


# Factors affecting the concordance of radiologic and pathologic tumor size in breast carcinoma

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## Abstract

**Background:** Radiologic assessment of tumor size is an integral part of the work-up for breast carcinoma. With improved radiologic equipment, surgical decision relies profoundly upon radiologic/clinical stage. We wanted to see the concordance between radiologic and pathologic tumor size to infer how accurate radiologic/clinical staging is.

**Materials and methods:** The surgical pathology and ultrasonography reports of patients with breast carcinoma were reviewed. Data were collected for 406 cases. Concordance was defined as a size difference within  $\pm 2$  mm.

**Results:** The difference between radiologic and pathologic tumor size was within  $\pm 2$  mm in 40.4% cases. The mean radiologic size was  $1.73 \pm 1.06$  cm. The mean pathologic size was  $1.84 \pm 1.24$  cm. A paired *t*-test showed a significant mean difference between radiologic and pathologic measurements ( $0.12 \pm 1.03$  cm,  $p = 0.03$ ). Despite the size difference, stage classification was the same in 59.9% of cases. Radiologic size overestimated stage in 14.5% of cases and underestimated stage in 25.6% of cases. The concordance rate was significantly higher for tumors  $\leq 2$  cm (pT1) (51.1%) as compared to those greater than 2 cm ( $\geq$ pT2) (19.7%) ( $p < 0.0001$ ). Significantly more lumpectomy specimens (47.5%) had concordance when compared to mastectomy specimens (29.8%) ( $p < 0.0001$ ). Invasive ductal carcinoma had better concordance compared to other tumors ( $p = 0.02$ ).

**Conclusion:** Mean pathologic tumor size was significantly different from mean radiologic tumor size. Concordance was in just over 40% of cases and the stage classification was the same in about 60% of cases only. Therefore, surgical decision of lumpectomy versus mastectomy based on radiologic tumor size may not always be accurate.

## Keywords

Breast carcinoma, tumor size, pathologic staging, breast ultrasound, concordance

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## Introduction

The staging of breast carcinoma is mainly dependent on tumor size and lymph node status. Treatment modality, including choice of surgical procedure (lumpectomy versus mastectomy), is affected by tumor size and stage. As per the American Joint Committee on Cancer (AJCC) guidelines, small increments in tumor size upstage the patient.<sup>1</sup> Although the final staging is based on pathologic measurement, radiologic

measurements and thus clinical staging dictate the surgical options in a significant proportion of cases. National comprehensive cancer network (NCCN) has

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precise guidelines for management of each stage of breast carcinoma.<sup>2</sup> A mismatch between pathologic and radiologic tumor size can lead to potential over or under treatment of the patient. A high concordance between pathologic and radiologic measurements is thus desired as it implies accurate management decision for the patient. We investigated the concordance and correlation between radiologic and pathologic tumor size in invasive breast carcinomas, in order to assess how reliable and accurate radiologic size and thus clinical staging is. Literature review reveals quite a few studies on radiologic-pathologic concordance; however, most of these studies discuss concordance with respect to nature/phenotype of the diagnosis, i.e. benign versus malignant.<sup>3-9</sup> Some authors looked into tumor size concordance with respect to different imaging modalities, but many of these studies were limited, either by small sample size; because only a specific type of tumor was considered based on the exclusion criteria; or because only highly specialized techniques such as magnetic resonance imaging (MRI) was used, which is not as widely available or used as ultrasonography (USG) in the assessment of the breast carcinomas.<sup>10-21</sup> Moreover, most studies considered a size difference of  $\pm 5$  mm to be concordant,<sup>11,13</sup> which is significant enough to result in a change of stage classification in a considerable number of cases. We compared pathologic tumor size with ultra-sonographic (USG) size. We preferred USG over other imaging modalities because despite the precise nature of CT scan and MRI, USG is still most widely used imaging modality in the assessment of breast carcinomas because of availability and insurance coverage issues. We used  $\pm 2$  mm size as a cut-off for concordance. Further, we assessed the relationship of some of the factors that can cause discrepancy among these measurements and have not been studied extensively, such as neoadjuvant therapy, tumor location, specimen weight, formalin fixation time and radiologic circumscription and homogeneity of the tumor.

## Materials and methods

Following institutional review board (IRB) approval, we conducted a retrospective search of the surgical pathology specimens received in the pathology department of St. John Hospital and Medical Center, in Detroit, MI, over a three-year period from 1 July 2014 to 30 June 2017. We included surgical pathology breast specimens (lumpectomy and mastectomy) for which USG reports within six months prior to the surgery were available. After confirming that the specimens met the inclusion criteria, we reviewed the pathologic and breast USG reports. The most recent USG report was used. The size mentioned in the

reports was considered accurate and no repeat measurements were taken.

Data were collected for a total of 406 cases. The data collected included patients' age and gender, date of USG, radiologic tumor size, tumor location (quadrant of breast), radiographic characteristics of the mass including homogeneity versus heterogeneity, well circumscribed versus ill-defined/speculated and presence and absence of calcifications, date of surgery, surgery type (lumpectomy versus mastectomy), total formalin fixation time, specimen weight, the pathologic tumor size, tumor stage and neoadjuvant therapy.

The mean difference between radiologic and pathologic tumor size, and their concordance and correlation were determined. For statistical analysis, we considered a size difference of  $\pm 2$  mm concordant, as compared to most other studies, which considered  $\pm 5$  mm to be concordant. We used a  $\pm 2$  mm cutoff limit because a size difference of  $\pm 5$  mm is much more likely to cause a stage migration, while still being considered concordant, as compared to a difference of  $\pm 2$  mm. A 5 mm size difference being called concordant will definitively give a higher concordance rate but at the expense of much more cases with different stage classification being called concordant. This gives a false impression of lesser proportion of cases being dis-concordant when in reality much higher number of cases are either over or under staged radiologically. Although this problem is not completely eliminated by using a cutoff of 2 mm, yet it is greatly reduced. Using 2 mm cutoff gives a better idea of how accurate radiologic size and thus clinical staging is. A 2 mm cutoff better illustrates the proportion of cases that are not correctly staged radiologically/clinically and thus are prone to overtreatment or undertreatment.

We assessed whether concordance was influenced by consistency (homogeneous versus heterogeneous) of the mass, circumscription of the mass, calcifications, gap between radiologic and pathologic measurement (date of surgery minus date of USG), type of surgery (lumpectomy versus mastectomy), formalin fixation time of the specimen, specimen weight, pathologic tumor (pT) stage, and neoadjuvant therapy.

Data were analyzed using paired samples *t*-test, chi-squared analysis, Spearman's rho correlation and Pearson correlation. All data were analyzed using SPSS v. 22.0 and a *p*-value of 0.05 or less denoted statistical significance.

## Results

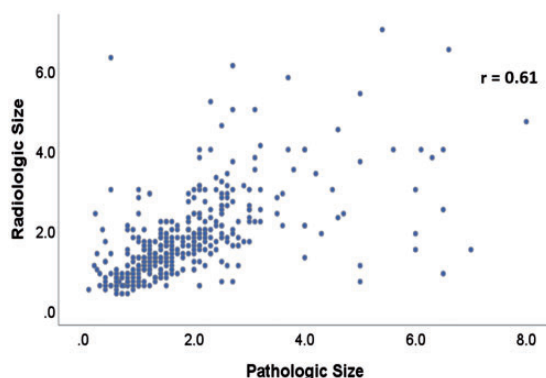
Patients' age ranged from 30 to 91 with a mean age of  $61.70 \pm 11.75$  years. Among the 406 specimens, 162 (39.9%) were mastectomy and 244 (60.1%) were lumpectomy. Overall, 42 (10.3%) patients received

neoadjuvant therapy, 364 (89.7%) did not. In 251 (62.1%) cases, the tumor was in the upper outer quadrant. The upper inner quadrant was the second most common location with 64 (15.8%) cases. Invasive ductal carcinoma was the most common diagnosis, accounting for 327 (80.5%) cases. Radiologically, the tumor was well circumscribed in 23 (5.7%) cases and ill-defined or speculated in 231 (56.9%) cases. In 152 (37.4%) cases, USG reports did not comment on tumor circumscription. The median specimen weight for mastectomy specimens was 638 g (IQR = 566.3) and the median weight for lumpectomy specimens was 41 g (IQR = 30). Formalin fixation time varied between 9 and 67 hours with a mean of  $26.28 \pm 6$  hours.

The mean radiologic size was  $1.73 \pm 1.06$  cm and the mean pathologic size was  $1.84 \pm 1.24$  cm. The Pearson's correlation coefficient ( $r$ ) for radiologic and pathologic sizes was 0.61,  $p < 0.0001$  (Figure 1). The mean difference between individually paired pathologic and radiologic tumor sizes was  $0.12 \pm 1.03$  cm, which was statistically significant at a  $p$ -value of 0.03 (paired samples  $t$ -test). Of the total 406 cases, 39 (9.6%) had the same size for both radiologic and pathologic measurements. Radiologic and pathologic size difference was within  $\pm 2$  mm in 164 (40.4%) of the 406 cases. The difference was within  $\pm 5$  mm in 270 (66.5%) of the cases.

Despite the size difference, stage classification was the same in 243 (59.9%) cases. Radiologic measurement overestimated stage in 59 (14.5%) cases and underestimated it in 104 (25.6%) cases. Of the total 163 cases in which radiologic measurement-based stage was different, only 25 (15.3%) received neoadjuvant therapy.

The concordance rate was significantly higher for those tumors in which final pathologic tumor size was  $\leq 2$  cm (pT1) as compared to those greater than 2 cm



**Figure 1.** Correlation of radiologic and pathologic tumor size.

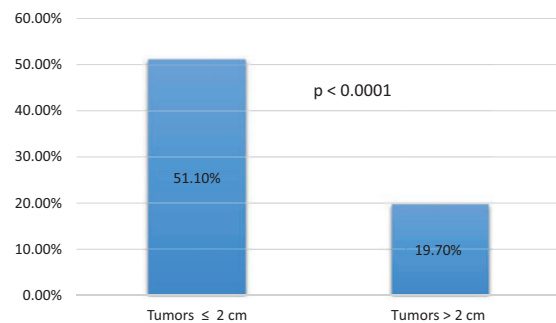
( $\geq$ pT2) ( $\leq 2$  cm tumors: 137 (51.1%) vs.  $> 2$  cm tumors: 27 (19.7%),  $p < 0.0001$ ) (Figure 2).

A significantly higher proportion of lumpectomy specimens was concordant as compared to mastectomy specimens (lumpectomy: 116 (47.5%) vs. mastectomy: 48 (29.8%),  $p < 0.0001$ ). Comparing the different tumor types, a significantly higher proportion of invasive ductal carcinoma had radiologic and pathologic size concordance as compared to other tumor types (Table 1).

With respect to neoadjuvant therapy, 160 (44%) cases without neoadjuvant therapy were concordant as compared to only four (9.5%) cases with neoadjuvant therapy ( $p < 0.0001$ ). Correlation was also stronger for cases without therapy as compared to those with neoadjuvant therapy (no therapy:  $r = 0.65$ ,  $p < 0.0001$ ) vs. neoadjuvant therapy:  $r = 0.47$ ,  $p = 0.002$ ) (Figure 3).

We also assessed the impact of time between USG and surgery on concordance. Cases with a time gap of no more than two months had better concordance as compared to those where the time gap was more than two months ( $\leq 2$  months: 138 (43.1%) vs.  $> 2$  months: 25 (29.4%),  $p = 0.02$ ).

A multivariable analysis showed that tumor size and neoadjuvant therapy are the only independent factors in concordance with radiologic and pathologic tumor size. Tumors  $\leq 2$  cm were 3.9 times more likely to be concordant as compared to those  $> 2$  cm, and cases that did not receive neoadjuvant therapy were eight

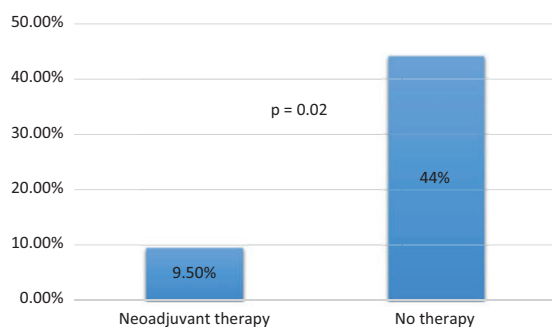


**Figure 2.** Concordance by tumor size.

**Table 1.** Concordance among different tumor types

Tumor type	Invasive ductal carcinoma	Other carcinomas <sup>a</sup>	$p$ -Value
Concordant cases	141 [43.3%]	23 [29.1%]	0.02

<sup>a</sup>Other carcinomas included lobular, mucinous, metaplastic, adenoidcystic and mixed ductal/lobular carcinomas.



**Figure 3.** Concordance with and without neoadjuvant therapy.

**Table 2.** Results of multivariable analysis

Factor	Odds ratio	<i>p</i> -Value	95% CI
Tumor size $\leq$ 2 cm	3.9	$<0.0001$	2.3, 6.5
No neoadjuvant therapy	8.0	$<0.0001$	2.5, 25.7

**Table 3.** Factors that did not have a significant effect on concordance

Factor	<i>p</i> -Value
Tumor site	0.65
Radiologic circumscription	0.31
Radiologic homogeneity/heterogeneity	0.80
Calcifications	0.38

times more likely to be concordant as compared with those that received therapy. These results are summarized in Table 2.

Tumor site (quadrant of breast) and radiologic characteristics of the tumor (circumscription, homogeneity versus heterogeneity and presence of calcifications) had no significant effect on concordance (Table 3).

Specimen weight had no significant correlation with concordance for lumpectomy ( $p=0.98$ ) or mastectomy specimens ( $p=0.72$ ). Formalin fixation time also had no significant correlation with concordance for lumpectomy ( $p=0.62$ ) as well as mastectomy specimens ( $p=0.28$ ).

## Discussion

As with other malignancies, tumor size is an important staging element and prognostic factor in breast

carcinomas. According to the American Joint Committee on Cancer (AJCC) guidelines, small increments in tumor size upstage the tumor.<sup>1</sup> Pathologic tumor size is the gold standard; however, clinical decision usually relies on radiologic size. Radiologic tumor size is one of the factors that determine the clinical decision of lumpectomy versus mastectomy. Other factors that contribute include the tumor location, the ability to achieve margin control, the ease to deliver radiotherapy, anticipated cosmetic result and patient choice.

Multiple studies have correlated imaging and histopathology in breast lesions.<sup>3–9</sup> Few studies compared pathologic tumor size to radiologic size using different radiologic modalities.<sup>11–18</sup>

In a recent study, Yoo et al.<sup>11</sup> studied the correlation of MRI and pathologic size in breast carcinomas. However, not every breast carcinoma patient gets an MRI. Moreover, MRI is not widely available and not covered by all insurances.

Lai et al.<sup>14</sup> interestingly found that USG had better concordance as compared to MRI (54.3% vs. 44.1%). They concluded that MRI frequently overestimates the tumor size, while USG tends to underestimate it, and combined USG and MRI increase the accuracy of tumor size prediction.

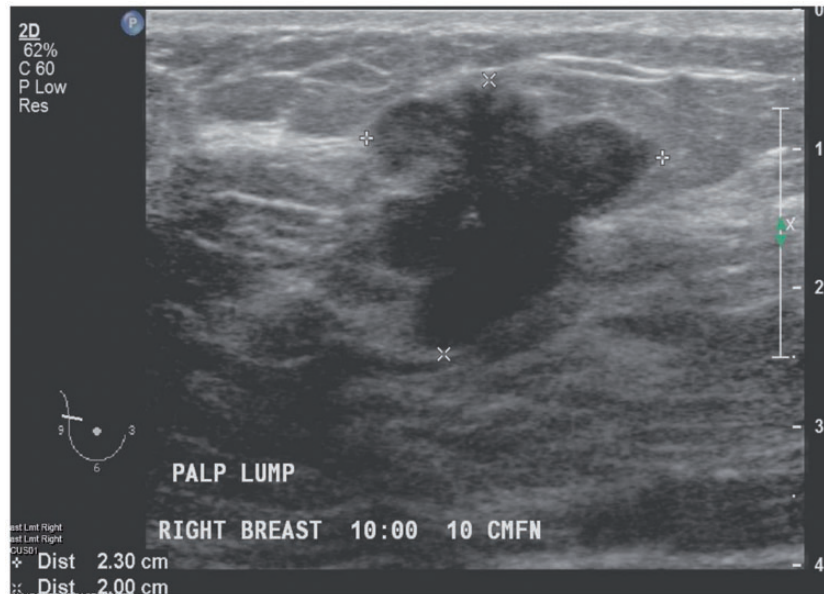
Ramirez et al.<sup>17</sup> compared mammography (MG), USG, and MRI in assessment of breast carcinoma size and concluded that MG measurements most closely correlate with pathologic measurements. Their correlation coefficients for MG, USG, and MRI were 0.76, 0.67, and 0.75, respectively. The correlation coefficient for USG in different studies ranged from 0.45 to 0.92.<sup>19–41</sup> In our study, the correlation coefficient for radiologic size and pathologic size was 0.61,  $p < 0.0001$ .

Overall concordance in our study was 40.4%. This appears low when compared to many other studies, but the main reason for this discrepancy is that we used a cutoff  $\pm 2$  mm for concordance as compared to other studies that used a  $\pm 5$  mm cutoff. As mentioned before, we used  $\pm 2$  mm as the cutoff limit because a size difference of  $\pm 5$  mm is much more likely to cause a change in stage classification, while still being considered concordant, as compared to a difference of  $\pm 2$  mm. The 2 mm size cutoff therefore gives better estimate of potential patient miss-management caused by dis-concordance between radiologic/clinical stage and pathologic stage. Despite the size difference, however, we found that stage classification ended up being the same in 59.9% cases. Radiologic measurement overestimated stage in 59 (14.5%) cases and underestimated it in 104 (25.6%) cases. Establishing the management on radiologic tumor size and thus clinical stage in these cases can result either in a procedure that would otherwise not be necessary or on the other hand conservative and thus inadequate treatment.

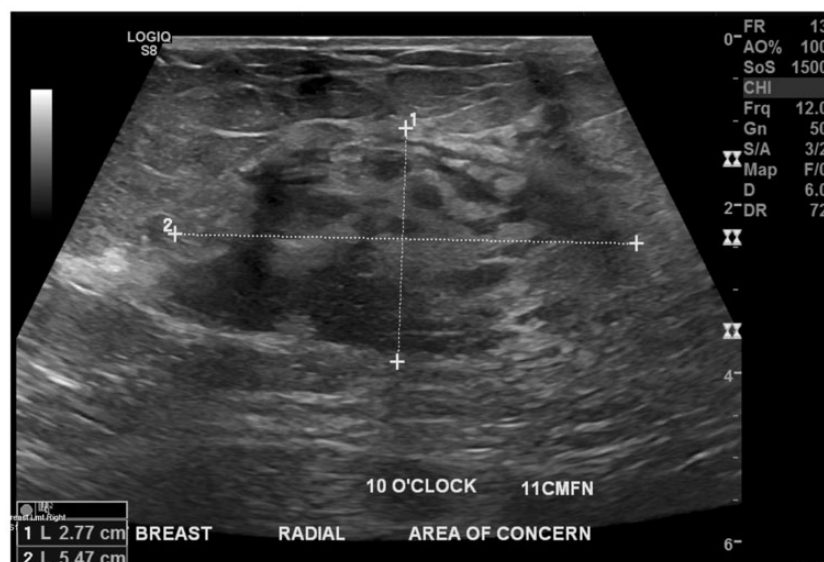


A recent study by Tseng et al.<sup>10</sup> assessed the accuracy of MRI alone versus a combination of USG plus MG in accurate estimation of tumor size. Interestingly, instead of using an absolute value of 2 mm or 5 mm, they used a size difference of less than 33% as concordant. This is very logical and thoughtful but in our view 33% is a very high cutoff, as using this cutoff implies that for a 2 cm tumor, a size difference of about 7 mm is still considered concordant.

Like Ahn et al.,<sup>13</sup> we found that smaller tumors ( $\leq 2$  cm/pT1) had a higher concordance rate as compared to larger tumors ( $> 2$  cm/ $\geq$  pT2). Our correlation coefficient was also higher for smaller tumors as compared to larger ones. A possible explanation is that smaller tumors tend to be better circumscribed and can be measured more accurately in all three dimensions as compared to larger tumors, as illustrated in Figures 4 and 5. Other reasons why sizing can be



**Figure 4.** Ultra-sonography demonstrating a lobulated, well-defined 2.3 cm mass that can be accurately measured in all three dimensions.



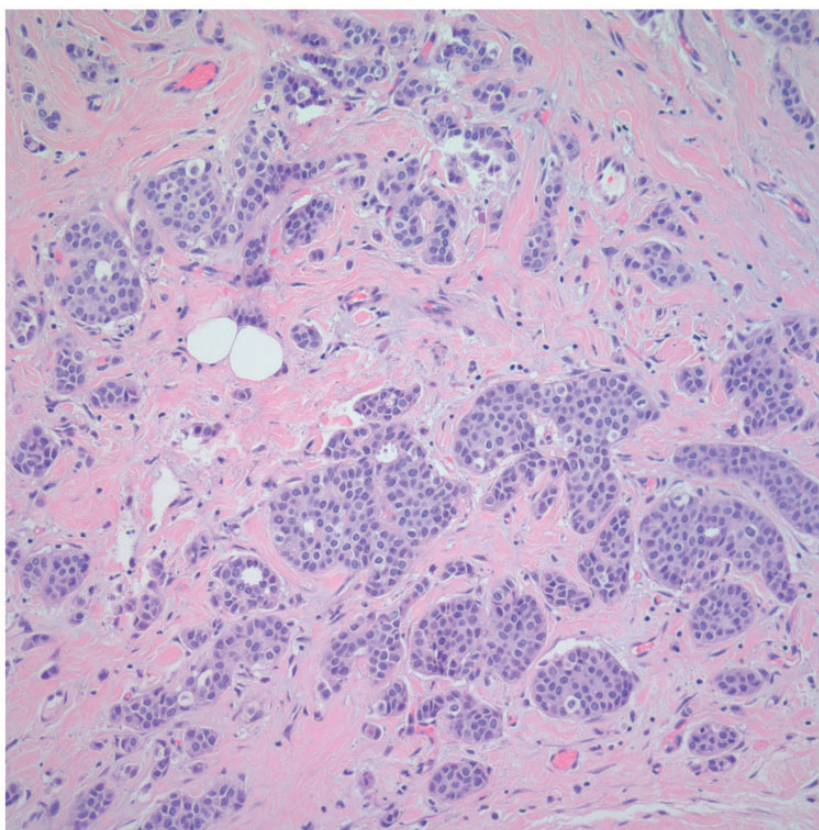
**Figure 5.** Ultra-sonography demonstrating an ill-defined, 5.5 cm mass with vague margins precluding an accurate measurement.

difficult in larger lesions include shadowing on USG and posterior location. From a pathologic stand point however, a higher concordance in larger tumors is desired because it is indeed the larger tumors where it is most needed by the pathologists. Although final staging is based on microscopic tumor size, pathologists rely on gross measurement as well as radiologic measurement of tumor size in quite a few cases, especially the ones where tumors are large and accurate size cannot be confirmed microscopically.<sup>42-44</sup> Additional tissue sections may be required in these cases and radiologic tumor size becomes critical.<sup>45</sup>

Ahn et al.<sup>13</sup> assessed the value of chest CT in determination of breast carcinoma size and found that mean tumor size determined by chest CT and pathology were not significantly different ( $p=0.059$ ). Yoo et al.<sup>11</sup> came to a similar conclusion ( $p=0.199$ ) with respect to MRI. Our results, however, were different. Using paired samples  $t$ -test, we found that the mean difference between individually paired pathologic and radiologic sizes was significant ( $p=0.03$ ).

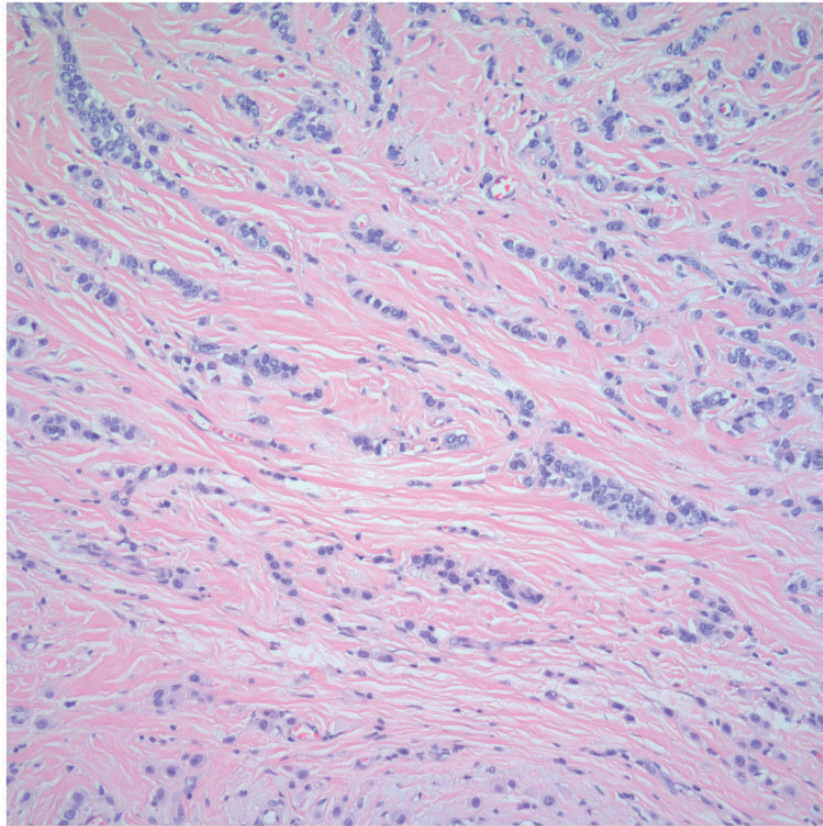
Many studies<sup>22-24,26,27,29,31,32,36,46</sup> compared MRI with other imaging modalities and physical examination in assessing residual tumor size after neoadjuvant therapy. These studies concluded that MRI was far

superior in determining the extent of residual disease with a correlation coefficient ranging from 0.64 to 0.93. The correlation coefficient for USG in these studies ranged from 0.48 to 0.70. A recent study<sup>47</sup> compared Contrast-Enhanced Spectral Mammography (CESM) to MRI in the assessment of tumor size following neoadjuvant therapy and found that CESM and MRI had comparable sensitivity, specificity PPV, and NPV. Cavallo et al.<sup>48</sup> found that unenhanced MRI achieves similar results to contrast enhanced MRI for the assessment of residual tumor. We did not assess the reliability of USG in determining treatment response, instead we analyzed if neoadjuvant therapy is a significant factor affecting radiologic-pathologic concordance of tumor size. Our data showed that a significantly less proportion of cases with neoadjuvant therapy had size concordance as compared to those without any therapy (no therapy: 160 (44%) versus neoadjuvant therapy 4 (9.5%),  $p < 0.0001$ ). The correlation coefficients for cases with and without neoadjuvant therapy were 0.47 ( $p=0.002$ ) and 0.65 ( $p < 0.0001$ ), respectively. Fibrosis and background enhancement post therapy precludes to accurate assessment of tumor size on radiologic imaging leading to discordance with pathologic size of residual tumor.<sup>49</sup>



**Figure 6.** Relatively circumscribed morphologic appearance of IDC (H&E, 400 $\times$ ).





**Figure 7.** Infiltrative morphology of ILC leading to discordance between radiologic and pathologic tumor size (H&E, 400 $\times$ ).

Not many studies have compared the concordance rate with respect to tumor site and histologic type. In our study, we found no significant effect of tumor site on the concordance ( $p=0.65$ ); however, with respect to histologic type, we found a greater concordance rate for invasive ductal carcinoma (IDC) as compared to other tumors ( $p=0.02$ ) (Table 1). A possible explanation for this difference is the morphologic differences in the tumors. Invasive lobular carcinomas tend to have more single filing and infiltrative morphology, leading to poor concordance compared to IDC as demonstrated in Figures 6 and 7. Ahn et al.<sup>13</sup> also found increased concordance for IDC. In our study, correlation was also stronger for IDC as compared to all other tumors (IDC:  $r=0.61$ ,  $p<0.0001$  vs. all other tumor types:  $r=0.56$ ,  $p<0.0001$ ).

To the best of our knowledge, no one has looked into radiology – pathology size correlation with respect to surgery type (i.e. lumpectomy versus mastectomy). We found that a significantly higher proportion of lumpectomy specimens had concordance in size as compared to mastectomy specimens ( $p<0.0001$ ). A possible explanation for this is that lumpectomy is usually done on smaller tumors and concordance rate for smaller

tumors is usually high as depicted in our study and supported by Ahn et al.<sup>13</sup>

Yoo et al.<sup>11</sup> investigated the effect of multiple factors, including lymphovascular invasion, immunohistochemical profile and molecular subtype of breast carcinoma on concordance. Their results showed that MRI pathology discordance was associated with larger tumor size ( $p<0.001$ ), estrogen receptor (ER) negativity ( $p=0.006$ ), and lymphovascular invasion ( $p=0.003$ ). They also concluded that the human epidermal growth factor receptor 2 (HER2) positive molecular subtype showed worse a correlation between the tumor size measured by MRI and pathology compared with luminal A and luminal B subtypes ( $p=0.008$  and  $0.007$ , respectively). The data on these factors are, however, limited and needs to be supplemented by additional studies.

The interval between radiologic assessment and surgical intervention can also affect tumor size concordance. A larger time gap is likely to result in discordance because of two reasons. Tumors tend to increase in size with time. Conversely, there can be a decrease in size if a patient undergoes neoadjuvant therapy; however, in these cases, the patient usually has follow-up USG. We

used the most recent USG reports that mentioned tumor size in our study and found a better concordance for a time gap of less than or equal to two months ( $p = 0.02$ ).

Other factors that can affect the concordance rate include radiologic characteristics of the tumor (circumscription, homogeneity versus heterogeneity and presence of calcifications), specimen weight and formalin fixation time. Poorly circumscribed, heterogeneous tumors with calcifications should have worse concordance as these factors make accurate determination of radiologic size difficult. Bulkier specimen and longer formalin fixation time might result in discordance in size, though in our study, we did not find any impact of these factors on concordance rate.

## Conclusion

We found a significant mean difference between radiology and pathology measurements. Radiology and pathology tumor measurements were concordant in only 40.4% of cases. Although the size difference stage classification was same in 59.9% cases, however, remaining 40.1% cases were at risk of undertreatment or overtreatment. Although different imaging modalities have gained attention in accurate determination of tumor size in past few years, USG is still the most widely available and routinely used in work-up of breast carcinoma patients. The large variability in the correlation coefficient between USG size and pathologic size in different studies means that USG measurements are highly dependent on the expertise of the person performing USG.<sup>50–54</sup> Because radiologic size helps tailor surgery in some cases, a better correlation would mean accurate decision regarding surgical procedure for the patient. Also, knowledge of factors influencing this concordance can be useful in regards to surgical planning.

## Declaration of Conflicting Interests

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## Ethics Approval

St. John Hospital and Medical Center Institutional Review Board. Study ID 1106801-2.

## Guarantor

AH.

## Contributors

AH wrote the manuscript after gathering all the data; AH, RS, AA, WI, JE, and SS collected data; SM ran statistical analysis; SK did literature review and wrote parts of discussion; DO was the mentor author; SM, SK and DO proof read and improved the language of the manuscript. All authors reviewed and approved the final version of the manuscript.

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