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## REDEFINING SYNCHRONOUS COLORECTAL CANCERS BASED ON TUMOR CLONALITY.

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## Abstract

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To analyze the possible clonal origin of a part of Synchronous colorectal cancer (SCRC), we studied 104 paired-SCRCs from 52 consecutive patients without hereditary forms of CRC. We used a Single-Nucleotide Polymorphism array to characterize the genomic profiles, and subsequently used a statistical application to define them according to clonality within the same individual. We categorized the ensuing groups according to colonic location to identify differential phenotypes. The SCRC Monoclonal group (M) (19 cases) was divided into Monosegmental (MM) and Pancolonic (MP) groups. The SCRC Polyclonal group (P) (33 cases) was also divided into Monosegmental (PM) and Pancolonic (PP), the first exhibiting preference for left colon. The MM group showed a high rate of mucinous tumors, the lowest mean-number of tumors and associatedpolyps, and the worst prognosis. The MP group included the largest mean-number of associatedpolyps, best prognosis and familial cancer component. The PM group seemed to be a "frontier" group. Finally, the PP group also exhibited a mucin component, the highest mean-number of tumors (4.6) compared with the mean-number of polyps (7.7), poor prognosis and sporadic cases. Most relevant differential genomic regions within M groups were gains on 1q24 and 8q24, and deletions on 1p21 and 1p23 for MM, while within P were the gains on 7q36 and deletions on 1p36 for PM. The statistical application employed seems to define clonality more accurately in SCRC more likely to be polyclonal in origin-, and together with the tumor locations, helped us to configure a classification with prognostic and clinical value.

## Keywords

Synchronous colorectal cancer; clonality; Single-Nucleotide Polymorphism array (SNP array); colon location; monoclonal; polyclonal

## INTRODUCTION

Synchronous colorectal cancer (SCRC) refers to more than one primary colorectal carcinoma (CRC) detected in a single patient at the time of diagnosis (1). These cancers are different from metachronous CRCs (MCRCs), defined as CRCs with a second tumor diagnosed at least 6 months after the initial cancer diagnosis (2). SCRC accounts for about 1.1–8.1% of all newly diagnosed CRCs (1). There are some well-known cancer syndromes and hereditary forms of CRC such as Lynch syndrome (LS) or familial adenomatous polyposis (FAP), in which tumor co-occurrence happens frequently observed; patients with inflammatory bowel disease and serrated polyps/hyperplastic polyposis are also known to have a higher risk of developing SCRC (1). Nevertheless, these situations only account for around ~10% of SCRC patients (3).

To date, specific approaches to understanding the molecular basis of SCRC have primarily focused on the key colorectal cancer pathways. SCRC patients seem to have a higher proportion of microsatellite instability (MSI) as well as CpG island methylator phenotype (CIMP) tumors, via-a-vis patients with singular tumors (4–6). It has been hypothesized that there may be a correlation between the molecular basis of SCRC and the tumor location in the colon. The right-sided SCRC may be related to a CIMP-High genotypes and LS, whereas left-sided SCRC seems to associate with the chromosomal instability (CIN) pathway and low-penetrance genes; SCRCs throughout the entire colon seems to relate to

the CIN pathway and germline mutations in *APC* or *MUTYH*(7). Our group has recently defined 4 molecular groups according to the MSI/CIMP statuses of SCRC that show certain parallels with the molecular classification of CRC described by Ogino and Goel (8), displaying also a relationship with the location of the tumors in the same individual (paired tumors) (9).

We speculate that a subset of paired SCRC tumors could share the same somatic mutations and abnormal genetic pathways, thus indicating a likely clonal origin. Comparative analyses of SCRCs have been carried out frequently, especially focused on MSI or CIMP, or somatic mutations, with conflicting results (10–11). Some recent studies addressed the idea of a possible clonal origin of some subsets of tumors such as bilateral breast cancer or SCRC using mutational concordance or clonality analysis by means of copy number profiles and concluded that paired tumors were different in origin (13–15). However, this conclusion should be considered cautiously given the limited sample size of these studies. Nevertheless, if a subset of SCRC fulfil clonality features, this should have not only therapeutic implications (treating different or similar paired tumors in the same patient), but it could also imply changes in the intrinsic definition of SCRC.

In the present study, we tried to find a clonal origin in cases of paired SCRCs without a hereditary molecular basis (sporadic or familial aggregation forms). We used a Single-Nucleotide Polymorphism array (SNP array) to characterize the genomic profiles and confirmed the results by using next generation sequencing (NGS) of the key genes related to CRC. Moreover, we categorized the ensuing groups according to colonic location, in an effort to identify differential phenotypes with clinical implications.

## MATERIAL AND METHODS

## Patients, samples and data collection

A total of 53 individuals diagnosed with SCRC were consecutively collected from January 2006 at the 12 de Octubre University Hospital (Madrid). We defined a CRC as SCRC when 2 or more histologically distinct colorectal tumors were identified in the same patient at the same time or in a period less than six month after the first diagnosis. Tumor relapse was defined either as regrowth at the anastomosis site ( $\pm$  5cm) or as the detection of new metastatic disease. MCRC was defined as a secondary tumor occurring outside the anastomosis area more than 6 months after surgery. For each patient, we performed molecular analysis of the two tumors with the highest percentage of neoplastic material and used the most advanced neoplasia for staging. Tumor location was defined as previously published (9), and thus patients were initially classified into 3 categories according to the anatomical location of the tumors. Thus, "right colon" was defined as the colocation of the synchronous tumors at the right side of the large intestine (cecum, ascending colon, hepatic flexure, and first portion of the transverse colon); "left colon" was defined as the colocation at the left side of the large intestine (second portion of the transverse colon, splenic flexure, descending colon, sigmoid colon, and rectum); and "entire colon" was defined as the location of the synchronous tumors at different sides of the colon. Finally, "right colon" and "left colon" cases were defined as monosegmental, while the other cases were defined as pancolonic.

Family history of cancer and clinicopathological information was obtained for each patient with a mean follow-up of 74 months after surgery. We considered local recurrence, severely dysplastic tumors and a diagnosis of inflammatory bowel disease, LS, or FAP as exclusion criteria. All patients (or a first-degree relative in case of death of the index case) provided written consent, and the study was approved by the Ethics Committee of our Institution.

#### DNA isolation and MSI and CIMP characterization of the tumors

Two tissue specimens were obtained from each index case (the two highest stages at diagnosis). Microscopic inspection of paraffin-embedded samples was performed by a pathologist, and samples with more than 70% of tumor cells in the neoplastic material were considered adequate for further analysis. The protocol for DNA isolation was as previously reported (16).

We used the Bethesda panel to assess the MSI status and considered two or more altered markers as a positive result (17). MSI tumors were first analyzed for the *BRAF*V600E mutation and hypermethylation of the *MLH1* gene promoter to confirm their sporadic nature and, when negative, they were subsequently screened for germline mutations in the DNA mismatch repair (MMR) genes *MLH1*, *MSH2*, *MSH6* and *PMS2* (18). For the assessment of CIMP, we investigated the methylation status of the promoter regions of *CACNA1G*, *CDKN2A*, *CRABP1*, *IGF2*, *MLH1*, *NEUROG1*, *RUNX3* and *SOCS1*. Each patient was categorized as CIMP-High, CIMP-Low or CIMP-0 depending on whether their simultaneous tumors showed 5/8, 2/8 to 4/8, or 0/8 to 1/8 methylated promoters, respectively. Patients with different CIMP status in their paired tumors were categorized as CIMP-MM (mismatching). The procedures for the evaluation of MSI and CIMP have been previously described (18).

#### **MUTYH** analysis

*MUTYH* hotspots analysis included the three most common mutations in our population: c. 536A>G, p.(Tyr179Cys), rs34612342; c.1187G>A, p.(Gly396Asp), rs36053993; and c. 1227\_1228dupGG, p.(Glu410Glyfs\*43), rs587780078 (RefSeq NM\_001128425.1, NP\_001121897.1, dbSNP). This screening was carried out using high resolution melting (HRM) analysis on a LightCycler 96 System (Roche). Positive profiles were sequenced using the Sanger dideoxy method to identify the variant.

## Analysis of copy number alterations by SNP array and analysis of clonality

We performed the OncoScan Formalin-Fixed, Paraffin-Embedded (FFPE) Assay to asses copy number and loss of heterozygosity (LOH) on the paired samples obtained from patients with SCRC. The OncoScan FFPE Assay (Affymetrix Inc) is a platform based on Molecular Inversion Probe technology which uses small amounts of DNA from FFPE samples (19, 20). Genomic DNA was quantified using the Quant-iT PicoGreen dsDNA Assay Kit (Invitrogen, P-7589). The OncoScan FFPE Assay Kit was used according to the manufacturer's instructions. A GeneAmp PCR system 9700 Thermal Cycler (Life Technologies) was used from the annealing stage to the denaturation stage. The digested DNA target was hybridized onto the OncoScan array and incubated at 49°C in a Genechip Hybridization oven 640 (Affymetrix) for 17h at 60rpm. OncoScan arrays were then washed and stained in a

GeneChips Fluidics Station 450 (Affymetrix) using OncoScan staining and wash reagents according to the manufacturer's instructions. The microarrays were finally scanned with a GeneChip scanner 3,000 (Affymetrix). Data QC analysis was performed with the OncoScan Console software (Affymetrix). Copy Number Alterations (CAN) events were called using the normalized data using Nexus Express for OncoScan 3.1 (Affymetrix). Applying the Affymetrix OSCHP-TruScan- allowed to identify the percentage of aberrant cells and overall ploidy, as well as copy number events and the percentage of LOH for each sample. Data were included in Gene Expression Omnibus (GEO) database (GSE110026).

Weighted log2 ratios from the ChAS console (Affymetrix) were also processed using the copy number R package (21). This package performs a pre-processing step of detection and modification of extreme values through a method called Winsorization (22), and a single sample segmentation step using Piecewise Constant Fitting (PCF) algorithms. The Gamma value, which is the penalty for each discontinuity in the curve, was set to 40, and the minimum number of probes allowed in each segment was set to 5. Copy Number frequency plots were constructed using this package, setting the log2 ratio threshold for gains and losses to 0.1 and -0.1, respectively. The grade of Genome Instability was assessed as previously reported (16).

With respect to CNA, we used the R software package Clonality (23), which uses tumor copy number profiles at the probe level, to determine whether two tumors from the same patient were clonal or origin-independent using a likelihood ratio 2 (LR2) statistic (quantifying the odds that the two tumors are clonal). To run Clonality, we used the DNAcopy package to create a copy number array object. The copy number array object was used as input for Clonality. What we observe during our clonality tests based on CNAs is that independent additional changes would take place, as paired tumors develop thereafter separately. Moreover, two monoclonal paired tumors could involve a combination of alterations, some of which will be identical (clonal), some independent, arising from the beginning (non-clonal). Observed genomic changes and matching with higher frequency have more probability to be clonal. The concordance of the genomic alterations between two paired tumors is compared using a LR, which allows us to quantify the probability that both tumors would be clonal. For every partial gain or loss, we also calculate the probability rate that both paired tumors have the same change. These two LR are multiplied by LR1 to get our statistic, LR2. If this is much higher than 1, it indicates clonality; if it is slighter small than 1, it shows independence.

We further enhanced the analysis by using a second algorithm termed GISTIC (Genomic Identification of Significant Targets in Cancer), which identifies functionally significant CNAs by giving more weight to high copy gains and homozygous losses that may be functionally relevant (24, 25). Parameters used to run the analysis are described in Supplementary Material and Methods.

## Next Generation Sequencing

**Ion torrent PGM library preparation.**—An Ion Torrent adapter-ligated library was generated using the Ion AmpliSeq Library Kit 2.0 and the Ion AmpliSeq Cancer Hotspot Panel v2 (Thermo Fisher Scientific, Rev. B.0; MAN0006735). Briefly, 2µL of 5X Ion

AmpliSeq<sup>™</sup> HiFi mix, 2µL of 5X Ion AmpliSeq<sup>™</sup> Primer Pool and 5ng of gDNA per 10µL reaction were mixed together and amplified following the temperature conditions provided by the manufacturer. Then, primer sequences were partially digested by adding 1µL of FuPa Reagent and loaded in a thermal cycler under the conditions detailed in the user guide. Finally, each library was labeled with a unique adapter provided in the Ion Xpress<sup>™</sup> barcode adapters 1–96 Kit (Life Technologies) by adding 2µL of Switch Solution, 1µL of diluted barcode and 1µL of DNA Ligase to the reaction mixture, also under the temperature conditions recommended by the manufacturer.

After AMPure bead (Beckman Coulter, Brea, CA, USA) purification, the concentration of the library (in a 100-fold dilution) was determined using the Ion Library TaqMan quantitation kit (Thermo Fisher Scientific) in a 7500 Real-Time PCR System (Thermo Fisher Scientific, Foster City, CA). A minimum of two replicates were run of each sample.

**Emulsion PCR**—Emulsion PCR and enrichment were performed using the Ion PGM<sup>TM</sup> Template OT2 200 Kit and the Ion One TouchTM 2 System (Life Technologies). We followed the manufacturer's instructions except for the concentration of the pooled libraries, which was set at 9 pM.

**Sequencing on the lon torrent PGM platform**—All barcoded samples were sequenced using the Ion PGM<sup>TM</sup> Hi-Q<sup>TM</sup> Sequencing Kit (Life Technologies) in an Ion Torrent PGM instrument (Life Technologies) with Ion 318<sup>TM</sup> v2 chips (Life Technologies).

Chip loading was performed according to the user guide for the PGM<sup>TM</sup> Hi-Q<sup>TM</sup> Sequencing Kit (Life Technologies). A maximum of 16 samples were loaded on a single chip per sequencing run.

**Bioinformatics processing and data analysis**—Base calling and alignment to the human genome (hg19) were executed with Torrent Suite Software v.4.0 using the variant caller plugin. Variants were annotated using Ion Reporter and each mutation was verified in the Integrative genome viewer (IGV) from the Broad Institute (http://www.broadinstitute.org/igv/) (26). Only pathogenic variants were considered, being thus excluded any polymorphism or benign variant for the study.

#### Statistical Analysis

Continuous variables were expressed as mean values plus/minus standard deviation (SD), and categorical variables were expressed as number of cases and their percentage. Comparison of categorical variables was done using Pearson's Chi Square ( $\chi^2$ ) test, and Student's t-test for independent samples and Mann-Whitney U tests were used for continuous variables, as appropriate. For comparisons between more than two groups, analysis of variance (ANOVA) (for normal distributions) or the Kruskal-Wallis test (for nonparametric distributions) were used. The Kaplan-Meier method (log-rank test) was used to assess the relationship between CNAs and overall survival (OS) and disease-free survival (DFS). Statistical analysis was performed using SPSS version 23.0 (IBM), and differences were considered statistically significant when the p-value was <0.05.

## RESULTS

### **Clonality analysis.**

Two tumors clones that evolved from the same cancer cell will show a number of somatic changes that are identical. Consequently, comparison of the DNA profiles for the extent of similarities in the patterns of somatic changes between two synchronous tumors is a powerful strategy for determining if they are independent or derive from the same clone (27). We carried out the analysis by using an SNP array to study the CNA landscape of paired SCRCs. We analyzed the clonal relatedness of 53 paired tumors (106 pairs) and found a significantly higher likelihood ratio 2 (LR2) value in 20 SCRC pairs (40 paired tumors). No relationships were observed for the other 33 SCRCs (66 paired tumors) (P value <0.05) (Supplementary Table 1). Thus, we defined the first group as Monoclonal (M), and the second as Polyclonal (P) (an example of each is depicted in Figures 1a and 1b). One case, classified as monoclonal, had to be removed because it was a newly-diagnosed LS case. Clinico-pathological and familial features of the 52 cases are shown in Table 1.

The most frequent changes detected in the 19 monoclonal cases (38 samples) that were present in more than 50% of the cases were: gains on chromosomes 20q13.2 (71%), 12p13.1 (66%), 8q24.3, 12p13.2, 13q22.1, 13q34 and 20p11.2 (all 61%), and losses on 1p36.2, 1p35.3, 18p11.32 (all 61%), 1p21.3 (58%), 1p13.2, 9q34, and 16p13.3 (all 53%) (Supplementary Table 2). In the 33 polyclonal SCRC cases (66 samples) the most frequent gains were on chromosomes 8q24, 20q13 (all 67%), 7q36, 20q12 (both 64%), 7p11.2, 8q23 (both 62%), 8q12, 20p11 (61%), 12p13 (all 59%), 7q31.2, 13q34 (both 56%) 13q22 (55%), 3q36.31, 7p21.1 and 12q14.1 (all 50%), and losses on 18p11.32 (62%), 1p35.3 (58%), 1p36.21 (53%) 1p35.1 and 15q25 (both 50%) (Supplementary Table 3). Monoclonal and polyclonal SCRC showed differential somatic copy number alteration (SCNA) profiles: gains on 3p13 (55%), 5p15, 6p25, 18p11.31 (all 53%), and losses on 9q34 (53%) were more frequent in monoclonal SCRC (p<0.05 Fischer test) (Supplementary Table 4). Corresponding putative genes and micro-RNAs mapped to the identified altered chromosomal regions defined by GISTIC are shown in Supplementary Tables 2 to 4.

We used the OncoScan® assay to simultaneously analyze CNA and Loss of Heterozygosity (LOH) regions. Comparing both SCRC groups, no significant differences were found between the ratio of LOH, nor did we find differences regarding the degree of genomic instability (using the median value of all the changes) were found comparing the four groups with each other (data not shown).

**Confirmation of clonality using NGS and other indirect features.**—We used mutational concordance to confirm our clonality results, as other publications used such an approach (14). In Table 2A the mutational status of all cases is shown, with paired cases possessing the same pathogenic mutations shown in "blue". In the monoclonal group, 9 cases (47%) showed at least one set of paired tumors with the same pathogenic mutation: 4 cases with three different genes having the same-mutations in the paired tumors; 1 case with two genes having identical mutations; and 4 cases with one gene with the same mutations. Apart from *KRAS*, present with the same mutation in 4 cases, the most frequently mutated

genes were *APC* and *SMAD4*, which presented the same mutation in 4 and 3 cases, respectively. Only 4 polyclonal cases showed the same mutation in paired tumors (12%), three of them in *KRAS* and one in *BRAF*.

Other possible indirect features that can be used as a marker of clonality are CIMP and stage-at-diagnosis (Table 2B). Fourteen out of 19 monoclonal cases exhibited CIMP in the paired tumors (74%), with the other five showing adjacent CIMP values (one tumor CIMP-0 and the paired one CIMP-low, or one tumor CIMP-low and the paired one CIMP-high). Polyclonal cases showed a different distribution: 21 out of 33 showed CIMP concordance between paired tumors (64%), nine had adjacent CIMP values, and 3 cases showed a high CIMP-mismatch.

Analysis of tumors staging revealed that in the monoclonal group, 14 of the 19 cases (74%) presented the same stage at diagnosis (blue boxes) and another 2 showed adjacent stages (grey boxes). However, in the polyclonal group only 12 out 33 cases (36%) had the same stage.

#### Relationship between SCRC clonality and location.

**Main groups and correlative regions.**—The SCRC Monoclonal group (M) was divided in Monosegmental (MM) and Pancolonic (MP) subgroups. The MM subgroup was composed of 10 cases (19% of the total), while the MP subgroup contained 9 cases (17%). The colon distribution in MM was: 4 cases in the right colon and 6 cases in the left colon. The SCRC Polyclonal group (P) was also divided into Monosegmental (PM) and Pancolonic (PP) subgroups: 19 cases were PM (37%), showing preference for left-colon location (17 cases, 89%), whereas 14 cases were PP (27%).

Within the MM group the most frequent changes were gains on 20q13 (85%) and 12p13 (75%), and deletions on 1p21 (85%), 1p36 and 1p13 (both 75%) (Supplementary Table 5). The MP group was characterized by gains on 13q22 (67%) 4p16 and 8q24.3 (both 61%). This latter group presented the lowest number of genomic alterations within four groups (Supplementary Table 6). Relevant differential genomic regions in both monoclonal categories were gains on 1q24 and 8q24, and deletions on 1p21 and 1p13 in the MM group (Supplementary Table 7).

The PM group was characterized by gains on 7q36 and 20q13, and deletion on 18p11 (all 68%) (Supplementary Table 8), while in the PP group among the most frequent changes were gains on 8q24 (71–75%) and 7p11 (68%)(Supplementary Table 9). Most frequent differential regions in the polyclonal cases were gain on 7q36 and deletion on 1p36, for PM group. (Supplementary Table 10). Corresponding putative genes and micro-RNAs codified in the identified altered chromosomal regions defined by GISTIC are shown in Supplementary Tables 5 to 10.

The genomic profiles of the four different categories based on clonality and colon location of the tumors are shown in Figure 2.

**Mutational status.**—As mentioned above, monoclonal cases showed most paired tumors with genes carrying the same mutation (Table 2A). Six cases of the MM subgroup (60% of the group) exhibited same-mutation genes in paired tumors, three of them in three different genes. In the MP group there were 3 such cases (33%). After *KRAS* gene, *APC* was the gene most frequently observed to have the same mutation in paired tumors (4 cases).

It is noteworthy that there was a substantianl proportion of *APC* mutations in the MM and PM groups (70% and 42% of all tumors, respectively), when compared with the pancolonic categories (33% in MP and 32% in PP). Remarkably, *TP53* mutations were not as frequent and were mostly observed in MM cases (25%).

**Distribution of the main carcinogenetic pathways and analysis of hereditary CRC forms.**—Only two monosegmental cases (one monoclonal and one polyclonal) showed MSI based on *MLH1* promoter hypermethylation and/or *BRAF* mutations. CIMP-High status showed a high prevalence among our SCRC cases (Table 2B). The proportion of CIMP-High tumors was 65% in MM, 44% in MP, 45% in PM, and 50% in PP groups, but focusing on paired CIMP-High tumors, a decreasing order was observed: 50% in MM, 44% in MP, 37% in PM and 29% in PP.

None of the four cases with the same *APC* mutation in paired tumors showed pathogenic germ-line mutations. *MUTYH* germ-line mutations analysis was carried out in 40 out of the 52 cases (77%) (due to the death of some patients, it was not possible to extract blood samples, while in others this was already done because of previous hereditary CRC screening strategies). Only two cases showed the c.118 7G>A (p. Gly396Asp) mutation. One of them presented the mutation in a homozygous manner; the SCRC was diagnosed at an age of 71 and was defined as PM (left colon), with 41 mixed-type associated polyps during follow-up, and no familial cancer history. The patient also developed an MCRC one year later. The second case showed the mutation as heterozygotic; the SCRC was diagnosed at an age of 77, classified as MM (left colon), with 17 also mixed-type associated polyps. Although no familial cancer history was apparent, the patient developed multiple primary neoplasms: a urothelial tumor (diagnosed at 71 y/o) and a MCRC (diagnosed at 80 y/o), in addition to the SCRC.

**Phenotypes and clinical implications.**—Comparative results regarding clinicopathological and familial features of the four defined categories are shown in Table 1. Specific differences were observed for each category. Firstly, the MM group showed a high proportion of mucinous tumors (37.5%), the lowest mean number of SCRCs as well as associated polyps, and displayed the worst prognosis related to recurrence and mortality (Figures 3A and 3B). The MP group included the youngest age at diagnosis and the largest mean-number of associated polyps, with a comparatively intermediate mean number of SCRCs, and showed the best prognosis and an important familial cancer component. The PM group seemed to be a "frontier" group, with features similar to MP except for a high sporadic component. Lastly, the PP group also exhibited a mucin component (almost 40%), had the highest mean-number of SCRCs (4.6) compared with the mean-number of polyps (7.7), had a poor prognosis and consisted entirely of sporadic cases.

## DISCUSSION

Tumor multiplicity is widely recognized as a feature of genetic predisposition for the development of neoplasms (28). Although the heterogeneous phenotype of SCRC has been extensively discussed, the disease remains poorly understood. Comparing the genetic patterns of synchronous lesions may provide important knowledge about the biology of these tumors. Moreover, it addresses the additional possibility that the paired tumors share genetic features and the same genetic origin, acquiring at the beginning similar driver somatic mutations, and therefore having a likely clonal origin.

Thirty-six per cent of our SCRCs fulfilled clonality features. There are only two recent studies analyzing clonality in SCRC, and both showed an apparent intertumor heterogeneity within the same patient (14, 15). However, these studies analyzed a rather smaller patient cohort (10 and 15 cases, respectively, vs our 52) from a genetic point of view (concordance of paired-mutated genes or whole exome sequencing), whereas we developed a different strategy by comparing the genomic profiles for similarities in the patterns of somatic changes. Moreover, both previous studies tried to define clonality as concordance in high proportions of gene mutations - a condition that we believe is problematic due to the high degree of heterogeneity that CRCs acquire during development. Copy number variation data can pinpoint the loci in the genome where allelic gains and losses begin and end and allow for a comprehensive comparison of different mutational profiles, thus offering the potential for identifying exact matches that are the hallmark of clonal allelic changes (29). The optimal situation would be that two monoclonal SCRCs are derived from a single "clonal" cell that experienced the pivotal mutations that led to tumor development. In practice however, since additional independent mutations are likely to occur subsequently in the two tumors as they develop separately, two clonal tumors will consist of a mixture of identical (clonal) mutations and independent mutations, while tumors that arise independently will consist solely of independently occurring mutations (29). Our strategy involves comparing the mutational patterns in two tumors to determine whether they are sufficiently alike to conclude that they are indeed clonal. The concordance of gene mutations in paired tumors, together with some other indirect parameters, such as CIMP concordance or an identical or similar stage of the paired tumors, has helped us to outline the clonality between paired tumors.

The relationship between colonic location and differential clinical and molecular features of CRC has been widely established (30–32), and the same seems to apply to SCRC (2, 7, 9). Our division of SCRC into categories according to clonality and colon location of the tumors within the same patient showed a smaller proportion of SCRC cases in the right colon that were mainly monoclonal, as opposed to the higher rate of SCRCs in the left colon, which were mainly polyclonal. This seems to confirm the predisposition to establish a certain type of colon cancer in the right colon different from the usual sporadic-isolated CRC, characteristic of left colon cancers. In addition, we were able to define prognostic subclasses, highlighting MM and PP, with an important mucinous component, and also to observe a possible differential influence of the field effect within SCRC (33, 34). When we highlight the concept of such clonality, current techniques do not allow to distinguish between multicentric occurrence and local metastasis, and it is possible that the MM SCRC

group, which has the worst prognostic features, will arise as an aggressive category of CRC, with early local metastasis in the colon. In this direction, some of the relevant differential altered genomic regions in this group, gain on 1q24 and deletion on 1p13, have been also associated with worse prognosis within CRC (35, 36). Moreover, the majority of the specific altered chromosomal regions related with the four defined categories have been related with CRC and/or a higher risk of its development as well (e.g. gain on 8q24 or deletions on 1p21, 1p13 or 1p36) (37–39). But more remarkable are gains on 20q13 and deletions on 18p11, two of the most frequently altered regions in PM group, that have been already described by Di et al. (15), presenting similarly within their sample of 15 SCRC patients, in which they defined that synchronous tumors were of different genetic origins, therefore polyclonal.

Many studies point out the importance of an environmental field effect that promotes multiple colorectal tumors, with likely causes as an important exposure to a carcinogen or genetic predisposition for cancer development (4, 14, 15, 33). Cereda et al. showed a possible combination of both exposure and genetic predisposition underlying SCRC, joining inherited damaging alterations of immune-related genes with the consequent inflammatory conditions, all of which stimulate tumorigenesis (14). One interesting aspect of our results, in agreement with the field effect, is the different frequency of malignancy associated with polyps in each category. While the MM and PP groups showed a proportion of around one of SCRC for each of the two polyps, in the MP and PM groups there was around one SCRC for each 5 polyps. This, together with the fact that the MP group presented an important familial cancer component, may indicate that the MP cases are the ones with the lowest influence of a field effect, and an unidentified genetic predisposition may lead to them as occur also within a background of a high number of polyps and a younger age at diagnosis. Although the existence of clonality throughout the entire colon together with the fact that most of this group were stages A for both paired-tumors could be a contradiction, not only the likelihood of a genetic predisposition in these cases suggests the possibility of clonality, but also the fact that almost half of the cases within MP group had already developed polyps before the SCRC (data not shown). On the other hand, the PM category, with an important predisposition for the left-sided colon, should be due to a possible environmental field effect. In the PP cases, the field effect also appears to have a high impact throughout the entire colon developing heterogeneous tumors, while in MM cases a mixture of all the factors, appears to play a role. Some of the mechanisms that have been related with field effect are increased genomic instability or via cytokine and growth factor secretion, epigenetic alterations or increased mutation rate (14, 40-42).

The existence of clonality within SCRC has important consequences at the time of diagnosis and throughout therapeutic management of the patient, and calls for an analysis of both tumors, especially in cases of polyclonal SCRC. The statistical package we used seems to asses more accurately the probability of clonality in SCRC, and this, together with the tumor locations, helped us to configure a novel classification approach which also has prognostic and clinical consequences. Our series is the largest until now about SCRC and clonality analysis by genomic profiles approach, and our results should be validated by other groups to confirm our findings. Assessing the microbiome and molecular alterations in normal mucosa in SCRC patients should be next steps to clarify the exact roles of environment and individual predisposition in SCRC. Moreover, the growing importance that clonality analysis

is acquiring within SCRC calls for more extensive studies, and if the existence of clonal cases is confirmed, SCRC may need to be redefined not only from a clinical or chronological point of view.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## What's new:

To our knowledge, this is the largest series of Synchronous Colorectal Cancer (SCRC) in which clonality is analyzed, with a Single-Nucleotide Polymorphism array and the subsequent statistical application, and the first to correlate it with clinical phenotypes. The existence of clonality within SCRC has important consequences throughout therapeutic management. These categories may also serve as a starting point to analyze more selectively the molecular basis of SCRC and its relationship with environmental factors.

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Two paired tumors of a case defined as monoclonal. Red: losses; Blue: gains

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Two paired tumors of a case defined as polyclonal. Red: losses; Blue: gains.



Red: losses; Blue: gains.

## Figure 2.

Genomic profiles of the four different categories according to clonality and location of the tumors in the colon.



### Figure 3A and 3B.

Overal survival and Disease-Free Survival curves of the four different categories according to clonality and colon location of the tumors.

### Table 1.

Comparison and description of the clinicopathological and molecular features of the subgroups categorized according to the clonality and the anatomic location of the Synchronous Colorectal Cancers in the colon.

	Total SCRC	ММ	МР	РМ	РР	p- value <sup>1</sup>
Number of patients	52 (100)	10 (19.2)	9 (17.3)	19 (36.5)	14 (27)	-
Average age of onset	71.1 [9.9]	73.5 [8.5]	65 [12.6]	69.6 [9.6]	72.4 [9.8]	0.2 <sup>2</sup>
<b>Sex:</b> Male Female	35 (67.2) 17 (32.8)	8 (80) 2 (20)	4 (44.4) 5 (55.6)	13 (68.4) 6 (31.6)	10 (71.4) 4 (28.6)	0.4
Colon location: Right colon left colon Entire colon	6 (11.5) 23 (44.2) 23 (44.2)	4 (40) 6 (60)		2 (10.5) 17 (89.5)		0.08*
<b>Tumor differentiation</b> <sup>3</sup> High Moderate Low	22 (52.4) 18 (42.8) 2 (4.8)	3 (37.5) 5 (62.5) 0	3 (75) 1 (25) 0	9 (55.6) 8 (44.4) 0	7 (53.8) 4 (30.8) 2 (15.4)	0.3
Mucin production <sup><math>3</math></sup> "Signet ring" cells <sup><math>3</math></sup>	9/42 (21.4) 2/42 (4.8)	3/8 (37.5) 0 (0)	0/4 (0) 0 (0)	1/17 (6) 1/1 (6)	5/13 (38.5) 1/13 (8)	0.07 0.8
Astler-Coller stage A B C D	16 (30.8) 23 (44.2) 10 (19.2) 3 (5.8)	2 (20) 6 (60) 1 (10) 1 (10)	6 (66.6) 2 (22.2) 1 (11.) 0 (0)	5 (26.3) 9 (47.4) 4 (21.1) 1 (5.3)	3 (21.4)) 6 (42.9) 4 (28.6) 1 (7.1)	0.5
Number of SCRCs Associated polyps Yes Mean. Type: Adenomatous. Hyperplastic/mixed	3.2 [2.7] 49 (94.2) 10.1 [12.4] 27 (65.1) 22 (44.9)	2.4 [0.5] 8 (80) 5.3 [5.3] 5 (62.5) 3 (37.5)	3.2 [1.5] 9 (100) 15.6 [16.8] 5 (55.5) 4 (44.4)	2.5 [0.7] 18 (95) 11.7 [15.2] 8 (44.4) 10 (55.5)	4.6 [4.7] 14 (100) 7.7 [6.3] 9 (64.3) 5 (35.7)	$0.09^{2} \\ 0.2 \\ 0.2^{2} \\ 0.7$
Metachronous CRC. Recurrence Global Mortality/CRM	8 (15.7) 8 (15.7) 24 (46)/7 (13)	3 (30) 3 (30) 8 (80)/ 3 (30)	0 (0) 1 (9) 1 (9)/ 0	4 (22) 4 (28.6) 6 (43)/ 2(14)	1 (7) 0 (0) 9 (63)/ 2 (14)	0.2 0.2 <b>0.006</b>
DFS (months) OS (months)	68.1 [41.1] 75.3 [37.2]	51.4 [39.1] 61.1 [38.5]	99.2 [18.7] 100.2 [19.2]	68.7 [39] 78.5 [33.1]	59.4 [47.6] 65.1 [44.1]	<b>0.05</b> <sup>2</sup> 0.09 <sup>2</sup>
Familial cancer history Sporadic Familial aggregation Amsterdam II positive	43 (82.7) 8 (15.4) 1 (1.9)	7 (70) 3 (30) 0 (0)	4 (44.4) 4 (44.4) 1 (11.2)	18 (94.7) 1 (5.3) 0 (0)	14 (100) 0 (0) 0 (0)	0.009

Data shown in parenthesis represent percentages. Data shown in brackets represent standard deviation

 $^{I}$ Statistical comparison was performed using Pearson's Chi Square test ( $\chi^{2}$ )

 $^{2}$ Statistical comparison was performed using analysis of variance (ANOVA)

<sup>3</sup>Percentages shown are based on varying total numbers as some cases were excluded because only one biopsy was taken (stage D), or because tumors were severely dysplastic with "in situ" carcinoma and it was not possible to study any other characteristic. DFS: Disease-free survival. MM: Monoclonal Monosegmental. MP: Monoclonal Pancolonic. PM: Polyclonal Monosegmental. PP: Polyclonal Pancolonic. OS: Overall survival. SCRC: Synchronous colorectal cancer. CRM: Cancer-related Mortality.

# Table 2A and 2B. Clonality and colon location categories within SCRC (both concordant in order)

2A. Next Generation Sequencing of the selected gene panel. 2B: CIMP and stages of the four different groups.



			TUMOR	Tumor CIMP	SCRC CI
i COLORECTAL CANCER		T1 T2	82 82	CIMP LOW CIMPO	CIMP-Los
		71	T4MS	CIMP LOW	CMP.M
		T2 T1	C2	CIMP HIGH	-
	IONOSEGMENTAL	T2	X	CIMP HIGH	
		T2	81	CIMP HIGH	CIMP-M
		T1 T2	B2 A	CIMP HIGH	CIMP HE
		п	81	CIMP HIGH	COMPTRE
		T2 T1	A	CIMP LOW	CHILD
	2	T2	A	CIMP HIGH	
		T2	82	CIMP HIGH	CMP16
		11	A .	CIMP HIGH CIMP HIGH	CMP 10
SNO		п	81	CIMPLOW	CIMPLO
NCHRON		72	A	CIMPLOW	
		TI	A	CIMPO	CMP-Los
L SY		TI	A	CIMPO	CMPA
NIA		T2 T1	A B1	CIMP0 CIMP HIGH	
DO	5005	T2	A	CIMP HIGH	CMP 14
202	AIC	T1 T2	Â	CMP HIGH	CIMP-IN
W	oto	71	A	CIMPO	CMP 4
	ANC	12	C2	CMEP HIGH	-
	•	T2	A B2	CMP HIGH	
		T2	82	CMP0	CMP.4
		T1 T2	A	CIMP LOW	CHEP-LO
		п	A	CIMEP HIGH	CIMP IN
_		12	A	Case raight	
		п	B2	CIMP0	CIMP-Los
		TI	Â	CIMP HIGH	-
		T2	A 82	CIMP HIGH	
		T2	A	CIMP HIGH	CIMPIN
		T1 T2	B1 A	CIMP HIGH	CMP-H
		т	A	CIMPLOW	CMP.M
	MENTAL	T2 T1	C2	CIMP HIGH	Case in
		72	A	CMP HIGH	
		T2	- A	CIMPLOW	CMPA
		T1 T2	C2	CIMPO	CMP 4
		TI	B2	CIMPO	CMP 4
		T2 T1	B2	CIMPO	Case 1
	SEG	T2	A	CIMPLOW	and the
	NO	T2	A	CIMP LOW	CMPLO
	1	т1	C2	CIMPO	CMP-
5		T2 T1	D	CIMPO CIMP HIGH	
ANC		T2	A	CIMP HIGH	
AL C		T2	B2	CMP HIGH	CMP 28
ECT -		11	B2	CIMPLOW	CIMPAN
8		TI	A	CIMPO	CMP.M
8		T2 T1	82	CIMP HIGH	-
SNO		T2	A	CIMP HIGH	Callo Ja
NON		T1 T2	B2 A	CIMP LOW	CIMP-Los
NCHI		11		CIMP0	CMP.M
POLYCLONAL SYN		12			
		п	T3N2	CIMIP HIGH	CMP-IN
		72	A	CIMP HIGH	
	PANCOLONIC	T1 T2	C2 B2	CIMP-HIGH	CIMP-28
		11	81	CIMP LOW	CMPLO
		12 T1	A	CIMPLOW	CHARLES
		T2	A	COMP HIGH	
		T1	B2	CMP HIGH	CMP.H
		TI	B2	CIMPLOW	CHILL
		T2 T1	A C2	CIMP LOW	
		T2	A	CMP HIGH	Care In
		T1 T2	A	CIMP LOW	CMPLO
		71	82	CIMP LOW	CMP.M
		TI	A	CIMP LOW	CHELL
		T2 T1	A	CIMP LOW	
		12	C2	CIMP HIGH	CIMP.M
		T1 T2	82 B1	CIMP LOW	CMP.M
		71	82	CIMPLOW	CMP.M
			the second se	A DESCRIPTION OF THE OWNER OWNER OF THE OWNER OWNE	
		12 T1	A	CIMP HIGH	CMD

Table foot: Blue boxes: Same mutation in the same gene. Red boxes: Different mutations in the same gene.

Table foot: CIMP: CpG island methylator phenotype. SCRC: Synchronous colorectal cancer. Blue boxes: Coincident values. Grey boxes: Proximal values.