<sup>III</sup>(Im) 2](Cl),<sup>452</sup> and the intermediate-spin Fe<sup>II</sup> complex [(TpivPP)Fe<sup>II</sup>] (Figure 27). These reference systems were carefully selected in order to represent the electronically different heme-Fe centers of Pauling, Weiss, and McClure- Goddard-Harcourt models, respectively, described for oxy-heme adducts within biological systems (also see section 2.1.1, Figure 7).

The L-edge X-ray absorption spectroscopic signatures reveal that the oxy species, [(TpivPP) (1-MeIm)Fe<sup>III</sup>(O<sub>2</sub><sup>•-</sup>)], bears an electronic structure that is closely reminiscent of that of the low-spin ferrous reference complex, [(TpivPP)Fe<sup>II</sup>(1-MeIm)<sub>2</sub>], albeit with some differences in the high-energy region. These differences arise from the higher  $Z_{eff}$  of the oxy-complex due to strong  $\sigma$  and  $\pi$  interactions of the Fe-O<sub>2</sub> unit. Both other reference complexes, ([(TPP)Fe<sup>III</sup>(Im)<sub>2</sub>](Cl) and [(TpivPP)-Fe<sup>II</sup>]), exhibit strikingly different XAS features. These observations are intriguing, since they indicate that none of the three aforementioned electronic structural models are capable of perfectly describing the electronic properties of the highly covalent Fe-O<sub>2</sub> unit. In-detail spectroscopic investigations presented in this study, together with theoretical computations point out the fact that the oxidation state of the iron center in oxy-heme is between ferric and ferrous forms, predominantly due to the strong electronic perturbations exerted by the remarkably covalent heme ferric-superoxo moiety.

#### 2.2.2.1. Heme-Superoxo Model Systems with Potential Implications in Heme/Cu/O<sub>2</sub>

**Chemistry.:** Apart from "picket-fence" examples, other more recent examples of porphyrinates possessing both built-in steric bulk and functionalities that mediate secondary coordination sphere interactions are scarce but do exist. The "single-coronet" and "twin-coronet" ligand platforms from Naruta and co-workers are such examples (*vide infra*), which consist of highly sterically bulky substituted dinaphthalene moieties that create a hydrophobic local environment around the iron center, while offering hydroxide groups for secondary coordination sphere interactions (Figure 29). This unique ligand architecture has been shown to alter the iron-dioxygen and iron-carbon monoxide affinities,<sup>453–455</sup> with lowered and enhanced stabilities of iron-carbonyl and iron-oxy adducts, respectively, as observed for biological heme centers.<sup>407,456</sup> This is intriguing given that the CO affinity for free heme centers are  $\approx 20000$  times greater than that for dioxygen.<sup>457</sup> This remarkable change in affinity is brought about by suppressing back-bonding interactions that stabilize heme-carbonyl adducts, while exerting stability-enhancing hydrogen-bonding interactions on heme-dioxygen moieties (see Figure 28).<sup>453,458</sup>

The "twin-coronet" systems were initially synthesized as cytochrome P450 mimics bearing axially tethered thiolate groups with varying electron-donating capabilities (Figure 29). These systems have been later adopted as globin protein mimics and will be discussed below. The oxy-heme forms of cytochrome P450 models in general are noteworthy, since they're often severely destabilized by the strongly electron- donating anionic thiolate axial ligation. These thiolate ligands primarily aid in modeling the "push-pull" machinery, which predominantly contributes to the eventual O-O bond heterolysis in the enzymatic active site (see section 2.1). Although several 6C oxy-heme model systems with axial thiolate ligands have previously been reported in litera- ture.<sup>459,460</sup> the first fully characterized hemesuperoxo complex of this class (including vibrational spectroscopic characterization) was reported by Naruta, utilizing his unique "twin-coronet" ligand platform.<sup>458</sup> The built-in steric bulk on both sides of the "twin-coronet" not only prevents unfavorable autoxidation decay pathways of the superoxo complexes (vide supra) but also assists in protecting the tethered thiolate group from oxidative degradation via disulfide formation. These ligand systems are capable of unique modeling of the hydrogen-bonding interactions between the heme-superoxo moiety and a threonine residue (Thr252) at the cytochrome P450<sub>cam</sub> active site (see section 2.1.2.1),<sup>120</sup> by aforementioned noncovalent interactions between their heme-superoxo adducts and hydroxyl groups on the ligand coronets (see Figure 29).

These heme-superoxo complexes were generated from ferric starting complexes and one equiv of added potassium superoxide (KO<sub>2</sub>) under a dioxygen atmosphere in tetrahydrofuran (THF) at -80 °C. The complexes exhibited reversible dioxygen binding, with dioxygen ligand being readily replaced by carbon monoxide (CO). These superoxo complexes displayed fairly high thermal stabilities with halflives of 1 h at -20 °C and 5 h at 0 °C for the thiobenzyloxy and thioglycolate ligated adducts, respectively (see Figure 29). These differences in stabilities correlate with the reduction potentials of the corresponding Fe centers (thiobenzyloxy and thioglycolate ligated adducts displayed -1.35 V and -1.12 V vs Fc<sup>+</sup>/Fc for the Fe<sup>III</sup>/Fe<sup>II</sup> pair, respectively), where the system with the less electron-donating thioglycolate axial tether gives rise to the better-stabilized superoxo adduct. The rR spectra

for these complexes exhibited sharp features centered at 1138 cm<sup>-1</sup> (for the thiobenzyloxy ligated) and 1137 cm<sup>-1</sup> (for the thioglycolate ligated) with Soret excitation, which shifted to 1074 and 1073 cm<sup>-1</sup> upon <sup>18</sup>O-isotope substitution, respectively. Furthermore, these observed isotope shifts matched well with the calculated values for v(O–O) stretching frequencies, providing further evidence for the oxy-heme complexes of these new porphyrin systems. Intriguingly, these rR features and their isotopic shifts are also in good agreement with those of oxy-cytochrome P450<sub>cam</sub> [1139 ( $^{18}O_2 - 66$ ) cm<sup>-1</sup>], <sup>118,461,463</sup> which is known to possess a 6C low-spin ferric-superoxo adduct. These two heme-superoxo intermediates were also observed to possess hydrogen-bonding interactions between the hydroxyl groups of the dinaphthalene moieties of the "twin-coronet" ligand (see Figure 29) and the iron-bound superoxide ligand. Evidence for such an interaction originate from their rR spectra, where the observed v(O–O) frequencies were up-shifted by 2 cm<sup>-1</sup> upon deuterium exchange of the ligand hydroxyl functionalities. Similar shifts in v(O–O) frequencies upon hydrogen/deuterium exchange of hydrogen-bonding functionalities were also observed in previous work on oxy-cobalt hemoglobin and myoglobin.<sup>464–466</sup>

In a later study, Naruta and co-workers also synthesized hemoglobin/myoglobin models from the same "twin-coronet" ligand platform, by introducing a tethered imidazole or pyridine on to the porphyrinate periphery (see Figure 30).<sup>455</sup> These ligand systems again exhibit decreased CO affinity, thereby favoring dioxygen binding under competitive conditions. Thus, these mimics utilize secondary coordination sphere noncovalent interactions in order to lower CO-affinity at the Fe center, as seen in heme-containing binding pockets of Mb and Hb.<sup>69,438,467–476</sup> Specifically, the ligand-originated polar interactions about the nonpolar Fe-CO unit were spectroscopically observed to facilitate the decrease of backbonding between the iron center and CO ligand [unusually low v(Fe-O) =470 cm<sup>-1</sup> (rR) and high v(C-O) = 1994 cm<sup>-1</sup> (IR), as well as significant shielding of <sup>13</sup>C NMR features], making it destabilized with respect to the more polar Fe-O<sub>2</sub> unit. The tunability of dioxygen affinity at the heme center by altering polar properties within the distal binding site has been demonstrated in both biological and model systems: Momenteau had observed that the dioxygen affinity of "basket- handle" porphyrin (Figure 25) can be increased ≈10-fold by replacing its ether linkages by amide functionalities,<sup>477</sup> while replacing the distal histidine residue of Mb with glycine was observed to decrease its O<sub>2</sub>affinity by  $\approx 10$  times.<sup>407</sup> In similar work reported very recently, Boitrel and Hayashi have seen the increment of dioxygen affinity of a new strapped porphyrinate system change by 2 orders of magnitude just by replacing a distal ester functionality by a carboxylate group.<sup>478</sup>

The dioxygen adducts of these globin models of Naruta (Figure 30) displayed remarkable stability at room temperature in toluene, with half-lives up to several days. Furthermore, it has been previously observed that the dioxygen affinity at the iron center of "picket-fence" systems<sup>479</sup> and "basket-handle" porphyrinates<sup>477</sup> decreased upon the replacement of axial imidazole ligand by pyridine. Such an affinity shift is rather anticipated, given the enhanced  $\pi$ -acidity of the imidazole ligand compared to that of pyridine, which results in lowering of the dioxygen dissociation rate for the oxy-heme adduct. However, the "twin-coronet" ligand system showed a reverse dioxygen affinity, where the higher oxophilicity was observed for the one with axial pyridine ligated.<sup>455</sup> This reversal is proposed to result from large distortions in the oxy-species of the imidazole-ligated system, enhancing its O<sub>2</sub> dissociation

rate with respect to the pyridine-ligated. The axial imidazole and pyridine ligated hemesuperoxo adducts exhibited rR features for v(Fe-O) stretching centered at 586 ( $^{18}O_2 = -26$ )  $cm^{-1}$  and 583 (  ${}^{18}O_2 = -25$ )  $cm^{-1}$ , respectively. The upshift in energy of these features with respect to those of other Fe-oxy species in model systems ("basket-handle", 480 "picketfence",<sup>481</sup> OEP, TPP, TMP, and protoporphyrin IX<sup>482</sup> porphyrinates) as well as biological examples (Hb<sup>483</sup> and Mb<sup>484</sup>) was attributed to hydrogen-bonding interactions between the superoxide moiety and the hydroxyl groups of the coronet ligand. The  $\nu$ (O–O) stretching frequency could not be assigned unambiguously, possibly due to vibrational coupling of internal modes as observed in globin proteins.<sup>465,466</sup> In order to further confirm the intramolecular hydrogen-bonding interactions of the oxy- forms, the oxygenated species of "twin-coronet" systems were analyzed by rR spectroscopy. Here, the  $\nu$ (Fe-O) stretching frequencies displayed a unique temperature-dependency, where the v(Fe-O) features moved to higher energy [586 (  ${}^{18}O_2 = -26$ ) to 599 (  ${}^{18}O_2 = -29$ ) and 583 (  ${}^{18}O_2 = -25$ ) to 590  $(^{18}O_2 = -27)$  cm<sup>-1</sup> for axial imidazole and pyridine complexes, respectively] upon cooling down the sample from room temperature to -45 °C.<sup>455</sup> This behavior was attributed to increased back-bonding upon cooling down, strengthening the Fe-O bond, during which, the weakening of the O-O bond is anticipated. Furthermore, the O-H IR stretching frequency of the ligand hydroxyl groups significantly lost intensity in the oxy- species compared to deoxy- and carbonyl- adducts, providing further evidence for hydrogen bonding. Therefore, the "twin-coronet" ligand systems of Naruta and coworkers were capable of successful modification of both O<sub>2</sub> and CO affinities providing insight into how Mb and/or Hb would carry out highly selective physiological O<sub>2</sub>-binding despite the exceptionally large driving force to produce carbonyl complexes.

Interestingly, in a heme/Cu containing CcO model system of Naruta and co-workers, it was observed that the heme/Cu  $\mu$ -peroxo complex, Fe<sup>III</sup>–O<sub>2</sub><sup>2–</sup>–Cu<sup>II</sup>, formed upon oxygenation of the fully reduced compound (Fe<sup>II</sup>/Cu<sup>I</sup>), readily transforms into the heme–superoxo-Cu<sup>I</sup> species in 20% MeCN/THF solution at –70 °C (also see section 5).<sup>485</sup> This model consists of a porphyrin-linked copper chelate bearing a covalently linked imidazole-phenol cross-link, that mimics the histidine- tyrosine cofactor at the CcO active site (Figure 31).<sup>485,486</sup> The tethered axial imidazole generates the 5C ferrous center for initial O<sub>2</sub> binding.

The entire transformation from the fully reduced parent compound,  $Fe^{II}...Cu^{I}$ , to the heme/Cu  $\mu$ -peroxo adduct,  $Fe^{III}-O_2^{2-}-Cu^{II}$ , leading to the final heme–superoxo/Cu species,  $Fe^{III}-O_2^{\bullet-}...Cu^{I}$ , has been fully analyzed by rR spectroscopy, and only the v(Fe-O) stretching frequency of the heme– superoxo adduct was observed at 574 ( $^{18}O_2 = -26$ ) cm  $^{-1}$ . This assignment was reconfirmed by analyzing rR signatures of an authentic heme– superoxo complex prepared with the same ligand platform in the absence of Cu metal. Notably, in the absence of Cu<sup>I</sup>, the dioxygen binding to Fe<sup>II</sup> was observed to be reversible; however, with the inclusion of Cu<sup>I</sup>, the reversibility was lost. Thus, the Cu<sup>I</sup> ion in this case stabilizes the heme–superoxo adduct presumably through a Lewis acid- type interaction, preventing its reversal to Fe<sup>II</sup>. The formation of the bridged peroxo species, Fe<sup>III</sup>– (O<sub>2</sub><sup>2–</sup>)– Cu<sup>II</sup>, and its subsequent transformation into Fe<sup>III</sup>–O<sub>2</sub>•---Cu<sup>I</sup> is quite unique, and the authors propose that the initial oxygenation of the reduced metal complex gives rise to a cupric superoxo complex, Cu<sup>II</sup>–O<sub>2</sub>•- (for more details on Cu/dioxygen intermediates see section 3), which further reacts to give the Fe<sup>III</sup>–(O<sub>2</sub><sup>2–</sup>)– Cu<sup>II</sup> adduct. The strongly electron-donating

axial imidazole ligand most likely destabilizes this heme/Cu  $\mu$ -peroxo complex, causing its disruption even at low temperatures giving the more thermodynamically favorable Fe<sup>III</sup>– O<sub>2</sub>•-...Cu<sup>I</sup> combination. The redox potentials of the Fe<sup>III</sup>– O<sub>2</sub>•-/Fe<sup>III</sup>–O<sub>2</sub><sup>2–</sup> and Cu<sup>II</sup>/Cu<sup>I</sup> couples are thought to play a central role in the observed chemistry, where a large negative potential for the former couple than the latter would facilitate the formation of the observed product. Furthermore, a water-mediated hydrogen-bonding network involving the tethered phenol and the oxy-ligand may further facilitate the formation of the heme–superoxo complex (Figure 31). In support, upon added water, the faster decay of the heme/Cu  $\mu$ -peroxo complex to the heme–superoxo was observed, and upon replacing the hydroxyl functionality of the phenol with a methoxymethyl group, a drastic switch in the chemistry was observed, where the decay of the bridged-peroxo produced a heme/Cu  $\mu$ -oxo (i.e., O<sup>2–</sup>) complex, Fe<sup>III</sup>– (O<sup>2–</sup>)– Cu<sup>II</sup>. The latter decay pathway is commonly observed for thermal decomposition of heme/Cu  $\mu$ -peroxo complexes, as described in detail in section 5.

Recently, Naruta and co-workers have also reported the formation of a ferrous-superoxo complex by cryo-reduction of the corresponding ferric superoxide adduct.<sup>487</sup> Early studies on cryo-reduction of ferric-superoxo adducts, Fe<sup>III</sup>–O<sub>2</sub><sup>•-</sup>, of both biological and model heme centers<sup>488,489</sup> with  $\gamma$ -ray radiation in aprotic solvents, have been shown to generate ferrous superoxo adducts, Fe<sup>II</sup>–O<sub>2</sub><sup>•-</sup>. These are highly reduced oxygenated complexes with facile O-O bond cleavage capability, which could serve as important intermediates in catalytic dioxygen reduction reactions. These complexes are unique in the fact that the added electron on to the ferric-superoxo moiety is localized at the Fe center rather than the bound superoxo ligand, thus the electronic structure is best described as a ferrous-superoxo species, rather than a ferric-peroxo adduct. Typically, upon annealing at higher temperatures, these complexes produce ferric-peroxo or ferric- hydroperoxo complexes reaffirming their initial assignment as ferrous-superoxo complexes. As mentioned above, Naruta and co-workers have recently reported such a system, where the ferric superoxo complex of a system bearing a tethered imidazole moiety has been cryo-reduced using  $\gamma$ -ray radiation at 77 K in 20% MeCN/2-MeTHF (Figure 32).487 Further evidence comes from EPR spectroscopy of this  $Fe^{II}-O_2^{\bullet-}$  adduct, exhibiting a g = 2.10 signal, which is indicative of the uncoupled superoxo radical but not the Fe<sup>III</sup>-O<sub>2</sub><sup>•-</sup> or Fe<sup>III</sup>-O<sub>2</sub><sup>2-</sup> complexes. Furthermore, upon annealing at 173 K, the g = 2.10 EPR signal disappeared, upon concomitant emergence of new features corresponding to low-spin Fe<sup>III</sup>-OOH species (as previously observed by Naruta,<sup>490</sup> see section 2.4 for detailed discussions).

More importantly, the authors were able to carry out low-temperature rR characterization of the ferrous-superoxo complex, with the *v*(Fe-O) band detected at 459 ( ${}^{18}O_2 - 26$ ) cm<sup>-1</sup>. The *v*(O–O) stretching feature was not observed. It is interesting to note that the Fe-O stretching frequency in this case has been significantly down-shifted with respect to that of the corresponding Fe<sup>III</sup>-O<sub>2</sub><sup>•-</sup> (579 cm<sup>-1</sup>) and is directly comparable to the respective band in nonheme Ni<sup>II</sup>- O<sub>2</sub><sup>•-</sup> adducts [*v*(Ni-O) = 437 ( ${}^{18}O_2 - 21$ ) cm<sup>-1</sup>].<sup>491</sup> Moreover, the oxidation state sensitive v<sub>4</sub> band of the porphyrin shifts from 1367 to 1357 cm<sup>-1</sup> upon  $\gamma$ -ray irradiation, indicating the reduction of the Fe center. This study marks the only example in literature where the low-temperature rR characterization of a heme ferrous-superoxo complex has been reported. In addition, density functional theory (DFT) computations were also carried out in order to gain more insight into the chemical events involving the ferrous-

superoxo species. With optimized geometry, Fe-O and O–O distances of the iron(II)superoxo were calculated to be 1.93 and 1.37 Å, displaying a significant elongation of the FeO bond upon reduction, consistent with the down-shift of the *v*(Fe-O) rR feature by  $\approx$ 120 cm<sup>-1</sup> (*vide supra*).<sup>487</sup>

With an added proton, the ferrous-superoxo was observed to produce the ferric-hydroperoxo species as observed by EPR spectroscopy. The basicity of the ferrous-superoxo adduct was calculated to be much larger ( $pK_a \approx 39$ ) than its ferric counterpart ( $pK_a \approx 0$ ), corroborating the protonation of the former during annealing even in the absence of a known proton source. Authors suspect the proton source in this case as either adventitious water or solvent-based cation radical species resulting from  $\gamma$ -ray irradiation. Finally, the calculated reduction potential for the protonated ferric superoxo species was found to be +600 mV, indicating that the usually large thermodynamic barrier for reduction of ferric-superoxo into ferric-(hydro)peroxo is minimized with the presence of protons, suggesting a more PCET-like machinery. This detail is crucial in understanding biological enzymatic transformations, as well as in targeting efficient porphyrin-based oxygen-reduction catalysts and designing better biological mimics.

Another example of an iron(II)-superoxo adduct was characterized by Ivanovi -Burmazovi and co-workers, where an iron(III)-peroxide complex supported by a porphyrin-crown ether conjugate was observed to coexist in equilibrium with the corresponding iron(II)-superoxo species in DMSO solution (Figure 33).<sup>492</sup> The formulation of the latter was confirmed by IR, EPR, and Mössbauer spectroscopies, along with DFT computations, and the detailed solution behavior of this system has been characterized by high-pressure studies.<sup>493</sup> They propose that the iron(III)-peroxo  $\rightleftharpoons$  iron(II)-superoxo equilibrium favors the formation of iron(II)-superoxo upon added acid or coordinating solvent, giving Fe<sup>II</sup> decay products rather than Fe<sup>III</sup> (also see section 2.3).<sup>493,494</sup> Notably, an equilibrium of the aforementioned nature, and the dissociation of protonated-superoxide ions from the reduced metal center, may have implications in the proposed proton-assisted heme- superoxo decay pathways relevant to both biological and model systems (*vide supra*, Scheme 10).<sup>79</sup>

Several other examples of heme-superoxo complexes have also been reported by Karlin and co-workers, most of which have been subsequently utilized in the synthesis of their heme/Cu  $\mu$ -peroxo adducts, Fe<sup>III</sup>-O<sub>2</sub><sup>2–</sup>-Cu<sup>II</sup>, by several different methodologies (see section 5 for more information).<sup>495–497</sup> Their initial report on the [(F<sub>8</sub>)Fe<sup>III</sup>(O<sub>2</sub><sup>•–</sup>)] adduct [F<sub>8</sub> = tetrakis(2,6-difluorophenyl)porphyrin; see Figure 34] appeared in 1999,<sup>496</sup> which was detected as a transient intermediate en route to the heme/Cu  $\mu$ -oxo species, Fe<sup>III</sup>- O<sup>2–</sup>-Cu<sup>II</sup>, by stopped-flow experiments at low temperatures. In a subsequent study, the one-electron reduction of this superoxo complex with CoCp<sub>2</sub> to give the Fe<sup>III</sup>-peroxo adduct, [(F<sub>8</sub>)Fe<sup>III</sup>(O2<sup>2–</sup>)]<sup>–</sup> (side-on bound), was reported, which then led to the formation of the heme/Cu  $\mu$ -peroxo adduct, Fe<sup>III</sup>-O<sub>2</sub><sup>2–</sup>Cu<sup>II</sup>, upon addition of the copper(II) complex under cryogenic conditions.<sup>495</sup> The detailed characterization of the ferric-superoxo adduct, [(F<sub>8</sub>)Fe<sup>III</sup>(O<sub>2</sub><sup>•–</sup>)], was later reported in 2001, along with its entire decay pathway via rigorous spectroscopic analysis (Figure 34).<sup>498</sup> This information is critical in designing logical CcO heme/Cu models for dioxygen binding that eventually leads to O–O bond cleavage giving water. The [(F<sub>8</sub>)Fe<sup>III</sup>(O<sub>2</sub><sup>•–</sup>)] adduct was generated in THF solvent at -80 °C,

exhibiting complete reversibility of dioxygen binding. Its formulation was confirmed by manometric measurements of dioxygen uptake, where an Fe/O<sub>2</sub> stoichiometry of 1:1 was observed. In a later report, the 6C low-spin ferric-superoxo complex,  $[(THF)(F_8)Fe^{III}(O_2^{\bullet-})]$ , was further characterized by rR spectroscopy where v(O-O) and v(Fe-O) vibrations were identified at 1178 ( ${}^{18}O_2 = -64$ ) and 568 ( ${}^{18}O_2 = -24$ ), respectively.

Another useful technique that has been extensively used to gain insight into the properties of these fleeting intermediates is <sup>2</sup>H NMR analysis of metal species supported by porphyrinates with deuterated pyrrolic positions. This allows direct visualization of the deuterated pyrrolic positions, unobstructed by multiple other resonances of the macrocycle. Accordingly, the <sup>2</sup>H NMR spectrum of the superoxo adduct (with deuterated  $\beta$ -pyrrolic positions) provided evidence of a diamagnetic low-spin iron center ( $\delta_{pvrrole} = 8.9$  ppm), indicating the presence of a 6C Fe<sup>III</sup> center,  $[(THF)(F_8)$ -Fe<sup>III</sup>(O<sub>2</sub><sup>•-</sup>)], in the superoxo complex. The diamagnetism of Fe<sup>III</sup>–O<sub>2</sub><sup>•–</sup> complexes arise from the antiferromagnetic coupling between the unpaired electrons on the low-spin Fe<sup>III</sup> center and the radical anionic superoxo ligand (see section 2.1.1 for diamagnetism of oxy-Mb).<sup>79,499</sup> Upon warming to RT, the complete formation of the mononuclear high-spin  $[(F_8)Fe^{III}(OH)]$  complex is observed (Figure 34), with a paramagnetic <sup>2</sup>H NMR shift at  $\delta_{\text{pyrrole}} = 125$  ppm (recorded at -80 °C). Furthermore, the characteristic <sup>2</sup>H NMR shift corresponding to the  $[(THF)(F_8)Fe^{III}-(O_2^{\bullet-})]$  complex enabled its unambiguous formulation against a Fe<sup>III</sup>/Fe<sup>III</sup>  $\mu$ -peroxo dimer, [{(F<sub>8</sub>)Fe<sup>III</sup>}<sub>2</sub>(O<sub>2</sub><sup>2-</sup>)], <sup>367,403,498,500</sup> a highvalent ferryl species, [(F<sub>8</sub>)Fe<sup>IV</sup>=O], <sup>365,367,498</sup> a mononuclear ferric peroxo adduct,  $[(F_8)Fe^{III}(O_2^{2-})]^{-,495,498,501}$  or a  $Fe^{III}/Fe^{III} \mu$ -oxo dimer,  $[\{(F_8)Fe^{III}\}_2(O^{2-})]$ . <sup>502</sup> Moreover, the <sup>19</sup>F-NMR spectrum of (THF)  $[(F_8)Fe^{III}(O_2^{\bullet-})]$  complex shows two fluorine resonances at -111 and -113 ppm with near identical integrations, indicating two chemically different environments for the 2,6-difluoro substituents of the porphyrinate, presumably due to the two chemically inequivalent sides of the porphyrinate, with one bearing the superoxide ligand.<sup>367</sup>

Intriguingly, when the parent  $Fe^{II}$  complex,  $[(F_8)Fe^{II}]$ , was oxygenated in noncoordinating solvents such as toluene or CH<sub>2</sub>Cl<sub>2</sub>, the immediate formation of an Fe<sup>III</sup>/Fe<sup>III</sup> µ-peroxo dimer,  $[{(F_8)Fe^{III}}_2(O_2^{2-})]$ , was observed at -80 °C (see Figure 35), with complete reversibility in its formation observed in toluene as in the case of  $[(THF)(F_8)Fe^{III}(O_2^{\bullet-})]$  in coordinating solvents (vide supra).<sup>498</sup> The formulation of Fe<sup>III</sup>/Fe<sup>III</sup> µ-peroxo dimer,  $[\{(F_8)Fe^{III}\}_2(O_2^{2-})]$ , was further corroborated by dioxygen titration of  $[(F_8)Fe^{II}]$  in CH<sub>2</sub>Cl<sub>2</sub> at -80 °C, where a stoichiometry of 0.5–0.55 equiv of O<sub>2</sub> per equiv of [(F<sub>8</sub>)Fe<sup>II</sup>] was established. Interestingly, the dioxygenbinding in CH<sub>2</sub>Cl<sub>2</sub> was observed to be irreversible. <sup>2</sup>H NMR experiments carried out in CH<sub>2</sub>Cl<sub>2</sub> at -80 °C provided evidence for the formation of an initial mixture of Fe<sup>III</sup>/Fe<sup>III</sup>  $\mu$ -peroxo dimer, [{(F<sub>8</sub>)Fe<sup>III</sup>}<sub>2</sub>(O<sub>2</sub><sup>2-</sup>)], ( $\delta_{\text{pvrrole}} = 17.5$ ppm)<sup>367,403,498,500</sup> and 5C Fe<sup>III</sup>-superoxo adduct,  $[(F_8) - Fe^{III}(O_2^{\bullet-})] (\delta_{pyrrole} = 8.9 \text{ ppm})$ ,  $^{367,384,498}$  which upon warming up to -50 °C resulted in the full formation of the peroxobridged dimer in the expense of the superoxo species. Thus, the ferric superoxo complex was still an intermediate en route to the observed  $Fe^{III}/Fe^{III}$   $\mu$ -peroxo dimer in noncoordinating solvents. Upon warming up to room temperature, the complete transformation of  $[{(F_8)Fe^{III}}_2(O_2^{2-})]$  to  $[(F_8)Fe^{III}(OH)]$  was observed as expected. This dioxygen reactivity pattern was also followed by <sup>19</sup>F-NMR spectroscopy, providing further

evidence for the aforementioned mechanism for the stepwise conversion of  $[(F_8)Fe^{II}]$  into  $[(F_8)Fe^{III}(OH)]$ .

In accordance with the proposed  $\mu$ -peroxo decay pathway of heme–superoxo intermediates (vide supra; Scheme 9), the conversion of Fe<sup>III</sup>/Fe<sup>III</sup> µ-peroxo dimer to the final Fe<sup>III</sup>–OH species should proceed with the intermediacy of a ferryl species (Fe<sup>IV</sup>=O or compound II) resulting from the homolytic O–O bond scission (Figure 35).<sup>79,367</sup> In order to interrogate the existence of such a high-valent ferryl species in this system, Karlin and co-workers carried out <sup>2</sup>H NMR experiments in CH<sub>2</sub>Cl<sub>2</sub>, whereupon the addition of 1 equiv of 4-(dimethylamino)pyridine (DMAP, a coordinating base) to the Fe<sup>III</sup>/Fe<sup>III</sup> µ-peroxo dimer triggered the immediate formation of a new species with  $\delta_{pvrrole} = 3.5$  ppm, consistent with a 6C ferryl species, [(DMAP)(F<sub>8</sub>)Fe<sup>IV</sup>=O].<sup>365,367,498,503</sup> In support, the conversion of a Fe<sup>III</sup>/Fe<sup>III</sup> µ-peroxo dimer to the ferryl species upon the addition of 1-methylimidazole was previously observed by Balch and co-workers for tetraarylporphyrin systems.<sup>365–368,388</sup> The  $[(DMAP)(F_8)Fe^{IV}=O]$  species was observed to be indefinitely stable within the temperature range from -50 °C to -80 °C, whereupon further warming up, the final decay product  $[(F_8)Fe^{III}(OH)]$  is observed (Figure 35).<sup>498</sup> Finally, the addition of an excess of a coordinating solvent such as THF effected the direct conversion of the Fe<sup>III</sup>/Fe<sup>III</sup> µ-peroxo dimer to the  $[(F_8)-Fe^{III}(OH)]$  with the absence of any observable intermediate. Therefore, this work and Kitagawa's studies on tetramesityl-porphyrinate (TMP)<sup>384</sup> are two excellent examples where the stepwise decay of the initial ferric-superoxo complex has been clearly observed with the intermediacy of the corresponding ferryl species, providing further evidence for the  $\mu$ -peroxo decay model of metal-superoxo adducts described by Balch and co-workers (Scheme 9).<sup>367</sup>

Karlin and co-workers have also presented several other reports on heme-superoxo complexes based on the F8 (vide supra) platform bearing tethered axial nitrogenous bases (such as imidazole or pyridine moieties) and/or tethered tri- or tetradentate copper chelates. The first of this class, an F<sub>8</sub>-derived ligand platform with the tethered tetradentate copper chelate, TMPA (TMPA = tris(2-pyridylmethyl)amine; often referred to in the literature as TPA), known as <sup>6</sup>L, was shown to produce a clean ferric-superoxo complex at -80 °C regardless of the solvent properties.<sup>504</sup> By <sup>2</sup>H NMR studies (of the deuterated  $\beta$ -pyrrolic positions of the porphyrin), this solvent-independency was found to be due to the coordination of a pyridyl arm of the copper chelate (with no copper metal ion present; see Figure 36) to the Fe center giving a 6C ferric-superoxo complex in contrast to the case of  $F_8$ (vide supra). In THF solvent at -80 °C, the parent Fe<sup>II</sup> complex, [(X)(<sup>6</sup>L)- Fe<sup>II</sup>], was found to be 5C, existing as a mixture of species where  $X = THF (\delta_{pyrrole} = 95 \text{ ppm})$  or X = pyridylarm from the TMPA tether ( $\delta_{\text{pyrrole}} = 79 \text{ ppm}$ ). However, upon oxygenation, an immediate shift in the pyrrole NMR signals to  $\delta_{pyrrole} = 8.9$  ppm was observed, which upon warming up moves to  $\delta_{\text{pyrrole}} = 121$  ppm, indicating the formation of the final decay product [(<sup>6</sup>L)Fe<sup>III</sup>(OH)].<sup>505</sup> The pyrrole shift of the ferric-superoxo complex is evident of a 6C lowspin Fe<sup>III</sup> species (*vide supra*),  $[(Y)^{6}Fe^{III}(O_{2}^{\bullet-})]$ , where Y could be either a THF solvate or a pyridyl arm from the TMPA tether (Figure 36), the identity of which could not be unambiguously determined by <sup>2</sup>H NMR studies alone.

In DCM solvent, the  $[(X)(^{6}L)Fe^{II}]$  species could again be observed at -80 °C with  $\delta_{pyrrole} = 75 \text{ ppm}$ , where in the absence of a coordinating solvent, X had to be a pyridyl arm from the TMPA tether. Upon dioxygen binding, two pyrrole shifts are observed at 9.3 and 17 ppm, where the former is attributed to a 6C low-spin  $[(Y)(^{6}L)Fe^{III}-(O_2^{\bullet-})]$  species where Y = a pyridyl arm from the TMPA tether, while the latter is a minor impurity of a Fe<sup>III</sup>/Fe<sup>III</sup>  $\mu$ -peroxo dimer,  $[\{(^{6}L)Fe^{III}\}_2(O_2^{2^-})],^{498}$  which immediately rearranges to the more thermally stable 6C superoxo species ( $\delta_{pyrrole} = 9.3 \text{ ppm}$ ) upon warming up to -50 °C. As anticipated, upon warming up to room temperature, the superoxo adduct displayed the full conversion to the high-spin  $[(^{6}L)Fe^{III}(OH)]$  species with a pyrrole shift of 122 ppm. Nevertheless, if the coordinating pyridyl arm from the TMPA tether in the case of 6C low-spin superoxo adduct is intramolecular or intermolecular in nature, it could not be explicitly assigned by <sup>2</sup>H NMR interrogations carried out in this study. Finally, this 6C low-spin superoxo adduct displayed reversible O<sub>2</sub> binding both in THF and DCM, albeit with reduced stability in the latter.<sup>504</sup>

In a later study, Karlin and co-workers have described another F<sub>8</sub>-derived ligand with an appended MePY<sub>2</sub> (MePY<sub>2</sub> = bis[2-(2-pyridyl)ethyl]methylamine)]) fragment, <sup>2</sup>L (see Figure 37A), of which the Fe-only dioxygen binding chemistry to form a 6C low-spin superoxo adduct, and its implications in heme/Cu/O2 chemistry have been investigated.506,507 In other work, the Cu-only dioxygen chemistry of MePY<sub>2</sub> ligand platform has been well-established (see section 3).<sup>508–512</sup> The (<sup>2</sup>L)Fe<sup>II</sup> complex (with empty copper chelate) exhibited a 5C high-spin Fe<sup>II</sup> center in both solid-state (X-ray crystal structure) and solution (<sup>1</sup>H NMR studies) with one of the pyridine arms of the MePY<sub>2</sub> moiety coordinating to the Fe center. This complex, upon dioxygen binding at -80 °C in DCM, gave the 6C low-spin ferricsuperoxo species,  $[(X) - ({}^{2}L)Fe^{III}(O_{2}^{\bullet-})]$  (where X = a pyridine arm from the MePY<sub>2</sub> tether), with a <sup>2</sup>H NMR (on porphyrinate bearing deuterated  $\beta$ -pyrrolic positions) chemical shift of 9 ppm. Clean formation of this stable (under the aforementioned conditions) ferric-superoxide complex further establishes the fact that a 5C Fe<sup>II</sup> center is necessary for its formation, especially within noncoordinating solvents such as DCM (vide supra). Similar to F<sub>8</sub> and <sup>6</sup>L platforms, <sup>2</sup>L ligand also displayed reversible dioxygen binding of its Fe<sup>II</sup> complex, and upon warming up of the superoxide complex to room temperature, the high-spin  $[(^{2}L)Fe^{III}(OH)]$  species ( $\delta_{pyrrole} = 80$  ppm) was produced.

Following the characterization of the heme-only dioxygen chemistry, Cu<sup>I</sup> was added to the MePY<sub>2</sub> chelate of <sup>2</sup>L, effectively detaching the pyridine arm from the Fe<sup>II</sup> center in solution. Now, the spin-state of the Fe<sup>II</sup> center was observed to be highly solvent-dependent, where in strongly coordinating (such as pyridine), weakly coordinating [such as THF, acetone, EtCN (EtCN = propionitrile) or MeCN], and noncoordinating (such as DCM) solvents, low-spin (S = 0), high-spin (S = 2), and intermediate-spin (S = 1) iron centers were found by <sup>2</sup>H NMR, respectively.<sup>506</sup> The dioxygen binding/reduction in the formation of the heme/Cu  $\mu$ -peroxo adduct, [(<sup>2</sup>L)Fe<sup>III</sup>(O<sub>2</sub><sup>2-</sup>)Cu<sup>II</sup>]<sup>+</sup>, starting from the fully reduced Fe<sup>II</sup>/Cu<sup>I</sup> complex was analyzed by stopped-flow spectroscopic techniques in EtCN at -105 °C. In this case, the transient formation of the Fe<sup>III</sup>-superoxo/Cu<sup>I</sup> adduct, [(EtCN)(<sup>2</sup>L)-Fe<sup>III</sup>(O<sub>2</sub><sup>--</sup>)...Cu<sup>I</sup>(NCEt)] <sup>+</sup>,<sup>498,513</sup> was observed within 0.03 s of mixing, which rapidly transformed into the heme/Cu  $\mu$ -peroxo species after ≈0.3 s of mixing (Figure 37B). However, two indistinguishable isomeric forms of the heme-superoxide could be present in solution as highlighted in Figure 37, while independent Cu-only dioxygen activation studies (see section 3)<sup>508</sup> rule against the

formation of a cupric superoxide species under these conditions. Furthermore, when the heme/Cu  $\mu$ -peroxo species,  $[(^{2}L)Fe^{III}(O_{2}^{2-})Cu^{II}]^{+}$ , is reacted with 1 equiv of PPh<sub>3</sub> (PPh<sub>3</sub> = triphenylphosphine) in THF at -75 °C, the heme-superoxide to heme/Cu-peroxide chemistry is essentially reversed, and the 6C ferric superoxide ( $\delta_{\text{prole}} = 9$  ppm) and the cuprous-phosphine complex,  $[(THF)(^{2}L)-Fe^{III}(O_{2}^{\bullet-})\cdots:Cu^{I}(PPh_{3})]^{+}$ , are formed. The large thermodynamic driving force to form cuprous-phosphine complexes within a tridentate ligand environment is well-precedented in literature.<sup>514,515</sup> The heme-superoxo species thus formed thermally decayed into the high-spin  $[(^{2}L)Fe^{III}(OH)]$  (*vide supra*) generating the final decomposition product,  $[(^{2}L)-Fe^{III}(OH)\cdots:Cu^{I}(PPh_{3})]^{+}$ , in 47% yield.<sup>506,516</sup>

As very well-reviewed by Momenteau and Reed, Collman, and others, porphyrinates with tethered axial bases have been used in numerous studies to generate 5C Fe<sup>II</sup> centers for dioxygen binding, which are known to produce better- stabilized ferric superoxo species (vide supra).<sup>56,79</sup> Karlin and co-workers have also reported the reversible dioxygen binding chemistry of two such porphyrinates with either tethered imidazole (PIm)<sup>517</sup> or pyridine (P<sup>Py</sup>) (Figure 38).<sup>420</sup> The solution spin-states of the Fe<sup>II</sup> complexes of these porphyrinates vary in the same pattern as observed for F<sub>8</sub> ligand (vide supra), the only exception being that P<sup>Im</sup> possessing a 6C low-spin Fe<sup>II</sup> center (with a strongly interacting axially bound solvate) in THF resulted in diamagnetic pyrrole shifts (for deuterated  $\beta$ -pyrrolic positions) centered at 8.4, 10.9, and 12.6 ppm in its <sup>2</sup>H NMR spectrum. Both P<sup>Im</sup> and P<sup>Py</sup> Fe<sup>II</sup> starting complexes at -80 °C in THF gave 6C low-spin ferric superoxo species,  $[(P^{Im})Fe^{III}-(O_2^{\bullet-})]$ and [(P<sup>Py</sup>)Fe<sup>III</sup>(O<sub>2</sub><sup>•-</sup>)], with their <sup>2</sup>H NMR pyrrole chemical shifts centered at 9.8 and 9.1 ppm, respectively. The former was also recently characterized by rR spectroscopy, where the Fe-O and O–O stretches were observed at 575 ( $^{18}O_2 - 23$ ) and 1180 ( $^{18}O_2 - 56$ ) cm<sup>-1</sup>, respectively.<sup>518</sup> [( $P^{Py}$ )Fe<sup>III</sup>( $O_2^{\bullet-}$ )] has been utilized for the formation of a heme/Cu  $\mu$ -peroxo species,  $[(P^{Py})Fe^{III}(O_2^{2-})Cu^{II}(AN)]^+$  (AN = bis[3-(dimethylamino)propyl]amine; also see section 5), where the tethered axial pyridine was found to be dissociated.<sup>421</sup> In addition, both these superoxo complexes exhibited reversible dioxygen binding, where the iron(III)superoxo complex fully converted to the Fe<sup>II</sup> parent compound upon Ar bubbling and warming up to room temperature.<sup>420</sup> Moreover, the carbonyl complexes of the ferrous species,  $[(P^{Im})Fe^{II}(CO)]$  and  $[(P^{Py})Fe^{II}(CO)]$ , were also generated, exhibiting a v(C-O) IR stretch of 1985 cm<sup>-1</sup> for both examples. This was somewhat surprising in that the v(C-O)stretch of the carbonyl moiety does not seem to correlate with the electron-donating ability of the axial ligand (imidazolyl > pyridyl; $^{455,477,479}$  one would expect that with strong electron donation, the v(C-O) IR stretch would be reduced in energy due to back bonding, <sup>519</sup> however, a similar lack of correlation of electronic effects has been previously observed for other heme-carbonyl systems.<sup>519-521</sup>

Several examples of double-phase hindered porphyrinates<sup>522</sup> have also been investigated in dioxygen binding chemistry by Karlin and co-workers, where the top phase of the porphyrinate bears a tethered tridentate copper chelate similar to that in <sup>2</sup>L (Figure 37), while the bottom phase possesses a tethered nitrogenous base as in the case of P<sup>Im</sup> (Figure 38) for axial binding. [(<sup>3</sup>L)Fe<sup>II</sup>] (with empty copper chelate; see Figure 39) upon oxygenation in THF, toluene, or DCM produces the 6C low-spin ferric-superoxo complex, [(<sup>3</sup>L)-Fe<sup>III</sup>(O<sub>2</sub>•<sup>-</sup>)], at –80 °C as characterized by <sup>1</sup>H NMR spectroscopy and spectrophotometric titrations show a Fe:O<sub>2</sub> stoichiometry of 1:1. [(<sup>3</sup>L)Fe<sup>III</sup>(O<sub>2</sub>•<sup>-</sup>)] binds

dioxygen reversibly, exhibiting the complete reversal to  $[({}^{3}L)Fe^{II}]$  upon warming up to room temperature. The analogous complex  $[({}^{4}L)Fe^{II}]$  (Figure 39) displayed similar binding of dioxygen, albeit the reversibility was not observed. Such drastic differences in reversibility upon subtle alterations of the ligand architecture have also been previously observed in heme/Cu  $\mu$ -oxo complexes (see section 5).<sup>523</sup> In the presence of Cu<sup>I</sup>, both of these Fe<sup>III</sup>–O<sub>2</sub>• adducts were shown to form the heme/Cu  $\mu$ -peroxo species at low-temperatures; however, only the <sup>4</sup>L derivative gave the expected heme/Cu  $\mu$ -oxo complex,  $[({}^{4}L)Fe^{III}(O)Cu^{II}]^+$ ,<sup>502</sup> while the <sup>3</sup>L derivative gave  $[({}^{3}L)-Fe^{III}OH\cdots Cu^{II}]^+$  as the product of thermal decay.

Similar to the aforementioned examples where heme–superoxo adducts have been observed within heme/Cu platforms (*vide supra*), Collman and co-workers have reported a system where "stable" heme–superoxide have been observed even in close proximity to Cu<sup>I</sup> (Figure 40).<sup>524,525</sup> Such models are of great significance since the Oxy intermediate of CcO (referred to as A) is thought to bear a heme–superoxide moiety, prior to Cu<sup>I</sup>-mediated reduction to peroxide (see sections 4 and 6). Collman and co-workers exhibited the dioxygen binding chemistry of the heme/Cu complex, [(NMePr)Fe<sup>II</sup>/Cu<sup>I</sup>]<sup>+</sup>, in 5% MeCN/THF at room temperature with a stoichiometry of 1:1, yielding a diamagnetic product as observed by <sup>1</sup>H NMR, <sup>19</sup>F-NMR, and EPR spectroscopies (Figure 40). Unfortunately, rR experiments failed to reveal an O–O stretching frequency; nevertheless, an *v*(Fe–O) stretch was observed at 570

 $(^{18}O_2 = -26)$  cm<sup>-1</sup> (also see Table 3). This was attributed to a ferric superoxo species based on the v(Fe–O) stretching frequency of an authentic superoxo sample of the same system [575 (  ${}^{18}\text{O}_2 = -21$ ) cm<sup>-1</sup>].<sup>524</sup> Thus, this new species was formulated as the ferricsuperoxo species bearing a proximal Cu<sup>I</sup> center,  $[(NMePr)Fe^{III}(O_2^{\bullet-})\cdots Cu^I]^+$  (Figure 40). It is also noteworthy that  $[(NMePr)Fe^{III}(O_2^{\bullet-})\cdots Cu^I]^+$  is stable for up to few hours at room temperature and does not exhibit reversibility in its dioxygen binding chemistry. On the other hand, the copper-free [(NMePr)Fe<sup>II</sup>] complex does not bind dioxygen in coordinating solvents (as above) at room temperature but completely converts to the authentic ferricsuperoxo complex when cooled down to -60 °C as observed by <sup>1</sup>H NMR spectroscopy. The dioxygen binding is fully reversible in this case, giving the Fe<sup>II</sup> starting complex back either upon warming up to room temperature or applying reduced pressure. Thus, the presence of the Cu<sup>I</sup> ion remarkably increases the dioxygen affinity of the heme center, as well as the half-life of the heme-superoxo complex presumably due to increased H-bonding interactions between the oxy ligand and the pickets in the Fe/Cu system as previously observed for Co/Cu systems of the same ligand.<sup>526</sup> The Cu<sup>I</sup> center may exert Lewis acid like interactions that significantly affect the affinity and reversibility of dioxygen binding (as previously seen in Naruta's systems; see Figure 31), as well as the reactivity of the superoxo moiety (vide infra).

In a later study, Collman and co-workers have reported on the reactivity of this putative  $[(NMePr)Fe^{III}(O_2^{\bullet-})\cdots Cu^I]^+$  species with exogenous phenolic substrates introduced as tyrosine mimic compounds.<sup>525</sup> As mentioned above, such oxidative reactivity may be suggestive of Lewis acid like interactions between the superoxo moiety and the distal Cu<sup>I</sup> center, especially given that (heme)iron(III)-superoxo adducts are generally not strong oxidants toward organic substrates (compared to other reduced O<sub>2</sub>-derived species. When  $[(NMePr)Fe^{III}(O_2^{\bullet-})\cdots Cu^I]^+$  was reacted with 3–12 equiv of substituted phenol in DCM, the generation of 2 equiv of phenoxyl radical was observed and quantified using EPR standards.

Kinetic analysis of this reaction reveals a bimolecular process, with a second-order rate constant of 0.012 M<sup>-1</sup> min<sup>-1</sup>, resulting in formation of a heme-hydroperoxo species,  $[(NMePr)Fe^{III}(O_2^{\bullet-})\cdots Cu^I]+$ . This was then proposed to undergo Cu<sup>I</sup>-mediated intramolecular reduction leading to homolytic O–O bond rupture giving the ferryl,  $[(NMePr)-Fe^{IV}=O\cdots(HO)-Cu^{II}]^+$ . In evidence, when an excess of PPh<sub>3</sub> was added into the reaction mixture following the formation of 1 equiv of phenoxyl radical, the rapid generation of O=PPh<sub>3</sub> was observed, indirectly indicating the formation of the ferryl species upon reacting with 1 equiv of substrate. The second equivalent of the phenoxyl radical is proposed to rise from the reaction between the phenol and the ferryl species, presumably giving the highly thermodynamically favored Fe<sup>III</sup>-hydroxide end product,  $[(NMePr)Fe^{IV}=O\cdots(HO)-Cu^{II}]+$ .

Such systems with gradual reduction of dioxygen in the presence of an exogenous substrate are excellent models of the enzymatic transformation occurring within the CcO active site (see sections 4 and 5). However, the stepwise reduction of oxygenated species in the absence of substrates technically allows the detailed analysis of each intermediate leading to O-O bond cleavage. With this intent, chemical reduction of heme-superoxo species have been previously reported by Naruta and Karlin leading all the way up to hydroperoxo species in some studies (vide supra; see sections 2.3 and 2.4). In contrast, Anxolabehere-Mallart and co-workers have recently reported the electrochemical reduction of a ferric- superoxo species supported by meso-tetrakis- (pentafluorophenyl)porphyrinate ( $F_{20}$ ) in DMF at room temperature (Figure 41).<sup>527</sup> The formation of this superoxide adduct was achieved by reacting the Fe<sup>II</sup> complex with dioxygen in solution, which was subsequently reduced by cyclic voltammetry to give the ferric-peroxo species. This peroxide complex was then protonated with (CF<sub>3</sub>)<sub>3</sub>COH in the presence of an axially coordinating base 1-MeIm, to generate the hydroperoxo complex, [(F<sub>20</sub>)Fe<sup>III</sup>(OOH)]. In further evidence, the authors have followed the entire proposed reactivity landscape using other techniques such as spectroelectrochemistry, bulk electrolysis, and EPR spectroscopy. Interestingly, the authors have observed the formation of significant amounts of Fe<sup>II</sup> upon the addition of the 1-MeIm and (CF<sub>3</sub>)<sub>3</sub>COH to the peroxo complex, thus formulating the final decay product of the Fe<sup>III</sup>-OOH species as [(F<sub>20</sub>)- Fe<sup>II</sup>(OH)] (Figure 41).<sup>492–494,528</sup> In support, other studies have shown instances where Fe<sup>III</sup>-peroxo adducts are reduced to Fe<sup>II</sup> in the presence of 1-MeIm. 529

#### 2.2.2.2. Heme Superoxos with Five-Coordinate (5C) Iron or Side-On-Bound

**Superoxide.:** It is well-established that most heme protein active sites that carry out dioxygen binding/activation consist of 5C oxophilic Fe<sup>II</sup> centers, which upon  $O_2$  binding, produce low-spin 6C heme-superoxo species.<sup>17</sup> A majority of these adducts have been thoroughly characterized following numerous spectroscopic and theoretical analyses to possess an end-on bound superoxo ligand on the ferric center (*vide supra*; section 2.1). Accordingly, a majority of small molecule heme model systems have been designed to possess heme centers that mimic the same geometries as well as superoxide binding modes. However, a handful of model systems have been reported with structural alterations of the Fe-superoxo unit when compared with the traditional examples described in detail above. In 1982, Nakamoto and co-workers have investigated the dioxygen binding chemistry of

[(TPP)-Fe<sup>II</sup>] using matrix co-condensation techniques, where they generated the "base-free" Fe<sup>II</sup> complex, [(TPP)Fe<sup>II</sup>], from [(TPP)Fe<sup>II</sup>(pip)<sub>2</sub>] (pip = piperidine) under reduced pressure at 97 °C.<sup>530</sup> In this work, the [(TPP)Fe<sup>II</sup>(pip)<sub>2</sub>] complex was vaporized at 197 °C and cocondensed with cold argon gas or a dioxygen/argon gaseous mixture on a CsI window under helium gas, of which the IR signatures were measured at -258 °C. Upon condensation with dioxygen/argon mixture, they observed two new IR signatures, centered at 1195 ( $^{18}O_2 =$ -68) and 1106 ( $^{18}O_2 = -63$ ) cm<sup>-1</sup>, where the former exhibited greater intensity (×6) with respect to the latter. These frequencies fall within the typical v(O-O) range of heme iron(III)-superoxo species, and thus were attributed to two isomeric forms of the 5C ferricsuperoxo species, [(TPP)Fe<sup>III</sup>-(O<sub>2</sub><sup>•-</sup>)], formed by condensation. In support, variable concentration experiments revealed a Fe:O<sub>2</sub> stoichiometry of 1:1. Intriguingly, when this mixture was warmed up to -173 °C, the complete disappearance of the 1106 cm<sup>-1</sup> band was observed, and in its expense, the band at 1195 cm<sup>-1</sup> was observed to grow in. Intriguingly, cooling back down to -258 °C recovered the full intensity of the band at 1106 cm<sup>-1</sup>. This behavior indicated the thermal equilibrium present between the two aforementioned isomeric forms of  $[(TPP)-Fe^{III}(O_2^{\bullet-})]$  within the given environment. Although the identities of those intermediates were unclear in this initial work, in a later study they probed the same system with isotope scrambled dioxygen (<sup>16</sup>O<sup>18</sup>O) and normal coordinate computations.<sup>531</sup> This work, together with their previous studies on different Fe, Co, and Mn systems, 532 revealed that the isomer with the  $1106 \text{ cm}^{-1}$  IR feature corresponds to a ferric-superoxo species with a side-on bound dioxygen ligand, while that at 1195 cm<sup>-1</sup> corresponds to the end-on isomer of the ferric-superoxide (Figure 42). Although side-on Fe- superoxo species have previously been proposed for ligandfree iron-dioxygen systems,<sup>533</sup> this work by Nakamoto and coworkers marks the only example of a biomimetic side-on heme-superoxo adduct to-date.

Recently, another example of a 5C low-spin (end-on) heme superoxide (with a square pyramidal geometry) was observed by Harris and co-workers, which was isolated within the porphyrinic metal-organic framework (MOF) PCN-224 Fe<sup>II</sup>.<sup>534</sup> The MOF ficantly contributed to the isolation of this fleeting intermediate by preventing its undesirable dimerization reactions (vide supra). This species was also structurally characterized (Figure 43), revealing an end-on bound superoxo moiety with Fe–O and O–O distances of 1.79(1) and 1.15(4) Å, respectively, and an Fe- O-O angle of 118° reported. This work is therefore the only example with a structurally characterized "base-free" 5C heme-superoxide adduct. In this study, the ferrous heme complex, [(TPP)Fe<sup>II</sup>] was incorporated into the MOF giving an overall four-coordinate (4C)  $Fe^{II}$  center, which upon exposure to dioxygen at -78 °C, generated the superoxo adduct. Surprisingly, this Fe<sup>II</sup> parent compound did not react with dioxygen at room temperature but required the lowering of temperature in order for the reaction to proceed. Accordingly, upon warming up of the ferric-superoxo sample to room temperature, the complete reversal of dioxygen binding was observed with the generation of stoichiometric amounts of the parent Fe<sup>II</sup> complex. Variable temperature experiments reveal an Fe:O<sub>2</sub> stoichiometry of 1:1. In addition, these temperature-dependent measurements also provide insight into the energetics of dioxygen binding, where a binding enthalpy of -34(4)kJ/mol was found. This value is significantly lower than that of a 6C Fe<sup>II</sup> center (-63 to -65kJ/mol), which is attributed to the differences in redox potentials ( $\approx 250 \text{ mV}$ ) of their Fe<sup>II</sup>

centers. These findings point out to the critical significance of the axially coordinated base on strong binding of dioxygen at the Fe center of hemes, justifying the 5C Fe<sup>II</sup> complexes nature has chosen for dioxygen binding/storage and or reduction (see sections 2.1 and 4).

#### 2.3. Models of Heme Ferric-Peroxo Intermediates

Heme ferric-peroxo species are crucial intermediates within the catalytic cycles of hemecontaining oxygenases and oxidases, especially in the "peroxide shunt"-type pathways described in detail in section 2.1. Within biological systems, ferric-peroxo adducts typically possess a six-coordinate Fe<sup>III</sup> center, with an end-on bound peroxo ligand. Such species are highly destabilized, due to the electron-rich iron(III)-peroxo moiety, with a charged distal Operoxo-atom that is not coordinated to the metal center. Thus, until recently, it has been a challenging endeavor to design such end-on heme-peroxo intermediates within model systems, whereas most of the early model systems contained a side-on bound peroxo on the Fe<sup>III</sup> center. Another significant challenge faced in modeling iron(III)- peroxo systems starting from Fe<sup>II</sup> and dioxygen is that an exogenous electron has to be delivered to the iron(III)-superoxo adduct to be reduced to the iron(III)-peroxo level, while preventing the reduction of the Fe<sup>III</sup> center and/or any decomposition or dimerization of the metal adducts. Carrying out this second dioxygen reduction step has now been successfully achieved by both electrochemical and chemical reduction methodologies (vide infra). Such end-on heme-peroxo models may also reveal insightful information implicated with the CcO mechanism, where a peroxo-bridged Fe<sup>III</sup>/Cu<sup>II</sup> intermediate has been proposed during turnover (see section 4.2).<sup>535</sup>

**2.3.1. Binuclear Fe<sup>III</sup>/Fe<sup>III</sup>** *µ***-Peroxo Species.**—As mentioned above in section 2.2, these intermediates have been observed to form during the thermal decay of a variety of monomeric heme Fe<sup>III</sup>–superoxo intermediates, upon their interaction with a second equiv of the Fe<sup>II</sup> parent compound (Scheme 9).<sup>536</sup> These [{(porphyrinate)Fe<sup>III</sup>}<sub>2</sub>( $\mu$ -O<sub>2</sub><sup>2–</sup>)] species are typically generated by the oxygenation of ferrous forms of unhindered porphyrinates under cryogenic (~ -70 °C) conditions but decay rapidly when warmed up to ambient temperatures.<sup>404,500</sup> These complexes, similar to  $\mu$ -oxo Fe<sup>III</sup>/Fe<sup>III</sup> binuclear compounds, are typically diamagnetic due to the antiferromagnetic coupling of the unpaired spins on Fe<sup>III</sup> centers. Notably, unlike monomeric iron(III)–peroxo complexes (*vide infra*), these binuclear forms are highly inert toward substrate reactivity, presumably due to the steric hindrance experienced by the substrate while approaching the peroxo moiety, sandwiched between the two porphyrinates.<sup>388</sup> For example, [{(porphyrinate)Fe<sup>III</sup>}<sub>2</sub>( $\mu$ -O<sub>2</sub><sup>2–</sup>)] species were found to be inert toward triphenylphosphine; however, triphenylphosphine oxide formation was observed during their thermal decay. The rationale behind this observation is that a ferryl intermediate is formed following homolytic O–O bond cleavage (Scheme 9; section 2.2).

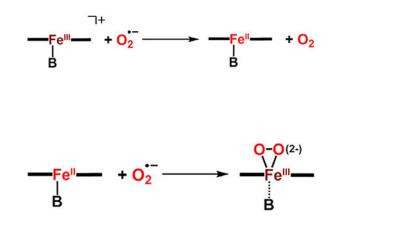
However, as described in section 2.2, the [{(porphyrinate)-  $\text{Fe}^{\text{III}}$ }<sub>2</sub>( $\mu$ -O<sub>2</sub><sup>2-</sup>)] species readily react with nitrogenous bases (such as piperidine, pyridine, or imidazole)<sup>335,365–367,498</sup> and SO<sub>2</sub><sup>537</sup> even at cryogenic temperatures (eqs 2 and 3). The

$$2B + (P)Fe^{III} - \left(O_2^{-2}\right) - Fe^{III}(P) \rightarrow 2(B)(P)Fe^{IV} = O \qquad (2)$$

$$\mathbf{SO}_2 + (\mathbf{P})\mathbf{F}\mathbf{e}^{\mathbf{III}} - (\mathbf{O_2}^{2}) - \mathbf{F}\mathbf{e}^{\mathbf{III}}(\mathbf{P}) \rightarrow (\mathbf{P})\mathbf{F}\mathbf{e}^{\mathbf{III}} - (\mathbf{O_2}\mathbf{SO}_2) - \mathbf{F}\mathbf{e}^{\mathbf{III}}(\mathbf{P})$$
(3)

former reaction (eq 2) intriguingly yields the six-coordinate low-spin Fe<sup>IV</sup>=O species (Cmpd II), upon homolytic O–O bond cleavage induced by axial ligation of the base, while the latter (eq 3) produces sulfate anions (either free or bridging between two ferric porphyrinates). Finally, these [{(porphyrinate)Fe<sup>III</sup>}<sub>2</sub>( $\mu$ -O<sub>2</sub><sup>2-</sup>)] species could be extremely photosensitive,<sup>384</sup> although some have been characterized by rR spectroscopy, possessing an Fe-O stretch centered within the range of 570–577 cm<sup>-1</sup>.<sup>538</sup>

**2.3.2. Monomeric Iron(III)-Peroxo Adducts.**—The first example of a synthetic iron(III)-peroxo complex was reported by Valentine and co-workers, where they subjected Fe<sup>III</sup> starting complexes of two porphyrinates (OEP and TPP, where OEP = octaethylporphyrinate and TPP = tetraphenyl- porphyrinate; see Figure 44) to excess KO<sub>2</sub> in DMSO or



(4)

(5)

MeCN solvent at RT.<sup>539</sup> At least two equiv of KO<sub>2</sub> were required, where the first equiv reduces the Fe<sup>III</sup> complex to Fe<sup>II</sup>, concomitantly producing O<sub>2</sub>; in the subsequent reaction, Fe<sup>II</sup> + O<sub>2</sub><sup>•-</sup> would yield the Fe<sup>III</sup> side-on bound  $\eta^2$ -peroxide complex (eqs 4 and 5, Figure 44). The presence or absence of an axially coordinated solvent molecule in the Fe<sup>III</sup>-O<sub>2</sub><sup>2-</sup> species could not be unequivocally determined. This pattern of reactivity was observed to be highly dependent on multiple experimental conditions such as the solvent, moisture content, concentration of the iron solution, and reaction temperature. For example, in strongly coordinating (such as pyridine), or noncoordinating solvents (such as toluene or dichloromethane), the formation of a stable six-coordinate low-spin Fe<sup>II</sup> complex, or the  $\mu$ oxo-bridged Fe<sup>III</sup>–(O)–Fe<sup>III</sup> species, were observed following the reaction with KO<sub>2</sub>, respectively, with no trace of the ferric-peroxo intermediate. Furthermore, in this work, the isolation of the iron(III)-peroxo complex from solution in trying to obtain X-ray quality crystals was unsuccessful, presumably due to its decay through a dimerization pathway. Therefore, spectroscopic characterization (UV-vis, EPR, IR, and rR) of solution samples was reported.<sup>539</sup> The IR and rR O–O stretching frequencies were detected at 806 and 780 cm<sup>-1</sup>,

respectively.<sup>538</sup> The combination of spectroscopic insights led to the formulation of these complexes as high-spin iron(III)-peroxo species with a rhombic symmetry, possessing a side-on bound peroxo ligand on the Fe<sup>III</sup> center.

In a later report, the tetramethylammonium salt of the corresponding iron(III)-peroxo complex of the OEP porphyrinate,  $[(Me)_4N][(OEP)Fe^{III}-(O_2^{2-})]$ , was isolated as a microcrystalline solid from the reaction between  $[(OEP)-Fe^{III}(Cl)]$  and  $[(Me)_4N](O_2^{\bullet-})$  in MeCN at -330 °C.540 Solution magnetic susceptibility measurements, Mössbauer isomer shifts, and zero-field splitting parameters (calculated from temperature-dependent EPR measurements) were all consistent with a high-spin Fe<sup>III</sup> complex within a rhombic symmetry, in agreement with the proposed side-on peroxo binding to Fe<sup>III</sup>. Following Valentine's initial report, Dolphin, James, and co-workers reported on the synthesis of  $[(OEP)-Fe^{III}-(O2^{2-})]^{-}$  via the reduction of the corresponding superoxo complex (i.e., the oxy-heme),  $[(OEP)Fe^{III} - (O_2^{\bullet-})]$ , by electrochemical methods.<sup>541</sup> The synthesis involved a fully reversible one-electron redox event at -30.24 V (vs Ag<sup>+</sup>/Ag<sup>0</sup>) in 1:1 MeCN/DMSO at -25 °C, as confirmed by spectroelectrochemical experiments. Other characterizations confirmed the peroxo species to also be a rhombic, highspin species.<sup>539</sup> In another report, Momenteau carried out structural characterization of  $[(TPP)Fe^{III}(O_2^{2-})]^-$  using EXAFS spectroscopy, where a side-on bound iron(III)-peroxo unit was again detected, with an Fe-O distance of 1.80(3) Å.542

The reactivities of metal-peroxo adducts are known to be either electrophilic<sup>543</sup> or nucleophilic, 544-546 for which multiple factors could weigh in, including the identity of the metal, supporting ligand/solvent properties, and conditions of the experiment. Valentine and co-workers interrogated the epoxidation reactivity of two ferric-peroxo heme complexes,  $[(TPP)Fe^{III}(O_2^{2-})]^-$  and  $[(TMP)Fe^{III}(O_2^{2-})]^-$ ,547 which only reacted with electron-deficient olefins, displaying nucleophilic reactivity. In a related study it was observed that by having strong electron-withdrawing substituents on the porphyrin ring [such as in TPFPP (TPFPP = 5,10,15,20-[tetrakis-(pentafluorophenyl)]porphyrin); see Figure 41; section 2.2.2], the nucleophilic epoxidation reactivity of the peroxo complexes could be turned off, while significantly increasing the stability of the peroxo complex.<sup>548</sup> However, even with electronwithdrawing substituents, the peroxo moiety could not be influenced to become electrophilic. Notably, with the coordination of an axial ligand (iron(III)-peroxo complexes of TPFPP were observed to readily bind axial ligands such as PPh<sub>3</sub> and DMSO), the nucleophilic reactivity of this previously unreactive iron(III)-peroxo complex could be turned on, exhibiting dramatic rates of epoxidation.<sup>529,548</sup> For example,  $[(TPFPP)Fe^{III}(O_2^{2-})]^-$  instantaneously reacted with menadione in DMSO, producing 60– 70% yield of menadione epoxide. This distinct increase in the nucleophilic reactivity of  $[(DMSO)(TPFPP)Fe^{III}(O_2^{2-})]^-$  as compared to  $[(TPFPP)-Fe^{III}(O_2^{2-})]^-$  was attributed to a more end-on like peroxo moiety in the former, with the charge-accumulated O atom more readily available as a nucleophile (Scheme 11).

In comparative studies, Valentine and co-workers demonstrated heme iron(III)-peroxo adducts to be much more nucleophilic than many other metalloperoxo species (such as the peroxo adducts of Mn<sup>III</sup>, Pt<sup>II</sup>, and Ti<sup>IV</sup>),<sup>549</sup> which may have been the reason for nature to select Fe as the active site metal of numerous dioxygen-dependent oxidation/oxygenation

enzymes. In light of these findings, it was also suggested that biological iron(III)-peroxo intermediates could be the active catalytic species responsible for O<sub>2</sub>-dependent olefin epox-idation reactivities of Cyt-P450. In support, they showed that the [(protoporphyrin(IX))Fe<sup>III</sup>-peroxo]<sup>-</sup> adduct displayed superior reactivity over several other synthetic porphyrin systems. <sup>548</sup> Moreover, Valentine also reported on a aromatase model utilizing the heme iron(III)-peroxo complex, [(TMP)- Fe<sup>III</sup>(O<sub>2</sub><sup>2-</sup>)]<sup>-</sup>, where it was concluded that the enolization of the steroid substrate at the 3-keto group is critical for its deformylation by the enzymatic heme-peroxo active oxi-dant.<sup>550</sup> Watanabe and co-workers also carried out in-detail analysis of aldehyde deformylation reactivity of iron(III)- peroxo models using derivatives of the TPP ligand platform.<sup>551</sup> Those reactions yielded both the deformylated and nitrilated products of the aldehyde substrate (Scheme 12 and Table 2), the latter as a result of substrate condensation with the solvent.

Given the biological significance of O–O bond cleavage of peroxoiron(III) species (vide supra), the further activation of the O–O bond in these peroxo adducts has long been of interest. Such reactions involving dimeric µ-peroxo Fe<sup>III</sup>/Fe<sup>III</sup> complexes were described above (section 2.3.1). For monomeric iron(III)-peroxo adducts, Khenkin and Shteinman demonstrated that upon the addition of acylating agents (such as anhydrides or acyl chlorides), iron(III)-peroxo complexes (of TPP and TMP porphyrinates) convert into the corresponding Cmpd I species (Scheme 13 A), probably with the intermediacy of an acylperoxoiron(III) intermediate that exhibits excellent hydroxylation capability of unactivated hydrocarbons.<sup>552</sup> Moreover, Weiss and Trautwein have reported the conversion of the iron(III)-peroxo species of the "picket-fence" porphyrin to the corresponding Cmpd II species upon reacting with CO<sub>2</sub> in THF at -370 °C (Scheme 13 B).<sup>503</sup> This Cmpd II product was characterized by UV-vis, <sup>1</sup>H NMR, EPR, and Mössbauer spectroscopies. This study is also notable since the authors prepared the iron(III)-peroxo adduct by chemical reduction of the iron(III)-superoxo species using one equiv of sodium bis(2-methoxyethoxy)aluminum hydride. However, the stoichiometry of the CO<sub>2</sub> interaction, and the mechanism of O-O bond cleavage in that study remain elusive, although the formation of a peroxymonocarbonate intermediate was proposed (Scheme 13B). In a later study, these authors reported an even more intriguing result where they could generate the Cmpd I species in noncoordinating solvents (such as DCM or toluene) from the same iron(III)peroxo species, upon its protonation (Scheme 13 C).<sup>553</sup> This serves as a direct mimic of the proposed mechanism for P450 enzymes, where O-O bond cleavage is triggered upon the protonation of the iron(III)-peroxo species at the distal O atom (vide supra). This protonation reaction displayed interesting solvent-dependency, where in coordinating solvents, such as THF, the generation of Cmpd II instead of Cmpd I was observed. The cyclohexene oxidation reactivities of the resulting Cmpd I and Cmpd II species were also investigated, where the former reacted readily to give cyclohexene oxide, while the latter was observed to be inert toward cyclohexene. It is of great interest how the identity of the added species (acylating agents, CO<sub>2</sub>, or protons) in the aforementioned studies could either lead to homolytic or heterolytic O-O bond cleavage of the ferric-peroxo moiety. Such variations in O-O bond cleavage patterns of iron(III)- peroxo/hydroperoxo (end-on bound in most cases) adducts have biological implications, given the differences in mechanistic details of CcO versus Cyt P450 or peroxidases (see sections 2.1 and 4.2).<sup>17</sup>

In more recent work, Karlin and co-workers demonstrated that the strong one-electron reductant CoCp<sub>2</sub> could be used to reduce the iron(III)–superoxo species of the F<sub>8</sub> porphyrinate (see Figures 34 and 35, section 2.2) to generate the corresponding monomeric side-on bound iron(III)-peroxo species,  $[(F_8)Fe^{III}(\eta^2-O_2^{2-})]^-$ , in THF at -80 °C (see section 5 for more detailed descriptions).<sup>495</sup>  $[(F_8)Fe^{III}(\eta^2-O_2^{2-})]^-$  was characterized by UV–vis, EPR, and 1H NMR spectroscopies and was shown to be spectroscopically analogous to Valentine's complexes (*vide supra*).  $[(F_8)Fe^{III}(\eta^2-O_2^{2-})]^-$  was subsequently utilized to assemble  $[(heme)Fe^{III}(O_2^{2-})-Cu^{II}(L)]^+$  complexes, taking advantage of the nucleophilic behavior of the ferric-peroxo adduct by reacting it with a ligand (L)-Cu<sup>II</sup> compound.

# 2.4. Generation and Characterization of Heme Fe<sup>III</sup>–OOH Models, and O–O Bond Cleavage of Heme Fe<sup>III</sup>–OOR-type Intermediates

**2.4.1. Generation of Heme Ferric-Hydroperoxo Models.**—Heme ferrichydroperoxo adducts (Fe<sup>III</sup>–OOH; also often referred to as Cmpd 0 in Cyt-P450 literature) are commonly proposed (or observed) intermediates in dioxygen activation by a series of hemoproteins, including heme monooxygenases such as Cyt-P450, peroxidases, and catalases, as well as in heme oxygenases (HOs); in the latter, as discussed, the Fe<sup>III</sup>–OOH species is thought to be the catalytically active oxidant (see sections 2.1). Within enzymatic O<sub>2</sub>-activation steps, Fe<sup>III</sup>–OOH intermediates originate from the reduction/protonation of the initial oxy-heme (i.e., ferric-superoxo) adducts and thus are comprised of a low-spin six-coordinate Fe<sup>III</sup> center with an axially bound end-on hydroperoxo ligand.

Heterolytic or homolytic O–O bond cleavage of  $Fe^{III}$ –OOH moieties give rise to the highvalent Cmpd I or Cmpd II, respectively. Formation of a bridged  $Fe^{III}$ –(OOH)–Cu<sup>II</sup> moiety at the CcO heme/Cu active site may precede homolytic O–O bond cleavage (with the aid/ involvement of the nearby Tyr residue) producing the spectroscopically observable Cmpd II intermediate,  $P_M$  (see section 4.2). Nature has carefully tuned the reactivity of these heme  $Fe^{III}$ –OOH intermediates within different enzymatic active sites, also evolving distal hydrogen-bonding amino acids or networks which may also support the placement of key water molecules which play a crucial role in hetero- or homolytic O–O reductive bond cleavage. In light of these biological implications, it has been a long-standing interest in the field to generate, and unequivocally characterize,  $Fe^{III}$ –OOH intermediates within model systems and to carry out detailed investigations into their O– O bond cleavage chemistry. However, it has been a challenging task, mostly due to the highly destabilized nature of lowspin 6C heme  $Fe^{III}$ –OOH intermediates when compared to their alkyl- or acyl-peroxo counterparts.<sup>146,347,554–557</sup>

Fe<sup>III</sup>–OOH intermediates were proposed in early studies involving the pH-dependent decomposition of  $H_2O_2$  by [(TPP)Fe<sup>III</sup>]<sup>+</sup> (TPP = tetraphenylporphyrin; see Figure 25, section 2.2)<sup>558</sup> but were neither observed nor characterized experimentally. To this end, Tajima and co-workers utilized rapid freeze techniques coupled with electronic absorption and EPR spectroscopies to study the first example of a heme Fe<sup>III</sup>– OOH model compound during the  $H_2O_2$  activation by [(TPP)Fe<sup>III</sup>(Cl)] at –45 °C in DMF solvent.<sup>559</sup> Notably, [(TPP)Fe<sup>III</sup>(OOH)] was only observed under basic conditions, where either tripropylamine or potassium hydroxide was used as the base. Success in the observation of Fe<sup>III</sup>–OOH

species also depended on initial reaction times (before freezing) and the presence of  $\sim$ 5% water. The development of an intense red color was characteristic of ferric hydroperoxo complex formation, and its EPR spectrum implied the presence of a low-spin ferric center, with characteristic peaks centered at  $g \approx 1.962$ , 2.157, and 2.264.<sup>559</sup> The axially ligating base was presumed to be <sup>-</sup>OH (Figure 45). In support, the related tert-butyl hydroperoxo ferric adduct had been previously characterized in methanol media and was found to bind methoxide as the axial base, having been formulated as [(OCH<sub>3</sub>)(TPP)Fe<sup>III</sup>(OO<sup>t</sup>Bu)].<sup>557</sup> Interestingly, when [(TPP)-Fe<sup>III</sup>(Cl)] was reacted with H<sub>2</sub>O<sub>2</sub> at 25 °C, key spectroscopic changes (resulting in very weak electronic absorption features along with an intense g = 4.3EPR signal) accompanied by a change in color was observed. These spectroscopic signatures were reminiscent of high-spin ferric centers of nonheme iron systems, 560-562 suggesting that porphyrinate ring destruction under these conditions may have occurred. Thus, this observation lent credence to the proposal that a six-coordinate Fe<sup>III</sup>-OOH intermediate plays the role of the key active oxidant in heme degradation catalyzed by heme oxygenase (vide supra). In support, when a solution of [(OH)(TPP)-Fe<sup>III</sup>(OOH)]<sup>-</sup>was warmed and then refrozen, spectroscopic features inherent to the final product mixture resulting from the room temperature experiments could be observed.559

Later work by Tajima and co-workers included the characterization of Fe<sup>III</sup>-OOH species derived from OEP and TMP porphyrinates (see Figure 25, section 2.2), carried out using the same rapid-freeze/UV-vis/EPR coupled methodology.<sup>563,564</sup> In the OEP system, the authors observed that the six-coordinate iron(III)-superoxo complex,  $[(py)-(OEP)Fe^{III}(O_2^{\bullet-})]$  (py = pyridine), readily reacts with sodium ascorbate or ascorbic acid in basic media to produce a new species with UV-vis and EPR features similar to those of  $[(OH)(TPP)Fe^{III}(OOH)]^-$  (Figure 46).<sup>563</sup> Ascorbate was observed to carry out the one-electron reduction of the ferric superoxo moiety, and the resulting ascorbate radical was observed in a frozen solution (-195 °C) EPR spectrum (g = 2.006). This reaction was again observed to be tightly solvent-dependent and was only productive in the DMF/water mixture at -340 °C. This ferric-hydroperoxo adduct was formulated as  $[(OH)(OEP)Fe^{III}(OOH)]^-$ , and in support,  $[(OEP)Fe^{III}(Cl)]$  reacted with H<sub>2</sub>O<sub>2</sub> in basic media (similar to the TPP work; *vide supra*) to give the same compound (Figure 46).<sup>563</sup>

In a later study, authors demonstrated the preparation of low-spin six-coordinate Fe<sup>III</sup>-OOH adducts of TPP, TMP, and OEP porphyrinates (see Figure 25, section 2.2) with imidazole as the axially coordinating sixth ligand.<sup>564</sup> Initially, the [(OH)(P)Fe<sup>III</sup>(OOH)]<sup>-</sup> (P = supporting porphyrinates mentioned above) complexes were prepared at -60 °C in a DMF/MeOH/ toluene/water mixture according to their previously published procedures (*vide supra*). <sup>557,563</sup> The frozen [(OH)(P)Fe<sup>III</sup>(OOH)]<sup>-</sup> samples were then thawed at -60 °C, and upon addition of a ~ 40 molar excess of imidazole, the formation of a new low-spin ferric species occurred for the TMP system, as the EPR g values changed from 1.963, 2.156, and 2.257 to 1.943, 2.191, and 2.320. Concomitant changes in the electronic absorption spectra were also reported.<sup>564</sup> In sharp contrast, for TPP and OEP porphyrins, heme degradation was observed upon the addition of ~25 molar excess of imidazole. The new ferric species formed in the case of TMP system was formulated as [(Im)(TMP)Fe<sup>III</sup>(OOH)] after careful analysis of EPR and crystal field parameters. This work exemplifies the much more robust nature of the

Fe<sup>III</sup>-OOH species supported by the somewhat more sterically encumbered TMP ligand system when compared to TPP- and OEP-based ferric-hydroperoxo adducts.

Catalase model systems reported by Nocera and co-workers supported by the "hangman" porphyrinates are proposed to operate with the intermediacy of Fe<sup>III</sup>-OOH adducts (Figure 47), which are readily formed by the reactivity between Fe<sup>III</sup> starting complexes and H<sub>2</sub>O<sub>2</sub>.<sup>565</sup> Importantly, the "hanging" carboxylic acid functionality was proposed to facilitate the O- O bond cleavage process by both hydrogen bond donation and proton transfer on to the distal O atom of the Fe<sup>III</sup>-OOH species. Accordingly, when the carboxylic acid was replaced by an ester functionality, the activity of the porphyrin complex reduced significantly. Interestingly, the addition of the same carboxylic acid exogenously (untethered) or changing the distance between the H-bonding functionality and the metal center (using a dibenzofuran-based tether to replace the xanthene-based tether) did not give the same efficiency as the initial tethered acid systems, suggesting the importance of the precise positioning of the protic/hydrogen-bond donating functionality in O-O bond cleavage.<sup>565</sup> A later study also revealed that the electronic properties pertaining to this Hbonding group within the secondary coordination sphere can significantly affect the catalase activity.<sup>566</sup> While these "Hangman" porphyrinates have been demonstrated to mediate both homolytic and heterolytic O-O cleavage depending on the reaction conditions, the carboxylate functionality has been observed to exclusively facilitate the heterolytic cleavage giving Cmpd I, as opposed to the ester group.<sup>567</sup> These points are directly related to heme enzyme active sites, where the exact positioning of some distal amino acids (often hydrogen bond donors) has been shown to be crucial for proper functioning, including crucial mechanistic events such as O-O cleavage (see section 2.1). Furthermore, this work suggests that the delicate balance between hydrogen bond or proton-donating properties of these distal amino acid functionalities are critical for optimal turnover frequency.

The first fully characterized series of Fe<sup>III</sup>-OOH model systems accompanied by critical vibrational spectroscopic characterization came from the more recent work by Naruta and co-workers.<sup>122,490,568</sup> Their first report of a low-spin six-coordinate Fe<sup>III</sup>-OOH species (Figure 48) supported by a TMP-derived porphyrinate with a tethered axial base, [(TMPIm)Fe<sup>III</sup>(OOH)],<sup>490</sup> exhibited a versatile synthetic profile: (1) an Fe<sup>II</sup> complex with addition of KO<sub>2</sub> produced the side-on bound Fe<sup>III</sup>-peroxo species, which could then be protonated with MeOH, (2) carrying out the  $Fe^{II} + KO_2$  direct reaction in MeOH, and (3) 1e <sup>-</sup> reduction of the Fe<sup>III</sup>-superoxo adduct, and subsequent addition of MeOH, all produced [(TMPIm)Fe<sup>III</sup>(OOH)]. This work has marked a significant advancement in the field <sup>44,569</sup> which successfully mimicked the protonation of a heme peroxo Fe<sup>III</sup>–O<sub>2</sub><sup>2-</sup> species to generate the respective heme Fe<sup>III</sup>–OOH species, as has been proposed for hemoproteins (see section 2.1). This new Fe<sup>III</sup>–OOH intermediate was thoroughly characterized by UV– vis, EPR, rR, and Mössbauer spectroscopies, where the rR O-O and Fe-O stretches were -47) and 570 ( $^{18}O_2 = -26$ ) cm<sup>-1</sup>, respectively.<sup>490</sup> Furthermore, upon H/D substitution of the Fe<sup>III</sup>–OOH moiety, the O–O and Fe–O stretching frequencies shifted 4 cm<sup>-1</sup> units higher and lower in energy, respectively, providing further strong evidence for the formulation of [(TMPIm)Fe<sup>III</sup>(OOH)]. The side-on peroxo complex of this system (Figure 48) was also characterized by rR spectroscopy, with O–O and Fe–O stretches observed at 807 ( $^{18}O_2 =$ -49) and 475 ( $^{18}O_2 = -20$ ) cm<sup>-1</sup>, respectively. The relatively lower-energy O–O and

higher-energy Fe–O stretches of  $[(TMPIm)Fe^{III}(O_2^{2-})]^-$  with respect to the parent  $[(TMP)Fe^{III}(O_2^{2-})]^-$  compound [v(O-O) = 809 and v(Fe-O) 470 cm<sup>-1</sup>]<sup>490</sup> indicates larger backbonding to the peroxo moiety in the case of the former. In support, the respective rR features of  $[(DMSO)(TMP)-Fe^{III}(O_2^{2-})]^-$  are virtually identical to those of  $[(TMPIm)-Fe^{III}(O_2^{2-})]^-$ .

Naruta and co-workers have also interrogated the dioxygen binding of a modified "hangman" ligand platform with an axially tethered imidazole moiety, where they generate the first example of an end-on low-spin heme–peroxo complex by one-electron reduction of the respective superoxo complex (Figure 49).<sup>122</sup> The scarcity of end-on heme–peroxo complexes presumably rise from their tendency to dimerize, or form side-on peroxo adducts, and/or undergo protonation to produce heme–hydroperoxo complexes (*vide infra*). The oxyheme complex in this study was generated from ferrous starting complex and dioxygen in 20% MeCN/THF at –30 °C (MeCN = acetonitrile). The superoxo complex only exhibited an isotope sensitive rR band at 582 ( $^{18}O_2 = -26$ ) cm<sup>-1</sup>, which was attributed to the *v*(Fe–O) stretching frequency.

The reduction of this superoxo complex with cobaltocene (CoCp<sub>2</sub>) resulted in a novel ferric peroxo complex, of which, the optical absorption and EPR features closely matched with those of peroxymyoglobin,<sup>570–572</sup> formulated as a 6C low-spin end-on iron(III)-peroxo system. The rR spectrum of this new peroxo intermediate exhibits two sets of peaks that are shifted as expected with <sup>18</sup>O-isotope labeling: 808 ( $^{18}O_2 = -37$ ) and 585 ( $^{18}O_2 = -25$ ) cm <sup>-1</sup>, as the v(O-O) and v(Fe-O) stretching frequencies, respectively. Although this v(O-O)stretching frequency is quite similar to that of heme side-on peroxo adducts (807 cm<sup>-1</sup>),<sup>490</sup> the v(Fe-O) feature is significantly up-shifted (475 cm<sup>-1</sup> for side-on peroxide) in the case of this end-on peroxide. This peroxo adduct could be readily converted to the hydroperoxo complex by reacting with  $\approx$ 400 equiv of MeOH in 20% MeCN/THF at -70 °C. Further characterization of the hydroperoxo complex was carried out by vibrational spectroscopy, where the v(O–O) and v(Fe–O) stretching frequencies appeared at 807 ( $^{18}O_2 = -31$ ) and 575 ( $^{18}O_2 = -25$ ) cm<sup>-1</sup>, respectively. These features were also sensitive to H/D substitution at the Fe-OOH moiety, where the v(O-O) band upshifted by 2 cm<sup>-1</sup>, while the v(Fe-O) band down-shifted by  $3 \text{ cm}^{-1}$ . Such behavior of rR features is revealing of hydrogen-bonding interactions involving the Fe-OOH unit.<sup>573,574</sup> Authors attribute this observation along with the 10 cm<sup>-1</sup> shift in the  $\nu$ (Fe-O) frequency upon protonation to possible interactions between added excess of MeOH solvent with both the Fe-OOH unit and the axial imidazole ligand, which could decrease the electron back-donation from the imidazole to the  $\pi^*$  orbital of the hydroperoxo fragment.<sup>122</sup>

In a much more recent study, Naruta has reported the formation of another hemehydroperoxo adduct (Figure 50, 3a), from the reaction between the corresponding iron(III)superoxo complex (2a) and the ferrous species (as the reductant; 1a in Figure 50) in 80% EtCN/CH<sub>2</sub>Cl<sub>2</sub> at -65 °C, utilizing a "hangman"-like ligand system bearing an in situ carboxylic acid group as the proton source.<sup>568</sup> In this study, EPR spectroscopy provided evidence for the presence of a small low-spin impurity along with the high-spin iron(III)superoxide complex upon oxygenation of the ferrous starting complex. Thus, a protoncoupled electron transfer (PCET) event from the starting ferrous complex (1a) to the ferric-

superoxo species (2a) was predicted, which would account for the small low-spin impurity of a Fe<sup>III</sup>-OOH adduct (3a) in solution. This proposal was further interrogated by the addition of 1 equiv of ferrous complex into a ferric-superoxo sample, where the formation of a 1:1 molar ratio of the low-spin Fe<sup>III</sup>-OOH species (3a) and the high-spin "naked" Fe<sup>III</sup> complex were confirmed by EPR spectroscopy. In further evidence, the same hydroperoxo complex could be generated by reducing the superoxo complex with the exogenous reductant CoCp<sub>2</sub>. Intriguingly, when the carboxylate functionality of the secondary coordination sphere was replaced by an ester group, the clean formation of the corresponding superoxo complex (2b) was observed, which produced the Fe<sup>III</sup>-OOH adduct (3b) upon reduction-protonation (Figure 50). The aforementioned Fe-oxygen adducts were also characterized by rR spectroscopy: (1) Fe<sup>III</sup>-O<sub>2</sub><sup>--</sup> species, 2a and 2b exhibited Fe–O stretches at 576 ( $^{18}O_2 = -26$ ) cm<sup>-1</sup> and 577 cm<sup>-1</sup>, respectively. The O–O stretching frequencies were not observed; (2) the Fe<sup>III</sup>-OOH complexes revealed their O-O and the Fe-O stretches centered at 807 ( $^{18}O_2 = -40$ ) and 811 cm<sup>-1</sup> for 3a and 579 ( $^{18}O_2 = -28$ ) cm<sup>-1</sup> and 576 cm<sup>-1</sup> for 3b, respectively. Upon H/D exchange of 3a, a 7 cm<sup>-1</sup> upshift of the O–O stretching frequency was observed, along with a 2 cm<sup>-1</sup> downshift of the Fe-O stretch, supporting its formulation as a Fe<sup>III</sup>-OOH adduct.

# 2.4.2. O–O Bond Cleavage Process in Fe<sup>III</sup>-OOR (R = H, Alkyl, or Acyl)

**Adducts.**—Reactivity studies of well-defined Fe<sup>III</sup>-OOH model systems are extremely scarce and, consequently, are often only discussed within the events en route to high-valent oxidants within enzymatic catalytic cycles. In fact, previous computational<sup>42,575–577</sup> and experimental<sup>578–580</sup> studies have suggested that these intermediates may very well be sluggish oxidants, when compared to highvalent Cmpd I- or Cmpd II-type species. In contrast, some theoretical studies have suggested that Fe<sup>III</sup>-OOH-type species can be very efficient oxidants in Cyt-P450-mediated sulfoxidation reactions.<sup>581</sup> Furthermore, the efficiency and accuracy of Fe<sup>III</sup>–OOH active species in "traditional" heme oxygenases clearly demonstrates the fact that these intermediates could be strong oxidants upon proper tuning of their steric and electronic properties. Unfortunately, it is difficult to arrive at definitive conclusions regarding key factors affecting their reactivity, given the severely limited set of synthetic examples found in the current literature.

As in the case of Fe-oxo intermediates (see section 2.1.5),<sup>363,582</sup> the nature of the axial ligation can significantly modulate the properties of Fe<sup>III</sup>–OOR (R = H, alkyl, or acyl) intermediates, thus, significantly affecting their O–O cleavage chemistry (i.e., heterolytic versus homolytic nature of O–O bond scission).<sup>49–52,328,583–585</sup> In early studies, Groves and Watanabe observed that [(TMP)Fe<sup>III</sup>(Cl)] (see Figure 25, section 2.2) plus *m*-CPBA would yield Cmpd I upon O–O bond heterolysis in polar solvents, while exhibiting O–O bond homolysis giving [(TMP)Fe-N-oxide] in nonpolar solvents (Scheme 14).<sup>50,52</sup> However, Krik and co-workers later reported that Cmpd I of this system could in fact be generated in nonpolar solvents under the same experimental conditions, in the presence of axially coordinating anions (such as triflate).<sup>586</sup> This work clearly reveals the prominent effect of axial ligation on O–O bond scission of the Fe<sup>III</sup>–*m*-CPBA adduct of TMP (Scheme 14). Furthermore, Nam and co-workers have reported on the disparities of [(X)(TPFPP)Fe<sup>III</sup>]-facilitated (also see Figure 41, section 2.2; X = axial ligand) oxygenation of hydrocarbons

using  $H_2O_2$  as the oxidant, where the feasibility and/or the yields of epoxidation and hydroxylation reactivities were observed to be strongly affected depending on the identity of X.<sup>583</sup>

Recently, van Eldik and co-workers carried out in-depth investigations into axial ligand influences on O–O bond scission of [(TPFPP)Fe<sup>III</sup>(OOH)] (see Figure 41, section 2.2) in the presence of organic substrates.<sup>585</sup> The TPFPP-supported Fe<sup>III</sup>–OOH adduct exhibited enhanced stability with respect to TMP-derived systems, presumably due to the electronwithdrawing nature of the former. In this work, the Fe<sup>III</sup>–OOH compounds were prepared following a similar methodology to that of Tajima (Fe<sup>III</sup> starting complexes plus H<sub>2</sub>O<sub>2</sub> in the presence of a base)<sup>559,563,564</sup> in MeCN at -15 °C. Intriguingly, the researchers were able to prepare both the five- coordinate, [(TPFPP)Fe<sup>III</sup>(OOH], and the six-coordinate complexes, [(OH)(TPFPP)Fe<sup>III</sup>(OOH)]<sup>-</sup>, where the latter displayed superior stability compared to its five-coordinate counterpart. [(OH)(TPFPP)Fe<sup>III</sup>(OOH)]<sup>-</sup> was observed to undergo heterolytic O-O bond cleavage giving the corresponding Cmpd I species, while homolytic O–O bond scission was observed for [(TPFPP)Fe<sup>III</sup>(OOH)] producing Cmpd II (Scheme 15).585 The reactivities of Fe<sup>III</sup>-OOH complexes were investigated against electron-poor olefins (for epoxidation) and triphenylphosphine (PPh<sub>3</sub>; for possible O atom transfer). However, they did not react with any of the substrates chosen, displaying a similarly inert nature as the side-on iron(III)-peroxo adduct of the same ligand platform,  $[(TPFPP)Fe^{III}(O_2^{2-})]^{-}$ , as previously reported by Valentine and co-workers (see section 2.3). <sup>529,548</sup> This inertness to oxidative reactivity is presumably due to the strong electronwithdrawing properties of TPFPP. Interestingly, this unreactive nature of the Fe<sup>III</sup>-OOH adduct is in contrast to the conclusions drawn by Newcomb, Hollenberg, Coon, and coworkers,<sup>53,587,588</sup> suggesting the Cyt-P450 Cmpd 0 as an alternative electrophilic oxidant in alkane hydroxylation reactions. However, it should be noted that these differences in reactivity profiles should be viewed within the caveat of the large differences between the geometric and electronic structures of the model system when compared with those of the P450 active site.

The O-O bond cleavage chemistry of Fe<sup>III</sup>-OOR intermediates are known to depend on a number of crucial parameters that are inherent to the Fe-porphyrinate, the HOOR-type oxidant, and the reaction conditions/medium. The classical work by Bruice<sup>47,585–595</sup> and Traylor<sup>48,593,594</sup> has presented contradictory conclusions on the O–O bond cleavage process in heme iron(III)-alkylperoxo adducts, where Bruice favored a homolytic bond cleavage, while Traylor reported evidence favoring a heterolytic mechanism. Bruice demonstrated that the Fe<sup>III</sup>–OOR adducts [where  $R = C(CH_3)_3$  or  $C(CH_3)_2Ph$ ] of meso-tetrakis(2,6dimethyl-3- sulfonatophenyl)porphyrinate undergo pH-independent homolytic O-O bond scission, with the concomitant formation of the expected R-based radical organic products. <sup>595,596</sup> On the other hand, the highly efficient alkene (cyclooctene and norbornene) epoxidation with the TPFPP porphyrinate and  $C(CH_3)_3OOH$  in methanol led to the proposal of O-O heterolysis, which was shown to give Cmpd I by Traylor.<sup>48</sup> However, Traylor's observations were put into question in light of the protic nature of the methanol solvent used. In the aforementioned intriguing report by Bruice and co-workers, the authors demonstrated that the meso-tetrakis(2,6-dimethyl- 3-sulfonatophenyl)porphyrinate can promote either heterolytic or homolytic O-O bond scission of its Fe<sup>III</sup>-OOR species depending on the

identity of the R group. 595,596 In this work, iron(III)-acylperoxo and -3alkylperoxo complexes underwent heterolytic and homolytic O-O bond cleavage, respectively, in aqueous media (Figure 51). It was also clearly observed that the homolytic O–O bond cleavage of the alkylperoxo adducts was pH-independent. However, Nam and co-workers have reported that some water-soluble porphyrinates facilitate pH-dependent epoxidation of stilbene utilizing alkylperoxides [HOOR, where  $R = C(CH_3)_3$  or 2-methyl-1-phenylpropan-2-yl] as oxidants, where the O–O cleavage mechanism was proposed to switch over from heterolytic to homolytic as the pH of the medium is elevated.<sup>597,598</sup> Accordingly, the yields of stilbene epoxide were observed to decrease with increasing pH. The latter observation was attributed to the inferior oxidative power of Cmpd II (compared to Cmpd I) resulting from the homolytic O-O cleavage that occurs at high pH values. Moreover, these researchers also observed that the electronic properties of the supporting porphyrinate could also significantly affect the O-O bond cleavage mechanism. That is, in agreement with the previous studies, <sup>599–601</sup> electron-withdrawing properties of the supporting ligand were observed to favor heterolytic O-O bond cleavage, while electron- donating substituents favored a homolytic pathway. The identity of the axial ligand was also observed to exert such effects; coordination of 5-chloro-1-methylimidazole and 1-phenylimidazole significantly increased the yield of stilbene epoxide, as compared to 1-methylimidazole or 1,2-dimethyli-midazole, which did not significantly modify the epoxidation efficiency, presumably due to their strong electron-donating nature.

The aforementioned pH dependence of O-O bond cleavage of Fe<sup>III</sup>-OOR adducts was further investigated by van Eldik and co-workers using the ferric complex of highly watersoluble, non-dimer-forming porphyrin TMPS (Figure 52a) along with H<sub>2</sub>O<sub>2</sub>, m-CPBA, and PhIO as oxidants.<sup>602</sup> When  $H_2O_2$  is used as the oxidant in MeOH: $H_2O$  (4:1) mixture at – 35 °C, the formation of Cmpd I as the sole oxidant was observed at pH < 5.5, while at pH >7.5 Cmpd II dominates in solution. Within the pH range of 5.5–7.5, both Cmpd I and Cmpd II were observed to coexist. Although differences in axial ligation were observed at different pH values, the O–O bond cleavage patterns were concluded to be primarily governed by the pH-dependence of redox properties of the iron center (see the Pourbaix diagram shown in Figure 52b). $^{49,602,603}$  Thus, the speciation of the heme was found to be tunable with respect to the pH of the medium, where the most thermodynamically stable form is different for various ranges in pH/E°'. The identity of the resulting Fe-based oxidant could also strongly depend on the redox properties of the oxidant used, where a strong two-electron oxidant (such as *m*-CPBA) could still produce Cmpd I at pH > 7.5, although it is rapidly transformed to the thermodynamically favorable Cmpd II form, emphasizing the fact that the genuine O-O bond cleavage product may not always be observable in solution, especially in the presence of subsequent energetically favorable redox processes. In a similar situation, Watanabe and co-workers reported that for O-O bond heterolysis of H<sub>2</sub>O<sub>2</sub> by Mb (peroxidase model), the Cmpd I species is only a fleeting intermediate and was found to rapidly get reduced to Cmpd II due to a subsequent energetically downhill redox event involving the nearby His64 residue.<sup>324–326</sup>

Further studies were carried out with the same porphyrinate and the *m*-CPBA oxidant (in  $H_2O/MeOH$  or  $H_2O/MeCN$  solvent mixtures), where the immediate oxidation products were analyzed at low temperatures using rapid-scan stopped- flow techniques.<sup>604</sup> This work in

H<sub>2</sub>O/MeOH revealed that the six-coordinate Cmpd II species,  $[(HOR)(TMPS)Fe^{IV}(O)]$  (R = H or  $CH_3$ ), that dominates in solution at pH > 7.5 originates from the homolytic O–O bond cleavage of a Fe<sup>III</sup>–OOR species that results from the reaction between the Cmpd I species and hydroxide/methoxide anions in solution (Scheme 16). In addition, the generation of Fe<sup>III</sup>–OR was also observed at high pH conditions (pH > 10), presumably following ligand exchange between the Fe<sup>III</sup>–OOR species and hydroxide/methoxide anions present in high concentrations under these experimental conditions (Scheme 16). When a large excess of m-CPBA is added under these highly basic conditions, the rapid, clean formation of Cmpd II, [(TMPS)Fe<sup>IV</sup>(O)(HOR)], was observed and is proposed to be the product of comproportionation between Cmpd I and Fe<sup>III</sup>-OR species. Notably, the switch in reaction solvent to H<sub>2</sub>O/MeCN alters the reaction landscape remarkably, where the clean formation of Cmpd II is observed at high pH, which is the product of comproportionation between Cmpd I and Fe<sup>III</sup> starting complex. Unlike when MeOH was present, there was no trace of the ligand substitution pathway that leads to Fe<sup>III</sup>–OR in this case. Overall, this study revealed that with *m*-CPBA, Fe<sup>III</sup> starting complexes would always produce Cmpd I; however, depending on its stability under the given conditions, either Cmpd I or a furtherreduced species may accumulate in solution.

The effects of an axially coordinated imidazole ligand in the formation of the same Cmpd II (starting from Fe<sup>III</sup>–OH and H<sub>2</sub>O<sub>2</sub>) has also been investigated in water,<sup>605</sup> where biphasic kinetics have been observed. In that, the rate of formation of Cmpd II initially increased linearly with the N-methylimidazole concentration, followed by a sharp decrement, finally reaching a constant value. This unique kinetic profile was attributed to the initial formation of the five-coordinate monoimidazole ferric species in solution, which is much more reactive than the starting Fe<sup>III</sup>-OH complex. As described above, axially ligated imidazolyl groups will "push" electron density on to the  $\pi$ - antibonding orbitals of the iron(III)-peroxo moiety in this case, <sup>317,318,594</sup> which presumably leads to the rapid formation of Cmpd II. As the imidazole concentration increases, the formation of the kinetically inert low-spin six coordinate bisimidazole Fe<sup>III</sup> species results, effectively lowering the rate of Cmpd II formation. Mechanistically, this second process was proposed to proceed via the initial protonation of the bound imidazole by H2O2, followed by the exchange of protonated imidazole with the HOO<sup>-</sup> anion. This proposal is supported by thermodynamic studies that indicate an overall associative process.<sup>605,606</sup> Similar studies for the formation and stabilization of Cmpd I intermediates in aqueous media are much rarer; however, recent work by Groves and van Eldik have revealed that the successful preparation of Cmpd I intermediates can be carried out in moderately acidic (pH = 4.5-5.0) aqueous media at 5-10 °c. 351,607 A detailed discussion on the formation and reactivity of Cmpd I/II models is found in section 2.1.5 of this review.

In summary, the subtleties involved in O–O bond cleavage are known to depend on a number of critical factors involving the identity and properties related to (1) the ROOH-type oxidant (including the redox properties and the identity of the R group), (2) the electronic properties of the supporting porphyrinate, (3) electron-donating power of axial ligands, and (4) the reaction medium and experimental conditions (such as the pH, temperature, etc.). Despite its crucial importance within biological systems including CcO, the understanding of the O–O bond cleavage process of Fe<sup>III</sup>-OOH species is still in its infancy. Such studies on discrete

Fe<sup>III</sup>–OOH examples, such as those reported by Naruta (*vide supra*) could reveal critical factors pertaining to axial ligand effects, pH influences, and/or solvent effects that choreograph the O–O bond cleavage mechanism of these pivotal intermediates.

# 3. COPPER CHEMISTRY WITH DIOXYGEN: BIOLOGY AND MODEL SYSTEMS

The undeniably rich chemistry of copper with dioxygen has motivated biochemical and synthetic inorganic studies, which have shed light on the important aspects of metal ion coordination environment and electronic structure that control the outcomes and mechanisms of various chemical reactions. Copper-containing metalloenzymes employ mononuclear or binuclear copper active sites to carry out diverse functions, including partially (by 2e<sup>-</sup>) or completely (by 4e<sup>-</sup>) reducing dioxygen; a process which is often coupled to substrate oxidation or oxygenation (vide supra, section 1, Figures 3 and 4). Importantly, as relevant to the focus of this review, a thorough understanding of copper- $O_2$ interactions is valuable in order to comprehensively analyze heme-Cu systems' reactivities with dioxygen. Therefore, in this section, we outline important O2-activating enzymes containing copper and discuss the variety of proposed intermediate structures, of the general form,  $(Cu)_n - O_2(H)$  (where n = 1, 2). Additionally, we highlight the bioinspired, synthetic inorganic studies which have elucidated important structure-function relationships based on systematic ligand modifications and rigorous spectroscopic analyses. Many results from these studies have informed the design of heme- and copper-containing HCO models and have aided in the interpretation of their structure-directed reactivities and the O-O reductive cleavage mechanism. As the field is rapidly advancing, several recent reviews have thoroughly covered the latest important advances;<sup>18,19,608–612</sup> therefore, here we will provide a brief introduction into copper metalloenzyme biochemistry but focus on the aspects of Cu–O<sub>2</sub> chemistry which have been or can be directly applied to the design of heme-copper model complexes and the overall understanding of heme-copper oxidase (bio)chemistry.

## 3.1. Single Copper Site Enzymes

Metalloenzymes which contain a single copper ion in their active site can be classified, based on their active-site copper coordination environment and function, as "Type I" blue copper proteins (or cupredoxins) or "Type II" (nonblue copper proteins). Type I blue copper enzymes, such as plastocyanin and azurin, have  $(N_{His})_2$ - $(S_{Cys,Met})_2$  coordination and function as electron transfer proteins rather than interacting with dioxygen or other small molecules; therefore, we will not focus on their properties or reactivity here, and instead the interested reader is directed to several recent reviews.<sup>16,19,613,614</sup>

In contrast, Type II copper enzymes have an active site with a single Cu ion possessing  $(His)_2$  or  $(His)_3$  imidazole coordination and partially (by 2e<sup>-</sup>) or fully (by 4e<sup>-</sup>) reduce dioxygen. Enzymes in this family include amine oxidase and galactose oxidase (Figure 53),  $^{615-621}$  along with lysyl oxidase, the latter being a potential target in cancer treatment.<sup>622</sup>

Several so-called uncoupled dicopper monooxygenase enzymes exhibit similar reactivity, wherein dioxygen chemistry (i.e.,  $O_2$  binding and reduction, and substrate oxygenation) occurs at a single copper site (termed  $Cu_M$ ) and the other copper ion ( $Cu_H$ ) regulates electron transfer. Interestingly, in these cases, the copper ion controlling electron transfers ( $Cu_H$ ) is a Type II-like center, and the  $O_2$ -binding site  $Cu_M$  is ligated by two His and one Met residue (Type I-like). Such enzymes include dopamine  $\beta$ -monooxygenase ( $D\beta M$ ), peptidylglycine  $\alpha$ -hydroxylating monooxygenase (PHM), and tyramine- $\beta$ -monooxygenase ( $T\beta M$ ) (Figure 54A).<sup>19,623</sup> It is now generally agreed upon that the initial copper(I)-dioxygen adduct forms at  $Cu_M$ , a  $Cu^{II}(O_2^{\bullet-})$  complex; the substrate also binds to the protein in close proximity to  $Cu_M$ . An X-ray crystal structure in an  $O_2$  bound (oxy) state has been reported by the Amzel research group (Figure 54A).<sup>624</sup>

In the proposed mechanism of action, the cupric-superoxide fragment effects a substrate hydrogen-atom transfer (HAT) reaction to give a product hydroperoxo-copper(II) complex plus substrate radical species (Figure 55).<sup>625</sup> In fact, Amzel's group more recently characterized a PHM derivative with a side-on peroxo or hydroperoxo entity bound to Cu<sub>M</sub> (Figure 56), giving credence to the possibility of such an intermediate structure forming in the catalytic cycle.<sup>626</sup> Such entities are known in copper coordination chemistry (vide infra) and may be critical in the PHM enzymatic pathway.<sup>625</sup> In previously discussed mechanisms, <sup>612,627</sup> O–O reductive cleavage is said to occur with substrate rebound giving the product alcohol and Cu<sup>II</sup>-oxyl (a "cupryl", Cu<sup>II</sup>-O· high-valent species, to be further discussed, vide infra) as shown in Figure 55, pathway 1; the latter abstracts an electron from Cu<sub>H</sub> and with proton transfer gives a Cu<sup>II</sup>-hydroxide species. Solomon's most recent calculations<sup>625</sup> provide an advanced mechanistic model, where the substrate radical formed attacks (rebounds) the non-protonated O atom of the side-on bound hydroperoxide ligand, and with O-O cleavage, a strong oxidant containing a Cu<sup>II</sup>-substrate radical forms, "pulling" the Cu<sub>H</sub> electron, to give the same products (Figure 55, pathway 2). Critical to the analysis of this pathway, is the discussion of the importance and relevance of unusual properties ascribed to the Cu<sub>H</sub> site, which possesses an unusual coordination geometry. Cu<sub>H</sub> is most often observed with a distorted T-shaped geometry (His)<sub>3</sub> (see discussion below) and does not seem to bind typical anions (e.g., azide, nitrite) unless exposed to very large excesses.<sup>625,628</sup> This electron-transfer center possesses a large Marcus theory reorganization energy ( $\lambda$ ), that being important to the overall PHM mechanism, as it allows "time" for substrate radical rebound, prior to electron-transfer reduction.<sup>625</sup>

Further discussion of the coordination chemistry of the (His)<sub>3</sub>-ligated Cu<sub>H</sub> center is warranted (Figure 54A). Here, we see a very infrequent ligation of adjacent His residues, H107 and H108. Such coordination is nonexistent in iron, manganese, and zinc biochemistry, and it is unusual in copper biochemistry, except for PHM and D $\beta$ M and the Cu<sub>B</sub> site in cytochrome oxidases (i.e., HCOs), see Figure 57 and further discussion in section 6. Histidine-rich peptides are found to be important in biological contexts, and in particular when possessing adjacent "bis-His" residues, a high affinity for cuprous ions is observed, and linear two-coordinate imidazole- Cu(I)-imidazole complexes readily form, usually with short (Cu–N<sub>Im</sub> = 1.86–1.88 Å) strong bonds.<sup>629–632</sup> Interestingly, adjacent bis-His residues are also found within the metal binding region of the 40–42 amino-acid long Alzheimer's disease A $\beta$  peptide.<sup>629,633,634</sup>

Many PHM X-ray structures show  $Cu_H$  is coordinated in a T- or Y-shaped geometry, where adjacent residues H107 and H108 chelate copper in a near linear fashion, and a third histidine, His172, is weakly coordinated to the metal center (Figure 57). Blackburn and co-workers<sup>635–637</sup> conducted EXAFS spectroscopic studies of reduced wild-type and a H172A PHM mutant and found similar average Cu–N<sub>His</sub> bond lengths (1.92 Å for WT and 1.88 Å for H172A), despite the mutant having one fewer histidine available to coordinate to Cu<sub>H</sub>. These results are consistent with the notion that adjacent histidine residues H107 and H108 impart a near two-coordinate linear geometry on reduced Cu<sub>H</sub>, as one would expect linear coordination of Cu<sub>H</sub> to exhibit short Cu–N<sub>His</sub> bond lengths.

Model studies by Karlin and co-workers, and others, using "histidylhistidine" ligand frameworks, have further corroborated these coordination chemistry concepts.<sup>633</sup> Through regioselective synthetic derivatization at the imidazole N atoms, well-defined cuprous compounds with synthetic peptides (Figure 58;  $L\delta$ , Le) coordinated through either the N $\delta$  or Ne of histidine derivatives could be generated and characterized. From EXAFS spectroscopy, the complexes obtained show both model complexes have very similar Cu-N distances (1.876 and 1.863 Å, respectively) as compared to the reduced PHM mutant H172A. A complex with Le was determined to be dimeric,  $[\{(Le)Cu\}_2]^{2+}$ , while with L $\delta$  a single-Cu complex formed,  $[(L\delta)]^+$  (Figure 58). The results highlight that adjacent bishistidine ligation strongly favors intramolecular, linear, two-coordinate copper(I) geometries but only when the N $\delta$  atoms of histidine are available. These coordination concepts may apply to enzyme systems; following the discussion above, we restate that Cu<sub>H</sub> in reduced PHM exhibits a tautomeric preference to bind the N $\delta$  atoms of H107/H108, giving a large His<sub>n</sub>-Cu-His<sub>n+1</sub> angle (143.0° in PDB 3PHM; 164° in PDB 1PHM; Figure 57), while Cu<sub>B</sub> in CcO binds to the Ne atoms of H290/H291 giving a smaller His<sub>n</sub>-Cu-His<sub>n+1</sub> angle (91.4° for PDB IV54; 100.4° for PDB 5B1B). For how these differences in copper coordination may be involved in the catalytic mechanism of CcO, see section 6.3.5.2.

Lytic polysaccharide monooxygenases (LPMOs), only characterized starting about 10 years ago, contain a unique copper ligand environment in which two His residues are bound; however, one acts as a bidentate ligand, aptly termed, a "histidine brace" (Figure 54B). <sup>638–642</sup> There is great interest in LPMOs as they have biotechnological significance and effect a HAT reaction from substrates which have exceedingly strong (>100 kcal/mol) C–H bonds.<sup>642</sup> Further, with the most recent information, the presence of a "histidine brace" at the single copper ion site in LPMOs exists in common with new findings on particulate methane monooxygenases (pMMOs, *vide infra*). Also, some LPMO actives sites have a key neighboring Tyr residue (as in HCOs), which is critical for the O<sub>2</sub> chemistry.<sup>643</sup>

Recent reactivity and spectroscopic studies of LPMOs have emphasized the importance of nearby secondary coordination sphere amino acid residues and the effect they may have on the mechanism of substrate hydroxylation.<sup>641,644,645</sup> Marletta and co-workers have demonstrated the importance of two H-bonding residues (His and Glu) and their role in stabilizing bound dioxygen as well as increasing the donating ability of the nearby Tyr residue to Cu, possibly stabilizing a Cu<sup>II</sup>-oxyl reactive intermediate.<sup>644</sup> A recent structure reported by Chen and co-workers shows a copper-bound peroxide moiety with partial deprotonation of the –NH<sub>2</sub> group of the His-brace (Figure 59A).<sup>641</sup> This may stabilize a

 $Cu^{III}$  intermediate in the enzymatic active site, as has been observed in synthetic model systems employing anionic ligands (see section 3.3.1.3).<sup>609,646,647</sup> Multiple high-resolution X-ray and neutron crystal structures involving either peroxide bound to copper or dioxygen bound adjacent to the active site of LPMO were recently published by Meilleur and coworkers (see Figure 59B for one of these structures).<sup>645</sup> The authors postulated that a nearby nonligated and doubly protonated His residue may play a role in O<sub>2</sub> binding and activation. Together, these enzymatic studies display the importance of secondary coordination sphere interactions in the activation of dioxygen at the copper active site of LPMOs.

Several computational studies on copper active-site mediated polysaccharide oxidative degradation have included discussions of reductive activation of dioxygen eventually leading to a Cu<sup>II</sup>-oxyl oxidant and subsequent substrate H atom abstraction following O-O cleavage. 642,648,649 A recent report650 demonstrates that LPMO activity can be observed through bypassing dioxygen and reducing equivalents; H<sub>2</sub>O<sub>2</sub> serves to effect enzyme activity, this being a peroxide-shunt analogous to what is known to occur in cytochrome P-450 enzymes. If this work is correct, it implies that substrate C-H abstraction must follow O-O cleavage, supporting the supposition that a Cu<sup>II</sup>-oxyl active intermediate is very likely relevant to initial polysaccharide substrate H atom abstraction. Very recent QM/MM calculations by Rovira, Walton, and coworkers support the hypothesis that a Cu<sup>II</sup>-O• species is the intermediate responsible for substrate C-H HAT in LPMOs.<sup>651</sup> Starting with Cu<sup>I</sup> and  $H_2O_2$  in the enzyme active site, the O–O bond of  $H_2O_2$  is cleaved in a homolytic fashion (facilitated by ET from the Cu<sup>I</sup> ion) to give a Cu<sup>II</sup>–OH species and <sup>•</sup>OH (hydroxyl radical). This radical species (normally known to be highly reactive) is held in place through secondary coordination sphere H-bonding interactions, stabilizing it long enough to abstract the H atom from the Cu<sup>II</sup>–OH compound.<sup>651</sup> The Cu<sup>II</sup>–O• intermediate thus formed is positioned for selective polysaccharide substrate hydroxylation.

In fact, several research groups have published that LPMOs are in fact peroxygenases (i.e., normally using  $H_2O_2$  as cosubstrate).<sup>650,652</sup> However, Marletta and co-workers reported on the comparison of substrate oxidation by LPMOs (using both soluble and insoluble cellulose substrates) using either  $O_2$  or  $H_2O_2$  as the cosubstrate oxidant, starting with reduced enzyme (cuprous state).<sup>653</sup> This study showed that LPMOs are able to act as an oxygenase or peroxygenase, with faster reactions occurring with the use of  $H_2O_2$  as cosubstrate. However, regioselective substrate hydroxylation, one of the key interesting aspects of LPMOs, was only achieved when  $O_2$  was the cosubstrate. This, along with the extensive enzyme oxidative damage when  $H_2O_2$  is employed, points to  $O_2$  as the native cosubstrate oxidant for LPMOs.

One can imagine that even if  $O_2$  is the cosubstrate utilized by the enzyme, the reaction mechanism discussed above (starting from  $Cu^I$  and  $H_2O_2$ ) may still be applicable. A  $Cu^{II}$ – OOH intermediate (formed from reduction and protonation of a cupric superoxide species made from  $Cu^I/O_2$  chemistry) may cleave via protonation and reduction to give  $Cu^I$  and  $H_2O_2$ , which would then start the proposed cycle.<sup>651</sup> Clearly, future studies are needed to decipher the full detailed mechanism of LPMOs.

Particulate methane monooxygenase performs the difficult oxidation of methane to methanol and yields one  $H_2O$  molecule per  $O_2$  consumed (Figure 54C). The active site contains a

similar "histidine brace" as that found in LPMOs. However, the unresolved nature of the active site of pMMO has been a somewhat controversial topic in the literature, as the active site can be crystallized with one or two copper ions (PDB IDs: 3RFR and 1YEW, respectively, see Figure 54C).<sup>654,655</sup> Starting from the coordinates of these crystal structures, the mechanism of methane oxidation has been thoroughly investigated using DFT calculations. Multiple copper-oxy species have been suggested as the possible reactive intermediate, including (µ-oxo)(µ-hydroxo)Cu<sup>II</sup>Cu<sup>III</sup>, (µ-oxo)Cu<sup>II</sup><sub>2</sub>, and Cu<sup>II</sup>-O<sup>•</sup>.<sup>655</sup> However, a very recent study involving quantum refinement of a library of existing pMMO crystal structures has claimed that the combined analysis using these methods rules out a dicopper active site, which of course will have an effect on mechanistic studies and interpretations, since many previous studies implicated a dicopper active site.<sup>656</sup> Indeed, if a monocopper active site with histidine brace is able to convert methane to methanol, then, as for LPMOs, a Cu<sup>II</sup>-oxyl intermediate that attacks substrate will highlight near-future investigations. Gas-phase generated Cu<sup>II</sup>-oxyl species can readily attack and oxygenate methane (vide infra). While the soluble methane monooxygenase, sMMO, has a more wellunderstood diiron structure, 655, 657, 658 studies involving the structure and activity of the transmembrane, Cu-containing pMMO, remain to be of high importance, as multiple aspects of the reaction (i.e., methane activation/strong C-H bond cleavage as well as O-O reductive cleavage) are relevant for global energy concerns.

Very recently, a small and quite unique family of monooxygenase enzymes was discovered, called formylgly-cine-generating enzymes (FGEs), which utilize a copper cofactor to carry out  $O_2$ -dependent cysteine oxidation to formylglycine (see Figure 54D). In these enzymes, the copper ion is ligated by two Cys residues, leaving open coordination sites for both the specific Cys substrate and dioxygen to bind. The proposed mechanism currently implicates a cupric superoxide as the active species, which undergoes HAT and ET from the substrate to yield a Cu<sup>I</sup>–OOH, releasing H<sub>2</sub>S and the formylglycine product. A critical redox balance governs this catalysis, as disulfide bridge formation between active site Cys residues can result in copper release and an inactive enzyme. Currently, these mechanistic studies are supported largely by EPR spectroscopy, product characterization, and crystallographic studies.<sup>659–661</sup>

#### 3.2. Metalloenzymes with Dicopper Active Sites

Several so-called Type III copper enzymes utilize a bimetallic active site comprised of two magnetically coupled copper ions which cooperate to carry out either O<sub>2</sub>-transporting or O<sub>2</sub>-activating functionality (Figure 60).<sup>19,662,663</sup> Hemocyanin (Hc) and (poly)phenol oxidases (Tyrosinase, Ty; catechol oxidase, COx) both have dicopper active sites in which the copper ions are ligated by 3 histidine residues each (see Figure 60) and are held ~4–5 Å apart in their deoxygenated forms.<sup>664</sup> Both of these types of enzymes efficiently bind dioxygen as a symmetric,  $\mu$ - $\eta^2$ : $\eta^2$  peroxo moiety (based on rR data and X-ray crystal structure determination),<sup>665</sup> which induces geometrical changes in the active site. Hc acts only as an O<sub>2</sub>-carrier, binding dioxygen reversibly (K<sub>O2</sub> on the order of 10<sup>5</sup>, relative to ~10<sup>4</sup> for myoglobin),<sup>56,666,667</sup> whereas the O<sub>2</sub>-activating enzymes reductively cleave the O–O bond and direct the energy released in that reaction into substrate oxidations. So, what gives rise to this distinction in the reactivity of enzymes with such structurally similar active sites? It

has been suggested that substrate access to the  $Cu_2-O_2$  core contributes to this distinction, and indeed, when blocking residues are removed by mutagenesis in Hc, this enzyme can hydroxylate phenolic substrates.<sup>668–670</sup> On a molecular level, model chemistry represents a useful approach to addressing such issues (*vide infra*); however, biochemical research over the past several decades has elucidated many important details of the O<sub>2</sub> reactivity of these enzymes.

The reactivity of (poly)phenol oxidase pairs O–O reductive cleavage with substrate oxidation (catechol to quinone) or oxygenation (phenol to catechol), wherein one of the O2derived oxygen atoms is incorporated into the substrate (see Figure 60). In phenol oxidases such as catechol oxidase, the catecholic substrate is oxidized by 2e<sup>-</sup> to the quinone which can then be further oxidized by other processes into various polyphenols, or polymerized into higher molecular weight compounds such as melanin, a well-known pigment in skin and a culprit in enzymatic food browning.<sup>671,672</sup> Stoichiometrically, these enzymes oxidize two moles of catechol substrate and produce two moles of H2O per mole of O2 reduced. The monooxygenase activity of tyrosinase reduces dioxygen, simultaneously converting a tyrosine (phenolic) residue to the corresponding catechol and releasing one molecule of H<sub>2</sub>O.<sup>673</sup> Within the past decade, a new family of hydroxyanilinase enzymes (here referred to as the most well studied, NspF) was discovered<sup>674</sup> and has been shown to be especially selective for its native substrate, 3-amino-4-hydroxybenzamide, with an impressive k<sub>cat</sub> of  $260 \text{ s}^{-1}$  and  $\text{K}_{\text{m}} = 0.72 \text{ mM}$ .<sup>674–676</sup> Interestingly, a closely related enzyme with a nearly identical dicopper active site, GriF natively performs two-electron oxidation of 3-amino-4hydroxybenzaldehyde to yield the iminoquinone; however, it is also active toward parasubstituted phenols in vitro.<sup>675,677</sup> This difference in selectivity by two closely related enzymes was attributed based on computational analysis to the effects of the secondary coordination sphere on substrate positioning/approach and substrate-protein interactions which overall effect the reaction landscape surface as opposed to some difference in the Cu<sub>2</sub>–O<sub>2</sub> core structure.<sup>674</sup> While model chemistry tends (at least initially) to focus on the immediate/proximal geometry and structure around the metal ion centers, the effects of the second coordination sphere interactions cannot be overlooked.

The nature of certain reactive copper-oxy intermediates formed during turnover, including their electronic properties and the mechanisms by which protons and electrons are delivered in order to effect O–O reductive cleavage, can inform design of model systems as well as contribute to understanding of structure–function relationships in general. Of course, several enzymes utilize copper in other unique fashions such as trinuclear copper clusters (i.e., in laccase)<sup>19</sup> or copper ions paired with other transition metal ions, like zinc in Cu/Zn superoxide dismutase,<sup>678</sup> and iron in heme–copper oxidases. The two former cases interact with dioxygen (or its reduced form, superoxide); however, they are structurally and functionally quite far removed from the focus of this review, and therefore we will not detail their properties or reactivities here.

#### 3.3. Biomimetic Copper-O<sub>2</sub> Adducts

The structural variety of active sites and diverse interactions with dioxygen make coppercontaining enzymes appealing targets for bioinspired model chemistry.<sup>679,680</sup> In synthetic

inorganic systems, the chemist can control aspects of the copper ion coordination sphere, such as ligand denticity and donating ability, coordination geometry, and second coordination sphere interactions like hydrogen-bonding. In doing so, it is possible to investigate the reactivity of such systems with dioxygen, as different types of Cun-O2 adducts display unique/characteristic spectroscopic features (UV-vis, EPR, and rR spectroscopy, vide infra). Then, those interactions and O2 reduction reactivity can be evaluated in terms of the structural and/or electronic properties of the copper environment. <sup>680</sup> In many cases, model studies are carried out in nonbiological conditions (i.e., low temperatures, organic solvents); however this allows for mechanistic investigations of rapid reactions and isolation or in situ characterization of interesting reactive intermediates which might not otherwise be feasible. Therefore, it is important to remember that the goals of the scientific modeling studies discussed in this review include incorporation of only certain aspects of an enzyme active site in order to maintain the chemist's ability to systematically study particular cause-effect relationships. Indeed, insights garnered from small molecule model systems have been invaluable to the understanding of the fundamental, molecularlevel chemistry which occurs in various Cu enzymes. By extension, many of the (L)Cu structure-function relationships have informed the design of heme-copper systems and aided in assessing their reactivities with  $O_2$  (vide infra, section 5)

# 3.3.1. Characterization of Mononuclear Cu–O<sub>(2)</sub> Adducts and Ligand Effects.

—Structure–function relationships of  $Cu_n$ - $O_2$  model compounds can directly inspire the design of heme–copper models as well as aid in the interpretation of their spectroscopic properties and reactivity trends. Many research programs have been prominent in detailing the chemistry of small molecule (L)Cu<sub>n</sub>-O<sub>2</sub> systems using computational or synthetic chemistry, including the laboratories of Karlin, Solomon, Zuberbuhler, Fujisawa, Stack, Tolman, Cramer, Schindler, Sundermeyer, Itoh, Reglier, Masuda, Suzuki, Kodera, Fukuzumi, and others. In combination, they have characterized various potential enzyme or chemical system intermediates, some of which we describe below. The details of the properties of these and other formulations are highly dependent on the ligand structure (identity, denticity, and donating ability), which also controls ligand-Cu redox properties; in many cases, the Cu<sub>n</sub>-O<sub>2</sub> species can be interconverted by changing reaction conditions such as solvent or temperature, or by addition of specific substrates (*vide infra*).<sup>610,650,651</sup> Indeed, in order to isolate or even characterize certain intermediates along various biologically relevant pathways, the synthetic inorganic chemist can employ such strategies as low temperatures, organic solvent, and/or anaerobic atmospheric conditions.<sup>682</sup>

#### 3.3.1.1. Structural and Electronic Considerations Regarding Copper(II)-Superoxide

<u>Adducts.</u>: Oxygenation of cuprous complexes possessing nitrogen-containing tetradentate tripodal ligands results in the formation of primary dioxygen adducts, often an end-on  $\eta^1$  cupric superoxide (Cu<sup>II</sup>–O<sub>2</sub><sup>•–</sup>) species (Figure 61a), which typically have optical spectra displaying a stronger peak around 410–430 nm plus broad but distinctive low-energy absorption features (~600–800 nm) and rR stretching frequencies of v(O–O) = 1110–1130 cm<sup>-1</sup> and v(Cu–O) = 460–480 cm<sup>-1</sup>.<sup>18</sup>

As first deduced by Roth and co-workers utilizing the TMG<sub>3</sub>tren ligand (Chart 1), such species display paramagnetic, S =1 triplet electronic structures,<sup>683</sup> an observation which was later corroborated by MCD, rR, and <sup>2</sup>H NMR spectroscopic studies, along with DFT investigations.<sup>684,685</sup> In general, copper(II) superoxide complexes rapidly react, even at low temperatures, with another equivalent of ligand-copper(I) complex to form peroxide-bridged dicopper(II) species (*vide infra*). There are now a good number of synthetic model studies having used rational ligand design and cryogenic solution conditions to allow for the stabilization and characterization of cupric-superoxide complexes. The strategies employed include modifying the electronic or structural (steric) properties of the copper coordination environment, most often using tripodal tetradentate (N<sub>4</sub>) or tridentate (N<sub>3</sub>) ligands.

For one case, from Itoh and co-workers, a neutral tridentate ligand (PEDACO, Chart 1) is able to support a cupric-superoxide structure; this complex succumbs to biomimetic and highly interesting ligand hydroxylation at the benzyl position.<sup>686,687</sup> In studies exploring the effects of electronic structural variations, TMPA derivatives (as in this review; see Chart 1) have been employed, systematically varying electron-donating substituents on the pyridyl rings [dimethylamino (dmaTMPA) or dimethyl-methoxy (dmmTMPA)], or by substituting a ligand pyridyl arm so as to incorporate a thioether donor (dmaN<sub>3</sub>S). Such ligands (Chart 1) have been shown to allow for low-temperature stabilization of copper(II)- superoxide species which have been characterized by UV-vis and rR spectroscopies.<sup>688–691</sup> Until just recently, <sup>692</sup> the parent complex,  $[(TMPA)Cu^{II}(O_2^{\bullet-})]^+$ , could only be observed using lowtemperature stopped-flow spectroscopic monitoring<sup>693</sup> (or via time-resolved laser spectroscopic studies; see section 5.2.3.2), confirming the profound influence of the ligand electronic properties, and therefore, very donating ligands most stabilize these intermediate copper(II)-superoxide complexes. Although all of these  $[(L)Cu^{II}(O_2^{\bullet-})]^+$  species possess similar optical spectra (vide supra), ligand effects are best correlated to (ligand)CuII/I complex reduction potentials: TMPA (-420 mV) >  $^{dma}N_3S$  (-470 mV) >  $^{DMM}TMPA$  (-570 mV) > DMATMPA (-700 mV), measured by cyclic voltammetry ( $E_{1/2}$  values vs Fc<sup>+/0</sup> at RT in MeCN solvent).<sup>690,691</sup> No distinct trend is observed with respect to the rR spectroscopic data and the vibrational properties of the varying complexes (Chart 1). There are no differences in ligand steric demands when using pyridyl 4-substituted substituent changes; however, we find that the copper-superoxide complex with DMAN<sub>3</sub>S ligand is more reactive toward hydrogen-atom abstraction reactions with phenol (O-H, to give a phenoxyl radical product) and N-methyl-9,10-dihydroacridine (C-H) substrates, than the complexes with DMMTMPA or DMATMPA.<sup>689,690</sup> This may be due to the lesser donating ability of the thioether-containing ligand, conferring greater electrophilicity to the resulting  $[(^{DMA}N_3S)Cu^{II}(O_2^{\bullet-})]^+$  complex. Thus, we can cautiously posit that changes in ligand donor ability affects both complex stability and substrate reactivity. This result highlights the potential role of the Met ligand in PHM and  $D\beta$ M active sites in modulating the reduction potential of the Cu center and therefore its ability to activate dioxygen.

Copper(II)-superoxide complexes can also be formed utilizing chelates which provide stabilization against reaction with a second copper(I) complex, through imposition of ligand steric properties. Such examples include the (X<sub>3</sub>tren)Cu derivatives, where sterically bulky tetramethylguanidine (X = TMG), hexaisopropylterphenyl (X = HIPT), and tetraisopropylterphenyl (X = TIFT) groups are employed to prevent formation of

dicopper(II) peroxide species (Chart 1).<sup>685,694–696</sup> A recent update on the latter compounds indicates that a mixture of Cu<sup>II</sup>-O<sub>2</sub><sup>•-</sup> and Cu<sup>III</sup>-O<sub>2</sub><sup>2-</sup> forms.<sup>696</sup> Changing sterics can also change the complexes' coordination geometry, and it has been observed that with reference to their abilities to perform HAT reactions, trigonal bipyramidal end-on Cu<sup>II</sup>-superoxides are less reactive than tetrahedral end-on Cu<sup>II</sup>-superoxides.<sup>686,690,697,698</sup> (Note: a similar caveat has been defined for the C-H activation capabilities of Cu<sup>II</sup>-hydroperoxide complexes, *vide infra*.)

Tolman and co-workers have reported a superoxide supported by a dianionic pyridine dicarboxamide ligand via addition of KO<sub>2</sub> to the LCu<sup>II</sup> compound.<sup>699</sup> X-band EPR and <sup>1</sup>H NMR spectroscopies, along with DFT calculations of LCu<sup>II</sup>-(O<sub>2</sub><sup>•-</sup>) are consistent with a S =1 ground state where the superoxide coordinates in an end-on ( $\eta^1$ ) fashion to the cupric center. Resonance Raman spectroscopy shows an isotope sensitive peak at 1104 cm<sup>-1</sup> (<sup>18</sup>O = -60 cm<sup>-1</sup>), an O–O stretching frequency that is just a bit lower than those found in typical  $\eta^1$ -superoxides (Chart 2).

A complementary method initiated by Masuda and coworkers is the incorporation of intramolecular hydrogenbonding groups on TMPA chelate arms to stabilize a [(L)Cu<sup>II</sup>(OOH)]<sup>+</sup> complex<sup>700,701</sup> and binuclear trans-peroxo-dicopper(II) complexes (*vide* infra). Karlin and co-workers used one of these hydrogen-bonding ligands to generate the stabilized dioxygen adduct  $[(^{PV}TMPA)Cu^{II}(O_2^{\bullet-})]^+$  (Chart 1) at -125 °C. This was characterized by rR spectroscopy and DFT calculations, the latter indicating H-bonding to either the proximal or the distal O atom of the superoxide moiety.<sup>702</sup> The H-bonding interaction interestingly caused an upshift (of  $\sim 10 \text{ cm}^{-1}$ ) in both the Cu-O and O–O stretching frequencies relative to TMPA derivatives with no H-bond capable pendants and was more reactive in H atom abstraction reactions from weak C-H<sup>702</sup> and O-H<sup>692</sup> bond substrates. A structural study on a family of TMPA derivatives bearing one or multiple Hbonding pendant arms [primary amine(s), secondary amine(s), and amide(s)] revealed that these pendant arms impact the physical and electronic structure around the Cu ion as well<sup>703</sup> (a concept which may be extended to His-Tyr cross-link mimics in HCO model systems). It was found via cyclic voltammetry studies of [(L)Cu<sup>II</sup>(Cl)]Cl complexes that increasing the number of N-H containing arms from 0 to 3 increased the reduction potential of the copper complex up to 500 mV; in other words, the Cu(I) state is more stable with greater H-bonding in these cases (and the H-bond interaction itself is stronger in the Cu(I) complex than the Cu(II) complex).<sup>703</sup> In fact these differences were rationalized based on structural data (increasing the number of substituents increased Cu-N\_{ligand} and Cu-Cl bond lengths) as well as IR and NMR spectroscopic data assessing the overall effects of H-bonding. The total Hbonding effect is greater when multiple H-bonding interactions are involved (due to combined weaker H-bonds); the strongest single H-bonding interaction (between the N-H and Cu-bound Cl ligand) occurs when only one H-bonding moiety is present.<sup>703</sup> Such observations may in the future be extended to other (heme and) Cu-containing models with dioxygen and our understanding of the active site H-bonding network, which is critical for O<sub>2</sub>-binding and reduction by HCOs.

Although it is not often implicated in copper enzymes' catalytic turnover, it is still interesting to consider  $\eta^2$  "side-on" bound 1:1 copper dioxygen complexes (Figure 61b and 62d) using

model systems, as they are still plausible reactive species. Relatively few examples of such intermediates have been characterized to date; they feature tridentate or bidentate ligand coordination (Chart 2).<sup>697,704–709</sup> The electronic nature of these adducts has been thoroughly investigated, and they fall into a spectrum of electronic structures somewhere between Cu<sup>II</sup>- $O_2^{\bullet-}$  and  $Cu^{III}-O_2^{2-}$  formulations. Interestingly, unlike the triplet end-on superoxides, the mononuclear side-on cupric superoxide species tend to have a diamagnetic (S = 0) ground state electronic structure (i.e., antiferromagnetic coupling between the S = 1/2 spins on the Cu<sup>II</sup> ion and the superoxide radical anion ligand), as determined by optical, vibrational, magnetic susceptibility, and computational methods.<sup>683,705,710</sup> To differentiate between the two possible electronic descriptions (Cu<sup>II</sup>–O2<sup>•-</sup> vs Cu<sup>III</sup>–O2<sup>2-</sup>), X-ray absorption spectroscopy (XAS) experiments were conducted on  $Tp^{Ad,iPr}$  and  $\beta$ -diketiminate (R = Me) copper-ligand complexes (Chart 2),<sup>707,708</sup> confirming the nature of these dioxygen adducts as  $[(Tp^{Ad,iPr})Cu^{II}-O_2^{\bullet-}]$  and  $[(\beta-diketiminate)Cu^{III}-O_2^{2-}]$ .<sup>707</sup> In cases where  $\eta^2$ -dioxygen binding is synonymous with side-on  $Cu^{II}(O_2^{\bullet-})$  formulation, v(O-O) stretching frequencies 10–77 cm<sup>-1</sup> lower than the end-on analogues are observed. In the cases where  $\eta^2$ -dioxygen binding gives a Cu<sup>III</sup>–( $O_2^{2-}$ ) species, v(O-O) values of up to 159 cm<sup>-1</sup> lower than for  $\eta^1$ - $Cu^{II}(O_2^{\bullet-})$  complexes are reported. Initial experiments by Tolman and co-workers using a  $\beta$ diketiminate ligand framework showed that the resulting 1:1 copper dioxygen adducts [having significant  $Cu^{III}$ – $O_2^{2-}$  character and possessing an elongated O–O bond (1.392 Å)] react with LCu<sup>I</sup> complexes to form asymmetric dicopper(III) bis-µ-oxide compounds.<sup>710</sup>

A recent study indicates that interesting substrate reactivity can occur for side-on 1:1 copper dioxygen species.<sup>697,706</sup> Castillo and co-workers reported on a dibenzimidazole N<sub>3</sub>S ligand which provides an interesting case in which the ligand is said to impose a square pyramidal geometry for the superoxide-bound form of the complex and the thioether arm comes unbound.<sup>697</sup> The benzimidazole donors support relatively electrophilic copper complexes  $[E_{1/2} \sim 300 \text{ mV}$  more positive than analogous (pyridine)N<sub>3</sub>S systems], and the authors postulate that this fact as well as the imposed geometry leads to an unusual triplet ground state wherein the superoxide moiety is bound in a side-on fashion as shown in Figure 62 (the assignment being made upon agreement of optical spectroscopy and DFT calculations, although no v(O–O) or v(Cu–O) stretching frequencies could be observed via rR spectroscopy).<sup>697</sup> This cupric superoxide species apparently also has higher activity toward C-H abstraction than the singlet side-on superoxides mentioned above (see Figure 62).

Betley and co-workers recently reported on the generation of a room temperature stable  $\eta^2$ -superoxide complex using a dipyrromethene supporting ligand [<sup>Ar</sup>LCu<sup>II</sup>(O<sub>2</sub><sup>•-</sup>)].<sup>706</sup> The X-ray crystal structure reveals an O–O bond length of 1.383 Å, and preliminary DFT investigations suggest an S = 0 ground state. Substrate reactivity studies show that this complex exhibits both nucleophilic and electrophilic reactivity (Scheme 17).

Expanding on Tolman's dianionic pyridine dicarboxamide supported Cu<sup>II</sup>(O<sub>2</sub><sup>•-</sup>) chemistry, McDonald and co-workers found that this tridentate  $\eta^1$ -superoxide complex exhibits nucleophilic behavior, for example converting benzoyl chloride and benzaldehyde derivatives to the corresponding benzoic acid (Figure 63).<sup>711</sup> This reactivity contrasts with those of other  $\eta^1$ -superoxides, which exhibit electrophilic behavior, such as HAT reactions with phenols (*vide supra*).

#### 3.3.1.2. Formation, Stabilization, and Reactivity of Copper(II) Hydroperoxide

Complexes.: Net hydrogen atom transfer to a cupric superoxide results in the formation of hydroperoxide species, (L)Cu<sup>II</sup>–OOH (Figure 61c), which have characteristic UV–vis bands around 330–380 nm and decidedly lower rR stretching frequencies [v(O-O) = 830-900 $cm^{-1}$  than their superoxide analogs, <sup>18,687,698,712</sup> as expected from the O–O bond-order lowering. Cupric hydroperoxide species can also be generated following addition of H2O2 and a base to a (L)Cu<sup>II</sup> complex.<sup>18</sup> Interestingly, a pair of formally copper(III) alkylperoxo complexes, (L)Cu<sup>III</sup>– OOR ( $R = {}^{t}Bu$ , cumyl), have recently been characterized following their low-temperature formation via addition of excess ROOH to a (L)Cu<sup>II</sup>-OH species, followed by chemical oxidation using a ferrocenium salt.<sup>713</sup> While Cu<sup>II/III</sup>-OOR species have been shown to be active in substrate oxidation reactions, they are less relevant to the focus of this review, and therefore, we direct the interested reader to other recent reviews on the subject.<sup>18,610,679</sup> Instead, here we will discuss synthetic copper-hydroperoxides, which are proposed to be reactive intermediates in various O<sub>2</sub>-activating mononuclear copper enzymes (vide supra) and since a heme-Cu bridging hydroperoxide has been considered computationally as a potential fleeting but very important intermediate in the catalytic cycle of HCOs. As with other Cu-oxy species, the ligand structure (coordination geometry, ligand denticity, type, and sterics), electronics (reduction potential), and secondary coordination sphere (H-bonding) have profound effects on the formation, stability, and reactivity of copper(II) hydroperoxides.

Most often in enzymatic systems, to aid in proton delivery to a metal-bound oxy species, there exists a hydrogen-bonding network implementing amino acid residues and/or water molecules. Indeed, there have been general efforts to study the chemistry of dioxygen with many synthetic (L)Cu complexes employing appended H-bonding moieties in the second coordination sphere. There, H-bonding interactions either serve to promote O–O reductive cleavage or stabilize Cu<sup>II</sup>–OO(H) species (there are also many similar examples for synthetic heme systems, *vide supra*, section 2.4).<sup>714</sup> Additional details about the nature of the interaction, including the number and strength of the H-bond donors and the particular O atom which acts as an H-bond acceptor, have profound effects on the stability or reactivity of the cupric hydroperoxo species.

In fact, the first example of an isolated Cu<sup>II</sup>–OOH species, supported by a tripodal, tetradentate amino-pyridyl ligand framework (parent = TMPA, also sometimes called TPA) having two bulky pivalamide N–H donors appended in the 6- pyridyl position (called BPPA in the original work<sup>701</sup> and subsequently referred to as L<sup>Piv2</sup>)<sup>703</sup> (see Figure 64A) came from Masuda and co-workers.<sup>701</sup> X-ray crystallographic characterization of this cupric hydroperoxo complex (Figure 64B) revealed that both N–H groups of the pivalamide moieties on the ligand are able to H-bond to the proximal (copper-bound) O atom of the hydroperoxide moiety, as determined by their short N–O distances (2.78 and 2.79 Å); these stabilizing interactions allow an usually thermally unstable species to survive at room temperature for up to a month. The EPR spectrum is consistent with a trigonal bipyramidal mononuclear copper hydroperoxide species, with rR spectroscopy confirming the peroxidic ligand [v(O–O) = 863 cm<sup>-1</sup>; g<sub>II</sub> = 2.00, g<sub>⊥</sub> = 2.20].<sup>701</sup> This ligand design strategy has been used to make a series of TMPA-derived ligands with H-bonding moieties (see Figure 64A),

<sup>692,703</sup> most of which have been investigated in terms of copper(l) dioxygen chemistry and utilized in the formation of cupric hydroperoxides, highlighting the effect of H-bonding on the stability of the complexes generated.<sup>712,715–719</sup>

Another complex employing a ligand with a single benzylamine substituent on a pyridyl arm of the parent TMPA was also shown to be capable of stabilizing a hydroperoxo moiety via an H-bonding interaction between the N-H of the secondary amine and the proximal O atom (Figure 64C).<sup>720</sup> A unique property of this complex is that only one equiv  $H_2O_{2(a0)}$  is needed to fully form [(BA)Cu<sup>II</sup>- OOH]<sup>+</sup> (Figure 64C). However, in this case, the hydroperoxo species was stable at -90 °C but decayed to a trans- $\mu$ -1,2- peroxodicopper  $[(BA)Cu^{II}-O-O-Cu^{ii}(bA)]^{2+}$ , even if just warming to -50 °C in acetone. These examples highlight the stabilizing nature of an H-bond interacting with the proximal peroxide O atom (next to and bound directly to the copper ion). In an elegant new work, Borovik and coworkers extended this concept to a small artificial copper protein, "synthetically" placing a Cu<sup>II</sup>–OOH moiety into a protein matrix, thus implementing aqueous and ambient conditions. The study utilized a biotinylated bis(2-pyridylethyl)amine ligand cupric complex inserted into a streptavidin host (Figure 65).<sup>721</sup> Site-directed mutagenesis could then be utilized to systematically probe H-bonding effects in the local environment of a Cu active site designed to support the monocopper hydroperoxo complex (generated from the addition of hydrogen peroxide).

Similar to the small-molecule systems, it was found that H-bonding can have drastic effects on the stability and reactivity of Cu<sup>II</sup>–OOH species, and specifically, in this macromolecular model there exist active site residues capable of H-bonding with either the proximal or distal O atom of the -OOH moiety (residues S112, which does not directly H-bond to the hydroperoxide ligand but positions a water molecule instead, or N49, respectively, see Figure 65). With both H-bonding residues in place, the Cu<sup>II</sup>–OOH species was stable for over 24 h, and interestingly, a mutation of N49 to Ala revealed that alone, an H-bond to the proximal O atom is equally as stabilizing as having both H-bonds and the hydroperoxo species is then unreactive toward weak C-H substrates. However, a S112A mutation showed that a single H-bond to the distal O atom destabilizes the hydroperoxo intermediate (reduces the half-life to 20 min), and the hydroperoxo species is capable of C-H activation of an exogenously added 4- chlorobenzylamine substrate.<sup>721</sup> Earlier work involving a comparative study of two N<sub>4</sub> ligands, one of which contains a moiety capable of H-bonding with the distal O atom,<sup>700</sup> demonstrated that such an interaction results in destabilization of the cupric hydroperoxide, effectively activating the hydroperoxide moiety, as has been suggested in D $\beta$ M, and also seen in the artificial copper enzyme work from Borovik. The destabilizing/ activating effect was observed in acetonitrile based on the differing rates of decomposition of the two hydroperoxo species (Figure 64, panels D and E) as spectroscopically monitored at -30 °C. Complex D decays an order of magnitude faster than complex E, when there is no H bonding  $[k_{obs} = 2.4(2) \times 10^{-2} \text{ min}^{-1} \text{ vs } k_{obs} = 7.3(6) \times 10^{-3} \text{ min}^{-1}]$ . Additionally, the Hbonding interaction results in a larger  $|A_{\parallel}|$  in the EPR spectrum (82 vs 75 G) and longer wavelength LMCT transition in the optical spectrum (381 vs 372 nm), both of which support a weakened coordination of the hydroperoxo moiety to the copper ion.<sup>700</sup> From the Masuda and Borovik studies, the overall effect of positioning of H-bonding interactions in Cu<sup>II</sup>-OOH species is summarized by Figure 64F.

With ligand design for synthetic model systems, it is important to remember that the imposed structural changes (in the primary or secondary coordination sphere) are likely not mutually exclusive, as it was shown that the ligand set depicted in Figure 64A indeed had various appended H-bond moieties (of different strengths and numbers), however, the reduction potentials of the Cu<sup>II/I</sup> complexes were also significantly different.<sup>703</sup> The conclusions based on IR monitoring of the N-H stretching frequency of (L<sup>Piv-n</sup>)- Cu<sup>II/I</sup>Cl complexes and CV studies of the (L)Cu<sup>II/I</sup>Cl showed that hydrogen-bonding strength for the pivalamide-containing ligands follows the trend,  $L^{Piv-1} > L^{Piv-2} > L^{Piv-3}$  (for each individual pivalamide group; LPiv-3 has the greatest overall H-bonding effece), and the reduction potentials in acetonitrile follow the trend, TPA  $< L^{\text{Am-}n} < L^{\text{Piv-}n}$  (where in each case, a greater effect was observed with increasing values of n).<sup>703</sup> These structural and electronic effects highlight a challenge in assessing ligand effects in such complexes; however, this study has clear implications for the O<sub>2</sub> chemistry of these ligand-Cu complexes. Oxygenation of LCu<sup>I</sup> has been reported for TMPA (vide supra) and most of the ligands containing H-bonding moieties. In many cases  $\mu$ -1,2-trans- peroxodicopper(II) species are formed (L<sup>Am-n</sup>, L<sup>Np2</sup>, and L<sup>Pivl</sup>),<sup>715,716,719</sup> but in some cases cupric superoxides were directly implicated or observed (LNp2 and LPiv-n) 692,701,702,718,719,722,723

Rational ligand design has also allowed for control of the ligand denticity and sterics within a given framework and evaluation of the subsequent changes in stability and/or reactivity. One such comparative study involved the reactivity of  $(L)Cu^{II}$  complexes (where L = the pyridylethylamine ligands depicted in Figure 66) with H<sub>2</sub>O<sub>2</sub>/NEt<sub>3</sub> and resulting (L)Cu<sup>II</sup>-OOH species (Figure 66 for L1 and L2).<sup>724</sup> (TEPA)Cu<sup>II</sup>–OOH decayed quickly to a Cu(I) analogue (probably via Cu-OOH homolytic cleavage, also forming 'OOH), whereas the tridentate (L1)Cu<sup>II</sup>–OOH vielded a putative short-lived mononuclear cupric side-on peroxide species (the first of its kind) based on UV-vis, EPR, and kinetic analysis. Interestingly, the less sterically encumbered tridentate L2 ligand complex reacted so quickly that no hydroperoxo intermediate could be detected; the product was the side-on bound peroxide dicopper(II) species. These results along with DFT analysis of the process highlight the significance of both sterics and ligand denticity in supporting cupric (hydro)peroxides, as (i) the additional bulk of the L1 ligand allowed for slower rearrangement/reorganization to a side-on peroxo complex and thus relative stabilization of the hydroperoxide as compared to that with L2, but (ii) the lack of an extra coordination site with the tetradentate TEPA complex disallowed rearrangement to the side-on peroxide.<sup>724–726</sup>

Karlin and co-workers have published multiple reports on the oxidative capabilities, including aryl hydroxylation and N-dealkylation reactions of Cu<sup>II</sup>-OOH species.<sup>727–729</sup> Mainly, TMPA-derivatives containing a substitutent appended to the 6-pyridyl position of one arm were utilized, with the substituent acting as an internal substrate. These reactions were proposed to be initiated by O–O cleavage, with a putative Cu<sup>II</sup>-O• species acting as the oxidizing intermediate (which was supported via similar reactions with Cu<sup>I</sup>/PhIO).<sup>729</sup> As mentioned above, LCu<sup>II</sup>–OOH complexes are generally formed by addition of excess  $H_2O_2/NEt_3$  to an LCu<sup>II</sup> compound at cryogenic temperatures. However, an important reinvestigation, including experimental and DFT analyses, of the system where oxidative Ndealkylation of a ligand appended dibenzylamine moiety occurred, showed that in fact the LCu<sup>II</sup>–OOH complex is not capable of this C–H activation reaction.<sup>730</sup> Instead, Cu–O bond

homolysis of the Cu<sup>II</sup>–OOH intermediate was proposed to occur, allowing for the wellknown Fenton reaction to occur between this ligand-Cu<sup>I</sup> ion complex and excess H<sub>2</sub>O<sub>2</sub> present. The hydroxyl radical (•OH) formed was said to be the true entity responsible for substrate C–H attack and the observed N-dealkylation. This proposed reaction mechanism is reminiscent of that put forth for LPMOs,<sup>651</sup> that is discussed above (see section 3.1), wherein the reaction of Cu<sup>I</sup> and H<sub>2</sub>O<sub>2</sub> forms •OH, which then back-abstracts a H atom from the Cu<sup>II</sup>–OH moiety to give the Cu<sup>II</sup>–O• substrate oxidant. From computations, such a mechanism was proposed in a nonheme iron case, where a Fe<sup>III</sup>–OH species formed from an Fe<sup>II</sup> + H<sub>2</sub>O<sub>2</sub> reaction itself was further attacked by the •OH formed, giving the final Fe<sup>IV</sup>=O oxidant.<sup>731</sup>

Combining computational methods plus analysis of electronic structure and oxidase activity of various other cupric hydroperoxide species (with no H-bonding stabilization/ destabilization effects), it has been found that not only sterics but also the Cu ion coordination geometry can influence the activity of these species. Generally, tetradentate ligand frameworks which impose square pyramidal or trigonal bipyramidal Cu<sup>II</sup>–OOH geometries are far less reactive than certain tridentate ligands which adopt tetrahedral or square planar cupric-hydroperoxide geometries.<sup>732–735</sup> Although this trend across several examples in the literature is thought-provoking as to how local coordination geometry and ligand fields can effect stabilization or reactivity of Cu<sup>II</sup>–OOH intermediates, a more systematic approach to address this concept is necessary. In that way, it will be possible to draw more definitive conclusions relevant for understanding enzyme activities or to design and construct novel catalysts.

**3.3.1.3. High-Valent Copper Complexes: Theory and Implications.:** Homolytic O–O bond cleavage of (L)Cu<sup>II</sup>– OOH can in principle lead to the generation of a mononuclear Cu<sup>II</sup>-oxyl species (i.e., "cupryl"), (L)Cu<sup>II</sup>–O•, a reactive species that has been considered in computational studies as a potentially powerful oxidant (in H atom abstraction) in the catalytic cycle of copper-containing monooxygenases (see section 3.1) like D $\beta$ M,<sup>736,737</sup> PHM,<sup>738</sup> and LPMOs<sup>642,648,649</sup> Predicted reaction barriers for substrate hydroxylation (via initial hydrogen atom abstraction) are significantly lower than other possible intermediates such as superoxo or hydroperoxo ligand-copper(II) species. Recent studies that evaluate the role of a hydrogen-bonding network in the secondary coordination sphere of a fungal LPMO (AA9)<sup>644</sup> similarly support the formation of (L)Cu<sup>II</sup>–O• as a relevant active intermediate (Figure 67A).<sup>651</sup> And finally, a just published work supports a mononuclear nature for the copper active site in pMMO;<sup>656,739</sup> this makes (L)Cu<sup>II</sup>–O• a good candidate for the reactive intermediate that breaks the strong C–H bond of methane during the catalytic cycle (Figure 67B).

However, copper(II)-oxyl species have not yet been observed experimentally in solution, neither in the synthetic models nor in enzymes. Only what might be referred to as its conjugate acid, (L)Cu<sup>III</sup>-OH (Figure 67), has been studied and characterized in recent works from Tolman and co-workers (*vide infra*).<sup>646,647,740–742</sup> Cupryl entities have been observed only in the gas phase, where it has been shown to be very capable in attacking the strong C–H bonds in methane (BDE = 104 kcal/mol).<sup>743,744</sup>

There have been several hints or pieces of indirect evidence pointing to the existence of (L)Cu<sup>II</sup>–O• species in some synthetic systems. Karlin and co-workers for example, suggested that the intramolecular hydroxylation of a methyl group in a copper ligand (TMG<sub>3</sub>tren; see section 3.3.1) was effected by a copper-oxyl intermediate, generated either through homolysis of the O–O bond of the (L)Cu<sup>II</sup>–OOH or through the reaction of the [(TMG<sub>3</sub>tren)Cu<sup>I</sup>]<sup>+</sup> precursor and the O atom donor iodosobenzene (Figure 68A). In both cases, ligand oxidation was observed.<sup>698</sup> Itoh and co-workers, on the other hand, suggested that a copper(II)-oxyl intermediate was generated from the O–O bond homolysis of a cumylperoxo copper(II) complex. In the presence of the radical trap agent 5,5-dimethyl-1-pyrroline-N-oxide (DMPO), the putative (L)Cu<sup>II</sup>–O• forms a complex that could be characterized by ESI-MS and EPR spectroscopy (Figure 68B). In absence of the radical trap, oxidations of exogenous substrates were carried out.<sup>745</sup>

Protonation of the elusive (L)Cu<sup>II</sup>-O• would generate a (L)Cu<sup>III</sup>-OH species, which, unlike its conjugate base, has been studied and characterized. Using anionic ligands (Figure 69), Tolman and co-workers have been able to obtain (L)Cu<sup>III</sup>-OH complexes from one electron oxidation of its (L)Cu<sup>II</sup>-OH precursor.<sup>646,740</sup> Key spectroscopic features of (L)Cu<sup>III</sup>-OH species include: EPR silent spectrum (X-band) with a strong UV-vis absorption band at 500– 580 nm (with  $\varepsilon \sim 10000$  to 15000 M<sup>-1</sup> cm<sup>-1</sup>; L, <sup>NO2</sup>L, <sup>PIP</sup>L2; Figure 69) assigned as a ligand aryl  $\pi$  to Cu d<sub>x2-y2</sub> charge transfer (LMCT) transition. Also, through resonance Raman spectroscopy a *v*(Cu-O) value of 633 cm<sup>-1</sup> (<sup>18</sup>O = 26 cm<sup>-1</sup>) was obtained for several ligand complexes (628 cm<sup>-1</sup> for <sup>NO2</sup>L and <sup>PIP</sup>L). Finally, using a normalized version of Badger's rule, Cu–O bond distances were estimated to be 1.80 Å(L) or 1.81 Å (<sup>NO2</sup>L and <sup>PIP</sup>L); this represents a Cu–O distance 0.05 Å(L), 0.05 Å(<sup>NO2</sup>L), and 0.08 Å (<sup>PIP</sup>L) shorter than found in their (L)Cu<sup>II</sup>–OH precursors, further supporting the increased oxidation state of Cu.<sup>741</sup>

Reactivity studies of (L)Cu<sup>III</sup>–OH complexes have shown the ability of this moiety to attack substrates with C–H bond enthalpies ranging from 76 kcal/mol (9,10-dihydroanthracene) to 99 kcal/mol (cyclohexane),<sup>647</sup> which further supports a high valent Cu<sup>III</sup>–OH species as a viable intermediate in the catalytic cycle of enzymes like LPMO or pMMO (Figure 54, panels B and C).

The topic of Cu<sup>III</sup>-hydroxide or Cu<sup>II</sup>–O• species requires considerable future research. This is because, as mentioned, it could be relevant to the mechanism of action of LPMOs and pMMOs (Figure 54, panels B and C). From a broader perspective, such a reactive species likely would derive from the homolytic O–O reductive cleavage of a Cu<sup>II</sup>–OOH that arose originally from copper(I)-dioxygen chemistry. The intimate details of the O–O reductive cleavage process require elucidation. (i) What is the timing of electron-transfer and/or protonation steps? (ii) What are the required site(s) of reduction and/or protonation; for example, at which O atom within a peroxo copper(II)–O–OH species does protonation occur giving homolytic versus heterolytic cleavage (if either are possible)? (iii) What strength of reductant (E° value) or pK<sub>a</sub> of proton is required for cleavage? Further, such details are also likely pertinent to heme–copper oxidase (bio)chemistry. As will be described, we have been able to design and carry out research on a synthetic system wherein a heme–Fe<sup>III</sup>– (O–O)<sup>2–</sup>–Cu<sup>II</sup>(ligand) complex undergoes reductive O–O cleavage which is initiated by H-

bonding at the O<sub>peroxo</sub> atom bound to the copper(II) ion. Mechanistic insights derived from experiments and theoretical calculations suggest that homolytic cleavage occurs and a species with Cu<sup>II</sup>–oxyl character forms.<sup>7</sup> The research results and discussions are given in sections 5.2.6, 5.2.7, and 6.

#### 3.3.2. Characterization of Dinuclear Cu<sub>2</sub>–O<sub>2</sub> Adducts and Ligand Effects.

3.3.2.1. Dicopper trans, Side-On Peroxo, and Bis-µ-Oxo Isomers.: Binuclear copper dioxygen species are known to be critical active intermediates in the function of many copper-based metalloproteins. The roles of these proteins are diverse and include dioxygen transport, tyrosine hydroxylation, catechol oxidation, and C-H bond activation. As outlined above, small molecule synthetic model systems have been used as proxies for enzyme intermediates to model their spectroscopic, electronic, and reactivity properties. More fundamentally, this approach expands the inorganic chemists' understanding of copper's capacity to activate dioxygen for substrate oxidation or reduction to water. For model systems incorporating binucleating ligand frameworks, or mononucleating ligands where it is sterically and electronically allowed, the reaction of a Cu<sup>II</sup>–O<sub>2</sub><sup>•-</sup> adduct with another equivalent of Cu<sup>I</sup> in solution leads to the generation of dicopper peroxo complexes. These dicopper peroxo species have been shown to adopt several different structural isomers, as shown in Figure 70.<sup>18</sup> The two most well-characterized dicopper peroxide examples include end-on cis/trans- $\mu$ -1,2-peroxo (<sup>C/T</sup>P) species and side-on binding peroxo species in a  $\mu$ - $\eta^2$ : $\eta^2$ conformation (<sup>S</sup>P) (Figure 70). Side-on (and certain rare cases end-on<sup>748</sup>) dicopper peroxide species are known to exist in equilibrium with bis-µ-oxo dicopper(III) (O) species, where the O-O bond is reductively cleaved. The position of this equilibrium is sensitive to solvent, counterion, and ligand effects, which we will focus on here. Generally speaking, tetradentate copper chelates favor the end-on  $\mu$ -1,2-peroxide isomer, whereas tridentate ligands or ligands of lower denticity favor the side-on  $\mu$ -n<sup>2</sup>:n<sup>2</sup>-peroxodicopper/bis- $\mu$ -oxo equilibrium, although certain ligands can result in exclusively one or the other.<sup>749</sup> In terms of reactivity properties, trans- $\mu$ -1,2- peroxo dicopper species are generally nucleophilic in character,  $^{750,751}$  whereas the  $\mu$ - $\eta^2$ : $\eta^2$ -peroxo dicopper species and bis- $\mu$ -oxo dicopper(III) species are generally electrophilic, 750,752 and any discussion of side-on-peroxo or bis- $\mu$ -oxo reactivity toward substrates must consider the participation of the other isomer. Our coverage of this topic here is not comprehensive, and the reader is directed to several recent very thorough reviews for in-depth coverage of each variable.<sup>18,750,753–756</sup>

# 3.3.2.2. General Spectroscopic Properties of trans- $\mu$ -1,2-Peroxo, Side-On $\mu$ - $\eta^2$ : $\eta^2$ -

**<u>Peroxo and Bis-\mu-Oxo Cores.</u>:** The three main types of binuclear copper species can be readily distinguished based on their distinctive electronic (UV–vis), vibrational (rR) spectroscopies, as well as structural parameters such as their Cu–O, O–O, and Cu···Cu distances [X-ray diffraction (XRD), EXAFS]. These distinctions are informative for both understanding metal–oxygen interactions on a fundamental/molecular level, as well as how these properties may vary in mixed-metal binuclear systems.<sup>757</sup> In terms of their electronic spectra, the reaction of Cu' complexes with dioxygen gives intense ligand-to-metal charge transfer (LMCT) bands which are generally attributed to peroxide-to-copper charge transfer transitions. The general electronic spectra of trans- $\mu$ -1,2 peroxides, side-on  $\mu$ - $\eta^2$ : $\eta^2$  peroxides, and bis- $\mu$ -oxo species are distinctive, although each can vary depending on ligand

properties (Figure 71).<sup>608</sup> Dicopper end-on trans peroxide species tend to have Cu···Cu distances of 4.4–4.5 Å and rR stretching frequencies of  $v(Cu-O) = 530-561 \text{ cm}^{-1}$  ( $^{18}O_2 = -25 \text{ cm}^{-1}$ ) and  $v(O-O) = 805-830 \text{ cm}^{-1}$  ( $^{18}O_2 = -45 \text{ cm}^{-1}$ ).<sup>753</sup> The Cu–O bond lengths determined via X-ray crystallography are ~1.85 Å (see also section 6.2 and Tables 5 and 6), intermediate between those of the side-on peroxo and bis- $\mu$ -oxo isomers.<sup>758,759</sup> Side-on peroxo species display substantially more activated (or weaker) O–O bond stretching frequencies in the range of  $v(O-O) = 716-760 \text{ cm}^{-1}$  ( $^{18}O_2 = -40 \text{ cm}^{-1}$ ), with smaller Cu···Cu distances (3.5–3.6 Å) and slightly longer Cu–O bonds (1.9 Å).<sup>753,760</sup>

These experimental observations are supported by theoretical investigations into the nature of the frontier molecular orbitals involved in the Cu–O<sub>2</sub> bonding, Scheme 18. The interaction between the copper d-orbitals and the peroxide  $\pi$  and  $\sigma$  antibonding orbitals provide insight into the observed trends in peroxide O–O bond stretching frequency. For endon trans-peroxides, there is no back-bonding from the copper d-manifold into the peroxide  $\sigma^*$  orbital, as occurs in its side-on analogue and, as a result, trans-peroxides display less activated O–O bonds.<sup>761–764</sup> Finally, the bis- $\mu$ -oxo isomer has a characteristic core vibrational feature in its resonance Raman spectrum at  $v(Cu-O) = 580-647 \text{ cm}^{-1}$  ( $^{18}O_2 = -(20-30) \text{ cm}^{-1}$ ] and the shortest Cu···Cu and Cu–O distances of the three isomers at 2.8 and 1.8 Å, respectively.<sup>753,765</sup> As mentioned above, tetradentate copper chelates generally favor the end-on trans- $\mu$ -1,2-peroxo, whereas ligands of lower denticity (1–3) favor either the side-on  $\mu$ - $\eta^2$ : $\eta^2$ -peroxo, bis- $\mu$ -oxo, or an equilibrium mixture containing both (*vide infra*).

#### 3.3.2.3. Trans- or Cis-µ-1,2-Peroxo Species: Ligand Effects and General

**Reactivity.:** The first example of a trans- $\mu$ -1,2- peroxo species was characterized by the Karlin group in 1988, by adding dioxygen to a cuprous complex bearing TMPA.<sup>766</sup> Reversible dioxygen and CO binding was demonstrated in propionitrile and dichloromethane. The crystal structure of  $[{(TMPA)Cu^{II}}_2(O_2^{2-})]^{2+}$  was obtained, confirming its formulation as an end-on trans-µ-1,2-peroxo dicopper species (Figure 72A). The copper coordination geometry is trigonal bipyramidal, with the ligand amine nitrogen and peroxo oxygen occupying axial positions, while the pyridyl nitrogens of TMPA occupied equatorial positions. The Cu-Cu distance is 4.359 Å and an O-O bond of 1.432(6) Å was obtained, consistent with its formulation as a peroxo-type species. This landmark report, although not immediately biologically relevant, showed that unexpected, new, highly sensitive copper oxygen species could be stabilized and characterized via XRD. 759,766 system has also provided a useful benchmark with which other derivatives can be compared, in terms of their ligand properties. Since then, many trans- $\mu$ -1,2peroxodicopper(II) species have been spectroscopically characterized.18,7S3 There are also additional structurally characterized end-on trans- peroxo dicopper species featuring tris(aminoethyl)amine (tren) type ligand frameworks, as well as a macrocyclic ligand and Hbonding TMPA-derivatives (Figure 72).758,767

As mentioned previously, trans-peroxide cores are generally favored by tetradentate ligands, making the copper ion 5-coordinate upon oxygenation, which disfavors formation of a sideon peroxide isomer or bis- $\mu$ -oxide species. Ligand donor strength is also an important factor in determining the structural and electronic properties of trans- $\mu$ -1,2-peroxodicopper(II) species. Karlin and co-workers evaluated the effect of sulfur and oxygen ligation on peroxo-

dicopper(II) complex properties by replacing a pyridyl arm of TMPA with an alkyl thioether [ESE; (2-ethylthio-N,N-bis((pyridin-2-yl)-methyl)ethanamine)], thioanisole [ASM; (2-(methylthio)-N,N-bis((pyridin-2-yl)methyl)benzenamine)], and alkyl ether [EOE; (2-ethoxy-N,N-bis((pyridin-2-yl)methyl)ethanamine)] ligands (Figure 73).<sup>770,771</sup> The trans-µ-1,2peroxodicopper(II) species bearing the ASM and ESE ligands both displayed distinctly different UV-vis/rRaman spectra when compared to the parent TMPA. The UV-vis spectra of both  $[{(ASM)-Cu^{II}}_2(O_2^{2-})]^{2+}$  and  $[{(ESE)Cu^{II}}_2(O_2^{2-})]^{2+}$  display an inversion in intensity of the  $\pi^*_{\sigma}/\pi^*_v$  peroxo-to-copper LMCT bands, and rR spectroscopy showed lower O–O bond stretching values (relative to TMPA) of 828 and 817 cm<sup>-1</sup> for ASM and ESE, respectively. These changes demonstrated a strongly coordinating thioether ligand and a distortion of the Cu<sup>II</sup> geometry from trigonal bipyramidal toward square pyramidal. This distortion was observed in the crystal structures of the mononuclear Cu<sup>II</sup> complexes in each case. The alkyl ether analogue did not form a trans- $\mu$ -1,2-peroxodicopper(II) species upon oxygenation of its  $Cu^{I}$  complex but instead formed a bis- $\mu$ -oxodicopper(III) species, consistent with tridentate copper chelation, where the alkyl ether arm is not bound to the resulting Cu<sup>III</sup> ion. This demonstrated the stronger donor properties of thioether ligands relative to alkyl ether ligands.771

Steric factors can be instrumental in determining the types of copper species formed after oxygenation of copper(I) complexes in aprotic solutions. In order to evaluate the role of pyridyl arm sterics on dicopper peroxo complex speciation, the Karlin group modified the 6 position of one pyridyl arm in TMPA to include either -3XHR (where X = N or C, and R = CH<sub>3</sub> or benzyl) or  $-3X(R)_2$  moieties (Figure 74).<sup>772</sup> When -3XHR moieties are incorporated, the trans- $\mu$ -1,2-peroxodicopper(II) isomer is formed, similar to other tetradentate copper chelates. However, when the steric bulk of the ligand arm is increased by incorporating an  $-3XR_2$  moiety, pyridyl binding to copper is weakened and a bis- $\mu$ -oxodicopper(III) species is formed instead, which is capable of monooxygenase chemistry. Bis- $\mu$ -oxo complexes formed with the ligand 6-TBP [(6-tBu-phenyl-2-pyridylmethyl)bis(2-pyridylmethyl)amine] could be converted to [{(TMPA)-Cu<sup>II</sup>)<sub>2</sub>(O<sub>2</sub><sup>2-</sup>)]<sup>2+</sup> via ligand exchange when excess TMPA is added. Formation of H<sub>2</sub>O<sub>2</sub> and [(6TBP)Cu<sup>II</sup>(Cl)]<sup>+</sup> following addition of hydrochloric acid to [{(6TBP)Cu<sup>III</sup>}<sub>2</sub>(O<sup>2-</sup>)<sub>2</sub>]<sup>2+</sup> was suggestive of a bis- $\mu$ -oxo/trans- $\mu$ -1,2-peroxo equilibrium.

Chelate ring size is also a critical factor in determining the structural properties and reduction potential of the bound copper ion.<sup>773</sup> When the methylene linkers of the parent TMPA chelate are systematically extended by one to ethylene linkers, the resulting series of copper(I) complexes have progressively increasing (more positive) Cu<sup>II</sup>/Cu<sup>I</sup> redox potentials, as well as distinct dioxygen reactivities (Figure 75). This change in redox properties is concomitant with a structural distortion from trigonal bipyramidal in TMPA to square pyramidal in TEPA (tris[2-(2-pyridyl)ethyl]amine). The decrease in reduction potential as the chelate ring size for each pyridine ligand is decreased from 6 to 5 is a result of the favorability of copper(II) for smaller chelate ring sizes.<sup>774–776</sup> The bite angle of the ligand is also influential in determining the coordinate with a solvent ligand bound, as favored by the distortion of copper toward the trigonal plane. This contrasts to PMEA (bis[(2-pyridyl)methyl]-2-(2-pyridyl)ethylamine), PMAP (bis[2-(2-pyridyl)ethyl]-(2-

pyridyl)methylamine), and TEPA (Figure 75), which are all 4-coordinate and do not bind solvent. Copper(II)-superoxide and trans- $\mu$ -1,2-peroxodicopper(II) species could only be detected with TMPA. Stopped flow studies revealed a transiently stable trans- $\mu$ -1,2-peroxodicopper(II) species for PMEA, which decomposed rapidly; no superoxide species could be detected in this reaction. With PMAP, no peroxidic intermediates could be detected upon oxygenation, and TEPA is unreactive toward dioxygen. This work demonstrated that at parity of ligand donor type, 5-membered rings preferentially stabilize peroxodicopper(II) species.<sup>773</sup>

In addition to causing structural distortions that alter the Cu<sup>II/I</sup> redox potential of the copper(I) chelate, ring size can have an effect on how strongly a given ligand type binds to copper. To explore this effect, and the relative donor strength of imidazole compared to pyridine, two TMPA derivatives were synthesized featuring imidazole ligands attached to the amine nitrogen via methylene (L<sup>MIm</sup>; (lH-imidazol-4-yl)-N,N- bis((pyridin-2yl)methyl)methanamine) and ethylene (LEIm; 2-(lH-imidazol-4-yl)-N,N-bis((pyridin-2yl)methyl)ethanamine) linkers (Figure 76).777 Infrared spectroscopy of the stretching frequencies of (L)CuI-CO complexes of TMPA, LMIm, and LEIm demonstrated that although the imidazole is a stronger donor than pyridine, chelate ring size is still highly deterministic of solution phase behavior. Both TMPA, and L<sup>MIm</sup> have four- and five-coordinate states, with the imidazole coming unbound in LMIm. This ligand exchange was not observed in L<sup>EIm</sup>, where only one CO stretching frequency is observed. The Cu<sup>II/I</sup> redox potentials of TMPA, L<sup>MIm</sup>, and L<sup>EIm</sup> are consistent with the ligand donor strength and chelate ring size trends discussed above. Namely,  $Cu^{I}(L^{MIm})$  has a redox potential of -3620 mV (vs FeCp<sub>2</sub>) in DMF, slightly lower than that of Cu<sup>I</sup>(TMPA) (-610 mV); this could be attributed to the slightly stronger donating properties of the imidazole. Consistent with distortion toward a square pyramidal geometry as chelate ring size is increased from 5 to 6, Cu<sup>I</sup>(L<sup>EIm</sup>) has a higher redox potential at -3570 mV.<sup>773</sup> The imidazole lone pair donor orbital of L<sup>EIm</sup> is also better aligned to donate to Cu<sup>II</sup> acceptor orbitals (Figure 76). This is borne out in its redshifted LMCT bands (raised  $\pi^*_{\sigma} J \pi^*_{\nu}$  orbital energies) and lowered O–O bond stretching frequencies (decreased peroxo-to-copper donation) of the trans-µ-1,2-peroxodicopper(II) species formed upon oxygenation of  $[(L^{EIm})Cu^{I}](BAr^{F})$   $(BAr^{F} = (B(C_{6}F_{5})_{4}^{-}).^{777}$  This work provides an example of how chelate ring size not only effects the reduction potential of copper complexes, but also how strongly a given ligand type can bind and its effect on the resultant spectroscopic properties of copper dioxygen species.

Binucleating ligand frameworks have also been utilized to show the impact of strain on copper(I) O<sub>2</sub> binding kinetics and trans- $\mu$ -1,2-peroxodicopper(II) complex stability.<sup>778</sup> A binucleating ligand framework with two TMPA chelates attached via an ethylene linker at their 5 positions (D<sup>1</sup>, Figure 77) formed transient mixed-valent (Cu<sup>I</sup>Cu<sup>II</sup>) 2:1 Cu:O<sub>2</sub> superoxide and stable trans- $\mu$ -1,2-peroxodicopper(II) species upon oxygenation of its dicopper(I) complex at low temperatures (-80 °C). Although dioxygen binding and peroxo formation by  $[(D^1)Cu^I_2]^{2+}$  is complicated by isomerization between open and closed forms of  $[(D^1)Cu^I_2(O_2)(EtCN)]^{2+}$ , subsequent formation of a trans- $\mu$ -1,2-peroxodicopper(II) species is entropically favored because reaction with a second equivalent of Cu<sup>I</sup> is intramolecular. Despite this entropically favored intramolecular reaction, strain in the ethylene linker between the TMPA moieties of  $[(D^1)Cu^{II}_2(O_2^{2-})]^{2+}$  enthalpically disfavors

peroxo formation relative to its untethered analogue TMPA at low temperatures. In fact, at higher temperatures, formation of intermolecular trans- $\mu$ -1,2-peroxodicopper species with D<sup>1</sup> are observed, as well as higher order {Cu<sub>2</sub>-O<sub>2</sub>}<sub>n</sub> oligomers, although the nature of these species was not explicitly confirmed.<sup>778</sup> This comparison of binucleating and mononucleating ligands demonstrated that intramolecular reactions of dicopper complexes with O<sub>2</sub> can sometimes be superseded by intermolecular reactions if ligand strain is a factor. Subsequent modification of D<sup>1</sup> by incorporating an ether link yielded the ligand D<sup>O</sup> (Figure 77). This ligand design alleviated the ligand strain that accompanied intramolecular trans- $\mu$ -1,2-peroxodicopper(II) formation in D<sup>1</sup>.<sup>779</sup> As a result, reaction of [(D<sup>O</sup>)Cu<sup>I</sup><sub>2</sub>]<sup>2+</sup> with O<sub>2</sub> yielded [(D<sup>o</sup>)Cu<sup>II</sup><sub>2</sub>(O<sub>2</sub><sup>2-</sup>)]<sup>2+</sup> with no enthalpic impedance due to strain and maximized entropic favorability due to the reaction's intramolecular nature. This dicopper(II) peroxide species was also stable at ambient temperatures, demonstrating a rare example of such thermal stability in a synthetic system. No superoxide species could be observed in the reaction of [(D<sup>O</sup>)Cu<sup>I</sup><sub>2</sub>]<sup>2+</sup> with O<sub>2</sub>. This comparison further illustrated the importance of ligand design in copper oxygen chemistry.

The Karlin group has also utilized symmetric and asymmetric binucleating ligand frameworks with a phenolate moiety to hold two copper ions in close proximity to access biomimetic peroxo and hydroperoxo dicopper species. Dicopper(I) complexes [(XYL-O<sup>-</sup>)Cu<sup>I</sup><sub>2</sub>]<sup>+</sup> and [(UN-O<sup>-</sup>)-Cu<sup>I</sup><sub>2</sub>]<sup>+</sup> both react with dioxygen at low temperature to yield peroxide species with O–O bond stretching frequencies of 803 and 818 cm<sup>-1</sup> for XYL-O<sup>-</sup> and UN-O<sup>-</sup>, respectively (Figure 78).<sup>780–782</sup> Mixed-isotope labeling with <sup>16</sup>O<sup>18</sup>O indicated that in [(XYL-O<sup>-</sup>)Cu<sup>II</sup><sub>2</sub>(O<sub>2</sub><sup>2–</sup>)]<sup>+</sup> the peroxide is terminally and/or asymmetrically bound.<sup>782</sup> More recently, DFT studies indicated that the peroxide binding mode for [(UN-O<sup>-</sup>)-Cu<sup>II</sup><sub>2</sub>(O<sub>2</sub><sup>2–</sup>)]<sup>+</sup> is most likely asymmetric but possessing a  $\mu$ -1,2geometry.<sup>783</sup>

The protonated forms of both XYL–O<sup>-</sup> and UN–O<sup>-</sup> are denoted XYL–OH and UN–OH, respectively. Unlike their deprotonated forms discussed above, both dicopper(I) complexes  $[(XYL-OH)Cu_2]^{2+}$  and  $[(UN-OH)Cu_2]^{2+}$  yield  $\mu$ -1,1-hydroperoxo dicopper(II) species,  $[(XYL-O-)Cu_2(OOH)]^{2+}$  and  $[(UN-O^-)Cu_2(OOH)]^{2+}$ , upon reaction with dioxygen at low temperatures (Figure 79).<sup>784,785</sup> In both cases, the similarity of the EXAFS properties of these hydroperoxo species to their crystallographically characterized hydroxyl-bridged dicopper(II) analogues  $[(XYL-O^-)^-Cu_2(OH)]^{2+}$  and  $[(UN-O^-)Cu_2(OH)]^{2+}$  as well as the *m*-CPBA adduct  $[(XYL-O^-)^-Cu_2(OH)]^{2+}$  (Figure 80A)<sup>786</sup> led to their assignment as  $\mu$ -1,1-hydroperoxo dicopper(II) species. These hydroperoxo species could also be attained by addition of hydrogen peroxide to the fully oxidized dicopper-(II) complexes  $[(XYL-O^-)^-Cu_2(OH)]^{2+}$ , or through direct protonation of the peroxodicopper species discussed above.<sup>787</sup> Another similar unsymmetrical binucleating ligand framework UN2-OH, which features one bidentate copper chelate (Figure 79), was also used to obtain a  $\mu$ -1,1-hydroperoxo dicopper(II) species.<sup>788</sup>

Recently, the Karlin and Meyer groups have reported new examples and more in-depth analysis of previous cis- $\mu$ -1,2-peroxodicopper(II) species in binuclear ligand systems (Figure 81). Using the unsymmetrical binuclear ligand framework, UN-O<sup>-</sup>, Karlin and co-workers characterized an equilibrium between  $\mu$ -1,1- and  $\mu$ -1,2-superoxidedicopper(II) species confirmed by mixed isotope dioxygen labeling and rRaman spectroscopy. The  $\mu$ -1,2-

superoxide species could be reduced to the previously discussed  $\mu$ -1,2-peroxide species with a cis- conformation as determined by DFT analysis. Computationally calculated structures for all three of these species have been reported (Figure 82). The observed redox potential for this one-electron reduction was in the range of biologically relevant redox processes, in spite of its organic media and small molecule ligand.<sup>783</sup>

The Meyer group also reported a cis- $\mu$ -1,2-peroxodicopper-(II) species using a binuclear copper ligand with a pyrazolate- bridging ligand between the copper ions (Figure 81). The formulation of this peroxide species was confirmed by UV-vis, infrared, and resonance Raman spectroscopies and also a crystal structure with sodium also bound to the peroxo ligand, the first crystallographically characterized cis- $\mu$ -1,2-peroxodicopper complex (Figure 82A).<sup>790</sup> In a related ligand system, a similar cis- $\mu$ -1,2 peroxodicopper species was characterized, and it displayed distinct electronic structure from previously published trans- $\mu$ -1,2-peroxodicopper(II) analogues. Namely, the overall spin state of the new cis- $\mu$ -1,2peroxodicopper species was S = 1 (i.e., with copper ions ferromagnetic coupling;<sup>791</sup> this stands in contrast to the commonly observed antiferromagnetic coupling in transperoxodicopper(II) complexes). This peroxo species can be reversibly protonated (pKa ~ 22.3 in CH<sub>3</sub>CN) to yield a  $\mu$ -1,1-hydroperoxide species with high ambient temperature stability (Figure 81), and a crystal structure was obtained for this new hydroperoxide species (Figure 80B).<sup>789</sup> A very recent investigation enabled a determination of the redox potential of the cis- $\mu$ -1.2-peroxo dicopper species and superoxide redox partner to be determined (E<sup>0</sup>) = -0.59 V vs Fc/Fc<sup>+</sup>, in MeCN), and from this data, the CuOO-H bond-dissociation freeenergy (BDFE) of the  $\mu$ -1,1-hydroperoxide complex could be approximated at ~72 kcal/mol. 792

In addition to chelate ring size, ligand flexibility, and ligand donor strength, hydrogenbonding substituents attached to the ligand can have an effect on trans- $\mu$ -1,2peroxodicopper(II) complex stability. The Masuda group derivatized the TMPA ligand framework with methyl (originally reported by Suzuki, Uehara, and co-workers).793 pivalamido, and amino groups at the 6-position of one pyridine ring (Figure 83, left).<sup>715</sup> The crystal structure of  $[Cu^{II}(MPPA)(N_3)]ClO_4$ , where a stable azido containing complex was generated and characterized in order to help with an understanding of the details of the binding of the peroxo ligand in  $[{Cu^{II}(MPPA)}_{2}(O_{2}^{2-})]^{2+}$ , revealed an intramolecular hydrogen-bonding interaction between the pivalamido N-H and the proximal (bound to copper) nitrogen atom of the axially bound azide anion. This observation indicates that hydrogen-bonding interactions from the copper chelate to the peroxidic oxygen atom can occur in peroxodicopper(II) species, like similar monocopper superoxo and hydroperoxo compounds previously discussed (vide supra). Following oxygenation of cuprous complexes with ligands in Figure 83, trans- $\mu$ -1,2-peroxodicopper(II) species formed in all cases, and their peroxo-to-copper LMCT bands ( $\pi^*_{\sigma} \rightarrow d_{\sigma}$  and  $\sigma^*_{v} \rightarrow d_{\sigma}$ ) indicated that hydrogenbonding effects the relative energies of the peroxo  $\pi^*_{\sigma}$  and  $\sigma^*_{v}$  orbitals. Namely  $\pi^*_{\sigma}$  is stabilized, blue-shifting its LMCT band, and the  $\sigma^* \rightarrow d_{\sigma}$  transition is broadened because of a restricted Cu-O bond rotation, which disfavors overlap between the  $\sigma_{v}^{*}$  and  $d_{\sigma}$  orbitals. Hydrogen-bonding moieties also increase the thermal stability of these trans- $\mu$ -1,2peroxodicopper(II) species, despite their added steric bulk due to attachment at the pyridyl 6-position, which for non-hydrogen bonding groups decreases trans-peroxide stability.<sup>715</sup>

In another systematic study, the Masuda group added amino substituents at the 6-position of each pyridyl ligand successively to yield MAPA, BAPA, and TAPA (Figure 83, right).<sup>716</sup> The crystal structures obtained for the  $[(L)-Cu^{II}(N_3^{-})]^+$  complexes bearing these ligands displayed an end-on binding mode of the azide ligand, with clear hydrogen-bonding interactions between the Cu-bound Nazido-atom with the amino N-H bonds, with Nazido...H-N distances ranging from 2.84–3.05 Å, indicating that hydrogen-bonding type interactions do occur to ligands bound to copper(II) in these systems. The increase in the number of amino groups coincides with a structural distortion from trigonal bipyramidal toward square pyramidal and also with a higher Cu<sup>II</sup>/Cu<sup>I</sup> redox potential. This distortion was attributed to intramolecular hydrogen-bonding and steric interactions. Upon reaction with dioxygen at low temperature, all the cuprous chelates of TMPA, MAPA, BAPA, and TAPA formed endon trans- $\mu$ -1,2-peroxodicopper(II) species, and the thermal stability of these peroxo complexes increased as more amino groups are incorporated. The steric constraints imposed by the amino groups, as well as their hydrogen-bonding interactions with the peroxo  $\pi^*$ orbitals are implicated in the higher-energy O-O bond stretches due to electron withdrawal from the peroxo antibonding orbitals (Figure 84, left).<sup>716</sup> Another trans- $\mu$ -1.2 peroxodicopper(II) complex from the Masuda group with the BNPA ligand framework (Figure 83, right) was characterized as well, via oxygenation of its cuprous salt. Oxygenation of [Cu<sup>I</sup>(BNPA)]<sup>+</sup> in the presence of substrates with weak X–H bonds (BDE < 72.6 kcal mol<sup>-1</sup>) yielded a cupric hydroperoxide species instead, implicating a cupric superoxide in hydrogen atom abstraction, which was supported by the use of a spin-trap reagent.<sup>719</sup> A very recent example of a trans- $\mu$ -1,2-peroxodicopper species featuring intramolecular hydrogenbonding interactions was structurally characterized by the Szymczak group (Figure 84, right).<sup>769</sup> Interestingly, the H bonding found in this complex differs from that previously proposed by Masuda and co-workers in that it contains both proximal and distal hydrogen bonds (Figure 84). In this work, other trans- $\mu$ -1,2peroxodicopper(II) species with electronically tunable 6-substituted tris(2pyridylmethyl)amine ligands featuring NH(para-R-C<sub>6</sub>H<sub>4</sub>) hydrogen bond donating substituents were also spectroscopically characterized.<sup>769</sup>

**3.3.2.4.** Side-On  $\mu$ - $\eta^2$ : $\eta^2$ -Peroxo and Bis- $\mu$ -Oxo Dicopper Species.: A very large number of side-on peroxo dicopper(II) species and bis- $\mu$ -oxo dicopper(III) species have been characterized, and the two isomers are known to interconvert, with the position of this equilibrium depending on many factors including ligand steric and electronic properties, solvent, counterion, and temperature.<sup>18,750,753</sup> Here we present a very brief overview of some steric and electronic effects on the side-on peroxo/bis- $\mu$ -oxo species formed and their equilibrium position. Readers are pointed to recent in-depth reviews for a fuller understanding of these topics.<sup>18,608</sup>

The first example of a side-on  $\mu$ - $\eta^2$ : $\eta^2$ -peroxodicopper(\Pi) species was structurally characterized by Kitajima and Fujisawa in 1989 from oxygenation of a tris-pyrazolyl borate complex, [Cu<sup>I</sup>(HB(3,5-iPr<sub>2</sub>pz)<sub>3</sub>)], at -78 °C in acetone to yield [{(HB(3,5iPr<sub>2</sub>pz)<sub>3</sub>)Cu<sup>II</sup>}<sub>2</sub>(O<sub>2</sub><sup>2-</sup>)]<sup>2+</sup> (Figure 85A).<sup>760</sup> The core structure of this bridging peroxide species is similar to that of oxy-hemocyanin and oxy-tyrosinase (section 3.2), both of which were published after the initial finding by Kitajima. The O–O bond length was reported as

1.41 Å, consistent with its formulation as a peroxo bridge. The Cu...Cu distance was reported as 3.56 Å, which is shorter than the analogous trans- $\mu$ -1,2-peroxodicopper(II) species [4.359(1)Å] and comparable to those of oxy-Hc and oxy-Ty (3.58–3.66 and 3.63 Å, respectively).<sup>760</sup> Such side-on  $\mu$ - $\eta^2$ : $\eta^2$ -peroxodicopper(II) species were also observed with binuclear copper ligands, and their UV-vis spectroscopic properties were similar to those of oxy-hemocyanin, although they were not structurally characterized.<sup>512,794</sup> Soon afterward, the Tolman group successfully isolated a crystal of a bis-µ-oxodicopper(III) species using a triazacyclononane ligand framework,  $[{(L^{Bn3})-Cu^{III}}_{2}(O^{2-})_{2}]^{2+765}$  (Figure 85B). The Cu–O and Cu...Cu bond distances (1.81 and 2.798 Å, respectively) obtained from a crystal structure were distinct from previously characterized  $\mu$ - $\eta^2$ : $\eta^2$ -peroxodicopper(II) species<sup>795</sup> and similar in magnitude to those of other Mn and Fe M-(O<sup>2-</sup>)<sub>2</sub>-M species.<sup>796,797</sup> This work also provided key insights into the role of the solvent environment in determining copper-dioxygen complex speciation. Dichloromethane solution favored the side-on  $\mu$ - $\eta^2$ : $\eta^2$ -peroxodicopper(II) isomer, whereas tetrahydrofuran favored the bis- $\mu$ oxodicopper(III) species. With the use of acetone as the solvent, a mixture of both species was obtained. This was the first observation of the equilibrium between  $\mu$ - $\eta^2$ : $\eta^2$ peroxodicopper(II) species and their bis-µ-oxo isomers. Soon after, the Stack group<sup>798</sup> characterized a bis-µ-oxo dicopper(III) complex bearing bidentate ligands with similar structural characteristics to those first observed by Tolman (Figure 85C).<sup>765</sup>

Ligand electronic properties have also been shown to affect not only the side-on peroxo/bis- $\mu$ -oxo equilibrium but also the spectroscopic properties of the resultant dicopper-dioxygen species. The Karlin group evaluated the role of ligand electronic properties by derivatizing the R-MePY2 [(MePY2: (bis[2-(4-R-2-pyridn-2-yl)ethyl]methylamine))] ligand framework, containing groups with varying electron-donating or -3withdrawing capabilities (Figure 86, top row).<sup>799</sup> Electron-donating groups such as methoxy and N,N-dimethylamino substituents increased the N-donor strength of the pyridine ligands. Oxygenation reactions of [(R-MePY2)Cu<sup>I</sup>]<sup>+</sup> complexes in this study were carried out in tetrahydrofuran at -80 °C. Resonance Raman spectroscopic studies revealed that the additional  $N_{pv}$ -donor strength weakened the observed Cu<sup>III</sup>–O bonds in the bis-µ-oxide isomer; however, there was little effect on the O–O bond stretches of the side on  $\mu$ - $\eta^2$ : $\eta^2$ - peroxodicopper(II) isomer. The absence of a shift in the O-O bond stretching frequency was attributed to greater electron density on the Cu<sup>II</sup> ion, which decreases backbonding from the peroxo  $\pi^*_{\sigma}$  orbital to Cu<sup>II</sup>. The resultant extra negative charge on the peroxo ligand makes back-donation from the  $Cu^{II}$ d-orbitals into the peroxo  $\sigma^*$  orbital less favored, which is necessary to decrease the O–O bond strength to "activate" it for reductive cleavage. The percentage of bis- $\mu$ -oxo isomer formed also increased as the donor strength of the R-MePY2 ligand increased due to thermodynamic stabilization of the Cu<sup>III</sup> oxidation state in the bis-µ-oxide species, although in each case the product remained a mixture that favored the side-on peroxide. This provided an example of how electronic effects can alter the equilibrium between the side-on peroxide and bis-µ-oxo isomers but not necessarily the spectroscopic properties of each.<sup>799</sup> Another systematic study of the role of ligand electronic effects on the position of the side-on peroxo/ bis-µ-oxo equilibrium was carried out using the R-PYAN [PYAN: (N-[2-(pyridin-2yl)ethyl]-N,N',N'-trimethylpropane-1,3-diamine)] ligand framework by the Karlin group (Figure 86, bottom row).<sup>770</sup> Here though, the Cu<sup>II/I</sup> redox potentials and C–O stretches

(determined by IR spectroscopy of the [(R-PYAN)Cu<sup>I</sup>(CO)]<sup>+</sup> complexes) in each ligand system displayed only minor changes depending on the pyridyl ligand substitution. However, the position of the equilibrium between side-on peroxo and bis- $\mu$ -oxo isomers was highly sensitive to substituent effects at the para position of the pyridyl group. Namely the parent ligand (PYAN), and its p-chloro substituted analogue (Cl-PYAN), yielded the side-on peroxo isomer almost exclusively. The O-O bond stretching frequencies of these side-on peroxo species shifted by up to  $10 \text{ cm}^{-1}$ , depending on the para-substitution. This is particularly interesting because O-O bond activation is observed in conjunction with an increase in bis- $\mu$ -oxo formation. In contrast to electron-withdrawing groups, when using the N,N-dimethylamino derivatized ligand (NMe2-PYAN), the bis-µ-oxo isomer is obtained almost exclusively. The methoxy- derivatized ligand showed a mixture of the two isomers. This increased sensitivity with the PYAN framework compared to the MePy<sub>2</sub> ligand may be due to the presence of two aliphatic amine ligands in the former, which have greater donor strength than the pyridine and as such electronic effects are more tunable. This work further demonstrated that the side-on peroxo/bis-µ-oxo equilibrium could be shifted to either extreme using ligand electronic effects alone and that this process corresponds to O-O reductive cleavage.770

Ligand sterics are also a major determinant of the type of copper oxygen species obtained from reacting copper(I) chelates with dioxygen at low temperature. The Stack group has extensively investigated the copper-dioxygen species formed with peralkylated-diamine-type ligands.<sup>749,798</sup> Generally speaking, as the steric demands of the ligand are increased, the Cu<sup>I</sup>:O<sub>2</sub> reaction stoichiometry decreases, with the most sterically demanding ligands being unreactive toward O<sub>2</sub> (Figure 87). As the alkyl substituents of the diamine ligands are increased in steric bulk (Me to Et to <sup>t</sup>Bu), the main isomer formed in solution goes from trinuclear oxide species (T), to bis-µ-oxo species (O), to side-on peroxide type species (<sup>S</sup>P) (Figure 87). A recent example from the Stack group using the <sup>t</sup>Bu<sub>3</sub>tacn ligand framework showed the highest reported stability for a side-on peroxide species, which is catalytically competent for catechol oxidation to quinone, phenol coupling, and alcohol oxidation.<sup>800</sup>

In order to better understand the dioxygen binding and release processes for mononuclear tetradentate and binuclear tridentate copper-oxygen species, the Karlin group carried out laser-induced photoexcitation studies on the copper-dioxygen species  $[{(TMPA)Cu^{II}}_{2}(O_{2}^{2-})]^{2+}$  and  $[(N_{n})Cu_{2}^{II}(O_{2}^{2-})]^{2+}$  (n = 3 or 5) which form trans- $\mu$ -1,2- and side-on  $\mu$ - $\eta^2$ : $\eta^2$ -peroxodicopper(II) species, respectively, following oxygenation of their cuprous salts.<sup>801</sup> Photoexcitation of [{(TMPA)Cu<sup>II</sup>}<sub>2</sub>(O<sub>2</sub><sup>2-</sup>)]<sup>2+</sup> yielded a mixed-valent cupric superoxide intermediate, [(TMPA)Cu<sup>II</sup>-(O<sub>2</sub>•<sup>-</sup>)-Cu<sup>I</sup>(TMPA)]<sup>2+</sup>, which rapidly proceeded with another intramolecular electron transfer reaction to form an "O2-caged" dicopper(I) species  $[{(TMPA)Cu^{I}}_{2}(O_{2})]^{2+}$ . This O<sub>2</sub>-caged species then rapidly reformed the trans- $\mu$ -1,2peroxodicopper(II) species,  $[{(TMPA)Cu^{II}}_2(O_2^{2-})]^{2+}$ , with no O<sub>2</sub> release (Figure 88, top). With  $[(N_n)Cu_2^{II}(O_2)]^{2+}$  (n = 3 or 5), a mixed-valent superoxide species was also formed, however, instead of forming an  $O_2$  caged species, electron transfer back to the second Cu<sup>II</sup> from superoxide resulted in O2 release and two CuI ions (Figure 88, bottom). The difference in reaction pathways for these tetradentate and tridentate ligand frameworks can be understood in terms of the relative redox potentials of their cuprous chelates. Cuprous complexes with tetradentate chelates have more negative redox potentials than those of their

tridentate analogues, which makes the reformation of peroxo species more favorable for the  $O_2$  caged [{(TMPA)Cu<sup>I</sup>}<sub>2</sub>(O<sub>2</sub>)]<sup>2+</sup>. Both of the N<sub>n</sub> ligands are tridentate, which favor copper(I), making photoejection of O<sub>2</sub> facile.<sup>801</sup>

#### **3.3.2.5.** Dicopper(II) µ-Mono-oxo Complexes and Methane Oxidizing Zeolites.: A

very recent reinvestigation into the previously reported crystal structures of pMMO has determined that the enzymatic active site may only contain a single copper ion;<sup>656</sup> original reports were ambiguous in the number of copper ions (see section 3.1).<sup>655,802–804</sup> As has been suggested as possibly relevant, copper ion loaded zeolites (Cu-ZSM-5), and others (vide infra),<sup>805</sup> are known to readily oxidize methane to methanol in air, and spectroscopic interrogation of this material by Solomon and co-workers led these researchers to suggest that it is a dicopper(II) complex bearing one bridging oxo ligand (Cu-O-Cu or  $[Cu_2O]^{2+}$ ) that is the active species which hydroxylates methane.<sup>806–808</sup> Thus, great interest has developed to learn how such species form and the mechanism by which they oxygenate methane. In the past, some synthetic compounds with a  $[Cu_2O]^{2+}$  core have been synthesized using mono- and binucleating ligands. Such species can be formed through several pathways starting from Cu<sup>I</sup> complex precursors, including addition of dioxygen, iodosobenzene (PhIO, a well-known O atom donor), or nitric oxide (NO) (Scheme 19, top). <sup>18,807</sup> Initial studies by Karlin and co-workers revealed that certain [(R-PY2)Cu<sup>I</sup>]<sup>+</sup> complexes could be reacted with dioxygen at -380 °C giving  $\mu$ : $\eta^2$ : $\eta^2$ -peroxo-dicopper(II) complexes (Cu/O<sub>2</sub> = 2:1) (Scheme 19, and see section 3.3.2) which, upon warming, decayed into a( $\mu$ -oxo)dicopper(II) species.<sup>511,809,810</sup> The same [Cu<sub>2</sub>O]<sup>2+</sup> complex could be formed directly upon oxygenation of the initial cuprous species at 0 °C, with a reaction stoichiometry of  $Cu/O_2 = 4:1$ . Reactivity studies showed that these complexes can oxidize triphenylphosphine to triphenylphosphine oxide. Limberg and co-workers<sup>807</sup> have studied the characterization and reactivity of  $[Cu_2O]^{2+}$  complexes bearing unique binucleating ligands (<sup>R</sup>Xanthdim and FurNeu, Scheme 19). Unfortunately, neither the Karlin nor Limberg [Cu<sub>2</sub>O]<sup>2+</sup> complexes show exceptional reactivity toward substrates; with the FurNeu ligand complex, oxidative coupling of phenols was observed.

Most recently, Kieber-Emmons and co-workers<sup>811</sup> published on the thermodynamic parameters of hydrogen-atom-transfer reactivity by a model  $[Cu_2O]^{2+}$  complex. Titration of a structurally characterized ( $\mu$ -hydroxo)dicopper(II) complex bearing the TMPA ligand with the base 1,8- diazabicyclo[5.4.0]undec-7-ene (DBU) allowed for the determination of the pK<sub>a</sub> of the bound hydroxide ligand (pK<sub>a</sub> = 24.3 ± 1.9 in MeCN). Cyclic voltammetry on the same bridging hydroxo complex gave the Cu<sup>II</sup><sub>2</sub>/Cu<sup>II</sup>Cu<sup>I</sup> reduction potential as -0.48 V versus Fc<sup>0/+</sup> in MeCN. A thermodynamic square scheme could thus be constructed giving the BDFE of the O– H bond in a ( $\mu$ -hydroxo)Cu<sup>II</sup>Cu<sup>I</sup> species as 77.2 kcal mol<sup>-1</sup> (Scheme 20, left). Experiments found to be consistent with this result were that the complex could react with substrates containing weak C–H and O–H bonds (cyclohexadiene and TEMPO-H), giving the products benzene and TEMPO, respectively (Scheme 20). <sup>811</sup> While very strong C–H bonds, such as methane, could not be oxidized, this is the first indepth study obtaining thermodynamic parameters relevant to oxidation by a [Cu<sub>2</sub>O]<sup>2+</sup> species.

While methane hydroxylation has not yet been attained in synthetic model systems, as mentioned a great deal of information has been obtained for the involvement of the

 $[Cu_2O]^{2+}$  moiety in Cu–ZSM-5.<sup>808</sup> Resonance Raman and optical spectroscopic interrogation showed that the active Cu<sup>II</sup>–O–Cu<sup>II</sup> species possesses a Cu–O–Cu angle of 140°, while temperature-dependent studies showed there is an activation barrier of 15.7 kcal mol<sup>-1</sup> for methane to MeOH conversion.<sup>806</sup> Further investigations have since identified two different Cu<sub>2</sub>O<sub>2</sub> precursors (derived from O<sub>2</sub>-reaction with reduced Cu<sup>I</sup>–zeolite material) leading to the active  $[Cu_2O]^{2+}$  oxidant (Scheme 21).<sup>808</sup> For Cu–ZSM-5, the initial formation of a dicopper(II) side-on peroxide complex was confirmed by UV–vis and resonance Raman spectroscopies.<sup>812</sup> In a more recent investigation on other types of Cu-loaded zeolites, for example, Cu–SSZ-13, the O<sub>2</sub>-adduct formed initially has been verified using rR spectroscopy, to be a dicopper(II) trans-peroxide species.<sup>805</sup> The resulting  $[Cu_2O]^{2+}$  species in Cu– SSZ-13 is said to have a smaller ∠Cu–O–Cu bond angle (~100°) compared to that found in Cu–ZSM-5 (140°). Future studies may show how this change in geometry may affect the reactivity of  $[Cu_2O]^{2+}$  complexes.

Two electrons (and the loss of an oxide) are needed in order for these dicopper(II) peroxide species to convert into the reactive  $[Cu_2O]^{2+}$  intermediate. It is postulated that two spectator Cu(I) ions donate the electrons needed, while one of the resulting oxo ligands can enter the zeolite lattice, giving  $[Cu_2O]^{2+}$  (Scheme 21).<sup>808,812</sup> The finding of a key oxo-dicopper(II) complex in Cu-loaded zeolites which can convert CH<sub>4</sub> to MeOH may suggest that a dicopper site, perhaps also a two-copper-ion containing  $[Cu_2O]^{2+}$  intermediate, is responsible for the pMMO enzyme activity.

The related reaction which might occur in enzymes is that a  $Cu_2O_2$  species picks up two electrons and two protons giving an active  $[Cu_2O]^{2+}$  species plus H<sub>2</sub>O (eq 6).

 $\left[{\rm Cu}_{2}{\rm O}_{2}\right]^{2\,+}+2{\rm H}^{+}+2{\rm e}^{-}\rightarrow\left[{\rm Cu}_{2}{\rm O}\right]^{2\,+}+{\rm H}_{2}{\rm O}~(6)$ 

But exactly how does such a reaction occur mechanistically, with respect to the O–O cleavage, and by analogy to cytochrome P-450 Cmpd I formation for a heme–Fe<sup>III</sup>– OOH complex (*vide supra*)? One can hypothesize that double-protonation of a peroxidic Cu2O2 species followed by heterolytic cleavage could possibly give a high-valent, Cu<sup>III</sup>–O–Cu<sup>III</sup>, a thus far unknown entity in copper (bio)chemistry. For a single-copper hydroperoxide complex Cu<sup>II</sup>–OOH (section 3.3.1.2), addition of one-electron and one proton could give an active high-valent Cu<sup>II</sup>–O••oxidant plus water. In copper coordination chemistry and copper-enzymes, the detailed mechanisms by which such O–O reductive cleavage events occur are poorly understood.

# 4. HEME-COPPER ENZYMATIC ACTIVE SITES FOR EFFICIENT, SELECTIVE O<sub>2</sub>-REDUCTION

An estimated 2.4 billion years ago, the so-called "Great Oxidation Event", which is believed to be linked to the activity of photosynthetic cyanobacteria, raised atmospheric  $O_2$  levels over 4 orders of magnitude (to ~10% of current levels) and drastically shifted the evolutionary course for life on earth.<sup>813–815</sup> To harness the high oxidizing power of

dioxygen, nature has developed metalloproteins for aerobic respiration, completing the oxygen cycle and securing the value of O<sub>2</sub> in biology.<sup>816</sup> Selective and complete reduction of O<sub>2</sub> to water by mitochondria and aerobic bacteria is accomplished by heme–copper oxidases (HCOs). These metalloenzymes have deservedly garnered extensive research efforts, as a detailed understanding of the HCO four-electron four-proton oxygen reduction reaction (ORR) gives vital fundamental biochemical insights [O<sub>2</sub>-reduction is coupled to energy production (i.e., ATP synthesis)];<sup>817</sup> insufficient oxidase activity has been linked to neurodegenerative diseases<sup>818–821</sup> including Alzheimer's<sup>822–824</sup> and Leigh syndrome,<sup>825</sup> as well as oxidative stress and aging in general.<sup>826–829</sup> A detailed understanding of the HCO reaction mechanism utilizing heme- and copper-based catalysis also has practical industrial potential (i.e., in fuel cell development, where the cathodic ORR normally requires a precious platinum catalyst).<sup>4,7</sup>

# 4.1. Characteristics of the HCO Superfamily and Discussion of Important Redox Cofactors

Heme-copper oxidases (oxygen reductases) comprise a large superfamily of integral membrane proteins (Figure 89A) with diversity in heme-type (Figure 89C), electron donor, and number of subunits; these metalloenzymes exist in eukaryotic mitochondria and some bacteria.<sup>830–833</sup> As their name suggests, HCOs use a binuclear center (BNC) containing a heme and copper cofactor to activate and reduce dioxygen. Structural and functional similarities to nitric oxide reductases (NORs) suggest that the two families share a common ancestor (see section 6.5.5),<sup>834,835</sup> yet oxygen reductases contain key features which make them unique, including the catalytically active Tyr residue at the BNC and transmembrane proton pumping channels. As the terminal electron acceptors in the mitochondrial respiratory electron transport chain ("Complex IV"), HCOs catalyze O<sub>2</sub>-reduction to water, following the equation:  $O_2 + 8H^+_{in} + 4e^- \rightarrow 2H_2O + 4H^+_{out}$ . The proton subscripts in this equation denote the redox-coupled proton-pumping (4 protons per turnover) via a Grotthusstype mechanism<sup>836,837</sup> across the inner mitochondrial membrane, which contributes to the electrochemical proton gradient utilized by ATP synthase for generating the cellular energy carrier, ATP. from ADP and inorganic phosphate.<sup>836,838</sup> The electrons can be funneled sequentially to the BNC from either a cytochrome c electron transfer hemeprotein via the nearby, mixed-valent, fully delocalized, formally di-Cu<sup>1.5</sup> site (Cu<sub> $\Delta$ </sub>) in subunit II which gets reduced to a Cu<sup>I</sup>...Cu<sup>I</sup> state, as in Cytochrome c oxidases (CcOs) (Figure 89B), or from a ubiquinol substrate embedded in the protein subunit I, as in Quinol Oxidases (QOs).<sup>839</sup> In the case of QOs, the two ubiquinol protons freed by the double proton-coupled electron transfer (PCET) reaction, which converts ubiquinol to ubiquinone, are pumped to the P-side of the membrane, while the electrons are shuttled to the BNC (Figure 89D).<sup>840,841</sup> In both subcategories of HCOs, electrons then travel to a low-spin six-coordinate heme a which is axially tethered to the high-spin heme a<sub>3</sub> iron of the BNC where dioxygen binds as the sixth ligand. The copper ion (Cu<sub>B</sub> site of the BNC) sits 5.1 Å from the high-spin heme  $a_3$  iron when the enzyme is in the fully reduced state (PDB: 5B1B, Figure 90)<sup>842</sup> and is ligated by three histidine residues. Unless otherwise noted, the amino acid numbering scheme used in this review corresponds to the Bos taurus (bovine heart) cytochrome c oxidase (see Figure 90), as it is the most widely studied in the family.

**4.1.1.** Unique Histidine-Tyrosine Cross-Link.—A highly conserved heme-Cu active site post-translational modification consists of a covalent cross-link of one of the His ligands to a Tyr (His-N<sup>e</sup>-Tyr-C<sup>e2</sup>, see Figure 90), and this cofactor has long been known to be necessary for maintaining oxidase function (vide infra).<sup>844–849</sup> The covalent C–N linkage is estimated to decrease the pKa of the tyrosine–OH by 1.1 pKa units and increase the redox potential by approximately 66 mV, based on model studies, 850-853 consistent with its proposed involvement as a proton and electron donor during O<sub>2</sub> activation and reduction. From another perspective, the cross-link also affects the electron-donating ability of the histidine as a ligand for Cu<sub>B</sub>, which undoubtedly fluctuates throughout turnover due to the participation of Tyr–OH in the reaction.<sup>844</sup> Organization of a hydrogen-bonding network consisting of one or more water molecules linking the Tyr-OH and the bound O<sub>2</sub> moiety (either the superoxide and/or proposed peroxide forms, vide infra) could aid in shuttling in the proton(s) necessary for O–O cleavage.<sup>854–857</sup> Additionally, X-ray crystallographic data has shown that the active-site Tyr residue hydrogen bonds to one of the heme farnesyl chains possibly linking its role to substrate/proton gating.<sup>858,859</sup> The biogenesis of this unique cofactor is not well-understood, although mechanisms have been proposed, both where the cross-link is formed during the first catalytic turnover (presumably following formation of the TyrO• radical) or via some other anaerobic pathway (Scheme 22, panels A and B, respectively).<sup>22,612,848,860</sup> The reaction to form the cross-link is formally a two-electron oxidative C<sub>(phenol)</sub>\_N<sub>(imidazole)</sub> coupling of the amino-acid side-chains of a Tyr and a His residue which reside close to each other (in space), that is in a i/i+4 relationship on an  $\alpha$ helix in a pro-enzyme form.

**4.1.2.** Proton-Pumping Function of HCOs.—The HCO proton-pumping function is coupled to the O2-reduction chemistry (vide infra, section 4.2), but is, itself, an area which deserves and has attracted considerable attention from physical biochemists, bioenergeticists, and theoretical-computational researchers due to the importance of the formed membrane electrochemical gradient which drives ATP synthesis.<sup>861</sup> Subunit I, which houses the important redox cofactors, also contains two proton-pumping channels lined with polar residues.<sup>19</sup> The so named, K- and D-channels, are responsible for supplying chemical protons to the BNC and transporting both chemical and pumped protons, respectively; these conclusions come from extensive mutational studies (and recently molecular dynamics simulations).<sup>843,862–865</sup> Protons are shuttled (from the opposite side of the membrane as electrons) to the BNC in a controlled fashion which does not allow back-leakage but does make use of loading sites ("LS" in Figure 91) such as a conserved glutamic acid (E242, Figure 91) residue near the edge of heme a, and a Mg-water cluster adjacent to heme-a<sub>3</sub>, both of which are responsive to and/or cause redox changes related to the chemistry of the BNC (reduced vs oxidized metal centers induce conformational changes, and increased introduction of positive charges at the loading sites increases the electron affinity of the BNC).<sup>19,842,866–868</sup> Uptake of protons to the BNC is necessary for O–O bond scission; however, all four protons pumped per catalytic turnover are proposed to be translocated into the intermembrane space after the O-O bond cleavage event, utilizing the energy released in these steps. Coupling to the O2-reduction mechanism implies participation of active site moieties in either gating of the pumping pathways or causing structural (allosteric) changes in the protein. Indeed, it has been suggested by time-resolved IR data that the initial binding

of  $O_2$  to  $Cu_B$  induces proton collection and results in a conformational change which increases the  $O_2$ -affinity of heme- $a_3$ , and the subsequent binding of  $O_2$  to the heme creates a bulge at Serine-382, closing the proton uptake channel to prevent back-leakage.<sup>854,869,870</sup> Finally, the H-bond that exists between the cross-linked Tyr244 and heme- $a_3$  farnesyl group (see below, Scheme 23, R) acts as a gate for the K-channel, with the O(H)...O(H) distance ranging between 2.6 and 4.1 Å depending on the oxidation state of the BNC.<sup>871</sup>

# 4.2. Catalytic O<sub>2</sub>-Reduction Mechanism

In coupling the O<sub>2</sub>-reduction and proton-pumping functions of CcO, intricate mechanisms of cooperative allostery exist so as to (i) prevent release of partially reduced reactive oxygen species (PROS), (ii) allow gating of water and proton-pumping channels while preventing back-leakage of these species, and of course (iii) energetically couple the redox chemistry to these events.<sup>865</sup> Many of the details of these interrelated steps are not yet entirely understood and will require studies on the complete enzyme and its mutants. However, the mechanism of O<sub>2</sub>-reduction by the binuclear center of CcO (which has recently been reviewed at length; <sup>19,20</sup> also see the review article from M. Wikstrom and co-workers<sup>873</sup> in this special themed Chemical Reviews issue, along with the following valuable recent reviews and perspectives), 11,817,874 has seen immense contributions from heme-copper model systems (which are the focus of this review, vide infra and see section 5) toward the fundamental understanding of this important reaction and the tightly regulated transfer of protons and/or electrons in directing efficient reactivity/(bio)catalysis in general. Although certain details are still debated (especially with the recent rise in computational capabilities), spectroscopic studies of reactivity paired with X-ray crystallographic structural data, together over the last few decades, have culminated in the currently accepted mechanism shown in Scheme 23.

"...[CcO's] behavior reflects a mechanism in which conditions that allow efficient dioxygen bond cleavage are not inherent to the active site but are only established as the reaction proceeds. This catalytic strategy provides an effective means by which to couple the free energy available in late intermediates in the reduction reaction to the proton-pumping function of the enzyme." Quoted with permission from ref 979. Copyright 1993 National Academy of Sciences.

# 4.2.1. O–O Bond Reductive Cleavage (Oxidative Phase of the Catalytic

**Mechanism).**—Coupling of time-resolved IR, rR, and X-ray techniques following flash photolysis of heme-CO-bound CcO<sup>879</sup> have recently confirmed a longstanding proposal that upon reaching the BNC, O<sub>2</sub> first transiently binds to Cu<sub>B</sub>; a phenomenon which induces conformational changes on the distal side of heme-a<sub>3</sub> related to gating of the water channel and increasing the O<sub>2</sub>-affinity of heme-a<sub>3</sub>.<sup>869,879</sup> Nonetheless, it is typically considered that introduction of dioxygen to the fully reduced state of the enzyme, R, {Fe<sub>a3</sub><sup>2+</sup>/Cu<sub>B</sub><sup>+</sup>; Fe<sub>a</sub><sup>2+</sup>; Cu<sub>A</sub><sup>+</sup>} begins the oxidative phase of the catalytic cycle with the formation of the A/Oxy species,  $v(\text{Fe-O}) = 571 \text{ cm}^{-1}$  ( $^{18}\text{O}_2 = -27 \text{ cm}^{-1}$ ) (Scheme 23),<sup>880</sup> which is spectroscopically similar to the O<sub>2</sub>-adducts, oxy-hemoglobin and oxy-myoglobin [bent, "end-on" ferrous- oxy (Fe<sup>II</sup>-O<sub>2</sub>) or ferric-superoxo (Fe<sup>III</sup>-O<sub>2</sub>·•<sup>-</sup>); see section 2.1.1], although proof that this species is not a peroxide level intermediate (e.g., such as I<sub>P</sub>) does not exist since an O–O stretching vibration has not been detected. (Note: see further discussions,

section 6.3.1.) Rapid cleavage of the O–O bond has so-far prevented spectroscopic isolation or characterization of a bridging (hydro)peroxide species (i.e., the proposed I<sub>P</sub>, see Scheme 23, and below, sections 4.2.3 and 6), although the Fe<sub>a3</sub> and Cu<sub>B</sub> may lie in close enough proximity to allow for such a formulation and indeed it is a chemically plausible intermediate to precede O–O cleavage. Furthermore, the presence of an additional proton in the active site is calculated to decrease the overall energetic barrier for O–O cleavage,<sup>747,855</sup> and protonation of a nearby Mg-water cluster, believed to function as a proton loading site, increases the redox potential of the active site.<sup>867</sup> Indeed the decay of the A species reveals a measurable hydrogen/deuterium kinetic isotope effect (KIE) of  $\kappa_H/\kappa_D = 1.8 \pm 0.2$  for the Rhodobacter sphaeroides bacterial enzyme<sup>881</sup> or  $\kappa_H/\kappa_D = 1.9 \pm 0.4$  for bovine heart CcO, when the enzyme was studied in H<sub>2</sub>O or D<sub>2</sub>O media, respectively.<sup>882</sup>

Nonetheless, the next observable state by rR (still termed "P" as it was originally believed to be a peroxo species, though this was eventually ruled out by mixed isotope  ${}^{16}O^{18}O$ experiments)<sup>322</sup> is the O–O cleaved ferryl-oxo species,  $v(\text{Fe}^{\text{IV}-16}\text{O}) = 804 \text{ cm}^{-1}$  ((18O) =  $-40 \text{ cm}^{-1}$  with an optical absorption band observed at 607 nm, which is analogous to the so-called Compound II species (Fe<sup>IV</sup>= O<sup>2-</sup>) characterized in many hemoproteins (see section 2.1). Notably, a P intermediate, which additionally contains a Cu<sub>B</sub><sup>II</sup>- OH species can also be generated during reduction of O<sub>2</sub> by the mixed-valent CcO where only the BNC metals begin in the reduced state or by reaction of H<sub>2</sub>O<sub>2</sub> with the fully oxidized CcO (both result in oxy-ferryl species formation within the BNC structure, P<sub>M</sub> in Scheme 23; however, the latter is sometimes referred to as P<sub>H</sub>).<sup>883-885</sup> Dioxygen reduction by the fully reduced CcO where extra reducing equivalents are available as compared to the mixed-valent enzyme has opened discussion as to whether a more reduced P state (P<sub>R</sub>, in which the neutral Tyr radical in P<sub>M</sub> has undergone one electron reduction from heme a to yield tyrosinate, TyrO<sup>-</sup>, see Scheme 23) may also exist; however, it is likely that the energy necessary for this electron transfer may instead manifest in protein structural changes possibly related to proton movements (vide infra).<sup>881–886</sup> The involvement of Tyr244 in the catalytic cycle has been postulated since the first crystal structure was solved; however, support for its role as an electron-donor came later from radioactive iodide labeling/peptide mapping,<sup>849</sup> timeresolved spectroscopic methods,<sup>850</sup> and most recently by freeze-quench X-band and D-band EPR spectroscopy on PM generated with excess H2O2 confirming the Tyr radical character  $(g_x = 2.0059, g_y = 2.0051, and g_z = 2.0017)$ .<sup>887</sup> A conserved tryptophan residue (Trp236) which  $\pi$ -stacks with one of the Cu<sub>B</sub> histidine ligands was shown to be necessary for oxidase function as well; therefore, originally it was considered as a potential donor for the fourth electron. However, the substantial evidence supporting the Tyr244 radical suggests that this Trp is instead involved in a radical- transfer process related to the O<sub>2</sub>-reduction chemistry, which is not yet fully understood.<sup>886,888</sup> The redox activity and protonation/deprotonation of other highly conserved tyrosine (129, 280) and tryptophan (272) residues in CcO during catalytic turnover has been shown to be involved in regulating proton translocation functionality or to serve as electron relay sites. 884,887,889-891

The timing of electron transfers to cleave the O–O bond is important to prevent release of ROS, so a "Compound I"-type intermediate,  $(P^{+\bullet})Fe^{IV}=O$ , like those known to form in heme peroxidases, catalases, and Cyt P450s (*vide supra*, section 2), has also been considered as a possible intermediate in HCO chemistry, as the porphyrin is a local cofactor (besides the Tyr

and Trp residues) that could provide the fourth necessary electron (the others derived from the iron, copper or Tyr cofactor). A highly reactive and transiently lived Cmpd I intermediate would precede  $P_M$  (Scheme 23) and has been detected by EPR and rR spectroscopies in experiments where CcO (oxidized forms) are exposed to H<sub>2</sub>O<sub>2</sub>. A Cmpd I species in CcO has been considered computationally, though never observed in the native catalytic cycle (i.e., reduced enzyme plus O<sub>2</sub>).<sup>883,884,892–894</sup> it has been ruled out in the reaction of CcO with dioxygen, based on vibrational data and recent calculations which state that it is energetically less favorable to oxidize the porphyrin than nearby residues, specifically Tyr244. <sup>322,747,887,891,895</sup>

## 4.2.2. Reductive Phase of the Catalytic Cycle Including Proton-Pumping.—

The analysis above shows that all four reducing equivalents required for O<sub>2</sub>-reduction are provided by the redox active cofactors in the BNC [one from copper ( $Cu_B^I \rightarrow Cu_B^{II}$ ), two from iron (Fe<sub>ab</sub><sup>II</sup>  $\rightarrow$  Fe<sub>ab</sub><sup>IV</sup>) and one from tyrosine-244 (TyrOH  $\rightarrow$  TyrO<sup>•</sup>)]; however, regeneration of the reduced state of the enzyme is of interest as well because those proton and/or electron transfer events are importantly coupled to the structurally complex protonpumping function of CcO.<sup>882,896</sup> Both rR spectroscopy and site-directed mutagenesis along the proton pumping channels have been integral in elucidating how O<sub>2</sub>-reduction drives or is coupled to proton translocation; however, the relationship between these processes still requires further investigation. Following formation of the P intermediate, electron transfer from heme a results in a new (still ferryl-oxo) species with  $\lambda_{max} = 580$  nm and  $v(Fe^{-16}O) =$ 785 ( $^{18}O_2 = -35$ ) cm<sup>-1</sup>,<sup>897</sup> assignable to the F state (Scheme 23), and a three-coordinate Cu<sub>B</sub>. In the time-resolved rR spectroscopic data, the features associated with F overlap significantly with those of the P intermediate, suggesting that the electrons from CuA/heme a preferably go toward a proton-coupled reduction of  $P_M \rightarrow F$  rather than only an electron transfer,  $P_M \rightarrow P_R$ .<sup>886</sup> It has been unequivocally shown that this  $P_M \rightarrow F$  transition is coupled to proton uptake from the D-channel and proton pumping across the membrane based on mutation studies and, moreover, that the proton uptake occurring in this step controls/drives electron transfer from the CuA site to heme a (and not vice versa; Scheme 23), with additional support from studies on a quinol oxidase.<sup>896,898</sup> Finally, FTIR experiments indicate that Tyr is deprotonated in F, so it is expected that the proton taken up transfers to a transient Cu<sub>B</sub>-OH moiety, releasing H<sub>2</sub>O and giving the F structure shown in Scheme 23 with a Cu<sub>B</sub>/TyrO<sup>-</sup>.899

Additional H<sup>+</sup>/e<sup>-</sup> transfer to the BNC results in formation of the OH ("fully oxidized" relevant to turnover) intermediate (and additional proton translocation), which has a resonance Raman band at  $v(Fe^{-16}O) = 450$  ( $^{18}O2 = -25$ ) cm<sup>-1</sup> and, with support from H2O/D2O exchange studies [wherein the rRband is shifted to  $v(Fe^{-16}O) = 443$  ( $^{18}O2 = -28$ ) cm<sup>-1</sup> in D2O], has been assigned to an Fea3<sup>III</sup>-OH...Cu<sup>II</sup>B species.<sup>895</sup> The rR feature of this species is significantly lower than that of an analogous state in other hemeproteins, which leads to the assignment of OH as a high-spin and strongly hydrogen-bonded ferric-hydroxy species present along with a three-coordinate (and thus high potential) CuB site; alternatively, a strained metal-bridging hydroxide structure as shown in Scheme 23 can describe this intermediate, though the exact oxidation state of Cu is debated (i.e., OH = Fe<sup>III</sup>-OH...Cu<sup>I</sup>/TyrO<sup>•</sup> \leftrightarrow Fe<sup>III</sup>-OH-Cu<sup>II</sup>/TyrO<sup>–</sup>). Either of these formulations may account

for the reduction/protonation and proton pumping driven by the nature of the structure and bonding/electronic properties of this turnover intermediate OH to give Eh (Scheme 23).  $^{846,859,900,901}$  Aspects of the OH structure and coordination chemistry relevant to these properties are further discussed in section 6.3. Here, one of the first proposed computational structures for OH, a strained  $\mu$ -hydroxo heme– Cu species, is shown below (Figure 92).

The F and  $O_H$  states as well as the  $F \rightarrow O_H$  transition have been a recent focus for extensive biophysical and computational analysis in which the role of water molecules and CuB redox potential are hotly debated, as comprehensive spectroscopic/structural data has not yet elucidated mechanistic details.<sup>859,875,901–904</sup> Two additional unresolved proton-coupled electron-transfers regenerate the TyrOH (forming the  $E_H$  state, which has only been observed in electron injection experiments)<sup>905</sup> and finally the reduced state of the enzyme once again, completing the catalytic cycle and releasing the two water molecules as well as pumping two additional protons (Scheme 23).<sup>906–908</sup>

## 4.2.3. Metal-Bridging Peroxo Intermediate and the Importance of Its H-

Bonding or Protonation.—A putative (hydro)peroxide species in the catalytic cycle prior to O-O reductive cleavage has never been observed spectroscopically during turnover, although chemical intuition invokes a formal peroxide on the reduction pathway from dioxygen to water. Such  $M^{n+}$ -( $^{-}OO(H)$ ) species are known to exist in other heme proteins (such as cyt P450s and peroxidases), multicopper oxidases,<sup>19</sup> and model systems (also see sections 2, 3, and 5), where these intermediates immediately precede the O-O reductive cleavage step. For heme enzymes, nature goes to great effort to set up structures so that Hbonding and/or proton donation to a heme-peroxo distal O atom (that further from the iron) helps to mediate O-O cleavage (also see section 2).46,138 Noodleman calculates that a pure peroxo-bridged Fe<sub>a3</sub>-O–O-Cu<sub>B</sub> species ( $E^{\circ}_{calc}d = -0.73$  vs SHE) would not undergo any reduction by cytochrome c or heme b.<sup>909</sup> As will be illustrated in section 5, synthetic (heme)Fe<sup>III</sup>-peroxo-Cu<sup>II</sup>-ligand complexes which have been tested are also unreactive even to moderately strong chemical reductants (as only electron-donor agents). In terms of electronics, a bridging peroxide avoids buildup of a large overpotential, and it could allow for ferromagnetic coupling between the Fe<sub>a3</sub> and Cu<sub>B</sub> centers so that electron transfer from the Tyr would not necessitate spin-surface crossing for the reductive O-O bond rupture. 909,910

In fact, the  $Fe_{a3}$  and  $Cu_B$  ions in HCOs lie in close enough proximity to support a diatomic ligand, and several, including a peroxide as a bridging ligand  $(O_2^{2^-})$  have been isolated in multiple CcO crystal structures [PDB IDs: 2ZXW, 5B1A, 3HB3  $(O_2^{2^-})$  and many others (see section 6.2); 1OCO, 3AG1, 3AG2 (CO); 3AG3 (NO); 3AG4  $(CN^-)$ ].<sup>842,870,911</sup> The fact that many  $O_2^{2^-}$ -bridged structures have been described in publications (however, see discussions in section 6.2), and that they are stable enough to be crystallized, perhaps contradicts the proposal that such a structure is a catalytically active intermediate,<sup>19</sup> and it has been suggested that a bridging peroxide might uncouple the O<sub>2</sub>-reduction and proton-pumping functions.<sup>907,911</sup> Nonetheless, a short-lived<sup>912</sup> (bridging) peroxide intermediate now seems quite certain (*vide infra*); it was originally suggested by Proshlyakov, Pressler, and Babcock,<sup>913</sup> and many theoretical-computational studies (Blomberg, Siegbahn,

Wikström, Noodleman and co-workers) have supported this important assertion. 20,850,855,861,875,901–903,909,914–918

Further, it has been shown in some or many of these theoretical-computation studies and deduced from very recent investigations on model systems (which include computational results; see section 5),<sup>747,919</sup> that in order to reductively cleave the peroxidic O–O bond, it is energetically required to first transfer a proton to the  $O_2^{2-}$  moiety,  ${}^{868,917,918,920}$  in fact to the O atom furthest from Fe<sub>a3</sub>, generating a putative Fe<sup>III</sup>– (<sup>-</sup>OOH)...Cu<sub>B</sub><sup>III</sup> hydroperoxo adduct which may or may not also be bound also to  $Cu_B{}^{II}$ . Noodleman and co-workers ${}^{909,917}$  go further and propose that the <sup>-</sup>OOH group is both iron(III) and copper(II) bound, with the proton located on the O-atom next to  $Cu_B$ ,  $Fe_{a3}^{3+}$ –O–O(H)– $Cu_B^{2+}$  (see Figure 93); this very much looks like a cytochrome P-450 heme-hydroperoxide ready to undergo heterolytic O-O cleavage giving Cmpd I. However, in HCOs, this Fe<sup>III</sup>-OOH moiety is now also bound to the copper ion via the distal O atom (with respect to Fe) and poised for homolytic O-O reductive cleavage. As discussed, only one electron will further derive from heme a3 (to transform to an Fe<sup>rV</sup>=O species), while the second electron comes from the proximal tyrosine, giving product, P (P<sub>M</sub>, Scheme 23, vide supra), a binuclear center formulated as FerV=O..HO-CuII YO. From spectroscopic studies, Wikström and co-workers<sup>850</sup> have been able to demonstrate that the proton on the hydroxide bound to Cu<sub>B</sub> derives from the activesite tyrosine.

A most exciting development, a confirmation, comes from new experiments from Brzezinski and co-workers,<sup>918</sup> carried out on Thermus thermophilus ba<sub>3</sub> oxidase. They were able to show that at 10 °C (pH 7) electron transfer from the low-spin heme b to the heme-Cu binuclear active site is ~10 times (t ~ 11 ms) faster than formation of the Fe<sup>IV</sup>=O heme ferryl  $P_R$  intermediate (t ~ 110 ms). This is a clear indication that dioxygen is reduced prior to O-O reductive cleavage. In other words, O2-binding to give intermediate A (an iron(III)superoxo species,  $Fe^{III}(O_2^{\bullet-})$ , similar to that which forms in hemoglobin, known as "oxy-Hb", although in the biocommunity this is not spoken of as an O<sub>2</sub>-reduction) must be followed by formation of another intermediate prior to when the O-O bond splits. Further, corresponding experiments, carried out at pH 10, showed the rates of ET from heme b and P<sub>R</sub> formation to be very close to each other. Accompanied by DFT analysis (from coauthor Blomberg),<sup>918</sup> intermediate A is concluded to pick up an electron either from Cu<sub>B</sub> or the active site tyrosine (Y<sup>237</sup>), while the latter also contributes its proton. Thus, these researchers state that the binuclear active site peroxo intermediate I<sub>P</sub> exists as  $\{Fe_{a3}^{3+}-(O-OH)^{-}Cu^{1+}\}$ YO·  $\mu$  Fe<sub>a3</sub><sup>3+</sup>-(O–OH) <sup>-</sup> Cu<sup>2+</sup> YO<sup>-</sup>}; these are resonance structures. This species is depicted in Scheme 23 as an intermediate form which includes H-bonding from the active site Tyr. The electron transfer observed by Brzezinski and coworkers was proposed to occur from heme b to this I<sub>P</sub> intermediate, resulting in a species the authors call I<sub>P</sub><sup>-</sup> [i.e., Fe<sub>43</sub><sup>3+</sup>–(O– OH)<sup>-</sup> Cu<sup>1+</sup> YO<sup>-</sup>].<sup>918</sup> This ET prior to O–O cleavage lowers the activation barrier for formation of P<sub>R</sub> an O-O bond cleaved intermediate (Scheme 23).

Detailed investigations of the chemistry of synthetic heme–Cu analogs have led to many insights relevant to HCO active-site structure and chemistry,<sup>15,19,20,56,535,921</sup> such as studies involving (i) binding molecules such as carbon monoxide, nitric oxide (NO), and cyanide, with perspectives relevant to kinetics, structure, and spectroscopy, (ii) reactivity of reduced

(heme)Fe<sup>II</sup>–Cu<sup>I</sup> constructs with molecular oxygen, giving peroxo-bridged complexes, which in certain cases lead to O–O cleavage in the presence of electron and proton sources or where peroxo O-atom H-bond interactions are present, cf. Figure 93B, and (iii) electrocatalytic O<sub>2</sub>-reduction. In section 5, we detail advances made especially in the last 10 or more years, while in section 6 we provide discussions and insights specific to certain aspects of HCO biochemistry, as well as points of consideration or debate arising from an inorganic/coordination chemistry point of view.

# 5. SMALL MOLECULE SYNTHETIC MODELS OF HEME-COPPER OXIDASES

#### 5.1. General Considerations for Modeling HCOs

In this section, we overview the work of research groups who employ synthetically derived small-molecule "biomimics" to model the heterobinuclear active site of heme-copper oxidases in order to evaluate aspects of the metal ion environment (i.e., the ligand and its exact nature) which give rise to dioxygen reactivity with reduced heme-Cu assemblies, determination of their structures and electronic properties (i.e., bonding), and their reactivity toward electrons and protons, to effect reductive O–O cleavage chemistry. Given that the reactivity of a metal center in a metalloprotein active site generally adheres to the fundamental chemistry of the metal ion itself, rational design of appropriate model complexes allows for interrogation of the structural, magnetic, and electronic properties of both stable species and transient intermediates proposed to form during enzymatic turnover.

<sup>922</sup> Such biomimetic studies can provide insights into the roles of metal ion coordination number, coordination geometry, ligand donor type, metal ion redox properties, local environmental factors, and hydrogen bond donor/acceptor moieties on the stability and/or reactivity of inorganic reaction intermediates. Additionally, they provide access to species which are not observed or easily isolated in protein studies. Most certainly, only by using synthetic models (or computational methods) can one break down such an intricate overall process into its specific elementary steps, so that profound basic understandings can be realized. While such small molecule systems do not and cannot duplicate the exact structural and mechanistic characteristics of enzymatic systems, nor can they in any absolute way prove enzyme mechanism, they can sharpen questions that need to be asked and allow for systematic studies to answer critical aspects. Much of the chemistry described below illustrates this type of research leading to the answering of some questions of great interest.

There have been two main approaches utilized in synthetic modeling of cytochrome c oxidase. One involves synthesis of discrete heme-copper assemblies, and examination of structures, and research into the question of symbiotic behavior of iron and copper into complex formation, especially O<sub>2</sub>-derived adducts. At an initial level of inquiry, one wishes to deduce how, in a heme-Cu binuclear assembly, the ligand-Cu ion influences dioxygen binding to iron(II)-porphyrinates, and equally, how hemes alter or control O<sub>2</sub>-binding to copper(I). Heme-superoxo- or heme-peroxo-copper complexes have generally required the utilization of cryogenic conditions and the use of aprotic solvents. Metal-coordinated O<sub>2</sub>-derived reduced fragments such as superoxide or peroxide are susceptible to deligation (via protonation) especially in protic solvents. Iron, and especially copper ions, exhibit

significant ligand lability in their complexes, and low-temperature handling helps to overcome dissociation/exchange of ligands such as superoxide or peroxide.

The other primary research approach has been to examine the use of heme-copper assemblies as supported electrocatalysts for  $O_2$  reduction, preferably to give water, as in HCOs, avoiding the generation of partially reduced oxygen species (PROS). In some sense, the requirements for electrocatalysis are less rigid, in that an air stable compound, for example an oxidized Fe<sup>III</sup>...Cu<sup>II</sup> assembly, can be used as the electrocatalytic starting material. Extensive work by the groups of Collman, Boitrel, Karlin, Naruta, and others (vide *infra*) has thus yielded detailed correlations between the structural, spectroscopic, electronic, and magnetic properties of the catalyst and possibly otherwise characterized discrete heme-O<sub>2</sub>-copper assemblies, under conditions where electrons derive from the electrode and protons from the medium used. In addition to spectroscopic studies, the stoichiometric reactivity of these species toward exogenous reductants, acid sources, and hydrogen atom donors (H<sup>++</sup> e<sup>-</sup>) has been evaluated to gauge their reactivity properties. The electrocatalytic O<sub>2</sub>-reduction with heme-Cu catalysts in fact has proven to be very successful, especially from the systematic studies carried out by Collman and co-workers. 56,921,923,925,1044 Insights can and have probed aspects such as the role of the Cu ion, pH effects, solvent polarity influences, and how rates of electron flux (from an electrode) govern O<sub>2</sub>-reduction efficiency.

## 5.1.1. Dioxygen Derived Heme-Peroxo-Copper Assemblies as HCO Synthetic

**Models:** Generation, Structures, and Spectroscopic Properties. The study of  $O_2$  reactivity with heme and copper complexes, or preorganized synthetic reduced heme-Cu assemblies, is critical in the modeling of HCOs, and this strategy has been extensively employed by the Karlin, Naruta, Collman, and others. In these model compound systems, the Fe...Cu distance is determined in part by the bonding interactions of the Fe and Cu to the bridging ligand, which derives from dioxygen reactivity with reduced iron and copper ions. Many of the studies, must be carried out at low temperatures ( $\sim -380$  °C), under dry conditions due to the thermal instability of the resulting oxygenated adducts, susceptibility of generated hemeperoxo-copper complexes to protonate, releasing (usually) hydrogen peroxide, and/or their tendency to form bridging oxo species (i.e., by disproportionation, vide infra), and hydroxides (i.e., via further reaction with water). This methodology has enabled the systematic variation of the coordination environment around the Cu as well as the Fe ion and characterization of interesting biorelevant intermediates. Additionally, these adducts can and have been studied by spectroscopic techniques which help correlate aspects of heme/Cu O<sub>2</sub>adduct molecular and electronic structure to their reactivity toward substrates (e.g., H<sup>+</sup>, e<sup>-</sup>, and phenols).

Before going in depth into heme-peroxo-copper model system reported in the literature, it is instructive to cover a few pivotal aspects of this chemistry, utilizing seminal examples from by the Karlin and Naruta groups, in order to give better context for other systems described, especially the more recent ones. To this end, in this section we detail the synthesis, typical geometric and electronic properties, and key spectroscopic signatures of high-spin and low-spin heme-peroxo-copper model systems, and their relationship with similar/relevant intermediates of CcO. Our discussion includes peroxo complexes supported by tethered (i.e.,

potential copper ion chelates covalently appended to the synthetic porphyrinate) ligand architectures, as well as those bearing appended phenol moieties as a mimic for the His-Tyr cross-link at CcO active site.

The study of  $[(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(TMPA)]^+$  (HS-TMPA) and  $[(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(AN)]^+$ (HS-AN) (note: both as perchlorate and/or BAr<sup>F</sup> salts) (Figure 94) have helped to illustrate the influence of copper chelate denticity and axial base binding on heme-peroxo-copper bonding interactions. HS-TMPA was generated via the addition of  $O_2$  to a 1:1 mixture of [(TMPA)Cu<sup>I</sup>(CH<sub>3</sub>CN)]<sup>+</sup> and (F<sub>8</sub>)Fe<sup>II</sup> in THF at -380 °c.<sup>926,927</sup> Its classification as a heme peroxo copper complex was based on rR spectroscopy, which showed an <sup>18/16</sup>O<sub>2</sub> isotope sensitive O–O and Fe-O stretching frequencies at 808 ( $^{18}O_2 = -46$ ) and 533 ( $^{18}O_2 = -22$ ) cm<sup>-1</sup>, respectively. The oxygenated adduct was also characterized by MALDI-TOF-MS, which showed a parent peak at m/z = 1239 corresponding to [(HS-TMPA) - 3ClO<sub>4</sub> + CH<sub>3</sub>CN] and showed an increase by 4 mass units upon oxygenation with <sup>18</sup>O<sub>2</sub>. Dioxygen uptake measurements confirmed a 1:1:1 stoichiometry for Fe<sup>II</sup>:Cu<sup>I</sup>:O<sub>2</sub>. The paramagnetically shifted <sup>1</sup>H, <sup>19</sup>F, and <sup>2</sup>H (via the pyrrole deuterated derivative d<sup>8</sup>-F<sub>8</sub>) NMR spectra along with the Evans method experiments confirmed an overall S = 2 spin state. Mössbauer spectroscopy established the iron as a high spin ferric ion, bound to an electronrich peroxide ligand.<sup>926,927</sup> This data can be reconciled by considering that the d<sup>9</sup> Cu<sup>II</sup> is antiferro-magnetically coupled to the unpaired electrons on the highspin  $(d^5)$  Fe<sup>III</sup> ion through the peroxidic bridging ligand. In order to better understand the basic coordination chemistry of this bridging peroxo species, extended X-ray absorption fine structure (EXAFS) spectroscopy was used in conjunction with DFT calculations. Iron and copper Kedge EXAFS data conformed to the proposed structure (but see just below) and were fit with a Cu-Fe distance of ~3.72 Å.

Initially, the structure/coordination around the peroxo ligand in HS-TMPA was not known, but the critically important synthetic and structural work of Naruta and co-workers (see Figure 95, compounds A-C) allowed the formulation of the  $\eta^2$ : $\eta^1$ -peroxo ligation in HS-TMPA.<sup>927,929</sup> Naruta's contributions consisted of, in fact, very similar ligand donors to those used by Karlin <sup>930,931</sup> Naruta however utilized derivatives of tetraphenylporphyrinate (TPP) or tetramesityl-porphyrinate (TMP) where a TMPA or its methylated derivative is covalently tethered to the porphryinate periphery. Complexes (A-C) (Figure 95) are all Fe<sup>III</sup>-peroxo-Cu<sup>II</sup> complexes, and the X-ray structure shown was obtained for compound (C) (Figure 95, right). Extensive physical measurements and reactivity studies were carried out for this crystalline material, (C), [(TMP)Fe<sup>III</sup>-(O<sub>2</sub><sup>2-</sup>)-(5MeTPA)Cu<sup>II</sup>]<sup>+</sup> (as a BPh<sub>4</sub><sup>-</sup> salt). This hemeperoxo-copper complex, (C), displayed similar spectroscopic properties to analogous compounds created by Karlin and co-workers. Specifically, (C) is an S = 2 species with antiferromagnetic coupling between the Fe<sup>III</sup> and Cu<sup>II</sup>, with a corresponding magnetic moment of 4.65  $\mu_{\rm B}$ , EPR silent (in perpendicular mode), and has a peroxidic rR feature, v(O-O) = 790 (<sup>18</sup>O<sub>2</sub> -3 44) cm<sup>-1</sup>. Some structural parameters of interest are that of d(O-O) = 1.460(6) Å and d(Fe...Cu) = 3.916 Å.

To expand the scope of chelates used in heme-peroxo-copper complexes, the Karlin group utilized several tridentate copper chelates, the justification and interest in this being that (i) the HCO binuclear active site contains a tridentate copper ion ( $Cu_B$ ) coordination and (ii) the

redox properties and O<sub>2</sub>-chemistry vastly differs for tridentate versus tetradentate copper(I) chelates, as detailed above in section 3.3. In one such example, oxygenation of a solution containing  $[(AN)-Cu^{I}](BAr^{F})$  and  $(F_{8})Fe^{III}$  results high-spin heme peroxo copper adduct,  $[(F_{8})Fe^{III}-(O_{2}^{2^{-}})-Cu^{II}(AN)]^{+}$  (Figure 94B).<sup>926</sup> The previously established UV-vis, <sup>2</sup>H NMR, and rR spectroscopic properties of  $[(F_{8})Fe^{III}-(O_{2}^{2^{-}})-Cu^{II}(TMPA)]^{+}$  (Figure 94A) provided precedence for the classification of  $[(F_{8})Fe^{III}-(O_{2}^{2^{-}})-Cu^{II}(AN)]^{+}$  (Figure 94B) as a high spin heme-peroxo-copper adduct, which is supported by its paramagnetic pyrrole <sup>2</sup>H chemical shift centered at 96 ppm (-80 °C). The rR spectrum for  $[(F_{8})Fe^{III}-(O_{2}^{2^{-}})-Cu^{II}(AN)]^{+}$  also displays an isotope sensitive peroxide O–O stretching vibration at 756 ( $^{18}O_{2} = -48$ ) cm<sup>-1</sup>.<sup>516</sup> This O–O bond stretch is similar to that found for an analogous dicopper complex with  $\mu$ - $\eta^{2}$ : $\eta^{2}$ - peroxo binding,  $[\{(MeAN)Cu^{II}\}_{2}-(O_{2}^{2^{-}})]^{2+}$ , at 721 ( $^{18}O_{2} - 3$  38) cm<sup>-1</sup>.<sup>1206</sup> The formation of these copper-only complexes in the heterobimetallic reactions is ruled out by the presence of a single Soret band (indicating a single chemically equivalent heme Fe environment) and the pyrrole chemical shift found for  $[(F_{8})Fe^{III}-(O_{2}^{2^{-}})-Cu^{II}(AN)]^{+}$ .<sup>516</sup>

Invested readers will note that there is a substantial difference between the value of the rR O–O bond stretching frequencies observed for heme-O<sub>2</sub><sup>2–</sup>-copper adducts having tetradentate [ $\nu$ (O–O) = 788–808cm<sup>-1</sup> as opposed to tridentate [ $\nu$ (O–O) = 747–767 cm<sup>-1</sup>] copper coordination (Table 3). It is well-established in dicopper oxygen chemistry that the nature of the copper ligand and minor changes in chelate denticity can greatly affect the properties of the resultant copper-oxygen adduct (see section 3); i.e., tetradentate copper chelates induce formation of end-on  $\mu$ -1,2-peroxo dicopper(II) structures having high (800 cm<sup>-1</sup>) O–O bond stretching frequencies, whereas tridentate ligands generate side-on  $\mu$ - $\eta^2$ : $\eta^2$ -peroxo dicopper(II) species with lower O–O bond-stretching frequencies (<760 cm<sup>-1</sup>) (Figure 96).<sup>18,680,681,753</sup> The distinctly different  $\nu$ (O–O) stretch values have been associated with back-bonding interactions from the copper d orbitals into the antibonding  $\sigma^*$  orbital of the bridging peroxide moiety, resulting in a measurably weaker O–O bond,<sup>761</sup> as also

In order to try to understand the origin of these differences among heme-peroxo-copper complexes featuring tri- versus tetradentate copper chelates, detailed spectroscopic analysis have been carried out along with complementary DFT calculations (Figure 97).<sup>910,928</sup> As mentioned earlier, the crystal structure and physical properties of  $[(TMP)Fe^{III}-(O_2^{2-})-$ (5MeTPA)Cu<sup>II</sup>]<sup>+</sup> (Figure 95, C) obtained by Naruta and co-workers were important precursors to this work given the structural and spectroscopic similarities to HS-TMPA. When comparing the Fe-Cu distances for HS-TMPA (Figure 97, A) and HS-AN (Figure 97, B), it is noteworthy that HS-TMPA has a  $\sim 0.4$  Å greater Fe...Cu distance than HS-AN, which can be rationalized by the different binding modes. Additionally, similar Fe pre-edge XAS intensities for both HS-TMPA and HS-AN suggest that the binding to Fe is similar in both complexes; that is, the Fe is pulled out of the heme plane due to peroxide binding. Further rR spectroscopic studies comparing HS-TMPA and HS-AN, showed that the spin state marker bands for both complexes are similar,  $\sim 1362$  cm<sup>-1</sup>, and distinct from an authentically generated end-on O<sub>2</sub>-bound heme (1370 cm-1), an observation that is consistent with the assignment of the peroxide as a (side-on bound) bidentate ligand to Fe in both cases. The optimized structures of both HS-TMPA and HS-AN calculated using density functional theory (DFT) are in good agreement with the EXAFS data discussed above. An

updated DFT model of HS-TMPA essentially reproduced previously reported core properties and provided additional data on the ruffling of the porphyrin macrocycles. The optimized DFT structure of HS-AN shows that the peroxide binding mode is  $\eta^2$  to the copper ion, whereas that of HS-TMPA is instead  $\eta^1$  (Figure 97).

Electronic structure calculations on HS-TMPA and HS-AN provide a rationalization of the distinct rR spectral results while accounting for their identical spin states which is facilitated by antiferromagnetic coupling. In both cases, the d<sup>9</sup> Cu<sup>II</sup>, and high spin d<sup>5</sup> Fe<sup>III</sup> ions are strongly antiferromagnetically coupled through the peroxide bridge, via the  $\sigma$ -bonding framework (Figure 97, right). However, the nature of the copper ligand (tridentate vs tetradentate) results in different singly unoccupied net bonding orbitals on the copper ion. In the case of HS-TMPA, the TMPA ligand favors a five-coordinate, trigonal bipyramidal geometry for Cu, with the singly occupied orbital on copper having  $3d_z^2$  character, which favors end-on binding by the peroxide to copper. In contrast, for HS-AN, the more flexible AN ligand allows for a square pyramidal binding geometry, with a bidentate peroxide ligand, where the singly unoccupied orbital on Cu is of  $3d_{x2-v2}$  character. The antiferromagnetic coupling in both cases is mediated though the  $\pi_{\sigma}^*$  orbital of the peroxide. The iron porphyrin fragments in both HS-TMPA and HS-AN were found to be essentially identical with  $\sigma$  interactions occurring between the Fe  $3d_{xz}$  orbital and the peroxide  $\pi_{\sigma}^*$  and  $\delta$ bonding interactions occurring between the Fe  $3d_{xy}$  and the peroxo  $\pi_v^*$  orbital. These Feperoxide bonding interactions are effectively the same in both HS-TMPA and HS-AN, and therefore, these  $\sigma$ -bonding interactions do not account for the ~50 cm<sup>-1</sup> shift in the O–O bond stretching frequency observed between HS-TMPA ( $\nu$ (O–O) = 804 cm<sup>-1</sup>) and HS-AN  $(v(O-O) = 747 \text{ cm}^{-1})$ . Analysis of the occupied valence orbitals of HS-AN revealed that they embody much greater  $\sigma^*$  character than those of HS-TMPA, indicating that in HS-AN, the peroxo  $\sigma^*$  orbital acts as a  $\pi$ -acceptor from the 3d manifold of the Cu<sup>II</sup>. Due to the highly antibonding nature of the peroxo  $\sigma^*$  orbital, its contribution to any bonding orbitals would greatly impact the observed O-O stretch.928

For these high-spin complexes, the iron spin state of the peroxo species can be changed upon the addition of an exogenous axially ligating (to heme) base moiety [1,5dicyclohexylimidazole, (DCHIm)] to form the corresponding low-spin heme-peroxo-copper species,  $[(DCHIm)(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(TMPA)]^+$ , and  $[(DCHIm)(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(TMPA)]^+$ Cu<sup>II</sup>(AN)]<sup>+</sup> shown in Figure 98, labeled LS-TMPA and LS-AN, respectively.<sup>497,932</sup> In order to better understand the structures and bonding and confirm the peroxidic nature of LS-TMPA and LS-AN, rR spectroscopy, XAS (for LS-AN) and DFT studies were used to establish optimized structures. A higher peroxidic stretching frequency was observed for LS-AN (Table 3), consistent with an end-on, end-on (i.e.,  $\mu$ - $\eta$ : $\eta^1$ -peroxo) coordination, resulting in decreased back-donation from the Cu<sup>II</sup> *d*-orbitals to the peroxo  $\sigma^*$ , and hence a stronger O-O bond.<sup>910</sup> At the same time, the heme Fe<sup>m</sup> recedes into the plane of the porphyrin, decreasing back bonding contribution from the Fe<sup>III</sup> d orbitals. This coordination mode was also reproduced from EXAFS spectroscopy, which is consistent with the expected optimal geometry produced by DFT calculations. The fact that this low-spin peroxo is end-on to both the Cu<sup>II</sup> and Fe<sup>III</sup> is significant because this was the first confirmed example of this type of binding mode for heme-copper models. Electronic structure calculations provided additional insight into the HS to LS conversion of the Fe<sup>III</sup> center. Upon the addition of the axially

ligating base 1,5-dicyclohexylimidazole to HS-AN conversion to LS-AN occurs causing the Fe<sup>III</sup> to recede into the plane of the porphyrin. The strong ligand field contributed by the porphyrinate thus helps account for the HS to LS conversion. The antiferromagneti- cally coupled ground state of the LS adduct is also favored by its acute Fe–O–O–Cu dihedral angle.<sup>910</sup> This change from high-spin to low-spin may have implications for O–O bond cleavage in CcO, as a precursor to further proton coupled electron transfer, producing the Fe<sup>IV</sup>=O and Cu–OH/OH<sub>2</sub>-containing P<sub>M</sub> species (Scheme 23; also see sections 5.2.6 and 5.2.7).

With the precedents set concerning structure and spectroscopies for heme-peroxo-copper species, generated primarily by the Naruta and Karlin groups, the binding motif of the bridging peroxo species can be inferred based on its O-O bond stretching frequency and the denticity of the copper ligand structure. Three general modes have been observed in these systems, as schematically depicted in Figure 99; O-O bond stretching frequencies for these and other heme-peroxo-copper adducts are given in Table 3.

The first example of a heme-peroxo-copper assembly was reported by Collman and coworkers in 1994933 based on the 1,4,7-triazacyclononane-capped picket fence porphyrinate (TACNAcr). This ligand was metalated to yield a cytochrome c oxidase model complex with Fe<sup>II</sup> and Cu<sup>I</sup> ions in close proximity. The precursor iron(II)-copper(I) complex reacts irreversibly with dioxygen in the presence of excess 1,5-dicyclohexylimidazole (DCHIm) to yield a proposed peroxide adduct,  $[(DCHIm)(TACNAcr)Fe^{III}(O_2^{2-})-Cu^{II}]^+$  (Figure 100A). The Fe only version of this 1,4,7-triazacyclononane capped porphryinate reacted reversibly with O<sub>2</sub>, and at a much slower rate, implicating the copper ion as essential to rapid dioxygen reactivity in this system. Further evidence for the peroxidic nature of the oxygenated form, that depicted in Figure 100, was provided by its rR spectra where an isotope sensitive peak was observed at 758 cm<sup>-1</sup> ( ${}^{18}O_2 = -18$ ) with  ${}^{16}O_2$ , said to be consistent with the presence of a peroxide species, most likely bridged between the Fe and Cu ions. Although the coordination environment of the Fe center was concluded to be six-coordinate in this case (with an axially ligating DCHIm ligand), the low-energy O–O rR stretch could be indicative of a  $\mu$ - $\eta^2$ : $\eta^2$  bridging peroxo moiety and thus bearing a high-spin Fe<sup>III</sup> center and a tridentate copper chelate, similar to systems reported by Karlin (Figure 96). The dioxygen adduct was also reported to react with four equiv of cobaltocene to reduce one mol of dioxygen, per mol of catalyst, and was capable of performing this chemistry for multiple cycles.

The first example of a heme-peroxo-copper adduct from the Karlin group was reported in 1999 (Figure 101A).<sup>936</sup> This work employed the heme–copper binucleating ligand <sup>6</sup>L, consisting of a fluorinated-tetraarylporphyrinate with a TMPA copper chelate appended via an ether linkage at the 6' position of one of its pyridyl arms. The fully reduced complex  $[(^{6}L)Fe^{II}Cu^{I}]^{+}$  reacted with O<sub>2</sub> in acetonitrile and acetone to give a metastable peroxo species  $[(^{6}L)Fe^{III}(O_2^{2-})Cu^{II}]^{+}$  (A). An analogous model system was also developed where the cuprous chelate was attached to the parent heme at the 5' position instead,  $[(^{5}L)Fe^{II}Cu^{I}]^{+}$ . The rR spectra of these peroxo adducts exhibited O–O bond stretching vibrations centered at 787 ( $^{18}O_2 = -43$ ) and 809 ( $^{18}O_2 = -53$ ) cm<sup>-1</sup> for (A) and (B), respectively. (Figure 101). The EPR and NMR signatures of  $[(^{6}L)Fe^{III}-(O_2^{2-})Cu^{II}]^{+}$  (A), indicated an overall S =

2 complex, resulting from the antiferromagnetic coupling between the high spin iron(III) (S = 5/2) and the copper(II) (S = 1/2) spin manifolds through the peroxidic bridge. MALDI-TOF-MS of the oxygenated form of (A) gave evidence for a dioxygen adduct (m/z 1191,<sup>16</sup>O<sub>2</sub>), which shifted by four mass units with <sup>18</sup>O<sub>2</sub> to m/z 1195.<sup>936,939</sup> EXAFS spectroscopic interrogation of (A) led to some structural insights, Fe-O (1.83 Å), Cu-O (1.882 Å), and Fe...Cu [3.35(2) Å]. Stopped flow kinetic studies on the oxygenation reaction of (A) shows that it forms a transient intermediate within the first 1 ms of oxygenation, which could be either an  $[({}^{6}L)Fe^{III}-(O_{2}\bullet^{-})-Cu^{I}]^{+}$  (superoxide) or a bissuperoxide adduct  $[(^{6}L)Fe^{III}(O_{2}^{\bullet-})-Cu^{II}(O_{2}^{\bullet-})]^{+}$ , either of which would subsequently transform to the bridging peroxo species,  $[({}^{6}L)Fe^{III}-(O_2{}^{2-})-Cu^{II}]^+$  (A). While the formulated structure of this complex likely has an end-on  $\mu$ - $\eta^1$ : $\eta^1$ -peroxo bridging ligation,  $^{939}$  the low frequency O–O stretching vibration (787 cm<sup>-1</sup>) and close similarity of v(O–O) and binucleating ligand architecture to those of Naruta's group (Figure 95) would indicate an  $\eta^2$ : $\eta^1$ -peroxo structure like that of [[(TMP)Fe<sup>III</sup>-(O<sub>2</sub><sup>2-</sup>)-(5MeTPA)Cu<sup>II</sup>]<sup>+</sup> (Figure 95A) is a better assignment. An explanation for why the v(O-O) for  $[(^{6}L)Fe^{III} - (O_2^{2-}) - Cu^{II}]^+$  (A) is 20 cm<sup>-1</sup> lower than for the closely related complex  $[(F_8)Fe^{III}(O_2^{2-})-Cu^{II}(TMPA)]^+$  (Figure 94A) is not clear but is interesting. One difference in reactivity is apparent;  $[(F_8)Fe^{III}(O_2^{2-}) Cu^{II}(TMPA)]^+$  is unreactive toward exposure to excess  $CO_{(g)}$  while  $[(^{6}L)Fe^{III}-(O_2^{2-})-Cu^n]^+$ rapidly loses O<sub>2</sub> and gives a bis-carbonyl complex [(<sup>6</sup>L)Fe<sup>II</sup>–CO… Cu<sup>I</sup>–CO]<sup>+</sup>, suggesting ligand strain in  $[({}^{6}L)Fe^{III} - (O_2{}^{2-}) - Cu^{II}]^+$  due to its tethered chelate for Cu leads to an overall less stable peroxo complex.<sup>516</sup> Essentially, this is a process involving the reverse of O<sub>2</sub>-binding to give back the fully reduced complex  $[(^{6}L)Fe^{II}\mu Cu^{I}]^{+}$ , which subsequently binds CO, indicating that as for proteins, CO binds very or more strongly than O<sub>2</sub> to reduced hemes (and to Cu<sup>I</sup>-species).<sup>940</sup> For the <sup>5</sup>L ligand platform, a dioxygen adduct forms,  $[({}^{5}L)Fe^{III}(O_{2}{}^{2-})-Cu^{II}]^{+}$  (Figure 101, B), as evidenced by the peroxo stretching frequency observed (Table 3); however, the reaction stoichiometry was found to be 2:1 (B: O<sub>2</sub>) not 1:1 as expected, and <sup>1</sup>H NMR spectroscopy indicated that the product is diamagnetic. An understanding of the chemistry occurring here is lacking.

The Karlin group has also studied several binuclear systems with tridentate copper chelates, including those depicted in Figure 102, A–C. The most extensively studied of these was  $[(^{2}L)Fe^{II}Cu^{I}]^{+}$ , which employed the ligand  $^{2}L$ , featuring a bis(2-(2-pyridyl)ethyl) amine copper chelate attached to the parent F<sub>8</sub> heme via an amide linkage. The dioxygen reactivity of metalated  $[(^{2}L)Fe^{II}Cu^{I}]^{+}$  was monitored at low-temperature (CH<sub>2</sub>Cl<sub>2</sub>/EtCN) by UV–vis spectroscopy and observed to form a side-on ( $\mu$ - $\eta^{2}$ : $\eta^{2}$ ) heme–peroxo–copper adduct,  $[(^{2}L)Fe^{III}-(O_{2}^{2-})-Cu^{II}]^{+}$  (Figure 102, A), confirmed by <sup>1</sup>H and <sup>2</sup>H NMR and EPR spectroscopic studies. Resonance Raman spectroscopic studies corroborated a high-spin ferric heme and a  $\mu$ - $\eta^{2}$ : $\eta^{2}$  binding mode for the bridging peroxide moiety, which has an O–O stretching frequency of 747 ( $^{18}O_{2} = -49$ ) cm<sup>-1</sup>.<sup>938</sup>

Stopped flow kinetics were utilized to monitor the O<sub>2</sub> binding chemistry of  $[(^{2}L)Fe^{II}Cu^{I}]^{+}$  in a mixture of CH<sub>2</sub>Cl<sub>2</sub>/EtCN at low temperature. From this work, a transiently stable, six-coordinate, ferric superoxide species,  $[(^{2}L)Fe^{III}-(O_{2}^{\bullet-})-Cu^{I}]^{+}$  could be identified and assigned based on its first-order decay to yield  $[(^{2}L)Fe^{III}-(O_{2}^{2-})-Cu^{II}]^{+}$  (Figure 102, A). Given the tendency of tridentate pyridyl cuprous complexes to strongly bind to nitrile solvate molecules, the formation of a bis-superoxide adduct,  $[(^{2}L)Fe^{III}-(O_{2}^{\bullet-})-Cu^{II}(O_{2}^{\bullet-})]^{+}$ , is

unlikely. The Fe<sup>II</sup>/Cu<sup>I</sup> complex of <sup>2</sup>L ([(<sup>2</sup>L)Fe<sup>II</sup>Cu<sup>I</sup>]<sup>+</sup>) also reacts with carbon monoxide to form a bis-CO adduct in MeCN, [(<sup>2</sup>L)Fe<sup>II</sup>(CO)-Cu<sup>I</sup>(CO)]<sup>+</sup>, based on the solution IR spectroscopic bands at 1980 and 2089 cm<sup>-1</sup> for Fe<sup>II</sup>–CO and Cu<sup>I</sup>–CO moieties,<sup>509</sup> respectively. The CO stretch of the Fe-bound CO molecule shifts to 1968 cm<sup>-1</sup> when THF is used as a solvent, suggesting some axial ligation by the solvent and a resultant transeffect on the Fe-bound CO.<sup>519,941</sup> This chemistry is valuable in that it provides a small molecule model which can, in future studies, be interrogated using flash photolysis studies to evaluate the effect of CO binding on the O<sub>2</sub> reactivity of tridentate monotethered heme–copper models and, by extension, similar situations within the cytochrome *c* oxidase active site.

Another pair of tridentate binuclear complexes prepared by the Karlin group feature a similar cuprous chelate with the addition of a tethered axial pyridine moiety on the distal side of the porphyrin. The cuprous chelate, and axial pyridine linkers for <sup>3</sup>L, and <sup>4</sup>L are attached to the parent heme via methylene and ethylene linkers, respectively (Figure 102, B and C). The different linker lengths were intended to evaluate the subtle effect of ligand environment changes on dioxygen reactivity. The Fe-only versions of ([(<sup>3</sup>L)Fe<sup>III</sup>]) (B) and ([(<sup>4</sup>L)Fe<sup>III</sup>]) (C) both bind dioxygen to give the corresponding Fe<sup>III</sup>O<sub>2</sub><sup>•-</sup> species, although only [(<sup>3</sup>L)Fe<sup>III</sup>(O<sub>2</sub><sup>•-</sup>)]<sup>+</sup> (B) was shown to form reversibly (Figure 102, B; also see section 2.2). The inclusion of both Fe<sup>II</sup> and Cu<sup>I</sup> within the binucleating site of <sup>3</sup>L and <sup>4</sup>L yielded the corresponding reduced binuclear species, [(<sup>3</sup>L)Fe<sup>II</sup>Cu<sup>I</sup>]<sup>+</sup> and [(<sup>4</sup>L)Fe<sup>II</sup>Cu<sup>I</sup>]<sup>+</sup>. Upon reaction with dioxygen, both species form dioxygen adducts, with spectroscopic properties similar to those discussed above (see Figures 94 and 101). However, only the species [(<sup>4</sup>L)Fe<sup>III</sup>(O<sub>2</sub><sup>2-</sup>)–Cu<sup>II</sup>]<sup>+</sup> (Figure 102, C) was explicitly characterized as a heme- peroxo-copper adduct. This assignment was predominantly based on the similarity in the UV-vis, EPR, and <sup>1</sup>H NMR spectrum of (C) to other previously characterized examples from Collman<sup>933</sup> and Karlin.<sup>938</sup>

In addition to the previously discussed high spin heme– peroxo–copper adduct generated from (F<sub>8</sub>)Fe° and [Cu<sup>I</sup>(AN)](BAr<sup>F</sup>),<sup>516</sup> another bipodal cuprous chelate was employed to evaluate the effect of pyridyl ligands in a tridentate copper chelate.<sup>513</sup> By using a cuprous chelate with a dimethylaminopyridine derivatized ligand, L<sup>Me2N</sup>, a new heme-peroxo– copper adduct was synthesized and characterized (Figure 103). The dioxygen reactivity of a 1:1 mixture of (F<sub>8</sub>)Fe<sup>II</sup> and [Cu<sup>I</sup>(L<sup>Me2N</sup>)](BAr<sup>F</sup>) was evaluated in CH<sub>2</sub>Cl<sub>2</sub>/6% EtCN at – 80 °C. Similar to other heme copper systems, the formation of [(F<sub>8</sub>)Fe<sup>III</sup>(O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup>(L<sup>Me2N</sup>)] + (Figure 103) is preceded by the formation of an Fe<sup>III</sup>–O<sub>2</sub>•- species, which subsequently reacts with [Cu<sup>I</sup>(L<sup>Me2N</sup>)]<sup>+</sup>. Dioxygen reactivity with [Cu<sup>I</sup>(L<sup>Me2N</sup>)]<sup>+</sup> is impeded by the nitrile solvent, precluding the formation of copper–oxygen species, in favor of binding to iron(II). The UV–vis, <sup>2</sup>H NMR, EPR, and rR spectroscopic properties of [(F<sub>8</sub>)Fe<sup>III</sup>(O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup>(L<sup>Me2N</sup>)] + are consistent with those of other *µ*-η<sup>2</sup>:η<sup>2</sup> heme-peroxo copper adducts (Table 3).<sup>513</sup>

**5.1.2.** Heme-Peroxo-Copper Adducts Containing an Appended Phenol Moiety at the Copper Chelate.—The active site of cytochrome *c* oxidase contains a cross-linked imidazole-phenol moiety that is critical to enzyme function and selectivity for the four proton, four electron reduction of dioxygen to water.<sup>942</sup> To emulate this functionality in model systems the copper chelate, L<sup>N4</sup>OH, and its anisole analogue L<sup>N4</sup>OMe (Figure 104, A) were prepared in order to study the effect of an appended phenol on dioxygen reactivity

in copper and heme-copper systems. Oxygenation of an equimolar mixture of (F<sub>8</sub>)Fe<sup>n</sup> and [(L<sup>N4</sup>OH)Cu<sup>I</sup>]<sup>+</sup> leads immediately to the formation of a heme–peroxo–copper species, [(F<sub>8</sub>)Fe<sup>III</sup> (O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup>(L<sup>N4</sup>OH)]<sup>+</sup> (Figure 104, B).<sup>937</sup> The UV–vis, <sup>2</sup>H NMR, EPR, and rR spectroscopic properties were consistent with a high-spin (S = 2) heme–peroxo–copper adduct with antiferromagnetically coupled Cu<sup>II</sup> and Fe<sup>III</sup> metal centers via a peroxide bridging ligand. The resonance Raman spectra for [(F<sub>8</sub>)Fe<sup>III-(O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup>(L<sup>N4</sup>OH)]<sup>+</sup> (B) show an O–O bond stretching frequency of 813 ( $^{18}O_2 - 44$ ) cm<sup>-1</sup> and an Fe–O bond stretch of 529 ( $^{18}O_2 = -21$ ) cm<sup>-1</sup>. Mixed isotope labeling of the bound O<sub>2</sub> molecule ( $^{16}O^{18}O$ ) indicates a symmetric binding mode for the peroxide ligand, although this complex has similar spectroscopic properties to those of other  $\mu$ - $\eta^2$ : $\eta^1$  heme–peroxo–copper complexes. Thus, its coordination mode has not been precisely defined. The anisole analogue of (B), [(F<sub>8</sub>)Fe<sup>III-(O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup>(L<sup>N4</sup>OMe)]<sup>+</sup>, also displays a similar peroxide stretching frequency, at 815 ( $^{18}O_2 = -46$ ) cm<sup>-1</sup> and an Fe–O stretch at 528 ( $^{18}O_2 = -22$ ) cm<sup>-1</sup>.</sup></sup>

Naruta's investigations of heme-copper binuclear model systems also involved tridentate cuprous chelates with an appended phenol moiety. These model systems displayed different dioxygen reactivity compared to their tetradentate analogues. For system [(TMP)Fe<sup>III</sup>- $(O_2^{2-})$ -Cu<sup>II</sup>(L<sup>N3-OH</sup>)] + (Figure 105, A), oxygenation of the Fe<sup>II</sup>/Cu<sup>I</sup> complex in nitrile solvents yields a ferric superoxide species, with an oxidized CuII ion. However, when a solvent mixture consisting of dichloromethane and propionitrile is employed, a "roughly" equal parts mixture of the superoxide, plus a heme-peroxo-copper adduct is obtained. This solvent dependence of dioxygen reactivity is distinct from the more robust heme-peroxocopper adduct generated in the tetradentate systems, where the formation of heme-peroxocopper species is not solvent-dependent. When the phenol moiety is deprotonated to yield [[(TMP)Fe<sup>III</sup>-(O<sub>2</sub><sup>2-</sup>)-Cu<sup>II</sup>(L<sup>N3-ONa</sup>)]<sup>+</sup> (Figure 105, B), the proportion of the heme-peroxocopper adduct in the product mixture increases from ~50% to ~70%, as a result of increased reactivity of the  $Cu^{I}$  toward  $O_{2}$  imparted by the enhanced electron-donating ability of the supporting ligand. It is noteworthy that the O-O bond stretching frequency of this hemeperoxo-copper adduct is 812 cm<sup>-1</sup>, which is substantially higher than those of other  $\mu$ -n<sup>2</sup>:n<sup>2</sup> heme-peroxo-copper adducts containing tridentate copper chelates (Table 3 and Figures 94, 100, 102, and 103). In fact, this high-energy O-O stretching frequency may imply the presence of the  $\mu$ - $\eta^2$ : $\eta^1$  peroxo binding mode, as observed in analogous complexes with tetradentate copper chelates discussed above.<sup>935</sup>

In the case of  $[(L^{OH})Fe^{II}-Cu^{I}]^+$  (Figure 106, A) also reported by Naruta and co-workers, the complex reacts to form a low-temperature stable heme-peroxo-copper species, which has an isotope sensitive O–O bond stretching frequency of 799 ( $^{18}O_2 = -47$ ) cm<sup>-1</sup> based on rR spectroscopy. The ESI-MS spectrum of  $[(L^{OH})Fe^{III}-(O_2^{2-})-Cu^{II}]^+$  is also consistent with an oxygenated adduct, and the parent peak shifts by 4 mass units when  $^{18}O_2$  is utilized rather than  $^{18}O_2$ . An analogue of  $[(L^{OH})Fe^{III}(O_2^{2-})-Cu^{I}]^+$  with a methoxymethyl-protected phenol moiety,  $[(L^{OMOM})Fe^{III}-Cu^{II}]^+$ , displayed similar dioxygen reactivity to its deprotected analogue  $L^{OH}$ , and its rR data are included in Table 3.<sup>486</sup> Upon incorporation of an imidazolyl heme axial base ligand, the nature of the oxygenated intermediates appear to change. On oxygenation of  $[(TMPIm)Fe^{III}(O_2^{2-})-Cu^n(L^{N4-OH})]^+$  (Figure 106, B), a bridging peroxo species forms. In this case, its  ${}^{16}O_2$  O–O stretching frequency is split due to

vibrational Fermi coupling between the bound O<sub>2</sub> molecule and an internal vibrational mode of the porphyrin, resulting in two features at 787 and 803 cm<sup>-1</sup> in the rR spectrum; however, oxygenation with <sup>18</sup>O<sub>2</sub> results in a single O–O bond stretch at 751 cm<sup>-1</sup>, ruling out a second bound oxygen species. These O–O stretching bands also appear simultaneously with Fe–O stretches at 611 ( ${}^{18}O_2 = -27$ ) cm<sup>-1</sup>. This peroxo intermediate, [(TMPIm)Fe<sup>III</sup>-(O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup>(L<sup>N4-OH</sup>)]<sup>+</sup> (B), is not stable and rapidly decays to form a low-temperature stable heme– superoxo–copper(I) complex, as evidenced by an isotope sensitive superoxide Fe–O stretch at 574 ( ${}^{18}O_2 = -26$ ) cm<sup>-1</sup>. It was proposed that this peroxo-to-superoxide transformation occurs due to a "push effect" by the axial imidazole, causing the Cu–O bond to break yielding a ferric superoxo Cu<sup>I</sup> species, a transformation which could be thermodynamically favored by a relatively low Fe<sup>III</sup>–(O<sub>2</sub><sup>•–</sup>)/Fe<sup>III</sup>–(O<sub>2</sub><sup>2–</sup>) redox couple relative to that of [(L<sup>N4OH</sup>)-Cu<sup>II/I</sup>]<sup>+</sup>.<sup>485</sup>

# 5.1.3. Novel Synthetic Approach for Generation of Heme-Peroxo-Cu Models.

—One overarching goal of scientific enzyme modeling is to incorporate one or more specific active site attributes into a synthetic construct so as to maintain the ability to systematically modify and measure certain aspects of interest in order to determine structure–function relationships. In HCO modeling, copper ligand chelate design specifically has afforded a vast library of mono- or binuclear copper ion complexes which react with dioxygen alone or in the presence of hemes (*vide supra*). In these cases, incorporation of aspects such as ligand denticity, donor type or strength, and sterically influential or H-bonding features in turn impact factors such as metal ion coordination geometry and O<sub>2</sub>-binding mode, Cu<sup>II/I</sup> redox potential, and overall O<sub>2</sub>-activation/reduction reactiv- ity.<sup>507,928,935</sup> However, the (L)Cu<sup>I</sup> reagent complexes employed are often extremely unstable and/or O<sub>2</sub>-sensitive, unjustifiably complicating their use. While it is certainly a challenge to balance mimicry and manipulability, we have recently devised a new strategy which both simplifies synthesis and expands the (Cu-environment) scope of heme–peroxo– copper model complexes, essentially O<sub>2</sub>-adducts of Fe<sup>III</sup>–Cu<sup>I</sup> constructs.

5.1.3.1. Generation of Synthon and Proof of Concept.: This advantageous method builds upon a simple synthetic template (Figure 107) which is generated by bubbling dry O<sub>2</sub> through a cryogenic solution of ferrous heme in 2-methyltetrahydrofuran (MeTHF) to first give an oxy-heme Fe(III)-end-on-superoxide species, which is followed by addition of one equivalent of a chelate free Cu<sup>I</sup> salt [in this case, Cu<sup>I</sup>(CH<sub>3</sub>CN)<sub>4</sub>(BAr<sup>F</sup>)]. The synthon, HS-3MeTHF,<sup>497</sup> has been characterized as a "high-spin" ( $S_{Cu}(H) = -1/2$  and  $S_{Fe}(III) =$ +5/2), where with antiferromagnetic coupling through the peroxo bridging ligand, an overall S = 2, EPR-silent (perpendicular mode) complex is formed. It possesses a paramagnetically shifted <sup>2</sup>H NMR signal (Figure 107), obtained by using a pyrrole-deuterated F<sub>8</sub>-d<sub>8</sub> porphyrin in this heme– $(O_2^{2-})$ –copper complex.<sup>496</sup> The peroxide ligand is bound in a bridging, "sideon" manner ( $\eta^2$  to both Fe and Cu), based on rR<sup>507,516</sup> spectroscopic data [v(Fe–O) = 555  $cm^{-1}$ ,  $v(O-O) = 737 cm^{-1}$ ] and correlation to previously well- characterized analogous compounds;<sup>507</sup> importantly, it contains a "naked" (solvent-ligated) copper site (Figure 107). As an initial justification for use as a synthetic foundation, the HS-3MeTHF complex was shown to be a suitable starting point from which to generate previously characterized, highspin and low-spin complexes at low-temperature by simple addition of a Cu-ligand chelate

or Cu-ligand/heme plus axial base, respectively (see Figure 108 and discussions above). <sup>927,928,932,943</sup> This methodology is particularly useful moving forward in the area of rational ligand design because no chelate is inoperative irrespective of how unstable its (L)Cu<sup>I</sup> complex might be (for example, if the (L)Cu<sup>I</sup> itself would generally undergo disproportionation and/or is extremely airsensitive).

#### 5.1.3.2. Characterization of New Complexes with Monodentate imidazole

**Donors.:** Perhaps of greater interest is the fact that HS-3MeTHF is open to an entirely new ligand set: monodentate donors, such as (substituted) pyridines and imidazoles, as well as chelating multidentate ligands. Employing monodentate ligands at the copper site in hemeperoxo-copper complexes has the potential to impart a high degree of tunability and flexibility (vide infra). In fact, it has been shown in enzyme studies that upon binding of a (peroxo-like) CN<sup>-</sup> ligand at the active site of CcO, a nontrivial elongation of the Cu-N(His290<sub>bovine</sub>) bond (from 2.1 Å in the reduced CcO structure to 2.8 Å) occurs despite the complex protein tertiary structure constraints. In that study, the authors also propose that this movement of His290, or the analogous His ligand in bacterial CcO, may be connected to the proton pumping/gating function (proton pumping events during CcO activity have not yet been incorporated into model studies, but this is certainly of utmost interest).<sup>854,870,871</sup> Such examples of primary coordination sphere elasticity highlight the value of ligand structure for a model compound which does not need to conform to chelation (i.e., where minor rearrangements can occur to accommodate substrates for reactivity). Of course, the monodentate ligand scope with HS-3MeTHF still retains the chemist's ability to modify systems in the same ways as in chelate design (i.e., donor type, and denticity/coordination geometry, etc.).<sup>944</sup> In fact, cryogenic spectrophotometric titration of 1,5dicyclohexylimidazole (DCHIm) into a solution of HS-3MeTHF affords two new, spectroscopically distinct complexes depending on temperature (Figure 108). At -125 °C, full formation of the tridentate (on copper), LS-3DCHIm, complex occurs after addition of four equiv imidazole, whereas at -390 °C, full formation of the tetradentate (on copper), LS-4DCHIm, complex occurs following addition of five equiv imidazole (the fourth and fifth DCHIm ligands, respectively, are bound as the heme axial base). Interestingly, warming the LS-3DCHIm species to -395 °C in the presence of one excess equiv DCHIm, resulted in full formation of the LS-4DCHIm species; however, cooling the LS-4DCHIm below -120 °C does not lead to the formation of LS-3DCHIm.<sup>497</sup>

Both LS-3DCHIm and LS-4DCHIm have UV-visible signatures typical of other low-spin heme-peroxo-copper complexes (Figure 108) in which the low-energy absorption features arise due to  $O_2^{2-}$  to Fe<sup>III</sup> charge-transfer transitions.<sup>932</sup> This is substantiated by the strong resonance enhancement of the Fe-O stretch when exciting at these lower energies (>800 nm) to obtain rR data. Although, these two complexes have uncharacteristically high Fe-O and O–O stretching frequencies as determined by rR spectroscopy: v(Fe-O) = 594 cm<sup>-1</sup>, v(O–O) = 876 cm<sup>-1</sup> for LS-3DCHIm, and v(Fe-O) = 591, 585 cm<sup>-1</sup>, v(O–O) = 876, 863 cm<sup>-1</sup> for LS-4DCHIm. (Note: the splitting of the Fe-O and O–O vibrations is due to the occurrence of a Fermi resonance.) These vibrational insights classify them as "end-on" peroxo complexes, which is corroborated by their EPR-silent nature, owing to the antiferromagnetic coupling of the S = +1/2 Cu<sup>II</sup> and S = -1/2 low-spin Fe<sup>III</sup> through the peroxo bridge in each case. Use of

a pyrrole-deuterated F<sub>8</sub> porphyrin (d<sub>8</sub>) provides further support for the low-spin-iron formulations in that the <sup>2</sup>H NMR pyrrole shift appears in the diamagnetic region of the spectrum ( $\delta$  = 9.3 ppm for LS-3DCHIm and  $\delta$  = 10.6 ppm for LS-4DCHIm) indicating that overall, S = 0. For a heme and/or heme-Cu complex with overall S 1/2, the pyrrole resonances are paramagnetically shifted out of the diamagnetic region.

Although the LS-3DCHIm and LS-4DCHIm complexes are remarkably similar in their spectroscopic features, density functional theory provides important structural insights on these low-temperature stable species. On the basis of DFT-optimized structures (note: cyclohexyl substituents on DCHIm ligands were truncated as isopropyl groups to lower the computational cost, yet still capture some of the inherent steric effects), the lowest energy configuration of LS-3DCHIm has a compressed metal-metal distance and a distorted square planar copper geometry ( $\tau = 0.4$ , where 0 = square planar, and 1 = tetrahedral), whereas LS-4DCHIm has a more open peroxo core and a distorted square pyramidal copper geometry  $(\tau = 0.1)^{945}$  in which the fourth DCHIm ligand occupies the axial position. These two complexes are therefore a useful set to interrogate how structural/copper-ligation differences can translate to function/reactivity (vide infra, section 6.5.2). Importantly, the ability to fine-tune the heme-peroxo-copper constructs in general, beginning from the HS-3MeTHF, shows promise for facile probing of a variety of factors which can contribute in different ways to their O<sub>2</sub>-activation and reduction chemistries. (Additionally, although no such cases yet exist, it is plausible to imagine that different monodentate ligands can be incorporated into the same complex simply using a ratio of different donors, and in those cases, perhaps the heme axial base could even be distinct from the Cu ligands.) As the synthetic diversity and undoubtedly wide-ranging chemical reactivity of such compounds is still only beginning to be explored, we look forward to gaining detailed fundamental insights into heme-Cu dioxygen reactivity with such models and thereby possibly shedding further light on aspects of actual enzyme HCO reactivity. The vastly different substrate reactivities of LS-3DCHIm and LS-4DCHIm testify to this fact (vide infra).

#### 5.2. Reactivity of Selected Heme-Copper Complexes

**5.2.1.** Reactivity of Heme-Peroxo-Copper Complexes with  $\pi$ -acids, Brønsted Acids, and Chemical Reductants.—The chemical reactivity properties of several heme–peroxo–copper adducts synthesized by the Karlin group can be compared based on the copper chelate denticity and covalent attachment of the copper ligand. The generation and spectroscopic properties of the species shown below in Figure 109 have been described earlier and display a systematic variation along these lines.

Carbon monoxide has played a very important role in enzyme studies of cytochrome c oxidase. Its tendency to bind to ferrous hemes has been exploited in laser photoejection studies to track CO and O<sub>2</sub> binding to Cu<sub>B</sub>.<sup>946–948</sup> Additionally, carbon monoxide is known to give CO<sub>2</sub> when reacted with reductively activated O<sub>2</sub>.<sup>949</sup> For this reason, investigation of the nature of interactions of CO with peroxidic intermediates of model systems has been of great interest, in order to determine if CO can displace peroxide as O<sub>2</sub> or undergo activation/reaction chemistry. Concomitant with the CO reactivity with heme-peroxo-copper adducts, A and C (Figure 109), the peroxide bridge is liberated as molecular O<sub>2</sub>, resulting in the

ferrous and cuprous carbonyl complexes as shown in Figure 110. It is interesting how in these examples the thermodynamic driving force for the formation of carbonyl complexes possessing the reduced metal centers mediate the back electron transfer from the peroxidic moiety to Fe<sup>III</sup> and Cu<sup>II</sup> generating the observed products.<sup>941</sup> Such divergent reactivity patterns of tridentate versus tetradentate copper chelate-containing heme-peroxo-copper adducts were also observed with triphenylphosphine (PPh<sub>3</sub>); another  $\pi$ -acid also known to bind strongly to low valent metal ions such as Fe<sup>n</sup> and Cu<sup>I.759,950</sup> In the case of both of the tridentate chelates (Figure 109, panels C and D), the triphenylphosphine displaces oxygen from the copper, but not the iron, yielding Cu<sup>I</sup>-PPh<sub>3</sub> adducts along with the corresponding ferric superoxide species (Figure 110). In contrast, neither of the systems utilizing a tetradentate chelate reacted with triphenylphosphine.

The interaction of these heme-peroxo-copper adducts with protons and electrons is also of utmost interest, in light of the enzymatic considerations involving protons/electrons in the reduction of dioxygen to water (see section 4). All four of the heme-peroxo–copper adducts in Figure 109 were observed to react with HCl, to yield the corresponding Fe<sup>III</sup>Cl and/or Cu<sup>II</sup>-X (X = Cl, OH, and OH<sub>2</sub>) species, upon protonation of the peroxide bridge to produce H<sub>2</sub>O<sub>2</sub> as confirmed spectrophotometrically (Figure 110).<sup>928</sup> Further, species A–C (Figure 109) react with 2 or more equivalents of cobaltocene to produce the  $\mu$ -oxo derivative of the heme- copper complex, and in the case of (C), a  $\mu$ -hydroxide species was detected. Intriguingly, these  $\mu$ -oxo species were stable even in the presence of excess CoCp<sub>2</sub>, rather than further reduction/protonation, as previously reported by Collman. The origin of this difference may be a result of the different spin states of the heme in Collman's versus Karlin's systems (i.e., the axial base in Collman's systems results in a low-spin Fe<sup>m</sup> center favoring its reduction to Fe<sup>II</sup>, while in Karlin's systems, it is high-spin).

5.2.2. Hydrogen Peroxide Activation in Heme-Cu Species.—The Casella group has explored the cooperative effects of a copper ion in enhancing H<sub>2</sub>O<sub>2</sub> activation by a natural protoporphyrin IX derivative with an imidazole axial base attached using a flexible Gly-L-His-OMe linker, denoted HMGH (Figure 111). Further covalent attachment of a bidentate poly(benzimidazolate) copper chelate at the second heme-propionate side chain residue vielded the small molecule CcO model (A) (Figure 111).<sup>951</sup> The oxidized Fe<sup>III</sup>/Cu<sup>II</sup> form of this synthetic construct displayed a greater azide binding constant than its iron-only analogue. Azide binding resulted in a change in the iron spin state, as observed from EPR spectroscopy. Namely, a high and low spin mixture of starting iron species fully transformed to low-spin, and the Cu<sup>II</sup> signal shifted and decreased in intensity upon azide binding. This provided evidence that the azide is bridging between the Fe<sup>III</sup> and Cu<sup>II</sup> metal centers, allowing magnetic communication between the paramagnetic metal centers. The authors suggested that the Fe<sup>III</sup> and Cu<sup>II</sup> centers are spatially oriented to form a binuclear pocket analogous to that of the binuclear active site of cytochrome c oxidase. Addition of H<sub>2</sub>O<sub>2</sub> to the Fe<sup>III</sup>/Cu<sup>II</sup> and heme iron-only complexes (with the latter containing no second metal in the binuclear site) of (A) at low temperature indicated the formation of a peroxide adduct in both cases. Kinetic studies revealed that in acidic media, the copper ion enhances the peroxidase activity of (A), resulting in the phenol coupling reaction of para-cresol. In basic media, this reactivity was impeded due to the formation of a stable Fe<sup>III</sup>/Cu<sup>n</sup> bridging

hydroxide species. The authors further demonstrated the utility of this complex as a CcO model by oxygenation of its CO-bound  $Fe^{II}/Cu^{I}$  form at low temperature, which yielded a peroxide adduct. This model demonstrated the cooperative effect of copper for not only O<sub>2</sub> binding but also H<sub>2</sub>O<sub>2</sub> binding and activation by natural hemes.<sup>951</sup> It is yet to be investigated if in this case the copper ion plays a similar role to that of a distal His residue at the peroxidase active site, which assists in proton translocation in intermediates such as (C) leading to heterolytic O–O bond scission.

In another study, Casella and co-workers utilized a free tridentate amino-bis(benzimidazole) (BBH) metal chelate with the same protoporphyrin IX derivative to evaluate the role of other metals on the peroxidase reactivity of this natural heme derivative HMGH (Figure 111B).952 Iron and copper were utilized in the nonheme site as NOR and CcO biomimics, respectively. Non-HCO relevant metals Co, Mn, and Zn were used to compare the cooperative role those metal ions could play in promoting the heme oxidative reactivity. Using pH spectrophotometric titrations the authors were able to show that ancillary metal ions lower the pKa of water bound to the natural heme, with the largest effects coming from FeIII, CuII, and ZnII due to their greater Lewis acidity. Interaction of the heme and nonheme metal centers was said to be facilitated by a hydroxo bridge (Figure 111B). Kinetic studies on the oxidative reactivity of HMGH in the presence of nonheme metal ions showed that redox active metal centers (Fem, Cun, and CoII) serve to enhance the catalytic reactivity of the heme by favoring H2O2 binding to the heme Fem (via the formation of adducts formulated as C in Figure 111), and its heterolytic cleavage to form (HMGHµ+)Ferv=O/[M(BBH)]"+ as the active oxidant. This work demonstrated the utility of ancillary nonheme metal complexes to stabilize catalytically active heme-iron-oxygen species across different oxidation states of iron, with the greatest effects observed for redox active metals, Fem, Cun, and Co<sup>n.952</sup>

# 5.2.3. Heme-Copper Carbonyl Complexes and Relevant $Cu^{I}$ – $O_{2}$ Kinetic Studies.

5.2.3.1. Structural Properties and Photodissociation Chemistry of Heme-Copper

**Carbonyl Complexes.:** Photoinduced CO dissociation studies have been widely utilized in detailed investigations of O<sub>2</sub> binding kinetics, as well as in investigating competitive binding of CO/O<sub>2</sub> of heme-containing globin proteins, 953-956 and this approach has been extended to CcO, revealing some intriguing observations. 900,957-960 These studies have demonstrated that in the presence of CO and O<sub>2</sub> at the reduced CcO active site, the Fe<sup>II</sup>-CO adduct readily forms, which upon photoejection, results in the rapid transfer of the CO ligand to the nearby Cu<sub>B</sub> center (~4.5 Å away). 961-963 Two distinct decay pathways have been identified for this Cu<sub>B</sub>-CO adduct involving (1) the back-transfer of the CO ligand to the heme a<sub>3</sub> (Fe<sup>II</sup>) center, or (2) the departure of CO, abandoning the fully reduced active site, allowing O<sub>2</sub> binding (Figure 112). These observations, along with previous work suggesting the formation of a Cu<sup>I</sup>-O<sub>2</sub> adduct (i.e., a Cu<sup>II</sup>-superoxo species) in the initial binding of dioxygen at the CcO active site, suggest that the Cu<sub>B</sub> center serves as a "doorway" for small molecules entering and exiting the active site. The Cu<sup>II</sup>-O<sub>2</sub>•<sup>-</sup> intermediate in that case is further reduced by the Fe<sup>II</sup> center, generating a heme– peroxo–copper adduct (see sections 4 and 6 for further discussion on such species).

In light of this biological precedence, the Fe-only, and the Fe–Cu containing forms of <sup>5/6</sup>L model systems were subjected to CO reactivity, and the resultant adducts were characterized by UV–vis, IR, and <sup>1</sup>H/<sup>13</sup>C NMR spectroscopies in coordinating and noncoordinating solvents.<sup>941</sup> The overall spectroscopic properties of  $[(^{5/6}L)Fe^{II}-(CO)]$  and  $[(^{5/6}L)-Fe^{II}-CO---Cu^{I}(CO)]^+$  (Figure 113) complexes were similar to those of their parent compounds,  $[(F_8TPP)Fe^{II}(CO)]$  and  $[(TMPA)Cu^{I}(CO)]^+$ .<sup>415,964–967</sup> <sup>13</sup>C-NMR experiments suggested the presence of two distinct isomeric forms of the Fe- only carbonyl complex of <sup>5/6</sup>L platforms, where the CO could either be at the same or the opposite side of the tethered copper chelate (Figure 113). Furthermore, infrared spectroscopic insights revealed the presence of two isomeric forms of  $[(^{5/6}L)Fe^{II}-CO-Cu^{I}(CO)]^+$  complexes as well, where the Cu<sup>I</sup>–CO unit is supported by either a tri- (major isomer; IR  $v(CO)_{Cu}$  2070 cm<sup>-1</sup>) or tetradentate (minor isomer IR  $v(co)_{Cu}$  2091–2093 cm<sup>-1</sup>) fashion, with the presence of a dangling, dissociated pyridine in the case of the former (Figure 113). IR studies also exhibited that the Cu-bound CO ligands could be readily displaced upon Ar purging, yielding the  $[(^{5/6}L)Fe^{II}-CO-Cu^{I})^+$  species.

The photoinduced CO dissociation chemistry of the monocarbonylated compound [(6L)FeII-CO…Cu<sup>I</sup>]<sup>+</sup> was investigated in a subsequent study using time-resolved IR spectroscopic methods.<sup>968</sup> In this work, the room-temperature dissociation of Fe<sup>II</sup>-bound CO (in MeCN) was accompanied by the immediate bleaching of its IR signature centered at 1975  $cm^{-1}$ , with the concomitant formation of a new IR feature at 2091  $\text{cm}^{-1}$ . This indicated that upon photodissociation, the Fe<sup>II</sup>-bound CO migrates to the Cu<sup>I</sup> center giving [(<sup>6</sup>L)Fe<sup>II</sup>...Cu<sup>I</sup>–CO] <sup>+</sup>, at a rate of 1.59 X  $10^5$  s<sup>-1</sup> (Figure 114). This slower rate of CO transfer to the Cu<sup>I</sup> center compared to the enzyme was attributed to comparative solvent binding at the Cu<sup>I</sup> center, and the flexibility of the tethered copper chelate of the <sup>6</sup>L ligand, which may locate the Cu<sup>I</sup> center away from the heme, resulting in inefficient CO capture (Figure 113). Upon thermal equilibration, the CO was observed to back-transfer to the Fe<sup>II</sup> center at an observed rate of 1600 s<sup>-1</sup>, giving back the starting monocarbonylated complex, [(<sup>6</sup>L)Fe<sup>II</sup>–CO···Cu<sup>I</sup>]<sup>+</sup>. This Cu-to-Fe CO-transfer rate is within the range observed for CO transfer from Cu<sub>B</sub> to heme in the HCO enzymatic examples and indicates that the ferrous heme-CO adduct is the thermodynamic product.<sup>968</sup> Interestingly, the enthalpic contribution to the activation energy for this last CO transfer step was found to be remarkably similar to that of the parent copper complex, [(TMPA)Cu<sup>I</sup>(CO)]<sup>+</sup>. This reveals the substantial influence of Cu<sup>I</sup>–CO dissociation energetics on small molecule interactions with the heme center of both model systems and enzymatic examples. When CO is photoejected from the iron in the bis-carbonyl complex,  $[(^{6}L)Fe^{II}-CO\cdots Cu^{I}-CO]^{+}$ , the rebinding to the heme, is much more rapid ( $k_{co} = 1.0 \times 10^{7}$  s <sup>-1</sup>), due to the unavailability of the copper binding site. This rate constant is comparable to other reported values for CO recombination to ferrous hemes, in the absence of other metals. 947

Photoinduced CO dissociation of the six-coordinate heme  $Fe^{II}$ -CO adduct, [(DCHIm) (F<sub>8</sub>)Fe<sup>II</sup>(CO)], was also studied in the presence and absence of exogenously added Cu<sup>I</sup> complexes with either tri- or tetradentate supporting ligands (Figure 115).<sup>969</sup> Similar to the above-mentioned, the photo-ejected CO in this case was also observed to rapidly react with the Cu<sup>I</sup> complex in solution, which then slowly returns the CO back to the Fe<sup>II</sup> center. Consequently, the Fe<sup>II</sup>-CO rebinding rate decreased from 65 to 10 s<sup>-1</sup> in the presence of a

tetradentate Cu<sup>I</sup> chelate and to 7 s<sup>-1</sup> with a tridentate chelate in THF solvent. Intriguingly, in nitrile solvents, such effects of Cu<sup>I</sup> complexes were absent, presumably due to the solvent hindrance in the binding of CO to Cu<sup>I</sup> (*vide supra*). Similar observations were reported in acetone solvent for the tetradentate Cu<sup>I</sup> system; however, for the case of the tridentate-Cu<sup>I</sup> complex, thermal CO transfer from [(DCHIm)- (F<sub>8</sub>)Fe<sup>II</sup>(CO)] to the copper center occurred (without photoexcitation), resulting in the formation of an equimolar mixture of [(F<sub>8</sub>)Fe<sup>II</sup>(DCHIm)<sub>2</sub>] and [(F<sub>8</sub>)Fe<sup>II</sup>(solvent)<sub>2</sub>].

## 5.2.3.2. Copper(I)-O<sub>2</sub> Kinetic Studies: Relevance to Binuclear Center CO- and O<sub>2</sub>-

**Binding.:** As discussed, details concerning the ligand-copper(I)-dioxygen interaction are needed if we are to deeply understand the reductive activation of  $O_2$  by heme-copper assemblies occurring in HCOs. As is now well-known, the coordination mode, metal center geometry, electronic-structure/bonding, and the resulting reactivity of primary copper(I)- $O_2$  adducts critically depend on the exact nature of the cuprous ion ligand environment (see section 3).<sup>18,611,612,680</sup> In fact there is a direct connection between such chemistry and that occurring at HCO binuclear active sites, since, as will be described below, strong evidence exists that binding of  $O_2$  initially proceeds through a  $Cu_B-O_2$  adduct and this is related to the findings that point to  $Cu_B$  as regulating the trafficking (being a "gateway" or "doorway") of incoming small molecule ligands ( $O_2$ , CO, NO, and others).

As mentioned in the previous section, such conclusions were determined from utilizing time-resolved spectroscopic methods (optical UV-vis, IR, or rR), initiated by CO photodissociation (i.e., "flow-flash")970 from the thermodynamically stable ferrous heme-CO adduct, followed by "immediate" CO movement to Cu<sub>B</sub>, followed by either recombination (i.e., rebinding to Fe<sub>a3</sub>) or active-site exit into solution (Figure 116). [Note: CO (and NO) binding to all heme proteins has historically and is still being studied where these diatomic gases are utilized as O2-surrogates for research purposes.] A classic study from Einarsdottir, Woodruff, and co-workers<sup>962</sup> revealed details of this process; earlier, Alben and co-workers<sup>971</sup> were able to cryogenically catch the Cu<sup>I</sup>-CO intermediate, characterizing it by infrared spectroscopy,  $v(C-O) = 2062 \text{ cm}^{-1}$  (whereas for the ferrous Fe<sub>a3</sub>) CO-adduct,  $v(C-O) = 1963 \text{ cm}^{-1}$ ). Later on it was shown that the Fe<sub>a3</sub>-CO to Cu<sub>B</sub>-CO conversion could occur in less than one picosecond,<sup>972</sup> while Yoshikawa and co-workers<sup>870</sup> were able to characterize such a Cu<sub>B</sub>-CO adduct by X-ray crystallography, revealing an interesting side-on weak binding (Figure 117A) (Note: Avery similar Cu<sub>B</sub>-NO with side-on nitric oxide binding was also characterized crystallographically).973 Examination of CO binding or rebinding shows that it first binds to Cu<sub>B</sub> before transferring back to the heme (i.e., the reverse of the (photo)dissociation chemistry). A related X-ray structural and IR spectroscopic study on Thermus thermophilus (tT) ba<sub>3</sub> oxidase also revealed photolysis of an iron-bound CO, leading to CO transfer/binding to  $Cu_B [v(C-O) = \sim 2050 \text{ cm}^{-1} \text{ for the}$ Cu<sup>I</sup>–CO moiety].974

Thus, in earlier studies, and still currently, CO-photo-dissociation was/is most often required in order to study  $O_2$ - binding kinetics (Figure 116), as formation of  $O_2$ -adducts is too fast to study by stopped-flow (mixing) methods. The HCO +  $CO_{(g)}$  studies are consistent with the labeling of  $Cu_B$  as a "gatekeeper" for ligand binding, and in fact, early studies by flow-flash and optical monitoring led to suggestions that  $Cu_B$  first binds  $O_2$ , before it transfers to heme

a<sub>3</sub>;<sup>975,976</sup> at that time, Malmström<sup>975</sup> cited a synthetic model Cu<sup>I</sup>/O<sub>2</sub> kinetics study<sup>977</sup> in support of their proposal. Some of such studies are described below, in fact demonstrating that O<sub>2</sub>-binding to ligand-copper complexes may be extremely fast, consistent with the notion that in HCO function, dioxygen initially binds to Cu<sub>B</sub><sup>I</sup>. With the active site hemea<sub>3</sub>-CO and Cu<sub>B</sub>-CO X-ray crystal structures,<sup>870</sup> and the information about the initial O<sub>2</sub>binding, Yoshikawa proposed an O2-binding and reduction mechanism which incorporates this special Cu<sub>B</sub>-O<sub>2</sub> adduct formation prior to its transfer (or bridging) to the heme; an as yet unobservable key intermediate is the heme-Fe<sup>III</sup>- (O<sub>2</sub>•-)... Cu<sup>I</sup> species (Figure 117B, intermediate IV),<sup>20,87°</sup> while as discussed we prefer the bridged heme-Fe<sup>III</sup>-(O<sub>2</sub><sup>2-</sup>)-Cu<sup>II</sup> description. In fact, most researchers acknowledge that this O2-interaction with CuB first occurs,<sup>879,942,978–980</sup> although that fact is most often left out of primary publications and review articles (as we have also done, Scheme 23). Rousseau and co-workers,980 in studies on the tT ba3 enzyme, deduce that CuB plays a critical role in the regulation of O2-reaction kinetics with the O<sub>2</sub>-to-heme a<sub>3</sub> transfer being rate-limiting; the role is said to be less prominent in aa3 enzymes. Kitagawa and co-workers,879 utilizing advanced time-resolved infrared spectroscopic techniques in experiments with the bovine enzyme, recently proposed that this Cu<sub>B</sub>-interaction leads to a structural change in a neighboring protein a-helix, opening a "gate" to a channel letting in water (as a proton source), which when present in sufficient concentrations leads to the O2- derived fragment transferring (or bridging) to heme a3. CuB thus plays a critical "sensing" role and detects water present in a nearby protein channel and binds  $O_2$  only when the enzyme is "ready". This conclusion is further corroborated from advanced radiation damage free enzyme, in time-resolved (i.e., nano- to microsecond) crystallographic studies (utilizing XFEL and SF-ROX methods) on CO (as O<sub>2</sub>-surrogate)-bound protein.<sup>981</sup> It is said that when proton saturation is achieved at the key Mg<sup>2+</sup> water cluster,<sup>842</sup> the Cu<sub>B</sub> structure is perturbed, increasing O<sub>2</sub>-affinity by moving this metal ion out of the Cu<sup>I</sup>(His)<sub>3</sub> trigonal planar environment. Thus, Cu<sub>B</sub>- O<sub>2</sub> formation induces water channel closure, leading to O2- transfer to heme a3 (likely as a peroxidic moiety bound to both Fea3 and CuB, vide infra), followed by steps involving O-O bond reductive cleavage and proton pumping.

As mentioned in section 4, HCOs derive from many sources and aerobic organisms, from mammals to bacteria. Over the last 20 years, it has been clearly documented that there are both subtle and major differences in HCOs from varying sources, in aspects of the structures of the binuclear active sites and in differences in ligand binding and ligand dynamics, conformational changes, etc. For example,  $\nu$ (C–O) varies for Cu<sub>B</sub>-COs from varying sources and kinetics of ligand binding or transfer between metal centers vary, etc. Derivatives and X-ray structures of various HCO derivatives are available from bovine heart (aa<sub>3</sub> HCOs)<sup>20,842,982,983</sup> or bacterial forms (aa<sub>3</sub> from Paracoccus denitrificans<sup>984,985</sup> and bacterial Rhodobacter sphaeroides)<sup>986</sup> or the rather different tT ba<sub>3</sub> oxidse<sup>987–989</sup> and the bo<sub>3</sub> oxidase from Escherichia coli.<sup>840</sup>

There has always been a concern as to if studies of  $O_2$ - binding kinetics and dynamics in HCOs were influenced or inhibited by the presence of the CO utilized to initiate Fe<sub>a3</sub>- CO photodissociation. Einarsdottir and co-workers<sup>990,991</sup> have carried out an extensive research program addressing this problem, first developing tools and reagents to photodissociate  $O_2$  from solution reagents (e.g., cobalt coordination complexes), so as to study  $O_2$ -binding to

reduced HCO active sites on a very fast time scale and in the absence of carbon monoxide. Readers are referred to both primary and recent review articles from key research groups, summarizing a wide variety of observations and results concerning HCO ligand (CO, NO, and O<sub>2</sub>) binding dynamics and the O<sub>2</sub>-reduction mechanism across a range of HCOs (and see section 4).<sup>879,963,979,991–999</sup> Some major conclusions are (i) the widely employed method of studying O2-binding kinetics by initially photodissociating CO bound to the heme at the heme-Cu binuclear active site is found to be inhibitory in tT ba<sub>3</sub> CcO but not in bovine aa3 CcO; in other words, the CO flow-flash method does not accurately reflect the O2 and NO binding kinetics in tT ba<sub>3</sub> under physiological conditions, namely, in the absence of CO. (ii) tT ba<sub>3</sub> CcO possesses a unique Y- shaped extended hydrophobic tunnel as a very low-barrier passage for  $O_2$  or other ligands entering the heme–Cu active site. Here, in tT ba<sub>3</sub>, the binding of dioxygen (and NO(g)) is "superfast"; they both occur with rate constants of  $\sim 10^9$  M<sup>-1</sup> s<sup>-1</sup>, <sup>912,990</sup> near the diffusion limit (and about 100 times greater than that for myoglobin; also see Table 4). These authors argue that  $O_{2(g)}$  bypasses  $Cu_B$  and directly binds to the heme.<sup>991</sup> (iii) This O<sub>2</sub>-binding rate is ~10 times greater than that observed for bovine aa<sub>3</sub> CcO.<sup>1001</sup> (iv) The Cu<sub>B</sub> in ba<sub>3</sub> has a significantly higher affinity for CO compared to the bovine enzyme, and the crystal structure of the CuB-CO complex shows that the CuB-CO bond is significantly shorter  $(1.88 \text{ Å})^{974}$  and therefore stronger than the corresponding bond in the bovine enzyme (2.43 Å).<sup>870</sup> (v) The obligatory path of CO, to and from the highspin heme in the heme-copper oxidases most likely involves the transient binding of CO to  $Cu_B^I$ .

Fundamental information concerning Cu(I)-dioxygen interactions is required to fully understand copper-dioxygen coordination chemistry and copper-mediated oxidative catalysis, and as it became apparent that such insights may be relevant to HCO dioxygenreactivity, our research group has carried out copper(I)-O<sub>2</sub> kinetics studies from the time of the late 1980s. These studies involved cryogenic, stopped-flow kinetic/thermodynamic investigations on O<sub>2</sub>-binding to synthetically derived, well-characterized mono- or dicopper(I) complexes which had already been proven to form O<sub>2</sub>-adducts, formally superoxo Cu<sup>II</sup> (or peroxo Cu<sup>II</sup><sub>2</sub>(O<sub>2</sub><sup>2-</sup>) complexes. In relation to the binding of dioxygen to Cu<sub>B</sub><sup>I</sup> in HCOs, our investigations with [(TMPA)Cu<sup>I</sup>]<sup>+</sup> and analogs have led to considerable insights and have provided kinetic and thermodynamic parameters of great interest. Following the discovery that O<sub>2</sub>-binding to cuprous chelates can occur on a time-scale faster than that which can be measured by low- temperature stopped-flow experiments, we collaborated to carry out transient-absorption studies, requiring laser excitation induced COejection of copper(I)–carbonyl complexes in the presence of O<sub>2</sub> (*vide infra*).

Figure 118 shows schematically how the fast O<sub>2</sub> and CO binding events were experimentally determined for the parent compound [(TMPA)Cu<sup>I</sup>]<sup>+</sup> where at low temperature (203 K), the second-order rate constant for O<sub>2</sub> binding was found to be  $k_{O2} = 2.18 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$ . With temperature-dependent studies carried out and activation parameters determined accordingly, the extrapolated room temperature (298 K) rate constant was determined to be  $k_{O2} = 2.9 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$  (Table 4);<sup>940</sup> these studies were carried out in tetrahydrofuran (THF) as solvent, that being a very weak if not nonexistent ligand for copper(I). When nitrile solvents such as MeCN or propionitrile are used, the rate constants are depressed by about 2 orders of magnitude (Table 4); this is explained by the fact the RCN molecules are strong ligands for

cuprous ion, stabilizing its reduced state, thus limiting or precluding fast electron transfer from copper(I) to  $O_2$  to give cupric-superoxide adducts. Figure 119 reveals the broader kinetic scheme utilized to determine both CO and  $O_2$  binding properties to a range of [(ligand)- Cu<sup>I</sup>]<sup>+</sup> complexes, and Table 4 lists the main findings in comparison to data obtained from copper proteins, heme proteins, and some of their synthetic model compounds. It is evident that the binding of  $O_2$  to these types of cuprous ion copper(I) complexes often proceeds with a very low activation barrier.

What do these results mean with respect to copper-dioxygen reactivity, comparison to hemes, and in the context of HCO O2-reactivity? (1) These tripodal tetradentate ligand complexes like [(TMPA)Cu<sup>I</sup>]<sup>+</sup> and its many analogs such as those given in Table 4, can react with O<sub>2</sub> at exceedingly fast rates. However, there are many more examples in the literature such as (L<sup>iPr</sup>)Cu<sup>I</sup> (Table 4), and many others not included in the table, which react very slowly with dioxygen. Such reactivity greatly depends on the exact nature of the ligand, its denticity and imposed geometry, identity of donor atoms present, and the geometric and electronic structure of the ligand-copper- dioxygen adduct, with these factors contributing to an overall characteristic (ligand)Cu<sup>II/I</sup> reduction potential. As classically elucidated in studies of (ligand)cobalt(II) complex reactions with O<sub>2</sub>, the rates (and equilibrium constants) for  $O_2$  adduct formation are primarily driven by electron-transfer from metal to  $O_2$ , thus ligands which are better donors [i.e., and stabilizing the higher oxidized state (implying more favorable Co<sup>II</sup>-to- Co<sup>III</sup> conversion, i.e., less favorable more negative Co<sup>III</sup>/II reduction potentials (E°)] result in larger rate constants; thus, clear linear-free-energy-relationships exist.  $^{1006,1007}$  (2) [(Ligand)Cu<sup>I</sup>]<sup>+</sup> complexes bind O<sub>2</sub> at rates greater than hemes, perhaps because iron(II)-porphyrinates that bind O2 are normally high-spin species, and a conversion to low-spin with some kinetic barrier occurs upon O2-binding (however, this supposition requires further research). But, these 1:1 Cu/O<sub>2</sub> adducts release dioxygen also at very large rates; k<sub>-O2</sub> values are as great or greater than those of hemes (Table 4), revealing the fact that copper(I) and copper(II) complexes are much more labile. Thus, equilibrium constants ( $K_{\Omega 2}$ ) at room temperature are much greater for O2-adducts of heme proteins and ironporphryinate complexes compared to those of copper-dioxygen complexes (Table 4). For hemes, k-O2 values are small; the low-spin six-coordinate iron(III)-superoxide (i.e., oxyheme) products are not highly labile and do not readily release dioxygen compared to adducts of copper. Of course, the deeper chemical principles and reasons behind the observations made here are not as simple as the statements made. Another factor that surely comes into play are the overall charge differences; we are comparing properties for the formation of higher oxidation state  $Fe^{II}/Fe^{III}(O_2^{\bullet-})$  versus  $Cu^I/Cu^{II}(O_2^{\bullet-})$  species, although the porphyrinate on iron is a (2-) ligand while the ligand sets of imidazole/pyridine donors for copper are neutral. (3) With respect to fast O<sub>2</sub>-binding to copper(I) ions, Table 4 reveals that four of the complexes with listed k<sub>O2</sub> values (when extrapolated to room temperature from low-temperature measurements) match or exceed that of the rate constant measured and published for bovine aa<sub>3</sub> Cu<sub>B</sub>,  $k_{O2} = 3.5 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$ . Clearly, coordination chemistry copper(i)-dioxygen investigations, as revealed here (and in section 3), indicate that observations and conclusions drawn concerning the O<sub>2</sub>-chemistry of Cu<sub>B</sub> centers (i.e., very rapid dioxygen binding for bovine aa<sub>3</sub> and other HCOs; see Table 4) have a clear synthetic model compound chemical basis. in other words, copper(I) complexes can very well bind to

dioxygen at rates greater than found for most or all hemes, indicating the conclusion or supposition that  $O_2$  binding to reduced  $Cu_B$  occurs first is well-founded (but see above the suggestion from Einarsdottir and co-workers).<sup>990,991</sup>

# 5.2.4. Electrocatalytic Systems and Heme-Peroxo- Copper Assemblies.—The

earlier developments of "picket fence" porphyrin systems by Collman, Reed, Ibers et al.,  $^{56,79,442,1008}$  and other models for O<sub>2</sub>-binding mimicking hemoglobin/myoglobin function were critical to their first design, synthesis, and characterization of structurally relevant heterobinuclear model systems for cytochrome *c* oxidase. The synthetic chemistry of the earliest heme-based heterobinuclear systems was published in the late 1970s by several groups including Reed, Momenteau, Collman, and Boitrel, and is discussed elsewhere in this review (*vide supra*), and over the past 30 years, Collman, Boitrel, Karlin, and others have evaluated the utility of these species as electrocatalysts for O<sub>2</sub> reduction.<sup>1009,1010</sup> in heme copper model systems which grew out of these studies, the Fe…Cu distance (a parameter which may be useful to judge biological relevance) is determined by the ligand properties and is typically ~4.5–5 Å Classic work in this field has been covered previously, and the reader is referred to several in-depth reviews of this work.<sup>3,56,924</sup> Generally, here we separately discuss prominent examples to highlight accomplishments and the different approaches in ligand design.

Among the earliest electrocatalytic CcO models from Collman and Boitrel were two "arbor" porphyrin inspired systems (Figure 120) where 1,4,7-triazacyclononane (TACN) (Figure 120A), or N,N',N"-tribenzyltris(aminoethyl) amine (TBTren) (Figure 120B) as a ligand-forcopper attached to a "picket fence" heme moiety, with an imidazole or pyridine axial base ligand tethered to the other (proximal) side of the porphyrinate. An earlier version of the complex (A) shown in Figure 120 lacking a tethered axial base (see Figure 123, bottom right) was characterized and reported to bind dioxygen irreversibly to generate a bridging Fe<sup>III</sup>(O2<sup>2-</sup>)-Cu<sup>II</sup> "peroxo" intermediate, as evidenced rR spectroscopy, which exhibited an isotope sensitive O–O stretching frequency at 758 cm<sup>-1</sup> (this only shifted to 740 cm<sup>-1</sup> upon <sup>18</sup>O2 incorporation; the small magnitude of this isotope shift was explained in terms of vibronic coupling).<sup>933</sup> This species represented the first characterized discrete heme-peroxocopper adduct. Interestingly, O2-reduction chemistry occurs on a graphite electrode for both (A) and (B). The former exhibits a 2e<sup>-</sup> reduction yielding hydrogen peroxide, whereas the latter catalyzes the 4e<sup>-</sup> reduction of dioxygen to water. This difference was explained to come about as a result of the relative reduction potentials of the Fe<sup>III</sup> and Cu<sup>II</sup> centers. 925,1012,1013

Electrochemical studies of these complexes in which the iron is replaced by cobalt, highlight the influence of metal ion redox properties on reaction selectivity. Specifically, the more positive reduction potential of  $Cu^{II}$  in (A) (Fe/Cu) enables  $Cu^{II}$  to be reduced before iron in the catalytic cycle, which leads to O2 binding and  $2e^-$  reduction mediated by copper independently. In contrast, the chemistry of the Co/Cu analogue of (A) shows that Co<sup>III</sup> is reduced first, leading to O2 binding and reduction in the binding pocket, as the distal position is blocked by an axial base. This allows subsequent PROS to be quickly reduced in an overall four electron process and thereby minimizing leakage.<sup>1014</sup> These studies demonstrate the importance of ligand design for electrocatalytic systems and metal ion

identity in modulating the redox properties of the complex overall and the selectivity of the O2- reduction chemistry via variations in binuclear site accessibility and axial base ligation properties.

Subsequent work by Boitrel and co-workers shows similar results in electrocatalytic studies with a 3-quinolinoyl "picket fence" porphyrin system (Figure 121A.i-ii). It is worth noting that these studies were carried out utilizing a graphite electrode, which provides electrons to the catalyst at a much greater rate than they are biologically obtained from cytochrome c, so the necessity of Cu<sup>I</sup> as a redox active site is relaxed because catalyst turnover is not limited by internal electron transfer processes, as is the case in CcO. During electrocatalytic studies of these complexes, it is apparent that the copper ion is not essential to the catalyst's ability to reduce dioxygen to water at physiological pH.<sup>924</sup> These systems are, in fact, more selective for the four electron reduction of dioxygen in the absence of copper. This result is proposed to be due to side reactions of copper to yield PROS such as H2O2.

With respect to ligand design, Boitrel, Weiss, and others have addressed the role of flexibility in the copper binding site, as well as the necessity of a built-in axial sixth ligand. Several binucleating porphyrinate ligands with phenanthroline, N3O, and tren-binding moieties for copper were evaluated as CcO models (Figure 121, B-E).<sup>1015,1016</sup> To determine the proximity of the iron and copper centers in the solution structure of these models, CO binding and photolysis studies can be utilized to determine if photoexcitation results in transfer of CO between the iron and copper centers. Carbon monoxide transfer from Fe to Cu was verified by attenuated total reflection in mid-infrared spectroscopy (ATR-MIR) immediately after photolysis. Detection of Cu<sup>I</sup> bound CO stretches between 2030 and 2100 cm<sup>-1</sup> indicated Fe-to-Cu CO transfer. For (B) (Figure 121), upon photolysis, the bound CO molecule transfers from the iron to the copper atom, which indicates that the Fe<sup>...</sup>Cu distance is such that both metal centers could potentially interact with small diatomic ligands. This is consistent with the predicted Cu. Zn distance of 5.06 Å observed in the crystal structure of Zn/Cu analogue of (B).<sup>1017</sup> With series (C.i-iii), the absence of an observed Cu<sup>I</sup>-CO stretch on photolysis revealed that the copper ion is not well-positioned to interact with CO photoejected from Fe, and here a 2e<sup>-</sup> reduction of O2 to H2O2 predominates.<sup>1018</sup> Series (D.i-iii) suffers from steric constraints, which limit the access of small molecules to the active site and, in addition, lacks an axial base and is largely insoluble in organic solvents. Nevertheless, (D.i) is competent for the electrocatalytic 4electron reduction of dioxygen.<sup>1019</sup>

Complex (E), which is a modified version of complex (B), allows for relevant comparisons. Both Fe<sup>II</sup>/Cu<sup>I</sup> complexes bind dioxygen reversibly, and the binding could be monitored with <sup>1</sup>H NMR spectroscopy to track the spin-state of the Fe/Cu complex. In these studies, a binding event is inferred based on the change from a paramagnetic (H.S. Fe<sup>II</sup>, S = 2) to diamagnetic (S = 0) <sup>1</sup>H NMR spectrum, marked by the disappearance of paramagnetically shifted resonances corresponding to the β-pyrrolic protons in the range from 40 to 50 ppm. However, rR spectroscopic characterization of the Fe-O, O–O, and possibly Cu-O bonds have thus far remained elusive. Interestingly, by changing the auxiliary ligand in (B) to a picoline moiety in (E), the accessibility of the binding pocket is assured for O2, making (E) a better dioxygen binding ligand than (B). Complex (E) is also less efficient as an O2-

reduction catalyst in terms of the overpotential required for catalysis but yields fewer PROS overall.<sup>1020</sup> These systems provide insight into how drastically the catalytic activity of CcO model systems can change as a result of minor changes in axial ligand basicity and pendant arm flexibility.

In very recent work, H. Kitagishi et al.<sup>1021</sup> prepared a new porphyrin/cyclodextrin supramolecular complex that serves as a CcO model in aqueous media. The design of this model system was facilitated by the propensity of per-o-methylated  $\beta$ - cyclodextrins to form stable 1:2 complexes with porphyrin molecules such as 5,10,15,20-tetrakis(4sulfonatophenyl)-porphyrinato iron(III) [(TPPS)Fe<sup>III</sup>]<sup>+</sup>.<sup>1021,1022</sup> With this in mind, two pero-methylated  $\beta$ -cyclodextrin molecules were connected via a copper(II) terpyridyl complex linker in order to mimic the distal tris-histidine ligated CuB site of CcO (Figure 122). This new ligand complex framework denoted [(TerpyCD2)Cu<sup>II</sup>]<sup>2+</sup> was then titrated with [(TPPS)Fe<sup>III</sup>]<sup>+</sup> to yield a new 1:1 supramolecular complex [(TPPS)Fe<sup>III</sup>]<sup>+</sup>/ [(TerpyCD2)Cu<sup>II</sup>]<sup>2+</sup>, which features a heterobinuclear Fe/Cu active site in the hydrophobic pocket of the  $\beta$ -cyclodextrin dimer. Characterization by ESI-MS and optical absorption spectroscopy revealed that  $[(TPPS)Fe^{III}]^+/[(TerpyCD2) - Cu^{II}]^{2+}$  could form a  $\mu$ -oxo (Fe<sup>III</sup>-O–Cu<sup>II</sup>) core structure with red-shifted Soret band ( $\lambda$ max = 453 nm). These types of bridging Fe<sup>III</sup>–O–Cu<sup>II</sup> structures are described below in detail in section 5.3. The pKa of this new µ-oxo species was assessed with pH titration and found to be 8.8 (Figure 122), similar to previously reported values for  $\mu$ -oxo and  $\mu$ -hydroxo-bridged heme copper oxidase model compounds (section 5.3).<sup>1023</sup> Reduction of [(TPPS)Fe<sup>III</sup>]<sup>+</sup>/[(TerpyCD2)Cu<sup>II</sup>]<sup>2+</sup> with sodium dithionite yielded the reduced analogue [(TPPS)Fe<sup>II</sup>]<sup>0</sup>/[(TerpyCD2)Cu<sup>I</sup>]<sup>+</sup>, which reacted with O2 to yield a ferric superoxide species formulated as a (P)Fe<sup>III</sup>–(O2<sup>•–</sup>)–Cu<sup>I</sup>(L3) complex. Resonance Raman spectroscopy revealed an Fe–O stretching frequency of 578 cm <sup>-1</sup>, consistent with previously reported iron(III)-superoxide Fe – O stretches; 485,524,894,1024,1025 a superoxide O-O stretching frequency was not reported (but see discussions in section 6.3.1). There was no indication that a  $\mu$ -peroxo Fe<sup>III</sup>– (O2<sup>2–</sup>)–Cu<sup>II</sup> complex ever forms (vide supra).

The superoxide complex was metastable' and it gradually converted to the oxidized analogue  $[(TPPS)Fe^{III}]^+/[(TerpyCD2)Cu^{II}]^{2+}$  with a pH-dependent rate constant. At lower pH values, in the range of ~7–10, the superoxide decayed more rapidly, suggesting that some proton coupled process is involved in the conversion of the superoxide complex to  $[(TPPS)Fe^{III}]^+/[(TerpyCD2)Cu^{II}]^{2+}$ . Electrochemical technique analyses of O2-reduction by  $[(TPPS)-Fe^{III}]^+/[(TerpyCD2)Cu^{II}]^{2+}$  and its reference samples,  $[(TPPS)Fe^{III}]^+$  and  $[(TPPS)Fe^{III}]^+/[(TerpyCD2)Cu^{II}]^{2+}$ , showed that under the conditions employed, diffusion limited catalytic O2-reduction (below –1.0 V vs Ag/AgCl) was enhanced for the heme–Cu complex (n ~ 3.0 electrons per O2) compared to that for the "reference" compounds (n ~ 1.6), interpreted by the authors to mean that the Cu(I) ion functioned as an electron storage site (Figure 122).

Another recent addition to the field of electrocatalytic models for cytochrome *c* oxidase has come from the Coutsolelos group (Figure 123, A–E), where the copper ligand is covalently attached to the heme which can be further functionalized with a phenolic moiety (as a model for the HCO active site tyrosine).<sup>1026,1027</sup> In complexes (B) and (C) an axial pyridine is appended to the porphyrin at the mesityl position. In the case of (C), this axial base can

coordinate to the heme iron; however, for (B), the axial base is not bound, most likely due to geometric constraints arising from the tether properties. The O2-reduction capabilities of these species were probed electrocatalytically on graphite electrodes in aqueous solution, and while all the models reduce dioxygen via 2e<sup>-</sup> and 4e<sup>-</sup> pathways, only for (A) does the selectivity improve on inclusion of a copper ion. The presence of an axial base for (B) and (C) leads to low catalyst stability under these conditions. To expand upon this work, complex (D) was synthesized and contains no axial base but, rather, a phenolic moiety at the mesityl position. This system binds both O2 and CO and reduces oxygen via 2e<sup>-</sup> and 4e<sup>-</sup> mechanisms, although further characterization has been hampered by low stability on graphite electrodes. In another approach to ligand design, the Coutsolelos group utilized 5,10,15,20-tetrakis(2-amino- phenylporphyrin) (TAPP) as a precursor to incorporate a TREN derivative type copper chelate, (N<sup>1</sup>-benzyl-N<sup>2</sup>,N<sup>2</sup>-bis- [2-(benzylamino)ethyl]ethane-1,2-diamine) and a tyrosine mimic above the parent porphyrin (E.i).<sup>1028</sup> Rotating ring disk electrochemistry (RRDE) on an edge plane graphite electrode showed that both the 2e<sup>-</sup> and 4e<sup>-</sup> reduction mechanisms for O2 reduction to H2O2 and H2O were active. In this case, the presence of the copper ion, did not appear to increase the electrocatalysts selectivity for the 4e<sup>-</sup> process. Additionally, protection of the phenol OH by methylation in (E.ii) resulted in the same catalytic activity as the unprotected version (E.i). The lack of a notable effect by the phenol was attributed to improper orientation of the phenolic OH group away from the binuclear site of the catalyst.<sup>1028</sup>

Over time, the Collman group continued to modify their model systems to what they have referred to as more "accurate" biomimics (vide infra). Inspired by the tris-histidyl ligation of the copper ion in the active site of CcO, they incorporated three imidazolyl ligands for copper and pyridyl or imidazolyl axial bases onto the opposite side the porphyrin (Figure 124, A and B).<sup>1029</sup> These compounds are selective for the 4e<sup>-</sup> reduction of O2 to H2O with no detectable leakage of H2O2. The onset of the electrocatalytic reduction by (B) occurs at  $\sim$ 50 mV higher than that of (A), and thus it can be inferred that (B) better replicates the active site properties of cytochrome c oxidase, which reduces dioxygen at positive potentials. In 2002, constructs containing N-methyl imidazolyl ligands were incorporated into the tetrakis(aminophenyl)- porphyrinate core as ligands for copper, and in line with previous results, an imidazolyl axial base was inserted on the opposite side (Figure 124, C). <sup>1030</sup> When paired with an electrode possessing fast electron flux, these model systems are highly efficient dioxygen reduction catalysts, competent for at least 10000 turnovers at physiologically relevant pH and potential (>50 mV vs NHE).<sup>1031</sup> Under these conditions, the presence of the copper ion did not appear to affect the dioxygen reduction mechanism, turnover frequency, or turnover number, although the selectivity for the 4e<sup>-</sup> reduction pathway is higher in the Fe/Cu systems.

Collman and co-workers, when referring to more "accurate" models, we believe are referring to the fact that their complexes possess features closer to those in the enzyme, for example, imidazolyl versus pyridyl donors for copper, or possession of a nitrogenous proximal ligand or distal phenolic moiety. But we remind the readers that having "accuracy" in this way does not mean it is a better model. A model can never allow one to absolutely deduce an enzyme mechanism; only, by definition, can a mechanism or absolute conclusion of any kind be made upon experiments with the enzyme itself. Models are meant to sharpen

questions and/or isolate fundamental questions which can more likely be answered through the study of the crude bioimimic.<sup>747</sup> There are numerous cases<sup>135,1032–1037</sup> where less "accurate" models (i) provide greater activity (if activity/reactivity is judged to be the main point of interest), (ii) provide an answer to a specific question,<sup>747</sup> or (iii) provide answers of critical importance from direct comparisons of systematically varied ligand environments (e.g., giving different metal ion geometries, different ligand–metal E° values, or different M<sup>···</sup>M' distances). For example these types of models allow for elucidation of those factors which give rise to homolytic versus heterolytic O–O reductive cleavage, etc. <sup>47,50,457,589,594,595,1038</sup> And, while many or all of Collman and co-workers' metal–ligand complex constructs are leading-edge research examples and beautifully designed models which possess great sophistication, they (and those models of others) do not, for example, possess such qualities as the known enzyme Cu-ligand juxtaposition, high Cu E°, known biological natural porphyrinates, nor the known natural histamine containing imidazole moiety as proximal heme- or copper-ligand connected through the imidazole 4,5-position and not the imidazolyl non metal-binding N-atom.

In order to evaluate the O2-reduction reaction at slow rates of electron transfer, which is rate-limiting in the enzyme, the authors incorporated the catalysts at low molar fraction (>3%) into a 1,2-dimyristolyl-L-a-phosphatidylcholine (PC) lipid matrix deposited on the electrode surface. In doing so, the catalyst molecules are mobile and isolated close to the electrode surface, in a matrix composed of biologically relevant lipids. This enabled detection of catalytic current that was independent of the O2 concentration and rotating disc electrode (RDE) disc rotation frequency (that in the rotating ring disc electrochemistry (RRDE) technique), and thus allowing for the evaluation of variable electron transfer rates.  $10^{39}$  In these cases, in the absence of copper, the catalysts (C) (Figure 124) displayed low activity and undergo rapid degradation. With coordinated CuI, the catalyst is still active, and the Cu ion is proposed to serve as an electron storage site, which avoids the formation of a ferryl, Fe<sup>IV</sup>=O<sup>+•</sup>, species which would degrade the organic ligand. Under conditions of slow electron transport, this highly oxidizing cationic intermediate species persists long enough to damage the ligand, causing nucleophilic reactions with the solvent, and hence the copper ion is necessary for catalyst integrity. This work indicates the importance of copper (as a mimic for the CuB site in HCOs) within the regime of slow electron transport; however, the details of the mechanism are difficult to confirm without spectroscopic evidence.<sup>56</sup>

Stoichiometric addition of dioxygen to (C), Figure 124 yields a ferric superoxide species, Fe<sup>III</sup>– (O2<sup>•–</sup>)···Cu<sup>I</sup>, described in section 2.2.2 (Figure 40).<sup>524</sup> In a single turnover experiment (Figure 125), this was reported to react intermolecularly with a sterically hindered Tyr244 mimic (2,6-di-tert-butyl-4-methox- yphenol) to give a stable phenoxyl radical, and an Fe=O species, as has long been discussed as what occurs during CcO enzyme turnover (see section 4). The mechanism proposed involves hydrogen atom abstraction by the Fe<sup>III</sup>-superoxo species yielding an Fe<sup>III</sup>-OOH product, which is subsequently cleaved homolytically to yield the Cmpd II type high-valent Fe<sup>IV</sup>=O complex. This intermediate in turn oxidizes a second equivalent of phenol, yielding the Cu<sup>II</sup>-OH, Fe<sup>III</sup>-OH, and two equivalents of the phenoxyl radical products (Figure 125).<sup>525</sup> A small deuterium kinetic isotope effect (KIE) (~2) was observed for the addition of ArOH(D), consistent with formation of a hydroperoxo product species. Further evidence for formation of the oxyferryl intermediate, making this system a

model for the CcO A to Pm, is derived from the observation that O=PPh3 formed following addition of PPh3, and mass spectrometry indicated the presence of a peak corresponding to a  $Fe^{IV}=O/Cu$  form of the complex.

A next logical step in catalyst design aimed at O2-reduction chemistry is to append a Tyr244 mimic into the tris-imidazolyl picket fence porphyrin periphery in order to promote an intramolecular O–O bond cleavage reaction via HAT or PCET from the phenol to a metal-bound O2 moiety which led Collman and co-workers to design (A) (Figure 126).<sup>1025</sup> The same chemistry as described for the construct lacking the internal phenol (see Figure 125) was reported to occur, as shown in Figure 126. This model system was the first to contain all three redox centers, the heme, the Cu, and the internal phenol, plus the proximal imidazole ligand.

It should be noted that the electrocatalysis studies thus far described utilized edge plane (EPG) electrodes, or pyrolytic graphite, where the rate of electron transfer to the catalyst  $(>10^4 \text{ s}^{-1})$  is much faster than the rate of electron delivery to the active site of CcO ( $\sim 2 \text{ s}^{-1}$ ). <sup>923</sup> The surface of edge plane graphite electrodes is not uniform, so there is no site isolation for each catalyst on the surface. However, the development and application of "click chemistry" enabled the immobilization of heme–copper models such as (A) (Figure 126) onto electrode surfaces using self-assembled monolayers (SAMs) as outlined in Figure 127, right.<sup>1040</sup> This approach is advantageous for electrocatalytic models because the "click" reaction works under mild conditions, it is selective, it works in aqueous media, and is itself a catalytic process. Additionally, the electron transfer properties of the SAM can be altered by adjusting its length and degree of conjugation.<sup>1041–1043</sup>

This newer methodology enables probing of the role of the copper and phenol moieties on dioxygen reduction activity and selectivity, now at slow electron transfer rates. Toward this goal, complex (A) from Figure 126 was again modified to possess a methoxyphenyl group in its secondary coordination sphere, yielding (A) in Figure 128 (top left), which was then attached to a SAM-coated gold electrode.<sup>1044</sup> Two different linker/SAM combinations were employed; one assembled from a 1-azidohexadecanethiol/hexadecanethiol SAM combination ("Slow SAM S1", Figure 128, left), and the other assembled from an azidophenylethynylenebenzyl thiol/octane thiolacetylene SAM combination ("Fast SAM S2", Figure 128, right), in order to vary the electron transfer rate for heme-Cu electrocatalytic O2-reduction experiments. Under conditions of rate-limiting electron transfer with SAM S1, the presence of copper and the phenol moiety reduced the amount of PROS produced by over 30% relative to SAM S2, where a second electron can be delivered to the Fe center at an abiological rate, much faster than that of SAM S1 (Figure 128). These results were concluded by Collman and co-workers to firmly indicate that under biologically relevant conditions, the copper ion and phenol moiety are essential in alleviating the production of PROS. Thus, complex (A) (Figure 126) studied via electrocatalytic O2reduction, reasonably mimics the HCO binuclear center, in its metal ion redox potentials at the Fe/Cu core, its selectivity for full 4e<sup>-</sup> reduction of O2 to water under biologically relevant electron delivery rates, and additionally is active in both aqueous and hydrophobic media.

Collman and co-workers evaluated the effect of hydrogen sulfide on the model complex and O2-reduction catalyst shown in Figures 125 and 127 by studying the chemistry carried out in a NaSH buffer solution as a source of H2S.<sup>1045</sup> At higher H2S concentrations, the catalytic current gradually decreased by up to 60%. However, when the NaSH buffer was replaced with air-saturated buffer, the catalytic reduction of O2 was subsequently restored. This gas, now known to be a signaling agent (i.e., a gasotransmitter), can induce hibernation in mice at similar concentrations to those used in this work.<sup>1045</sup> Also, addition of a nongaseous ligand, tetrazole, as a possible metal binding ligand also inhibited O2-reduction in this model system. This was determined to be particularly interesting, as tetrazole is known to have an effect on respiratory inhibition in platelet mitochondria leading to platelet deactivation, which is an important part of blood coagulation processes.<sup>1046</sup>

These researchers also examined the binding and chemistry of small molecules which are known physiologically important signaling (i.e., NO, H2S) or cytotoxic agents (i.e., CO, CN  $^-$ ) to their heme-copper constructs. As CcO is important in biological respiration, it was desirable to see the effects of these potential ligands on their CcO model for O2-reduction activity. Such complementary studies could provide information useful in inhibitor design, considering a therapeutic perspective (Figure 129, A–E depict the adducts which were studied). Here, nitric oxide acts as a CcO inhibitor via formation of a stable iron nitrosyl species, which can only be replaced in the presence of superoxide (which could be generated via O2- binding to the Cu<sup>I</sup> ion. Therefore, inhibition of this model by nitric oxide is mitigated by the presence of Cu<sup>I</sup> and a constant supply of electrons and dioxygen (Figure 129).<sup>1047–1049</sup> Interestingly, nitric oxide reverses the inhibition of the model by CO and CN<sup>-</sup> by displacing them from the ferrous heme, and the subsequent reaction with superoxide yields nitrate which diffuses away to generate the uninhibited oxidized state of the catalyst. Hydrogen sulfide was observed to weakly bind to the Fe<sup>II</sup> center (D, Figure 129), and it could be displaced by dioxygen to yield the oxy bound form of the catalyst (A, Figure 129).

Karlin and co-workers have also employed several of their model system constructs for application to electrocatalytic O2- reduction studies. Although the ligand systems utilized have been mostly employed in homogeneous solution (and cryogenic conditions) for the formation and detection of catalytic intermediates and stoichiometric reactivity, they were worthwhile candidates for electrocatalytic studies, given their ease of synthesis and robust nature. The models consisted of an Fe/Cu binucleating ligand with a heme and a covalently tethered tris(2-pyridyl)methylamine copper chelate,  $[(^{6}L)-Fe^{II}Cu^{I}]^{+}(A)$ , its Fe-only analogue [(<sup>6</sup>L)Fe<sup>II</sup>] (B), and the "parent" F8 porphyrinate [(F8)Fe<sup>II</sup>] (C) (Figure 130). Previous work (see sections 2.2 and 5.1) has shown that these models form stable Fe<sup>III</sup>–  $(O2^{2-})$ - Cu<sup>II</sup> and Fe<sup>III</sup>-  $(O2^{\bullet-})$  species, and given that chemical and theoretical research efforts have proposed peroxo and superoxo species to be key intermediates in reductive O-O bond scission, it is reasonable to expect that these models can be competent O2-reduction electrocatalysts. Indeed, the tethered heme-copper model reduces O2 to water with good efficiency (74%), possibly via a peroxo intermediate species. The parent F8-heme (C) exhibits only 20% efficiency for O2 reduction, which is even lower than the Fe-only analogue of <sup>6</sup>L (B), which reduced O2 at 59% efficiency. It was proposed that this is due to Fe<sup>III</sup>-(O2<sup>•-</sup>) stabilization by protonated pyridyl groups (of the Cu-ligand) in the acidic media employed (triflic acid). The lower selectivity of the parent heme (F8; Figure 130, C)

for the four-electron pathway may have been a result of the formation of heme-peroxo-heme adducts, which on protonation yield hydrogen peroxide as a  $2e^{-}$  reduced byproduct, given the highly acidic proton source employed here.<sup>1050</sup>

More recently, Sengupta and Dey<sup>1051</sup> investigated the same heme–Cu or heme-only complexes but now with advanced techniques, allowing for the coupling of surface-enhanced resonance raman spectroscopy (SERRS) and RRDE to probe intermediates formed in situ at the electrode surface during catalyst turnover.<sup>1052</sup> Expanding on the previous electrocatalytic (*vide supra*)1050 and stoichiometric (*vide infra*)1053 work by Karlin and co-workers, the authors employed  $[(^{6}L)Fe^{III}(OH)]$  (A, Figure 131) and  $[(^{6}L)Fe^{III}-(OH) - Cu^{II}]^{+}$  (B) as the initial form of the electrocatalyst and studied its efficiency and selectivity for dioxygen reduction under varying electron delivery rates.

With rapid electron delivery, with the catalyst adsorbed on an edge plane graphite electrode, a very high kcat  $[(4.49 \pm 0.9) \times 10^6 \text{ M}^{-1} \text{ cm}^{-1}]$  for O2-reduction was reported for  $[(^{6}\text{L})\text{Fe}^{\text{III}}]$ (OH)–Cu<sup>II</sup>]<sup>+</sup> (B, Figure 131), in fact about 1 order of magnitude greater than some of Collman's complexes, previously studied for electrocatalysis. High selectivity for O2 reduction to water (only 6% PROS) was also reported with  $[(^{6}L)Fe^{III}-(OH)-Cu^{II}]^{+}$ , see Figure 131, top. Bridging peroxide intermediates (see rRaman spectra, Figure 131) were detected during turnover for both the parent, [(<sup>6</sup>L)Fe<sup>III</sup>– (OH)–Cu<sup>II</sup>]<sup>+</sup> (B, Figure 131), and its imidazole ligated analogue, [(Im)(<sup>6</sup>L)Fe<sup>III</sup>-(OH)-Cu<sup>II</sup>]<sup>+</sup> (C, Figure 131), although the latter displayed a significantly higher percentage of PROS production (~25%). The detection of these peroxidic species on an EPG electrode in pH 7 buffer was enabled by utilizing mass-transfer limiting conditions for catalysis, where only species whose decay does not involve electron transfer can have steady-state accumulation. The rRaman features identified during turnover for the parent  $[(^{6}L)Fe^{III}-(OH) - Cu^{II}]^{+}$  (B. Figure 131) complex support a side-on, end-on heme-peroxo-copper intermediate,  $[({}^{6}L)Fe^{III} - (\eta^{1}: \eta^{2}-O2^{2-}) - Cu^{II}]^{+}$  $[v(O-O): 819 \text{ cm}^{-1} (1^{18}\text{O2} = -60 \text{ cm}^{-1}), \text{ as depicted in Figure 132 (Scheme 1, species B]}.$ Here, it is proposed that an iron-bound water molecule and hydrogen-bonding interactions favor end-on  $(\eta^1)$  binding to low-spin Fe<sup>III</sup> and side-on  $(\eta^2)$  coordination to the Cu<sup>II</sup>.

When an exogenous axial imidazole ligand is incorporated into the distal side of the <sup>6</sup>L ligand scaffold, as in [(Im)(<sup>6</sup>L)Fe<sup>III</sup>(OH)-Cu<sup>II</sup>]<sup>+</sup> (C, Figure 131), a peroxide binding mode where the O2 fragment is end-on with respect to both metal ions was proposed based on the rRaman spectra in Figure 131 [ $\nu$ (O–O) = 847 cm<sup>-1</sup> (<sup>18</sup>O2 = -61 cm<sup>-1</sup>)], this intermediate is depicted in Figure 132, Scheme 2, C. As also discussed below, the high-spin hemeperoxo-Cu complexes not possessing an axial proximal ligand switches to low- spin analogs when adding an imidazole ligand, as here.

Distinct pathways for PROS production were proposed to account for the difference in selectivity of the parent catalyst  $[(^{6}L)Fe^{III}-(OH)-Cu^{II}]^+$  (Figure 131, B), and its imidazole bound analogue,  $[(Im)(^{6}L)Fe^{III}-(OH)-Cu^{II}]^+$  (Figure 131, C) at fast (EPG) versus slow (SAM) electron transfer rates (Figure 132).<sup>1051</sup> For B (Figure 131), reduction of the low-spin iron(III) resting state yields a 5-coordinate, high spin ferrous heme (Figure 132, Scheme 1, complex A), which benefits from binuclear site accessibility and resulting fast dioxygen binding kinetics. A detectable peroxidic intermediate B forms (Figure 132, Scheme 1),

which was discussed above. Subsequent protonation cleaves the peroxide O–O bond, yielding the high valent intermediate D (Scheme 1, Figure 132, D) which is not detected under steady state turnover due to its rapid reduction/protonation to give back A. Dioxygen binding to the proximal site of Fe<sup>II</sup> in this case most likely results in PROS production upon electron transfer and protonation ( $C \rightarrow A$ , Scheme 1, Figure 132). In the case of complex [(Im)(<sup>6</sup>L)Fe<sup>III</sup>-(OH)-Cu<sup>II</sup>]<sup>+</sup>, SERRS-RDE indicates an accumulation of a six coordinate LS  $Fe^{II}$  species (Figure 132, Scheme 2, A) as the resting state. The much lower selectivity of starting electrocatalyst C (Figure 131) for O2 reduction at fast electron transfer rates can be attributed to the slower dioxygen binding kinetics of low spin ferrous hemes (such as A, Figure 132, Scheme 2). When electron transfer is fast, the copper ion can reduce dioxygen to yield PROS (B to A, Scheme 2). When the rate of electron transfer is comparable to, or slower than O2 binding to the LS Fe<sup>II</sup>, then the dioxygen reduction reaction preferentially proceeds via a bridging heme- peroxo-copper intermediate, for a selective  $4e^{-4}/4H^{+}$  oxygen reduction reaction. These two proposed mechanistic scenarios help to explain the opposite selectivity behavior of [(<sup>6</sup>L)Fe<sup>III</sup>– (OH)–Cu<sup>II</sup>]<sup>+</sup> and [(Im)(<sup>6</sup>L)Fe<sup>III</sup>(OH)-Cu<sup>II</sup>]<sup>+</sup> (Figure 131, B and C, respectively) at fast and slow electron transfer rates.

This work is significant because it shows that  $Fe^{III}-(O2^{2-}) - Cu^{II}$  species can form in aqueous media, under relatively harsh turnover conditions (relative to self-assembly studies in organic media at low temperature), in a physiologically relevant pH range. The dependence of the selectivity for  $4e^{-}/4H^{+}$  dioxygen reduction pathways on the electron transfer rate and coordination properties (and spin state) of the ferrous heme is also enlightening. This work provides insight into the factors that favor selective dioxygen reduction with earth abundant transition metals such as iron and copper, in man-made systems. Bridging peroxide intermediate species have been proposed in theoretical studies and can be crystallized in the enzyme, so the involvement of such species during catalytic O2-reduction by HCOs remains to be a subject of debate. The effect of hydrophobic residues, or phospholipids, on catalytic activity, and selectivity with this model could be an interesting future direction.

The development of electrocatalytically competent small molecule biomimics for heme– copper oxidases has provided insight into several factors that affect the feasibility and efficiency of the dioxygen reduction reaction with earth- abundant transition metals such as iron and copper. The copper/iron primary and secondary coordination environments and redox potentials, solvent polarity, and electron delivery rate are critical determinants of catalyst activity. Classic work in this subfield has been enhanced by new spectroscopic techniques (RDE-SERRS) that allow for the characterization of intermediates formed during catalytic turnover at the electrode surface. This new approach will undoubtedly help to bridge the two design approaches of electrocatalytic model systems and stoichiometric dioxygen reduction chemistry.

5.2.5. Catalytic Dioxygen Reduction by a CcO Model System in the Presence of Proton and Electron Sources.—A homogeneous catalytic study of  $O_2$  reduction to water provided further insight into the role of the copper ion during  $O_2$  reduction and assessed the rate-limiting step during turnover as a function of temperature.<sup>1053</sup> The catalyst [(<sup>6</sup>L)Fe<sup>II</sup>–Cu<sup>I</sup>]<sup>+</sup> and its Fe-only analogue [(<sup>6</sup>L)Fe<sup>II</sup>] in acetone were exposed to dioxygen in

the presence of trifluoroacetic/decamethylferrocene (H<sup>+</sup>/e<sup>-</sup>) at -60 °C or at room temperature to achieve O–O reductive cleavage. Detailed mechanistic studies reveal that the rate-limiting step during turnover changes as a function of temperature, as evidenced by a change in the nature of the detected steady-state intermediate (Figure 133). Namely, at room temperature the rate-limiting step is dioxygen binding, as indicated by the presence of  $[(^{6}L)Fe^{II}...Cu^{I}]^{+}$ , and  $[(^{6}L)Fe^{II}]$  during steady state turnover, although it is important to note that the rate of dioxygen binding is twice as fast for  $[(^{6}L)Fe^{II}...Cu^{I}]^{+}$ . However, at -60 °C in acetone, a new Fe<sup>III</sup>–OOH species is observed for both  $[(^{6}L)Fe^{II}...Cu^{I}]^{+}$  and  $[(^{6}L)Fe^{II}]$ during steady state turnover. Under these low-temperature conditions, the rate of O–O bond cleavage for  $[(^{6}L)Fe^{III}(OOH)]$  and  $[(^{6}L)Fe^{III}-(OOH)-Cu^{II}]^{+}$  were nearly the same. This led the authors to suggest that the copper ion does not necessarily need to be involved in O–O bond cleavage via a bridging peroxo species. It may instead be involved in facilitating faster O<sub>2</sub> binding at noncryogenic temperatures. This result is consistent with O<sub>2</sub> binding to copper complexes at diffusion controlled rate<sup>940,1002</sup> and CcO enzyme studies which implicate Cu<sub>B</sub> as the initial binding site of O<sub>2</sub> during catalysis.<sup>975,976</sup>

### 5.2.6. PCET Reactivity of an HCO Model Highlighting Critical Factors for O<sub>2</sub>-

Reduction.-In CcO, although the precise PCET mechanism involved in the O-O cleavage step (i.e., relative timing and method of delivery of protons and electrons) is not entirely known, controlled addition of known substrates to achieve activation and reduction of O<sub>2</sub> with synthetic model systems can be used to probe such questions. Important substrate considerations for inducing protonation/reduction of a peroxo moiety in heme– $(O_2^{2-})$ –Cu entities undoubtedly include local steric factors, peroxo O-atom pKb (for both the Fe-bound and Cu-bound O atoms), O-O bond dissociation energy (BDE), and heme and/or copper ion  $E^{0}$ ; however, analogous properties of the model complex are equally influential for directing their reactivity. Perhaps not surprisingly, the LS-3DCHIm and LS-4DCHIm show identical reactivity toward strong proton or electron sources along (i.e., they are not reduced by reductants as strong as decamethyl- ferrocene) (Fc\*;  $E^0 = +20$  mV vs SCE in MeTHF;  $E^0 =$ -110 mV vs SCE in MeCN)<sup>1054,1055</sup> alone, and addition of sufficiently strong acids such as  $[H(DMF)^+]OTf^-$  (pKa = 6.1 in CH<sub>3</sub>CN)<sup>1056,1057</sup> results in protonation (metal–O cleavage) and release of a full equivalent of  $H_2O_2$  (see Figure 134). The oxidized, uncoupled metal complexes and H<sub>2</sub>O<sub>2</sub> products were confirmed and quantified by EPR spectroscopy and a horseradish peroxidase enzyme assay (HRP test), respectively.<sup>919</sup>

Proton-coupled reductive cleavage of a metal-bound peroxide moiety has been shown in at least one other chemical system to be favored over  $H_2O_2$  evolution when weak, rather than strong acids, are employed.<sup>1058</sup> Indeed, the cross-linked Tyr244 (bovine) at the binuclear active site of CcO (which is widely accepted to provide both a proton and the fourth required electron during enzymatic turnover, see section 4) is relatively more acidic (pKa estimated to be approximately 8.5) than Tyr without an imidazolyl cross-link (pKa  $\approx$  10) as the ortho-His cross-link substitution displays weak  $\sigma$ -electron withdrawing character.<sup>850,853</sup> Interestingly, while phenols having low O–H BDEs [typically H-atom (H<sup>+</sup> and e<sup>-</sup>) sources] show no reaction with the model complexes, LS-3DCHIm and LS-4DCHIm, a weakly acidic phenol does exhibit distinct reactivity toward each of them. No spectroscopic changes occur when even excess acidic phenol [4-NO<sub>2</sub>-phenol; pK<sub>a</sub>(CH<sub>3</sub>CN) = 20.7]<sup>1059</sup> in the presence, or not,

of Fc\* as a reductant, are added to a solution of LS-3DCHIm. However, this pair of phenol and reductant have been shown to react with LS-4DCHIm via a biomimetic mechanism (Figure 134, top).<sup>919</sup> First, addition of 4-NO<sub>2</sub>- phenol in 10-fold excess results in spectroscopic changes consistent with formation of an adduct in which the phenolic O-H hydrogen bonds to the copper-bound O atom of the peroxo moiety, effectively "activating" the O-O bond. The optical spectrum of the new adduct species displays features at 415 nm (Soret), 540 nm (Q-band), and the low-energy features diagnostic of the LS-4DCHIm peroxo complex (~ 845 nm) change and somewhat decrease in intensity (Figure 134, middle left). Coupled to these observations, the vibrational frequencies observed by rR spectroscopy are shifted upon phenol association:  $v(\text{Fe}-\text{O}) = 598 \text{ cm}^{-1}$ ,  $v(\text{O}-\text{O}) = 827 \text{ cm}^{-1}$ , and  $v_4 =$ 1367 cm<sup>-1</sup> (shifts of +10, -42, and +3 cm<sup>-1</sup>, respectively, for the phenol adduct relative to the "parent" LS-4DCHIm complex) (Figure 134, middle). The vibrational data are consistent with an H-bonding interaction with the distal O atom of the peroxide (relative to Fe), <sup>574,1060,1061</sup> and partial oxidation of the Fe(III) center,<sup>1062</sup> which has been corroborated by extensive DFT calculations (and see Figure 134 for a DFT structure of this phenol adduct). <sup>919</sup> Furthermore, it is important to note that the entire Fe-  $(O_2^{2-})$ -Cu complex remains intact during this association (DFT, HRP test, UV-vis evidence) likely owing to the "mobile/ adjustable" quality of the monodentate DCHIm copper ligands. Reductive cleavage of this "activated" adduct, (DCHIm)Fe<sup>III</sup>-O-(O··HOAr)-Cu<sup>II</sup>(DCHIm)<sub>4</sub>, is then possible with addition of Fc\* as the reductant. At that time, the protons and the two stoichiometrically required electrons are transferred, as determined by UV-vis observation of the 4-NO<sub>2</sub>- ArO- $(\lambda_{max} = 393 \text{ nm})$  and quantification of the Fc<sup>\*+</sup>  $(\lambda_{max} = 785 \text{ nm}, \epsilon = 580 \text{ M}^{-1} \text{ cm}^{-1})^{1063}$ products and lack of H<sub>2</sub>O<sub>2</sub> evolved (HRP test) (Figure 134). Uncoupling of the metal centers occurs following reductive cleavage resulting in an EPR spectrum of overlapping Cu(II) and mostly (>95%) low-spin Fe(III) signals (Figure 134, middle right) which match an authentic spectrum of the product mixture shown in Figure 134, top.

Thorough kinetic investigation of this mechanism coupled with control experiments using an authentic Fe<sup>IV</sup>=O complex,<sup>1064</sup> it has been revealed that (i) an equilibrium phenolassociation step with  $K_{ArOH} = 604 \pm 21 \text{ M}^{-1}$  precedes (ii) the rate determining single electron transfer with  $k_{et1} = 1.6 \pm 0.1 \text{ M}^{-1} \text{ s}^{-1}$  (see Figure 134, bottom), which is followed by (iii) a rapid 1 e<sup>-</sup> reduction of the resultant Cmpd II-like ferryl species with  $k_{et2} = 5.0 \text{ M}^{-1}$  $s^{-1}$  (see Figure 134). Kinetic isotope studies with 4-NO<sub>2</sub>-ArOD confirm the involvement of the O-H/D bond in both the H-bond association and rate-determining ET steps of the reaction, with a  $K_{H/D} = 1.61 \pm 0.06$  and  $k_{et1(H/D)} = 1.84 \pm 0.09$ , in the range for phenol O–H bond activation by metal-oxygen species.<sup>1065</sup> Through comparison to a structurally similar complex, LS-3DCHIm (DFT structures), the stepwise PCET reactivity observed for LS-4DCHIm with weakly acidic phenol and reductant highlights the fact that while the substrate H<sup>+</sup>/e<sup>-</sup> properties of course play a role in directing the chemistry of these complexes, perhaps more notably, the ability for the heme-peroxo-Cu complex to accommodate/accept an H-bond donor to prime the peroxo for reductive cleavage is a critical factor (in the case of LS-3DCHIm, the compressed metal-metal distance is proposed to prevent such H-bond adduct formation).<sup>919</sup> Importantly, these results illustrate the value of cryogenic, synthetic, active-site modeling chemistry, in that mechanistic insights could be

gained from kinetic studies and relevant intermediates along an O–O reductive cleavage pathway could be characterized.

**5.2.7.** Reaction Coordinate for a Phenol Induced O– O Reductive Cleavage in a Heme-Peroxo Synthetic Construct (from DFT).—None of the high spin hemeperoxo-copper adducts shown in Figure 135 react with strong phenolic hydrogen atom donors, such as 2,4-(<sup>1</sup>Bu)<sub>2</sub>-phenol or 2,4,6- (<sup>1</sup>Bu)<sub>3</sub>-phenol, in contrast to reported work from Collman's group.<sup>525,1025</sup> This difference is possibly due to the low spin ferric heme in Collman's work and the high spin ferric heme in these complexes. This is consistent with some observed chemistry of nonheme Fe<sup>III</sup>–OOR complexes, wherein the low-spin, as opposed to high-spin configuration is amenable to reductive O–O cleavage chemistry, as a result of the presence of a stronger Fe–O bond and a weaker O–O bond.<sup>1066,1067</sup>

Computational methods have proved to be valuable for understanding the CcO enzymatic mechanism (*vide supra*, section 4); however, they can also be directed toward understanding the fundamental chemistry of model complexes (and evaluating how they relate to the enzyme's activity). Recently, the Solomon and Karlin research groups combined extensive density functional theory calculations and experimental/kinetic analysis of the reaction of a heme-peroxo copper system with an electron-rich phenol: it was found that excess 4-OMe-phenol reacts with the low-spin, LS-AN complex (Figure 135, left) at low temperatures via an H-atom transfer reaction (UV–vis, radical trap/ESI-MS evidence).<sup>747</sup> The importance of H-bonding and/or protonation events in inducing PCET O–O reductive cleavage in HCOs has been documented in studies of the enzyme as well as model systems (see just above),<sup>919</sup> therefore, determining the nuances of acid strength and timing of PT and ET are critical for furthering our understanding of the HCO mechanism.

In the case of  $\{LS-AN + 4-OMe-phenol\}$ , two different mechanistic pathways were considered: (i) a proton-initiated pathway, PI, where the phenolic proton transfers early in the reaction coordinate and mostly before the reaction barrier, and (ii) an H-bond-initiated pathway, HB, where the proton transfers largely after the barrier (pathways and transition state structures, TSPI and TSHB (Figure 135). Potential energy surfaces were generated for each reaction coordinate as a function of intraperoxo O-O distance and Cu-bound O atom to phenolic proton distance (Figure 135, bottom). The overall energetic barrier for these reactions is very similar; however, since the reactions differ by the amount of proton transfer in the transition state (i.e., PI involves predominantly proton transfer; HB involves minimal proton transfer), and therefore how much the phenolic O-H bond is participating in the ratedetermining step, they can be distinguished by experimental kinetic isotope effect measurements. Regardless of the functional used, DFT calculations predict a large, primary KIE for the PI mechanism [10.2 (B3LYP); 7.7 (BP86)], whereas a small secondary KIE is expected for the HB mechanism [1.2 (B3LYP); 1.6 (BP86)]. Using protonated and deuterated 4-OMe-phenol, a KIE of 1.7 was found experimentally, aligning closely with the predicted value for the HB mechanism, therefore suggesting the mechanism of action proceeds via an H-bonded intermediate/transition state which precedes PCET. "The overall electronic structure of TS<sub>HB</sub> is best described as an Fe<sup>IV</sup>=O/Cu<sup>II</sup>-O•/PhOH".<sup>747</sup>

To understand the relevance of such a system with respect to HCOs, the same methods were applied to a theoretical model of the CcO active site, where it was determined that the active site Tyr residue likely provides a proton followed by donation of an electron from the phenolate to cleave the O–O bond, and this PCET process is aided by an H-bonding network of water molecules in the active site which function to lower the barrier in a similar manner to the H-bonding phenol in the {LS-AN + 4-OMe-phenol} study. Finally, the authors compare the viability of several different DFT functionals in analyzing such a reaction by comparing the calculated results to the experimental results and find that B3LYP offers the closest estimation of the reaction's energetic barrier ( $G^{\ddagger}_{HB} = 16.2 \text{ kcal/mol}$ ) versus the barrier calculated following variable temperature kinetic experiments under substrate-saturated (Michaelis–Menten-type) conditions ( $G^{\ddagger}_{expt} = 14.9 \text{ kcal/mol}$ ).<sup>747</sup> These results together embody a thorough investigation of phenol-induced O–O cleavage in a biomimetic system in parallel to the biological inspiration itself and highlight the potential capabilities of cooperative experimental model studies and theoretical analysis of complex chemical reactions.

#### 5.3. Heme-µ-oxo/µ-Hydroxo-Copper Model Systems

Prior to the classification of the heme-peroxo-copper complexes discussed above, the Karlin group synthesized and characterized a  $\mu$ -oxo heme Fe<sup>III</sup>– (O<sup>2–</sup>)– Cu<sup>II</sup>(L) compound obtained from the reaction of an equimolar mixture of the (F<sub>8</sub>)Fe<sup>II</sup> and [(TMPA)Cu<sup>I</sup>]<sup>+</sup> complexes with dioxygen at room temperature (Figure 136).<sup>502,1068</sup> The formation and kinetic stability of this Fe<sup>III</sup>– (O<sup>2–</sup>)– Cu<sup>II</sup> complex is intriguing; such species might be expected to convert to the known highly stable  $\mu$ -oxo iron(III) binuclear (P)Fe<sup>III</sup>–(O<sup>2–</sup>)– Fe<sup>III</sup>(P) complexes, those typically formed as a result of oxygenation of ferrous hemes at RT (see Scheme 9 and related text).<sup>536,1069</sup> The heterobinuclear  $\mu$ -oxo compound, [(F<sub>8</sub>)Fe<sup>III</sup>O-Cu<sup>II</sup>(TMPA)]<sup>+</sup>, can also be formed via acid–base chemistry, mixing (porphyrinate)-Fe<sup>III</sup>-OH and Cu<sup>II</sup>(L) species in the presence of a base (Figure 136).<sup>502,1068</sup>

Thus, over the years a large variety of related  $\mu$ -oxo and protonated  $\mu$ -hydroxo [(porphryinate)Fe<sup>III</sup>-O(H)-Cu<sup>II</sup>(ligand)]<sup>2+</sup> complexes have been generated. In some sense, such reactions are biomimetic in that we are fully reducing dioxygen by four electrons with cleavage of the O–O bond, utilizing a heme–Cu assembly, as in HCOs. However, in the many cases where we have carefully determined products and yields, for tetradentate or tridentate (*vide infra*) chelates for the copper ion, we find that the reaction occurs with the following stoichiometry (eq 7):

$$2(P)Fe^{II} + 2[(ligand)Cu^{I}]^{+} + O_{2(g)}$$
(7)  
$$\rightarrow 2[(P)Fe^{III} - O - Cu^{II}(ligand)]^{+}$$

Since, at low temperatures, heme-peroxo-Cu complexes form and are then stable, the overall RT reaction takes place by a disproportionation, by an as yet unknown mechanism, releasing  $O_{2(g)}$  (eq 8).<sup>513,927</sup>

$$2[(P)Fe^{III} - (O_2^{2}) - Cu^{II}(ligand)]^+$$

$$\rightarrow 2[(P)Fe^{III} - O - Cu^{II}(ligand)]^+ + O_{2(g)}$$
(8)

The  $\mu$ -oxo and  $\mu$ -hydroxo complexes have mostly been characterized spectroscopically (for example, they possess well red-shifted strong Soret absorption bands,  $\lambda_{max} \sim 435-455$  nm)<sup>943</sup> and by X-ray crystallography or X-ray absorption spectroscopic (XAS) methodologies. Holm and co-workers<sup>1070,1071</sup> also used acid–base approaches to synthesize and characterize some  $\mu$ -oxo and  $\mu$ -hydroxo complexes utilizing octaethylporphyrinate (OEP). All of these are depicted in Figure 137, where M–O<sub>oxo</sub> and Fe<sup>...</sup>Cu distances, along with  $\angle$ Fe<sup>III</sup>O-Cu<sup>II</sup> values, are provided.

Notably, with tripodal tetradentate chelates bound to the copper(II) ion, the  $\angle Fe^{III}$ –O–Cu<sup>II</sup> is near-linear. Changing to tridentate ligands such as  $L^{Me2N}$  or MePY2 (Figure 137) results in significant bending,  $\angle Fe^{III}$ –O–Cu<sup>II</sup> is 142–150°.<sup>513,1073</sup> With protonation, which is kinetically very slow,<sup>1023</sup> bending and Fe<sup>III</sup>–OH and Cu<sup>II</sup>–OH bond lengthening occurs; for the  $\mu$ -hydroxo complexes that could be studied, [(F<sub>8</sub>)Fe<sup>III</sup>-OH-Cu<sup>II</sup>(TMPA)]<sup>+</sup>, bending occurs where  $\angle Fe^{III}$ –O–Cu<sup>II</sup> ~ 178° changes to ~157° upon protonation of [(F<sub>8</sub>)Fe<sup>III</sup>-O-Cu<sup>II</sup>(TMPA)] + (Figure 137). Bending upon protonation can be explained by rehybridization at the bridging O atom, changing from sp in the near-linear  $\mu$ -oxo complexes to sp<sup>2</sup> in the  $\mu$ -hydroxo compounds; for other systems undergoing  $\mu$ -oxo protonation, Norton and coworkers<sup>1074,1075</sup> have also ascribed this kinetically slow process to this rehybridization.

An interesting structural observation comes when comparing  $[(F_8)Fe^{III}O-Cu^{II}(TMPA)]^+$  and  $[(TMPP)Fe^{III}-O-Cu^{II}(TMPA)]^+$ , the latter possessing p-methoxy substituents on the porphyrinate aryl groups. They both possess similar  $\angle Fe^{III}-O-Cu^{II}$  core angles, yet the copper environments differ. Notably, in  $[(TMPP)Fe^{III}O-Cu^{II}(TMPA)]^+$ , the copper geometry is nearly perfectly trigonal bipyramidal; however, in  $[(F_8)Fe^{III}O-Cu^{II}(TMPA)]^+$ , the copper (II) ion geometry is distorted square pyramidal. This difference can be attributed to the steric influence of the heme fluorine atoms in the  $F_8$  containing complex, which favors the orientation of the three pyridyl donors of TMPA to lie over three of the four pyrrole groups and between the fluorinated aryl meso substituents of the heme.<sup>943</sup>

As mentioned, the  $\mu$ -oxo ligand on all of the complexes we have made are highly basic and thus protonate to give corresponding  $\mu$ -hydroxide species, many of them reversibly (Figure 136).<sup>496,523,943,1023</sup> We posit that the basicity of intermediates containing reduced O<sub>2</sub>derived ligands (e.g., oxo and hydroxo) coordinated to metal ions may be critical to the proton transfer/movement in HCOs, either during formation of water from dioxygen or in a role related to HCO protontranslocation. In fact, the idea of Fe<sub>a.5</sub>-X–Cu<sub>B</sub> (X = O<sub>2</sub><sup>2-</sup>, O<sup>2-</sup>, or <sup>-</sup>OH) resting state or even possibly turnover intermediates in HCOs is not new.<sup>1023,1076–1079</sup> At the time of our study of the  $\mu$ -oxo and  $\mu$ -hydroxo complexes, [(F<sub>8</sub>)Fe<sup>III</sup>-O(H)-Cu<sup>II</sup>(TMPA)]<sup>+</sup>,<sup>2+</sup>, we also spent some discussion on such aspects, <sup>1023</sup> as a spectroscopic investigation of the quinol-oxidizing cytochrome aa<sub>3</sub> from B. subtilis suggested that a  $\mu$ hydroxo Fe<sub>a.5</sub>-(<sup>-</sup>OH)-Cu<sub>B</sub> resting binuclear center was detectable.<sup>1076</sup>

Much more recently, computational/theoretical investigations have further led to discussions and results which have invoked the possibility or presence of such  $\mu$ -hydroxo or even  $\mu$ -oxo Fe<sub>a3</sub>-O(H)-Cu<sub>B</sub> species occurring as intermediates in HCO enzyme turnover, possibly in the "reductive phase" relating to the formation of intermediate O<sub>H</sub> and/or transformation to E<sub>H</sub> (see Scheme 23, above). Two examples are shown in Figure 138.<sup>901,903</sup> Note the strong similarity in structure to those  $\mu$ -hydroxo complexes which have been fully characterized as synthetically derived heme-Cu constructs (Figure 137). A protein oxidase X-ray structure with  $\mu$ -hydroxo (or  $\mu$ -aqua) Fe<sub>a3</sub>-(OH(H))-Cu<sub>B</sub> center has even been obtained;<sup>989</sup> however, this will be further discussed in section 6, along with descriptions of how coordination chemistry aspects of heme-O(H)-Cu(ligand) complexes could relate to HCO turnover.

As per the discussion above and related to bridging oxo or hydroxo heme-Cu complexes (see above, Figure 137), we also had the opportunity to investigate proton-transfer chemistry. Thus, the pK<sub>a</sub> of the bridging hydroxide ligand in some of the Fe<sup>III</sup>-OH-Cu<sup>II</sup> synthetic systems has been determined through acid-base titration experiments.<sup>496,523,1023</sup> In general, these values range between 14 and 18.5 in acetonitrile (between 6.5 and 11 in H<sub>2</sub>O, using the estimate<sup>1080,1081</sup> that aqueous pK<sub>a</sub> values are  $7.5 \pm 1$  pK<sub>a</sub> units lower than those found in acetonitrile). This data shows that synthetic (heme)Fe<sup>III</sup>O-Cu<sup>II</sup> species can be highly basic, especially when compared to other bridging oxides containing Mn, Fe, and Ru, which have aqueous pK<sub>a</sub> values < 7, many far less than 7.<sup>496,1080,1082,1083</sup>

Interestingly, the observed  $\angle$ Fe-O-Cu correlates with the basicity of the bridging oxo ligand. This striking fact was demonstrated in the complexes  $[(F_8)Fe^{III}O-Cu^{II}(TMPA)]^+$ ,  $[(^{6}L)Fe^{III}O-Cu^{II}]^+$ , and  $[(^{5}L)Fe^{III}O-Cu^{II}]^+$  (Figure 139).<sup>523</sup> Due to the ligand constraints imposed by the linker in the <sup>5</sup>L construct, this  $\mu$ -oxo complex is bent, with a Fe-O-Cu angle of ~141°. It is possible that this bent geometry allows for the bridging oxo ligand to contain partial sp<sup>2</sup> orbital hybridization, facilitating faster and easier protonation to the  $\mu$ -hydroxo complex upon addition of an acid. In fact, the basicity of the  $\mu$ -oxo ligand increases from  $[(F_8)Fe^{III}O-Cu^{II}(TMPA)]^+$  to  $[(^{5}L)Fe^{III}O-Cu^{II}]^+$  (Figure 139).<sup>523</sup>  $[(^{5}L)Fe^{III}O-Cu^{II}]^+$  is so basic, or so strained, that even addition of a weak acid (N-methylmorpholinium triflate) results in cleavage of the bridge giving  $[(^{5}L)Fe^{III}OH$  (triflate)-Cu<sup>II</sup>]^+.

The denticity of the copper chelate employed also has a clear effect on the structure and basicity of heme-copper  $\mu$ -oxo complexes. The  $\mu$ -oxo complexes using tridentate copper chelates have a bent Fe-O-Cu core, similar to that observed for  $[({}^{5}L)Fe^{III}O-Cu^{II}]^+$  (Figure 137).<sup>496</sup> However, the linearity of the Fe-O-Cu core is not the only factor in determining the basicity. For instance,  $[(F_8)Fe^{III}-O-Cu^{II}(AN)]$  is more basic than both  $[({}^{6}L)Fe^{III}-O-Cu^{II}]^+$  and  $[(F_8)Fe^{III}O-Cu^{II}(MePy2)]^+$ , although its Fe-O–Cu angle is larger (Figure 137). These  $\mu$ -oxo complexes containing tridentate copper chelates show how such a species might be important in the catalytic cycle of CcOs (as further discussed in section 6.3).

### 5.4. Re-Engineered Protein Mimics: Insights into HCO Structure–Function Relationships

The bulk of enzyme structure, to a synthetic inorganic chemist, is essentially a complex "bio-ligand" for metal cofactors, which are considered to be the site(s) where most of the metalloenzyme chemistry of interest occurs. Accordingly, biosynthetic models, wherein protein constructs are engineered into existing proteins, are undeniably attractive targets for

extensive investigations. Wild-type transmembrane proteins are especially difficult to isolate/ crystallize and study; however, through miniaturization, modification, or redesign of existing protein scaffolds (or indeed, de novo design), it is possible to engineer non-natural metal binding sites and/or probe specific function(s) of residues, which may be redox-active or involved in substrate and/or product mobility.<sup>24,25,1084</sup> Yi Lu and co-workers in particular have championed this method in a highly successful manner, producing a large amount of literature with investigations using various modified myoglobin (Mb) models; these efforts have resulted in elegant engineered protein constructs where numerous insights into HCO activity and mechanism have been obtained. Myoglobin in particular is useful due to its relative ease of crystallization, modification via site directed mutagenesis, and replacement/ exchange of hemes or other cofactors.<sup>1085–1088</sup> One of Lu's recent review articles very nicely compares and contrasts the significant insights obtained and limitations of direct studies of HCOs versus the generation and examination of synthetic models or versus biosynthetic models.<sup>1085</sup>

#### 5.4.1. Engineering the Cu<sub>B</sub> Site and Incorporation/Effects of Copper.—

Myoglobin was chosen, in part because it already contains a high-spin heme b cofactor with an axial His ligand and a high O<sub>2</sub>-binding affinity but none of the other metal cofactors found in HCOs (making it relatively more accessible for spectroscopic studies). The most widely utilized Mb template in these investigations is an engineered sperm whale Mb enzyme, which the Lu research group has termed "Cu<sub>B</sub>Mb" to indicate that a Cu<sub>B</sub> binding site like that of CcO has been built into the existing distal heme pocket. This is achieved by two X-to-His mutations, Leu29His and Phe43His, which, in combination with the naturally existing, nearby His64 make up a binding site which can aptly bind a single Cu ion at a distance of ~5 Å, from the heme iron center.<sup>1089,1090</sup> The crystal structure of this model overlays closely with that of WT bovine CcO (Figure 140A), suggesting that the aforementioned mutations only effect little structural change in the model (which may or may not physically contain a metal ion in the Cu<sub>B</sub> site and or other amino acid mutations depending on the intent of the specific study). Systematic studies on this type of biosynthetic model can, and have, shed light on how nature has tuned the properties of the CcO active site (i.e., redox properties of metal cofactors and active site residues and H-bonding interactions) to effect efficient O<sub>2</sub>-reduction chemistry.<sup>1084</sup>

In the case of C<sub>c</sub>O, determining how Fe and Cu function together/cooperate is complicated; both heme-only and Cu-only enzymes (or coordination complexes) (see sections 2 and 3) are known to be capable of reducing O<sub>2</sub> to water. Although the O<sub>2</sub>-bound (A/oxy) intermediate in the C<sub>c</sub>O cycle is often compared to the oxy-Mb species [rR spectroscopy for both give v(Fe-O) ~ 570 cm<sup>-1</sup>],<sup>483,1094</sup> myoglobin is simply a dioxygen-transport protein (section 2.1.1), whereas C<sub>c</sub>O binds and reduces O<sub>2</sub>. Thus, one of the many important questions, which can be probed by Cu<sub>B</sub>Mb-type modeling, is what is the role of copper in effecting O<sub>2</sub> reduction? Interestingly, UV–vis spectroscopy reveals that when the copper-free, deoxy-Cu<sub>B</sub>Mb model (434 nm) is exposed to air, incomplete formation of the six-coordinate oxy-form (418 nm) occurs, in contrast to WT-Mb where exposure to the same conditions yields exclusively oxy-WT-Mb. This result suggests that the active site mutations in Cu<sub>B</sub>Mb decrease the heme O<sub>2</sub>-binding affinity. Insertion of a Ag<sup>I</sup> ion as a redox inactive mimic for

 $Cu^{I}$  in the  $Cu_{B}$  site resulted in complete oxy- $(Ag^{I})Cu_{B}Mb$  formation, an indication of regained/enhanced dioxygen binding affinity.<sup>1090</sup> Additional evidence for cooperative heme-Fe and Cu interactions in CcO is that it is known to bind CN<sup>-</sup> in a bridging fashion, <sup>854,870,871,1095</sup> resulting in spin-coupling of the metal centers. Copper(II)-bound Cu<sub>B</sub>Mb also binds CN<sup>-</sup> with high affinity (K<sub>b</sub> = 143.9 mM<sup>-1</sup>; 20-fold greater than the Cu-free derivative), concomitant with a heme spin state change to low-spin (UV-vis Soret band shift from 407 to 425 nm) and evidence of antiferromagnetic coupling of the Fe<sup>III</sup> and Cu<sup>II</sup> centers via quantitative EPR spectroscopic titration.<sup>1089</sup>

It is also of interest to uncover why exactly nature has chosen copper as the heme-iron partner in HCOs, when, for example, an analogous heme/nonheme iron motif is involved in biological NO reduction [by NORs, which likely share a common ancestor with CcO (see section 6.5.5)],<sup>1096</sup> and its synthetic models<sup>1097</sup> have been shown to effect  $O_2$ -cleavage. Myoglobin scaffolds modified to contain a distal metal binding site with His29-His43-His64-Glu68 ligation (similar to the nonheme site in NORs, and therefore named Fe<sub>B</sub>Mb) were shown to uptake a single copper, iron, or zinc ion at that site with preserved structural arrangement, lending themselves as apt models for such a study (see Figure 140, B, C, and D). The oxygen reduction rates (k) and selectivity (S = % of O<sub>2</sub> reduced to water rather than ROS such as hydrogen peroxide) for the Cu<sup>I</sup> (k =  $2.72 \pm 0.1 \mu Ms^{1-}$ ; S = 94%), Fe<sup>II</sup> (k = 1.15 $\pm 0.07 \ \mu Ms^{1-}$ ; S = 96%), and Zn<sup>II</sup> (k = 0.22  $\pm 0.02 \ \mu Ms^{1-}$ ; S = 57%) variants highlight the importance of a redox-active metal in the nonheme or  $Cu_B$  site for controlling the rate and selectivity of the O<sub>2</sub>-reduction chemistry, with copper performing the best.<sup>1093</sup> The enhanced reactivity observed is postulated to be due to the higher E<sup>o</sup> of copper as compared to iron, resulting in the heme-copper derivative having the highest driving force for fast electron transfer in a reaction where ET is rate-limiting.<sup>1093</sup> The presence of a copper ion was also shown to facilitate faster O2-binding in a chemical model system in homogeneous solution where catalytic O2-reduction to water was studied using a heme versus hemecopper construct and ferrocenyl complexes as one-electron outer-sphere reductants.<sup>1053</sup> Together, these results indicate that the Cu<sub>B</sub> site plays a critical role in enhancing the binuclear center's affinity for O2 and donates an electron to activate the (possibly metalbridging) oxy species.

Recently, the insertion of other first row transition metals into the NOR model  $Fe_BMb$ template uncovered further important details pertaining to the role of the nonheme metal in the O<sub>2</sub>-reduction reaction.<sup>1098</sup> Both cobalt and manganese are known to be active in metalloenzyme redox chemistry and importantly can bind in the nonheme site of  $Fe_BMb$ (UV-vis and crystallographic evidence), thereby making  $Mn^{II}$ -Fe<sub>B</sub>Mb and Co<sup>II</sup>-Fe<sub>B</sub>Mb good candidates for a systematic study with the previously described  $Fe^{II}$ -Fe<sub>B</sub>Mb (see just above). The binding affinity of these metals in the engineered nonheme site follows the Irving-Williams series trend ( $Mn^{II} < Fe^{II} < Co^{II}$ ) and interestingly also correlates well with total turnover numbers and selectivity (Figure 141). However, XANES spectroscopy confirmed that unlike the  $Fe^{II}$ -Fe<sub>B</sub>Mb and Cu<sup>I</sup>-Fe<sub>B</sub>Mb models, in which the nonheme metals donate an electron to the heme-oxy intermediate, the  $Mn^{II}$  and Co<sup>II</sup> variants are not oxidized following subjection to single turnover conditions, similar to the nonredox-active Zn<sup>II</sup> variant.<sup>1093</sup> It was also ruled out using spectroelectrochemical methods that the identity of the nonheme metal may be regulating the O<sub>2</sub> chemistry by impacting the heme-iron reduction potential,

given the small shifts in E°'heme regardless of the nonheme metal and the lack of correlation to the O<sub>2</sub>-reduction activity of the models.<sup>1093,1098,1099</sup> To further understand the reason for the differences in selectivity across nonheme metal ion identities, the authors looked beyond the impact of tight metal binding which is undoubtedly important for stable, continuous turnover. The two factors which stand out with respect to the most selective model, Co<sup>II</sup>-Fe<sub>B</sub>Mb, are that (i) this variant forms the heme-oxy intermediate the slowest, and (ii) the CoII ion is the smallest and most Lewis acidic of the three ions employed in this study. <sup>1100,1101</sup> The fact that the initial oxy intermediate is formed slowest in this case indicates the lack of electron transfer from the Co to generate PROS, the release of which can be detrimental to the enzyme. This study shows, however, that rather than using ET to activate the bound O<sub>2</sub> moiety, the Lewis acidic nature of the Co<sup>II</sup> can impart a similar effect, with smaller risk of ROS release. Indeed, resonance Raman and X-ray crystallographic data, with support from DFT calculations, show a strengthen- ing/shortening of the Fe-O bond and weakening/lengthening of the O-O bond as well as an enhanced interaction with the distal O-atom as compared with the Fe or Mn variants.<sup>1098</sup> Since many of the previous studies within the modified Mb paradigm, as well as other models and enzyme studies, have focused on the redox/electronic influences of the nonheme metal ion on HCO or NOR activity, the observations garnered from this study with Mn and Co have demonstrated that indeed other factors are important in determining  $O_2$  activation and selective four-electron reduction (i.e., tight metal binding in the nonheme pocket, Lewis acidic nature of the metal ion).

However, the question remains as to exactly how the Cu ion native to HCOs is involved in active turnover situations with dioxygen and how it may contribute to high selectivity for 4ereduction to produce water. When CuSO4 is added to the oxy-CuBMb in the presence of a reductant (ascorbate) and redox mediator TMPD (TMPD = N,N,N',N'-tetramethyl-p-phenylenediamine) (plus catalase which inhibits the documented O2-reduction by Mb under these reducing conditions), the observed decay of the oxy-Cu<sub>B</sub>Mb Soret band at 418 nm follows biphasic kinetics ( $k_1 = 0.028 \text{ s}^{-1}$ ;  $k_2 = 0.0083 \text{ s}^{-1}$ ). The products, characterized by UV-vis spectroscopy (623 nm), and ESI-MS (619 m/z), could be assigned to predominantly CObound verdoheme, which is a product of oxidative heme degradation similar to those observed in heme oxygenase (HO) biochemistry (see Figure 142 and section 2.1.2.2).<sup>832,1090</sup> Addition of the nonredox-active place-holders, AgI or ZnII, did not cause any spectral changes, which suggests that copper indeed plays a role in O<sub>2</sub>-reduction, here acting as a one-electron reductant to take the Fe-superoxide to a hemedegrading peroxide. Molecular HCO models have supported the importance of Cu in O2 binding and reduction selectivity by heme/copper systems as well.<sup>1031</sup> However, when exploiting the "peroxide shunt reaction", via addition of H<sub>2</sub>O<sub>2</sub> to met-Cu<sub>B</sub>Mb (408, 508, 624 nm), the ferryl-heme was observed (419, 546, and 581 nm), regardless of whether the  $Cu_B$ -site was occupied with Cu<sup>II</sup>, Ag<sup>I</sup>, Zn<sup>II</sup>, or metal-free, as each of these situations have similar rates of ferryl heme formation (see Figure 142).<sup>1090</sup> This result, where important redox steps are effectively skipped, highlights the fact that, while Cu<sub>B</sub> plays a role in the redox chemistry, perhaps the more challenging and pertinent questions pertain to how and when protons are shuttled to the active site to direct the mechanism away from ROS release (vide infra). This chemistry also interestingly demonstrates how the local active site environment of a single model system can be modified to direct the mechanism/activity [i.e., O2-reversible binding (Mb

chemistry), heme oxidation/degradation (HO chemistry), or O<sub>2</sub>-reductive cleavage (HCO or P450 chemistry)].

5.4.2. Heme Environment Perturbations and Their Effects.—Another relevant distinction between CcO and the Cu<sub>B</sub>Mb derivatives is that they contain different heme cofactors [heme a in bovine CcO (and other hemes, b or o, in other organisms) and heme b in the original Cu<sub>B</sub>Mb], which have different reduction potentials (see Figure 143, top). <sup>1089,1102</sup> An additional redox consideration stems from the fact that second coordination sphere residues have also been shown to influence metal ion reduction potentials or activity toward dioxygen.<sup>1103–1106</sup> Interestingly, a single mutation of Ser29 in F33Y-Cu<sub>B</sub>Mb (which H-bonds to the iron axial His ligand and heme propionate) to an alanine residue results in an ~40 mV increase of heme  $E^{o}$  from +95 to +123 mV versus SHE with little change to the active site structure.<sup>1107</sup> Note that the (S29A)F33Y-Cu<sub>B</sub>Mb model used in these studies contains a mutated active site Tyr and was crystallized for these studies without a Cu ion. Notably, heme a in bovine CcO has a 14-carbon farnesyl chain and an electron-withdrawing formyl group on a pyrrole ring, resulting in a large, positive heme  $E^{0} = +365 \text{ mV}$  versus SHE. Attempts to directly exchange heme b for heme a in the biosynthetic model, S29A-F33Y-Cu<sub>B</sub>Mb, resulted in misfolding, so a series of smaller heme a analogues were used, including diacetyl, monoformyl, and diformyl derivatives (see Figure 143, top). Spectroelectrochemical measurements revealed that all three cases showed significantly more positive heme E<sup>o</sup> relative to the Mb-native heme b, achieving the most positive reduction potential with the diformyl heme having  $E^{0} = +320 \text{ mV}$  vs SHE. The O<sub>2</sub>-reducing capabilities of these mutants were evaluated in the presence of ascorbate as a reductant and TMPD as a redox mediator and reported in terms of selectivity toward 4e<sup>-</sup> reduction (as opposed to 1 or 2e<sup>-</sup> reduction and release of ROS).<sup>1108</sup> With increasing heme E<sup>o</sup> of the variants, O2-reduction activity and selectivity both improved, with the diformyl version showing a more than 5-fold increase over the initial F33Y-Cu<sub>B</sub>Mb (Figure 140, bottom) and remaining active for over 1000 turnovers.<sup>1107</sup> Electron flux to the active site in CcO has been proposed to be a rate-limiting and product-directing factor during enzymatic turnover, <sup>679,876,1109</sup> and the results from these experiments with engineered Mb-derived mimics of CcO suggest that the heme  $E^{o}$  is so positive in CcO to render it a better electron acceptor and thus able to drive O2-reduction. Interestingly, analogous transformations in WT-Mb also showed increased rates of O<sub>2</sub>-reduction; however, they also resulted in higher ratios of ROS formation, implicating the roles of H-bonding, CuB, and proximal Tyr in selectivity (vide infra).

Recently, heme reduction potential has further been probed in these modified Mb systems to determine exactly why and how such tuning of the heme cofactor affects oxidase activity. Several mutants were evaluated, and it was concluded that modification of heme reduction potential serves to control four key properties: (1) electron transfer rates, (2) O<sub>2</sub>-binding, and (3) dissociation rates, which all increase with increasing heme  $E^{\circ}$ , and (4) O<sub>2</sub>-affinities, which decrease with increasing heme  $E^{\circ}$  (dioxygen binding and dissociation rates were determined by flow-flash methods, wherein reduced heme-CO species, in the presence of solution O<sub>2</sub>, were flashed, so as to observe fast O<sub>2</sub>-binding kinetics).<sup>1102</sup> These conclusions are additionally supported by observations from kinetic studies on HCOs from different

species (i.e., containing different hemes), implicating evolutionary/adaptive advancements, for example, organisms that use specific hemes to adjust for low  $O_2$ -concentrations in their environments.<sup>1102,1110,1111</sup>

It has been observed that HCOs with heme a or o have slightly different structural and/or functional properties from those containing heme b (i.e., O<sub>2</sub> binding affinity);<sup>840,1112,1113</sup> however, the most striking difference between a/o-type hemes and b-type hemes is the presence of a pyrrole hydroxyethyl-farnesyl group (see Figure 89C), alluding to the importance of this functional group in O2-reduction chemistry. The long hydrophobic farnesyl chain is believed to play a purely structural role, anchoring the heme in the proper place at the active site. Therefore, an investigation into the importance of the hydroxyl moiety was carried out by replacing the native heme b of Cu<sub>B</sub>Mb with mimics of heme o which contained a small monohydroxyethyl group on the pyrrole in place of the hydroxyfarnesyl.<sup>1114</sup> While there was no observed correlation between the different heme reduction potentials ( $E_{heme,b} = 80 \text{ mV vs NHE}$ ;  $E_{heme,ominic} = 62 \text{ mV vs NHE}$ ) and their reactivities with dioxygen, another important conclusion was reached based on the combination of kinetic studies and computational modeling.<sup>1114</sup> In the presence of reductant, and with Cu<sup>I</sup> in the engineered Cu<sub>B</sub> site, the Cu<sub>B</sub>Mb variant containing the o-type heme was reported to have significantly reduced (by ~20-fold) heme oxygenase activity (i.e., formation of verdoheme). In contrast, it was established that the heme b model reacts with H<sub>2</sub>O<sub>2</sub> to instead give the ferryl oxo (and HCO biomimetic intermediate) instead of heme degradation, suggesting the presence of extra protons and/or proton delivery to the active site is crucial for directing the reaction outcome. Additionally, a computational model of the Cu<sub>B</sub>Mb active site containing the heme o mimic indicated bond distances consistent with an extended H-bonding network involving the heme peripheral hydroxyl group.<sup>1114</sup> In native HCOs, the importance of such a hydrogen-bonding network (between the heme hydroxyfarnesyl group, cross-linked Tyr residue, and one or more water molecules) has been well-documented (see sections 4 and 5.4.4) for its role in gating of the proton pump and timely proton delivery to the bound O<sub>2</sub> moiety during catalytic turnover. This heme replacement study with modified Mb proteins isolated this cause-effect relationship and cemented the participation of heme periphery H- bonding as an equally important factor as heme reduction potential in directing the O<sub>2</sub>-reduction reactivity of heme- copper systems (for further discussion, see section 5.4.4).

A thorough understanding of the bonding relationship between a heme-iron center and an  $O_2$  moiety is central to both heme-only and heme-copper systems due to the fact that the varying natures of this Fe-O bond give rise to the array of functions carried out by such proteins (i.e.,  $O_2$  transport vs reduction). As discussed at length in this review, especially throughout this section, spectroscopic characterization of (heme)Fe-O<sub>2</sub> intermediates in enzymes such as Hb, Mb, and HCOs are quite comprehensive, yet debate remains as to what distinguishes their electronic configurations and causes the different reaction outcomes. A very recent study involving the modified Mb models investigated the role of the heme iron center (especially the Fe redox potential) in directing heme/nonheme diiron systems toward either HCO or NOR activity. It is known that many HCO enzymes are capable of nitric oxide (NO) reduction and many NOR enzymes can reduce  $O_2$  (*vide supra*), but the fact that they are structurally very similar further complicates the task of deciphering the origin of

their different functions. In the modified Mb models, by changing the identity of the incorporated heme or the H-bonding to the proximal His ligand (which effectively lowers the heme redox potential),<sup>309</sup> they were able to tune the heme iron  $E^{\circ}$  and, in doing so, observed a significant enhancenment in NOR activity at lower heme potentials.<sup>1115</sup> The key to NO reduction in NORs is balancing the energetic favorability of (i) NO binding, (ii) Fe-NO decay, and (iii) electron transfer to the (heme)Fe-NO intermediate, analogous to the necessity for HCOs to control O<sub>2</sub> binding, ROS release, and PCET to the bound oxy ligand. All of these processes are affected by heme redox potentials. In this study, the results of various vibrational, spectroscopic, electrochemical, and computational methods performed on the different heme-containing model systems showed that these rate determining factors for NO reduction can be controlled by tuning heme  $E^{\circ'}$  to achieve ~35 turnovers (based on quantification of  $N_2O$  production using GC/MS), which is more than any previous synthetic (small molecule) or engineered protein system.<sup>1115</sup> This result was obtained with the  $Fe_BMb(MF-heme)$  protein which contains a monoformylated (Figure 143) heme with  $E^{\circ}$  =  $+53 \pm 7$  mV versus SHE. The significance of these results is further relevant for understanding the even wider variation in functions observed with other heme proteins as well (O2-transporting globins, vs O2-activating HCOs and P450s, vs NORs), which all have evolutionarily tuned heme environments and redox potentials.

5.4.3. Influence of His-Tyr Cross-Link Mimics.—Since the first reported CcO crystal structure,<sup>982</sup> the active site tyrosine residue has been implicated as being integral to the mechanism of the O<sub>2</sub>-reduction reaction, as the His-Tyr covalent cross-link is unique to, and conserved in, HCOs and is essential for function (see section 4). Site-directed mutagenesis in enzyme redesign experiments from the Lu group have represented a valuable method for studying how and through what mechanism the Tyr affects this chemistry. Of the four electrons required to fully reduce dioxygen to water, two come from the iron (Fe<sup>II</sup> $\rightarrow$ Fe<sup>IV</sup>), one comes from the copper (Cu<sup>I</sup>  $\rightarrow$  Cu<sup>II</sup>), and it is now widely accepted that the fourth derives from the tyrosine, a known redox-active amino acid, which importantly has also been considered as a proton source (via PCET, possibly utilizing an H-bonding network of active-site water molecules; see section 4, and vide infra).<sup>747,878</sup> Investigations of its role using biosynthetic models have matured systematically, beginning with addition of Tyr in spatially logical positions of Cu<sub>B</sub>Mb. Interestingly, a Tyr placed 4 residues away from the cross-linked His as in bovine  $C_{cO}$  (F33Y-Cu<sub>B</sub>Mb) pointed toward the Cu<sub>B</sub> binding site, but the overall structure did not overlay well with the CcO active site; however, a Gly65Tyr mutation resulted in a better structural mimic, based on its computational model.<sup>1091</sup> In the presence of excess reductant, quantification of the O2-reduction rates of these two modified Mbs was carried out following the consumption of dioxygen with time, using an O<sub>2</sub> electrode and monitoring the products using <sup>17</sup>O NMR spectroscopy (H<sub>2</sub><sup>17</sup>O) or ROS scavengers  $(O_2^{\bullet}, O_2^{2-})$ , to determine the effect of active-site Tyr presence and positioning on functionality (with and without different metal ions present in the Cu<sub>B</sub> site). Independent of Cu<sub>B</sub>-site occupation, the G65Y-Cu<sub>B</sub>Mb model (with the larger degree of HCO structural overlap) showed the fastest overall rate (28 min<sup>-1</sup>), most turnovers (TON = 1056), and greatest selectivity for production of water versus ROS, as compared with WT-swMb, Cu<sub>B</sub>Mb, or F33Y-Cu<sub>B</sub>Mb.<sup>1091</sup> These results demonstrated that the active site Tyr has the

potential to influence the  $O_2$ -reduction chemistry at the BNC in a positive manner, and further, highlighted the importance of its specific orientation.

In addition to defining the position/orientation of the Tyr residue, further studies were conducted to determine the significance of the covalent (Tyr)C-N(His) cross-link. Genetic incorporation of an unnatural amino acid, imiTyr (tyrosine with o-imidazolyl substitution), at position 33 (plus the Leu29His mutation used previously), afforded a new model, imiTyrCu<sub>B</sub>Mb, which exhibited improved structural similarity with CcO, and comparable Tyr placement to the previously characterized F33Y-Cu<sub>B</sub>Mb (based on modeling), and offers the opportunity to compare {His and Tyr} versus {His-Tyr} (Figure 144, top). Dioxygenreactivity studies showed that the presence of the physical covalent cross-link (as opposed to the presence but separate His, Tyr residues) significantly enhanced the selectivity of  $O_2$ reduction to water (rather than ROS) (see Figure 144, bottom), and that the imiTyrCu<sub>B</sub>Mb model was catalytic to a TON > 1000 with repeated additions of  $O_2$ .<sup>1108</sup> This study paves the way for investigation into the evolutionary incorporation of this unique post-translational modification in HCOs and begs the question: how does the C-N bond modulate the chemical properties of the two residues ( $pK_a/E^o$  values, and relatedly, H-bonding interactions, Tyr radical formation/stability, and changes to the Cu<sub>B</sub> properties) to effect such enhancements in O<sub>2</sub> reactivity?

As the efficient delivery of  $H^+/e^-$  to the BNC oxy form in CcO is crucial to preventing ROS release, and the cross-linked Tyr244 is proposed to be a source of a proton and an electron, the effect of the cross-link on the fundamental properties of the Tyr-OH moiety have garnered significant interest. Independent analytical and computational studies on isolated phenolimidazole compounds have shown that an ortho-imidazolyl-phenol has a lower pKa (expt = -1.4, calc = -1.8), slightly more positive E<sup>o</sup> (expt = +69, calc = +3 mV vs NHE), and a weaker O-H BDE (  $_{expt} = -0.3$ ,  $_{calc} = -2.4$  kcal/mol), with the values shown here representing the variation from the properties of the parent, unsubstituted phenol.<sup>853</sup> These effects are supported by other phenol-imidazole model studies, 851,852,1116,1117 and computational active site studies, which additionally estimated that such a cross-link stabilizes Cu<sub>B</sub><sup>II</sup> over Cu<sub>B</sub><sup>I</sup> by ~100 mV.<sup>1118</sup> Genetic incorporation of unnatural tyrosine analogs (i.e., Tyr with various phenolic substituents) into the 33rd position of the established Cu<sub>B</sub>Mb<sup>1089</sup> scaffold allows systematic investigation into the effects of pK<sub>a</sub> and E<sup>o</sup> of the phenol-OH moiety (within the protein environment) on O<sub>2</sub>-reduction chemistry, including the apparent increase in selectivity (vide supra). As the independent model studies have shown, the most drastic effect of an o-imidazole is the observed decrease in pKa, so three Tyr analogs with electron-withdrawing substituents (2,3,6-trifluoro, 2,6-difluoro, and 2chloro) and unsubstituted-Tyr (Figure 145, left) were the suitable candidates employed at the 33rd position (in addition to the two His mutations which help make up the  $Cu_B$  site) to establish a relationship between Tyr acidity and the O2-reduction activity/selectivity. These mimics encompassed a pKa range of 6.4 to 10.0 and a Ep range of 850 to 672 mV (for F3Tyr to Tyr), where  $E_p$  is the anodic peak potential at pH = 13. Interestingly, both the oxidase activity and selectivity for H2O production by the four variants showed an inverse relationship with pKa and (Figure 145, right) supporting the role of the Tyr as a proton donor and product regulator during turnover.<sup>1119</sup> At physiological pH, the E<sub>p</sub> values reported are uninformative, since reduction potential and pKa are interrelated, and at pH 7, where Tyr

oxidation would be coupled to proton transfer, no trend was apparent. Therefore, to interrogate the Tyr's potential as an electron donor,  $Cu_BMb$  was modified to include a 3-methoxy tyrosine (OMeY) in the 33rd position, which has a similar pK<sub>a</sub> but a lower reduction potential (by 179 mV at pH 7) as compared to Tyr. The rate of O<sub>2</sub>-reduction and selectivity of F33OMeY- Cu<sub>B</sub>Mb was far superior to F33Y-Cu<sub>B</sub>Mb (15.0  $\mu$ M min<sup>-1</sup>/82% H<sub>2</sub>O vs. 6.5  $\mu$ M min<sup>-1</sup>/51%) and could perform more than twice as many turnovers (TON > 1100 vs < 500).<sup>1120</sup> The results of the halogenated and methoxy Tyr mimics taken together strongly support the importance of the Tyr in O<sub>2</sub> reduction by C<sub>c</sub>O, as a good proton and electron donor (with logical extension to activity under physiological conditions) and, in doing so, illustrate nature's ingenuity in evolving the His-Tyr cross-link to accomplish efficient and selective cellular respiration.

Direct observation of a tyrosyl radical (by EPR spectroscopy), which is postulated to exist in the  $P_M$  state of enzyme turnover, is complicated by the presence of numerous redox-active amino acid residues in the large transmembrane protein (i.e., tyrosine, tryptophan, and cysteine) as well as the other paramagnetic metal sites (i.e., Cu<sub>A</sub>, low-spin heme a). In fact, EPR signals ascribed to neighboring Tyr (other than the His-Tyr active site Tyr)<sup>887</sup> or Trp<sup>889–891</sup> residues can be generated and observed in native CcOs. However, the Cu<sub>B</sub>Mb biosynthetic models are easier to handle and modify, to specifically interrogate/probe the chemistry at the active site Tyr during reactions with O<sub>2</sub>. The tyrosine-containing F33Y-Cu<sub>B</sub>Mb scaffold, expressed without a Cu ion, was employed in reactions toward O<sub>2</sub> (oxidase activity) and H<sub>2</sub>O<sub>2</sub> ("peroxide-shunt" reaction) (see Figure 146A), and the reactions were monitored by X- and Q-band EPR spectroscopy. Following addition of O<sub>2</sub>-saturated buffer (and freeze quenching after <100 ms) or 1 equiv H<sub>2</sub>O<sub>2</sub>, a protein radical peak was observed  $(g \approx 2)$  concomitant with a significant disappearance of the high-spin ferric resting state signal.<sup>1121</sup> The hyperfine splitting of the  $g \approx 2$  signal resembled that reported in HCO,<sup>860</sup> matched simulation of a Tyr• (Figure 146B), and was not present in reactions of  $O_2/H_2O_2$ species with WT-swMb. Mutagenic control experiments ruled out this radical character lying on any other redox-active residues in the Mb protein backbone, once again supporting the redox role of the active-site Tyr residue in O<sub>2</sub>-reduction by HCOs.<sup>1121</sup>

5.4.4. Proton Delivery to the BNC and Importance of H-Bonding Networks.—

Both the proton and electron flow into the binuclear active site are important considerations which effect O<sub>2</sub>-reduction. A wealth of experimental and computational data (reviewed herein) support the involvement of the Tyr in providing both a proton and an electron in order to effect O–O cleavage and therefore contributing to the pH balance and redox flux within the enzyme. Simulations of simultaneous  $H^+/e^-$  transfer from the Tyr indicate that this mechanism involves a reaction barrier over 10 kcal/mol higher than that calculated based on transition state theory and the experimentally observed lifetime of intermediate A/Oxy (Scheme 23).<sup>855,881</sup> Importantly, introduction of an additional proton in the active site led to an agreement between experiment and theory on this point, and accordingly, an H-bonding network was implicated in achieving the proton transfer.<sup>747</sup> In support of these theoretical and mechanistic studies, Lu's biosynthetic Mb-models were able to contribute to this discussion with spectroscopic and crystallographic support. The model enzyme containing a Tyr near to the heme and engineered (empty) Cu<sub>B</sub> binding site, F33Y-Cu<sub>B</sub>Mb,

was previously shown to be capable of reducing  $O_2$ ,<sup>1091</sup> (*vide supra*) using a chemical reductant (ascorbate). Tandem use of cryoreduction and subsequent stepwise annealing with EPR spectroscopy allowed for the observation and characterization of EPR-active intermediates trapped along the O<sub>2</sub>-reduction pathway, including a ferric peroxo (g = 2.24, 2.13, and 1.95), ferric hydroperoxo (g = 2.34, 2.19, and 1.94), inferred EPR-silent ferryl-oxo, and finally ferric-hydroxo (g = 2.52, 2.15, and 1.90) species (see Figure 147, right). X-ray crystallographic data was obtained for the oxy-F33Y-Cu<sub>B</sub>Mb at 1.27 Å resolution showing an H-bond network of two water molecules linking the engineered Tyr33 and His29 to the terminal O atom of the oxy-ligand (Figure 147, left).<sup>1122</sup> These interactions are believed to facilitate the necessary proton delivery to the oxy-heme to initiate oxidase activity, as they are not observed in the WT-swMb crystal structure which is incapable of O<sub>2</sub>-reduction.

**5.4.5. Electron Flux and Electrochemical Results.**—Timely and controlled electron flow to the BNC is important to achieve efficient and complete  $O_2$  reduction to water and avoid the release of detrimental partially reduced reactive oxygen species, and this phenomenon has been successfully studied with small molecule model systems appended onto electrodes.<sup>1044</sup> Incredibly, entire hemoproteins<sup>131,1123</sup> from the Lu group (the modified myoglobin biosynthetic models) can also be studied in this manner, following apoprotein reconstitution around a heme group tethered to a self-assembled monolayer (SAM) on a gold electrode (Figure 148).<sup>1124</sup> Fast and efficient electrocatalytic O<sub>2</sub>-reduction by the appended G65Y-Cu<sub>B</sub>Mb biosynthetic model was followed by the recently established surface-enhanced resonance raman spectroscopy-rotating disk electrode (SERRS-RDE) method by the research group of A. Dey.<sup>1052</sup> In this reaction, the final catalytic step (slow ferric-hydroxide reduction/dissociation), is hurdled in an electron transfer "shunt" mechanism with the overall effect being faster, more efficient reduction,<sup>1125</sup> all supporting the notion of the importance of electron flow to the active site in maintaining the correct chemistry.

#### 5.5. Conclusions from the Chemistry of HCO Model Systems

Overwhelming evidence from small-molecule, and biosynthetic model studies (with computational support) emphasize nature's purposeful construction of certain details and seemingly minor interactions within a complex enzyme, CcO, which have critical importance for accomplishing one of the most important reactions in biology. Certain insights into the four-electron reduction of dioxygen, including the difficult O-O bond cleavage step, are attainable only via systematic and rigorous investigation of structurefunction relationships in model systems, be they small molecular weight synthetic systems or engineered protein constructs. From defining the roles of metal centers and other redox cofactors to identifying steric and H-bonding interactions unique and necessary to the chemistry of the BNC, scientific modeling allows for a considerably enhanced chemical and spectroscopic understanding of catalytic mechanism. In addition to building upon fundamental biochemical knowledge of cellular respiration, the oxygen reduction reaction (ORR) and its related reverse, water splitting or the oxygen evolution reaction (OER), are extremely important in energy research as the anodic fuel half-cell reaction and the basis for (artificial) photosynthesis, respectively. It is no surprise then that we look to nature for inspiration when designing man-made systems.<sup>6,1126,1127</sup> To meet an exponentially

increasing demand for devices to be faster, smaller, more efficient, etc., the molecular basis for catalysis in systems such as  $O_2$ -reduction must be acutely understood, so the recent advances in biomimetic modeling reviewed here, represent a broadening potential to reach or surpass nature's efficiency.

### 6. COORDINATION CHEMISTRY PERSPECTIVES ON HCO HEME-CU ACTIVE-SITE STRUCTURES AND O<sub>2</sub>-REDUCTION

#### 6.1. General Perspectives

To fundamentally understand the factors which govern the O-O bond reductive cleavage chemistry carried out by heme-copper oxidases during cellular respiration, it is certainly beneficial to consider the chemistry of dioxygen in related biological systems. For this reason, we have reviewed here important recent advances in synthetic inorganic chemistry pertaining to heme-O2 and Cu-O2 complex properties and reactivities, in addition to those of heterobinuclear heme-Cu systems. The key findings of these studies will aid in a pursuit of understanding why Nature precisely constructed the unique heme-copper active site in HCOs to achieve this seemingly simple yet undoubtedly complicated and important biological reaction of dioxygen reduction to water. As discussed, the manner by which heme and copper centers cooperate to facilitate the O-O cleavage event is surely complex; however, the incorporation of insights gained from copper-O<sub>2</sub> and heme-O<sub>2</sub> systems into heme-copper models may hold the key in determining the fundamental properties which promote O<sub>2</sub> reductive cleavage and thus inspire the design of artificial systems to achieve this chemistry for practical applications.<sup>1128</sup> Again, we are compelled to remind the reader that the goal of model chemistry is not to recreate an exact active site under physiological conditions, but rather, it is to mimic one or more aspects in a system which can be manipulated in order to ascertain certain structure-function relationships which may govern enzymatic reactivity when applied in those systems.

Heme enzymes are known to bind and reduce dioxygen, forming a variety of interesting reactive intermediates. In both enzyme and model systems, certain factors have been found to determine (i.e., hinder or enhance) the strength of binding and mode of O-O cleavage (i.e., homolytic vs heterolytic), and these details are of great interest, not only since they shed light on mechanistic details of biological systems but also due to the crucial roles of practical catalytic dioxygen reduction in alternative energy applications such as fuel cells. Not surprisingly, the electronic structure at the iron center plays a large role in the stability of certain intermediates as well as reaction outcomes, and it can be modulated (as seen in model systems, section 2) by substitution(s) on the porphyrin itself or by changing the axial sixth ligand donor type or strength.<sup>1129</sup> Additionally, second coordination sphere effects have proved to be important for stabilizing certain iron-oxy derivatives, such as Fe-OO(H) species (see section 2), which is related to the putative  $I_P$  intermediate in the proposed HCO catalytic cycle and discussed further in this section as well as in section 4.2.3. Importantly, computational and spectroscopic methods employed in systematic studies of model systems have identified trends (i.e., in rR spectroscopic data, vide infra) which can aid in identification of enzymatic intermediates and characterization of the active site environment during catalytic turnover. Understanding all of these electronic and structural/secondary

factors can help build upon our understanding of HCOs, which (i) may contain a variety of hemes based on the organism/subfamily, (ii) have an axial histidine residue rather than Cys as in cyt P-450 monooxygenase and many heme peroxidases, and (iii) are believed to employ a hydrogenbonding network to facilitate delivery of  $H^+/e^-$  to the O<sub>2</sub>-derived moiety.

The exact role of the critical  $Cu_B$  site in the unique heterobinuclear active site of HCOs has long been debated, and therefore, it is of utmost importance to understand how the copper center in heme-Cu model systems influences O<sub>2</sub>-reactivity and the accompanying electronand proton-transfer processes required for the O-O bond breaking step. Evidence from structural studies and various spectroscopic inquiries lend support to a scenario in which  $O_2$ binds to the fully reduced state (R) Cu<sub>B</sub> before it transfers to heme a<sub>3</sub>. The Cu<sub>B</sub> ion is what an O<sub>2</sub> molecule "sees" first, and its affinity, in terms of both kinetics and thermodynamics, is tuned depending precisely on its ligation; a trigonal planar His<sub>3</sub> coordination makes the metal ion less accessible, thus less reactive, as opposed to a pyramidal His<sub>3</sub> ligation which would have open access to a fourth ligand (i.e., O<sub>2</sub>) and be very reactive. As described, synthetic model studies have shown that  $O_2$ -binding to Cu in a (tetradentate-ligand)Cu<sup>I</sup> complex can occur in a near diffusion controlled manner, consistent with the known extremely rapid binding of dioxygen to CcO at the Cu<sub>B</sub> and/or Fe<sub>a3</sub> metal ions at the BNC. 940,975,976,978,1053 Also recall discussions in section 5.2.3.2, which summarize critically important recent investigations implicating Cu<sub>B</sub> as a "sensor", closely linking geometric changes and enhanced molecular oxygen binding to Cu<sub>B</sub> (in order to initiate the O-O reductive cleavage process) only when sufficient water/protons have moved nearby.879,981 As was reviewed further in section 5, there are two functional catalytic O<sub>2</sub>-reduction (to water) heme-Cu model systems, one homogeneous<sup>1053</sup> and the other electrochemical,<sup>1044</sup> that implicate the copper ion as being critical in  $O_2$ -binding. Following transfer of  $O_2$  from Cu<sub>B</sub> to the reduced heme, the remaining copper(I) may transfer its electron(s) and/or facilitate stabilization of O–O cleaved products, such as oxide, ¬OH, or H<sub>2</sub>O. So, is copper simply the chosen <sup>-</sup>OH or OH<sub>2</sub> acceptor, or rather is its purpose related to the protonpumping function of HCOs?<sup>843,846</sup> Taking perspective from Cu-only systems (biological and inorganic models),<sup>625</sup> the unique coordination environment and positive reduction potential has recently suggested that CuB's function is primarily as a regulatory control center for efficient electron flow and eventual rereduction of the active site in order to repeat/turn over the catalytic cycle.

These and other possibilities have been the focus of studies on heme- and copper-containing model systems described herein (section 5), as well as the motivation for future investigations of this type (see section 6.4). While, as described here, mononuclear or bridged O<sub>2</sub>-derived fragments bind to copper in a variety of geometries and types, in fact, the requirements for Cu-ligation (donor atom types or numbers, coordination geometry, or reduction potential) or the nature/properties of ideal proton and/or electron-donating substrates have not been elucidated in detail with respect to O–O cleavage preferences. However, even thus far, ligand design in copper-containing model systems has aided in discovering and optimizing structure-function relationships, and some of these findings (e.g., as described in sections 5.2.6 and 5.2.7) may be directly applied to synthetic heme-Cu systems as well as understanding of various biological metalloenzyme systems.

Undoubtedly, the active site Tyr residue presents an important aspect to be incorporated into model systems, as the proposed H-bonding and eventual PCET to the O atom proximal to the Cu ion leads to successful homolytic O–O reductive cleavage and formation of the  $P_M$  intermediate,  $Fe^{IV}=O/Cu^{II}-OH/TyrO^{\bullet}$ . The His-Tyr cross-link itself is expected to alter both the electronic structure of the Cu ion as well as the pKa and O–H BDE of the TyrOH residue, so these aspects of HCO modeling and reactivity studies are important when it comes to analyzing the reactivity in the context of the H<sup>+</sup> and/or e<sup>-</sup> donating substrates. Finally, in the context of HCO chemistry, it will be useful to understand the origin and/or purpose of the very positive  $Cu_B$  reduction potential (*vide supra*, section 4).

As it has been reviewed above, both heme-only and copper-only systems are capable of reducing O<sub>2</sub> to water, so why has Nature partnered them in heme-copper oxidases, how do these two metal ions cooperate, and why the Fe/Cu combination, since it is known that the related NORs (heme/nonheme di-iron) can also perform O<sub>2</sub>-reduction, although not with great efficiency.<sup>834,1096</sup> Figure 149 shows a generalized view of conceivable heme-O2-Cu derived intermediates (i.e., superoxo or peroxo), based on precedence from dicopper and/or dicobalt coordination chemistry and partly from known heme chemistry. Low-spin  $\mu$ -1,2peroxo heme-Cu synthetic constructs, which contain a sixth axial heme ligand, are now well-known (see section 5). A transient heme(Fe<sup>IV</sup>)-(bis-µ-oxo)-Cu<sup>III</sup> species (Figure 149, bottom left), intuitively seems unlikely to form, in part because it would require an unreasonably short Fe<sup> $\cdot\cdot\cdot$ </sup>Cu distance, the likes of which has never been observed in CcO Xray or computational BNC structures, and therefore, it has never been considered as a possible initial O-O cleavage product during the catalytic cycle in HCOs. Compounds with  $\mu$ - $\eta^2$ : $\eta^2$  side-on and  $\mu$ - $\eta^2$ : $\eta^1$  side-on/end-on peroxo bridged structures are also known, but only for high-spin systems where no strong proximal axial ligand (e.g., an imidazolyl group) is present (see section 5). As concerns HCO BNC-mediated O2 reductive-cleavage, we speculate that many new scenarios become part of the discussion if the proximal histidine imidazole ligand can or does dissociate during turnover.

## 6.2. What is the Real Identity of the Proclaimed Peroxo-Bridged Heme-Cu "As-Isolated" Cytochrome *c* Oxidase Protein Structures?

Detailed biochemical and biophysical experiments, in concert with advanced computational methods have contributed to detailed structural and electronic descriptions of the various intermediates during the oxidative (Figure 150) and reductive phases in the CcO catalytic cycle. Dioxygen binding to the reduced BNC, R, leads to generation of A, which most likely goes through a hydroperoxide (I<sub>P</sub>) on the way to cleaving the O–O bond.<sup>873,909,918,920,1130</sup> However, for the sake of discussions here and later in this section, we have added another plausible intermediate, Peroxy, which precedes I<sub>P</sub>,<sup>747,855,909,917,919</sup> and by adding this, we imply that reduction by Cu<sub>B</sub><sup>I</sup> and protonation (the H<sup>+</sup> ion derived from the cross-linked Tyrosine) may occur stepwise. The development of more sophisticated computational methods, coupled with higher quality crystal structures, has enabled meticulous analysis of intermediates both on and off the enzymatic pathway. Reanalysis of the structural assignments of these intermediates have led to both clarification and more questions as to the chemical nature and identities of some of these species and their physiological relevance.

As described in detail, synthetic systems with a peroxide moiety bridging a heme-copper system have been spectroscopically characterized and are useful tools for gaining insight into the coordination chemistry (i.e., structures) and O–O cleavage reactions facilitated by such systems (see section 5). There are likewise many "resting state" (also called "as-isolated" or "oxidized" form) CcO protein structures described in detail and represented as peroxide-bridged heme-copper BNC species. In this section, a coordination chemistry perspective is taken, via discussions of specific structural parameters (O–O, M–O, and M···· M distances), of these supposed heme-peroxo-copper X-ray structures. As discussed below, it is concluded that in most, if not all, cases, these published CcO structures do not contain a dianionic peroxide (O<sub>2</sub><sup>2–</sup>) moiety bridging the iron and copper metal ions.

### 6.2.1. Known Small Molecule Copper, Cobalt, Heme, and Heme-Copper

**Peroxo Structures.**—As has already been discussed in previous sections of this review, there have been multiple crystallographically characterized metal-"oxy" complexes for both enzymatic and model systems. For a direct comparison of structural features of these numerous species, selected distances and angles are presented in Table 5. It is often appropriate to compare the metal-bound reduced dioxygen species to that of its ionic or protonated form. The O–O bond length is a good indicator as to the redox state (i.e., dioxygen, superoxide, or peroxide) of the metal-bound O2- fragment. As is evident from Table 5, the O–O bond lengths in iron(III)- and copper(II)-bound superoxide complexes (1.23–1.29 Å, entries 1–5) are in line with that known for potassium superoxide (1.28 Å). The O–O bond lengths in heme superoxide complexes are somewhat shorter than that of potassium superoxide due to the fact that the iron in these complexes is proposed to be highly covalent (and therefore the  $O_2$ -fragment is not fully reduced to superoxide) as discussed in section 2.2.2. X-ray structure determination of hydroperoxo (-OOH) moieties bound to metal ions have also been reported for heme and (di)copper systems. The O-O bond lengths in these complexes (1.46-1.52 Å, entries 6 and 9-11) are similar to that of free hydrogen peroxide (1.47 Å). Structures of a small molecule acylperoxo dicopper(II) compound, and hydrogen peroxide (as H<sub>2</sub>O<sub>2</sub>) bound to a zinc(II) ion complex have also been reported and have similar O–O bond lengths (Table 5, entries 12 and 13). Multiple bimetallic bridging peroxide species have been reported in synthetically derived systems containing copper (see section 3) and cobalt (Figure 151; Table 5, entries 14–18, 23–26, and 29–34), and these complexes also possess O–O bond lengths close to that observed for hydrogen peroxide. A survey of dicobalt complexes has been included in our discussion/ analyses because many dicobalt(III) peroxo and superoxo complexes are known and structurally characterized, and cobalt(III) stands as a good surrogate for when iron(III) would be present in heme a<sub>3</sub> structures, having the same ionic charge and very similar size.

While the O–O bond length confirms the presence of a bridging peroxide ligand, it is also interesting to examine the metal–oxygen and metal–metal distances. As is expected,  $Co^m$ – $O_{peroxo}$  bond lengths (1.88 Å) are, on average, slightly shorter than those for copper(II)– $O_{peroxo}$  (1.92 Å) due to the additional positive charge on the cobalt(III) ions. The range of metal-metal distances is similar for both dicobalt(III)- and dicopper(II)-peroxides but is dependent on the orientation of the two metal ions with regard to the peroxide ligand (cis or

trans). Bridging peroxides with the cis conformation tend to have smaller metal–metal distances (3.03-3.80 Å) than those with the trans conformation (3.98-4.69 Å) (Table 5).

Two X-ray structures have been reported for peroxide bridging between four (instead of two) copper(II) ions (Figure 152). These complexes possess both *cis*- and *trans*-peroxide moieties (Table 5, entries 19–22, 27, and 28), which have comparable structural parameters as their dicopper counter-parts. However,  $[Cu^{II}_4(L^-)_2(O_2^{2^-})(OH)_2]^2$ + has the longest copper–copper distances of all reported copper bridging peroxides (4.85 Å, entry 22, Table 5, longer by more than 0.1 Å for both *cis* and *trans* conformers), presumably due to the geometry enforced by ligand constraints (Figure 152 B).

As described in section 5, there is a plethora of spectroscopic data for both high- and lowspin heme-peroxo-copper complexes but only one single-crystal X-ray structure, that from Naruta's high-spin complex lacking a proximal (axial) base ligand (Figure 95C). However, there are detailed and reliable computational lowest-energy structures available for many of the model compounds, including all of the low-spin examples (Table 5, entries 35 –41), <sup>497,747,919</sup> and for one (entry 35),<sup>932</sup> XAS investigations have complemented and were found to be consistent with the DFT calculations. These calculated heterobimetallic peroxides have O–O, M–O, and M…M distances which are all similar to the homobimetallic trans-peroxides mentioned above, with Fe–O bond lengths shorter than the Cu–O bonds (Figure 153), as expected, since higher-oxidation state iron(III) versus copper(II) ions, respectively, are involved. Notably, for iron and copper, the M–O<sub>peroxo</sub> bond lengths are less than 2.0 Å and often considerably less. Remarkably, the longest metal–metal distance in these structures is 4.48 Å (Figure 153C, entry 37 in Table 5), which is shorter than the longest reported metal– metal distances for dicobalt(III) (4.62 Å) and dicopper(II) trans-peroxides (4.69 Å with one other unusually long example, *vide supra*).

#### 6.2.2. Structures of CcO Reported to be Peroxo-Dianion Bridged Heme-

**Copper Species.**—X-ray structural determinations for the resting state of CcO described as containing a bridging heme-peroxo-copper species have been reported by multiple research groups (Figure 154, entries 42-50 in Table 6).<sup>842,911,985,988,1141,1142</sup> These complexes are not believed to be relevant to catalytic turnover, but their structures could still provide fundamental insight into the binding of O2-derived species which are possible in the BNC of CcO. The exact nature of the O<sub>2</sub>-reduced moiety and its formation in these "as isolated" structures has been disputed.<sup>20,535,1142</sup> Furthermore, the comparisons of structural, along with rR spectroscopic data of one "as isolated" CcO containing bridging heme-copper peroxides presented below are not consistent with numerous protein and model complex examples of heme, copper, heme-copper, and cobalt (hydro)-peroxides (Figures 155, 156, and Table 6). If these protein X- ray structures do contain a peroxide ligand between the two metal centers, how exactly could a peroxide fragment be generated within the BNC? This matter has merited some discussion: for example, the oxidized metal ions present are capable of being reduced by the X-ray beam,<sup>1143</sup> giving the reduced state (Fe<sup>II</sup> and Cu<sup>I</sup>) which might react with dioxygen to form a bridging peroxide complex. Similarly, intense Xray irradiation may otherwise result in the formation of peroxide from direct reduction of O<sub>2</sub> or (as has been suggested) result from the coupling of hydroxyl radicals which may have derived from the irradiation of water.<sup>20,988,989</sup>

In order to add insight from a fundamental, structural inorganic point of view, average values of bond distances and angles for metal-"oxy" species are presented in Table 6 along with the corresponding data for reported CcO "heme-peroxo-copper" centers. For model system bridging peroxide complexes, average O–O bond distances range from 1.40 to 1.43 Å, slightly shorter than that found in hydrogen peroxide (1.47 Å). However, the O–O bond distances in the published CcO peroxide structures represented in Figure 154 and Table 6 (with the exception of entries 49 and 50; Table 6) have an average value of 1.61 Å and are all greater than 1.5 Å; therefore, these O-O distances are inconsistent with having a peroxide character. The short O–O bond ascribed to the structure for entry 49 (1.11 Å) is even less than that of dioxygen (1.21 Å) and therefore cannot be a peroxide. The metal-oxygen bonds in the CcO X-ray structures (again, except for entry 50 in Table 6) are also elongated compared to model complexes; all are greater than 2.1 Å and have an average value of 2.28 and 2.23 Å, for Fe–O and Cu–O, respectively. These are considerably longer than the average metal-oxygen distances found for synthetic peroxide-bridged systems, including the [(porphyrinate)Fe<sup>III</sup>-(O<sub>2</sub><sup>2-</sup>)- Cu<sup>II</sup>(ligand)]<sup>+</sup> and (one) protein BNC structures characterized by DFT calculations (see Figure 153 and Table 6). These inconsistencies in metal ligand bond lengths lead us to suggest that the oxygen atoms in these "as isolated" protein structures, entries 42–49, may not even be coordinated to either metal center. It should be realized that in "true" iron(III), cobalt(III), and copper(II) peroxo-bridged synthetic complexes, where low molecular weight compounds allow for highly accurate structural characterization, the Fe-O<sub>peroxo</sub>, Co-O<sub>peroxo</sub>, O-O(peroxo), and Cu-O<sub>peroxo</sub> bond lengths lie in a narrow range. Additionally, these are strong (and short) bonds because of the +3 or +2 metal ion oxidation state and the "hard" anionic character of the Operoxo atoms. Fe<sup>III</sup>-Operoxo bonds are under 1.85 Å (down to even 1.80 Å; Table 5) and Cu<sup>II</sup>–Operoxo bonds average 1.93 Å from a total of 26 structures (Tables 5 and 6; determined by small molecule X-ray crystallography or computational studies). Further, high-resolution X-ray crystallographic and DFT computational structures reveal bond distances consistent with our summaries here (i.e., for a chloroperoxidase protein hydroperoxo structure and myoglobinperoxo protein structures, O–O bond lengths are less than 1.50 Å and Fe–O bond distances average 1.83 Å) (Table 5).

It is important to recognize that in protein X-ray crystallography, especially for very large proteins such as HCOs, determining the precise identity of small molecules (e.g.,  $O_2$  or reduced derivatives such as water, hydroxide, or other) which lie between heme  $a_3$  and  $Cu_B$  is not an exact science, and the determination of highly accurate bond- distances (metal–O and especially O–O) is very difficult. However, one can consider metal–metal distances as a more precise structural indicator, since these heavy (or heavier) atoms possess very large electron densities (compared to O atoms), and thus the exact position of the Fe and Cu atoms in the protein matrix (and therefore the Fe<sub>a3</sub>…Cu<sub>B</sub> distance) are accurately determined in the enzyme structures. All of the C*c*O peroxide X-ray crystal structures represented in Figure 154 (except entry 50 in Table 6) have Fe<sub>a3</sub>…Cu<sub>B</sub> separations of greater than 4.8 Å (4.87 Å avg). These distances are measurably longer than all of the small molecule bimetallic bridging peroxide complexes presented here which (again) lie in a very narrow range with M1…M2 = 4.42 Å; this is an average of 28 examples of trans peroxides, putting the two metal ions as far away as possible, constrained by normal bonds associated with the

electronic structure and bonding for a peroxide moiety containing a O–O single bond (Tables 5 and 6). There is one case with a large  $Cu^{II}$ - $(O_2^{2^-})$ - $Cu^{II}$  distance of 4.691 Å, where multiple hydrogen bond donors to the peroxide moiety, and ligand steric effects in the secondary coordination sphere, may contribute to the observed Cu…Cu elongation (entry 18 in Table 5). There is only one exception to our statement that peroxo-bridged dimetal complexes have significantly shorter M…M separations compared to those observed for the proposed peroxo-bridged [heme<sub>a3</sub>- $(O_2^{2^-})$ - $Cu_B^{II}$  structures; Figure 154]: this is one of the tetracopper(II)-peroxide complexes,  $[Cu^{II}_4(L^-)_2(O_2^{2^-})$ -  $(OH)_2]^{2^+}$  (Figure 152B, entries 21 and 22 in Table 5), whose long Cu… Cu distance (>4.8 Å) is likely due to ligand constraints. Taken from the viewpoint of structures as "peroxo-bridged" (Figure 154) is a misrepresentation.

The possible exception for the "as isolated" crystal structures of CcO as containing a peroxo-bridged BNC is entry 50, which may indeed comprise a heme-peroxo-copper species (Table 6). This X-ray structure reported by Michel and co-workers (PDB: 3HB3)<sup>985</sup> has structural parameters more closely matching those of the bimetallic, small molecule, synthetically derived model systems. The O-O, M-O, and M···M distances all reside in the ranges of bimetallic bridging peroxides (Figure 155) and this is the only structure that fits with all three parameters. This complex is the only one reported that contains an O-O bond that is close to that of hydrogen peroxide (1.49 vs 1.47 Å, respectively). The Fe–O and Cu– O bond distances are in line with metal-oxygen bonds found in dicobalt(III) and dicopper(II) trans-peroxides, as well as the Cu–O bonds in the calculated heme-peroxocopper complexes (Figure 155B). While the metal-metal distance is somewhat long (4.62 Å), it is shorter than the longest distance in dicopper(ll) trans-peroxide species (4.69 Å) and only slightly longer than the longest distance in dicobalt(III) trans-peroxide complexes (4.53 Å), see Figure 155 C. From an examination of the experimental procedures for generating the X-ray quality crystals of the putative peroxo complexes (42–50, Table 6), we wish to note that it is only for entry 50, published by Michel and co-workers,985 that a "redoxcycling" procedure was used, wherein dithionite was added to the CcO preparation (to fully reduce the enzyme) and this was followed by air-oxidation, all of which was repeated several times prior to a final chromatographic purification step.

To summarize the above discussion, we can say that with the detailed analysis given above, and from our inorganic/coordination chemistry perspective, we suggest that the assignments for the above X-ray structures as bridging peroxides (with the possible exception of entry 50 in Table 6) may have been misinterpretations. The structural parameters (O–O, M–O, and M…M distances), when compared to those of well-characterized coordination complexes, do not allow for the designation of a peroxide ligand bridging the heme and copper ions (see below for discussion of what these species may be). However, the crystal structure described by Michel and co-workers (entry 50 in Table 6)<sup>985</sup> fits more closely with the parameters from model systems, and its formulation as a heme–peroxo–copper species may be correct.

Noodleman and co-workers have also computationally questioned the nature of at least one example: the proposed peroxide-bridged CcO "as-isolated" protein X-ray structure determined at 1.95 Å resolution, entry 45 (Table 6).<sup>915</sup> Their results showed that an

assignment of a peroxide dianion bridging oxidized iron and copper centers is unlikely since the geometrically optimized structures of  $Fe_{a3}^{III}$ -( $O_2^{2-}$ )-Cu<sub>B</sub><sup>II</sup> exhibited large deviations in the Fe---Cu or O-O distances relative to the experimentally observed crystal structure.<sup>988</sup> Instead, the Noodleman group's best calculated fit, consistent with empirical data, was a reduced  $Fe_{a3}II-(HO-O^{-})-Cu_{B}I$  species, where the  $Fe_{a3}$  site consists of a mixture of low-spin, intermediate-spin, and high-spin states, and where the peroxidic oxygen coordinating  $Fe_{a3}$  is protonated. Entry 45 may indeed contain a (hydro)peroxide moiety, as the O-O bond length falls within the range of O–O distances for globin and copper(II) hydroperoxides and near those found in heme protein hydroperoxides (Table 5). Our opinion is that the computational fitting leads to a reduced metal ions state because for the lower oxidation state and thus lesser positive charge, M-Operoxo bonds would be considerably longer, which would increase the computationally derived Fe…Cu distance to be closer to that found for the protein X-ray structure. However, as a relevant aside, we note that dianionic or monoanionic (hydro)peroxo groups rarely, if ever, exist with metal ions in a reduced state. These authors commented that the origins of this putative bridging peroxide structure was that the (hydro)peroxo ligand was most likely formed due to X-ray radiation, perhaps through the generation and recombination of hydroxyl radicals in the active site to form H<sub>2</sub>O<sub>2</sub> and therefore is not likely to be of physiological importance.988

#### 6.2.3. Resonance Raman Spectroscopy of an "As Isolated" CcO Peroxo

**Complex.**—Resonance Raman spectroscopy has long been used to elucidate the extent of reductive O<sub>2</sub>-activation in C*c*O during the oxidative phase of the enzymatic cycle.  $^{322,894,895,1144}$  Typically when coupled with isotope labeling, v(O–O) obtained from rR spectroscopy can give an accurate description as to the degree of O<sub>2</sub> reduction in the solution phase and provide a method for interpreting metal-dioxygen intermediates. Unfortunately, when studying enzymatic systems, v(O–O) values are not always easily obtained, and instead, the corresponding v(Fe–O) values are at times used to identify an observed intermediate (Table 7).Iron–oxygen stretches are not as diagnostic of metal-dioxygen intermediates as O–O stretches, however, and may lead to potentially dubious assignments (see below).

Both model systems and isolated protein intermediates containing oxy-heme, heme– (hydro)peroxide, and heme– copper peroxide complexes have been studied using rR spectroscopy. Synthetic Fe<sup>III</sup>–O<sub>2</sub>•– complexes, representing the oxy-heme state found in enzymes, show a v(O–O) spanning a narrow range from 1147 to 1180 cm<sup>-1</sup> (Table 7, entries 7–10, 14 and 18), reflecting significant covalency in the Fe–O<sub>2</sub> bond, and reduction of dioxygen to a superoxide moiety (i.e., a species with an O–O bond-order (BO) of 1.5 (BO = 2.0 for dioxygen and 1.0 for a peroxide moiety). A notable exception is reported by Dey and co-workers<sup>1237</sup> who characterized a synthetic heme Fe<sup>III</sup>–O<sub>2</sub>•– supported by a hydrogen bond scaffold (Table 7, entry 15), and found it to exhibit a very low v(O–O) relative to the aforementioned synthetic examples. In actual oxy-heme proteins, the observed v(O–O) frequency, where available, is still assignable as a superoxo moiety but is lower relative to model compounds, spanning values from 1103 to 1139 cm<sup>-1</sup> (Table 7, entries 1– 3), suggesting that a greater degree of charge transfer from Fe<sup>II</sup> to O<sub>2</sub> is occurring, weakening the O–O bond. Further reduction of the oxy-heme complex yields a (hydro)peroxo species.

There are relatively few examples of mononuclear end-on ferric heme peroxo complexes, most likely due to the strong basicity of the terminal, anionic peroxide O atom; it may be stabilized through H-bonding (which could be the case for protein entries 22 and 23 in Table 7) or it should readily undergo protonation and formation of a hydroperoxo entity. Of the few examples where rR spectroscopic data exists for peroxy-anion species, both model and protein structures appear to give similar v(O-O) frequencies of 792 and 808 cm<sup>-1</sup>, respectively (Table 7, entries 22 and 24). Protonation of peroxides to form hydroperoxides generally results in a decrease in the v(O-O) in both model and protein systems, compare entries 22 to 27 and 24 to 30 (Table 7). These shifts in the v(O-O) are much less dramatic (i.e., as small as 1 cm<sup>-1</sup>) as compared to conversion from the oxy form (Fe<sup>III</sup>– O<sub>2</sub>•-) to (hydro)peroxide;<sup>122</sup> this is expected since, for the former case, there is no change in BO. Reported v(O-O) values for heme peroxo and hydroperoxo species show considerable overlap (Figure 156), and as such, it is almost impossible to distinguish between the two solely from the O– O stretching frequency, unless both compounds can be generated and otherwise unambiguously identified for the same system.

Resonance Raman spectroscopy has also been extensively utilized for synthetic hemecopper peroxide compounds to evaluate the structure of the  $O_2^{2-}$  core (see section 5.1). Reported v(O-O) values for these low-spin peroxides range from 758 to 876 cm<sup>-1</sup> (Figure 156 and Table 7). At least one "as-isolated" structure putatively containing peroxide in the BNC (based on X-ray crystallography) has been studied using rR spectroscopy and found to have v(O-O) of 755 cm<sup>-1</sup>; this was assigned to the peroxo O–O stretching frequency of a bridging Fe<sub>a3</sub><sup>III</sup>-(O<sub>2</sub><sup>2-</sup>)-Cu<sub>B</sub><sup>II</sup> moiety (Figures 156 and 157).<sup>1150</sup> In lieu of an <sup>18</sup>O<sub>2</sub> isotope labeling experiment, this v(O-O) parameter was tentatively assigned by adding cyanide to displace the putative peroxide ligand in this as-isolated protein, in order to generate a difference spectrum. Unfortunately, no v(Fe–O) stretch could be identified using this experimental approach, and without an  ${}^{18}O_2$  isotope labeling experiment the reported 755  $cm^{-1}$  assigned as an O– O peroxo stretch is not conclusive. It should be noted that a v(O-O)of 755  $\rm cm^{-1}$  for this putative peroxo-protein BNC species is lower (thus possessing a weaker O-O bond) than all of the reported protein and synthetic heme superoxides and end-on (hydro)peroxides, as well as synthetic low-spin heme- copper peroxides (see section 5.1.1). The 755 cm<sup>-1</sup> species could possibly be formulated as a high-spin ferric heme- copper peroxide, which is reported to exhibit v(O-O) frequencies ranging from 747 to 812 cm<sup>-1</sup> for heme-peroxo- Cu constructs possessing tridentate copper ligation (Table 3, Figure 157). To achieve such a structure would require the protein axial histidine donor to dissociate from the iron (the axial histidine is 2.13 Å away in that particular protein crystal structure: Figure 154, A; PDB: 5B1A; entry 42 in Table 6), thus converting from a low-spin to high-spin state, and for Fe<sub>a3</sub><sup>III</sup> and Cu<sub>B</sub><sup>II</sup> to accommodate  $\mu$ - $\eta^2$ : $\eta^2$ -O<sub>2</sub><sup>2-</sup>(side-on) coordination (see section 5.1.1). This has not been observed in the protein BNC and is unlikely to occur, we believe, given the steric constraints of the active site.

**6.2.4.** What Could These Protein Structures Really Be?—We do not know! All the CcO protein X-ray structures are beautiful, and they provide a great deal of information beyond the heme<sub>a3</sub>…Cu<sub>B</sub> BNC, such as insights into water channels, juxtaposition of helices or protein subunits, etc. However, as detailed above, and based primarily on geometric-

structural criteria such as  $Fe_{a3}$ ...Cu<sub>B</sub> separations, and  $Fe-O_{peroxo}$ , Cu<sub>B</sub>- $O_{peroxo}$ , and peroxo dianion O–O bond distances reported from structural refinement, we do not believe that they are  $O_2^{2-}$  bridged structures where the peroxo group ligates both to  $Fe_{a3}$  and Cu<sub>B</sub>. Mainly, for all of these protein structures (Figure 154), the  $Fe_{a3}$ ...Cu<sub>B</sub> distance is too long and proper chemical bonds to the metals could not form. We cannot determine exactly what ligand(s) are present instead of the peroxo dianion; however, in Chart 3, we illustrate some structures that perhaps may better describe these enzyme X-ray structural determinations.

If the putative peroxo-bridged  $Fe_{a3}$ ...Cu<sub>B</sub> protein X-ray structures are not peroxo dianions, what if they are just hydroperoxo groups, bound to one or the other metal ion (Chart 3, A and B). The freedom of such a ligand not being bridged to both metal ions simultaneously should allow for the observed  $Fe_{a3}$ ...Cu<sub>B</sub> separations and avoid the structure solutions which have unreasonably long M–Op<sub>eroxo</sub> bonds. As discussed (*vide supra*, sections 6.2.2–6.2.3), any peroxo formulation for the protein structures in Figure 154 is not unambiguously supported by spectroscopic measurements, but this "negative" observation cannot rule out a peroxo species. Further, we could speculate that the group(s) between heme and Cu are just interstitial (i.e., molecules that were "trapped" there upon crystal formation), so the neutral H<sub>2</sub>O<sub>2</sub> molecule containing structure (Chart 3, C) is one possibility.

Another possibility is that the intervening ligands consist of two hydroxide groups, one bound to each metal ion (Chart 3, structure D); of course, this should lead to structure refinement solutions giving short (i.e., < 2.0 Å) M–O<sub>hvdroxide</sub> bonds, which was not the case. Or perhaps, a ligand or group to be found at the BNC residing between heme  $a_3$  and  $Cu_B$  is  $H_3O_2^-$ , which is, in fact, a well-known entity in structural inorganic chemistry.<sup>1151–1156</sup> Of course,  $H_3O_2^-$  is equivalent to  $H_2O/-OH$ , but the arrangement of  $H_3O_2^-$  is distinctive in bridged dimetal complexes; we illustrate this and provide a known crystal structure picture in Chart 4. As the data summarized in this figure shows, there exists a near-linear O·· H··O moiety (where the H atom is halfway between the O atoms). This O...O separation lies between 2.4 and 2.5 Å, and it is known that exceptionally short distances 2.3-2.4 Å can occur for bent O-H-O hydrogen bonds resulting from environmental and/or solid state effects.<sup>1157,1158</sup> Distinguishing such a moiety from that of a peroxidic O–O bond at ~1.45 Å should be clear. As regards,  $M \cdots M$  separation in these  $H_3O_2^-$ , bridged dimetal complexes, they vary but are always over 5.1 Å and usually longer (Chart 4). Recall that in the protein structures claimed to contain a peroxide bridge, the Fe<sub>a3</sub>…Cu<sub>B</sub> separation is between 4.8 and 4.9 Å, with one exception (Figure 154; Table 6). Thus, perhaps an  $H_3O_2^-$  formulation for the protein structures is not valid.

Two other points concerning the possible presence of  $H_2O/^-OH$  molecules between Fe<sub>a3</sub> and Cu<sub>B</sub> are as follows: (i) Wikström and co-workers suggest such a species as the formulation for the "relaxed" (inactive for enzyme turnover), oxidized species O (see section 6.3). Noodleman and coworkers have derived a computational structure with  $H_2O/^-OH$ , which is also discussed in section 6.3. In fact, Noodleman's structure does appear to have an  $H_3O_2^-$  bridging, with an O…O separation of 2.6 Å and a Fe<sub>a3</sub>…Cu<sub>B</sub> distance of 5.53 Å (a much longer distance than in the putative peroxo bridged BNC protein X-ray structures shown in Figure 154).

We would also like to point out something about the structure of water molecules in protein X-ray structure determinations, which perhaps bears on the difficulty of unambiguously assigning or identifying similarly small groups such as peroxo ( $O_2^{2-}$ ), hydroperoxo ( $^{-}OOH$ ), hydroxo (<sup>-</sup>OH), or water. In looking over a sampling of protein X- ray crystal structure refinements, to our admittedly untrained perspective (as we are not X-ray crystallographers), we see publications which report the "finding" of water molecules with very short O-O separations, much smaller than expected in the normal structure of water. In fact, oxygen atoms from two H<sub>2</sub>O molecules with one H atom in between are almost always found in a near-linear arrangement, (H)O-H···O(H)<sub>2</sub> with O···O = 2.6–2.8 Å.<sup>1159</sup> Values down to ~2.4 Å are known; however, even "bent" or "bifurcated" H bonds do not get the O atoms much closer.<sup>1160–1162</sup> These arrangements and atom separations also apply to ions [e.g., aquated hydronium ions<sup>1163</sup> or  $H_3O_2^-$  anions (vide supra)]. Thus, we suggest that water-water O···O separations in protein structures that were found to be far less than 2.6 Å (Figure 158, highlighted in green) are likely not correct. The van der Waals radius of an oxygen atom is 1.52 Å, and so, O atoms from two nearby H<sub>2</sub>O molecules cannot approach each other to closer than ~3 Å unless an H atom lies in between. Of course, X-ray structures may show disorder of water molecules (i.e., partial occupation of more than one x, y, and z position), and this might explain those apparently short O···O distances. Authors of papers reporting the protein structures shown here in Figure 158 did not note disordered waters among those shown. In structure 3S8F, HOH605 (a) is said to be H-bonded to the peroxo O atom bound to Cu<sub>B</sub> as shown; however, 3.57 Å is not an H- bonding distance, and as we have discussed above, we do not in the first place think that any of the protein structures in Figure 154 are peroxo complexes (see discussion in section 6.2.2). HOH664 (b) is found 2.26 Å away from HOH605 (a). This is an untenable O···O separation; it is too short. We suggest that these findings for water molecule definition in protein structures highlight the difficulty in assigning O atom containing fragments in proteins. This of course includes noting that it is very difficult to unambiguously assign and locate molecules such as O<sub>2</sub>, HO<sub>2</sub>, HO<sub>2</sub>, HO<sub>2</sub><sup>-</sup>,  $O_2^{2-}$ ,  $H_2O/-OH$ , or -OH/-OH moieties expected to bind between heme  $a_3$  and  $Cu_B$  in cytochrome c oxidase BNCs. This is all to say that the factors discussed in this section most certainly have contributed to what we feel has been the misrepresentation of the protein structures in Figure 154, as peroxo-bridged species.

# 6.3. Coordination Chemistry Suggestions Concerning Other Intermediates in the CcO Catalytic Cycle

**6.3.1.** Identity of the Initial Oxy-Compound (Intermediate A).—The original term "compound A" (subsequently also referred to as intermediate A) was first coined by Chance et al. when reporting the initial intermediate observed following flash photolysis of frozen (T = -100 °C) CO coordinated C<sub>c</sub>O in the presence of dioxygen.<sup>1164,1165</sup> This initial oxygenated species, intermediate A, is short-lived ( $t_{1/2} = 12 \text{ s}, -100 \text{ °C}$ ) possessing absorption features at 425 and 591 nm.<sup>1164</sup> The electronic structure of intermediate A is best described in the continuum between a ferric superoxide and a ferrous dioxygen adduct, the same description as that found in oxy-globin proteins<sup>1164</sup> (see section 2.2 for discussion on oxy- heme protein electronic structure). This interpretation is widely accepted by the broad community of scientists who study HCOs. However, we think there is merit in re-examining

the experimental and theoretical lines of evidence used to characterize intermediate A and wish to posit that this assignment as a ferric superoxide is not as solid as it appears to be.

**6.3.1.1.** Optical Spectroscopic Evidence for Intermediate A.: Initial assignment of intermediate A was partially made based on similarities in the optical spectra relative to other well-studied oxy-heme proteins. Chance et al. noted the observed Q-band (591 nm) of intermediate A was similar to oxy-Mb (577 nm) and oxy-Hb (582 nm) (Table 8). Additional support for this assignment include (1) an EPR spectrum showing no significant oxidation of the heme or copper center, and (2) treatment of enzyme with ferricynanide, where all metal centers except heme a3 are oxidized, resulting in identical optical and EPR spectra, implying redox chemistry by  $Cu_B$  does not occur during formation of intermediate A.<sup>1164</sup> Taken together these results favor an Fe<sup>III</sup>-(O<sub>2</sub><sup>•-</sup>)···Cu<sup>I</sup> description for intermediate A. Further examination of these results open the possibility for other interpretations. The 591 nm band for intermediate A is indeed similar to oxy-heme proteins (Table 8, entries 1-4) and several synthetic heme copper models corroborate this feature (Table 8, entries 11–12). However, the absence of an EPR signal does not solely support an Fe<sup>III</sup>- (O2<sup>•-</sup>)...Cu<sup>I</sup> description but also magnetically coupled Fe<sup>III</sup>/Cu<sup>II</sup> (hydro)peroxide species. Additionally, one would expect a copper signal in the EPR spectrum for the ferricvanide treated intermediate A: the resulting  $Fe_{a3}^{III}$ -(O<sub>2</sub><sup>•-</sup>)-Cu<sub>B</sub><sup>II</sup> formulation would have at least one unpaired spin. The absence of both iron- and copper-based signals leaves open the possibility that intermediate A might be a magnetically coupled Fe<sub>a3</sub><sup>III</sup>/Cu<sup>II</sup> peroxy-like intermediate. Another explanation could be ferricyanide is not a strong-enough oxidant to oxidize the high potential Cu<sub>B</sub> center, and one is simply observing an identical binuclear center as compared to the untreated samples.

Wikström and co-workers1166 have reported kinetic studies on the first 200  $\mu$ s following oxygenation of C $\alpha$ O using similar flash photolysis techniques utilized by Chance and observed a Soret feature for intermediate A at 425–430 nm.873,1166 In the same study, the O2 binding kinetics were measured, and a large dissociation constant (koff) of 40000 s-1 was calculated. Comparison of the reported Soret band with other oxy-heme proteins reveal it is red-shifted relative to oxy-globins containing axial histidine ligation but is in the range of oxidase heme proteins containing axial cysteine ligation and synthetic heme superoxides (Figure 159). However, several heme-only and heme-copper peroxides contain Soret bands which overlap with intermediate A and thereby obfuscates assignment via optical spectroscopy. The large koff rate for O2 binding is comparable to the upper bounds found for myoglobin (ca. 27000 s-1)79 and much greater than that found in hemocyanin (ca. 2750 s-1),19 the dicopper protein which binds O<sub>2</sub> as a  $\eta^2$ : $\eta^2$  peroxide (See section 3.2).

**6.3.1.2. Resonance Raman Spectroscopic Evidence for Intermediate** *A*.: Resonance Raman spectroscopic studies of intermediate A reveal an <sup>18</sup>O sensitive stretch at 571 cm<sup>-1</sup>, which is assigned as the v(Fe–O) for the now O<sub>2</sub>-coordinated compound, A/oxy (Figure 160, A/oxy). Mixed <sup>18</sup>O<sup>16</sup>O labeling experiments pointed to O<sub>2</sub> being coordinated to Fe<sub>a3</sub> in a bent, end-on fashion similar to O<sub>2</sub> coordination in globin proteins.<sup>895</sup> The observed v(Fe–O) stretch of 571 cm<sup>-1</sup> (Figures 157 and 160) is very similar to the v(Fe–O) detected in oxymyoglobin and oxy-picket-fence-porphyrin (569 cm<sup>-1</sup> 1145,1175 and 572 cm<sup>-1</sup> 1010

respectively), and based on these similarities, A was assigned as an Fe<sup>III</sup>– $(O_2^{\bullet-})$  species (also see section 4).<sup>20</sup>

Unambiguous assignment of intermediate A based solely on the Fe–O stretching frequency may not be possible as the observed value overlaps with end-on (hydro)peroxides found in proteins and our well-characterized synthetic low-spin heme–copper peroxo complexes (Figure 160). Further, even the <sup>18</sup>O<sub>2</sub> derived shift for superoxo v(Fe–O) and peroxo v(Fe–O) parameters are the same, all in the 20–30 cm<sup>-1</sup> range, see Table 7. Without the accompanying v(O–O) value, and given the current data, one can only unambiguously conclude that an O<sub>2</sub> derived fragment (oxidation state unknown) is coordinated in an end-on fashion to iron.

However, we note that the v(O-O) is notoriously observed to not be resonance-enhanced in oxy-heme proteins,<sup>20,524,1176</sup> and such is also the case for C<sub>c</sub>O, lending further support to the assignment of A as an oxy-heme (ferric-superoxide) species. As such, Collman and co-workers found that when replacing Fe for Co in their C<sub>c</sub>O model complex, both v(O-O) and v(Co-O) values were observed for the oxy intermediate, while only the v(Fe-O) was observed for the iron analog.<sup>524</sup> On the other hand, the degree of resonance enhancement is difficult to assess in intermediate A due to the short lifetime of the compound. All vibrational data is evaluated based on time-resolved difference spectra where the extent of accumulation of intermediate A is unknown. The lack of empirical O–O vibrational data does not allow for assessment of the degree of O<sub>2</sub> reduction for intermediate A, and while the most probable structure is a ferric superoxide, the so-called ferrous oxy-heme, as found in other oxy-heme proteins, the body of work in our opinion does not fully rule out other peroxide level intermediates. As stated by Yoshikawa and Shimada,<sup>20</sup> a definitive structure of intermediate A is needed.

**6.3.1.3.** Computational Investigations into Intermediate A.: Computational studies have been thoroughly utilized in studying the distinct catalytic intermediates and transition state structures in the enzymatic cycle of CcO.<sup>873,1130,1177</sup> Calculations regarding the BNC structure of the oxy form have yielded Fe-O distances in line with other oxy-globin intermediates, consistent with an assignment of a Fe<sup>III</sup>- (O<sub>2</sub><sup>•-</sup>)---Cu<sup>I</sup> species.<sup>903,909</sup> Yet, the same (two) calculated oxy- heme structures yield O-O distances (1.31 A) which are elongated compared to enzyme oxy-heme structures [e.g., myo- or hemoglobins, Tables 5 and 6, 1.24 Å (avg)] and lie closer to bond distances observed in heme-(hydro)peroxides (average O–O distance of 1.36 A; Table 6, also see Figure 155). If one were to suppose that A was in fact a bridging peroxide intermediate [i.e., Fe<sup>III</sup>– (O<sub>2</sub><sup>2-</sup>)– Cu<sup>II</sup>] (e.g., Figures 150 and 161, C, peroxy), proton transfer from the nearby cross-linked tyrosine would give a bridging hydroperoxide intermediate that we have referred to as putative CcO turnover intermediate I<sub>p</sub>, (Figure 161 D). As already mentioned above, solely from the observed bond stretching frequency  $v(\text{Fe}-\text{O}) = 571 \text{ cm}^{-1}$ , we see that it is not only in the range for oxyheme Fe<sup>III</sup>-superoxides but also is within the range of a number of known peroxo Fe<sup>III</sup>- $(O_2^{2-})$ - Cu<sup>II</sup> complexes (Table 3). The ambiguity in the assignment for intermediate A is thus again highlighted by these observations.

However, some DFT calculations on the CcO catalytic cycle also supply an argument against this assignment of A as a bridging peroxide based on calculated relative energies of a ferric superoxide versus a (hydro)peroxo-bridged BNC. The O–O cleavage step has been extensively studied, with some debate as to possible species involved going from intermediate A to intermediate p,<sup>855,909,1179</sup> with all calculations being examined in light of the experimentally determined O–O cleavage total activation energy (12.4 kcal/mol)<sup>881</sup> and also the enthalpic barrier (6.3 kcal/mol), which is important to note since calculated activation energies correspond to the enthalpy of activation.<sup>1177</sup> Multiple groups have calculated the energy of the I<sub>P</sub> intermediate (formally Fe<sub>a3</sub><sup>III</sup>–OOH-Cu<sub>B</sub><sup>II</sup>) and determined that it is significantly higher in energy than intermediate A (Fe<sub>a3</sub><sup>III</sup>–O2<sup>•–</sup> Cu<sub>B</sub><sup>I</sup>) by 6–20 kcal/mol.<sup>920,1177,1179</sup> Thus, it is not reasonable to assume that such a peroxidic intermediate would be more highly populated than the ferric superoxide species. It is interesting to note that in one recent theoretical treatment from Noodleman and coworkers, formation of peroxidic intermediates is overall downhill from a ferric superoxide (Figure 162; 3  $\rightarrow$  4).<sup>909</sup>

As previously described (*vide supra*), I<sub>p</sub>, sometimes called the "true peroxide" has been predicted to form transiently via reduction of intermediate a <sup>909,1130</sup> As mentioned (section 4), experimental evidence now exists showing that a reduction reaction occurs prior to O–O bond scission to form P (Fe<sup>IV</sup>= O); the electron transfer is proposed (with support from DFT calculations) to occur after formation of I<sub>P</sub> (Fe<sub>a3</sub><sup>III</sup>-OOH- Cu<sub>B</sub><sup>II</sup>), resulting in a new intermediate (dubbed I<sub>P</sub><sup>-</sup>) that is formally described as Fe<sub>a3</sub><sup>III</sup>-OOH…Cu<sup>I</sup><sub>B</sub>.<sup>918</sup> So, even though an electron transfer step has recently been confirmed to occur after formation of intermediate A and prior to O–O cleavage to form intermediate P, this does not preclude the possibility of the intermediacy of a peroxide species.

To conclude, our present supposition is that intermediate A could be a peroxo or hydroperoxo species. An oxy-heme species must form during the course of  $O_2$  reductive activation (i.e., binding to the reduced enzyme followed by reduction- protonation), but the first experimentally observed oxygenated intermediate need not be a ferric superoxide. It is possible intermediate A may be a mixture of superoxo and (hydro)- peroxo species owing to the incredible efficiency of the BNC to reduce  $O_2$ ; experimentally, the incredible speed of the reaction with dioxygen precludes observation of a single species. On the basis of the experimental evidence discussed in the previous subsections (6.3.1.1 and 6.3.1.2), intermediate A is very likely a ferric superoxide (oxy-heme) species, although this assignment is not absolutely verified.<sup>20</sup>

**6.3.2.** Could the Conversion of F to  $O_H$  Occur Through a Bridging Heme-oxo-Copper (Fe<sup>III</sup>–O–Cu<sup>II</sup>) Intermediate?—Mechanistic insight into the conversion of F to  $O_H$  (Scheme 23, Scheme 24) in the catalytic cycle of CcO is of great interest since little information regarding the intricacies of this transformation has been gained using spectroscopic methods. However, there have been multiple computational studies, <sup>901,903,1180</sup> and these suggest that in both the F and  $O_H$  states, there is significant Cu<sup>I</sup>/Tyr-O· character, which may be responsible for the high redox potential of the F state.<sup>859,875,901</sup> Nevertheless, we will mainly consider the Cu<sup>II</sup>/Tyr–O<sup>-</sup> resonance form in our discussions throughout this section. In the transition from F to  $O_H$ , the ferryl intermediate is reduced and protonated to give a ferric hydroxide complex, although the exact structure of  $O_H$  is still debated and may

be (section 6.3.3) a bridging Fe<sup>III</sup>-(OH)-Cu<sup>II</sup> species; these are both depicted in Scheme 24. Further, the exact timing of electron and proton transfer and whether they are coupled is unknown.

Given that enzymatic (heme)Fe<sup>IV</sup>=O species containing axial His ligation are known to not be exceedingly basic [pK<sub>a</sub>(Fe<sup>IV</sup>–OH) 4 in all cases reported thus far],<sup>127,1181,1182</sup> it is proposed that electron transfer to the F state occurs prior to or concurrent with proton transfer. If ET occurs before proton transfer, the resulting heme complex would be a ferric oxide [Fe<sup>III</sup>–(O<sup>2–</sup>)]. Such entities are highly basic and will be readily protonated to give a ferric hydroxide (Fe<sup>III</sup>–OH). However, with the copper(II) ion close by in the catalytic site, we propose, based on our coordination chemistry perspective derived from heme–copper– dioxygen chemistry studies (section 5), that it is plausible for a bridging  $\mu$ -oxo Fe<sup>III</sup>– O–Cu<sup>II</sup> complex to be formed following initial electron transfer (Scheme 25). Studies of model systems of heme– copper  $\mu$ -oxo species (see section 5.3) have been shown to be highly basic, especially when copper is bound by three nitrogen-donor ligands (aqueous pK<sub>a</sub> ~ 9.7 to 10.6).<sup>496</sup> Thus, an Fe<sup>III</sup>–O–Cu<sup>II</sup> intermediate, if formed, would be easily protonated, completing the reduction-protonation of F to give O<sub>H</sub>, which may still contain the bridging hydroxide.

Supporting the possibility of  $\mu$ -oxo species formation, we note that in a recent work by Noodleman and co-workers, there is a focus upon the movement of water molecules in the BNC and how they may affect conversions between the F and O<sub>H</sub> states.<sup>1180</sup> The calculated lowest energy structure of F was [Fe<sup>IV</sup>=O---Cu<sup>II</sup>/Tyr–O<sup>-</sup>] but with what appears to be a weak interaction between the copper(II) ion and the oxide ligand on iron; the distance between Cu<sup>II</sup> and the oxide ligand was calculated to be ~2.4 Å (Figure 163A). This short distance, certainly at least a weak interaction as considered for inorganic chemistry structures, would facilitate formation of a bridging hydroxide complex, [Fe<sup>III</sup>-(OH)-Cu<sup>II</sup>/Tyr-O<sup>-</sup>], which is a likely candidate for the structure of O<sub>H</sub>, which would be formed upon addition of the electron and proton to intermediate F (Figure 163B). However, if electron transfer were to occur prior to proton transfer, this interaction would also allow for the formation of a bridging oxide complex, [Fe<sup>III</sup>-O–Cu<sup>II</sup>], as described above.

**6.3.3.** Could a Bridging Heme-Hydroxo-Copper (Fe<sup>III</sup>- (OH)-Cu<sup>II</sup>) Species be Important in O<sub>H</sub> to E<sub>H</sub> Conversion?—An important final part in the enzymatic cycle of  $C_cO$  is to complete the reduction of the BNC Fe<sub>a3</sub>…Cu<sub>B</sub> metal ions, so as to be available again for dioxygen binding (see Scheme 23 in section 4). The first of such steps is the conversion of the O<sub>H</sub> species to the E<sub>H</sub> intermediate. This is an important transition (the final electron transfer to the Cu<sub>B</sub> site in the catalytic cycle), and it occurs concomitantly with the pumping of one proton. While the O<sub>H</sub> complex has been proposed to be a ferric hydroxide, there is still some debate as to the actual formulation of the BNC at this state. For instance, since the cross-linked tyrosine residue is deprotonated in O<sub>H</sub>, there are two resonance forms that are possible, with either the cross- linked Tyr or Cu<sub>B</sub> being reduced (i.e., Cu<sup>II</sup>/Tyr–O<sup>-</sup> or Cu<sup>I</sup>/Tyr–O<sup>+</sup>). The oxidation state of the copper ion in O<sub>H</sub> may determine whether the single hydroxide ligand is bridging between the two metal sites [i.e., Fe<sup>III</sup>– (OH)– Cu<sup>II</sup>] or bound to only a single metal center.

Wikström and co-workers have recently investigated the conversion of the  $O_H$  to the  $E_H$  state in aa<sub>3</sub>- and ba<sub>3</sub>-type C<sub>c</sub>Os using time-resolved absorption spectroscopy.<sup>1183,1184</sup> In aa<sub>3</sub>- type C<sub>c</sub>O, an electron is transferred from the Cu<sub>A</sub> site to heme a in an initial fast step, followed by ET from heme a to heme a<sub>3</sub> and, finally, to Cu<sub>B</sub> (Scheme 26A). These two subsequent steps occur with proton pumping across the membrane. In contrast, in the ba<sub>3</sub>-type C<sub>c</sub>O, the initial fast ET step occurs from Cu<sub>A</sub> to both the heme *b* and heme a<sub>3</sub> sites (with both heme sites being partially reduced, Scheme 26B). Wikstrom and co-workers propose that this indicates that heme a<sub>3</sub> has a high reduction potential, implying that the hydroxide ligand in O<sub>H</sub> for ba<sub>3</sub>- type C<sub>c</sub>O is bound to the copper(ll) ion instead of iron; Fe(ll) does not readily bind an anionic hydroxide ligand. Electron transfer from heme *b* to heme a<sub>3</sub> in turn results in reduction of Cu<sub>B</sub> via ET from heme a<sub>3</sub>, giving the E<sub>H</sub> state (Scheme 26B).<sup>1184</sup>

An accurate and detailed picture of the structure of the fully oxidized  $O_H$  state of CcO is important for understanding key steps in electron transfer and proton pumping in the final steps of the catalytic cycle. There have been multiple computational studies that suggest that the structure of  $O_H$  contains a  $\mu$ - hydroxo core (Figure 164, panels A–C).<sup>901,903,916</sup> These optimized structures have similar physical characteristics to heme–Cu model systems containing a hydroxide bridge (see section 5.3). However, no crystal structures of CcOunambiguously containing a bridging hydroxide or water ligand have been published to date (and see further discussion below).

While many of the as-isolated crystal structures of  $C_{cO}$  have been proposed, most likely incorrectly (vide supra), to have peroxide bridged between the heme and copper ions (see section 6.2 above), some have been postulated to have hydroxide or water bound (Figure 165, panels A and b).987,989 However, when compared with known heme-copper model systems containing bridging hydroxide ions (Figure 165, C and D), one sees that the metal-OH(H) separations in the CcO structures are by comparison drastically elongated (by greater than 2 Å). Such distances are not consistent with normal bonds between Lewis acidic metal ions like Fe(III) or Cu(II) and a negatively charged ligand like hydroxide. One might consider taking into account that the given metal-oxygen bonds in these protein structures may not be entirely accurate because of their resolutions. Once again, comparing the CcOX-ray structures to the structural parameters known for model complexes and calculated for the enzyme, the metal-metal distances for synthetic systems (3.66–3.81 Å) and computational structures (3.58-3.97 Å) are much shorter than those found in the enzyme (4.39–4.83 Å) (Figure 165). Thus, even if the formulation of a single-oxygen species such as hydroxide or water between the two metal centers in the BNC of CcO structures A and B (in Figure 165) is correct, it is highly unlikely that it is bridging the heme and copper moieties in these protein structures. We suggest that the hydroxide or water ligand is interstitial and either not binding any metal ion or, at best, weakly coordinated to just one of the metal ions. Certainly, based on the structure parameters obtained for PDB: 5NDC, no bonding occurs to Fe<sub>*a*3</sub>, while there may be a weak interaction of the  $H_2O/-OH$  group with Cu<sub>B</sub>.

Blomberg has also proposed a  $\mu$ -oxo structure, labeled O<sub>B</sub>, calculated to be similar in energy to the proposed  $\mu$ -hydroxo structure ( $\mu$ -oxo is 3.5 kcal/mol higher) (Figure 164D).<sup>902,903</sup> The proton coupled reduction potential for the conversion of O<sub>B</sub> to E<sub>h</sub> (i.e., [Fe<sup>III</sup>-O-Cu<sup>II</sup>/ Tyr-OH] to [Fe<sup>III</sup>-OH-Cu<sup>I</sup>/Tyr-OH]) was calculated to be 0.93 V,<sup>902</sup> which represents a very

large driving force (i.e., enough to move protons across the membrane) against the proton electrochemical gradient.<sup>901</sup> The nature of the origin of the high reduction potential  $O_H$  state will be further discussed in section 6.3.4.

**6.3.4.** Could a Classical Electron-Transfer by an "Inner-Sphere" Mechanism be Involved for the  $O_H$  to  $E_H$  Transformation?—On the basis of known redox mechanisms in inorganic (coordination) chemistry, we posit that a hydroxide ligand could well be involved in mediating the electron-transfer (ET) step occurring between heme  $a_3$  and  $Cu_B$  in the  $O_H$  to  $E_H$  transformation, and that this possibility should be considered by the biochemists/bioenergeticists/computational-chemists. "Inner-sphere" electron transfer is known to occur in complexes that can form a bridging ligand between two metal ions. Compared to the very well-developed "outer-sphere" Marcus theory of electron-transfer, the scientific principles and details of such "inner-sphere" ET reactions are not fully developed. However, Henry Taube's well-known classical research with coordination complexes does provide certain mechanistic insight possibly relevant to the present discussion.<sup>1185,1186</sup> In his seminal work, <sup>1187,1188</sup> Taube and co-workers demonstrated that a chromium(II) coordination complex could reduce a cobalt(III) compound under acidic conditions via the following reaction steps:

$$\begin{bmatrix} \operatorname{Co^{III}(NH_3)_5(Cl)} \end{bmatrix}^{2+} + \begin{bmatrix} \operatorname{Cr^{II}(H_2O)_6} \end{bmatrix}^{2+}$$
(9)  
 
$$\rightarrow \begin{bmatrix} (NH_3)_5 \operatorname{Co^{III}\cdots Cl^{II}(H_2O)_5} \end{bmatrix}^{4+} + H_2O$$

$$\left[ \left( \mathrm{NH}_{3} \right)_{5} \mathrm{Co}^{\mathrm{II}} \cdots \mathrm{Cl} \cdots \mathrm{Cr}^{\mathrm{II}} \left( \mathrm{H}_{2} \mathrm{O} \right)_{5} \right]^{4+} \rightarrow$$

$$\rightarrow \left[ \left( \mathrm{NH}_{3} \right)_{5} \mathrm{Co}^{\mathrm{II}} - \mathrm{Cl} - \mathrm{Cr}^{\mathrm{III}} \left( \mathrm{H}_{2} \mathrm{O} \right)_{5} \right]^{4+} \quad (\mathrm{ET \ reaction})$$

$$(10)$$

$$\left[ \left( \mathrm{NH}_{3} \right)_{5} \mathrm{Co}^{\mathrm{II}} - \mathrm{Cl} - \mathrm{Cr}^{\mathrm{III}} \left( \mathrm{H}_{2} \mathrm{O} \right)_{5} \right]^{4} + (11)$$

$$\rightarrow \left[ \left( \mathrm{NH}_{3} \right)_{5} \mathrm{Co}^{\mathrm{II}} \cdots \mathrm{Cl} - \mathrm{Cr}^{\mathrm{III}} \left( \mathrm{H}_{2} \mathrm{O} \right)_{5} \right]^{4} + (11)$$

$$\left[ (NH_3)_5 Co^{II} \cdots Cl - Cr^{III} (H_2O)_5 \right]^{4+} + H_2O$$

$$\rightarrow \left[ (NH_3)_5 Co^{II} (H_2O) \right]^{2+} + \left[ (Cl) Cr^{III} (H_2O)_5 \right]^{2+}$$
(12)

Unlike the more common outer-sphere electron transfer, the two reactants first form an activated complex,  $[(NH_3)_5Co^{III}...Cl...Cr^{II}(H_2O)_5]^4$ +, here with chloride as a ligand bridging the two metal centers (eq 9). Electron transfer from  $Cr^{II}$  to  $Co^{III}$  occurs "through" the chloride ion (eq 10) and then the activated complex breaks apart (eqs 11 and 12). Such

reactions seem to mainly or only occur with ligands that are able and known to bridge two metal ions; the hydroxide anion is certainly one such ligand, and bridging hydroxide complexes can occur with most, if not all, transition metal ions. Formally, this overall ET-reaction involves a transfer of a chlorine atom, Cl<sup>•</sup>.

As discussed above, Wikström and co-workers propose that, in the conversion of the  $O_H$  to the  $E_H$  state, a Cu<sup>II</sup>-OH species in the heterobinuclear active site possibly forms in the  $O_H$ state for ba<sub>3</sub>-type C<sub>c</sub>O.<sup>1184</sup> However, upon reduction of Cu<sup>II</sup> to Cu<sup>I</sup>, the hydroxide ligand is transferred to the iron center, resulting in Fe<sup>III</sup>-OH…Cu<sup>I</sup> species. Because of this, as well as accounting for the considerable amount of computational evidence for the formation of an Fe<sup>III</sup>-(OH)-Cu<sup>II</sup> species, we suggest that reduction of O<sub>H</sub> to  $E_H$  in C<sub>c</sub>O via electron transfer from heme a<sub>3</sub> to Cu<sub>B</sub> occurs through a bridging hydroxide ligand (Scheme 27) (i.e., the O<sub>H</sub> to E<sub>H</sub> conversion involves an "inner-sphere" electron-transfer mechanism).

In fact, Karlin and co-workers have reported an inner-sphere electron transfer reaction occurring in a heme-Cu model system.<sup>1189</sup> Using a variety of tetradentate copper chelates (TMPA, PMEA, PMAP, and TEPA, see Figure 75 in section 3.3.2), the reaction of  $[(F_8)Fe^{III}-Cl]$  with cuprous complexes was examined. For all  $[(L)Cu^I]^+$  complexes, except when L = TEPA, the reactions resulted in reduction of  $[(F_8)Fe^{III}(Cl)]$  to  $[(F_8)Fe^{II}]$  and formation of the  $[(L)Cu^{II}(Cl)]^+$  species. Investigations into the kinetics of these reactions determined that the trend in relative rates does not follow the trend in redox potentials of the  $[(L)Cu^I]^+$  complexes; the copper complex which is the strongest reductant [i.e., with the most negative reduction potential (easiest to oxidize)] does not react the fastest. Because of this, an inner-sphere mechanism of Cl<sup>•</sup> transfer from  $[(F_8)Fe^{III}(Cl)]$  to  $[(L)Cu^I]^+$  was proposed.<sup>1189</sup>

Similar experiments have been carried out with the binucleating ligand <sup>6</sup>L. Addition of Cu<sup>I</sup> to [(<sup>6</sup>L)Fe<sup>III</sup>(Cl)] resulted in the establishment of an equilibrium between the two species [(<sup>6</sup>L)Fe<sup>III</sup>-Cl···Cu<sup>I</sup>] + and [(<sup>6</sup>L)Fe<sup>II</sup>···Cl–Cu<sup>II</sup>]<sup>+</sup> (Scheme 28). By varying experimental conditions (i.e., solvent, temperature, or addition of an axial base, dioxygen, or carbon monoxide), the equilibrium could be shifted, alternatively favoring either the Fe<sup>III</sup>-Cl or the Cu<sup>II</sup>–Cl form.<sup>1189</sup> At room temperature, the equilibrium was approximately 1:1, [(<sup>6</sup>L)-Fe<sup>III</sup>–Cl···Cu<sup>I</sup>]<sup>+</sup>:[(<sup>6</sup>L)Fe<sup>II</sup>···Cl–Cu<sup>II</sup>]<sup>+</sup>; however, [(<sup>6</sup>L)Fe<sup>n</sup>···Cl-Cu<sup>II</sup>]<sup>+</sup> was favored upon decreasing the reaction temperature or by addition of carbon monoxide or an axial base, forming stable Fe<sup>II</sup>-X(<sub>2</sub>) complexes (i.e., Fe<sup>II</sup>–CO or Fe<sup>II</sup>– (base)<sub>2</sub>).

The structural and redox implications of chloride (or hydroxide) binding at a heme-Cu center have also been investigated using the modified myoglobin model,  $Cu_BMb$  (see section 5.4), since it is known that chloride can bind to the oxidized BNC in HCOs, concomitant with uptake of one proton.<sup>1190,1191</sup> It was found that within this protein template, Cl<sup>-</sup> does not bind to the heme center but only to the Cu<sub>B</sub>, which induces important structural changes within the BNC which are importantly related to electron transfer events.<sup>1192</sup> A redox titration of Cu<sub>B</sub>Mb in the Fe<sup>III</sup>–Cu<sup>II</sup> state in the presence of Cl<sup>-</sup> ions (the concentration of which at physiological pH can be up to 25 mM) resulted in decoordination of one of the Cu<sub>B</sub>-bound His ligands upon the first reduction (due to binding of Cl<sup>-</sup> at Cu<sub>B</sub>), which subsequently coordinated to the heme, forming a low-spin, six- coordinate Fe<sup>III</sup> center (UV–

vis, EPR evidence).<sup>1192</sup> These structural changes, which are not observed when Cl<sup>-</sup> is rigorously excluded, are consistent with proposals that redox changes and/or binding of anionic ligands in the BNC can cause significant structural changes around the active site such as Cu-His dissociation.<sup>854,1193,1194</sup> An additional reduction to a Fe<sup>II</sup>-Cu<sup>I</sup> state results in decoordination of the His from the iron, returning the heme center to a high-spin, fivecoordinate environment. This study clearly demonstrated that Cl<sup>-</sup> can bind to the Cu<sub>B</sub> center in close mimics of the HCO binuclear center, and that binding event can be linked to redoxinduced structural changes and likely also to the proton deliveries which are coupled to the electron transfers.

## 6.3.5. What are the Identities of the Relaxed O and High Potential O<sub>H</sub> States?

—The reduction potential of isolated fully oxidized intermediates has been reported to be  $0.28-0.35 \text{ V.}^{876,877}$  However, the bioenergeticists have concluded that this does not allow for enough of a thermodynamic driving force that is needed to translocate a proton in the conversion of O<sub>H</sub> to E<sub>H</sub> (Scheme 23), and the enzyme may return to the reduced state with only two protons being translocated instead of four (if going through O and E, instead; these are off-pathway enzyme forms, which may be referred to as "relaxed"; also see discussions below).<sup>878,907</sup> Thus, there has been considerable deliberation as to the possible electronic and geometric structure of the isolable relaxed complex (O) and the actual high potential metastable turnover intermediate o<sub>H</sub>.<sup>861,901,902</sup>

6.3.5.1. Possible Structures of Fully Oxidized, Isolated Relaxed O State .: One way in which the O state may be formed, proposed by Wikstrom and co-workers, is that the water molecule formed from reduction and protonation of P may not dissociate away from the copper(II) ion and instead remain bound.<sup>901</sup> The ferric hydroxide species produced after further  $H+/e^{-}$  transfer then deprotonates the cupric-aquo complex, forming a [Fe<sup>III</sup>-OH<sub>2</sub>...HO-Cu<sup>II</sup>] intermediate (Scheme 29A). In fact, one X-ray crystal structure of CcO derivative has been reported and described as having this same formulation (Figure 166a). For the purpose of matching a possible BNC structure to earlier Mössbauer spectroscopic studies, Noodleman and co-workers<sup>916,1195</sup> computationally optimized such an Fe<sub> $d3^-$ </sub> OH2···HO-CuB CcO structure; it is shown as Figure 166B. However, the match between protein X-ray structures and computational models (A vs B, Figure 166) is not good; structure A displays an unreasonably short O···O distance between the hydroxide and water ligand O atoms as discussed in section 6.2.4). The O···O separation in such fragments (e.g., O…H…OH<sub>2</sub>) should be greater than 2.4 Å, and in Noodleman's structure (Figure 166B), this distance is ~2.6 Å. The result is that the computed  $Fe_{a3}^{III}$ -OH<sub>2</sub>...HO-Cu<sub>B</sub><sup>II</sup> structure (B) has a much longer and reasonable  $Fe_{a3}$ ...  $Cu_B$  separation (5.53 Å). Also, as previously mentioned, the H<sub>3</sub>O<sub>2</sub><sup>-</sup> moiety is a well-known bridging ligand group in inorganic chemistry, necessitating M...M(M') separations of well over 5 Å. Thus, the true identity of the groups or ligand binding between the heme<sub>a3</sub> and Cu<sub>B</sub> in structure PDB: 2GSM is unclear.

Blomberg et al. proposed a separate structure for the O state (called  $O_P^+$  by the authors).<sup>902</sup> They suggest that proton transfer to  $O_H$  would result in protonation of the nearby cross-linked tyrosine molecule, making the complex to be formulated as a hydroxide-bridged species [Fe<sup>III</sup>-(OH)-Cu<sup>II</sup>/Tyr-OH] (Scheme 29B). The proton coupled reduction potential of

 $O_P^+$  was calculated to be 0.49 V, much lower than that for the complex without the extra proton ( $O_B$  in Figure 164D)<sup>902</sup> and less than 0.2 V higher than the experimentally determined reduction potentials for the O intermediate.

#### 6.3.5.2. Role of Cu<sub>B</sub> Ligation Contributing to the Required High Potential Active O<sub>H</sub>

**Intermediates.:** As discussed above, the "as isolated" form of oxidized CcO, O, does not possess the requisite reduction potential to couple electron transfer to the BNC and proton translocation across the membrane, and it is speculated to be an off-pathway intermediate. <sup>878</sup> Photoejection studies have shown that a high potential "active" oxidized state, called O<sub>H</sub> (*vide supra*), is the probable catalytically competent intermediate, where reduction of E<sub>H</sub> is coupled to the pumping of a proton across the lipid membrane.<sup>907,1183</sup> Theoretical-computational studies have led to the description of O<sub>H</sub> as discussed above. Still, experimental evidence giving an exact chemical and structural description of O<sub>H</sub> remains elusive. Here, we provide information and suggestions for how the coordination environment around Cu<sub>B</sub> very well could play a critical role in establishing a high potential state; such proposals may possibly be investigated using synthetic models.

In copper coordination chemistry, it has been long established that the ligand environment (donor number and type) and overall geometry (including chelate size) around a copper ion has a profound impact on the observed reduction potential (see Table 9).<sup>1218</sup> High potential copper centers can be attained through reducing the number of ligand donors in order to stabilize low coordinate Cu<sup>I</sup> complexes; Cu<sup>II</sup> complexes possessing low coordination numbers are typically very oxidizing and exhibit more positive reduction potentials relative to their higher coordinate analogs. When comparing copper complexes bearing the polypyridyl tetradentate (TMPA) versus tridentate (MePY2) ligands (section 3.3), a 0.3 V increase in the Cu<sup>II/I</sup> reduction potential is observed [dimethylformamide (DMF) as solvent], when the coordination number is reduced from four to three. In some rare cases twocoordinate, linear copper compounds can be stabilized (Figure 167) and are reported to possess Cu centers with very positive reduction potentials (Table 9). In fact the linear, twocoordinate bis-histidine model compound  $[L\delta Cu^I]^+$  (Figure 167, B) is reported to have a reduction potential which is 0.64 V more positive compared to MePY2.<sup>633</sup> Furthermore, addition of exogenous N-methylimidazole to  $[L \partial C u^{I}]^{+}$  leads to the generation of a threecoordinate compound, and a huge decrease in the measured reduction potential (  $E_{1/2} = -$ 0.61 V) relative to the two-coordinate parent is observed. EXAFS spectroscopy confirms the formation of a three-coordinate compound with an average Cu-N distance increasing to 1.933 Å (two short N scatterers at 1.896 Å and one long N scatterer at 2.008 Å) from 1.876 Å. These synthetic chemistry examples and others in the literature<sup>1202,1218</sup> show that copper is able to accommodate a reduction potential range on the order of  $\sim 1V$  by simple changes in the primary coordination sphere. Further, it is notable that Yi Lu and co-workers<sup>1103</sup> have shown that a huge range of  $Cu^{II/I}$  reduction potentials (E°) (700 mV or more) can be achieved simply by modification (i.e., mutagenesis) of the second-sphere around the copper ion center (nonligand amino acid changes), which effects H bonding, active-site hydrophobicity and small Cu ion geometries. Through the lens of these fundamental coordination chemistry principles, we wish to discuss the role of  $Cu_B$  ligation in the high potential O<sub>H</sub> state.

Experimentally, the BNC in the  $O_H$  state is observed to contain a high spin ferric heme, an EPR silent  $Cu_B$ , and a deprotonated Y244.<sup>877</sup> Computational analysis of this state has generally yielded two descriptions: a high-spin, antiferromag- netically coupled, bridging Fe<sup>III</sup>-(<sup>-</sup>OH)-Cu<sup>II</sup> compound along with a tyrosinate or a high-spin ferric-hydroxide, antiferromagnetically coupled to a copper(I)-tyrosyl radical moiety (see discussion of  $O_H$  in section 6.3, Scheme 24, and here in Figure 168). As discussed in section 6.3, the  $Cu_B^{II}/Tyr-O^-$  description should play a pivotal role in the  $O_H$  to  $E_H$  transition, as it is directly reduced by the incoming electron.

A computational study has examined O<sub>H</sub> states where removal of the oxygenous ligand from Cu<sub>B</sub> enforced a planar three-coordinate geometry around the copper ion,<sup>901</sup> which possesses a high Cu<sup>II/I</sup> reduction potential (Table 9) and could possibly be the source of the high potential found for O<sub>H</sub> (Figure 168, pathway A). Noodleman and co-workers have conducted DFT studies on the change in the Cu<sub>B</sub> coordination state for the BNC in the reduced form and found that CuBI can support linear, two-coordinate geometries, either through coordination of H282 and a hydroxide ion or through H282 and H233 (Figure 169). <sup>916</sup> It is interesting to consider if similar low-coordinate copper intermediates may form along the pathway from  $O_H$  to  $E_H$ . Noodleman's calculated linear two-coordinate states strongly favor copper(I) and would account for the high reduction potential found for  $O_H$ (Figure 168, pathways B and C). Low-coordinate compounds featuring bis-His chelation through the Ne nitrogens of the histidines, as shown in pathway C, have been observed in a model compound where EXAFS and DFT calculations confirmed linear two-coordinate copper geometry.<sup>633</sup> A fourth possibility leading to a high potential Cu<sub>B</sub> site is through a tautomeric switch in the ligation of His 282 and 283, forming a linear H282-Cu-H283 complex via coordination through the NÅ nitrogens of histidine (Figure 168, pathway D). Again, such model compounds have been observed both in synthetic systems and enzyme active sites (see section 3.1), where chelation of adjacent bis-His residues imparts a strong preference for linear Cu geometry and formation of strong and short Cu–N bonds (~1.88 Å). 633

Blomberg and co-workers have proposed that  $Cu_B$  inherently possesses a high reduction potential, requiring no change in ligation, and favors an electronic description of  $O_H$  where  $Cu_B$  and active-site tyrosine Y233 exists as  $Cu^I$  and tyrosyl radical.<sup>875,902</sup> Their DFT calculations of the BNC model reveal a "proton coupled" reduction potential of 0.93 V. Also see Table 9 and section 6.3.

# 6.4. Bridging the Gaps: Observations and Conclusions From Heme-Copper Synthetic Analog Investigations and Implications for HCO Biochemistry

Structural (X-ray crystallographic and often also computational) and spectroscopic (UV-vis, EPR, and rR) data are available for metal (heme, copper, and cobalt) superoxo, peroxo, or hydroperoxo coordination complexes (or in some cases proteins), wherein O<sub>2</sub>-derived ligands may be bridging two metal centers, which delineate important structural aspects of various plausible HCO intermediates. Similar types of data are also available for nonheme iron synthetic complexes and a few proteins, but we have chosen to leave these out of the discussions. All together, these findings have led us to boldly question some structural

presumptions made based on HCO enzyme crystal structures and their forthcoming analysis. Our motivation as synthetic inorganic chemists, in bringing up such doubts and suggestions, is to inspire interdisciplinary lines of thinking which may lead to new experiments and perspectives for our biological research colleagues. These suggestions are outlined just below, followed by our future projections and hopes for the ways in which model chemistry may contribute to the discussions and scientific insights at the forefront of HCO  $O_2$ -reduction chemistry.

1. The Putative Peroxo-Bridged BNC Protein X-ray Structures: we have questioned the assignment, or, if you will, the description, applied to the well more than a dozen published  $C_cO$  protein X-ray structures as possessing a peroxo- bridging ligand present as a dianionic  $^-O-O^-$  fragment wherein one O atom is binding to Fe<sub>d3</sub> and the other to Cu<sub>B</sub>. Just in the past few years, we have been able to structurally characterize synthetically derived, low-spin, peroxo-bridged heme-copper assemblies (see sections 5 and 6.2). With knowledge concerning these structures and with reference to quite an extensive literature of small molecule inorganic complexes where a peroxide dianion bridges two +2 or +3 first- row transition metal ions, we can state that the protein structures have Fe<sub>a3</sub>...Cu<sub>B</sub> separations which are too large relative to "authentic" peroxo-bridged dimetal complexes. Further, the putative M-O<sub>peroxo</sub> bond lengths which were determined are quite "off", and overall, the peroxo-bridging descriptions of these protein structures are not chemically reasonable.

We are not X-ray crystallographers, but we do understand that even when protein crystals are of excellent quality and/or the methodology utilized leads to high resolution (for protein crystallography), structure model refinement most often does not lead to unambiguous identification of small mobile molecules (i.e., their exact atom location or arrangement is not necessarily defined based on precedents). For example, unlike defining the location of a rigid five-membered imidazole ring of a histidine amino acid which is attached in a known way to the backbone of a protein a helix, identification and spatial definition of hydroxides, waters, or (hydro)peroxides, which may also be juxtaposed with other similar small molecules, often cannot be done unambiguously. This is to say that structure refinement and determination of accurate bond lengths between light atoms of such molecules is a very difficult undertaking.

So, what are these structures, if not peroxo bridged  $Fe_{a3}$ -Cu<sub>B</sub> moieties? We do not know, but have discussed some possibilities in section 6.2.

2. The Identity of Enzyme Turnover Intermediate 'A': what will be a controversial, and perhaps a not well-received suggestion from us, the present authors, mainly the senior author, is that we question a basic dogma in the field of heme- copper oxidase research. Rather, we would suggest further consideration regarding the issue of the assignment for intermediate A of HCO catalytic cycles. As laid out in Scheme 23 and Figure 150 and accompanying discussions, intermediate A is the first O<sub>2</sub>-derived species that can be characterized following O<sub>2</sub>-addition via fast time-resolved resonance Raman spectroscopy. This has always been

described as an oxy-heme adduct, a ferric-superoxo (Fe<sup>III</sup>-O<sub>2</sub><sup>•-</sup>) species, which is essentially the same as that which is observed in hemoglobins or myoglobins. The conclusion is based on the observations by highly prominent time-resolved resonance Raman spectroscopy research groups of an Fe-O stretch observed at 571 cm<sup>-1</sup> (plus or minus a few wavenumbers) and the shift of that spectroscopically observed band upon isotopic substitution with <sup>18</sup>O<sub>2</sub> (see section 6.2). An unambiguous assignment in fact could be made if there was ever a protein turnover intermediate v(O–O) stretch detected, as this parameter is very different for heme superoxidic versus peroxidic O<sub>2</sub>-derived species. We believe that at the present time, one cannot unambiguously conclude that HCO turnover intermediate A is an oxy heme, and rather, it might be a peroxy type complex (Figure 150).

- 3. Bridging  $\mu$ -hydroxo and  $\mu$ -oxo heme-Cu synthetic complexes and proposed relevance to HCOs. The syntheses, interrogation of spectroscopic properties, and interconversion of a variety of such complexes has been explored, as described in section 5.3. Through the measurement of pK<sub>a</sub> values for the Fe<sup>III</sup>-(OH)-Cu<sup>II</sup> species, it has been determined that the heme-( $\mu$ -oxo)-copper compounds are quite basic, and factors such as copper coordination (3- vs 4-coordinate) and the linearity of the Fe-O-Cu vector can affect the basicity. We propose that a heme-( $\mu$ -oxo)-Cu species may be prevalent in the transformation of the F state to O<sub>H</sub> (section 6.3.2 and Scheme 25), and the high basicity of this intermediate would readily result in the proposed heme-(µ- hydroxo)-Cu formulation of O<sub>H</sub> (Figure 170A). The  $\mu$ -hydroxide complex may be deprotonated by the nearby histidine cross-linked tyrosinate (e.g., Tyr-O<sup>-</sup>) residue, resulting in a heme-copper bridging oxide moiety and tyrosine, TyrOH (Figure 170B). These intermediates have also been the target of computational investigations aimed at determining the exact structure of the high potential  $O_H$  state in the catalytic cycle of CcO. Wikström<sup>901</sup> and Noodleman,<sup>916,1180</sup> with their respective co-workers, have both proposed that the µ-hydroxo structure (Figure 170A) is catalytically active, while Blomberg and co-workers<sup>902,903</sup> have proposed that the  $\mu$ -oxo complex (Figure 170B) allows for the thermodynamic driving force required for proton translocation during the conversion of O<sub>H</sub> to E<sub>h</sub> (see Scheme 23 and section 6.3) for the catalytic cycle of CcO.
- 4. A μ-H(OH) Fe<sub>a3</sub>-Cu<sub>B</sub> Enzyme X-ray Structure: as already discussed extensively with respect to the many CcO protein X-ray structures described as peroxobridged, we feel compelled as inorganic/coordination chemists to comment on the description of the enzyme metal-containing active site which we believe to be represented in an incorrect manner. Here, we repeat our assertion that the putative hydroxide or water-bridged heme–Cu structure PDB: 5NDC (Figure 165) is not well-described. The Fe<sub>a3</sub>···Cu<sub>B</sub> separation here is very long, ~4.8 Å, whereas for known small molecule hydroxide bridged heme-Fe<sup>III</sup>–(OH)-Cu<sup>II</sup>(ligand) complexes with "normal" Fe<sup>III</sup>–hydroxide and Cu<sup>II</sup>–hydroxide bonds, metal- metal separations are well under 4.0 Å. Computationally derived structures of hydroxo-bridged CcO analogs Fe<sub>a3</sub>-- (OH)–Cu<sub>B</sub> species also

possess Fe…Cu distances which are under 4.0 Å. Thus, if a hydroxide or water molecule lies between heme  $a_3$  and  $Cu_B$  in the protein structure, it is either an interstitial group not binding either metal, or it is ligated to just one of the metal ions. Further details are given in section 6.3.

5. Heme a<sub>3</sub>...Cu<sub>B</sub> separations and large BNC motions occurring in HCO Turnover? A survey of HCO X-ray crystal structures in a variety of forms [reduced, oxidized, with or without ligands such as CO, NO, azide (N3<sup>-</sup>), O2 (or HO2 or  $HO_2^-$  or  $O_2^{2-}$ ), hydroxide, or water] reveals that for the vast majority, the distance between the Fe<sub>a3</sub> and Cu<sub>B</sub> BNC metal ions is 4.7 Å or greater, and many exhibit a separation of over 5 Å (see information throughout this Review and the following refs 19 and 20). These large M.M distances are in stark contrast with many synthetic and computational model systems as outlined here. (i) For O<sub>2</sub>derived, peroxo-bridged heme-copper synthetic constructs, Fe--Cu separations vary between 4.2 and 4.5 Å. (ii) For theoretical-computational modeling of intermediate A (a superoxo or peroxo species, vide supra) or a hydroperoxobridged protein model with a coordination essentially the same as that of a peroxo-dianion, the Fe<sub>a3</sub>...Cu<sub>B</sub> distances are 4.46 and 4.38 Å, respectively (Figure 161). (iii) For a variety of hydroxo- or oxo-bridged synthetic hemecopper complexes which have been characterized by X-ray crystallography, the (heme)Fe---Cu spacings are between 3.4 and 3.8 Å, and three computational groups have derived structures proposed to be a key intermediate, O<sub>H</sub> (see sections 6.3.1 and 6.3.2), wherein the protein BNC Fe<sub>a3</sub>... Cu<sub>B</sub> separations are 3.8 to 4.0 Å (Figure 164).

What does this all suggest? We see this contrast as perhaps indicating huge structural changes in and around the heme  $a_3$ -  $Cu_B$  BNC, occurring during enzyme turnover where molecular oxygen is reduced to water and protons are pumped across the mitochondrial inner-membrane. The fully reduced BNC seems to have a large metal spacing of 5.0 Å or greater, yet later small molecule or atom-bridged intermediates may "squeeze down to  $Fe_{a3}$ -.. $Cu_B$  separations of (well) under 4 Å. Such movements, as accompanied by resulting motions of connected protein helices, surely constitute significant aspects of the protein mechanism of action. This is not a new insight and the protein and proton movements, electron transfer processes, and associated bioenergetics are far more intricate then we can possibly express.<sup>20,817,873</sup> However, we would like to emphasize how these supramolecular adaptations relate to the important aspects of coordination chemistry concomitantly playing out in the binuclear heme–copper center during turnover. Perhaps, at least we can say that more details of the exact movements of the heme,  $Cu_B$ , and their ligated and mobile histidine imidazole groups can and should be recognized, appreciated, and further analyzed.

## 6.5. Future Directions for Synthetic Modeling of HCO Chemistry

Studies of small molecule mimics of the BNC in HCOs have already contributed significantly to the current understanding of biological O<sub>2</sub> reduction. Merging advanced computational techniques and state of the art spectroscopic methods, recent advancements in the field of synthetic inorganic chemistry related to HCO activity have uncovered important fundamental structure–function relationships related to selective O<sub>2</sub> reduction chemistry and

have even accomplished biomimetic O–O cleavage chemistry within model constructs (see section 5). Continuing research in this area will likely draw inspiration from and build upon many of the studies reviewed herein, and therefore, we close this section 6 and this review article with a brief outlook on future directions concerning O<sub>2</sub>-reduction reactivity of heme– copper systems, which can and should now be addressed using model chemistry.

# 6.5.1. Expanding the Scope of Heme-Peroxo-Cu Model Substrate

Reactivities: Fundamental (Kinetic and Thermodynamic) Studies.—The most basic/fundamen- tal processes which ultimately give rise to the biochemically elaborate O<sub>2</sub>reduction in HCOs are single (though likely often coupled) proton and electron transfer events. Detailing the timing, source, and destination of these protons and electrons as well as the parameters associated with their successful transfer (i.e., pKa's, BDEs, E°'s) are crucial in developing understanding of how O–O bond cleavage occurs, how the enzymatic cycle turns over, and how  $O_2$  can be efficiently and selectively reduced to water, preventing ROS release. Using small molecule model systems, these types of questions can be directly probed using rational, systematic substrate selection in mechanistic reactivity studies. As was shown by several studies of heme-peroxo-Cu complexes reacting with various phenols (see section 5), applying appropriate experimental techniques (i.e., low temperature, spectral and kinetic monitoring, and radical trapping experiments)747,919 allows for detection of reaction intermediates and mechanistic understanding (with support from DFT) of O-O cleavage reactivity. The phenolic substrates in these studies were inspired by the active site Tyr residue in the BNC; however, in these cases, the chosen phenol is either (i) a poor electron donor (i.e., 4-NO2-phenol), requiring a separate electron source for PCET reactivity, or (ii) an electron-rich phenol with a weak O-H BDE (i.e., 4-OMe-phenol) but which requires large excesses of substrate to carry out the multiproton-electron chemistry. Importantly, both of these studies highlighted the activating influence of H-bonding interactions with a bound (bridging) peroxide moiety (as proposed/seen in HCOs) and the structural/steric demands to allow for such interactions to occur. Additionally, the modified Mb systems developed by the Lu group, which included systematic modifications of incorporated Tyr analogs, showed correlations between both phenolic pKa and E° with respect to O<sub>2</sub>-reduction reactivity.<sup>1119</sup>

With these advancements in mind, as well as the stoichiometry of peroxide reduction  $(O_2^{2-} + 4H^+ + 2e^- \rightarrow 2H_2O)$ , one can imagine other biorelevant substrates (i.e., catechols/ polyphenols, aminophenols) which, with proper substitution, can be tuned to provide a balance of H-bond donation as well as  $H^+/e^-$  (possibly as  $H^\bullet$ ) donation to a bridging peroxide (Figure 171). On the basis of the properties of the substrate (pKa, BDE, and possible other factors such as intramolecular H-bonding between ortho-OH/NH groups) and results of reactivity studies, insights into the thermodynamics of successful O<sub>2</sub>-reduction chemistry stand to be revealed. Again, strategies such as low temperatures and fast time scale kinetic monitoring will be important to characterize intermediates (i.e., H-bonded adducts) within such model studies which may not be detectable in analogous studies on HCOs.

Given the specific desired chemical outcome of O–O reductive cleavage, the question which is equally as important as "How are protons and electrons transferred?", is the question of

"Where do they end up?" [i.e., to which O atom of a (possibly bridging) O<sub>2</sub> moiety are they transferred]. In many of the enzymatic, small molecule, and computational studies reported herein, there is evidence of hydrogen bonding and/or proton transfer to the distal O atom (that which is not bound to Fe) of a (heme–O–O…Cu) structure. Small molecule models are best-suited to resolve thermodynamic parameters of such metal-oxy species such as the basicities (pKb's) of each O atom, the relationship between proton donors [which, as described just above, can be easily chosen or systematically studied based on their known properties (pKa's)], and resulting (bridging) hydroperoxo structures can be acutely followed. The ability to accurately assign bond distances (by high-resolution X-ray crystallography) or vibrational frequencies (rR data) within a M-O-O-M model construct before and after proton transfer(s) is extremely important for building an understanding of structural and electronic changes which occur during HCO turnover, and how proton and electron transfers are directed by the changing active site. We have pointed out this difficulty in dealing with large enzyme structures and addressed potential consequences of ambiguous structural assignments earlier in this section (section 6.2). Further, model systems lend themselves to fine-tuning and small modifications which can extend thermodynamic understandings to include the ways by which heme and copper ligand environments may influence such parameters as pK<sub>b</sub>'s (vide infra).

6.5.2. Probing Ligand Effects in Small Molecule Model Chemistry of Heme-

**Cu Systems.**—The heterobimetallic active site in heme–copper oxidases is quite unique, and while this poses challenges in biomimicry in the literal sense (i.e., constructing identical structural analogs), it also presents opportunities for creative design of model complexes which not only can provide insights into the biochemical activity of HCOs but also make strides toward understanding structure-function relationships as they relate to coordination chemistry in general. From a synthetic perspective, the chemist can define and modify the ligands for each metal ion to tune coordination geometry and electronics, and in some cases, can even incorporate functionalities that pose secondary coordination sphere interactions. For example, in terms of the copper ion environment, the use of N-donating ligands with different denticities, varying imposed coordination geometry or donor strengths (due to donor type or ligand substituents), can govern reduction potentials and O<sub>2</sub>-reactivities drastically, as presented in section 3. Modifications of the heme environment can include derivatization of the heme itself and/or the identity of the axial base ligand; both such alterations, as outlined in section 2, impact the extent of possible electron donation to a bound  $O_2$  moiety and, therefore, the basicities of the O atoms and the reduction capability of the model as a whole. Additionally, sterically encumbered hemes may (and have) also contributed to the enhanced stability of transient iron-oxygen intermediates. These approaches will continue to be important in moving the field forward. Here we outline our outlook and proposals for (1) how the synthetic inorganic chemistry community can gain insights via various purposeful modifications of the copper ligand, (2) incorporation of secondary coordination sphere elements related to the active site Tyr, and (3) alterations at the heme iron site.

1. Ligand environment and redox properties of the copper center. The profound impact of ligand denticity and geometry in copper chemistry leads us to propose several modes of expansion in the design of copper ligands for heme–Cu models.

Interestingly, in a case of CN-bound CcO, it was observed that one of the copper His ligands could dissociate [d(Cu-N) = 2.8 Å] in order to optimize the active site conditions to accommodate the diatomic molecule.<sup>854</sup> The authors then suggested a similar lability occurring during the enzymatic cycle, possibly allowing for the formation of a transient peroxide bridged intermediate such as  $I_{\rm P}$ (vide supra, Scheme 23). To probe this type of ligand self-optimization, and with new synthetic methods reviewed in section 5,497 it is possible to study hemecopper assemblies with varying numbers and types of monodentate (flexible) donor ligands. Further investigations into such complexes and utilization of advanced structural characterization techniques could provide insights into how the BNC adapts to different conditions (i.e., the presence of partially reduced O<sub>2</sub> moieties  $(O_2^{\bullet-}, O_2^{2-}, and HO_2^{-})$ , and/or hydrogen-bonding interactions as probed with solvents or substrate modifications). Along those lines, we believe that the intermediates following O-O bond cleavage deserve more attention from a synthetic modeling perspective, and an inorganic chemist can also use systematic ligand design to study aspects of the  $Cu_{\rm B}$  site utilizing systems which support bridging oxo or hydroxo moieties, possibly building upon Cu2-O2 chemistry; relevant bis-µ-(hydr)oxo species are known to exist in Cu2-O2 chemistry (see section 3).<sup>18,612</sup> As the structural properties and identities of the later activated intermediates (such as O<sub>H</sub> and E<sub>H</sub>; see Scheme 23 and section 6.3) are still debated and have therefore been the focus of mostly computational investigations, synthetic models can certainly contribute experimental evidence in support of or to argue against some of the current theoretical deductions. Undoubtedly, a very important part of this later phase of the HCO cycle is proton pumping, and while it is extremely difficult, if not impossible, to use small molecule models to understand such phenomena, it has been suggested and supported by calculations that a high (positive) reduction potential of  $Cu_{\rm B}$  is necessary in this phase for the proton pumping function.<sup>902</sup> With the use of what is known about ligand characteristics which control/tune Cu redox potentials (much of which has been reviewed here in section 3 or moreso in section 6.3), these design strategies can be incorporated into heme-Cu complexes in order to better understand this proton pumping motive force.

2. Modeling the formation and involvement of the His-Tyr cofactor. The tyrosine in the heme-copper active site represents several concepts worth noting to a synthetic model chemist, and these are essentially born out of the fact that the protonation state, and therefore also the H-bonding capability, of this phenolic residue is known to change several times throughout the HCO catalytic cycle (see Scheme 23 in section 4.2). As the phenol is covalently cross-linked through the ortho position of one of the Cu-ligated histidine residues, its presence and protonation state may significantly impact the electronic structure around Cu<sub>B</sub> (although direct studies to probe these effects are clearly extremely difficult in enzyme studies). It is known from simple organic models of imidazolephenol compounds, that in an analog of the His-Tyr moiety, the imidazole "substituent" reduces the pK<sub>a</sub> of the phenol O–H by >1 pK<sub>a</sub> unit while also increasing the E° by 69 mV.<sup>852,853</sup> These studies did not, however, touch on the changes in the

properties of the imidazole group which would alter its donating ability to  $Cu_B$  and thus modify at least to a small extent the  $Cu_B^{II/I}$  standard reduction potential.

Several heme-copper model complexes reviewed in section 5 employed copper ligands with imidazole-phenol cross-link mimics, but we propose that more indepth investigations are required to deduce the effects of such substitutions on the redox properties of the CuB center, and further, how those influences are altered when the phenol moiety is either deprotonated, giving tyrosinate (TyrO<sup>-</sup>), or possibly existing in a radical form (i.e., tyrosyl radical, TyrO<sup>•</sup>). These studies will require clever experimental designs as the deprotonated or radical species are likely unstable. That being said, uncovering the links between proton/H atom movements and concomitant changes in metal-based redox properties would not only offer significant contributions to biomimetics but also to inorganic coordination chemistry in general. Another perspective to take when considering modeling of the BNCs tyrosine moiety is the H-bonding aspect that it provides, which computational and experimental studies have both supported. There is significant precedence in both Fe-O2 and Cu-O2 chemistries (see sections 2 and 3, respectively) for the importance of H-bonding effects in stabilizing different metal-oxygen species and/or in taking part in the actual O–O scission process itself (i.e., facilitating or "triggering" reductive cleavage by PCET). Such ligand designs that have already been used in those Fe-only or Cu-only systems can be incorporated into heme-copper models to study the details of secondary coordination sphere H-bonding interactions in a CcO dioxygen reduction mechanism. Again, intermolecular H- bonding effects have been observed for recently described heme-Cu model systems;<sup>747,919</sup> however, investigations of intramolecular H-bonding interactions could add a level of cooperative effects in that insights can be gained related to the interplay of H-bonding extent and other aspects such as metal ion redox potentials. This type of information would be exceedingly difficult to extract from studies on native HCOs; however, model studies provide a facile platform to examine these complicated and interrelated phenomena which are essential pieces of efficient O<sub>2</sub> reduction chemistry.

A final point related to this interesting His-Tyr moiety in HCOs is the actual biochemical formation of the cross-link  $C_{Tyr}$ -N<sub>His</sub> bond. It has been shown in enzyme and model studies (Yi Lu's modified Mbs, see section 5.4.3) that the covalent bond linking these two residues (beyond simply the presence of the two residues at the BNC) is necessary for enzymatic function, and further, it has been proposed that this unique post-translational modification may form during the first turnover of the enzyme.<sup>612</sup> Studying this single bond formation in native HCOs is therefore extremely difficult; however, model systems which do not include macromolecular protein structure (which may have issues folding and/or functioning during experiments involving this cross-link formation) may provide an opportunity to understand this important process and investigate the possibility that it could form, and how, during O<sub>2</sub>-reduction by heme and Cu. For example, a bioinspired heme-Cu system (especially those known already to reduce dioxygen, see section 5) with Cu- ligated imidazole containing an

"unprotected" N $\epsilon$ -H bond can be studied in the presence of various phenolic substrates as tyrosine mimics. Using techniques in the synthetic inorganic "toolbox", perhaps the study of synthetic constructs, one may be able to determine how and when (if during O<sub>2</sub>-reduction, i.e., in the presence of which metal-oxygen species/intermediates) the cross-link may form in the natural system.

3. Heme center and axial ligation. One of the structural factors distinguishing the heme portion of cytochrome P450 and HCO active sites (which heterolytically and homolytically cleave the O-O bond, respectively) is the proximal axial ligand bound to the heme iron center. In cyt P450, it is a Cys (thiolate), whereas in HCOs it is a histidine (imidazole). The nature of the axial ligand in heme model systems as well as enzymes has been shown to affect the iron spin state and redox properties, spatial equilibrium of the iron atom (i.e., in reference to the plane of the porphyrin ring), and small molecule binding affinities and O-O cleavage patterns (see section 2); all of these factors may influence the O<sub>2</sub>reduction chemistry of such systems. Small molecule models can systematically probe these relationships which fall under the umbrella of what is often referred to as the "push-pull" concept (see section 2), with the copper ion potentially also exerting Lewis acid-type interactions, underscoring the importance of systematic approaches in investigating these complicated chemical phenomena. As has been outlined in this review, very simple or very complex heme scaffolds which employ tethered or free axial ligands can be utilized for such studies. We hope that such investigations can shed light on how subtle aspects (i.e., orientation/ flexibility, donating ability, etc.) or large aspects (i.e., overall charged, neutral, charge delocalized, and/or prominent steric effects) of the heme axial ligand can shape the details of O<sub>2</sub>-reduction chemistry. As stated in section 4, changes in the protein matrix which effect the local structure at the proximal side of the heme may alter the aforementioned subtle aspects of the axial His in HCOs, especially during catalytic transformations involving proton pumping. Many heme-focused model studies have altered the substituents on the heme itself (at the meso or pyrrole positions, see section 2), in order to analyze electronic effects that originate from the iron center. On the other hand, some other heme substituents offer secondary sphere noncovalent interactions, as in the case of "picket-fence" and "Hangman" platforms (see section 2). The expansion of such studies/ideas carries great potential as well, and is left to the design creativity of the chemist, and reaches another level of complexity and potential understanding within heme-Cu constructs. Finally, related to both points made here regarding axial ligand influences and variations about the heme center of model complexes, synthetic studies can/should be aimed at understanding the timing of electron transfer from the low-spin heme which is tethered to heme a<sub>3</sub> via the axial histidine (see section 4.1). These studies perhaps may best be approached from an electrochemistry perspective (via electrocatalysis studies, see section 5.2.4) but must be carefully thought out when multiple redox-active moieties are contained within the same model (as in heme-Cu systems possibly with Tyr mimics). Overall, with the plethora of information about heme and heme-O2

chemistry, there is much more to be explored and interpreted within heterobimetallic Fe–Cu–dioxygen reactivities.

## 6.5.3. Electrocatalysis Studies Utilizing Heme-Cu or Dicopper Synthetic

**Complexes.**—The evaluation of small molecule synthetic models for cytochrome *c* oxidase as mounted on electrode surfaces to carry out electrocatalytic investigations on the four-electron, four-proton reduction of dioxygen to water, the ORR reaction, has very much enhanced our understanding of the factors that increase the efficiency and selectivity for the four- versus two-electron pathways. Such studies have been carried out by a number of research groups, but primarily led by Collman (and Chidsey) and co-workers (see section 5.2.4).<sup>56,921,1011,1044</sup> Many of these studies have benefited from advanced electrochemical techniques, such as use of rotating ring disc electrode (RRDE) instrumentation to detect  $H_2O_2$  evolved (as a partially reduced oxygen species, PROS), novel methods of attachment to electrode surfaces, and the ability to measurably vary electron fluxes reaching the heme–Cu catalyst.

However, as seen in a study we carried out in collaboration with A. Dey and co-workers (section 5.2.4),<sup>1051</sup> it is now possible to also carry out detailed interrogation of electrochemical (catalytic) processes while also employing either resonance Raman or infrared spectroscopies [i.e., surface enhanced resonance raman spectroscopy (SERRS),<sup>1219</sup> or surface-enhanced infrared absorption (SEIRA) spectrosco- py].<sup>1220</sup> In our own work, we used simpler heme–Cu constructs, efficiently reducing dioxygen to water, while also detecting vibrations of peroxo intermediates (employing SERRS) (section 5.2.4). Thus, electrocatalysis studies in the future should be very powerful in terms of elucidation of key intermediates and mechanism of action of synthetically derived inorganic complex catalysts for the ORR, contributing in a complementary manner to our basic understanding of the O<sub>2</sub>-binding and reduction chemistry occurring within heme–Cu binuclear complexes.

#### 6.5.4. Studies on Homolytic vs Heterolytic O–O Reductive Cleavage in

Heme-Cu Peroxo Complexes.—Utilizing heme-copper synthetic systems, it has been shown that O-O cleavage of a bridging peroxo heme-Cu synthetic assembly can be achieved by addition of an H atom (H<sup>•</sup>) equivalent, either through hydrogen-atom abstraction (HAA) from p-methoxyphenol or protonation/reduction from separate H<sup>+</sup> and e<sup>-</sup> entities (i.e., 4nitrophenol and decamethyl- ferrocene, respectively) (see section 5.2). This reaction results in two equivalents of water being formed, proceeding through an intermediate containing Cmpd II and a copper(II)– hydroxide complex, although the evidence for this Fe<sup>IV</sup>=O···  $HO-Cu^{II}$ (ligand) intermediate has yet to be directly observed in synthetic systems. From a combined kinetic and theoretical- computational analysis, the HAA mechanism that was favored for O-O reductive cleavage was proposed to proceed through an H-bonded transition state (TS<sub>HB</sub>' in Scheme 30). The homolytic O–O bond cleavage occurs prior to HAA, giving a Cmpd II entity and what was best described as a copper(II)- oxyl species (cupryl, Cu<sup>II</sup>–O•; Scheme 30); the latter moiety performs HAA, giving a state that is equivalent to P<sub>M</sub> in the catalytic cycle of CcO (Scheme 30; also see Scheme 23 in section 4.2). Thus, investigations into the spectroscopic characterization and substrate reactivity of such copper(II)- oxyl species is of great interest.

Because of their highly reactive nature, a copper(II)-oxyl coordination complex has not yet been characterized or isolated in solution or the solid state (also see discussions in section 3.3.1.3). However, O–O cleavage of copper alkylperoxos (Cu<sup>II</sup>–OOR) compounds has been investigated as a synthetic approach to gain insights into the fundamental understanding of the formation, properties, and reactivity of Cu<sup>II</sup>–O• species. The use of specific alkylperoxos allows for the determination of how the O–O bond is being broken (i.e., heterolytic vs homolytic cleavage). Homolytic cleavage would result in a Cu<sup>II</sup>–O• intermediate, while heterolytic cleavage could presumably give an even more reactive Cu<sup>III</sup>– O• compound; however, such is an unknown species.

Itoh and co-workers have reported on the thermal decay of Cu<sup>II</sup>–OOR complexes in the absence and presence of organic substrates.<sup>610,745,1221,1222</sup> Identification of the organic products after reactions indicated that homolytic O–O cleavage had occurred, and, in the presence of substrate, hydrogen atom abstraction took place. Kinetic studies showed that O–O bond cleavage was faster in the presence of substrates, suggesting that HAA and homolytic scission of the O–O bond occurred in the same reaction step. Therefore, no discrete Cu<sup>II</sup>–O•· intermediate appears to form in these reactions, but some insight into the O–O cleavage mechanism was achieved.

We suggest that further future research in this area is important. Studies might be carried out with copper-only synthetic compounds but also with newly designed heme–Cu complexes.

 Copper-only systems. Modification of the ligand environments around copper may allow for future insight into the possibility of direct characterization of a Cu<sup>II</sup>-O•· species in reductive O-O bond cleavage for Cu<sup>II</sup>-OOR complexes. As has already been addressed, factors such as denticity, donor atom (N vs O vs S), chelate ring size, and ligand charge all affect the reduction potential of copper compounds (section 3.3). A complex having a very negative reduction potential (i.e., favoring a higher oxidation state) may be able to stabilize a high-valent cupryl species. In addition, synthetic input of secondary coordination sphere groups may also facilitate the stabilization of a copper(II)-oxyl complexes. Hydrogen-bonding moieties have been shown to stabilize copper(II)-superoxo and -3hydroperoxo compounds (see sections 3.3.1 and 3.3.2) and may also have a similar effect for a Cu<sup>II</sup>-O•· species.

However, the investigations into O–O cleavage by copper- only systems need not only concern  $Cu^{II}$ –OOR complexes. Multiple mono- and binuclear copper(II)hydroperoxo ( $Cu^{II}_{n}$ –OOH, where n = 1 or 2, respectively) have been reported (section 3.3). In the same way as heme-only systems (section 2.1), hydroperoxo species can cleave via heterolytic or homolytic pathways, resulting in different high-valent species. One can imagine that systems of  $Cu_2^{II}$ –OOH compounds may be the most competent in research efforts aimed to isolate and characterize high-valent copper species, as electrons can be taken from each copper ion, resulting in either a  $Cu_2^{III}$ –oxo (i.e., oxide dianion) intermediate product after heterolytic O– O cleavage or, if an electron derives from only one copper ion, giving a mixed-valent  $Cu^{IIC}u^{III}$ -oxo moiety (with •O(H) byproduct) following homolytic cleavage.

2. Heme-Cu systems. Likewise to the copper-only systems mentioned above, the ligand environment around copper can be modulated in heme–Cu constructs as well. Further, aspects of the heme center physical properties may also be synthetically modified. As has been discussed in section 2.1.4, factors such as the identity of the coordinated axial base, changing porphyrinate substituents so as to modify the inherent heme redox potential, as well as synthetic input of secondary coordination interactions in the distal pocket (e.g., by placing H-bonding donor groups on the periphery of the ligand groups binding to the copper ion) may lead to the favoring of either heterolytic or homolytic cleavage. Synthetic model complexes have the advantage of being highly tunable, allowing for drastic changes in both the primary and secondary coordination sphere. This approach would likely be important for stabilizing a high-valent iron-oxo species and may allow for directing the chemistry toward preferential formation of Cmpd I vs Cmpd II.

While it is assumed that reductive O–O cleavage in the catalytic cycle of CcO is homolytic, giving  $[Fe_{a3}^{IV}=O\cdots HO-Cu_B^{II}/Tyr-O\cdot]$  (P<sub>M</sub>), there is the possibility that heterolytic O–O cleavage occurs, resulting in Cmpd I instead (i.e.,  $[^{\bullet+}Fe_{a3}^{IV}=O\cdots HO-Cu_B^{II}/Tyr-O^-]$ ). In fact, Rousseau, Gerfen, and co-workers have demonstrated that Cmpd I may be formed prior to the oxidation of the nearby histidine cross- linked tyrosine residue, when high-valent species are generated by addition of hydrogen peroxide to an oxidized form of bovine CcO. <sup>884,887</sup> Such chemistry may also be investigated for heme–copper complexes of synthetic design followed by reactivity studies, all contributing to our knowledge of the coordination chemistry of HCO BNC coordination chemistry.

#### 6.5.5. Modeling Nitric Oxide Reductase Activity of Heme-Cu Oxidases.-

Nitric oxide reductase (NOR) is an evolutionary relative of CcO, which possesses a binuclear heme/nonheme di-iron active site,<sup>1096</sup> with close similarities to that of CcO (Figure 172). The proximal ligand on heme at the NOR active site is also a His residue, with the nonheme iron center (referred to as Fe<sub>B</sub>) being coordinated to the three conserved His residues and a distal glutamate residue. NOR is an anaerobic respiratory enzyme found in bacteria, which catalyzes the reductive coupling of nitric oxide, NO<sub>(g)</sub>, in the presence of protons to produce nitrous oxide, N<sub>2</sub>O<sub>(g)</sub>, and water (Figure 172).<sup>1223,1224</sup> Although nitric oxide reductases are involved in crucial physiological processes (particularly as related to pathological situations), mechanistic details of their NO<sub>(g)</sub> coupling reaction are not fully understood; coupling of nitric oxide molecules requires N–N bond formation, and then an N–O cleavage reaction must occur. The timing of proton and/or electron transfer events is not clear; however, the intermediacy of a hyponitrito (N<sub>2</sub>O<sub>2</sub><sup>2–</sup>) species (Figure 172) has been postulated.

On the other hand, HCOs are also capable of reductively coupling  $NO_{(g)}$ , albeit with much lower efficiency than NORs. Therefore, interrogating the essential details of  $NO_{(g)}$  coupling chemistry of HCO model systems is a topic of current interest, especially given the fact that  $NO_{(g)}$  is a common inhibitor for C*c*O. A handful of small molecule NOR model systems that can couple  $NO_{(g)}$  and produce  $N_2O_{(g)}$  have been reported by several research groups including our own.<sup>230,1048,1049,1225–1228</sup> Importantly, Lu and co-workers have developed re-

engineered myoglobin derived models of NOR,<sup>1099,1229–1232</sup> which can catalytically couple NO(g) to  $N_2O(g)$  with high efficiency.<sup>1229</sup> These protein-based models have also revealed significant insights into mechanistic details,<sup>1230,1232</sup> which not only benefit in comprehending the actual NOR turnover events but also serve as useful reference points in the rational design of small-molecule model systems. As with NORs, critical details pertaining to the nature of the intermediates, their protonation state(s) and coordination modes, and the influence of metal redox properties on reactivity remain unclear. To this end, heme-Cu model systems can and will serve as useful platforms to investigate such chemical problems, and the findings from these studies may serve in therapeutic applications,<sup>1233,1234</sup> or in aiding the development of environmentally benign catalytic convertors for detoxifying  $NO_{(g)}$  in industrial and automobile exhausts.<sup>1235</sup>

### 6.6. Final Remarks

It is evident from the results reviewed herein, which span studies in metalloenzyme biochemistry and synthetic inorganic coordination chemistry, that in order to develop a detailed understanding of biochemical processes (such as O2-reduction during cellular respiration), it is best to enlist perspectives and techniques from many subdisciplines. We have highlighted the important and diverse recent advancements contributed by investigations of bioinspired small molecule model systems and discussed them in the context of heme-copper oxidases. While the aim of designing, synthesizing, and investigating the small molecule activation chemistry of synthetic constructs is not to replicate the exact enzyme active site, they comprise various analogous characteristics which allow for directed interrogations of structure-function relationships and/or the nature of proton/electron transfer events. As we point out, especially in section 6, a well-rounded inorganic chemistry perspective based in experiment and theory is invaluable for critical evaluations of biochemical studies as well as elucidations of certain details which are inaccessible in direct enzyme studies (just as biochemical results inspire the design of new, more effective model systems). Of course, some aspects, such as the redox-coupled protonpumping function of HCOs cannot (yet) be handled with synthetic models. However, we have also presented a brief, yet exciting, outlook for the many ways in which the application of inorganic coordination chemistry can be directed to provide even further important insights and inspire the design of systems to lead to a better fundamental understanding of the O2-reduction reaction necessary for sustaining aerobic life or even lead to the development of stand-alone, potentially catalytic, systems to carry out this fuel cell reaction.

# ACKNOWLEDGMENTS

We gratefully acknowledge the USA National Institutes of Health (Research Grants R01 GM60353 and R01 GM28962 to K.D.K.) for the support of the works from our laboratories described herein and the writing of this article.

# Biography

Suzanne M. Adam received her B.S. degree in chemistry from Lehigh University in 2012, during which time she also began her research career in the lab of Dr. Gregory S. Ferguson. She completed her Ph.D. work at Johns Hopkins University in 2017 under the guidance of

Dr. Kenneth D. Karlin, wherein she studied the mechanism of  $O_2$ - reduction and structure– function relationships involved in PCET reactions of bioinspired synthetic heme–Cu systems. She is currently completing postdoctoral research with Carole Duboc at the Université Grenoble Alpes and Vincent Artero at CEA-Grenoble, involving  $CO_2$  chemical valorization using bioinspired Ni/Fe catalysts inspired by carbon monoxide dehydrogenase and acetyl-CoA synthase.

Gayan B. Wijeratne was born in Kandy, Sri Lanka, and received his B.S. degree in chemistry from the University of Colombo, where his research on transition metal complexes of Sri Lankan natural products was recognized by the Professor R. S. Ramakrishna Memorial Gold Medal in inorganic chemistry in 2009. He moved to the United States in 2010 and carried out his graduate research in the laboratory of Professor Timothy A. Jackson at the University of Kansas, working on bioinspired manganese complexes that bind dioxygen and its reduced derivatives, as well as on thermodynamic and kinetic studies of proton-coupled electron transfer reactivity of manganese-based oxidants. There he won the Higuchi Doctoral Progress Award as the superior advanced graduate student of the University of Kansas in 2015. He was a postdoctoral research associate in the laboratory of Professor Kenneth D. Karlin at Johns Hopkins University from 2015 to 2018, where his research interests were focused on understanding heme and/or copper interactions with nitrogen oxides, primarily the mechanistic aspects involved with the reductive coupling of nitric oxide to nitrous oxide. He was also involved in dioxygen reduction and substrate oxidation reactivity studies of heme/copper assemblies. Dr. Wijeratne is currently an Assistant Professor of Chemistry at The University of Alabama at Birmingham, where his independent research program is geared toward interrogating key mechanistic details pertaining to various crucial bioinorganic processes, and comprehending their implications in human health and therapeutics, sustainable catalysis, and alternative energy applications.

Patrick J. Rogler received his B.S. degree in chemistry from Rutgers University, The State University of New Jersey, in 2012. He is currently working toward his Ph.D. in chemistry at The Johns Hopkins University in Baltimore, MD, in the research group of Professor Kenneth D. Karlin. His doctoral work is concerned with small molecule synthetic models for the active site of Cytochrome c Oxidase.

Daniel E. Diaz was born in Santiago, Chile, and received his B.S. degree in chemistry from Universidad de Santiago de Chile. He came to the USA with a Fulbright fellowship and joined Johns Hopkins University, where he is currently working toward his Ph.D. in Chemistry under the direction of Professor Kenneth D. Karlin. His research focuses on the biomimetic study of primary copper (di) oxygen adducts present in the proposed catalytic mechanisms of copper-containing monooxygenases, such as PHM and LPMOs.

David A. Quist received his B.S. degree in chemistry from the University of Michigan in 2013. He is currently a Ph.D. candidate at Johns Hopkins University, working in the lab of Dr. Karlin. His research is aimed toward the understanding of binuclear copper model complex  $O_2$ -adducts, their redox interconversions, and their O–O bond cleavage processes.

Jeffrey J. Liu received his B.S. degree in chemistry from Boston University in 2013 and went on to Johns Hopkins University to pursue his Ph.D. under the supervision of Professor Kenneth D. Karlin. His current research in the Karlin Lab involves understanding the reactivity of copper-dioxygen intermediates with nitric oxide, including investigations of copper-peroxynitrite species which may form from  $Cu-O_2$  and NO.

Kenneth D. Karlin received his B.S. degree in chemistry from Stanford University, and went on to obtain a Ph.D. from Columbia University in 1975 under the direction of Stephen J. Lippard. He then completed a NATO postdoctoral fellowship at the University of Cambridge, UK (1975–1977), before beginning his independent career at the State University of New York (SUNY) at Albany in 1977. In 1990, he moved to Baltimore, MD and began building his research program at Johns Hopkins University, where he currently holds the position of Ira Remsen Chair in Chemistry. His research interests include the coordination chemistry of bioinspired copper and heme/Cu systems, with an emphasis on mechanistic studies of their small molecule ( $O_2$ , NOx) activation reactivities.

# ABBREVIATIONS

5C	Five coordinate
6C	six coordinate
AO	amine oxidase
APX	ascorbate peroxidase
ATR-MIR	attenuated total reflection in mid-infrared
BDE	bond dissociation energy
BDFE	bond dissociation free energy
BNC	binuclear center
BO	bond order
CcO	Cytochrome c Oxidase
ССР	Cytochrome c Peroxidase
Cmpd 0	Compound 0; (P)FeIII-OOH
Cmpd I	Compound I; (P•+)FeIV=O
Cmpd II	Compound II; (P)FeIV=O
COx	catechol oxidase
СРО	chloroperoxidase
CV	cyclic voltammetry
Cyt. P450	Cytochrome P450

DFT	density functional theory
DMAP	4-dimethylaminopyridine
D <b>β</b> M	dopamine $\beta$ -monooxygenase
ENDOR	electron-nuclear double resonance
EPG	edge plane pyrolytic graphite
EPR	electron paramagnetic resonance
ESI-MS	electrospray ionization mass spectrometry
ET	electron transfer
EXAFS	extended X-ray absorption fine structure
FGE	Formylglycine generating enzyme
FTIR	Fourier-transform infrared
GO	galactose oxidase
H-bonding	hydrogen bonding
H.S.	high-spin
НАА	hydrogen atom abstraction
НАТ	hydrogen atom transfer
Hb	hemoglobin
Нс	hemocyanin
НСО	heme-copper oxidase
НО	heme oxygenase
HRP	horseradish peroxidase
IDO	indoleamine 2,3-dioxygenase
KIE	kinetic isotope effect
L.S.	low-spin
LMCT	ligand to metal charge transfer
LPMO	lytic polysaccharide monooxygenase
LPO	lactoperoxidase
MALDI-TOF-MS	matrix-assisted laser desorption ionization time of flight mass spectrometry

Mb	myoglobin
MCD	magnetic circular dichroism
MOF	metal organic framework
МРО	myeloperoxidase
NFK	N-formylkynureine
NMR	nuclear magnetic resonance
NOR	nitric oxide reductase
NOS	nitric oxide synthase
OER	oxygen-evolving reaction
ORR	oxygen reduction reaction
Р	porphyrinate
PCET	proton coupled electron transfer
РНМ	peptidylglycine $\alpha$ -hydroxylating monooxy-genase
рММО	particulate methane monooxygenase
PROS	partially reduced oxygen species
РТ	proton transfer
ROS	reactive oxygen species
rR/rRaman	resonance Raman
RRDE	rotating ring disc electrochemistry
SAM	self-assembled monolayers
SEIRA	surface-enhanced infrared absorption
SERRS	surface-enhanced resonance Raman spectroscopy
SF-ROX	serial femtosecond rotational crystallography
sMMO	soluble methane monooyxgenase
TDO	tryptophan 2,3-dioxygenase
TS	transition state
Ту	tyrosinase
T <i>β</i> M	tyramine $\beta$ -monooxygenase
XANES	X-ray absorption near edge spectroscopy

XAS	X-ray absorption spectroscopy
XFEL	X-ray free electron laser
XRD	X-ray diffraction

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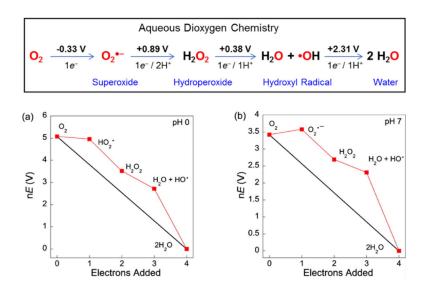
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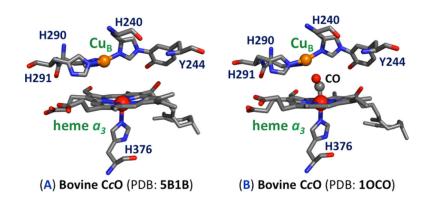
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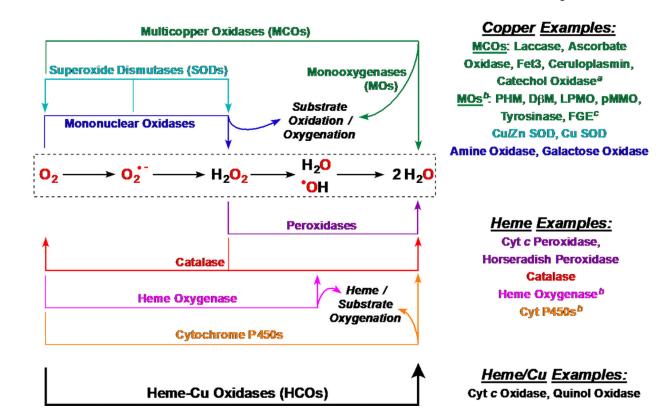
## Figure 1.

(Top) Stepwise reduction of dioxygen. Reduction potentials are given in volts vs NHE at 25 °C, 1 bar, pH = 7. (Bottom) Frost diagrams depicting the free energy (nE) of the ROS intermediates during stepwise  $O_2$  reduction to water at (a) pH = 0 and (b) pH = 7. Adapted from ref 1. Copyright 2010 American Chemical Society.



## Figure 2.

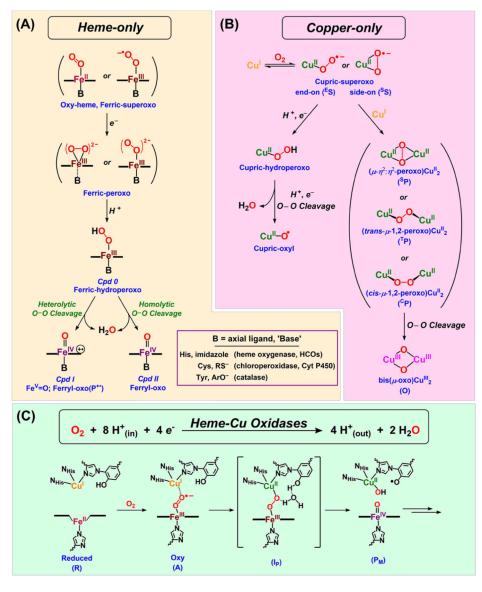
Heme-copper binuclear center (BNC) active site in HCOs, as represented by X-ray crystal structures from (A) a fully reduced iron(II)…copper(I) bovine heart cytochrome *c* oxidase (CcO) and (B) a reduced but  $Fe_{a3}$  carbon monoxide (CO) bound derivative.



#### Figure 3.

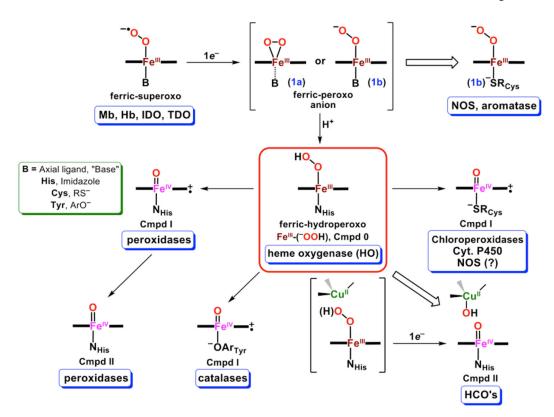
Heme, copper, and heme/Cu enzymes involved in chemistry with dioxygen. <sup>a</sup>Catechol oxidase catalyzes four-electron reduction of dioxygen to two moles of water while oxidizing two moles of catechol substrate to two moles of quinone. <sup>b</sup>The stoichiometry of monooxygenases (Cu-only and heme-only examples) reflects  $2H^+/2e^-$  reduction of dioxygen to give one water molecule and incorporation of one O atom into a substrate (X-H  $\rightarrow$  X–OH). <sup>c</sup>The newly discovered formylglycine-generating enzyme (FGE) is a monooxygenase which is believed to utilize a mono-Cu site to oxidize cysteine to formylglycine and reduce O<sub>2</sub> to form H<sub>2</sub>O and H<sub>2</sub>S (see section 3 for details).





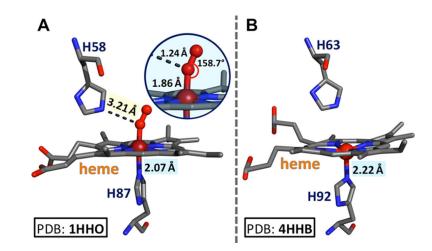
## Figure 4.

(A) Heme-only chemistry with  $O_2$  (section 2). (B) Mononuclear and dinuclear, copper-only chemistry with  $O_2$  (section 3). (C) O–O reductive cleavage chemistry by heme–copper oxidases, coupled to transmembrane pumping of 4 protons per mole of  $O_2$  reduced (section 4).



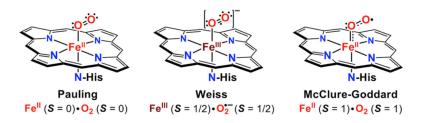
## Figure 5.

Generalized relationship among heme/dioxygen-derived oxidative species and their occurrence in biological systems.



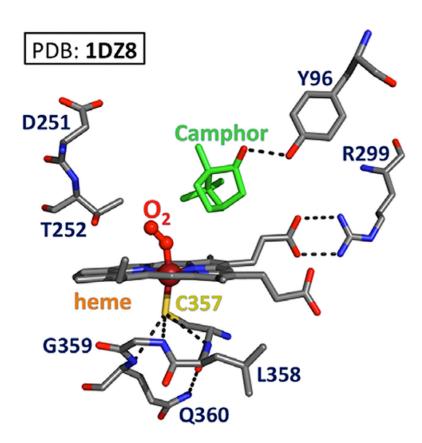
### Figure 6.

(A) Oxy- and (B) deoxy- forms of hemoglobin where the doming of the porphyrinate is observed in the deoxy- form. The iron center moves into the plane of the porphyrin as the dioxygen coordinates in the oxy-form.



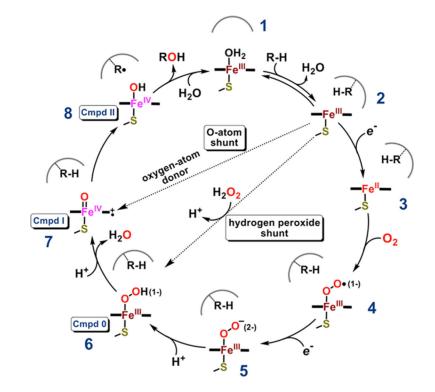
## Figure 7.

Simple representations showing the three main proposals presented thus far for the explanation of the electronic structure description of oxy-Hb/Mb species. Adapted from ref 94. Copyright 2013 American Chemical Society.



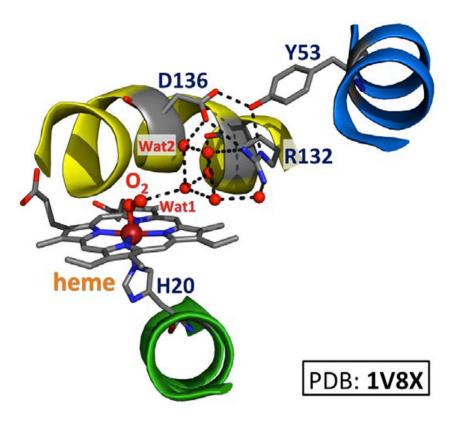
## Figure 8.

Close view of the Cyt P450<sub>cam</sub> active site with the heme- bound dioxygen molecule, also highlighting the bound camphor substrate, the six coordinate oxy-heme center (as a formally Fe<sup>III</sup>- superoxide complex), and the critical H-bonding interactions involving the proximally ligated cysteine residue.



# Figure 9.

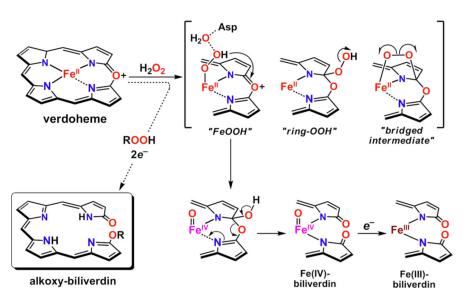
Proposed catalytic cycle for Cyt P450 enzymes. Crystallo-graphic characterization of species **1–4** has been achieved,<sup>120</sup> while other intermediates including peroxo and hydroperoxo Cmpd I and Cmpd II have been spectroscopically observed.<sup>125,131,132</sup> See text for details.



### Figure 10.

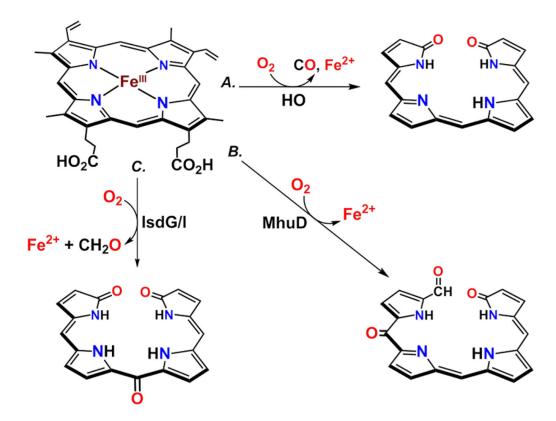
Active site structure of an oxy form of HO bearing the heme with axially coordinated His20, "distal" (yellow), and "proximal" (green) helices, and the critical water cluster held in place by Asp136. See the text for further discussion.





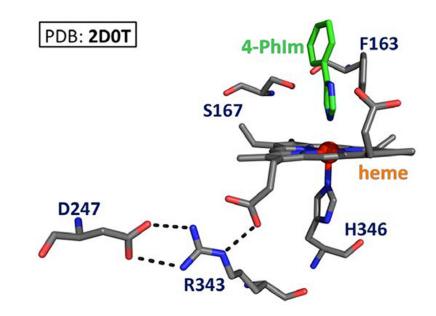
### Figure 11.

Mechanistic proposals for the transformation of iron(II)-verdoheme to iron(III)-biliverdin in the last step of HO activity. Adapted from ref 161. Copyright 2010 American Chemical Society.



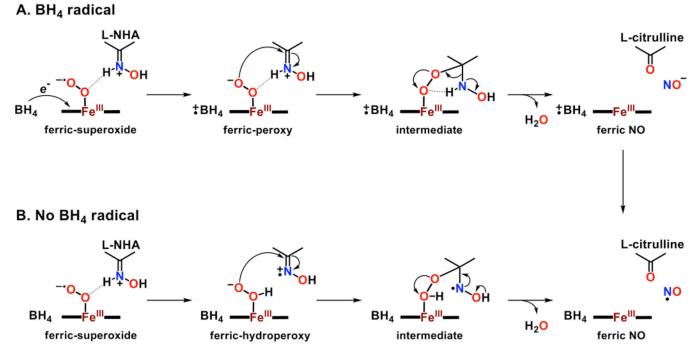
## Figure 12.

Differences in heme degradation pathways of traditional (pathway A) versus nontraditional (pathways B and C) heme oxygenases. Adapted from ref 208. Copyright 2014 American Chemical Society.



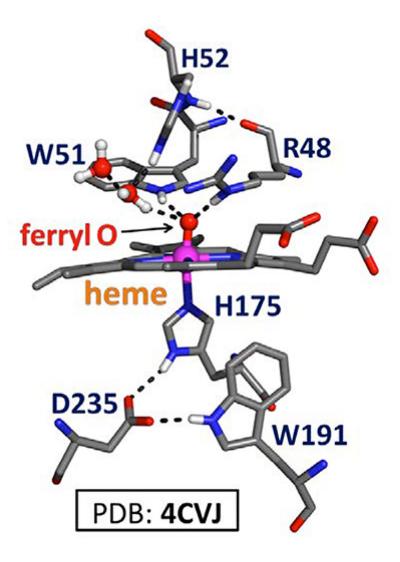
# Figure 13.

Active site structure of human-IDO. Here, a 4-phenylimidazole inhibitor molecule is bound to the active site heme (shown in green).



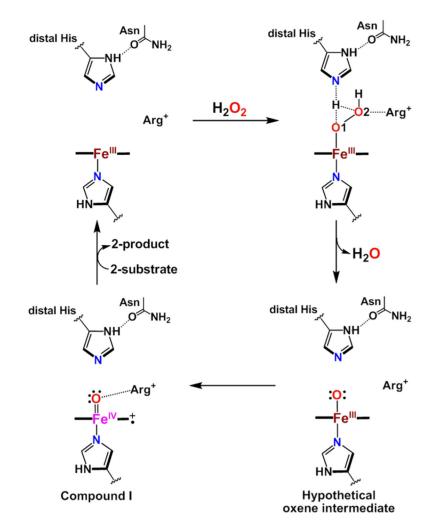
### Figure 14.

Two proposed pathways for the conversion of N-hydroxy-L-arginine to L-citrulline plus  $NO_{(g)}$  at the active site of NOS. Adapted from ref 17. Copyright 2014 American Chemical Society.



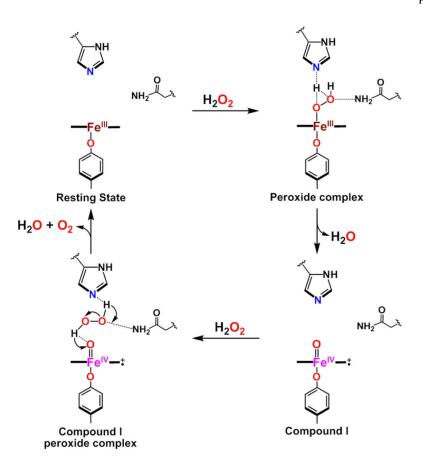
# Figure 15.

Structure of CCP Cmpd I, where a water molecule is present in close proximity (also observed for Cmpd I of HRP). The protonated distal imidazole reveals the involvement of a proton relay mechanism in the O–O bond scission event of the corresponding Cmpd 0 species.



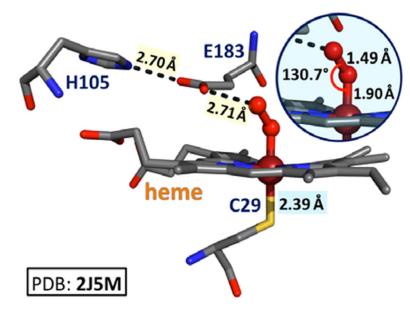
## Figure 16.

Proposed catalytic cycle for peroxidases highlighting the crucial role of the distal His residue in proton translocation that induces the heterolytic O–O bond cleavage process of Cmpd 0. Adapted with permission from ref 45. Copyright 2007 University Science Books.



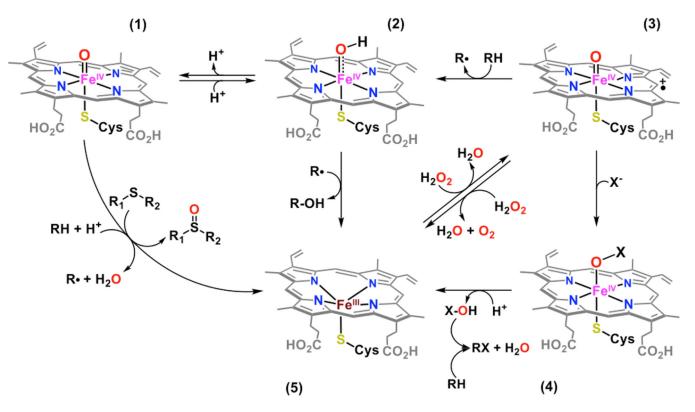
## Figure 17.

Proposed mechanism for catalases, emphasizing the prominent role of the Asn residue. Adapted with permission from ref 45. Copyright 2007 University Science Books.



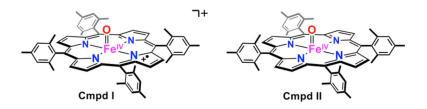
# Figure 18.

Crystallographically characterized Cmpd 0 intermediate of chloroperoxidase: Fe–O = 1.80 Å, O–O = 1.50 Å, and Fe–O–O =  $131^{\circ}$ .



# Figure 19.

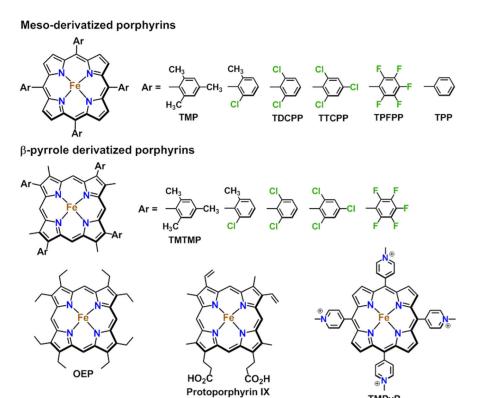
Divergent transformations involved within the catalytic cycle of haloperoxidases. Adapted with permission from ref 287. Copyright 2006 Springer Nature Switzerland AG.



# Figure 20.

Earliest characterized high-valent oxo-Fe<sup>IV</sup>=O model complexes:  $[(TMP^{\bullet+})Fe^{IV}=O]^+$  (left) and  $[(TMP)Fe^{IV}=O]$  (right). See text for details.

Chem Rev. Author manuscript; available in PMC 2019 November 28.



#### Figure 21.

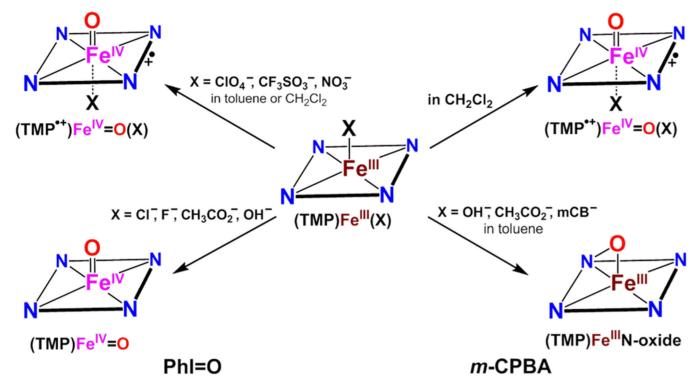
Various porphyrin *meso-* and  $\beta$ -pyrrole derivative supporting ligands that have been utilized to prepare high-valent oxo-heme model complexes. See text for details.

TMPyP

Chem Rev. Author manuscript; available in PMC 2019 November 28.

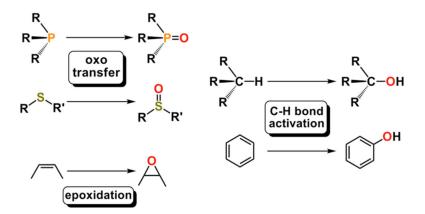
Adam et al.

Page 257



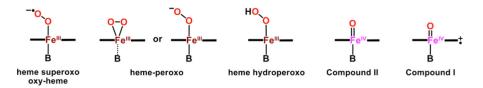
# Figure 22.

Synthetic methods for [(TMP<sup>•+</sup>)Fe<sup>IV</sup>=O]<sup>+</sup> and [(TMP)Fe<sup>IV</sup>=O] with various axial ligands, oxidants, and solvent environment. See text for discussion. Adapted with permission from ref 133. Copyright 2016 Royal Society of Chemistry.



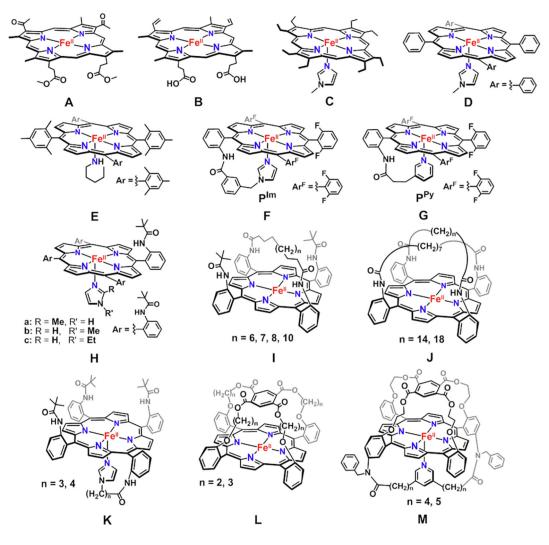
# Figure 23.

Organic substrate transformations carried out by synthetic compound I model species.



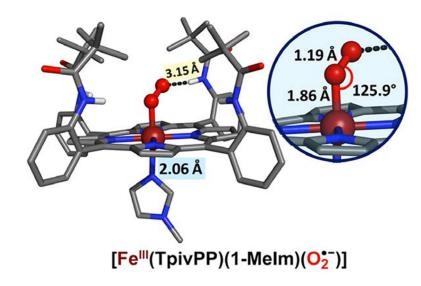
#### Figure 24.

Six-coordinate [i.e., with an axially ligated base (B)] heme–Fe adducts bearing different reduced derivatives of dioxygen as axial ligands (i.e., superoxo, peroxo, and oxo). Inspired by the relevance of these adducts in various enzymatic systems (see section 2.1), small molecule models have been generated and interrogated in great detail. Significant insights gained from such models are discussed in the sections that follow, highlighting their applicability toward a more thorough understanding of the CcO enzymatic system.



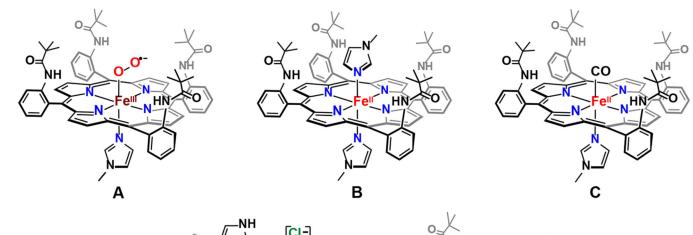
# Figure 25.

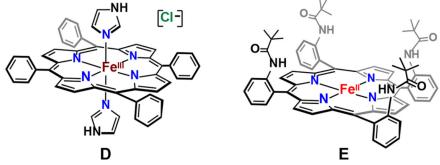
Chemdraw depictions of iron(II) complexes of 2,4-diacetyldeuteroporphyrin IX dimethyl ester (A), protoporphyrin IX (B), octaethylporphyrin (C), and tetraphenylporphyrin (D) with substituted imidazole axial ligands; tetramesitylporphyrin (E) with axially ligated piperidine; examples of systems with tethered axial bases [F (P<sup>Im</sup>) and G (P<sup>Py</sup>)]; "picket-fence" porphyrin with substituted imidazole axial ligands (H); single-strapped (I), and double-strapped (J) "basket-handle" porphyrins; examples with steric bulk at both sides of the porphyrinate (K, M); and examples of capped porphyrinates (L, M). See the text for further discussions of these complexes.



## Figure 26.

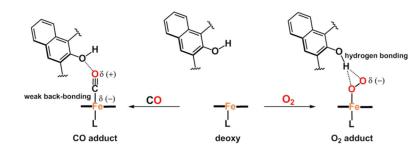
Classic oxy-heme "picket-fence" porphyrin complex, originally designed, generated, and crystallographically characterized by Collman and co-workers,<sup>397</sup> is here depicted in a later X-ray structure determined by Li, Schulz, and Scheidt.<sup>433</sup> Selected bond lengths and angles are shown; these parameters are very close to those previously reported by Collman and co-workers. Also, see the text.





# Figure 27.

Complexes utilized by Hedman, Hodgson, and Solomon<sup>94</sup> for electronic structure studies on "picket-fence" oxy-heme adduct: (A) the "picket-fence" oxy heme complex with axially coordinated 1-MeIm, (B) [(TpivPP)Fe<sup>II</sup>(1-MeIm)<sub>2</sub>], (C) [(TpivPP)Fe<sup>II</sup>(1-MeIm)(CO)], (D) [(TPP)Fe<sup>III</sup>(Im)<sub>2</sub>](Cl), and (E) [(TpivPP)Fe<sup>II</sup>]. See text for further discussion.

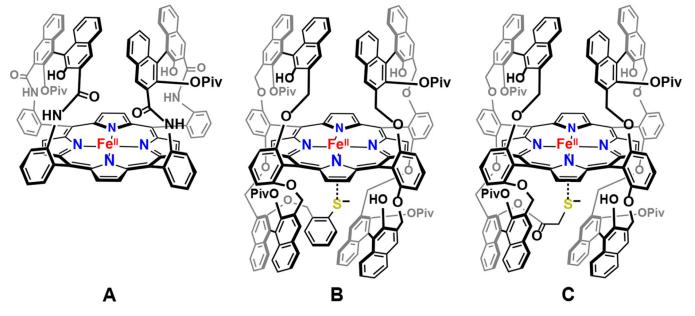


### Figure 28.

Destabilization of carbonyl complexes through reduced back-bonding (left), and stabilization oxy-heme species through hydrogen-bonding interactions (right) resulting from hydroxylated naphthyl functionalities in "coronet" model systems from Naruta and co-workers. Adapted from ref 455. Copyright 2003 Wiley-VCH.

Chem Rev. Author manuscript; available in PMC 2019 November 28.

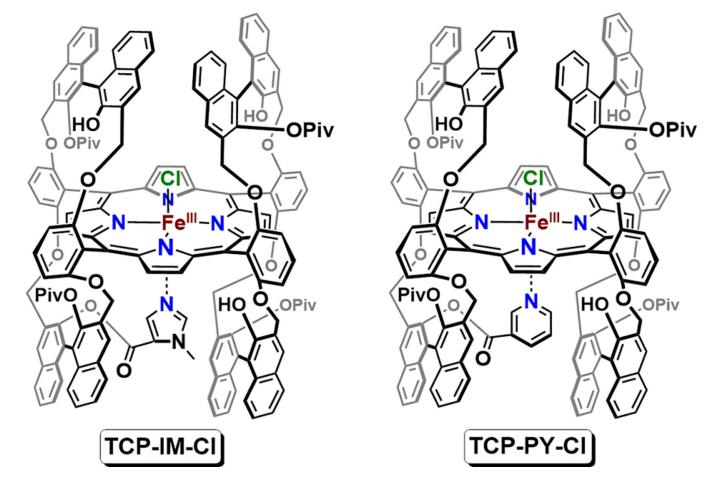
Adam et al.



## Figure 29.

(A) "Single-coronet" and (B and C) "twin-coronet" model systems designed by Naruta and co-workers. The "twin-coronet" systems incorporate (B) thiobenzyloxy and (C) thioglycolate axial tethers as mimics for the cytochrome P450 active site. See text for further discussion.

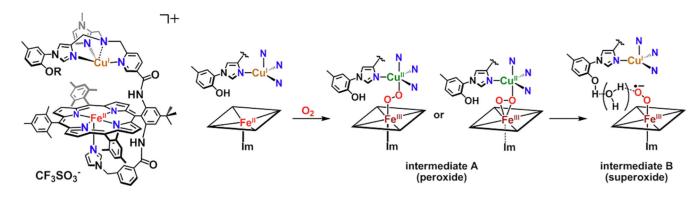
Adam et al.



# Figure 30.

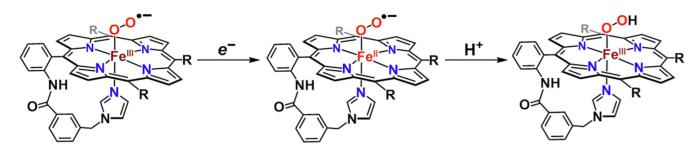
Amended "twin-coronet" model platforms of hemoglobin and myoglobin active sites with tethered axial N-based ligands. See text for discussion.

Page 266



## Figure 31.

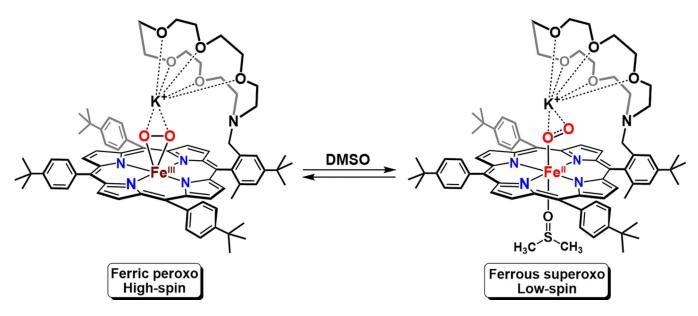
Formation and decay of the heme/Cu  $\mu$ -peroxo species giving heme-superoxo and reduced Cu<sup>I</sup> as observed by Naruta. Since the rate of formation of the superoxo is increased upon added water, a water-mediated hydrogen-bonding interaction between the tethered phenol and the superoxo ligand is postulated. R = H, MOM. Adapted with permission from ref 485. Copyright 2005 Wiley-VCH.



#### Figure 32.

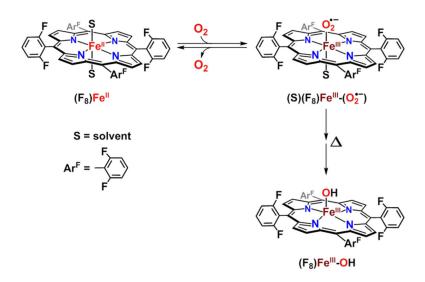
Cryo-reduction of heme ferric-superoxo species using  $\gamma$ -ray radiation at 77 K in frozen solution reported by Naruta and co-workers and its subsequent reactivity to produce the ferric-hydroperoxo adduct.

Chem Rev. Author manuscript; available in PMC 2019 November 28.



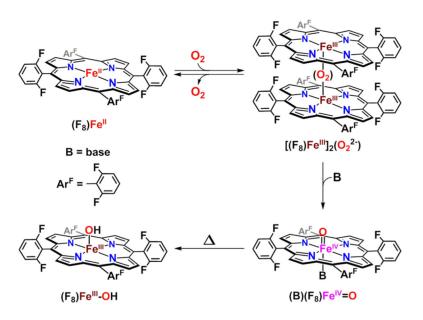
# Figure 33.

Solution equilibrium between an Fe<sup>III</sup>-peroxo and an Fe<sup>II</sup>-superoxo species of a porphyrincrown ether conjugate as observed by Ivanovi -Burmazovi and co-workers.<sup>492</sup>



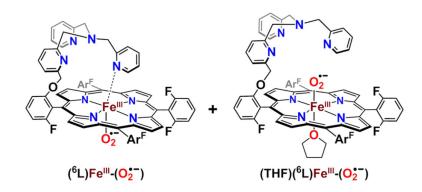
#### Figure 34.

Reversible dioxygen binding to  $[(F_8)Fe^{II}]$  to form  $[(THF)(F_8)Fe^{III}(O_2^{\bullet-})]$  and its thermal decay giving  $[(F_8)-Fe^{III}(OH)]$ . Adapted from ref 498. Copyright 2001 American Chemical Society.



# Figure 35.

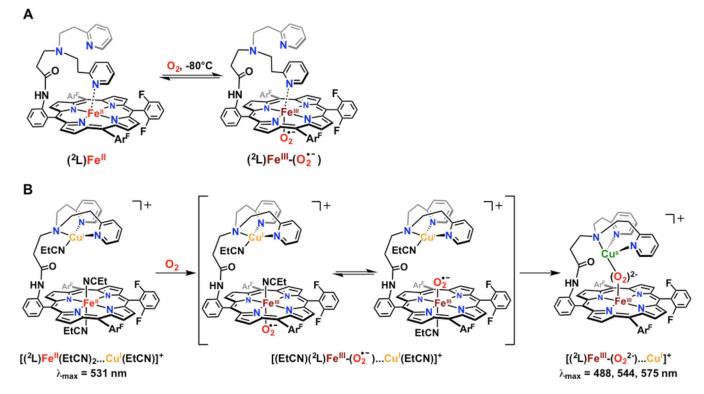
Stepwise transformation of  $[{(F_8)Fe^{III}}_2(O_2^{2-})]$  to  $[(F_8)Fe^{III}(OH)]$  with the intermediacy of the ferryl species ( $[(B)(F8)Fe^{IV}=O]$ ) in the presence of a coordinating base. Adapted from ref 498. Copyright 2001 American Chemical Society.



# Figure 36.

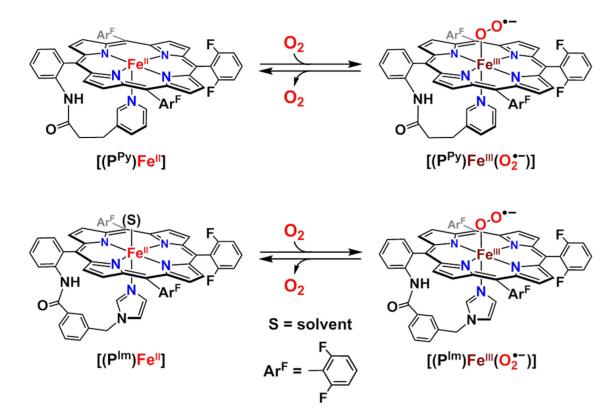
Two structural possibilities for a 6C low-spin  $[(^{6}L)-Fe^{III}(O_{2}^{\bullet-})]$  complex formed via the oxygenation of the parent Fe<sup>II</sup> complex in THF at -380 °C. The sixth ligand on the Fe<sup>III</sup> center of the superoxide could either be a THF solvate (right) or a pyridyl arm from the TMPA tether (left) as shown here. Adapted from ref 504. Copyright 2002 American Chemical Society.

Adam et al.



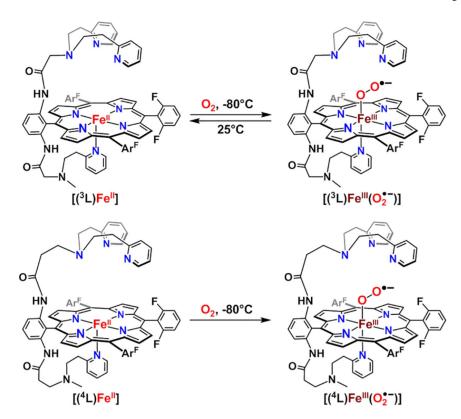
## Figure 37.

(Å) The dioxygen binding of the 5C [( ${}^{2}L$ )Fe<sup>II</sup>] giving the 6C low-spin [( ${}^{2}L$ )Fe<sup>III</sup>(O<sub>2</sub><sup>•-</sup>)] complex. Note that in both structures one of the pyridine arms of the MePY2 tether is coordinated to the iron center. (B) The reaction landscape observed when the fully reduced [( ${}^{2}L$ )Fe<sup>II</sup>/Cu<sup>I</sup>]<sup>+</sup> complex is oxygenated in EtCN at -80 °C.



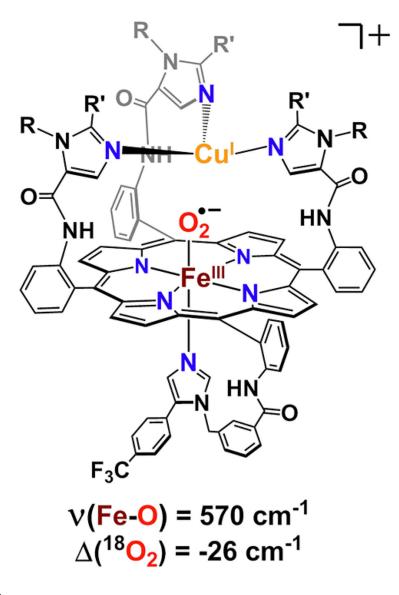
#### Figure 38.

Schematic diagram indicating the formation of the 6C low- spin ferric-superoxo adducts of  $[(P^{Py})Fe^{II}]$  (top) and  $[(P^{Im})Fe^{II}]$  (bottom) at -380 °C in THF. Adapted with permission from ref 420. Copyright 2013 Elsevier Ltd.



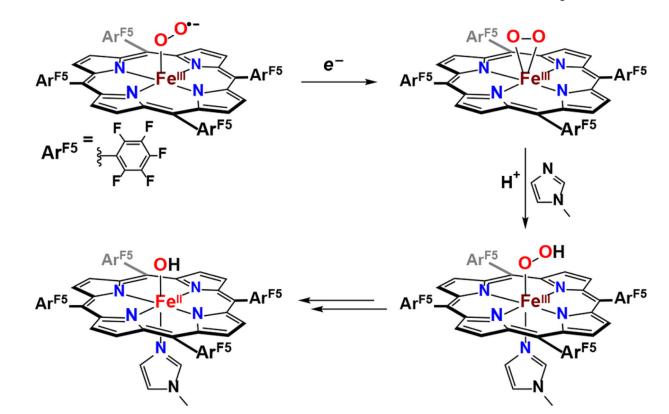
#### Figure 39.

Dioxygen reactivity patterns of  ${}^{3}L$  and  ${}^{4}L$  ligand platforms forming ferric-superoxo complexes. Only  ${}^{3}L$  (top) exhibits reversible dioxygen binding.



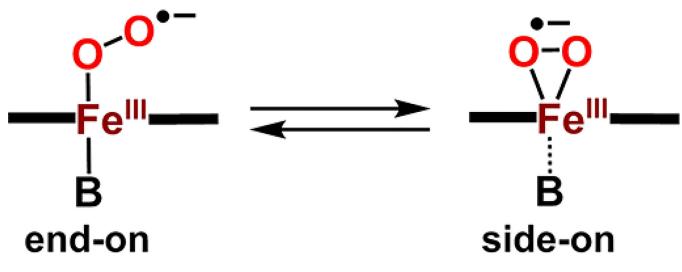
# Figure 40.

Heme-superoxo/copper(I) adduct,  $[(NMePr)Fe^{III} - (O2^{\bullet-})\cdots Cu^{I}]^{+}$ , reported by Collman and co-workers (R = methyl; R' = propyl).<sup>525</sup> The reactivity of this species with phenols, as biomimetic substrates, is described in section 5.



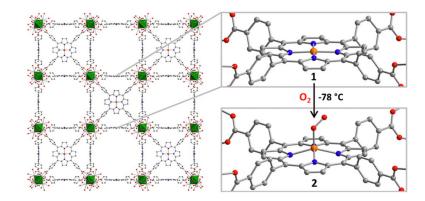


Electrochemical reduction of heme-superoxo systems studied by Anxolabehere-Mallart and co-workers. See text for discussion.



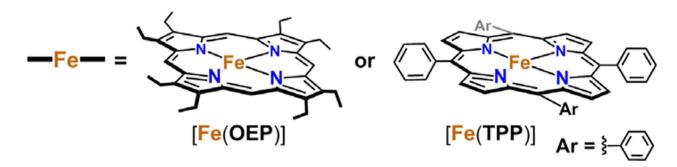
# Figure 42.

Thermal equilibrium present between the end-on and the side-on bound heme-superoxo isomers as observed by Nakamoto and co-workers. See text for further discussion.<sup>531</sup>



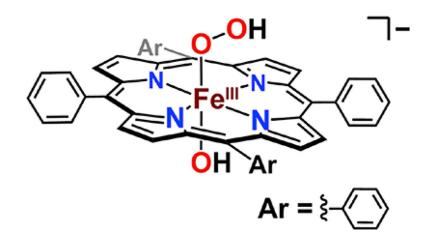
## Figure 43.

Porphyrinic MOF, PCN-224Fe<sup>II</sup>, synthesized by Harris and co-workers (left) that reversibly binds dioxygen to give the first structurally characterized 5C low-spin heme–superoxo complex (right). Adapted from ref 534. Copyright 2014 American Chemical Society.

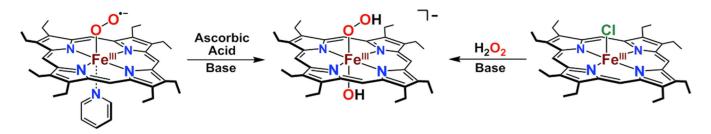


#### Figure 44.

Methodology utilized by Valentine and co-workers in the generation of side-on bound hemeiron(III)-peroxo complexes. Two equiv of  $KO_2$  were observed to be essential, where the first equiv would reduce the starting  $Fe^{III}$  complex to  $Fe^{II}$  (eq 4) and the second equiv reacts with the  $Fe^{II}$  complex, producing the iron(III)-peroxo adduct (eq 5).



**Figure 45.** First Fe<sup>III</sup>-OOH model system, [(TPP)(OH)- Fe<sup>III</sup>(OOH)]<sup>-</sup>, generated and characterized by Tajima and coworkers.<sup>559</sup>

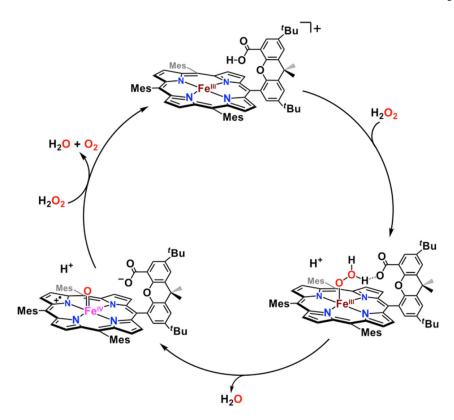


#### Figure 46.

Two synthetic routes for generating the low-spin six-coordinate Fe<sup>III</sup>-OOH adduct of OEP porphyrinate, [(OH)(OEP)Fe<sup>III</sup>(OOH)]<sup>-</sup>, as reported by Tajima and co-workers.<sup>563</sup>

Chem Rev. Author manuscript; available in PMC 2019 November 28.

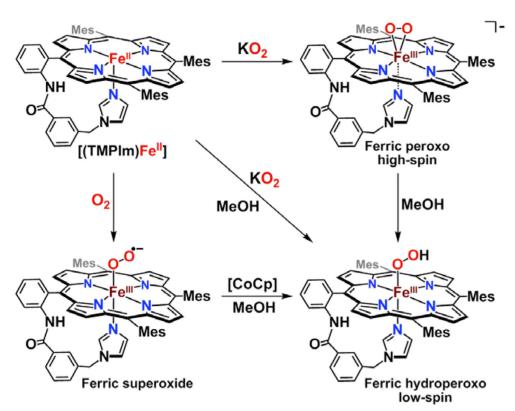




# Figure 47.

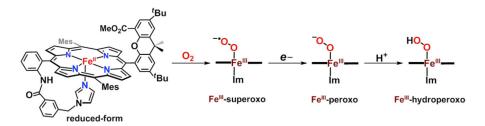
"Hangman" porphyrinate that catalyzes the disproportionation of  $H_2O_2$  with the proposed intermediacy of an Fe<sup>III</sup>– OOH species that mediates proton-assisted O–O heterolysis. Adapted from ref 565. Copyright 2003 American Chemical Society.





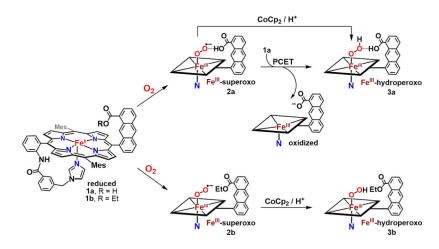
#### Figure 48.

Versatile synthetic approaches utilized by Naruta in order to generate the Fe<sup>III</sup>–OOH species supported by the TMPIm ligand platform. Adapted with permission from ref 490. Copyright 2009 Wiley-VCH.



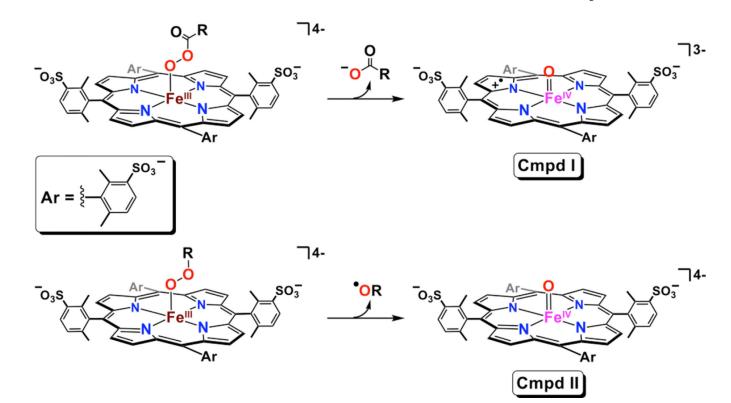
## Figure 49.

Summary of work carried out by Naruta and co-workers on the modified "hangman" platform (left, Mes = mesityl). The heme-superoxo adduct which was initially generated could then be reduced to give the end-on low-spin ferric peroxo complex, which is then protonated to generate the corresponding ferric-hydroperoxo species (right). Adapted from ref 122. Copyright 2010 American Chemical Society.



#### Figure 50.

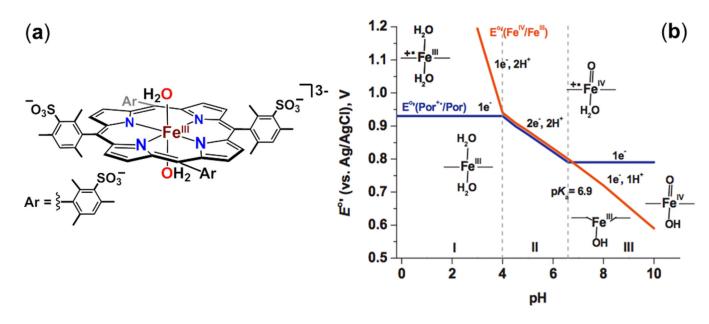
Derivatized "hangman"-type porphyrin systems recently reported by Naruta, which possess carboxylic (top) and ester (bottom) groups in the secondary coordination sphere. Under cryogenic conditions, the heme-superoxo complex of the former (2a) was observed to undergo reduction-protonation with the ferrous parent complex (1a), giving the heme-hydroperoxo adduct (3a). When the secondary coordination sphere's carboxylic acid group was replaced by an ester functionality, a stable superoxo complex was observed (2b), which could be converted to the corresponding ferric-hydroperoxo species (3b) upon reduction-protonation with exogenous reagents. Adapted with permission from ref 568. Copyright 2016 The Royal Society of Chemistry.



# Figure 51.

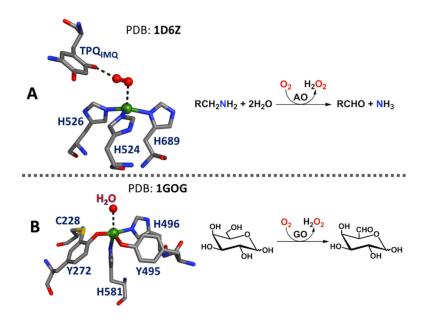
Iron(III)-acylperoxo (top) and iron(III)-alkylperoxo (bottom) complexes that lead to heterolytic and homolytic O–O bond cleavage, respectively (in aqueous media), as observed by Bruice and co-workers. See text for discussion.

Adam et al.



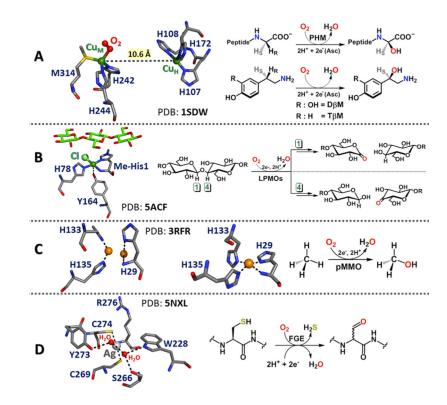
# Figure 52.

(a) Water-soluble TMPS porphyrin utilized by van Eldik and co-workers in their pHdependent studies of O–O bond scission, and (b) Pourbaix diagram describing pH-dependent speciation of redox forms of [(TMPS)Fe<sup>III</sup>]<sup>+</sup>. Adapted with permission from ref 602. Copyright 2007 Wiley-VCH.



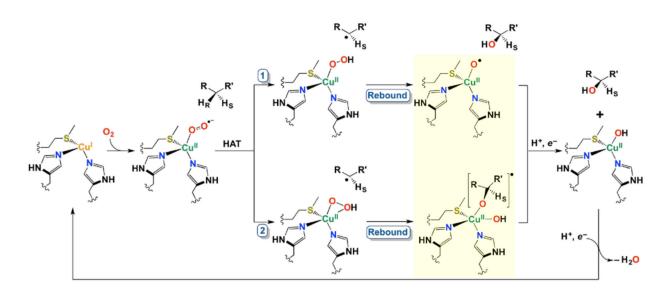
### Figure 53.

Active site structures and O<sub>2</sub>-reduction/substrate oxidation reactions of mononuclear copper oxidases (A) amine oxidase and (B) galactose oxidase. See text for discussion.



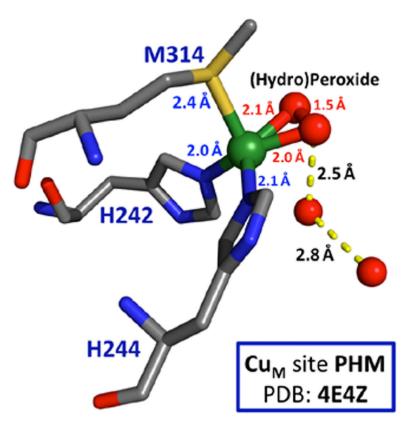
### Figure 54.

Monocopper monooxygenase active site structures for particular protein examples (PDB codes given) and their O<sub>2</sub>-reduction/substrate oxygenation reactions: (A) peptidylglycine  $\alpha$ -hydroxylating monooxygenase (PHM), (B) lytic polysaccharide monooxygenase (LPMO), (C) particulate methane monooxygenase (pMMO) with either a di- or monocopper active site, and (D) formylglycine-generating enzyme (FGE), crystallized with Ag in the site proposed to normally contain copper. See text for further discussions.



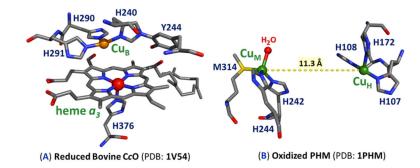
### Figure 55.

Postulated steps in the mechanism of action of PHM and related monooxygenases including key steps where the cupric-superoxide species initially formed upon  $O_2$  binding carries out a hydrogen atom abstraction (HAA) on substrate yielding either an end-on (pathway 1) or side-on (pathway 2) cupric-hydroperoxide. Subsequent rebound of the substrate radical to the Cu-hydroperoxide gives a highly oxidizing Cu – oxyl (i.e., Cu<sup>II</sup>–O•) or Cu<sup>II</sup>-substrate radical intermediate, respectively (highlighted in yellow). An electron, drawn from the enzyme (Cu<sub>H</sub>)site (Figure 54A), and a proton react with the radical intermediate to release the hydroxylated alcohol product leaving a Cu<sup>II</sup>–OH moiety which can be reduced to copper(l) to restart the catalytic cycle.



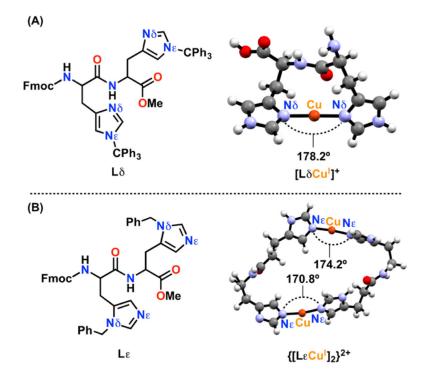
# Figure 56.

PHM active site with side-on bound (hydro)peroxo ligand coordinated to Cum.



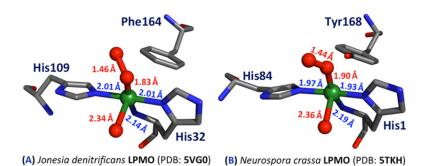
### Figure 57.

(A) Reduced active site of C*c*O featuring the tris-His ligation for Cu<sub>B</sub>; the overall geometry is distorted T- or Y-shaped, and for H290 and H291, binding occurs to the Ne atom of the imidazole groups. (B) A PHM derivative in the oxidized state. Here, Cu<sub>H</sub> is also found in a distorted T-shaped geometry, where H107 and H108 form a near-linear coordination environment ( $\angle$  H107-Cu-H108 = 164°). By contrast, these two His residues bind the copper ion through their imidazole N $\delta$  atoms in a very favorable strong binding interaction (as does H172). See text for further discussion.



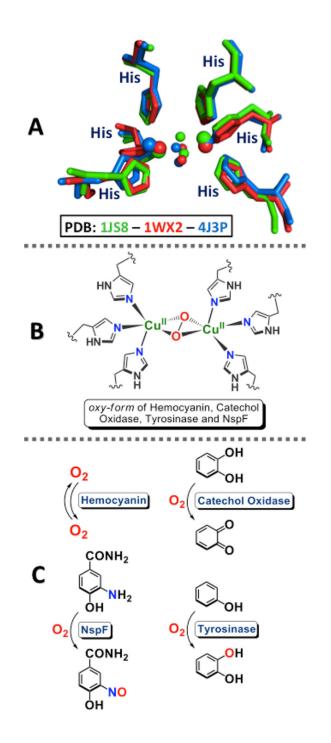
### Figure 58.

Synthetically designed "histidylhistidine" ligands and their calculated (truncated) cuprous compounds. Selective functionalization of either the N $\delta$  or Ne of the imidazole moiety allows for generation of discrete tautomeric compounds featuring either (A) N $\delta$ -Cu–N $\delta$  or (B) Ne–Cu–Ne ligand coordination.<sup>633</sup>



# Figure 59.

Crystal structures showing peroxidic  $O_2$  fragments bound to the static structures formed at the active site of LPMOs.



### Figure 60.

(A) Overlaid active site crystal structures of octopus hemocyanin (green), Streptomyces castaneoglobisporus tyrosinase (red), Aspergillus oryzae catechol oxidase (blue), with  $O_2$  in the active sites highlighting their structurally similar active sites (copper and oxygen atoms are shown as large and small spheres, respectively). A representation of (B) the oxygenated active site, and (C) the substrate reactivity of these dicopper enzymes, including that for NspF.

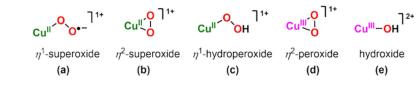


Figure 61.

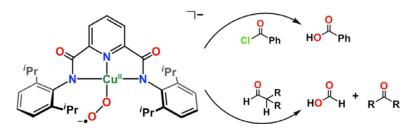
Types of monocopper-oxy complexes.



### Figure 62.

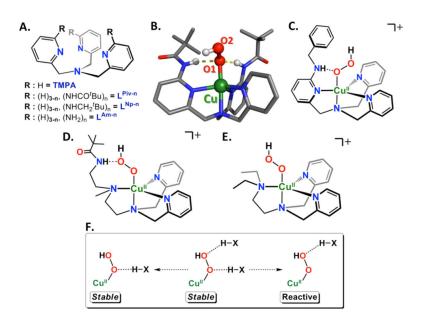
Cationic (not indicated) complexes from Castillo and co-workers. A side-on bound cupricsuperoxide species and its C–H activation reactivity.<sup>697</sup>

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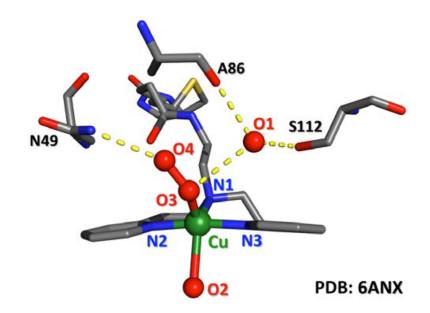
# Figure 63.

 $\rm McDonald$  and co-workers reported nucleophilic reactivity for a LCu<sup>II</sup>–(O2<sup>•–</sup>) complex. Adapted with permission from ref 611. Copyright 2016 Wiley-VCH.



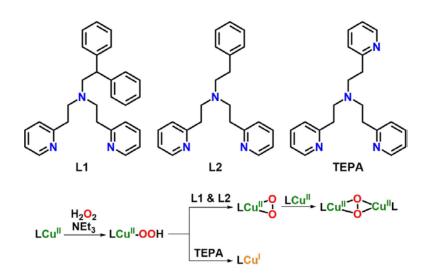
### Figure 64.

(A) Strategy of Masuda and co-workers for systematic introduction of H-bonding groups of varying strengths into the TMPA ligand framework.<sup>700,701,722,723</sup> (B) Crystal structure (50% probability) of the stable (L<sup>Piv2</sup>)Cu<sup>II</sup>-OOH species showing two H-bonds to the proximal O atom.<sup>701</sup> (C) Spectroscopically characterized [(BA)Cu<sup>II</sup>–OOH]<sup>+</sup> species stable at low temperature.<sup>720</sup> (D and E) Ligands with and without substituents positioned to H-bond to the distal O atom of a Cu<sup>II</sup>-OOH species.<sup>700</sup> (F) Overall conclusions regarding the stability or reactivity imposed by H-bonding to proximal vs distal O atoms for LCu<sup>II</sup>-OOH complexes.<sup>721</sup>



# Figure 65.

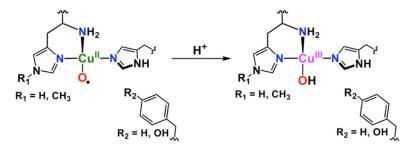
Engineered protein active site designed by Borovik and coworkers and crystallographic determination at 1.62 Å resolution.<sup>721</sup> Multiple H-bonding interactions are observed with the hydroperoxide moiety in the Cu<sup>II</sup>–OOH structure: 01...03 = 3.2 Å and 04...N(N49) = 3.0Å. Further, Cu–O3 = 1.9 Å; O3–O4 = 1.5 Å; and Cu–O2 = 2.6 Å.



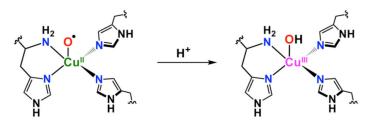
# Figure 66.

Supporting ligands used by Itoh and co-workers to generate Cu<sup>II</sup>–OOH complexes from  $H_2O_2$  and base. Hydroperoxides generated from tridentate ligands (L1 and L2) decay to dicopper(II)  $\mu$ - $\eta^2$ : $\eta^2$  peroxides through a proposed mononuclear side-on peroxide, while the tetradentate TEPA complex decays to a reduced Cu<sup>I</sup> form.<sup>724</sup>

# A. Lytic polysaccharide monooxygenase (LPMO)

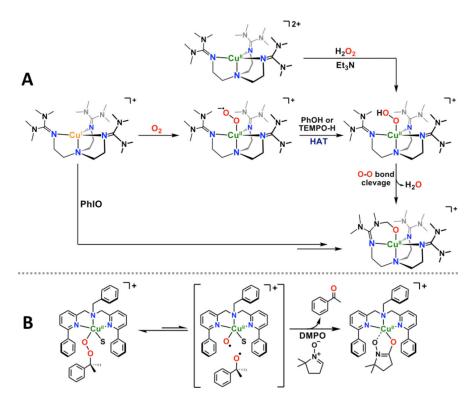


B. Pariculate methane monooxygenase (pMMO)



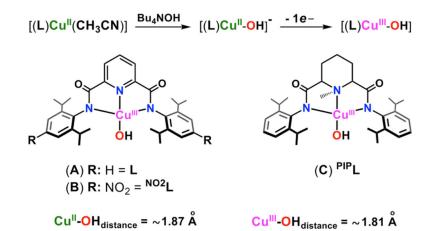
### Figure 67.

Copper-oxyl [(L)Cu<sup>II</sup>-O•] and its conjugate acid [(L)Cu<sup>III</sup>–OH] as reactive intermediates in the active site of (A) LPMO and (B) pMMO enzymes.



# Figure 68.

Chemical systems which have provided indirect evidence for the formation of copper-oxyl (Cu<sup>II</sup>–O•) species.<sup>698,746</sup> See text for further discussion.

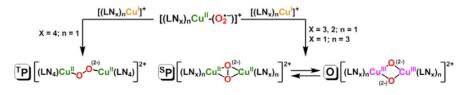


### Figure 69.

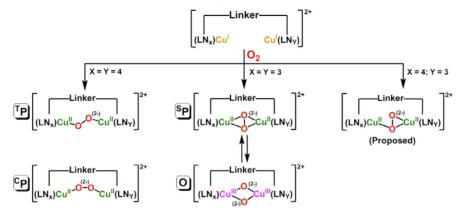
Copper(III) hydroxide complexes: (A) L, (B) <sup>NO2</sup>L, and (C) <sup>PIP</sup>L.

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### Adducts Originating from Single Cu Chelates

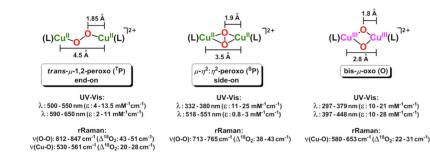


### Adducts Originating from Binucleating Ligands



# Figure 70.

Binuclear copper peroxo, and bis-µ-oxo species which have been characterized or proposed, featuring ligand chelates which bind either one or two copper ions.



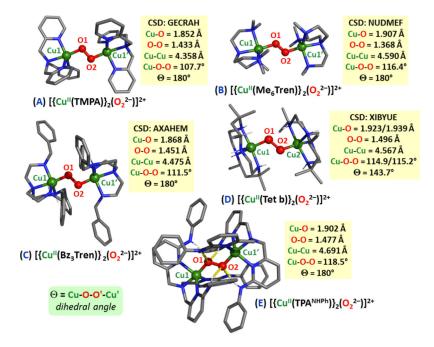
### Figure 71.

Characteristic UV-vis, rRaman, and structural parameters for *trans-µ*-1,2-peroxo, side-on  $\mu$ - $\eta^2$ : $\eta^2$ -peroxo, and bis- $\mu$ -oxo isomers.<sup>753</sup>.



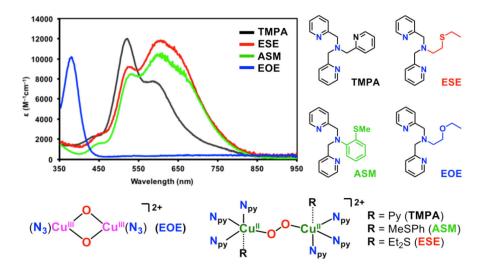


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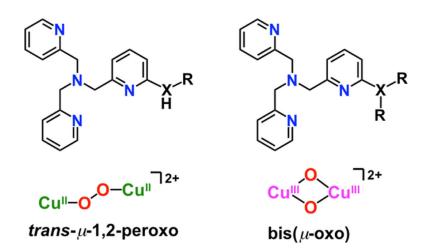
### Figure 72.

Depiction of crystal structures of synthetically derived trans- $\mu$ -1.2-peroxod\copper species with listings of relevant structural parameters. Created using data from references 758 and 766–769.



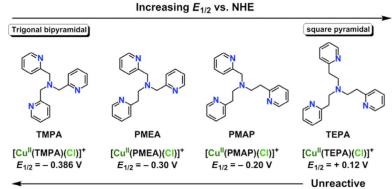
# Figure 73.

Effects of ligand donor strength on copper complex speciation for tetradentate copper chelates, as followed by UV-vis spectroscopy, including those with heteroatoms such as sulfur and oxygen. Adapted from ref 771. Copyright 2010 American Chemical Society.



# Figure 74.

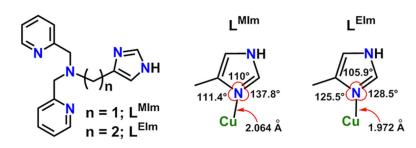
Ligand arm steric bulk can be influential for the copper species formed upon oxygenation of copper(I) complexes (THF solvent, -380 °C). The higher steric bulk of  $-3XR_2$  disfavors pyridyl ligand binding to Cu upon dimerization, thereby giving tridentate copper coordination, which favors the bis- $\mu$ -oxo isomer. See the text.



Increasing Peroxo-dicopper stability

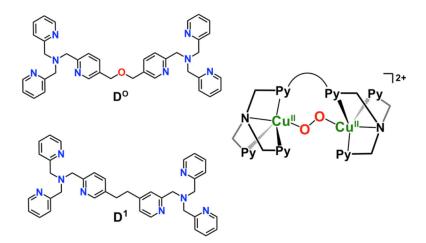
# Figure 75.

Chelate ring size modulates the coordination geometry around copper, as well as the copper(II/I) redox potential.<sup>773</sup> Cuprous chelate reactivity toward dioxygen is also strongly influenced by ligand structure. See text for further discussion.



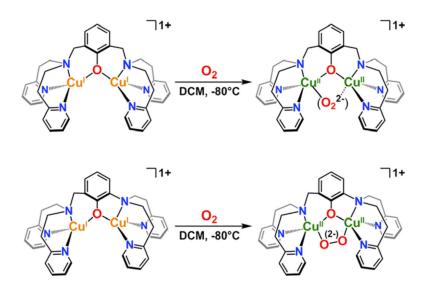
# Figure 76.

In addition to altering copper chelate coordination geometry and redox potential, chelate ring size can influence how strongly an imidazole ligand binds to copper, the resulting complex coordination geometry, and the  $O_2$  reactivity and spectroscopic properties. See text.



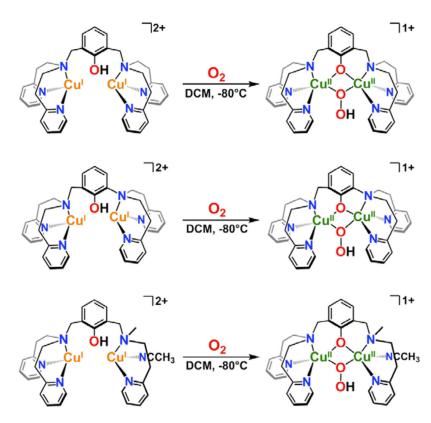
### Figure 77.

Binucleating ligand analogs to TMPA, and a schematic of the trans- $\mu$ -1,2-peroxodicopper(II) complexes that are formed with these ligands, show modified kinetic or thermodynamic behavior. With both D1 and DO, the peroxo complexes form with greater kinetic facility. The peroxo-dicopper(II) complex with DO is thermodynamically more stable than that with TMPA; however, the binuclear peroxo-dicopper(II) complex with D1 is thermodynamically unstable and slowly rearranges to a different structure.<sup>778,779</sup>



### Figure 78.

Generation of binuclear peroxo-dicopper(II) species with the symmetrical XYL-O<sup>-</sup> (top) and the unsymmetrical UN-O<sup>-</sup> (bottom) binucleating ligand frameworks. See text for details.



### Figure 79.

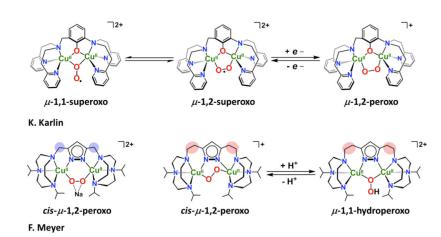
Generation of  $\mu$ -1,1 binuclear (hydro)peroxo-dicopper(II) species via the XYL-OH (top), UN-OH (middle), and UN2-OH (bottom) binucleating ligand frameworks. See text for details.



## Figure 80.

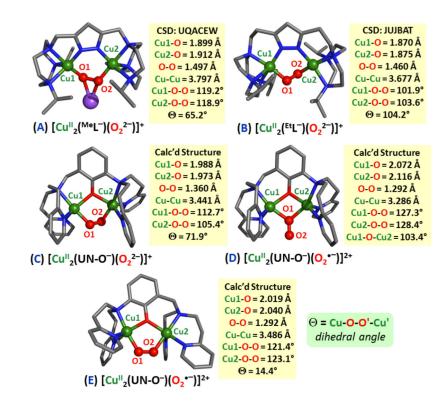
Depiction of X-ray crystal structures of  $\mu$ -1,1-bound peroxidic dicopper complexes: (A) acylperoxo  $[Cu^{II}_{2}(XYL-O)(m-CPBA)]^{2+786}$  and (B) hydroperoxo  $[Cu^{II}_{2}(L^{Et})(OOH)]^{2+.789}$ .





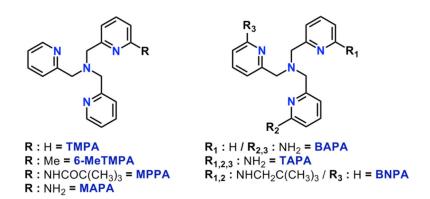
### Figure 81.

Binuclear phenolato and pyrazolato cupric superoxo, cis-peroxo (<sup>c</sup>P), and hydroperoxo species characterized by the Karlin (top) and Meyer (bottom) groups.<sup>783,789,790</sup>.



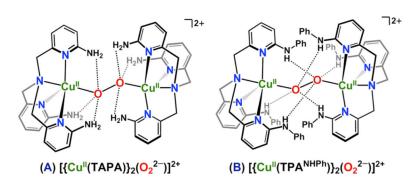
### Figure 82.

(A and B) Depiction of X-ray crystal and (C, D, and E) computationally calculated structures of synthetic binuclear peroxo and superoxo dicopper(II) complexes. Created with data from references 783,790, and 791.



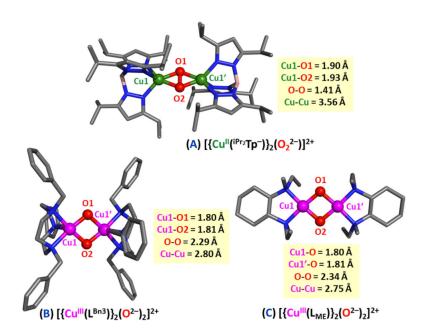
### Figure 83.

Tetradentate ligand frameworks featuring hydrogen-bonding moieties studied by the Masuda group. See text for further discussion.



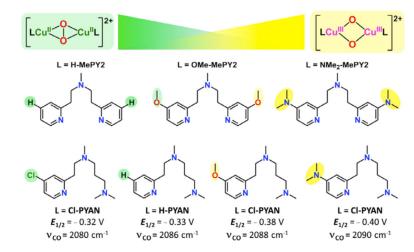
# Figure 84.

Trans- $\mu$ -1,2-peroxodicopper species with (A) hydrogen-bonding interactions proposed by Masuda and co-workers<sup>716</sup> and (B) crystallized by Szymczak and co-workers.<sup>769</sup>.



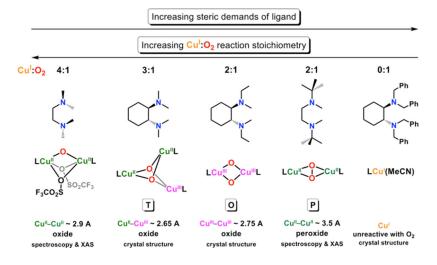
### Figure 85.

(A) The first structurally characterized  $\mu$ - $\eta^2$ : $\eta^2$ - peroxodicopper(II) species. (B and C) Two of the earliest structurally characterized bis- $\mu$ -oxo dicopper(III) complexes formed from ligand-copper(I) dioxygen reactions. Created with data from references 760, 765, and 798.



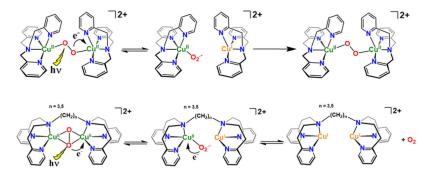
### Figure 86.

Ligand electronic effects on the speciation of  $Cu^{I}:O_2$  binuclear reaction products. See text for details.  $E_{1/2}$  values were determined in DMF as solvent and are reported vs  $Fe(Cp)_2^{+/0}$ .



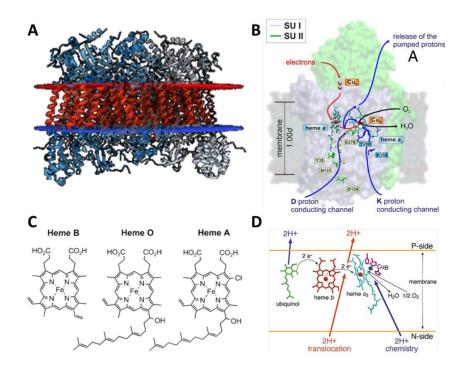
### Figure 87.

Ligand steric continuum depicting predominant copper–oxygen species formed as ligand steric demands increase. Adapted with permission from ref 749. Copyright 2003 The Royal Society of Chemistry.



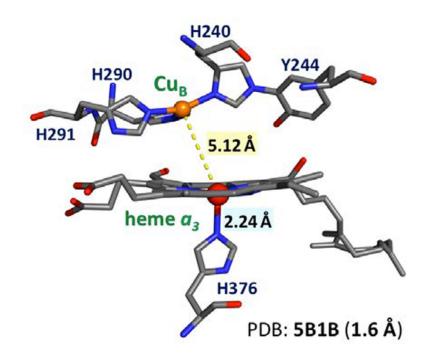
# Figure 88.

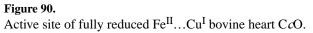
Photoejection chemistry for (top)  $[{(TMPA)Cu^{II}}_2(O2^{2-})]^{2+}$  and (bottom)  $[(N_n)Cu_2^{II}(O_2^{2-})]^{2+}$ , where n = 3 or 5.<sup>801</sup>.



### Figure 89.

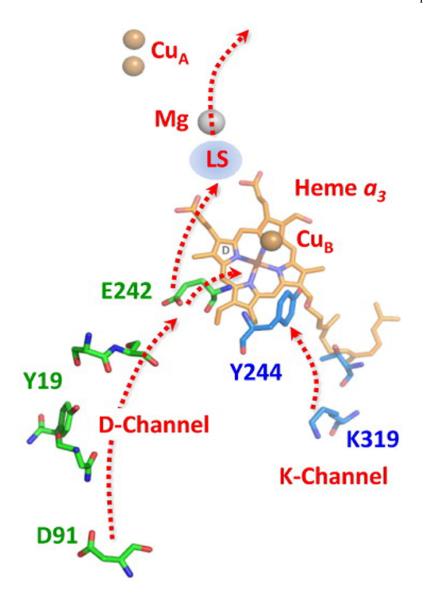
(A) Crystal structure of membranous bovine heart CcO at 1.6 Å resolution (PDB ID: 5B1B), (B) redox cofactors and H<sup>+</sup> and e<sup>-</sup> pathways via the heme-Cu active site, (C) heme types found in HCOs, (D) redox cofactors active in quinol oxidase catalyzed O<sub>2</sub>-reduction and the distinct proton pumping mechanism from CcOs. (B) Adapted with permission from ref 843. Copyright 2010 National Academy of Sciences. (C) Adapted from ref 535. Copyright 2004 American Chemical Society. (D) Adapted with permission from ref 840. Copyright 2000 Nature America Inc.





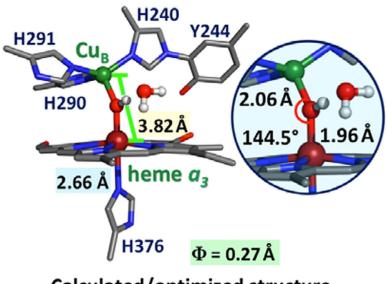
Chem Rev. Author manuscript; available in PMC 2019 November 28.

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# Figure 91.

Proton pumping channels (K and D) in bovine C*c*O with key residues labeled and the location of the MgH<sub>2</sub>O cluster loading site noted. Reproduced with permission from ref 872. Copyright 2015 Elsevier.

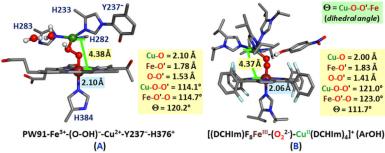


# Calculated/optimized structure

#### Figure 92.

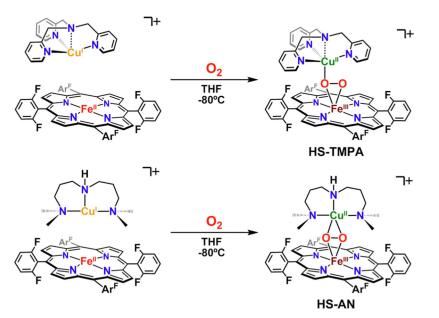
A calculated/optimized structural possibility for the  $O_H$  intermediate in the reductive phase of the catalytic mechanism of  $O_2$ - reduction in HCOs (Scheme 23).  $\Phi$  is the displacement of the iron above the plane of the porphyrin. Figure created with data taken from ref 901.





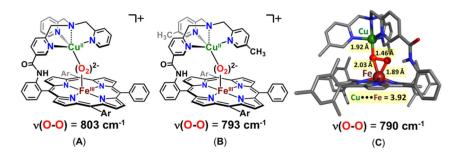
#### Figure 93.

(Å) Noodleman and co-workers,<sup>909,917</sup> computational structure depicting the key hydroperoxo bridged heme<sub>*a*3</sub>-Cu<sub>B</sub> complex (with a proton derived from Y237 on the heme distal O-atom) which will now undergo O–O reductive cleavage following electron-transfer from the deprotonated Y237 phenol. This could be intermediate I<sub>P</sub> (Scheme 23). (B) Computational structure from Karlin and co-workers<sup>919</sup> of a synthetic (porphryinate)Fe<sup>III</sup>-( $\mu$ -O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup> construct where the heme distal peroxo O atom is H-bonded to an exogenous phenol (p-nitrophenol), poised to undergo O–O reductive cleavage, as described in sections 5.2.6.



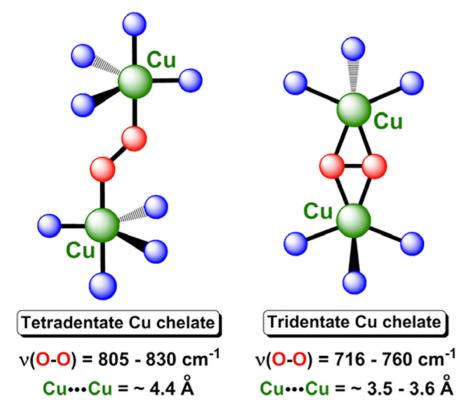
## Figure 94.

Generation of high-spin heme-peroxo-copper adducts (top)  $[(F_8)Fe^{III}-(O_2^{2-})Cu^{II}(TMPA)]^+$  (HS-TMPA) and (bottom)  $[(F_g)Fe^{III}-(O_2^{2-})Cu^{II}(AN)]^+$  (HS-AN). Adapted from ref 928. Copyright 2010 American Chemical Society.



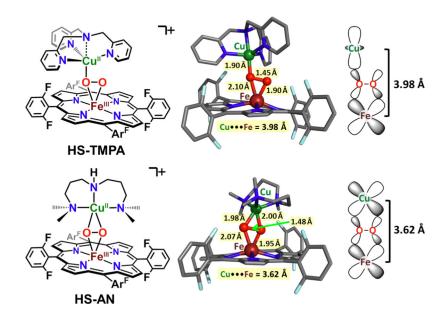
## Figure 95.

Heme-peroxo-copper adducts (A-C) synthesized and spectroscopically characterized by the Naruta group. Complex (C) includes the crystal structure for  $[(TMP)Fe^{III}-(O_2^{2^-})-(5MeTPA)Cu^{II}](BPh_4)$ . (C) was created using data taken from ref 931.



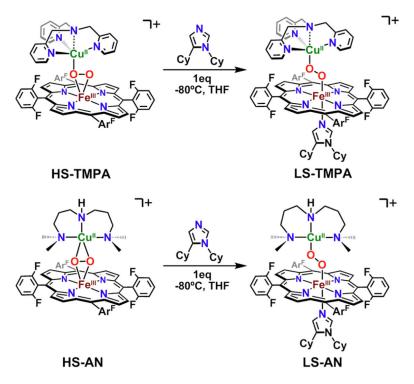
#### Figure 96.

Dicopper dioxygen binding modes comparing specific structural and physical properties. Adapted from ref 928. Copyright 2010 American Chemical Society.



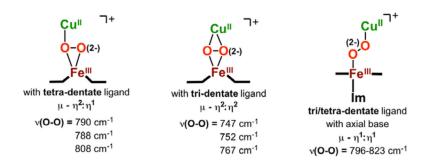
## Figure 97.

High-spin heme iron(III)-peroxo-copper(II) complexes, utilizing either the (A) tetradentate ligand TMPA or the (B) tridentate chelate AN. Structural details are derived from DFT calculations. Adapted from ref 928. Copyright 2010 American Chemical Society.



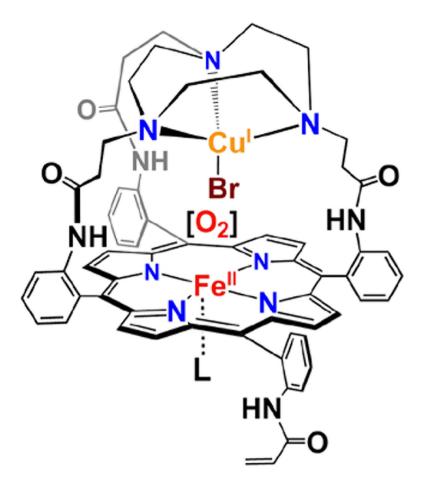
#### Figure 98.

Formation of (top) end-on  $\mu$ -1,2 low-spin heme–peroxo–copper adducts [(DCHIm)(F<sub>8</sub>)Fe<sup>III</sup>-(O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup>(TMPA)]<sup>+</sup> (LS-TMPA) and (bottom) [(DCHIm)(F<sub>8</sub>)Fe<sup>III</sup>-(O<sub>2</sub><sup>2–</sup>)-Cu<sup>II</sup>(An)]<sup>+</sup> (LS-AN) from their high spin precursors. Adapted from ref 497. Copyright 2015 American Chemical Society. Adapted from ref 910. Copyright 2011 American Chemical Society.



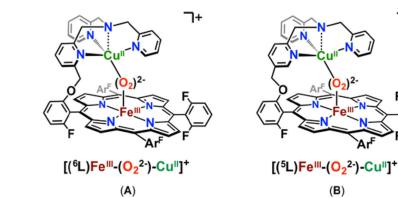
## Figure 99.

Distinct heme-peroxo-copper binding motifs characterized by Karlin<sup>928</sup> and Naruta.<sup>931</sup> See text for details.



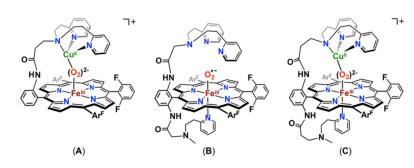
#### Figure 100.

First example of a heme-peroxo-copper adduct, reported by Collman and co-workers.<sup>933</sup> [(DCHIm)(TACNAcr)-Fe<sup>III</sup>( $O_2^{2-}$ )-Cu<sup>II</sup>]<sup>+</sup>. L = solvent or 1,5-dicyclohexylimidazole.



## Figure 101.

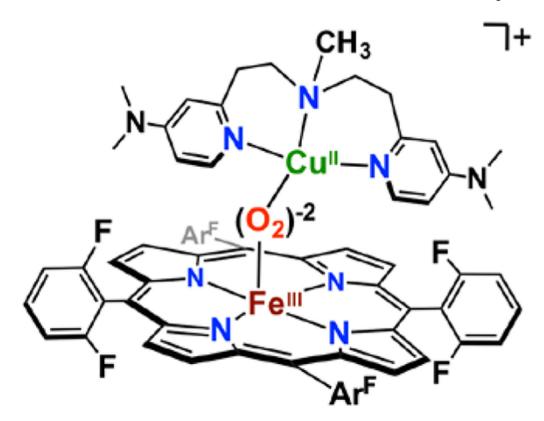
Heme-peroxo-copper adducts characterized by the Karlin group<sup>936,939</sup> featuring tetradentate binucleating ligand frameworks:  $[(^{6}L)Fe^{III}-(O_{2}^{2-})Cu^{II}]^{+}$  (A) and  $[(^{5}L)Fe^{III}-(O_{2}^{2-})Cu^{II}]^{+}$  (B). See text for details.



## Figure 102.

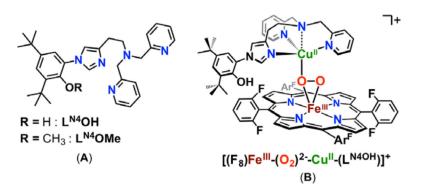
Heme-peroxo-copper and heme-superoxo adducts characterized by the Karlin group featuring heme plus tridentate binuclear ligand frameworks: (A)  $[(^{2}L)Fe^{III}-(O_{2}^{2-})-Cu^{II}]^{+},^{938}$  (B)  $[(^{3}L)Fe^{III}(O_{2}^{\bullet-})]$ , and (C)  $[(^{4}L)Fe^{III}-(O_{2}^{2-})-Cu^{II}]^{+}.^{522}$  See text for details.

Chem Rev. Author manuscript; available in PMC 2019 November 28.



# Figure 103.

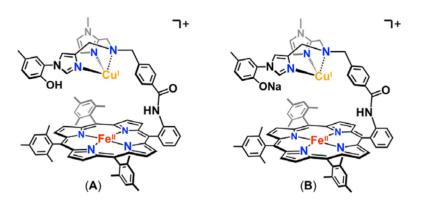
Heme-peroxo-copper complex  $[(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(L^{Me2N})]^+$  featuring a tridentate copper chelate with electron-donating N,N-dimethylamino substituents.<sup>513</sup>.



## Figure 104.

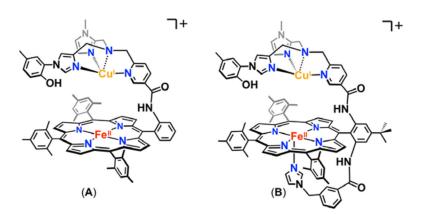
Heme-peroxo-copper adducts characterized by the Karlin group featuring a covalently appended tyrosine mimic on the copper chelate. (A)  $L^{N4}OR$  ligand where R = H or OMe. (B)  $[(F_g)Fe^{III}(O_2^{2-})-Cu^{II}(L^{N4}OH)]^+$ .<sup>937</sup>.

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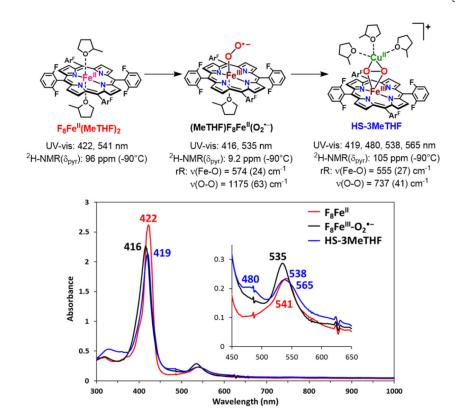
### Figure 105.

Binuclear heme–copper model systems investigated by Naruta featuring tridentate copper chelates and covalently appended phenol groups. (A)  $[(TMP)Fe^{III}-(O_2^{2-})-Cu^{II}(L^{N3OH})]^+$  and (B)  $[(TMP)Fe^{III}-(O_2^{2-})-Cu^n(L^{N3-ONa})]^+$ .<sup>935</sup>.



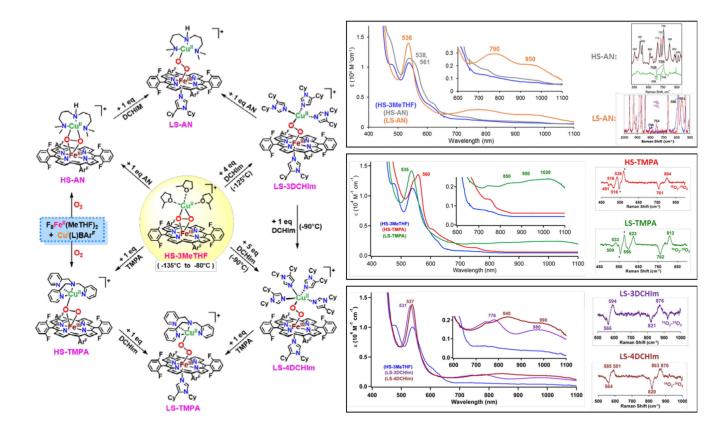
## Figure 106.

Binuclear heme–peroxo–copper model systems investigated by Naruta and co-workers featuring appended phenol moieties and imidazolyl axial base: (A)  $[(L^{OH})Fe^{III}(O_2^{2-})-Cu^{II}]^+$  and (B)  $[(TMPIm)Fe^{III}(O_2^{2-})-Cu^{II}(L^{N4-OH})]^+$ .



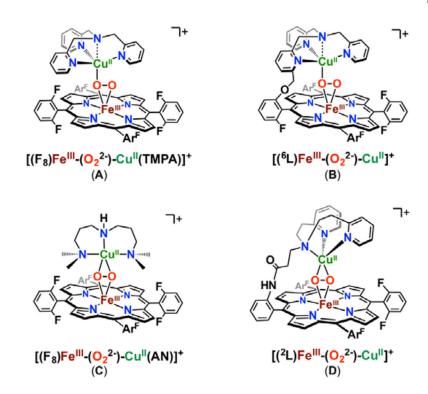
#### Figure 107.

Generation and spectroscopic properties of the HS-3MeTHF synthon. Adapted from ref 497. Copyright 2015 American Chemical Society.



#### Figure 108.

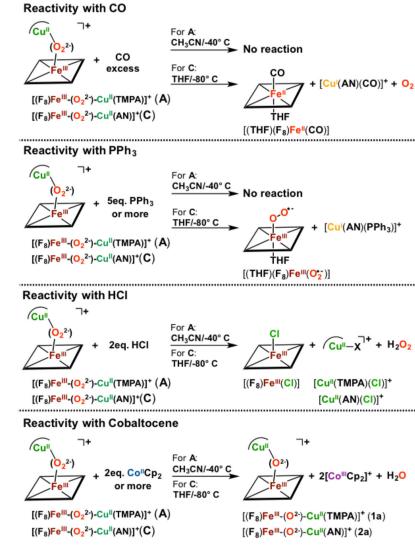
Scheme showing the utility of the synthon, HS-3MeTHF, for generating new and formerly characterized high-spin and low-spin heme-peroxo-copper complexes (left) with different spectroscopic features (right). Adapted from ref 497. Copyright 2015 American Chemical Society. Adapted from ref 516. Copyright 2007 American Chemical Society. Adapted from ref 910. Copyright 2011 American Chemical Society.





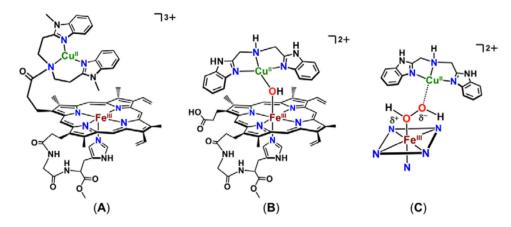
Heme-peroxo-copper complexes from the Karlin group utilized to study CO binding and reactivity toward triphenylphos- phine, electron, and proton sources. See text for details.

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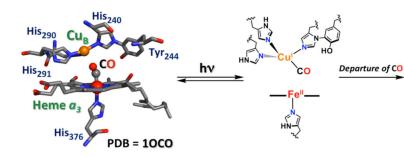
#### Figure 110.

Schematic overview of the distinct reactivity properties of (A-D) heme-peroxo-copper species bearing tetradentate or tridentate copper chelates. See text for discussion. Adapted from ref 928. Copyright 2010 American Chemical Society.



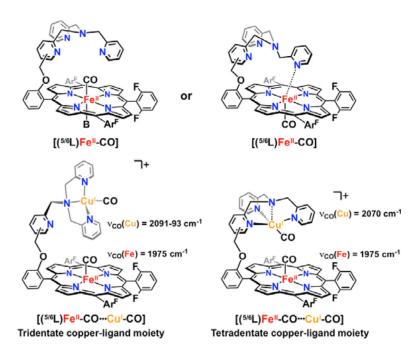


Examples relevant to heme-copper-mediated hydrogen peroxide activation from Casella and co-workers.  $^{951,952}$ 



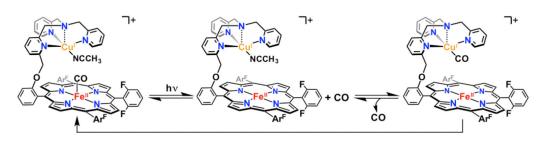
## Figure 112.

Reversible photodissociation of the heme-carbonyl complex of the CcO active site that involves an unstable  $Cu^{I}$  – CO adduct. The latter may also produce the naked reduced enzyme upon the departure of the CO. Note, the Fe<sub>a3</sub>...Cu<sub>B</sub> separation in PDB ID: 10CO is 5.18 Å.





Heme-Cu carbonyl complex assemblies utilizing <sup>5</sup>L and <sup>6</sup>L binucleating ligands.<sup>941</sup>.



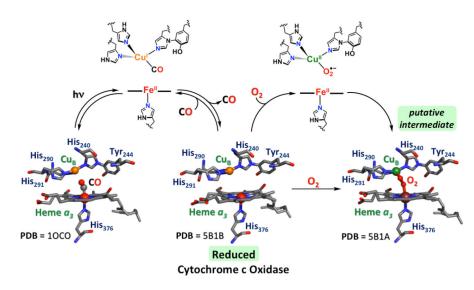
**Figure 114.** Photoexcitation studies carried out on the heme–Cu <sup>6</sup>L (tethered) ligand platform.<sup>968</sup>.



## Figure 115.

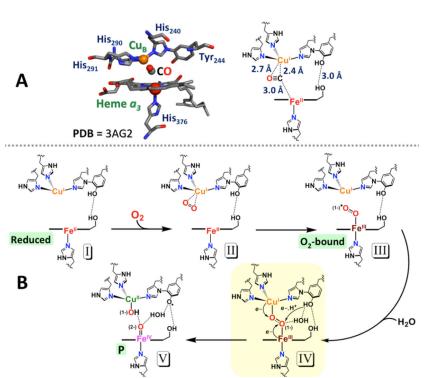
Photodissociation chemistry of six-coordinate heme-CO/NO complexes in the presence of Cu<sup>I</sup> systems with either tetra- or tridentate supporting ligands. See text for details. Adapted from ref 969. Copyright 2009 American Chemical Society.





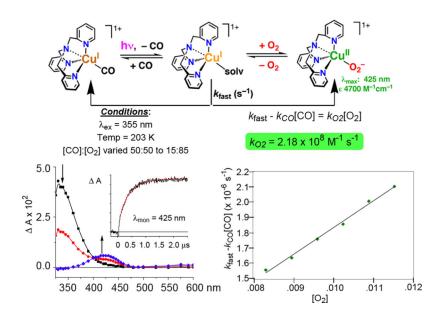
#### Figure 116.

Scheme depicting the dioxygen binding to bovine CcO for most kinetic-spectroscopic studies where a heme  $a_3$ -CO adduct is utilized for experiments. Laser flash photolysis results in picosecond transfer to give a Cu<sub>b</sub><sup>i</sup>-CO species, whereupon CO either rebinds to the heme  $a_3$  or it escapes into solution. When the latter occurs, in the presence of dissolved O<sub>2(g)</sub>, the fully reduced enzyme (PDB ID: 5B1B, center) can react with O<sub>2</sub>, giving a transient Cu<sub>b</sub>-O<sub>2</sub> adduct before it transfers to heme  $a_3$ . In this scheme, that latter species is depicted as a peroxo-bridged Fe<sub>a</sub><sup>JII</sup>-Cu<sub>b</sub><sup>II</sup> complex (PDB ID: 5B1A), many of which have been structurally characterized. It is generally not considered that this exact peroxide-bridged form (in its details) is an intermediate during enzyme turnover. Also, see the text.



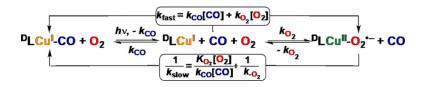
#### Figure 117.

(A) Structure of the bovine  $aa_3$  enzyme binuclear active site showing CO bound side-on to  $Cu_B$ ; the species forming following low-temperature photolysis of the more stable heme  $a_3$  carbonyl derivative. (B) Depiction of a portion of the CcO O<sub>2</sub>-reduction mechanism proposed by Yoshikawa and co-workers<sup>870</sup> based in some part on enzyme derivative X-ray structural investigations. Dioxygen initially binds to the "gatekeeper"  $Cu_b^{I}$  ion, depicted as a  $Cu^{I}(O_2)$  adduct II [but normally best described as a  $Cu^{II}(O_2^{\bullet-})$  species] then transfers to heme  $a_3$ , whereupon the iron(III)-superoxo intermediate (III) (the "Oxy" or A intermediate) undergoes electron- and proton-transfer reduction, cleaving the O–O bond leading to intermediate V [for details on intermediates P, (P<sub>M</sub> or P<sub>H</sub>) see section 4.2].



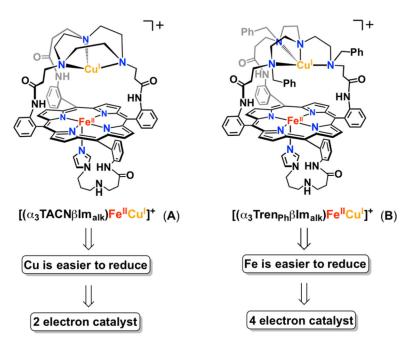
#### Figure 118.

(Top) Scheme depicting the laser "flash-and-trap" experiment, wherein  $[(TMPA)Cu^{I}(CO)]^{+}$ in the presence of various mixtures of dissolved  $CO_{(g)}$  and  $O_{2(g)}$  in THF is laser flashed, releasing CO and forming a solvate complex, which then reacts with either CO or  $O_2$  (but at differing rates). (Bottom left): Difference spectra  $\{[(TMPA)Cu(X)]^{+} - [(TMPA)Cu^{I}(CO)]^{+}\}$ recorded after pulsed 355 nm excitation of  $[(TMPA)Cu^{I}(CO)]^{+}$  in THF at 198 K under a 1 atm  $O_2$ :CO (7:3) mixture. The spectra were recorded at various delay times: 0 to 2  $\mu$ s where  $[(TMPA)Cu^{I}(THF)]^{+} (\lambda_{max}, 333 nm)$  converts to a mixture of  $[(TMPA)Cu^{I}(CO)]^{+}$  and  $[(TMPA)Cu^{II}(O_2^{\bullet-})]^{+} (\lambda_{max} = 425 nm)$ : (black spectrum), 0  $\mu$ s; red • (red spectrum), 0.5  $\mu$ s; blue • (blue spectrum), 2.0  $\mu$ s. The inset is an absorption transient monitored at 425 nm (corresponding with  $[(TMPA)Cu^{II}(O_2^{\bullet-})]^{+}$ ) with a superimposed first-order fit (in red), k<sub>obs</sub>  $= 3.0 \times 10^{6} \text{ s}^{-1}$  (Bottom right): Plot of k<sub>fast</sub> - k<sub>CO</sub> [CO] vs [O<sub>2</sub>], where the slope corresponds to the rate constant for the O<sub>2</sub>-reaction. Adapted from ref 940. Copyright 2003 American Chemical Society. Adapted from ref 1002. Copyright 2010 American Chemical Society.



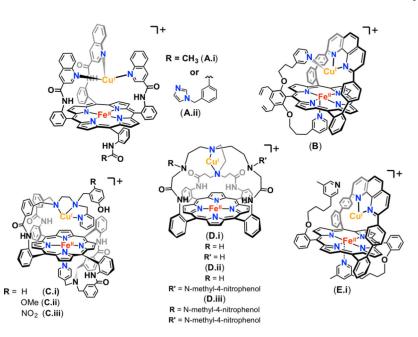
#### Figure 119.

Schematic employed to experimentally determine reaction kinetics [i.e., the 1:1 primary interaction of  $O_2$  and CO with various [(ligand)Cu<sup>I</sup>] complexes (ligand = <sup>d</sup>L)], using transient absorption spectroscopy and the "flash-and trap" approach.<sup>940,1002</sup> Experiments are conducted in the presence of precisely determined concentrations of O2 and CO (as mixtures) within the temperature range of interest and on nanosecond and longer time scales. As depicted here, initial laser photolysis of <sup>D</sup>LCu<sup>I</sup>-CO leads to CO photoejection, giving solvated <sup>d</sup>LCu<sup>I</sup> in a solution of both CO and O<sub>2</sub>. The initial "fast" process (k<sub>fast</sub>) involves the competitive binding of both CO and O2 with <sup>D</sup>LCu<sup>I</sup>, either regenerating <sup>D</sup>LCu<sup>I</sup>-CO or forming <sup>D</sup>LCu<sup>II</sup>-O<sub>2</sub><sup>•-</sup>; thus,  $k_{fast}$  is a combination of two rate constants,  $k_{O2}$  and  $k_{co}$ . The latter is independently determined from transient absorption experiments with <sup>D</sup>LCu<sup>I</sup>-CO with only  $CO_{(\sigma)}$  present (i.e., in the absence of dioxygen). A complementary determination of the key parameters comes from observations that binding of carbon monoxide is thermodynamically favored compared to dioxygen, as is well-known for heme proteins and is also the case in copper-dioxygen proteins and complexes,  $K_{c0} \gg K_{02}$  (where K is the binding constant). Thus, CO subsequently displaces coordinated O<sub>2</sub> from <sup>D</sup>LCu<sup>II</sup>-O2<sup>•-</sup> to reform the initial <sup>D</sup>LCu<sup>I</sup>-CO species (k<sub>slow</sub>; millisecond time scale), according to the equation for 1/kslow shown in the graphic, as originally deduced by Antonini and Burnori<sup>1255</sup> in studies of heme protein  $O_2$ -carriers. Adapted from ref 1002. Copyright 2010 American Chemical Society.



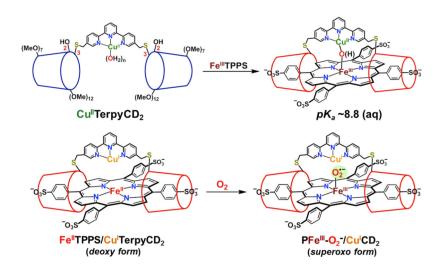
## Figure 120.

"Arbor" porphyrin inspired heme-copper models featuring (A) TACN and (B) TBTren from Collman and Boitrel. See text for details. Adapted with permission from ref 1011. Copyright 2011 John Wiley & Sons, Inc.



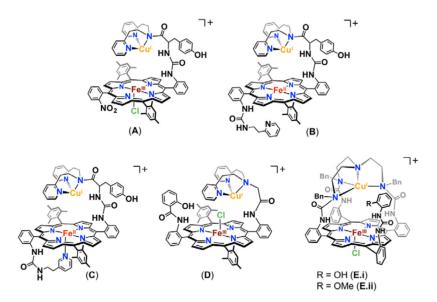
#### Figure 121.

Several binucleating heme-copper model systems developed for use in electrocatalytic  $O_2$ -reduction. See text for discussion.



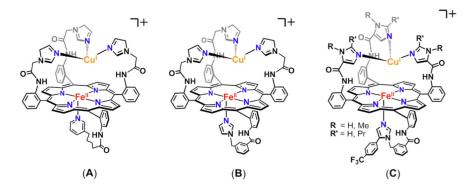
# Figure 122.

(Top) Formation of the supramolecular oxidized heme-copper complex [(TPPS)Fe<sup>III</sup>]<sup>+</sup>/ [(TerpyCD<sub>2</sub>)Cu<sup>II</sup>]<sup>2+</sup> or depending on the solution pH,  $\mu$ -oxo, or  $\mu$ -hydroxo bridged species, formed by titration of [(TerpyCD<sub>2</sub>)Cu<sup>II</sup>]<sup>2+</sup> with one equiv of [(TPPS)Fe<sup>III</sup>]<sup>+</sup>. (Bottom) Dioxygen adduct formation on reaction of the reduced form [(TPPS)Fe<sup>III</sup>]<sup>+</sup>/ [(TerpyCD<sub>2</sub>)Cu<sup>II</sup>]<sup>2+</sup> with O<sub>2</sub> to yield ferric superoxide cuprous complex PFe<sup>III</sup>(O<sub>2</sub>•-)-Cu<sup>I</sup>L<sub>3</sub>.



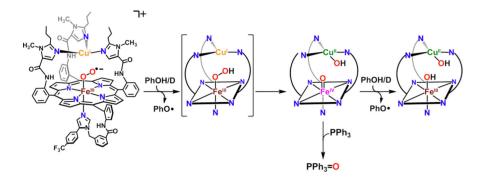
## Figure 123.

Binucleating heme-copper model systems developed by the Coutsolelos group,<sup>1026–1028</sup> to study the effect of covalently attached copper ligands and phenol moieties. See text for discussion.



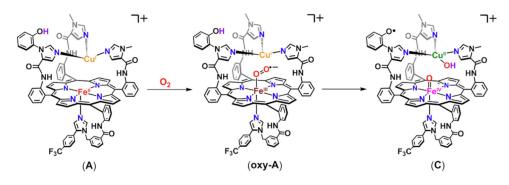
## Figure 124.

"Picket fence" porphyrin-derivatized heme-copper model systems from the Collman group featuring "bioinspired" tris-histidyl ligation for copper. See text for discussion.



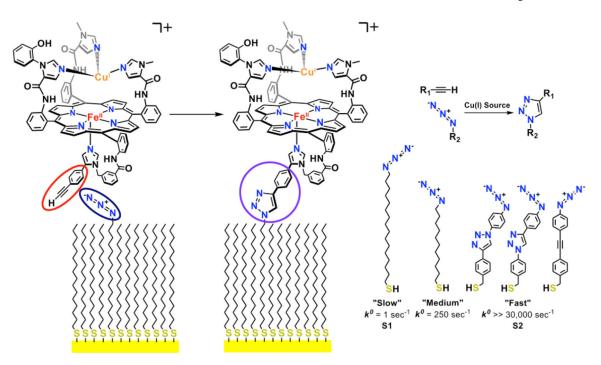
## Figure 125.

A single-turnover experiment wherein a superoxide-bound heme-copper binuclear complex reacts with sterically hindered phenols to yield an  $Fe^{IV}=O$  species. This represents a possible model for the A to P<sub>M</sub> conversion in Cytochrome *c* Oxidase. See text for discussion.



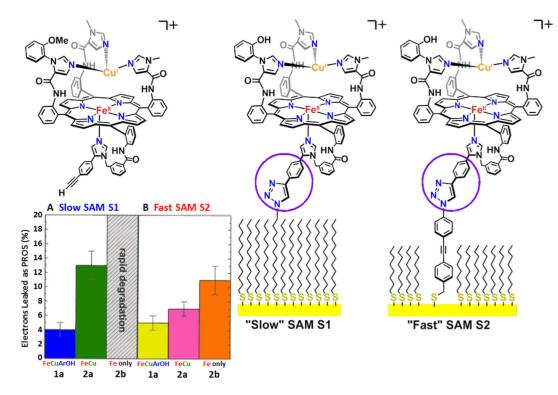
# Figure 126.

Intramolecular hydrogen atom abstraction by heme-bound superoxide (**oxy-A**) to yield a  $P_M$  model (C).<sup>1025</sup>.



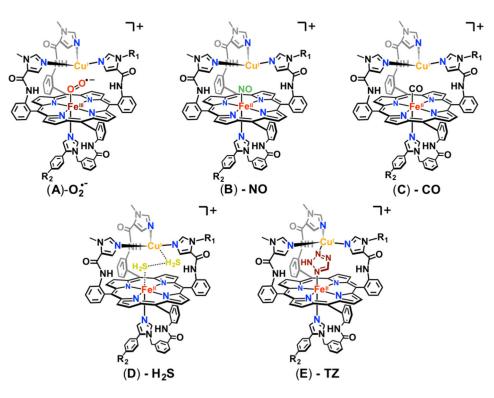
# Figure 127.

(Left) Covalent attachment of heme-copper model systems to gold electrode surfaces via "click" chemistry. (Right) Several different linker molecules, which allow for tunable electron transfer rates. Adapted from ref 1040. Copyright 2001 Wiley-VCH.



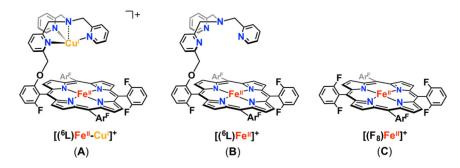
### Figure 128.

Plot in the bottom, left shows the percentage of partially reduced oxygen species produced during electrocatalytic  $O_2$  reduction at slow and fast electron transfer rates. The effect of the Cu ion, tyrosine mimic, and linker between the Fe-Cu system and the electrode were evaluated with respect to catalyst selectivity, stability, and rates of electron transfer. Adapted with permission from ref 1044. Copyright 2007 American Association for the Advancement of Science.



## Figure 129.

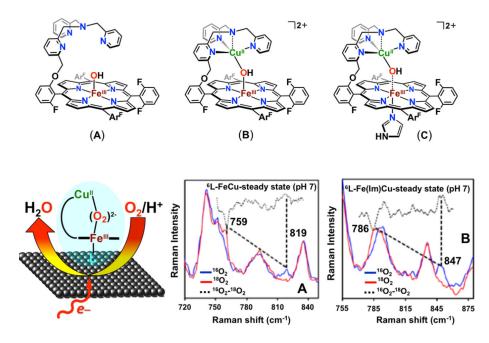
Small molecule, binucleating ligands evaluated for their effect on  $O_2$  reduction chemistry by "refined" heme-copper models developed by the Collman group. See text for further details.



### Figure 130.

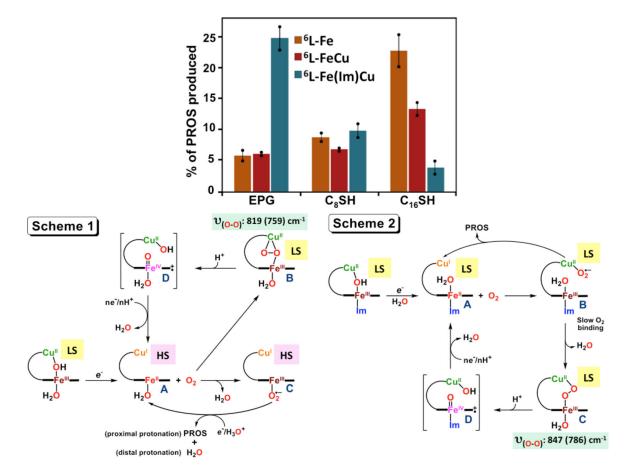
Heme copper and heme-only systems developed by the Karlin group to be first evaluated as  $O_2$  reduction catalysts. See text for details.

Chem Rev. Author manuscript; available in PMC 2019 November 28.



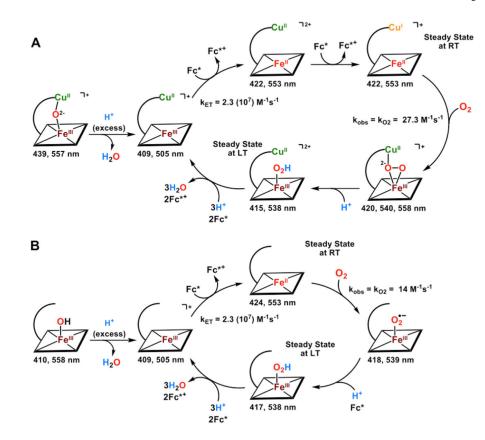
### Figure 131.

Surface enhanced resonance raman spectroscopy (SERRS) coupled to rotating ring-discelectrochemistry (RRDE) developed by Sengupta and Dey, applied to synthetic heme-copper model systems developed by the Karlin group (A, B, and C). The resonance Raman data (bottom right) show the presence of a peroxidic intermediate during electrocatalytic O<sub>2</sub> reduction. Adapted from ref 1051. Copyright 2015 American Chemical Society.



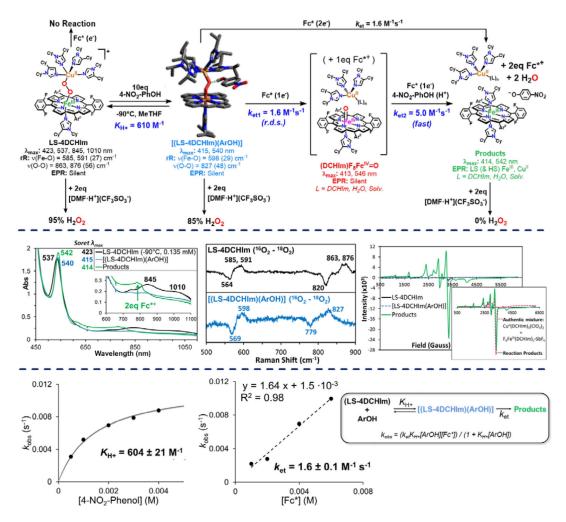
### Figure 132.

(Top) Sengupta and Dey evaluated the role of the Cu ion and heme axial base ligation in three electrode-immobilized heme(-Cu) systems on electrocatalytic selectivity (%PROS released) for the reduction of  $O_2$  at both fast (EPG electrode) and slow (C<sub>8</sub>SH and C<sub>16</sub>SH SAM coated gold electrodes) electron transfer rates. Distinct pathways for PROS production were proposed for hydroxo-bridged heme-copper complexes without (Scheme 1) and with (Scheme 2) an axial imidazole ligand. Adapted from ref 1051. Copyright 2015 American Chemical Society.



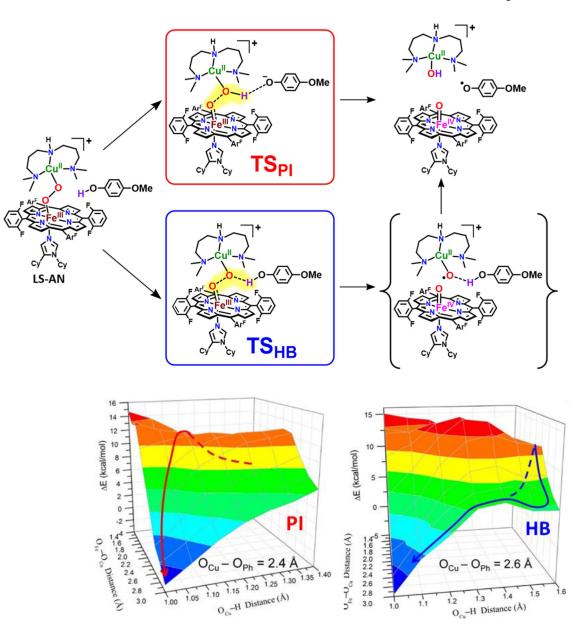
### Figure 133.

(A) Proposed mechanism for the catalytic reduction of  $O_2$  to water by  $[(^{6}L)Fe^{II}-Cu^{I}]^+$ . (B) Proposed mechanism for the catalytic reduction of  $O_2$  to water by  $[(^{6}L)Fe^{II}]$  (iron-only). In both cases, the steady state changes from  $O_2$  binding to room temperature (RT) to reductive O–O bond cleavage at cryogenic temperatures (LT). Adapted with permission from ref 1053. Copyright 2011 National Academy of Sciences.



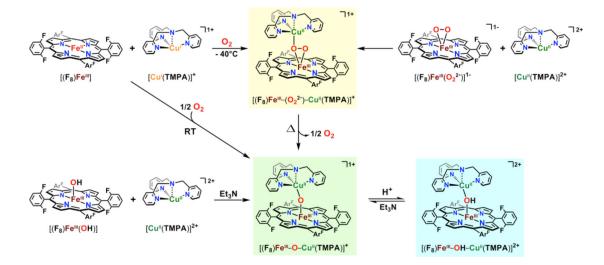
#### Figure 134.

(Top) Scheme showing the H<sup>+</sup> and/or e<sup>-</sup> reactivity of LS-4DCHIm with proton sources of varying strengths and Fc\* as the electron- donor. (Middle) UV-vis monitoring of the reaction of LS-4DCHIm (black) following addition of p-NO<sub>2</sub>-phenol (blue) and Fc\* (green); rR spectra showing the changes in Fe-O and O–O stretching frequencies upon formation of the H-bonded adduct, LS-4DCHIm(ArOH); and EPR spectra of the LS-4DCHIm, LS-4DCHIm(ArOH) (both silent), and final LS-Fe<sup>III</sup>/Cu<sup>II</sup> products (green) which match that of a mixture of the authentically generated products shown in the reaction scheme. (Bottom) Kinetic plots and analysis showing saturation behavior of k<sub>obs</sub> with respect to increasing [phenol] and linear dependence of k<sub>obs</sub> on [Fc\*]. See text for further details. Adapted from ref 919. Copyright 2017 American Chemical Society.



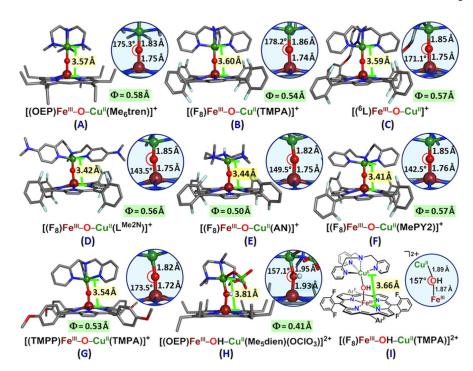
#### Figure 135.

(Top) Evaluated mechanistic pathways for the reaction of a heme-peroxo-copper complex, LS-AN, with 4-OMe-phenol which proceed through one of two transition states, denoted  $TS_{pi}$  for the proton-initiated mechanism and  $TS_{hb}$  for the hydrogen bond assisted pathway (see text for discussion). (Bottom) The potential energy surfaces for the PI and HB pathways. The red and blue lines in each plot correspond to the progress of the reaction leading to the products shown in the top, right, with the highest point on each surface being the transition state for each reaction. Dashed lines denote where the pathway is behind the surface. Adapted from ref 747. Copyright 2017 American Chemical Society.



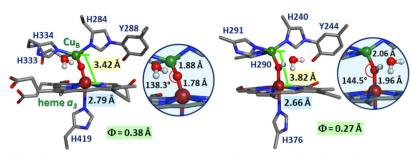
#### Figure 136.

Heme-copper dioxygen or acid-base chemistry leading to  $\mu$ -peroxo,  $\mu$ -oxo, or  $\mu$ -hydroxobridged high-spin [(F<sub>8</sub>)Fe<sup>III</sup>-X- Cu<sup>II</sup>(TMPA)]<sup>*n*+</sup> (X = O or OH; n = 1 or 2) complexes. In these compounds, the iron(III) ion lies well above the porphryinate plane. Similar chemistry occurs when utilizing other tetra- or tridentate nitrogen-containing ligands for the copper ion. See the text for discussion.



### Figure 137.

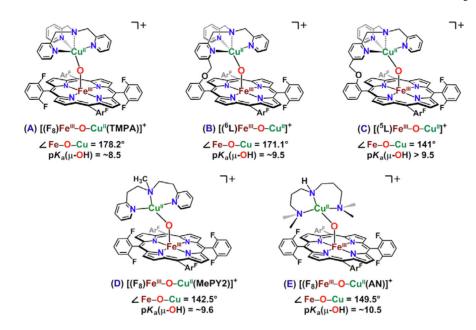
Depiction of heme-Fe<sup>III</sup>-X–Cu<sup>II</sup>(ligand) complexes (X =  $\mu$ -oxo or  $\mu$ -hydroxo) which have been characterized spectroscopically and by X-ray crystallography or XAS studies. Note that for tetradentate Cu<sup>II</sup> chelates (A, B, C, and G), the  $\mu$ -oxo complexes possess a nearlinear  $\angle$ Fe<sup>III</sup>–O–Cu<sup>II</sup>, while for (ligand)Cu<sup>II</sup> species with tridentate chelates (D, E, and F), the same structural moiety is very bent.  $\Phi$  is the distance (above + , below – ) of the iron from plane of the porphyrin. Created using data from refs 502, 505, 513, 928, 1023, and 1071 – 1073.



(A) Optimized structure for the O<sub>B</sub> state (B) Calculated/optimized structure

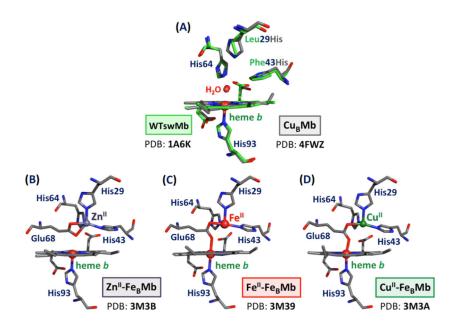
#### Figure 138.

Theoretical/computational structures of plausible intermediates in the HCO reaction cycle. Structure (A) is Blomberg and co-workers'  $\mu$ -oxo O<sub>B</sub> state (with Y288 as a neutral phenol) which is believed to be a high-potential species (a form of intermediate O<sub>H</sub>), poised to facilitate uptake of a proton and electron and give intermediate E<sub>H</sub> (see Scheme 23). This conversion is accompanied by membrane proton translocation. Previously, Sharma, Karlin, and Wikström proposed the "strained"  $\mu$ -hydroxo species, with Y244 as a deprotonated tyrosinate (B), as one possible structure for O<sub>H</sub>.<sup>901</sup> See Scheme 23, the text, and further discussion in section 6.3.  $\Phi$  is the distance (above +, below –) of the iron from plane of the porphyrin. Created using data from refs 901 and 903.



#### Figure 139.

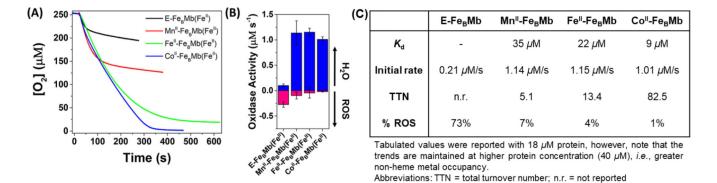
Synthetic heme-copper  $\mu$ -oxo complexes. The pK<sub>a</sub> values given are for H<sub>2</sub>O, estimated from those determined in MeCN by subtracting 7.5 pKa units. Except for complex C, all other  $\mu$ -oxo complexes can be protonated with weak acids to give  $\mu$ -hydroxo species. However, in all cases, the bridge breaks if stronger acids are added, even one equiv, to yield species such as  $[({}^{5}L)Fe^{III}OH (S)-Cu^{II}]^{+}$ , where S = solvent or counteranion which is present. Thus, ligand environment can measurably influence the acid-base properties of  $\mu$ -hydroxo/oxo heme-copper assemblies. See text and also section 6.3 for discussion of possible implications for HCO active site chemistry. See text for details.



### Figure 140.

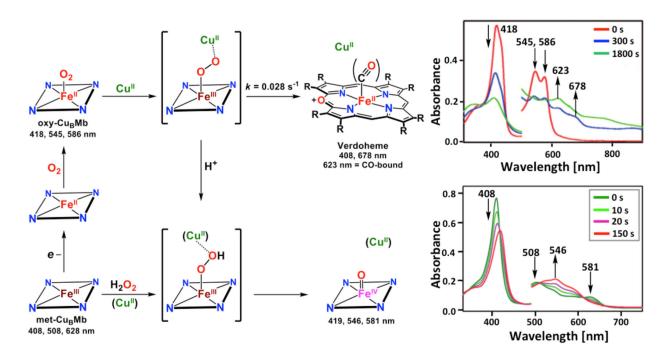
(A) Overlaid structures of the Lu group's model,  $Cu_BMb$  (gray), with the wild-type bovine  $C_cO$  crystal structure (green), and (B, C, and D) engineered myoglobins to mimic the active site of heme/nonheme containing nitric oxide reductases (NORs) used as probes for metal-identity-dependent O<sub>2</sub>-reduction chemistry. This figure has been created using data from references (A) 832, 1091, 1092 and (B, C, and D) 1093. A note from our analysis of PDB 3M39 (C) and 3M3A (D): regarding the bonds drawn from the lower O atom of Glu68, we have chosen to draw a bond to the heme-Fe based on its shorter distance to that metal ion.

#### Page 376



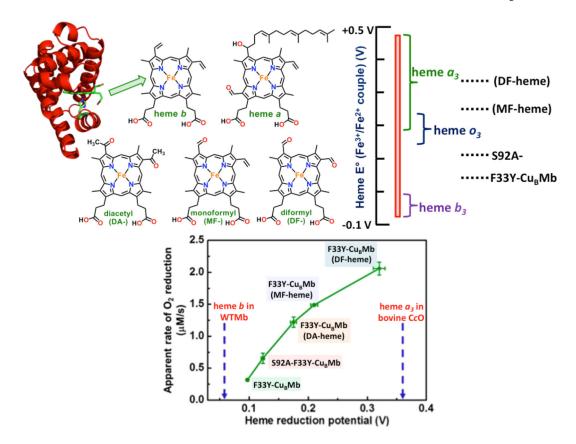
#### Figure 141.

(A) Plot showing O<sub>2</sub>-consumption over time for the  $Mn^{II}$ -, Fe<sup>II</sup>-, and Co<sup>II</sup>-bound Fe<sub>B</sub>Mb models as well as for the case in which the nonheme site is empty (black trace); initial rates are included in (C). (B) O<sub>2</sub>-consumption rates for the same four variants as a function of the final products, H<sub>2</sub>O versus reactive oxygen species such as superoxide or peroxide. (C) Tabulated parameters including metal dissociation constants, initial rates of O<sub>2</sub>-consumption, total turnover numbers, and percentage of ROS formation for the four variants showing the trends described in the text. Adapted from ref 1098. Copyright 2017 American Chemical Society.



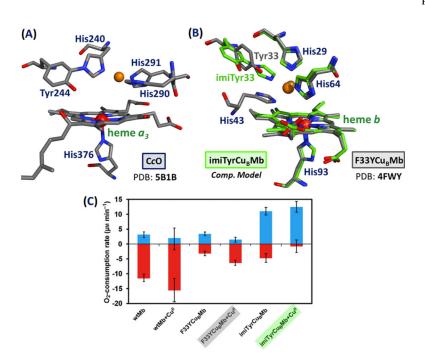
### Figure 142.

Copper- and proton-dependent reaction pathways of Cu<sub>B</sub>Mb beginning with met-Cu<sub>B</sub>Mb (bottom, left). In the presence of Cu<sup>II</sup>, oxy-Cu<sub>B</sub>Mb decays and self-oxidizes to yield verdoheme (HO-type heme degradation chemistry, top pathway and spectra). If there are protons available in the active site (provided by H<sub>2</sub>O<sub>2</sub>), the product is ferryl-heme ("peroxide shunt" mechanism known for P450s, HCOs), suggesting that proton shuttling to the active site and H-bonding residues are important for directing the mechanism toward O–O cleavage rather than ROS release. Adapted with permission from ref 832. Copyright 2008 Verlag Helvetica Chimica Acta AG.



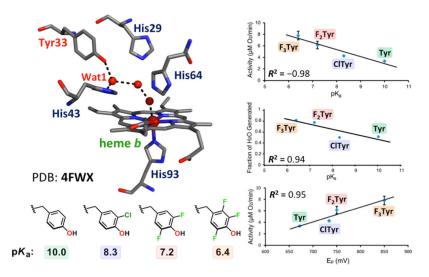
### Figure 143.

(Top) Myoglobin crystal structure and the heme-types which can be incorporated into modified Mb models (including hemes b and a, native to Mb and CcOs, respectively, plus synthetic variations) and their reduction potentials (vs SHE). (Bottom) Plot of oxidase activity (measured using an O<sub>2</sub> electrode) as a function of heme reduction potential, in reference to wild-type Mb and bovine CcO. Adapted from ref 1107. Copyright 2014 American Chemical Society. Adapted with permission from ref 1102. Copyright 2017 Wiley-VCH.



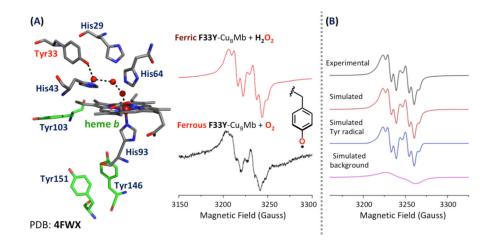
#### Figure 144.

(Top) (A) Heme  $a_3/Cu_B$  site of bovine CcO and (B) overlaid structural models of imiTyrCuBMb (green) containing the imidazole-phenol cross-linked, imiTyr, and F33YCuBMb (gray) which contains non-cross-linked His and Tyr residues proximal to the Cu<sub>B</sub> site. (Bottom) (C) Rate of O<sub>2</sub> consumption to yield water or ROS (superoxide, peroxide) in the reactions of WT-Mb and the two different mutants shown in (B), each with and without Cu<sup>II</sup> in the Cu<sub>b</sub> site. Conditions: [Mb variant] = 6  $\mu$ M; [TMPD] = 0.6 mM; [ascorbic acid] = 6 mM. Adapted with permission from ref 1108. Copyright 2012 Wiley-VCH.



#### Figure 145.

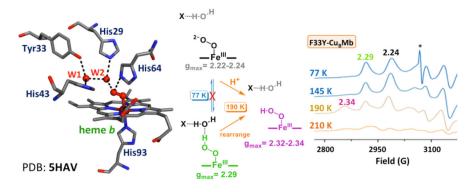
(Left) Crystal structure of the Phe33Tyr-Cu<sub>B</sub>Mb variant (PDB ID: 4FWX) and the pK<sub>a</sub> values of Tyr and its non-natural mimics which can be substituted in the 33rd position. (Right) Plots showing the linear correlations between the Mb variants with various Tyr33 analogs and their O<sub>2</sub>-reduction activity (top) or water production (middle) as a function of phenol moiety p $K_a$ , and O<sub>2</sub>-reduction activity as a function of phenol peak potential,  $E_p$  at pH = 13 (bottom). Adapted from ref 1119. Copyright 2015 American Chemical Society.



#### Figure 146.

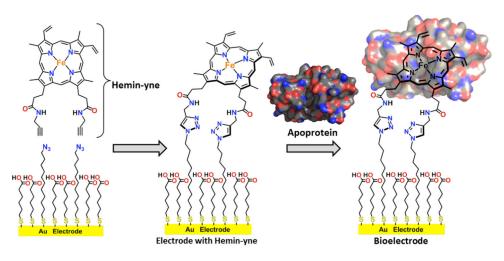
(A) Reactions of the ferric or ferrous F33Y-Cu<sub>B</sub>Mb model with either  $H_2O_2$  (peroxide shunt) or  $O_2$ , respectively, showing a new X-band EPR signal at  $g \approx 2$ , having the hyperfine (due to H-atoms) structure shown. (B) The experimental data can be simulated and matches well with the expected EPR signal for a Tyr radical species. Adapted from ref 1121. Copyright 2014 American Chemical Society.

Chem Rev. Author manuscript; available in PMC 2019 November 28.



### Figure 147.

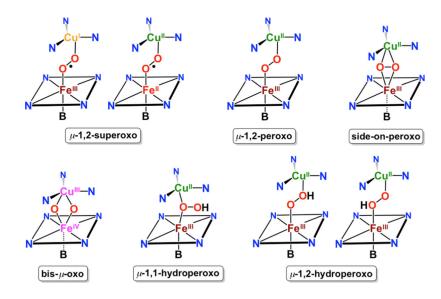
(Left) Crystal structure of the oxy-F33Y-Cu<sub>B</sub>Mb (1.27 Å resolution; PDB ID: 5HAV) with two water molecules in the active site pocket. (Center) Cryoreduction method for formation and trapping of (hydro)peroxo intermediates (X = active site His or Tyr). (Right) The EPR spectra of oxy-F33Y-Cu<sub>B</sub>Mb cryoreduced at 77 K showing a ferric-hydroperoxo (H-bonded to an active site water, green) which decays (and loses H-bond stabilization) upon stepwise annealing. Adapted from ref 1122. Copyright 2016 American Chemical Society.



#### Figure 148.

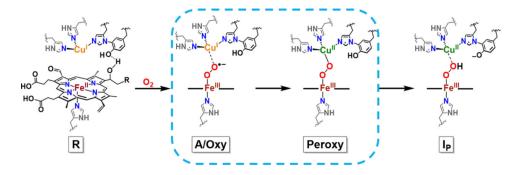
Scheme describing the procedure for tethering a biosynthetic Mb model to a mixed selfassembled thiolate monolayer on a gold electrode for electrochemical experiments. A modified heme ("Hemin-yne") cofactor is covalently attached to the monolayer via a "click" reaction, and the apoprotein is reconstituted in situ around the heme. Adapted from ref 1125. This material is licensed under a Creative Commons license, Attribution 4.0 International (https://creativecommons.org/licenses/by/4.0/).





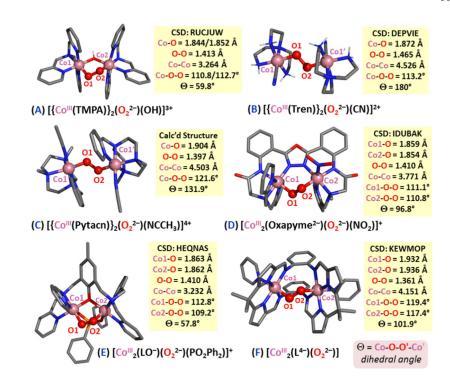
### Figure 149.

On the basis of established dicopper, dicobalt, and some heme-containing systems, depicted here are generalized possibilities for Fe– Cu heterobinuclear structures possessing dioxygen-derived bridged groups.



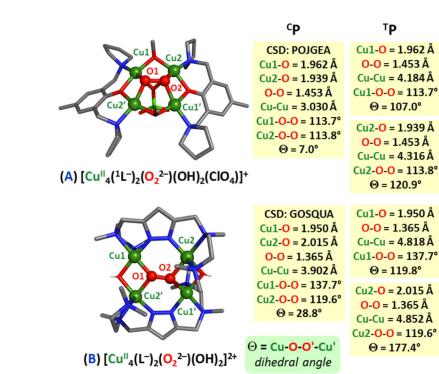
### Figure 150.

First steps in the oxidative phase of the CcO catalytic mechanism. Dioxygen binding to the reduced BNC, R, leads to generation of oxy intermediate, A. An electron transfer from  $Cu_B$  leads to the formation of the putative bridging Peroxy intermediate. Protonation of the Peroxy intermediate would lead to the generation of a (possibly bridging) hydroperoxide, I<sub>P</sub>. See the text for further discussion.



### Figure 151.

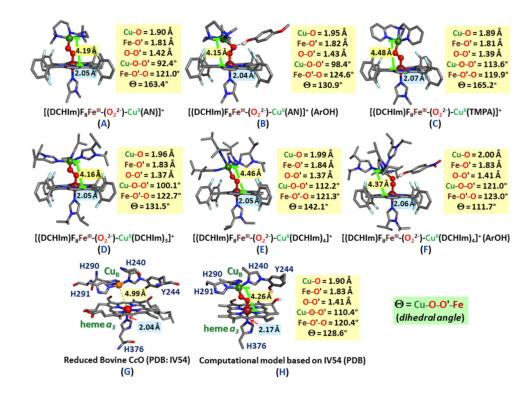
Depiction of crystal structures of synthetically derived trans- and cis-µ-1,2peroxodicobalt(III) species with listings of relevant structural parameters. Created using data from refs 1135–1140.



#### Figure 152.

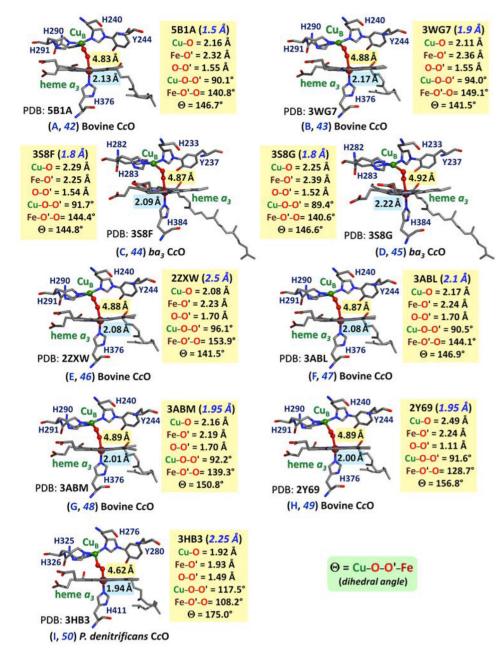
Depiction of crystal structures of synthetically derived trans- and cis-µ-1,2-

peroxotetracopper(ll) species with listings of relevant structural parameters. Created using data from refs 1133 and 1134.



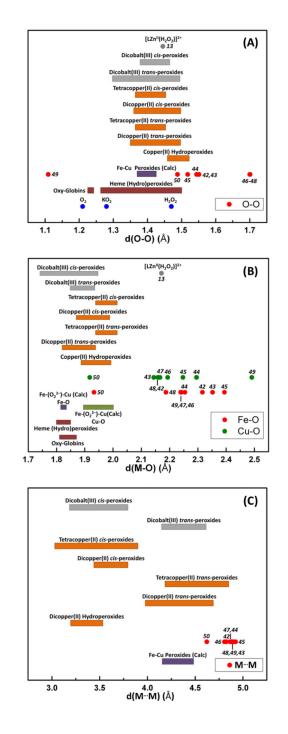
### Figure 153.

Calculated structures of model system heme-peroxo-copper complexes (A–F) and calculated bridging peroxide in CcO (H), starting with the X-ray structure of reduced CcO (G), with relevant structural parameters listed. Created using data from refs 497, 747, and 919.



#### Figure 154.

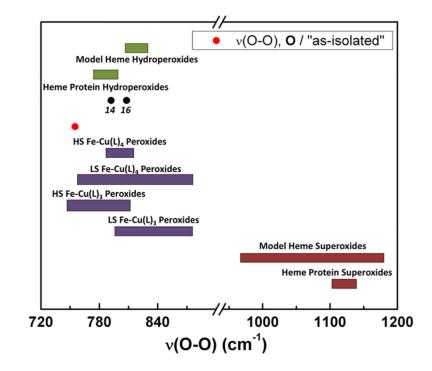
CcO enzyme X-ray structures proposed to contain peroxo  $(O_2^{2^-})$ -bridged heme-copper centers, with relevant structural parameters listed. Numbers under each structure correspond to entry numbers in Table 6. See the text for further discussion. Created using data from refs 842, 911, 985, 988, 1141, and 1142.



#### Figure 155.

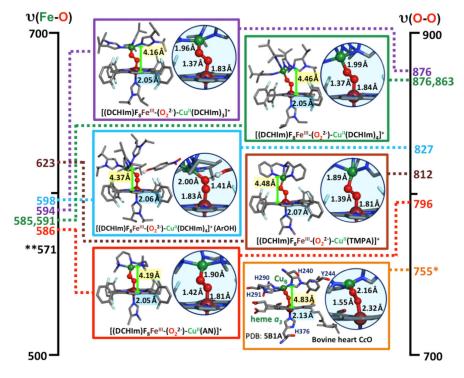
Comparisons of (A) O–O, (B) M–O, and (C) M···M distances found in "oxidized" (also referred to as "as-isolated" or "resting-state") C*c*O crystals reported to contain a bridging peroxide moiety in the BNC. The red dots represent the particular protein Xray structures shown in Figure 154 and listed in Table 6. Bonding parameters reported for putative peroxo bridged heme-Cu BNC protein X-ray structures, some reported at quite high resolution, are outside the range established for peroxo-bridged small molecules. An exception appears to be protein structure entry 50, PDB: 3HB3. See the text for further discussion.

Chem Rev. Author manuscript; available in PMC 2019 November 28.



#### Figure 156.

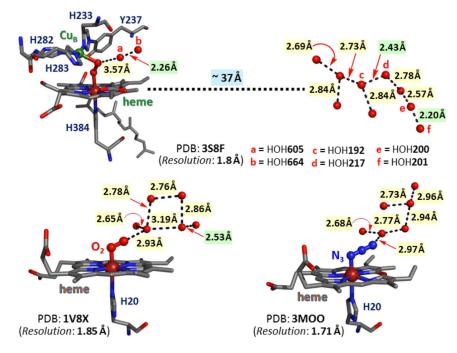
Selected rR v(O–O) values for various protein and synthetically derived heme systems, taken from Tables 3 and 7. See the text for further discussion and explanation.



### Figure 157.

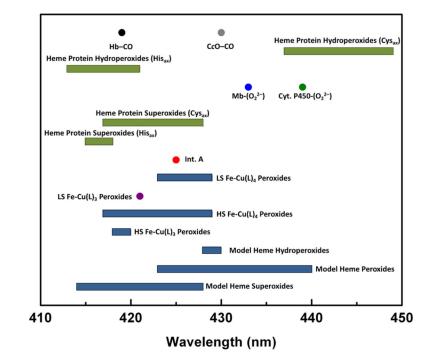
Selected rR  $\nu$ (O–O) and  $\nu$ (Fe-O) values for low-spin synthetic systems plus bovine heart C*c*O (see Table 3 for complete overview). The 755\* cm<sup>-1</sup> O–O stretching frequency has been observed for one example of an "as-isolated" protein structure putatively possessing a bridging peroxo group. A \*\*571 cm<sup>-1</sup> Fe–O stretching frequency, or values very close to this, are found for the oxy-heme, intermediate A, occurring in C*c*O turnover. See text for further discussion and interpretations.





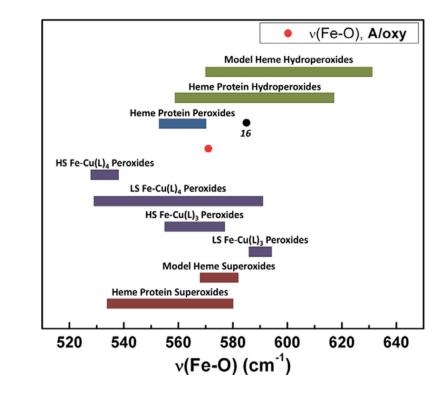
#### Figure 158.

Representation of heme centers in several protein X-ray structures, highlighting clusters of water molecules defined by the structure solutions. Highlighted in green are distances between water O atoms which we think are chemically unreasonable. See text for further explanation and discussion.



### Figure 159.

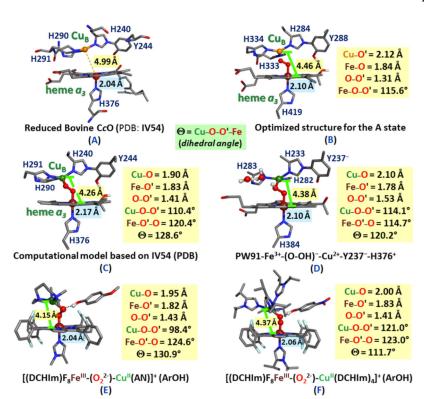
Reported Soret band values for heme and heme-copper dioxygen adducts found in protein and synthetic model systems. See text for further discussion.



### Figure 160.

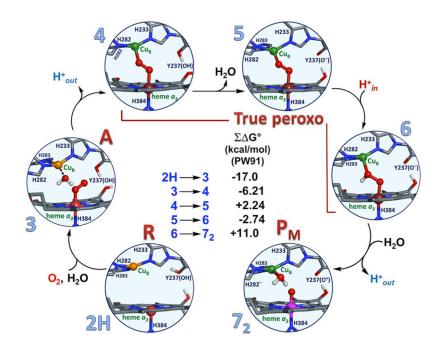
Selected rR v(Fe–O) values for various protein and model heme systems, taken from Tables 3 and 7. See the text for further discussion and explanation.

Chem Rev. Author manuscript; available in PMC 2019 November 28.



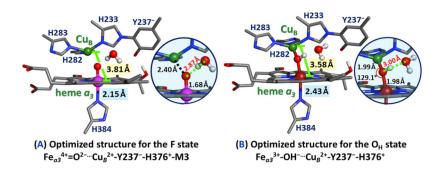
#### Figure 161.

Top left protein structure, (A), depicts a fully reduced form of the BNC, referred to as intermediate R in C*c*O reaction sequences (see Scheme 23). The top right structure, (B), is a computational model of C*c*O reaction intermediate A (from Blomberg and co-workers) which is formulated in the cytochrome *c* oxidase literature as an oxy-heme {Fe<sub>a3</sub>-(O<sub>2</sub><sup>•-</sup>)} complex. The other four structures shown (C–F) are optimized computational models of peroxo or hydroperoxo-bridged heme-Cu assemblies, all previously discussed in the present review article. Species (D) is what we have referred to as C*c*O intermediate, I<sub>P</sub> (also see Scheme 23). A comparison of structural parameters (as shown) might suggest that intermediate A could well be formulated as an Fe<sub>a3</sub><sup>III</sup>–(O<sub>2</sub><sup>2–</sup>)-Cub<sup>II;</sup> complex. Created using data from refs 747, 903, 917, 919, and 1178. See the text for further discussion.



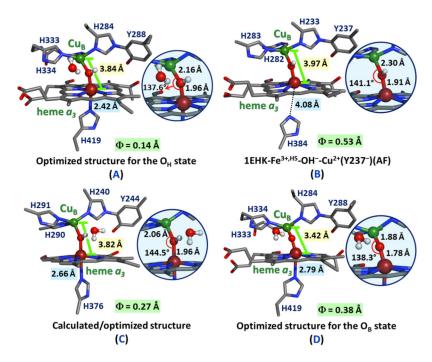
#### Figure 162.

Calculated structures and relative energies of proposed intermediates in the oxidative phase of the catalytic cycle of C<sub>c</sub>O, from Noodleman and co-workers.<sup>909</sup> The pathway from 2H (reduced binuclear center intermediate R) to 6 contains low-energy barriers and is overall energetically downhill. The three so-called "true peroxo" states (4, 5, and 6) are all calculated to be lower in energy that state 3 (intermediate A). Further descriptors of the various calculated intermediates are 2H: {H376H<sup>+</sup>, Fe<sup>II</sup>\_Cu<sup>I</sup>, H282H, Y'OH}; 3: {H376H<sup>+</sup>, Fe<sup>II</sup>\_O<sub>2</sub>\_Cu<sup>I</sup>\_H<sub>2</sub>O, H282H, Y'OH}; 4: {H376, H<sub>2</sub>O Fe<sup>III</sup>\_O\_O\_O\_Cu<sup>II</sup>, H282H, Y'OH}; 5: {H376H<sup>+</sup>, Fe<sup>III</sup>\_O\_O\_O\_Cu<sup>II</sup>, H282H, Y'O<sup>-</sup>}; 6: {H376H<sup>+</sup>, Fe<sup>III</sup>\_O\_O\_OH\_Cu<sup>II</sup>, H282H, Y'O<sup>-</sup>}; 7<sub>2</sub>: {H376, H<sub>2</sub>O Fe<sup>IV</sup>=O, H<sub>2</sub>O\_Cu<sup>II</sup>, H282<sup>-</sup>, Y'O<sup>•</sup>}. H376 is a nearby histidine residue with H-bonding interactions with copper ligand H283 through an aspartate residue and water molecule. H282 is one of the histidine residues bound to Cub. Y'O(H) is the Tyrosine residue cross-linked to His233; it may be deprotonated (i.e., Y'O<sup>-</sup>) or have lost an H atom and be in its oxidized radical form (i.e., Y'O<sup>•</sup>).



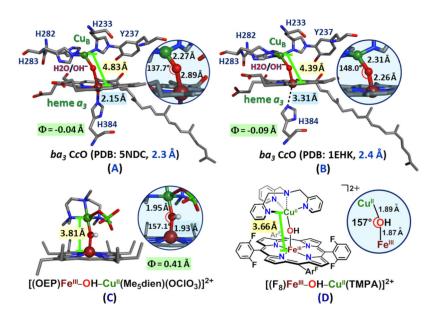
### Figure 163.

Optimized structures of the (A) F and (B)  $O_H$  states in the catalytic cycle of HCOs.<sup>1180</sup> See the text for details.



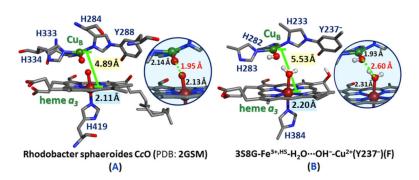
## Figure 164.

Computationally derived structures for the (A-C)  $O_H$  state and (D)  $O_B \mu$ -oxo state in C*c*O, all containing a bridging hydroxide or oxide ligand between heme  $a_3$  and Cu<sub>b</sub>.  $\Phi$  is the distance of the iron above the plane of the porphyrin. Created using data from refs 901, 903, and 916.



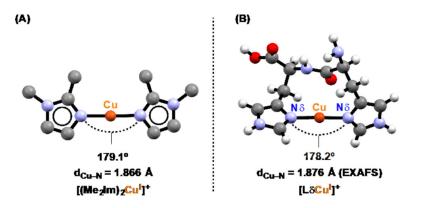
### Figure 165.

X-ray structures of proposed  $\mu$ -hydroxo (or  $\mu$ -aquo) heme-copper centers proposed in C*c*O X-ray structures (**A** and **B**) or found in a small molecule model complex X-ray structure (**C**). For a different synthetic complex,  $[(F_8)Fe^{III}-(OH)-Cu^{II}(TMPA)]^2+$  with a tetradentate Cu center (**D**), structural parameters were determined from extended X-ray absorption fine structure (EXAFS) spectroscopy.  $\Phi$  is the distance (above + , below –3) of the iron from plane of the porphyrin. Notably, the protein structure 5NDC (**A**) is said to be free of any possible damage derived from X-ray radiation, as it was determined utilizing an X-ray free electron laser (XFEL). Created using data from refs 987, 989, 1023, and 1071.



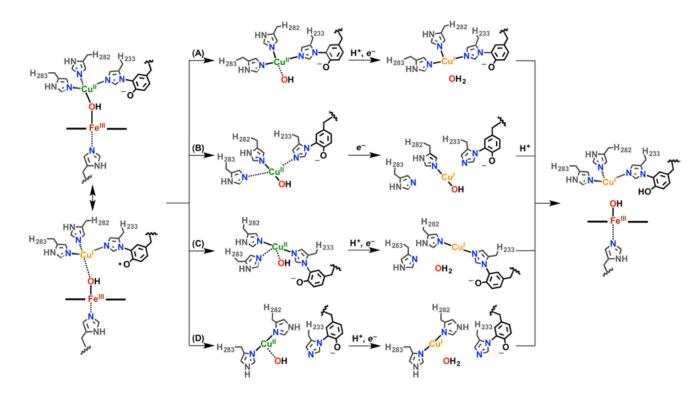
#### Figure 166.

( $\mathbf{A}$ ) X-ray<sup>1196</sup> and ( $\mathbf{B}$ ) calculated<sup>916</sup> structures showing the catalytic core of C*c*O with the formulation [(heme a<sub>3</sub>)Fe<sup>III</sup>- OH<sub>2</sub>...HO-CuB<sup>II</sup>/Tyr-O<sup>-</sup>]+, which has been proposed by Wikstrom and co-workers to be the relaxed oxidized species o.<sup>901</sup> However, due to the short and unreasonable O···O distance between the hydroxide and water ligand O atoms in the protein structure ( $\mathbf{A}$ ), its formulation comes into question. See text for discussion.



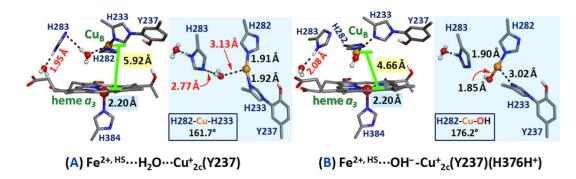
### Figure 167.

(A) The crystal structure of bis-1,2-dimethylimidazole copper(I) shows a near-perfect linear N–Cu–N geometry and short Cu-imidazole bonds. (B) The calculated (DFT) structure of (truncated) [L $\partial$ Cu<sup>I</sup>]<sup>+</sup> also displays linear N–Cu–N coordination and short Cu–N bonds through the N $\delta$  atom of the histidines.<sup>633</sup>



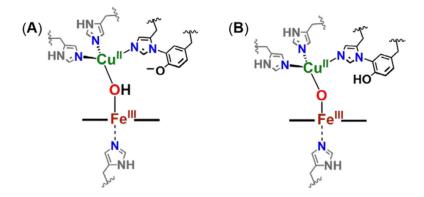
### Figure 168.

Conversion of metastable "active" oxidized intermediate  $O_H$  (far left) to  $E_H$  (far right) through the reduction of  $Cu_B$  or the cross-linked tyrosine.<sup>916</sup> The numbering scheme for CcO isolated from T. thermophilus is used. Structures for proposed high potential  $Cu_B$  sites through changes in coordination number are proposed. (A) Dissociation of the coordinated hydroxide moiety leads to formation of a planar 3-coordinate  $Cu^I$  complex following protonation and reduction. (B) Deligation of H283 and H233 and addition of an electron leads to formation of a linear H282-  $Cu^I$ -OH moiety. (C) Dissociation of hydroxide and H283 gives a linear Ne coordinated H282-Cu-H233  $Cu_b$  state following protonation and reduction. (D) A tautomeric change in H283 and H282 coordination and dissociation of H233 and hydroxide leads to formation of a N<5 ligated H283-Cu-H282 complex after proton and electron transfer. Recall (section 3.1) that for adjacent bis-histidine dipeptides and single-copper sites, formation of a linear 2-coordinate copper(I) structure is highly favored when both imidazole groups ligate via their N $\delta$  nitrogen-atoms. The inspiration for proposals B and *c* derive from the computational studies of Noodleman and co-workers.<sup>916</sup>



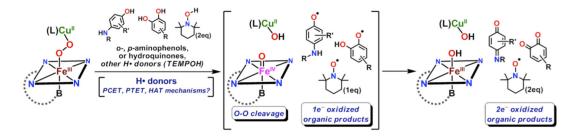
#### Figure 169.

Noodleman and co-workers' DFT calculated structures suggest that Cub ligands His233 and His 283 are "mobile", allowing for the formation of linear two-coordinate  $Cu_B(I)$  intermediates, (A) H283 and a water molecule dissociate from  $Cu_B$  yielding a linear two-coordinate bis-histidyl cuprous structure with short Cu-N<sub>His</sub> distances. (B) H283 and H233 dissociate from  $Cu_b$  to give a linear H282- $Cu^I$ -OH moiety. Both Cu-N<sub>His</sub> and Cu-OH bonds are shortened relative to the "relaxed" oxidized state (with CuB<sup>II</sup>-His3(OH(H)) coordination). Created using data from ref 916.



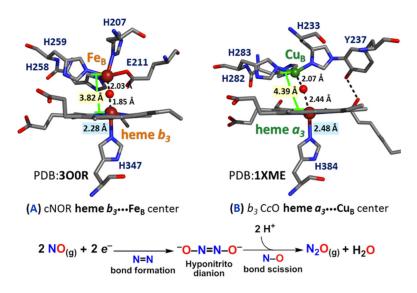
### Figure 170.

Heme-Cu  $\mu$ -hydroxo (**A**) and  $\mu$ -oxo (**B**) species, which have been modeled in synthetic systems (see section 5.3) and explored computationally (see section 6.3), have been proposed to be intermediates in the catalytic turnover of HCOs (see Scheme 23). Specifically, these species are postulated to represent the high potential O<sub>H</sub> state, a property required from a bioenergetics perspective in order to drive proton translocation concomitant with the O<sub>H</sub> to E<sub>h</sub> conversion (see section 6.3). These two complexes are tautomers, with the proton residing on either the bridging oxide ligand (**A**) or the nearby histidine cross-linked tyrosine residue (**B**).



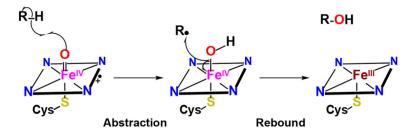
### Figure 171.

A proposal for a reactivity study involving multielectron-donating substrates with hemeperoxo-copper model complexes alluding to proposed mechanistic investigations.

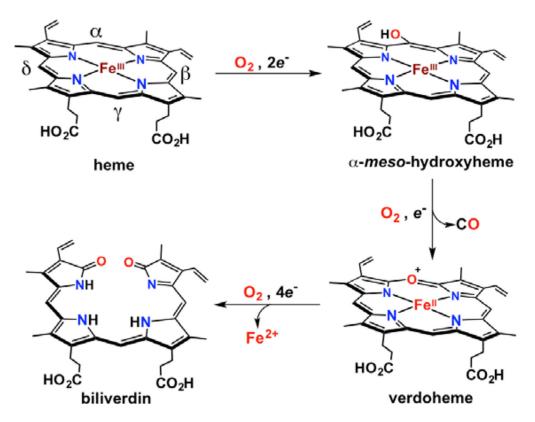


### Figure 172.

A side-by-side comparison of (A) NOR<sup>1096</sup> and (B)  $CcO^{1236}$  active site structures (top), and the nitric oxide coupling reaction catalyzed by these enzymes (bottom) depicting the intermediacy of a hyponitrito dianionic species following N–N bond formation. Upon protonation, the hyponitrite intermediate produces N<sub>2</sub>O<sub>(g)</sub> and water. The electrons for the first step are believed to originate from the redox-active metal centers within the active site.

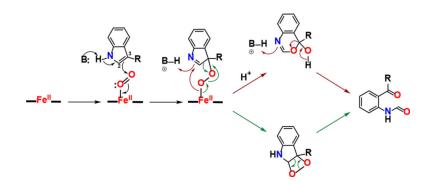


**Scheme 1.** Radical Rebound Mechanism Proposed by Groves et al.<sup>*a*</sup> <sup>*a*</sup>See the main text for further discussion.





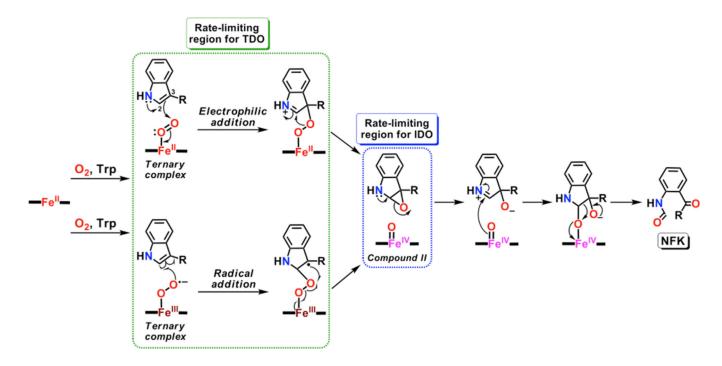
Main Mechanistic Steps Involved in the Heme-Degradation Pathway Catalyzed by HO. Adapted from ref 161. Copyright 2010 American Chemical Society.



## Scheme 3.

Previously Proposed Base (**B**) Catalyzed Activation of Indoles with Oxy-Heme Species Forming a Peroxyindole Intermediate, Which Reacts Further by Either Criegee (maroon) or Dioxetane (green) Pathways<sup>a</sup>

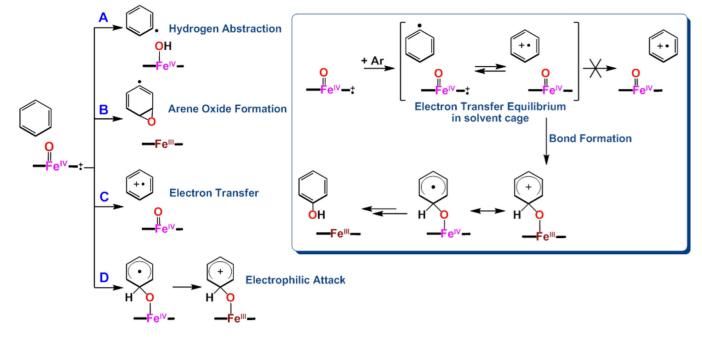
<sup>a</sup> Adapted from ref 211. Copyright 2011 American Chemical Society.



## Scheme 4.

Electrophilic and Radical Addition Mechanisms Proposed for IDO/TDO Enzymes Reacting via Indole Epoxide and Ferryl Intermediates<sup>a</sup>

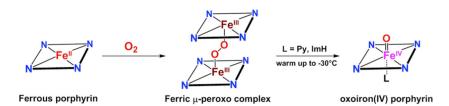
<sup>a</sup> As shown here, the rate-limiting steps for the two enzymes are thought to be different. Adapted from ref 225. Copyright 2016 American Chemical Society.



### Scheme 5.

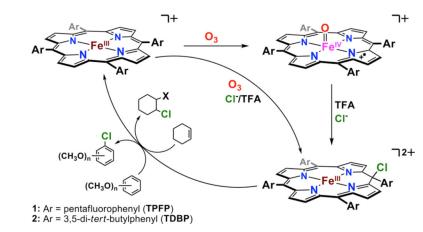
Proposed Mechanistic Pathways for Aromatic Hydroxylation Reactions Mediated by Cmpd I Model Systems<sup>a</sup>

<sup>a</sup>The new mechanistic scenario suggested by Fuji and Asaka is shown in the inset. Adapted from ref 354. Copyright 2016 American Chemical Society.



Scheme 6.

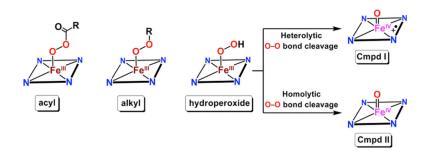
Synthetic Route to O<sub>2</sub>-Derived Cmpd II Complexes<sup>365</sup>



### Scheme 7.

Formation of *meso*-Chloroisoporphyrin Complexes from  $[(TPFPP^{\bullet+})Fe^{IV}=O]^+$  and Its Oxidative Reactivity with Anisole and Cyclohexene<sup>*a*</sup>

<sup>a</sup>Adapted from ref 394. Copyright 2012 American Chemical Society.



# Scheme 8.

Generation of Cmpd I and Cmpd II Type Species via Respective Heterolytic and Homolytic O–O Bond Cleavage Reactions of Heme Fe<sup>III</sup>–OOR-Type Intermediates

$$(B)(P)Fe^{II} + O_2 \longrightarrow (B)(P)Fe(O_2)$$

$$(B)(P)Fe(O_2) + (B)(P)Fe^{II} \longrightarrow (P)Fe^{III} - O_2 - Fe^{III}(P) + 2B$$

$$(P)Fe^{III} - O_2 - Fe^{III}(P) + 2B' \longrightarrow 2(B')(P)Fe^{IV} = O$$

$$(B')(P)Fe^{IV} = O + (B')(P)Fe^{II} \longrightarrow (P)Fe^{III} - O_2 - (P)Fe^{III} + 2B'$$

#### Scheme 9.

Proposed Interconversions Involved in the  $\mu$ -Peroxo Decay Pathway of Iron(III)-superoxo porphyrinate (P) Complexes; B = Axially Ligating Base, a Weak One Such As a Solvent; B ' = a Strong Axial Ligating Base Such As a Pyridyl or Imidazolyl Moiety

$$(P)Fe^{II} + *O_{2} \longrightarrow (P)Fe(*O_{2})$$

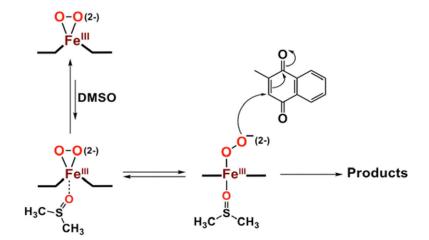
$$(P)Fe(*O_{2}) + H_{2}O \longrightarrow (P)Fe^{III}(OH) + H*O_{2}$$

$$2H*O_{2} \longrightarrow H_{2}*O_{2} + *O_{2}$$

Scheme 10.

Protonation-Assisted Decay Pathway Proposed for the Iron(III)-Superoxide Adduct of a "Basket-Handle" Porphyrin; P = Supporting Porphyrinate

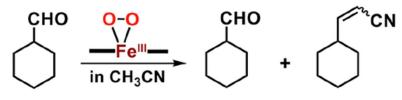
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## Scheme 11.

Proposed "Turn-On" Mechanism of Nucleophilic Activity of  $[(TPFPP)Fe^{III}(O_2^{2-})]^-$  upon Axial Coordination of the DMSO Solvate<sup>*a*</sup>

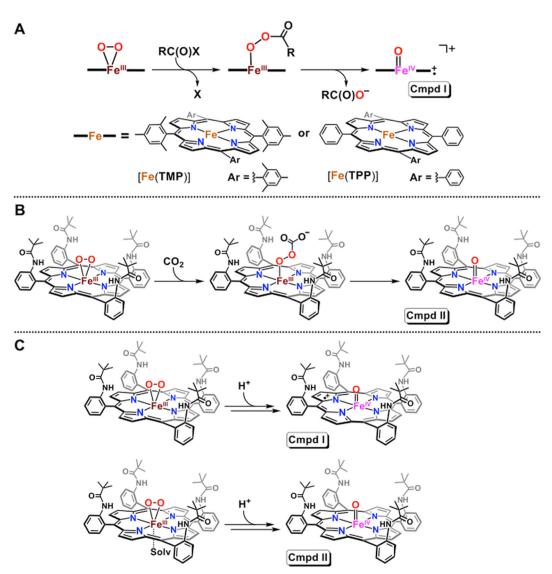
<sup>a</sup>Adapted from ref 529. Copyright 1998 American Chemical Society. See text for discussion.



#### Scheme 12.

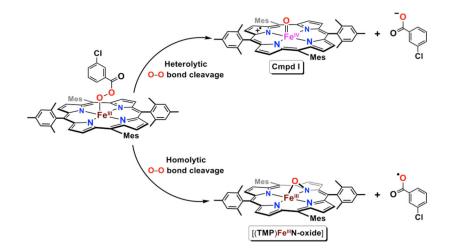
Reactivity of Cyclohexanecarboxyaldehyde with Heme Iron(III)-Peroxo Adducts Giving Both the Deformylated and Nitrilated Products As Observed by Watanabe and Co-workers<sup>*a*</sup> <sup>*a*</sup>Adapted with permission from ref 551. Copyright 1998 Elsevier.

Adam et al.

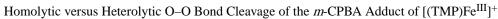


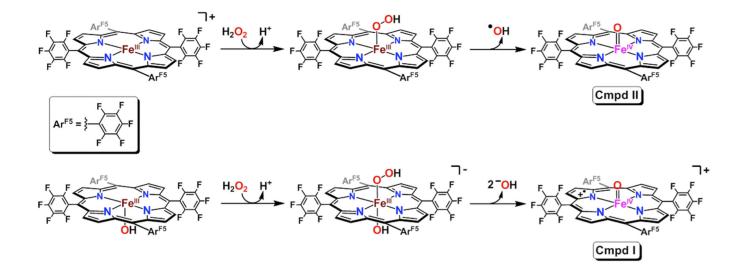


O–O Bond Cleavage Reactivity of Heme Iron(III)-Peroxo Intermediates upon the Addition of Either (A) Acylating Agents, (B) CO<sub>2</sub>, or (C) Protons



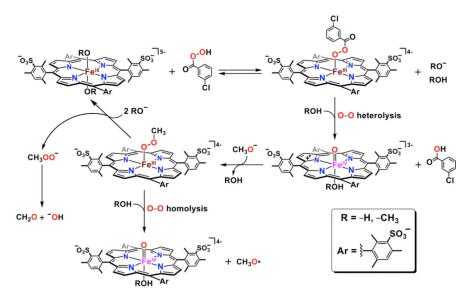






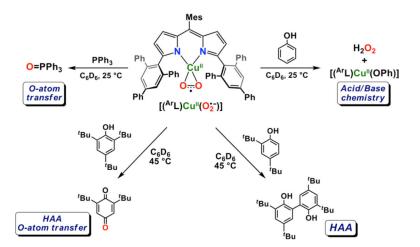
## Scheme 15.

Differences in Formation, and Reactivity Landscapes of Five-Coordinate [(TPFPP)Fe<sup>III</sup>(OOH)] and Six-Coordinate [(OH)(TPFPP)Fe<sup>III</sup>(OOH]<sup>-</sup> Ferric Hydroperoxo Complexes



## Scheme 16.

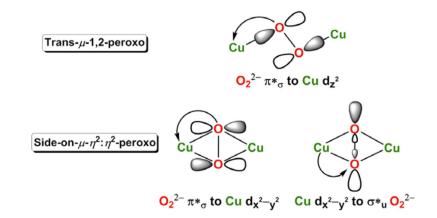
Proposed Reaction Pathways Surrounding the Oxidation of [(TMPS)Fe<sup>III</sup>(OR)<sub>2</sub>] with *m*-CPBA in a Water/MeOH Mixture under Basic Conditions



### Scheme 17.

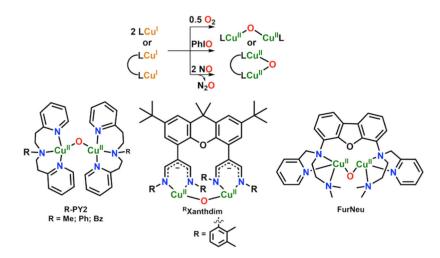
Reactivity of a Side-On Superoxide, [<sup>Ar</sup>LCu<sup>II</sup>(O<sub>2</sub><sup>•-</sup>)], Showing Both Nucleophilic (Acid/ Base Chemistry) As Well As Electrophilic [O Atom Transfer and Hydrogen Atom Abstraction (HAA)] Character<sup>*a*</sup>

<sup>a</sup>Adapted with permission from ref 706. Copyright 2017 The Royal Society of Chemistry.



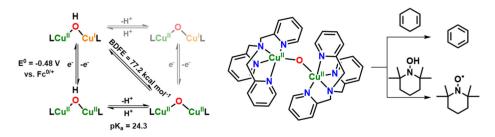
### Scheme 18.

Frontier Molecular Orbital Interactions for End-On and Side-On Isomers of Peroxodicopper(II) Species



### Scheme 19.

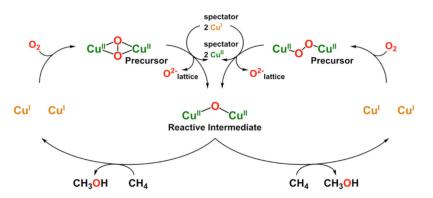
Formation of ( $\mu$ -Oxo)dicopper(II) Complexes Bearing Chelates for Single-Cu Species or Utilizing Binucleating Ligands<sup>511,807,809,810</sup>



#### Scheme 20.

Thermodynamic Square Scheme for the ( $\mu$ -Oxo)dicopper(II) Complex Bearing the TMPA Ligand, and Reactivity of the Same  $[Cu_2O]^{2+}$  Species with Substrates Containing Weak C–H and O–H Bonds<sup>*a*</sup>

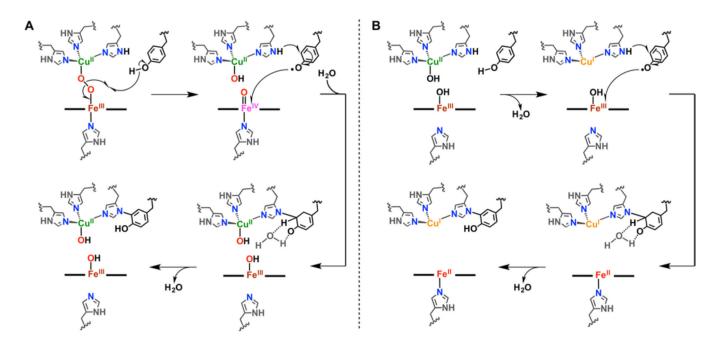
<sup>a</sup>Adapted from ref 811. Copyright 2017 American Chemical Society.



#### Scheme 21.

Proposed Activation of  $O_2$  in Copper-Loaded Zeolites, Resulting in the  $[Cu_2O]^{2+}$  Reactive Intermediate<sup>*a*</sup>

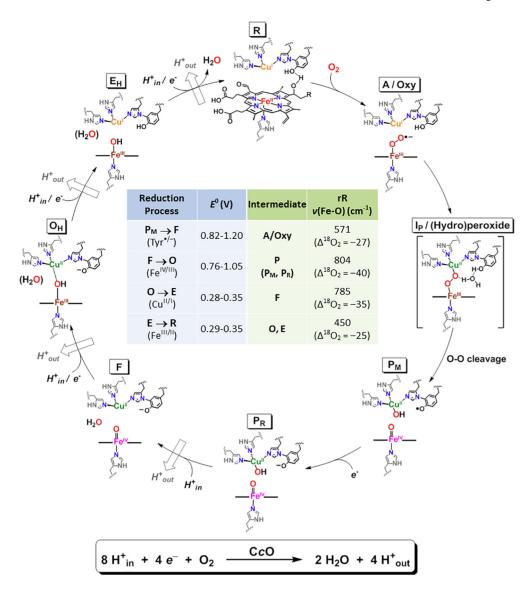
<sup>a</sup>Adapted from ref 812. Copyright 2010 American Chemical Society.



## Scheme 22.

Supposed Mechanisms of His-Tyr Crosslink Biogenesis in the (A) Presence or (B) Absence of  $O_2{}^a$ 

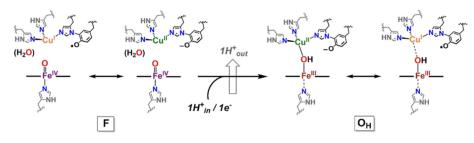
<sup>*a*</sup>Adapted with permission from ref 612. Copyright 2016 Springer. Other reaction sequences to effect oxidative cross-coupling of His and Tyr residues can be envisioned.



### Scheme 23.

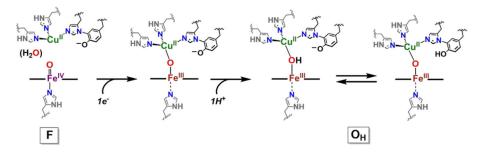
Catalytic Mechanism of O2-Reduction by HCOs<sup>a</sup>

<sup>*a*</sup>Reduction potential values obtained from refs 875–878 shown as a range of values obtained using various methods or conditions. Heme- $a_3$  peripheral R group = 15-C farnesyl group, see Figure 89C. See text, both here and in section 6, for clarification or further alternative descriptions of the intermediates depicted here, or for unobserved putative intermediates.



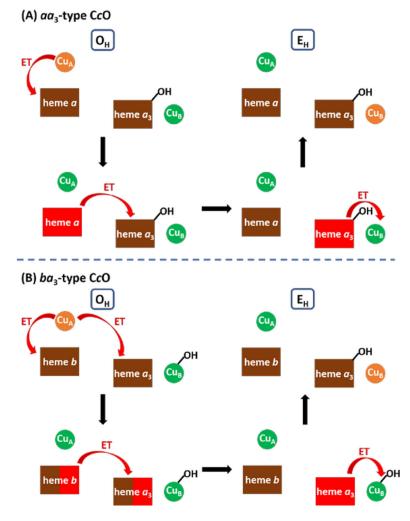
## Scheme 24.

Conversion of Intermediate F to  $O_H$  in the Catalytic Cycle of HCOs, Which Occurs with the Translocation of One Proton Across the Membrane



## Scheme 25.

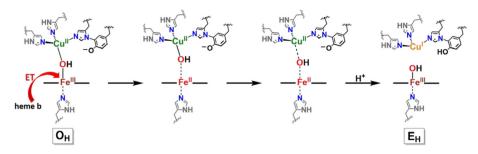
Coordination Chemistry Derived Proposal for the Transformation of Intermediate F to  $O_H$  in HCOs Which May Occur through a  $\mu$ -Oxo, Fe<sup>III</sup>-O–Cu<sup>II</sup>, Intermediate if Electron Transfer Occurs Prior to Proton Transfer



#### Scheme 26.

Electron Transfer through the Metal Centers of CcO for the Conversion of  $O_H$  to  $E_H$  for (A) *aa*<sub>3</sub>-type and (B) *ba*<sub>3</sub>-type CcO<sup>*a*</sup>

<sup>*a*</sup>See the text and ref 1184 for details. Colors indicate oxidation state of the metals (orange for Cu<sup>1</sup>, green for Cu<sup>II</sup>, red for Fe<sup>II</sup>, brown for Fe<sup>III</sup>, and brown/red for partially reduced Fe sites).



#### Scheme 27.

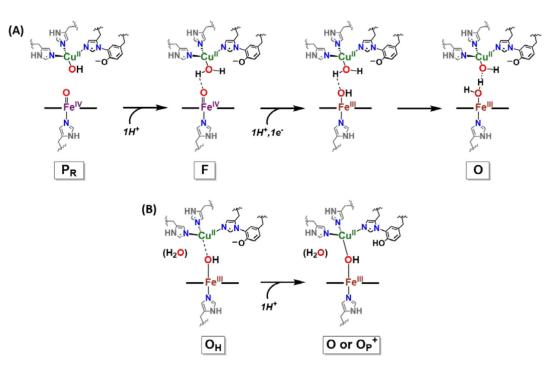
Conversion of  $O_H$  to  $E_H$  in CcO May Occur via Initial Electron Transfer from Heme *b* to Heme  $a_3^a$ 

<sup>*a*</sup>We suggest that the subsequent electron transfer from heme  $a_3$  to  $Cu_B$  may occur through an "inner-sphere" mechanism utilizing a bridging hydroxide ligand, as shown. Formally, the  $Cu_B{}^{II}$  reduction occurs by transfer of a hydroxyl radical (•OH) moiety.



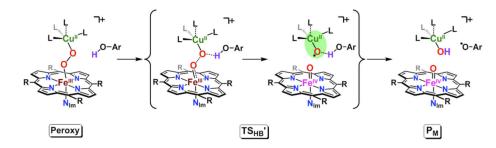
#### Scheme 28.

On the Basis of Redox Chemistry Observations Made for (i)  $F_8$ , the Well-Studied Heme Built into the Binucleating Ligand <sup>6</sup>L and (ii) Copper-TMPA Species, Where TMPA is the Tetradentate Chelate for Copper Appended to the Heme Base in <sup>6</sup>L, the Observed Conversion of  $[(^{6}L)Fe^{III}-Cl\cdots Cu^{I}]^+$  (left) to  $[(^{6}L)Fe^{II}\cdots Cl-Cu^{II}]^+$  (right) is Proposed to Occur by an "Inner-Sphere" Electron-Transfer Mechanisms, Via Formation of a Bridging Chloride Intermediate (Middle).<sup>1189</sup>



#### Scheme 29.

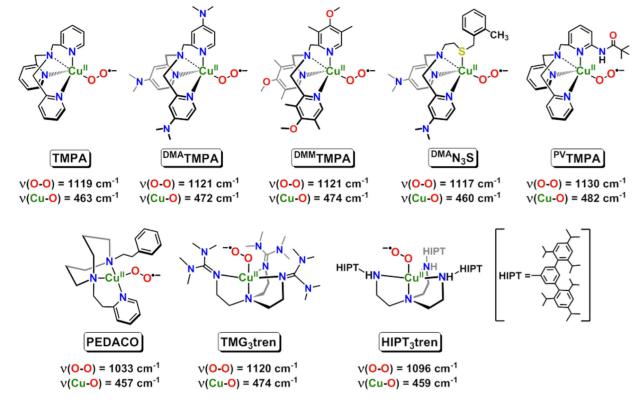
Proposed Formation of Possible "Relaxed" Oxidized O Intermediate States by (A) Wikström and Co-workers<sup>901</sup> and (B) Blomberg et al.<sup>902</sup>



#### Scheme 30.

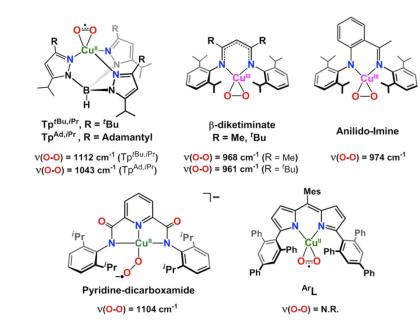
Proposed Transition States ( $TS_{HB}'$ ), in the O–O Cleavage Step of the Catalytic Cycle of CcO (Peroxy to P<sub>M</sub>), May Possess Copper(II)-Oxyl (Highlighted in Green) Character<sup>*a*</sup> <sup>a</sup>Such a species would be highly reactive towards hydrogen atom abstraction from a nearby phenol (possibly the histidine cross-linked tyrosine residue at the BNC).

Page 438



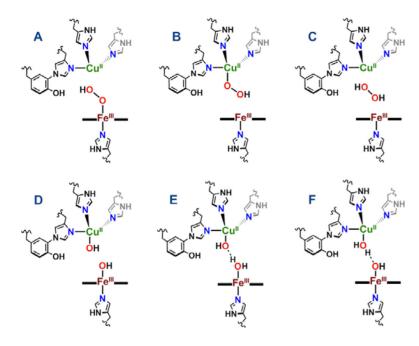
#### Chart 1.

Primary Copper(I)-Dioxygen Adducts,  $[(L)Cu^{II}(O_2^{\bullet-})]^+$  Complexes<sup>*a*</sup> <sup>*a*</sup>See text for further details.



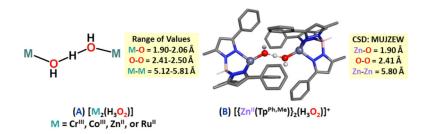
#### Chart 2.

Ligand Design Strategies to Effect Stability of Side-On 1:1 Copper Dioxygen Adducts through the Implementation of Steric Effects<sup>*a*</sup> <sup>*a*</sup>See text for further details.



#### Chart 3.

Plausible Formulations for Structures Which Were Otherwise Formulated As Peroxo-Bridged  $Fe_{a3}$ ...Cu<sub>B</sub> Protein Structures



#### Chart 4.

 $H_3O_2^-$  Bridging Group in Inorganic Coordination Chemistry<sup>*a*</sup> <sup>*a*</sup>See the text for discussion and relevant implications. (B) was created using data from ref 1152.

# Table 1.

Dioxygen-Binding Hemoproteins and Their Main Catalytic Functionalities

name	proximal ligand on heme	catalytically active species <sup>a</sup>	main function
hemoglobin (Hb)	histidine	$q^{V/N}$	O <sub>2</sub> binding and transportation
myoglobin (Mb)	histidine	$q^{\mathrm{V/N}}$	O <sub>2</sub> binding and storage
pNqH	histidine	$q^{\mathrm{V/N}}$	nitric oxide decomposition
cyanoglobin <sup>d</sup>	histidine	$q^{\mathrm{V/N}}$	O <sub>2</sub> binding for oxidase activity
HbO <sup>d</sup>	histidine	$q^{\mathrm{V/V}}$	O <sub>2</sub> binding and transfer
Glb3 <sup>d</sup>	histidine	$q^{\mathbf{V}/\mathbf{V}}$	O <sub>2</sub> binding
neuroglobin	histidine	$q^{\mathrm{V/N}}$	protection against hypoxia and oxidative stress
cytoglobin	histidine	$q^{\mathrm{V/N}}$	protection against hypoxia and oxidative stress
erythrocruorin $^{e}$	histidine	$q^{\mathrm{V/N}}$	O <sub>2</sub> binding and transportation
globin E	histidine	$q^{\mathrm{V/N}}$	O <sub>2</sub> binding and storage
cytochrome P450 (Cyt-P450)	cysteine	$(\mathbf{P}^{\star})Fe^{IV}=O^{\mathcal{C}}$	monooxygenation of organic substrates
aromatase	cysteine	$(P)Fe^{III}-OO^{-c}$	biosynthesis of estrone from androstenedione
heme oxygenase (HO)	histidine	$(P)Fe^{III}-OOH^{\mathcal{C}}$	heme degradation to biliver din, $\mathrm{CO}_{(g)},$ and free $\mathrm{Fe}^{2+}$
nitric oxide synthase (NOS)	cysteine	(P)Fe <sup>III</sup> -00 <sup>•-C</sup> or (P)Fe <sup>III</sup> -00 <sup>-C</sup>	generation of $NO_{(g)}$ from L-arginine
indoleamine 2,3-dioxygenase (IDO)	histidine	$(P)Fe^{III}-OO^{\bullet-\mathcal{C}}$	dioxygenation of indoleamine moieties
tryptophan 2,3-dioxygenase (TDO)	histidine	$(P)Fe^{III}-OO^{\bullet-\mathcal{C}}$	dioxygenation of tryptophan to give N-formylkynurenine
peroxidases	histidine	$(P^{\bullet+})Fe^{IV}=O^{C}$	${ m H_2O_2}$ activation for substrate oxidation
chloroperoxidase	cysteine	$(P^{\bullet+})Fe^{IV}=O^{C}$	monooxygenation and halogenation of organic substrates
catalase	tyrosine	$(P^{\bullet+})Fe^{IV}=O^{C}$	$\rm H_2O_2$ disproportionation to $\rm H_2O$ and $\rm O_{2(g)}$
secondary amine monooxygenase	histidine	$(P^{\bullet+})Fe^{IV}=O^{C}$	dealky lation of $2^\circ$ amines to give $1^\circ$ amines and aldehy des
prostaglandin synthase	histidine	$(P^{\bullet+})Fe^{IV}=O^{\mathcal{C}}$	generation of prostaglandin from arachidonic acid

name	proximal ligand on heme	catalytically active species <sup><i>a</i></sup>	main function
heme sensor proteins	histidine or cysteine $f$ N/A <sup><math>b</math></sup>	$^{\rm AA}p$	sensing gases ( $O_2$ , NO, CO)/redox states during cell signal transduction
<sup>a</sup> Refer to Figure 5 for structures.			
$b_{ m Not}$ and isother reaction only binds Octavity in			

 $^b$ Not applicable, usually only binds O2 reversibly.

 $^{C}P$  = porphyrinate supporting ligand.

 $d_{\rm Truncated hemoglobins.30-34}$ 

 $f_{
m Some}$  CO sensors have cysteinate proximal ligation. $^{
m 36-38}$ 

#### Table 2.

Product Distribution of Cyclohexanecarboxyaldehyde Deformylation Reactivity Mediated by Various Heme Iron(III)-peroxo Adducts As Reported by Watanabe and Co-workers<sup>a</sup>

Entry	Compound	percent yields of	of products [%] <sup>d</sup>
1	[(TPP)Fe <sup>III</sup> -(O <sub>2</sub> )] <sup>-</sup>	15	20
2	[(TPP)Fe <sup>III</sup> -(O <sub>2</sub> )] <sup>-</sup> /PBN <sup>b</sup>	8	15
3	[(TMP)Fe <sup>III</sup> -(O <sub>2</sub> )] <sup>-</sup>	trace	50
4	[(TDCPP)Fe <sup>III</sup> -(O <sub>2</sub> )] <sup>-</sup>	18	5
5	KO <sub>2</sub>	trace	40

<sup>a</sup>Adapted with permission from ref 551. Copyright 1998 Elsevier.

 $^b\mathrm{Yield}$  were determined by GC/MS based on peroxoiron(III) prophyrin complex used.

 $^{C}$ [PBN] = 10 mM (PBN: phenyl-tert- butylnitrone).

Table 3.

Selected Resonance Raman Spectroscopic Data: Heme-Peroxo-Copper Complex Core Vibrations

system	ν <sub>0-0</sub> ( ν)	$\nu_{\text{Fe-O}}(\nu)^a$	$\nu_{Cu-O}(\nu)^a$	figure number, [reference]
tetradentate Cu ligands				
$[(DCHIm)(TACNAcr)Fe^{III}-(O_2^{2-})-Cu^{II}] +$	758 (-18)	n/r	n/r	$100^{933}$
$[(TPP)Fe^{III}-(O_2^{2-})-Cu^{II}(tpa)] +$	803 (-44)	n/r	n/r	95 A <sup>934</sup>
$[(TPP)Fe^{III}-(O_2^{2-})-Cu^{II}(5-Metpa)]^+$	793 (–42)	n/r	n/r	95 B <sup>930</sup>
$[(TMP)Fe^{III}-(O_2^{2-})-Cu^{II}(5-Metpa)]$ +	790 (-44)	n/r	n/r	95C <sup>930</sup>
$[(TMP)Fe^{III}-(O_2^{2-})-Cu^{II}(L^{OH})]$	799 (-47)	n/r	n/r	$106  \mathrm{A}^{486,935}$
$[(TMP)Fe^{III}-(O_2^{2-})-Cu^{II}(L^{OMOM})] +$	801 (-46)	n/r	n/r	935
$[(TMPIm)Fe^{III}-(O_2^{2-})-Cu^{II}(L^{N4-OH})]^{+}$	803/787 (-52)	n/r	n/r	$106  \mathrm{B}^{485}$
$[(TMPIm)Fe^{III}-(O_2)^{2-}-Cu^{II}(L^{N4-OMOM})] +$	804/752 (-52)	n/r	n/r	935
$[(^{6}L)Fe^{III}-(O_{2}^{2-})-Cu^{II}]^{+}$	787 (–43)	533	n/r	$101 \mathrm{A}^{936}$
$[(^{5}L)Fe^{III}-(O_{2}^{2-})-Cu^{II}]^{+}$	809 (-53)	n/r	n/r	$101 \ B^{936}$
$[(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(TMPA)]^+$	808 (-46)	538 (-22)	516 (-24)	94 <sup>498</sup>
$[(DCHIm)(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(TMPA)] \ ^+$	812 (-50)	623 (-27)	533 (-24)	98 <sup>497</sup>
$[(F_8)Fe^{III}(O_2^{2-})-Cu^{II}(L^{N4}OH)]^+$	813 (-44)	529 (-21)	n/r	$104 \ B^{937}$
$[(F_8)Fe^{III}(O_2^{2-})-Cu^{II}(L^{N4}OMe)]^+$	815 (-46)	528 (-22)	n/r	$104^{937}$
$\left[(DCHIm)(F_8)Fe^{III}\text{-}(O_2{}^{2-})\text{-}Cu^{II}(DCHIm)_4\right] +$	876, 863 (–56)	591, 585 (-27)	n/r	$108^{497}$
tridentate copper ligands				
$[(NMePr)Fe^{III}(O_2^{\bullet -}) Cu^1]^+ b$	n/r	570 (-26)	n/r	40 <sup>524</sup>
$[(^{2}L)Fe^{III}-(O_{2})^{2}-Cu^{II}]^{+}$	747 (–49)	n/r	n/r	$102  \mathrm{A}^{938}$
$[(TMP)Fe^{III}-(O_2^{2-})-Cu^{II}(L^{N3-OH})]^+$	810 (-46)	n/r	n/r	$105 \mathrm{A}^{935}$
$[(TMP)Fe^{III}-(O_2^{2-})-Cu^{II}(L^{N3-ONa})]$	812 (-50)	n/r	491(-28)	$105 \ B^{935}$
$[(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(AN)]^+$	746 (–48)	n/r	n/r	94516
$[(DCHIm)(F8)Fe^{III}-(O_2^{2-})-Cu^{II}(AN)]^+$	796 (–42)	586 (-22)	n/r	98 <sup>928</sup>
$[(F_8)Fe^{III}(O_2^{2-})-Cu^{II}(L^{Me2N})]^+$	752 (-43)	n/r	n/r	103 <sup>513</sup>
	767 (-40)			

system	ν <sub>0-0</sub> ( ν) <sup>α</sup>	$v_{\mathrm{Fe-O}}(\nu)^{a}$	$\nu_{\text{Cu-O}(-\nu)a}$	$v_{0-0}(v)^{a}$ $v_{\text{Fe-}0}(v)^{a}$ $v_{\text{Cu-}0}(v)^{a}$ figure number, [reference]
$[(DCHIm)(F_8)Fe^{III}-(O_2{}^2-)-Cu^{II}(DCHIm)_3]^+ \qquad 876 \ (-55)$	876 (-55)	594 (-28)	n/r	$108^{497}$
$[(F_8)Fe^{III}-(O_2^{2-})-Cu^{II}(MeTHF)3]^+$	737 (–41)	555 (-27)	n/r	$107^{497}$

Adam et al.

 $^{a}$ Values given in cm<sup>-1</sup>; values in parentheses are  $18O_{2}$  shifts.

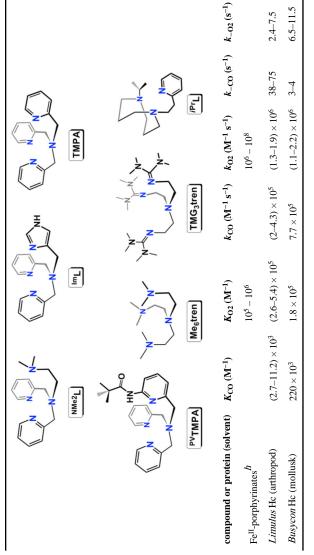
b Characterized as a heme-Fe<sup>III</sup>-superoxide…Cu<sup>I</sup> complex, see Section 2.2.2; n/r = not reported.

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# Table 4.

Comparison of CO and O<sub>2</sub> Bimolecular Rates and Binding Constants for Select (Ligand)copper(I) Complexes, Hemocyanins (Hc), and Selected Hemes<sup>a</sup>

				$k_{-02} \ ({ m s}^{-1})$	$2.3  imes 10^9$	$1.9  imes 10^9$	$1.3  imes 10^8$	$1.5  imes 10^8$	$9  imes 10^7$			$1.5  imes 10^7$	$1.5  imes 10^6$	$5  imes 10^4$			22	13.1
			) ]	$k_{-{ m CO}}({ m s}^{-1})$	$5.0  imes 10^5$	$1.1  imes 10^6$	$1.6  imes 10^5$	$2.7  imes 10^5$									0.022	00.0
	TMPA	1/2 /2-	<i>i</i> PrL	$k_{02}  (\mathrm{M}^{-1}  \mathrm{s}^{-1})$	$2.3\times10^{11}$	$3.4  imes 10^{10}$	$1.3  imes 10^9$	$5.8\times 10^7$	$1.6  imes 10^8$	$1.2  imes 10^7$	$4.8\times 10^7$	$2.7 imes 10^7$	$5.9 imes 10^2$	$3.5  imes 10^8$	$3.8  imes 10^7$	$1 \times 10^9$	$(1.425)\times10^7$	$(2.9-22) \times 10^7$
H			TMG <sub>3</sub> tren	$k_{\rm CO}({\rm M}^{-1}{\rm s}^{-1})$	$2.5  imes 10^9$	$2.8\times10^9$	$1.9  imes 10^9$	$5.9 imes10^7$									$7.6  imes 10^5$	$4.6  imes 10^6$
	ImL		Me <sub>6</sub> tren	$K_{02}  ({ m M}^{-1})$	100	17.4	15.4	0.38	1.7	15.5		- 2	6.3	$7  imes 10^3$			$(0.74{-}117)  imes 10^4$	$(2.9-48)  imes 10^5$
	NMe2L		ব	$K_{\rm CO}  ({\rm M}^{-1})$	$4.9  imes 10^3$	$2.4  imes 10^3$	$1.2  imes 10^4$	220									$2.6  imes 10^7$	$4.6  imes 10^8$
			PVTMPA	compound or protein (solvent)	<sup>NMe2</sup> LCu <sup>I</sup> (THF)	ImLCu <sup>I</sup> (THF)	(TMPA)Cu <sup>I</sup> (THF)	(TMPA)Cu <sup>I</sup> (EtCN)	$(^{\mathrm{IBu}}\mathrm{TMPA})\mathrm{Cu}^{\mathrm{I}}$ (THF) $^{\mathcal{C}}$	(TMPA)Cu <sup>I</sup> (EtCN)	(PV-TMPA)Cu <sup>I</sup> (MeTHF)	(TMG <sub>3</sub> tren)Cu <sup>1</sup> (MeTHF)	(L <sup>iPr</sup> )Cu <sup>I</sup> (THF) <sup>d</sup>	Cyt <i>aa</i> 3 oxidase (Cu <sub>B</sub> ) <sup>e</sup>	Cyt $bo_3$ oxidase (cyt $o_3)^f$	$Tt ba_3 ^{\mathcal{B}}$	myoglobin (human)	hemoglobin (human)



<sup>a</sup>Values correspond to 298 K, and for synthetic copper complexes, they have been extrapolated to this temperature from cryogenic measurements. See the following citations and references cited therein. 691,940,977,1002,1003 Also see sections 2 and 3 in this review for further discussions regarding many of the synthetic complexes mentioned in this table.

 $b_{MeTHF} = 2$ -methyl tetrahydrofuran.

Chem Rev. Author manuscript; available in PMC 2019 November 28.

<sup>C</sup>A TMPA ligand with 4-substituted (*t*-butyl) pyridyl donors.691

 $d_{
m Extrapolated}$  to 298 K from data in reference. 1004

<sup>e</sup>Bovine enzyme. Further transfer of O2 to heme a3 occurs with  $kO2 = 5 \times 10^4 \text{ s}^{-1}$ . 976,979

 $f_{
m Binding}$  to the  $o_3$  heme, E coli bo3 ubiquinol oxidase.1005

<sup>g</sup>Binding to the heme of reduced Tt ba3.912,990

h Includes hemes such as picket fence, pocket, durene capped, chelated proto- or meso-, deutero- or tetraphenyl- porphyrin.

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Selected Bond Lengths and Angles of Synthetic and Enzymatic Metal-"Oxy"  $\operatorname{Species}^a$ 

entry no.	complex	",0xy", species	00 (Å)	Fe-O (Å)	Fe-O (Å) M2-O (Å)	MI-M2 (Å)	MI-0-0 M2-0-0	MI-0-0-M2
	$O_2$	NA	1.21	NA	NA	NA	NA	NA
	K0 <sub>2</sub>	NA	1.28	NA	NA	NA	NA	NA
	$H_2O_2$	NA	1.47	NA	NA	NA	95°	120°
Superoxides								
(1)	sperm whale Mb	$\mathbf{F}\mathbf{S}^{1092}$	1.24	1.81	NA	NA	122.1°	NA
(2)	sperm whale Mb	ES1131	1.23	1.84	NA	NA	115.5°	NA
(3)	human Hb	$E_{S}^{69}$	1.24	1.87	NA	NA	159.1°	NA
(4)	$[\mathrm{Cu}^{\mathrm{II}}{}_{2,}(\mathrm{UN-O})(\mathrm{O}_{2})]^{2+\mathcal{C}}$	μ-1, 1-S <sup>783</sup>	1.29	NA	2.07	3.29	127.3°	$103.4^{\circ}$
					2.12		128.4°	(Cu-O-Cu)
(5)	$[Cu^{II}_{2,0}(UN-O)(O_2)]^{2+C}$	$\mu$ -1, 2-S <sup>783</sup>	1.29	NA	2.02	3.49	121.4°	14.4°
					2.04		123.1°	
(hydro)peroxides								
(9)	СРО-ООН	$H_{\rm P}^{-302}$	1.50	1.80	NA	NA	131°	NA
(7)	Mb-O <sub>2</sub> <sup>d</sup>	$\mathbf{P}^{570}$	1.33	1.85	NA	NA	$120^{\circ}$	NA
(8)	Mb-O <sub>2</sub> <sup>d</sup>	$\mathbf{P}^{571}$	1.26	1.84	NA	NA	119°	NA
(6)	$[Cu^{II}(BPPA)(OOH)]^+$	Hp701	1.46	NA	1.89	NA	114.5°	NA
(10)	$[Cu^{II}(biot-et-dpea)(OH_2)(OOH)]^+$	Нр721	1.52	NA	1.94	NA	138.2°	NA
(11)	$[Cu^{II}_{2}(L^{Et})(OOH)]^{2+}$	Hp789	1.46	NA	1.98	3.53	$114.0^{\circ}$	125.6°
					1.99		120.3°	(Cu-O-Cu)
(12)	$[Cu^{II}_2(XYL-O)(mCPBA)]^{2+}$	$^{ m Ac}P^{786}$	1.46	NA	1.97	3.20	122.4°	108.3°
					1.97		123.3°	(Cu-O-Cu)
(13)	$[Zn^{II} (Ts_3 Tren)(H_2 O_2)]^-$	H2 <b>p</b> 1132	1.45	NA	2.17	NA	$129.8^{\circ}$	NA
dicopper(II) trans-peroxides								
(14)	$[{Cu^{II}(TMPA)}_2 (O_2)]^{2+}$	$^{\mathrm{T}}\mathrm{P}^{766}$	1.43	NA	1.85	4.36	107.7°	$180^{\circ}$
(15)	$[{Cu^{II}(Me_{6}Tren)}_{2}O_{2}]^{2+}$	$^{\mathrm{T}\mathrm{P}^{758}}$	1.37	NA	1.90	4.59	$116.4^{\circ}$	$180^{\circ}$

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entry no.	complex	",0xy" species	0-0 (Å)	Fe-O (Å)	M2-0 (Å)	<b>MI-M2 (Å)</b>	MI-0-0 M2-0-0	MI-0-0-M2
(16)	$[\{Cu^{II}(Bz_{3}Tren)\}_{2}(O_{2})]^{2+}$	$^{\mathrm{Tp}767}$	1.45	NA	1.87	4.48	111.5°	$180^{\circ}$
(17)	$[{Cu}^{II}(Tet b)]_2(O_2)]^{2+}$	$^{\mathrm{T}}\mathrm{p}^{768}$	1.50	NA	1.92	4.57	114.9°	$143.7^{\circ}$
					1.94		115.2°	
(18)	$[\{Cu^{II}(TPA^{NHPh})\}_2(O_2)]^{2+}$	$^{\mathrm{Tp}769}$	1.48	NA	1.90	4.69	$118.5^{\circ}$	$180^{\circ}$
(19)	$[Cu^{II}_{4}(^{I}L^{-})_{2}(O_{2})(OH)_{2}(CIO_{4})]^{+}$	<sup>T</sup> P1133	1.45	NA	1.96	4.18	113.7°	107.0°
(20)	$[Cu^{II}_4(^{I}L^{-})_2(O_2)(OH)_2(CIO_4)]^+$	Tp1133	1.45	NA	1.94	4.32	113.8°	$120.9^{\circ}$
(21)	$[Cu^{II}_{4}(^{I}L^{-})_{2}(O_{2})(OH)_{2}]^{2+}$	Tp1134	1.37	NA	1.95	4.82	137.7°	$119.8^{\circ}$
(22)	$[Cu^{II}_{4}(^{I}L^{-})_{2}(O_{2})(OH)_{2}]^{2+}$	Tp1134	1.37	NA	2.02	4.85	119.6°	177.4°
(23)	Cu-SSZ-13 <sup>c</sup>	$^{\mathrm{Tp}805}$	1.35	NA	1.82	3.98	NR	NR
					1.83			
dicopper(II) cis-peroxides								
(24)	$\left[ Cu^{II}_{2}(L^{Me})(O_{2})\right] ^{+}$	cp790	1.50	NA	1.90	3.80	119.2°	65.2°
					1.91		$118.9^{\circ}$	
(25)	$\left[ Cu^{II}_{2}(L^{Et})(O_{2})\right] ^{+}$	CP791	1.46	NA	1.87	3.68	101.9°	$104.2^{\circ}$
					1.88		$103.6^{\circ}$	
(26)	$[Cu^{II}_{2}(UN-O)(O_{2})]^{+}c$	CP783	1.36	NA	1.99	3.44	112.7°	71.9°
					1.97		105.4°	
(27)	$[Cu^{II}_4(L^-)_2(O_2)(OH)_2(CIO_4)]^+$	Cp1133	1.45	NA	1.96	3.03	113.7°	7.0°
					1.94		$113.8^{\circ}$	
(28)	$[Cu^{II}_4(L^-)_2(O_2)(OH)_2]^{2+}$	cp1134	1.37	NA	1.95	3.90	137.7°	$28.8^{\circ}$
					2.02		$119.6^{\circ}$	
dicobalt (III) trans-peroxides (29)	$[{Co^{III}(Tren)}_{2}]^{2+}$	Tp1135	1.47	NA	1.87	4.53	113.2°	$180^{\circ}$
(30)	$[\{C_0^{III}(Pytacn)\}_2(O_2)(NCCH_3)]^{d+\mathcal{C}}$	Tp1136	1.40	NA	1.90	4.50	121.6°	131.9°
(31)	$[C_0^{III}_2(L^4)(O_2)]$	Tp1137	1.36	NA	1.93	4.15	119.4°	$101.9^{\circ}$
					1.94		117.4°	
dibacolt (III) cis-peroxides								
(32)	$[{Co^{III}(tmpa)}_2(O_2)(OH)]^{3+}$	Cp1138	1.41	NA	1.84	3.26	110.8°	59.8°
					1.85		112.7°	

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entry no.	complex	",oxy" species		Fe-O (Å)	M2-0 (Å)	<b>MI-M2 (Å)</b>	$0-0 ( \mathring{A} )  Fe-O ( \mathring{A} )  M2-O ( \mathring{A} )  MI-M2 ( \mathring{A} )  MI-O-O M2-O-O$	MI-0-0-M2
(33)	$[Co^{III}_{2}(Oxapyme^{2-})(O_{2})(NO_{2})]^{+}$	cp1139	1.41	NA	1.86	3.77	$111.1^{\circ}$	96.8°
					1.85		$110.8^{\circ}$	
(34)	$[C_0^{III}_2(LO^-)(O_2)(PO_2Ph_2)]^+$	Cp1140	1.41	NA	1.86	3.23	$112.8^{\circ}$	57.8°
					1.86		$109.2^{\circ}$	
heme-peroxo-copper	$[(DCHIm)F_8Fe^{III}-O_2-Cu^{II}(AN)] +$	$^{\mathrm{Tp497}}$	1.42	1.82	1.90	4.19	121.0°	$163.4^{\circ}$
(35)							<b>97</b> 4°	
(36)	$[(DCHIm)F_8Fe^{III}-O_2-Cu^{II}(AN)]^+ (ArOH)$	$^{\mathrm{Tp}747}$	1.43	1.82	1.95	4.16	124.6	$130.9^{\circ}$
							98.4	
(37)	$[(DCHIm)F_8Fe^{III}-O_2-Cu^{II}(TMPA)]^+$	$^{T}P^{497}$	1.40	1.82	1.89	4.49	119.9°	165.2°
							$113.6^{\circ}$	
(38)	$[(DCHIm)F_8Fe^{III}-O_2-Cu^{II}(DCHIm)_3]^+$	7p919	1.37	1.83	1.96	4.16	$122.7^{\circ}$	131.5°
							$100.1^{\circ}$	
(39)	$[(DCHIm)F_8Fe^{III}-O_2\cdot Cu^{II}(DCHIm)_4]^+$	$^{T}P^{919}$	1.37	1.84	1.99	4.46	121.3°	$142.1^{\circ}$
							112.2°	
(40)	$[(DCHIm)F_8Fe^{III}-O_2\cdot Cu^{II}(DCHIm)_4]^+ (ArOH)$	$^{ m Tp_{919}}$	1.41	1.83	2.00	4.37	$123.0^{\circ}$	111.7°
							121.0°	
(41)	bovine CcO (1V54)	$^{\mathrm{Tp}^{747}}$	1.41	1.83	1.90	4.26	120.4° lina	$128.6^{\circ}$
							110.0°	
<sup><i>a</i></sup> Abbreviations used: $E_S = end-on s$ acylperoxide; $H^2P = hydrogen pero$	$^{a}$ Abbreviations used: $E_{S}$ = end-on superoxide; $\mu$ -1,1-S = superoxide bridging through one <i>O</i> -atom; $\mu$ -1,2-S = superoxide bridging through both <i>O</i> -atoms; $H_{P}$ = hydroperoxide; $P$ = peroxide; $A^{C}P$ = acylperoxide; $H^{2}P$ = hydrogen peroxide bound; $T_{P}$ = <i>trans</i> -peroxide; $C_{P}$ = cis-peroxide	: <i>O</i> -atom; µ-1,2-S	= superoxid	le bridging th	rrough both $O$	-atoms; $H_{P=h}$	ydroperoxide; $P =$ pero	$ide; Acp_{=}$

Adam et al.

Page 451

 $d_{\rm Nonprotonated}$  peroxide, H-bonding to the N–H of a nearby His residue.

bFor entries 35–41, MI = Fe and M2 = Cu.

 $c_{
m DFT}$  calculated structure.

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# Table 6.

Average of Selected Bond Lengths and Angles of Synthetic and Enzymatic Metal-"Oxy" Species and Structural Parameters for "As-Isolated" CcO X-ray Structures Claimed to Possess Heme-Cu BNCs with a Bridging Peroxo Dianionic Ligand $^{a,b}$ 

entry no.	complex	"oxy" species	0-0 (Å)	Fe-O (Å)	M2-0 (Å)	M1-M2 (Å)	M1-0-0 M2-0-0	M1-0-0-M2
	heme (average of 3)	$^{\mathrm{E}}\mathrm{S}$	1.24	1.84	NA	NA	132.2°	NA
	heme (average of 3)	<sup>H</sup> P or P	1.36	1.83	NA	NA	123.3°	NA
	(di)copper (average of 4)	<sup>H</sup> p or <sup>Acp</sup>	1.48	NA	1.96	NA	122.1°	NA
	dicopper (average of 10)	$\mathbf{q}^{\mathrm{T}}$	1.42	NA	1.91	4.48	116.9°	154.3°
	dicopper (average of 5)	$^{\rm C}{ m P}$	1.43	NA	1.94	3.57	114.7°	55.4°
	dicobalt (average of 14)	$\mathbf{q}^{\mathrm{T}}$	1.40	NA	1.90	4.47	115.3°	$158.1^{\circ}$
	dicobalt (average of 14)	$^{\rm C}{ m P}$	1.42	NA	1.86	3.40	$111.7^{\circ}$	67.9°
	heme-Cu (average of 7)	$\mathbf{q}^{\mathrm{T}}$	1.40	1.83	1.94	4.30	$121.8^{\circ}$	$139.1^{\circ}$
							$106.9^{\circ}$	
(42)	bovine CcO (5B1A)	Tp842	1.55	2.32	2.16	4.83	$140.8^{\circ}$	$146.7^{\circ}$
							$90.1^{\circ}$	
(43)	bovine CcO (3WG7)	Tp1141	1.55	2.35	2.14	4.90	$149.1^{\circ}$	$141.5^{\circ}$
							94.0°	
(44)	<i>ba</i> <sub>3</sub> CcO (3S8F)	$^{ m Tp_{988}}$	1.54	2.25	2.30	4.87	$144.4^{\circ}$	$144.8^{\circ}$
							91.7°	
(45)	ba3 CcO (3S8G)	TP911	1.52	2.39	2.25	4.92	$140.6^{\circ}$	$146.6^{\circ}$
							89.4°	
(46)	bovine CcO (2ZXW)	TP911	1.70	2.24	2.19	4.80	153.9°	141.5°
							96.1°	
(47)	bovine CcO (3ABL)	TP911	1.70	2.24	2.17	4.87	144.1°	$146.9^{\circ}$
							90.5°	
(48)	bovine CcO (3ABM)	TP <sup>911</sup>	1.70	2.19	2.16	4.89	139.3°	$150.8^{\circ}$
							92.2°	
(49)	bovine CcO (2Y69)	TP1142	1.11	2.24	2.49	4.89	$128.7^{\circ}$	$156.8^{\circ}$
							91.6°	
(50)	P. denitrificans CcO (3HB3)	$^{\mathrm{T}\mathrm{p}^{985}}$	1.49	1.93	1.92	4.62	$108.2^{\circ}$	$175.0^{\circ}$

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entry no.	complex	species	(V) (A)	Fe-U (A)	(Y) 0-7W	(N1-M2 (A)	"oxy" species U-U (A) Fe-U (A) M2-U (A) M1-M2 (A) M1-U-U M2-U-U M1-U-U-M2	ZM-0-0-IM
							117.5°	
	average CoO values $^{c}$		1 61 <sup>d</sup>	1 61 <sup>d</sup> 2.28 2.2	2.23	4.87	142.6°	$147.0^{\circ}$
							92.0°	

<sup>*a*</sup> Abbreviations used:  $E_S =$  end-on superoxide;  $H_P =$  hydroperoxide; P = peroxide;  $A^{c}P =$  acylperoxide;  $T_P =$  *trans*-peroxide; and  $C_P =$  *cis*-peroxide.

b For the Heme-Cu and C cO entries, M1 = Fe and M2 = Cu.

 $^{C}$ Excluding data from entry 50 (PDB: 3HB3)

 $d_{\rm Excluding}$  data from entry 49 (PDB: 2Y69)

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### Table 7.

Tabulated  $\mu$ (O–O) and  $\mu$ (Fe–O) Values for Ferric Heme Superoxo, Peroxo, and Hydroperoxo Entities Characterized for Enzymes and Model Systems<sup>4</sup>

Adam et al.

entry no.	complex	$v(0-0)(\mathrm{cm}^{-1})^{\mathcal{C}}$	$\nu(\text{Fe-O}) (\text{cm}^{-1})^{c}$	ref
ferric heme superoxo				
(1)	Mb WT	1103	578 (-29)	1145 and 1146
(2)	Mb H64L		580 (-25)	1147
(3)	Cyt P450 WT	1139 (–66)	546 (-31)	573
(4)	Cyt P450 D251N	1136 (–66)	537 (-30)	574
(5)	LPO pH 8.2 <sup>b</sup>		534 (-22)	461 and 1148
(9)	LPO pH 5.6 <sup>b</sup>		558 (-24)	1148
( <i>L</i> )	$[(\mathbf{THF})(\mathbf{F}_8)\mathbf{Fe}^{\mathrm{III}}\cdot\mathbf{O_2}^{\bullet}\cdot^{-}]$	1178 (-64)	568 (-24)	513
(8)	$[(\mathbf{P}^{\mathrm{Im}})\mathbf{F}\mathbf{e}^{\mathrm{III}}\mathbf{-O_2}^{\bullet}^{-}]$	1180 (–56)	575 (-23)	518
(6)	$[(^{6}\mathbf{L})\mathbf{F}\mathbf{e}^{III}\mathbf{-O}_{2}^{\bullet}\mathbf{-F}\mathbf{e}^{II}\mathbf{-CI}]$	1176 (-63)	574 (-25)	1149
(10)	[(6L)Fe <sup>III</sup> -O <sub>2</sub> • <sup>-</sup> ]	1176 (-64)	572 (-24)	1149
(11)	[(NMePr)Fe <sup>III</sup> -O <sub>2</sub> • <sup>-</sup> ]		575 (-21)	524
(12)	$[(\mathbf{NMePr})\mathbf{F}\mathbf{e}^{III}-\mathbf{O}^{\bullet-}\cdots\mathbf{C}\mathbf{u}^{I}]$		570 (-26)	524
(13)	[(TMPIm <sup>XO2Et</sup> Fe <sup>III</sup> O <sup>•</sup> -]		582 (-26)	122
(14)	[(MeTHF)(F <sub>8</sub> )Fe <sup>III</sup> -O <sub>2</sub> * <sup>-</sup> ]	1175 (-63)	574 (-24)	497
(15)	$[(a_4-Fe(CO_2Me)_4)-O_2^{\bullet}]$	1004 (-53)	581 (-22)	1237
		967 (-56)		
(16)	$[(\alpha_4-FeEs_4)-O_2^{\bullet}-]$		581 (-22)	1238
(17)	[(a.4-FeEs4)-O2*-]		585 (-24)	1238
(18)	[(Fe <sup>III</sup> (OPhP))-O <sub>2</sub> *-]	1147 (–59)	570 (–22)	1239
(19)	[(Fe <sup>III</sup> (HOPhP))-O <sub>2</sub> <sup>• -</sup> ]		584 (-27)	1239
(20)	[Fe(PIM)–O <sub>2</sub> • -]		578 (-26)	1239
(21)	$[(TMP)Fe^{III}(O_2^{\bullet-}) \cdots Cu^I(L^{N3 \cdot OH})]$		576 (–26)	935
ferric heme end-on peroxo				
(22)	Cyt P450 D251N	792 (–38)	553 (-27)	574

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entry no.	complex	$\nu(\mathrm{O-O})\left(\mathrm{cm}^{-1}\right)^{\mathcal{C}}$	$\nu(0-0) (\mathrm{cm}^{-1})^{\mathcal{C}}  \nu(\mathrm{Fe-O}) (\mathrm{cm}^{-1})^{\mathcal{C}}$	ref
(23)	LPO рН 8.2 <sup>b</sup>		570 (-22)	1148
(24)	$[(TMPIm^{XO2Et})Fe^{III}-O_2^{2-}]$	808 (-37)	585 (-25)	122
ferric heme hydroperoxo				
(25)	Mb WT		617 (-15)	1146
(26)	Cyt P450 WT	799 (-40)	559 (-27)	573
(27)	Cyt P450 D251N	774 (-37)	564 (-28)	574
(28)	LPO pH 5.6 <sup>b</sup>		604 (-24)	1148
(29)	[(TMPIm)Fe <sup>III</sup> -OOH]	810 (-47)	570 (-26)	490
(30)	$[(TMPIm^{XO2Et})Fe^{III}-OOH]$	807 (-31)	575 (–25)	122
(31)	[(TMPIm <sup>AOH</sup> )Fe <sup>III</sup> -OOH]	807 (-40)	579 (–28)	568
(32)	[(TMPIm <sup>AOEt</sup> )Fe <sup>III</sup> -OOH]	811	576	568
(33)	$[(a_4-FeEs_4)-OOH]$	830 (-47)	631 (-37)	1052

Additional entries for biological and synthetic oxy-heme FeIII-superoxo complexes are available in the review by Momenteau and Reed. 79  $b_{
m Lactoperoxidase.}$ 

 $^{c}$ Values in parentheses are  $18_{O2}$  shifts.

Adam et al.

### Table 8.

Tabulated Soret and Q-Band Values for Heme and Heme-Copper Complexes in Both Protein and Synthetic Model Systems

entry no.	complex	Soret band (nm)	Q-band (nm)	ref
ferric superoxide $f$				
(1)	Oxy-Mb	415	541, 577	1167 and 1168
(2)	Oxy-Hb	418	544, 582	1169
(3)	Oxy-Cyt P450	417	556, 580(sh)	1170
(4)	$\mathbf{Oxy}$ -CP $\mathbf{O}^{a,b}$	428	553, 587	1171
(5)	$[(\mathbf{THF})(\mathbf{F}_8)\mathbf{Fe}^{III_*}(\mathbf{O_2}^{\bullet-})]$	416	536	498
(9)	$[(\mathbf{P}^{Im})\mathbf{F}\mathbf{e}^{III_{-}}(\mathbf{O_{2}}^{\bullet}^{\bullet}^{\bullet})]$	423	534	420
(1)	$[(\mathbf{P}^{\mathrm{Py}})\mathbf{F}\mathbf{e}^{\mathrm{III}},(\mathbf{O}_2^{\star}^{\star}^{-})]^b$	419	555	420
(8)	$[(^{6}\mathbf{L})\mathbf{F}\mathbf{e}^{III}-\mathbf{O}_{2}^{\bullet}\mathbf{-}]$	422	542	504
(6)	$[(^{6}\mathbf{L})\mathbf{F}\mathbf{e}^{III}-\mathbf{O}_{2}^{\bullet^{-1}}\mathbf{F}\mathbf{e}^{II}-\mathbf{C}\mathbf{I}]$	418	536	1149
(10)	$[(\mathbf{TMPIm})\mathbf{F}\mathbf{e^{III}}_{-}(\mathbf{O}_{2}^{\bullet}^{\bullet}^{-})^{-}\mathbf{C}\mathbf{u}^{I}(\mathbf{L}^{N4}^{-}\mathbf{OH})]^{+}b$	425	550, 590	485
(11)	$[(\mathbf{TMPIm}^{\mathrm{XO2EI}})\mathbf{Fe}^{\mathrm{III}}(\mathbf{O}_{2}^{\star}-)]^{b}$	428	550, 592	122
(12)	$[(\mathbf{NMePr})\mathbf{Fe^{III}}, (\mathbf{O_2^{*}}), \mathbf{Cu}^I)^+b$	422	542	524
(13)	$[(MeTHF)(F_8)Fe^{III}-O_2^{-1}]$	416	535	497
(14)	$[(\mathbf{TMP})\mathbf{F}\mathbf{e}^{III}(\mathbf{O}_2^{\bullet,\bullet})\cdots\mathbf{C}\mathbf{u}^I(\mathbf{L}^{N3\text{-}OH})]$	414		935
(15)	[α <sub>4</sub> -Fe(CO <sub>2</sub> Me) <sub>4</sub> )-O <sub>2</sub> <sup>•</sup> -]		522, 545	1237
(16)	$[(\mathbf{S_4}\text{-}\mathbf{FeFc_4})\text{-}\mathbf{O_2}^{\bullet}\text{-}]$	425	522, 545, 584	1238
(17)	$[(\alpha_4\text{-}FeEs_4)\text{-}O_2^{\bullet-}]$	426	543	1238
ferric peroxides				
(18)	$Mb-(O_2^{2-})$	433	540, 567	571
(19)	<b>Cyt. P450-</b> ( <b>O</b> <sub>2</sub> <sup>2-</sup> )	439	530, 561	574
(20)	$[(\mathbf{TMPIm}^{XO2Ei})\mathbf{Fe}^{III}(\mathbf{O}_2^{2-})]^-$	430	568, 610	122
(21)	$[(\mathbf{\Gamma}\mathbf{M}\mathbf{P}\mathbf{I}\mathbf{m})\mathbf{F}\mathbf{e}^{III}(\mathbf{O}_2^{2-})]^{-}$	440	574, 615	490
(22)	$[(\mathbf{TMP})\mathbf{Fe}^{III}(\mathbf{O}_2^{2-})]^-$	439	569, 614	490

entry no.	complex	Soret band (nm)	Q-band (nm)	ref
(23)	$[(\mathbf{TPP})\mathbf{Fe}^{\mathrm{III}}(\mathbf{O}_2^{2-})]^-$	437	565, 610	539
(24)	$[(\mathbf{OEP})\mathbf{Fe}^{\mathrm{III}}(\mathbf{O}_2^{2-})]^-$	423	543, 573	539
(25)	$[(\mathbf{F}_8)\mathbf{Fe^{III}}(\mathbf{O}_2^{2-})]^-$	435	540, 560	495
ferric hydroperoxide				
(26)	(HOO)-4M	413	~540, 573	1172
(27)	$\mathrm{HRP}(\mathrm{OOH})^{\mathcal{C}}$	419	526, 556	1173
(28)	р <sup>(НОО)-</sup> ОН	421	530, 557	180
(29)	CPO-(00H)	449	546, 576	1171
(30)	Cyt P450-(OOH)	437	~530, 561	574
(31)	[(TMPIm)Fe <sup>III</sup> -OOH]	428	532, 562, 608	490
(32)	[(TMPIm <sup>XO2Ei</sup> )Fe <sup>III</sup> -OOH]	429	566, 608	122
(33)	[(TMPIm <sup>AOH</sup> )Fe <sup>III</sup> -OOH]	429	538, 568	568
(34)	[(TMPIm <sup>AOEt</sup> )Fe <sup>III</sup> -OOH]	430	570, 610	568
high-spin heme-copper(L)3 peroxos				938
(35)	$\left[(^{2}\mathbf{L})\mathbf{F}\mathbf{e}^{III},(\mathbf{O}_{2}^{2-}),\mathbf{C}\mathbf{u}^{II}\right]^{+}$	419	488, 544, 575	938
(36)	$[(TMP)Fe^{III_{-}}(O_{2}^{2-})-Cu^{II}(L^{N3-H})] +$	419	543	935
(37)	$[(\mathbf{TMP})\mathbf{F}\mathbf{e}^{III_{-}}(\mathbf{O}_{2}^{2-})-\mathbf{C}\mathbf{u}^{II}(\mathbf{L}^{N3}\cdot\mathbf{ON}^{a})]^{+}$	N.R.	546, 570	935
(38)	$[(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III}\text{-}(\mathbf{O}_2^{2-})\text{-}\mathbf{C}\mathbf{u}^{II}(\mathbf{AN})] \ ^+$	418	538, 561	516
(39)	$[(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III} \cdot (\mathbf{O}_2^{2-}) \cdot \mathbf{C}\mathbf{u}^{II}(\mathbf{L}^{M \in 2N})]^+$	420	540, 567	513
(40)	$[(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III}\cdot(\mathbf{O}_2^{2-})\cdot\mathbf{C}\mathbf{u}^{II}(\mathbf{M}\mathbf{e}\mathbf{T}\mathbf{H}\mathbf{F})_3]^+$	418	480, 538, 565	497
low-spin heme-copper(L)3 peroxos				
(41)	$[(\mathbf{DCHIm})(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III}\text{-}(\mathbf{O}_2^{2-})\text{-}\mathbf{Cu}^{II}(\mathbf{ANE})] +$	421	535	928
(42)	$[(\mathbf{DCHIm})(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III} \cdot (\mathbf{O}_2^{2-}) \cdot \mathbf{Cu}^{II}(\mathbf{DCHIm})_3] +$	421	531	497
high-spin heme-copper(L)4 peroxos				
(43)	$[(\mathbf{TPP})\mathbf{F}\mathbf{e}^{\mathrm{III}}-(\mathbf{O}_2^{2-})-\mathbf{C}\mathbf{u}^{\mathrm{II}}(\mathbf{tpa})]^{+}$	420	557, 612	934
(44)	$[(\mathbf{TPP})\mathbf{F}\mathbf{e}^{\mathrm{III}}-(\mathbf{O}_2^{2-})-\mathbf{C}\mathbf{u}^{\mathrm{II}}(5-\mathbf{M}\mathbf{etpa})]^+$			930
(45)	$[(TMP)Fe^{III}-(O_2^{2-})-Cu^{II}(5-Metpa)] +$	420	557, 612	931

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Page 457

entry no.	complex	Soret band (nm)	Q-band (nm)	ref
(47)	$[(\mathbf{TMP})\mathbf{F}\mathbf{e}^{III},(\mathbf{O}_{2}^{2-}),\mathbf{C}\mathbf{u}^{II}(\mathbf{L}^{OMOM})] +$	421	557, 615	935
(48)	$(^{6}\mathbf{L})\mathbf{Fe}^{III}$ - $(\mathbf{O}_{2}^{2-})$ - $\mathbf{Cu}^{II}$ ] +	418	561, 632	936
(49)	$[(^{s}\mathbf{L})\mathbf{F}\mathbf{e}^{III}-(\mathbf{O}_{2}^{2})-\mathbf{C}\mathbf{u}^{II}] +$			936
(50)	$[(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III}\text{-}(\mathbf{O}_2^{2-})\text{-}\mathbf{C}\mathbf{u}^{II}(\mathbf{T}\mathbf{MPA})] \stackrel{+}{\rightarrow}$	418	560	498
(51)	$[(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III}\text{-}(\mathbf{O}_2^{2-})\text{-}\mathbf{C}\mathbf{u}^{II}(\mathbf{L}^{N4}\mathbf{OH})] \ ^+$	417	560	937
(52)	$[(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III}(\mathbf{O}_2^{2-})-\mathbf{C}\mathbf{u}^{II}(\mathbf{L}^{N4}\mathbf{OMe})] \ ^+$			937
low-spin hem-copper(L) 4 peroxos				
(53)	$\left[ (DCHIm)(TACNAcr)Fe^{III} - (O_2^{2-}) - Cu^{II} \right]^+$	428		933
(54)	$[(\mathbf{TMPIm})\mathbf{F}\mathbf{e}^{III}-(\mathbf{O}_2^{2-})-\mathbf{C}\mathbf{u}^{II}(\mathbf{L}^{N4-OH})]^+$	429	538	485
(55)	$\left[(\mathbf{TMPIm})\mathbf{F}\mathbf{e}^{III}-(\mathbf{O}_{2}^{2-})-\mathbf{C}\mathbf{u}^{II}(\mathbf{L}^{N4}-\mathbf{OMOM})\right]+$	429	537	485
(56)	$[(\mathbf{DCHIm})(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III}_{-}(\mathbf{O}_2^{2-})_{-}\mathbf{Cu}^{II}_{-}(\mathbf{TMPA})]^+$	426	535	497
(57)	$[(\mathbf{DCHIm})(\mathbf{F}_8)\mathbf{F}\mathbf{e}^{III}_{-}(\mathbf{O}_2^{2-})_{-}\mathbf{Cu}^{II}_{-}(\mathbf{DCHIm})_4]^+$	423	537	497
carbonyl				
(58)	Hb-CO	419	539, 569	1174
cytochrome $c$ oxidase				
(59)	Oxy-CcO	425	591	1164 and 1166
(09)	C0-Cc0	430	589	1164 and 1166
<sup>a</sup> Chloroperoxidase.				
$b_{ m V(O-O)}$ not reported.				
$^{\mathcal{C}}$ Horseradish peroxidase.				
$d_{ m Heme}$ oxygenase.				
eNot reported.				
$f_{ m See}$ also the "Note Added in Proof", for reference to additional examples.	or reference to additional examples.			

# Table 9.

Selected Reduction Potentials of Various Copper(ligand) Complexes and Enzyme Active Sites and Their Reported Geometries

copper complex	Ln	Cu(l) geometry	$E_{\rm I/2}$ (Cu <sup>II</sup> /Cu <sup>I</sup> ) vs Fc <sup>+/0</sup> (V) <sup>e</sup>	$E_{1/2}$ (Cu <sup>II</sup> /Cu <sup>I</sup> ) vs NHE (V) <sup>e</sup>
$\mathbf{Cu}_{(\mathrm{aq})}$	I	I		0.15 (H <sub>2</sub> O) <sup>1197</sup>
four-coordinate complexes				
(MeCN) <sub>4</sub> Cu	4N	tetrahedral <sup>1198</sup>		1.18 (MeCN) <sup>1199</sup>
(Phen) <sub>2</sub> Cu	4N	distorted square planar <sup>1200</sup>		0.17 (H <sub>2</sub> O) <sup>1197</sup>
(2,9-Me <sub>2</sub> phen) <sub>2</sub> Cu	4N	distorted tetrahedral <sup>1201</sup>	0.19 (DMF) <sup>1202</sup>	$0.82~({ m DMF})^{g}$
				0.60 (H <sub>2</sub> O) <sup>1097</sup>
(TMPA)(MeCN)Cu	4N	distorted tetrahedral <sup>1203</sup>	-0.41 (CH <sub>3</sub> CN) <sup>1002</sup>	$0.22 (CH_3CN)^{g}$
				$0.02~(\mathrm{DMF})^{\mathcal{G}}$
			$-0.61 \text{ (DMF)}^{771}$	$-0.15 (H_2 O)^{1204}$
(TEPA)Cu	4N	distorted tetrahedral <sup>1254</sup>	-0.11 (DMF) <sup>1205</sup>	$0.68  (\mathrm{DMF})^{\mathcal{S}}$
(PMAS)Cu	2N, 2S	trigonal pyramidal <sup>1205</sup>	-0.16 (DMF) <sup>1205</sup>	$0.47~(\mathrm{DMF})^{\mathcal{G}}$
three-coordinate complexes				
(MePY2)Cu	3N	distorted $T^{\mathcal{C}}$	$-0.31^{\mathcal{C}}(\mathrm{DMF})^{509}$	$0.32~(\mathrm{DMF})^{g}$
(AN)Cu	3N	distorted $T^{1206}$	$-0.07~({ m MeCN})^f$	0.56 (MeCN) <sup>g</sup>
(MeAN)Cu	3N	distorted T <sup>1206</sup>	-0.20 (DMF) <sup>1207</sup>	$0.43  (\mathrm{DMF})^{g}$
(L5)(MeIm)Cu	3N	b	-0.28 (DMF) <sup>633</sup>	$0.35~{ m (DMF)}^{m{\mathscr{S}}}$
two-coordinate Cu(I) complexes				
(T <i>S</i> )Cu	2N	linear, <sup>63,3</sup> d	0.33 (DMF) <sup>633</sup>	$0.96~(\mathrm{DMF})^{\mathcal{G}}$
(Im) <sub>2</sub> Cu	2N	probably linear $^{b}$	-0.10 (H <sub>2</sub> O) <sup>1208</sup>	$0.53 ({ m H_2O})^b$
$(\mathbf{Py})_2 \mathbf{Cu}$	2N	probably linear $^{b}$	-0.18 (H <sub>2</sub> O) <sup>1208</sup>	$0.45  ext{ (H}_2  ext{O})^{g}$
copper enzymes				
azurin	2N, 1S	trigonal planar <sup>1209</sup>		0.31 (H <sub>2</sub> O) <sup>1210</sup>
rusticyanin	2N, 1S	trigonal planar <sup>1211</sup>		0.68 (H <sub>2</sub> O) <sup>1212</sup>

T1 site, fungal laccase2N, 1Strigonal planar $^{1213}$ 0.76-0.79 (H <sub>2</sub> O) $^{1214}$ Cu <sub>H</sub> , (PHMcc)3Ndistorted T $^{1215}$ 0.27 (H <sub>2</sub> O) $^{1216}$ Cu <sub>B</sub> , CcO, bovine3Ndistorted T $^{842}$ 0.28-0.35 (H <sub>2</sub> O) $^{876,877}$ Cu <sub>B</sub> calculated potentials <sup>a</sup> 3Ndistorted T $^{842}$ 0.28-0.35 (H <sub>2</sub> O) $^{876,877}$ Cu <sub>B</sub> calculated potentials <sup>a</sup> 3Ndistorted Y $^{922}d$ 0.49 (calc) $^{902}$ Cu <sub>B</sub> "retive" (calc)3Ndistorted Y $^{902}d$ 0.93 (calc) $^{902}$	copper complex	$\mathbf{L}_{\mathbf{n}}$	Cu(l) geometry	$E_{1/2}$ (Cu <sup>II</sup> /Cu <sup>I</sup> ) vs Fc <sup>+/0</sup> (V) <sup>e</sup>	$E_{1/2} (\operatorname{Cu}^{\Pi}/\operatorname{Cu}^{I}) \operatorname{vs} \operatorname{Fc}^{+/0} (\mathbf{V})^{\theta} = E_{1/2} (\operatorname{Cu}^{\Pi}/\operatorname{Cu}^{I}) \operatorname{vs} \operatorname{NHE} (\mathbf{V})^{\theta}$
3N distorted T <sup>1215</sup> 3N distorted T <sup>842</sup> als <sup>4</sup> 3N distorted Y <sup>902</sup> d 3N distorted Y <sup>902</sup> d	T1 site, fungal laccase	2N, 1S			0.76–0.79 (H <sub>2</sub> O) <sup>1214</sup>
3N distorted $T^{842}$ als <sup><i>a</i></sup> 3N distorted $Y^{902}d$ 3N distorted $Y^{902}d$	Cu <sub>H</sub> , (PHMcc)	3N	distorted T <sup>1215</sup>		0.27 (H <sub>2</sub> O) <sup>1216</sup>
als <sup>4</sup> 3N distorted Y <sup>902</sup> d 3N distorted Y <sup>902</sup> d	Cu <sub>B</sub> , CcO, bovine	3N	distorted T <sup>842</sup>		0.28–0.35 (H <sub>2</sub> O) <sup>876,877</sup>
3N distorted Y <sup>902</sup> d 3N distorted Y <sup>902</sup> d	Cu <sub>B</sub> calculated potentials <sup>a</sup>				
$3N$ distorted $\Upsilon^{902} d$	Cu <sub>B</sub> ''relaxed'' (calc)	3N	distorted $Y^{902}d$		0.49 (calc) <sup>902</sup>
	Cu <sub>B</sub> "active" (calc)	3N	distorted $Y^{902}d$		0.93 (calc) <sup>902</sup>
	$^{\mathcal{C}}$ Data not available for MePY2,	, geometry bas	ed on X- ray crystal structu	re for the (BnPY2)Cu derivative.	
<sup>c</sup> Data not available for MePY2, geometry based on X- ray crystal structure for the (BnPY2)Cu derivative.	d Computational structure.				
Data not available for MePY2, geometry based on X- ray crystal structure for the (BnPY2)Cu derivative. IComputational structure.					

 $^{e}$ DMF = dimethylformamide.

Chem Rev. Author manuscript; available in PMC 2019 November 28.

 $f_{Measured for this review.}$ 

 $^{g}{\rm An}$  estimated value for  ${\rm E_{I}/2}$  vs NHE by adding 0.63 V to the observed  ${\rm E_{I}/2}$  potentials reported vs  ${\rm Fc/Fc}^{+1217}$ 

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