abstract

# Cause of Death in Follicular Lymphoma in the First Decade of the Rituximab Era: A Pooled Analysis of French and US Cohorts

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**PURPOSE** Although the life expectancy of patients with follicular lymphoma (FL) has increased, little is known of their causes of death (CODs) in the rituximab era.

**PATIENTS AND METHODS** We pooled two cohorts of newly diagnosed patients with FL grade 1-3A. Patients were enrolled between 2001 and 2013 in two French referral institutions (N = 734; median follow-up 89 months) and 2002 and 2012 in the University of Iowa and Mayo Clinic Specialized Program of Research Excellence (SPORE; N = 920; median follow-up 84 months). COD was classified as being a result of lymphoma, other malignancy, treatment related, or all other causes.

**RESULTS** Ten-year overall survival was comparable in the French (80%) and US (77%) cohorts. We were able to classify COD in 248 (88%) of 283 decedents. In the overall cohort, lymphoma was the most common COD, with a cumulative incidence of 10.3% at 10 years, followed by treatment-related mortality (3.0%), other malignancy (2.9%), other causes (2.2%), and unknown (3.0%). The 10-year cumulative incidence of death as a result of lymphoma or treatment was higher than death as a result of all other causes for each age group (including patients  $\geq$  70 years of age at diagnosis [25.4% v16.6%]) Follicular Lymphoma International Prognostic Index score 3 to 5 (27.4% v5.2%), but not Follicular Lymphoma International Prognostic Index score 0 to 1 (4.0% v3.7%); for patients who failed to achieve event-free survival within 24 months from diagnosis (36.1% v7.0%), but not for patients who achieved event-free survival within 24 months of diagnosis (6.7% v 5.7%); and for patients with a history of transformed FL (45.9% v4.7%), but not among patients without (8.1% v6.2%). Overall, 77 of 140 deaths as a result of lymphoma occurred in patients whose FL transformed after diagnosis.

**CONCLUSION** Despite the improvement in overall survival in patients with FL in the rituximab era, their leading COD remains lymphoma, especially after disease transformation. Treatment-related mortality also represents a concern, which supports the need for less-toxic therapies.

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# INTRODUCTION

Follicular lymphoma (FL) is the most frequent indolent lymphoma.<sup>1,2</sup> Despite recent progress in understanding the development of this disease,<sup>3,4</sup> its clinical heterogeneity remains poorly understood. Some patients have an indolent evolution over several decades, whereas others show a rather aggressive clinical course, generally accompanied by a histologic transformation<sup>5</sup> and poor prognosis.<sup>6,7</sup> In the last two decades, life expectancy of patients with FL has markedly improved with the introduction of anti-CD20 therapies.<sup>8-12</sup> For patients with symptomatic disease at diagnosis, standard of care includes immunochemotherapy (IC).<sup>13</sup> In patients who are asymptomatic, treatment options are still debated.<sup>14,15</sup> Despite these improvements, most physicians consider FL to be incurable, with a continuous pattern of relapse.<sup>16</sup> Furthermore, patients with FL who are treated

upfront with IC who are event free (no death, relapse, or retreatment) within 24 months of diagnosis (EFS24) have a subsequent survival that is comparable to the age- and sex-matched background population,<sup>17</sup> whereas those who experience an event before 24 months have a more aggressive course with poor outcomes.<sup>17,18</sup> In this context, long-term, treatment-related adverse effects and toxicity may become important issues.

In the rituximab era, deaths as a result of lymphoma in patients with FL have decreased at the population level,<sup>9</sup> but there are limited data on the precise causes of death (CODs). For instance, when analyzing the long-term outcome of 281 patients with FL over different time periods, a significant improvement in cause-specific survival, but not in overall survival (OS), was found,<sup>19</sup> which suggests that treatment-related toxicities may occur. Another report demonstrated that lymphoma

CONTENT Appendix Data Supplement Author affiliations and support information (if applicable) appear at the end of this article. Accepted on October 1, 2018 and published at jco.org on November 27,

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and complications of treatments remained the leading COD in younger patients treated in a randomized trial<sup>12</sup>; however, it is important to note that survival is a function of lymphoma aggressiveness as well as of competing risks of mortality, which are lower for younger patients but are substantially increased with age. To provide a better characterization of CODs in the modern treatment era according to patient and disease characteristics, we conducted a pooled analysis of two independent cohorts of patients with FL from France and the United States.

## PATIENTS AND METHODS

This study was conducted according to the Hospices Civils de Lyon, Centre Léon Bérard, University of Iowa, and Mayo Clinic institutional ethics guidelines and in accordance with the Declaration of Helsinki. The French cohort consisted of 734 consecutive, newly diagnosed or referred patients with grade 1 to 3A FL who were treated at the Centre Hospitalier Lyon Sud and Centre Léon Bérard between 2001 and 2013. The US cohort consisted of 920 consecutive, newly diagnosed patients with grade 1 to 3A FL who consented and were enrolled between 2002 and 2012 in the University of Iowa and Mayo Clinic Specialized Program of Research Excellence (SPORE) Molecular Epidemiology Resource.<sup>20</sup> In both cohorts, all pathology, including histologic transformations, were reviewed by study hematopathologists, and patient management, including staging, treatment(s), and clinical surveillance, was per the treating physician. Loss to follow-up was 0% in the French cohort and less than 1% in the SPORE cohort.

Disease progression, retreatment, transformation, and death events were verified via extensive review of medical records. COD was uniformly coded (by C.S., H.G., and G.S. for the French cohort; and C.A.T., T.M.H., and B.K.L. for the US cohort) as a result of lymphoma (progression or transformation), treatment, unrelated cancer, other causes, or unknown. Treatment-related mortality (TRM) was broadly defined and further classified into infection, cardiac, secondary myelodysplastic syndrome/acute myeloid leukemia (MDS/AML), or other. TRM was considered when death occurred either during treatment without evidence of disease refractoriness or shortly after treatment without evidence of progressive disease, or when death occurred much later but was unambiguously documented in the medical record as a result of treatment. If COD was unclear, the case was discussed between investigators and classified by consensus. FL transformation status was usually confirmed by biopsy; however, in some cases, diagnosis of transformation was based on previously described clinical criteria.5,21

Event-free survival (EFS) was defined as the time since diagnosis until progression, relapse, retreatment, or death as a result of any cause. On the basis of our prior publication,<sup>17</sup> we defined early failure in patients treated with IC as EFS24 and for all other initial management strategies

(including observation) as EFS at 12 months (EFS12). OS was defined as the time since the date of diagnosis to the date of death (any cause) or last known follow-up for patients still alive. COD was first categorized into the five major groupings (lymphoma, treatment, unrelated cancer, other causes, or unknown) and subsequently collapsed into three groupings: lymphoma-related deaths, defined as lymphoma or treatment related; deaths unrelated to lymphoma, which included other malignancies and other causes; and unknown. All-cause OS was determined via Kaplan-Meier method. Cumulative incidence for the competing risks of COD and tests of equality for COD between groups were calculated using the cuminc function from the cmprsk package in R.<sup>22</sup> For early event analysis, time to death was defined as the time since the 12- or 24month time point for patients who achieved EFS12/EFS24 and time since the first event for patients who did not achieve EFS12/EFS24. For COD by transformation analysis, which was based only on the US cohort, time to death was defined using the time since the date of transformation in the set of patients with transformation. CODs in patients without transformation were analyzed using the time to death since diagnosis in all patients from diagnosis, with censoring at the date of transformation in the set of patients who experienced transformation before death. All analyses were performed using SAS (SAS/STAT User's Guide, Version 9.4; SAS Institute, Cary, NC) and R (version 3.2.3).

## RESULTS

## **Descriptive Characteristics**

Baseline characteristics, treatments, and summary outcome data are described for each cohort and the pooled cohort of 1,654 patients (Table 1). French and US cohorts were similar in age structure, sex distribution, Ann Arbor stage, and Follicular Lymphoma International Prognostic Index (FLIPI) score. IC was the most common initial therapy in the French cohort (67%), whereas in the US cohort IC (38%) and watch and wait (36%) were the most common initial therapies.

In the French cohort, after a median follow-up of 89 months for living patients, there were 113 deaths. In the US cohort, after a median follow-up of 84 months for living patients, there were 170 deaths. Ten-year OS was comparable in the French (79.8%) and US (76.6%) cohorts (Appendix Fig A1, online only), as was EFS24 (69.9% in each cohort; Table 1).

## Cumulative Incidence and Distribution of CODs

We were able to classify COD in 248 (88%) of 283 decedents. As shown in Figure 1, death as a result of lymphoma was the most common COD, with a cumulative incidence of 10.3% at 10 years (95% CI, 8.6% to 12.2%), followed by TRM (3.0%; 95% CI, 2.2% to 4.1%), other malignancy (2.9%; 95% CI, 2.0% to 4.2%), other causes (2.2%; 95% CI, 1.5% to 3.1%), and unknown cause (3.0%; 95% CI, 2.1% to 4.4%).

Sarkozy et al

TABLE 1. Characteristics of the French, US, and Pooled Coho	rts
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	Cohort		
Characteristic	French (n = 734)	US (n = 920)	Pooled (N=1,654)
Age at diagnosis, years			
Median	57	60	59
Range	(24.0-95.0)	(19.0-93.0)	(19.0-95.0)
Sex			
Female	359 (48.9)	438 (47.6)	797 (48.2)
Male	375 (51.1)	482 (52.4)	857 (51.8)
Stage			
-	178 (25.5)	287 (31.8)	465 (29.1)
III-IV	520 (74.5)	615 (68.2)	1135 (70.9)
Missing	36	18	54
FLIPI			
Low (0-1)	206 (28.9)	355 (39.2)	561 (34.7)
Intermediate (2)	289 (40.5)	309 (34.1)	598 (36.9)
High (3-5)	218 (30.6)	242 (26.7)	460 (28.4)
Missing	21	14	35
Initial treatment			
Immunochemotherapy	485 (66.9)	349 (38.0)	834 (50.7)
Watch and wait	132 (18.2)	326 (35.5)	458 (27.9)
R monotherapy	49 (6.8)	111 (12.1)	160 (9.7)
Other treatment	59 (8.1)	133 (14.5)	192 (11.7)
Missing	9	1	10
Death			
Yes	113 (15.4)	170 (18.5)	283 (17.1)
No	621 (84.6)	750 (81.5)	1371 (82.9)
EFS24			
Achieved	500 (69.9)	633 (69.9)	1133 (69.9)
Did not achieve	215 (30.1)	272 (30.1)	487 (30.1)
Missing	19	15	34

NOTE. Data are given as No. (%) unless otherwise indicated. Abbreviations: EFS24, event-free survival at 24 months; FLIPI, Follicular Lymphoma International Prognostic Index; R, rituximab.

> The cumulative incidence for each of these causes demonstrated a monotonic (essentially linear) increase over the first decade after diagnosis of FL. Table 2 summarizes the CODs. Among 248 decedents with a known COD, 57% were a result of lymphoma, 17% were treatment related, 13% were a result of other malignancies, and 13% were a result of other causes (provided in Appendix Table A1, online only). Whereas these distributions were broadly similar in the two cohorts, there was a higher percentage of deaths attributed to lymphoma in the French (65%) versus the US (50%) cohort, and a lower percentage of deaths attributed to other causes in the French (7%) versus the US (18%) cohort. However, outcomes were similar on the basis of the more valid comparison using cumulative incidence

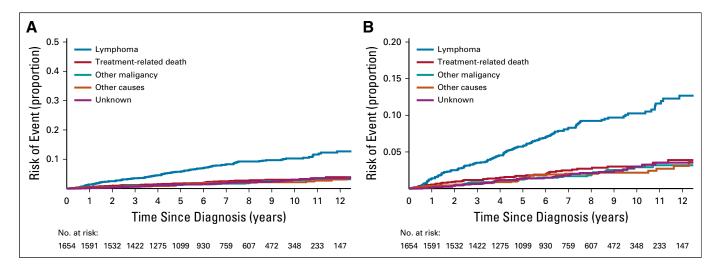
with competing risk of death; the 10-year cumulative incidence of death as a result of lymphoma was 14.2% (95% CI, 11.4% to 17.7%) in the French cohort and 12.5% (95% CI, 10.2% to 15.4%) in the US cohort, whereas the 10-year cumulative incidence of death as a result of other causes was 3.7% (95% CI, 2.3% to 6.1%) and 6.1% (95% CI, 4.4% to 8.4%), respectively.

Of the 140 deaths as a result of lymphoma, 77 (55%) occurred in patients whose FL had transformed at some point in the disease history. Of the 42 deaths as a result of TRM, 20 (48%) were because of infection, 12 (29%) because of MDS/AML, six (14%) because of cardiotoxicity, and four (9%) because of other treatment-related causes.

## COD Pattern by Demographic and Clinical Characteristics

We next modeled the cumulative incidence for each COD category for key subgroups defined on demographic and clinical characteristics. To increase interpretability, we grouped COD as lymphoma-related deaths (lymphoma or treatment related), deaths unrelated to lymphoma (other malignancies and other causes), and deaths as a result of unknown causes. We also estimated the 5- and 10-year cumulative incidence along with 95% CIs for lymphomarelated and non-lymphoma-related deaths by subgroup and conducted a formal statistical test for differences in cumulative incidence by subgroup (Table 3). There was no difference in the cumulative incidence of lymphomarelated (P = .62) or non–lymphoma-related (P = .20) deaths by sex (Appendix Fig A2, online only). As expected, the cumulative incidence of lymphoma-related (P < .001) and non–lymphoma-related (P < .001) deaths increased with age at diagnosis. Of note, in all age groups, the cumulative incidence in lymphoma-related deaths were higher than that of non-lymphoma-related deaths (Fig 2). For example, the 10-year cumulative incidence of lymphoma-related deaths was 9.4% in patients who were diagnosed at  $\geq$  60 years, 14.2% for patients diagnosed at age 61 to 70 years, and 25.4% for patients diagnosed at older than age 70 years. The respective 10-year cumulative incidence of non-lymphoma-related mortality was 1.5%, 5.8%, and 16.6%, respectively.

The cumulative incidence of lymphoma-related mortality increased more rapidly with time since diagnosis in patients with stage III to IV disease compared with stage I to II disease (Appendix Fig A3, online only), with a 10-year cumulative incidence of 15.5% for stage III to IV compared with 7.8% for stage I to II disease (P < .001). In contrast, there was no difference in the cumulative incidence of non–lymphoma-related deaths by stage (P = .58). Similarly, the cumulative incidence of lymphoma-related mortality increased more rapidly with a higher FLIPI score (Fig 3), with a 10-year cumulative incidence of 4.0% for FLIPI 0 to 1, 10.0% for FLIPI 2, and 27.4% for FLIPI 3 to 5 (P < .001), but there was no difference in the cumulative incidence of non–lymphoma-related deaths by FLIPI score (P = .15). Of



**FIG 1.** Cumulative incidence for the competing risks of cause of death in the pooled cohort. (A) Cumulative incidence by cause of death. (B) Cumulative incidence by cause of death with *y*-axis rescaled.

note, in patients with FLIPI 0 to 1 disease, the 10-year cumulative incidence of non–lymphoma-related deaths (3.7%) was similar to that of lymphoma-related deaths (4.0%).

## COD Pattern by Early Event and Transformation Status

Among patients who achieved EFS24, the subsequent cumulative incidences of lymphoma- and non–lymphomarelated mortality were similar, with a 10-year cumulative incidence since the EFS24 time point of 6.7% for lymphoma-related mortality and 5.7% for non–lymphomarelated mortality (Table 3). In contrast, for patients who did not achieve EFS24, the subsequent cumulative incidence of lymphoma-related mortality was greater than that of

TABLE 2.	Causes of	f Death
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		Cohort	
Cause	French (n = 113)	US (n = 170)	Pooled (N = 283)
Lymphoma	70 (65.4)	70 (49.6)	140 (56.5)
Transformed	42	35	77
Treatment related	17 (15.9)	25 (17.7)	42 (16.9)
MDS/AML	6	6	12
Therapy, infection	6	14	20
Therapy, cardiac	2	4	6
Therapy, other	3	1	4
Other cancer	13 (12.1)	20 (14.2)	33 (13.3)
Other causes*	7 (6.5)	26 (18.4)	33 (13.3)
Missing†	6	29	35

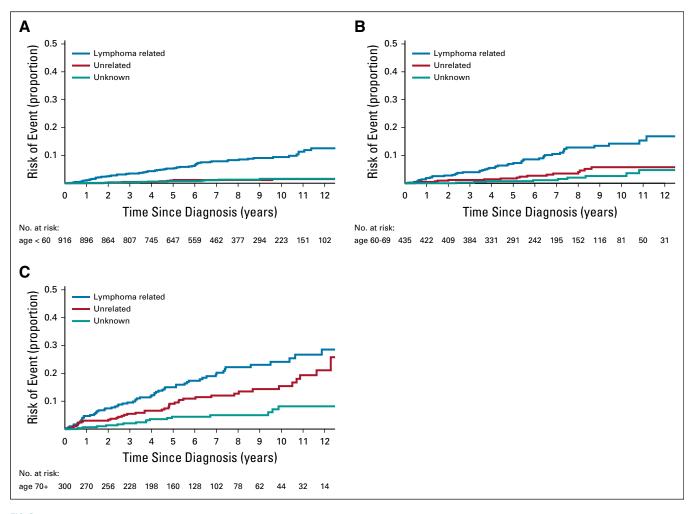
NOTE. Data are given as No. (%) unless otherwise indicated. Abbreviations: AML, acute myeloid leukemia; MDS, myelodysplastic syndrome.

\*Other causes listed in Appendix Table A1.

†Missing category is not included in the percentages.

non-lymphoma-related mortality, with a 10-year cumulative incidence from an event of 36.1% for lymphomarelated mortality and 7.0% for non-lymphoma-related mortality. The higher cumulative incidence of lymphomarelated (36.1% v 6.7%; P < .001) and non-lymphomarelated (5.7% v7.0%; P = .0052) mortality in patients who did not achieve EFS24 compared with patients who achieved EFS24 were both statistically significant. Stratifying the analysis to EFS24 for patients who were initially treated with IC and to EFS12 for patients who were initially treated with all other approaches (non-IC treated), the subsequent cumulative incidence of lymphoma- and non-lymphomarelated mortality were similar (Appendix Fig A4A, online only), with a 10-year cumulative incidence from the EFS24 (IC treated) or EFS12 (non-IC treated) time points of 8.8% and 8.2% for lymphoma-related mortality and 4.1% and 7.1%, respectively, for non-lymphoma-related mortality. In contrast, for patients who did not achieve EFS24 (for IC treated) or EFS12 (for non-IC treated), the subsequent cumulative incidence of lymphoma-related mortality was greater than that of non-lymphoma-related mortality (Appendix Fig A4B), with a 10-year cumulative incidence from an event of 52.4% and 24.0% for lymphoma-related mortality and 5.6% and 9.0% for non-lymphoma-related mortality, respectively. There were too few events in the non-IC-treated group to provide estimates by specific type of initial management-that is, observation versus rituximab monotherapy versus other.

For the US cohort, we had data on the transformation status for all patients. Among patients without transformation, the cumulative incidence of lymphoma- and non–lymphomarelated mortality were similar (Appendix Fig A5A, online only), with a 10-year cumulative incidence since diagnosis of 8.1% for lymphoma-related mortality and 6.2% for non–lymphoma-related mortality. In contrast, the subsequent cumulative incidence of lymphoma-related Sarkozy et al



**FIG 2.** Cumulative incidence for the competing risks of cause of death. (A) Cumulative incidence by cause of death for patients age  $\leq$  60 years. (B) Cumulative incidence by cause of death for patients age > 70 years.

mortality after transformation was greater than that of non–lymphoma-related mortality (Appendix Fig A5B), with a 10-year cumulative incidence since transformation of 45.9% for lymphoma-related mortality and 4.7% for non–lymphoma-related mortality.

## DISCUSSION

There are several clinically relevant conclusions from our pooled analysis of two cohort studies of patients with FL who were diagnosed and treated in the rituximab era. First, OS was approximately 80% at 10 years since diagnosis in both US and French cohorts, which is comparable to the long-term outcome of patients included in the SWOG<sup>12</sup> (ClinicalTrials.gov identifier: NCT000006721) or PRIMA (Clinicaltrials.gov identifier: NCT00140582) trials.<sup>13</sup> Second, lymphoma was the leading COD in the first decade since diagnosis, with a cumulative risk of mortality as a result of lymphoma of 10.3% at 10 years, which increased to 13.3% when combined with TRM. In contrast, a cumulative risk of mortality as a result of non–lymphoma-related causes was only 5.1% at 10 years. Third, lymphoma-related mortality was the major COD in all age

groups, even for patients older than age 70 years. Fourth, whereas FLIPI score was strongly associated with lymphomarelated mortality, it was not associated with non–lymphomarelated mortality. Fifth, the 10-year cumulative risk of lymphoma-related mortality in patients who did not achieve EFS24 was 36.1%. Finally, the cumulative risk of lymphomarelated mortality after transformation was 45.9%.

The strengths of the current study include the use of two independent cohorts, review and classification of all deaths, availability of clinical data, and use of a competing risk analysis to model cumulative incidence. The survival rate in these French and US reference centers were comparable to the OS reported in the literature,<sup>7,23</sup> which suggests minimal bias and good external validity. However, these cohorts were not population based and future work should evaluate these findings in this setting. A limitation of this real-world study is that management strategies (eg, surveillance, retreatment, and repeat biopsy) were not protocol driven but were at the discretion of the managing physician, and the effect of this on COD is unknown. We also did not have systematic prospective data collection on

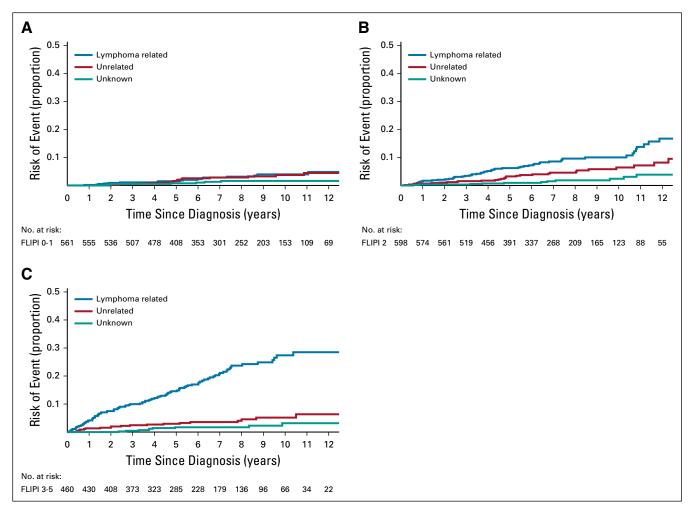


FIG 3. Cumulative incidence for the competing risks of cause of death according to Follicular Lymphoma International Prognostic Index (FLIPI) score. (A) Cumulative incidence by cause of death for patients with FLIPI score 0 to 1. (B) Cumulative incidence by cause of death for patients with FLIPI score 2. (C) Cumulative incidence by cause of death for patients with FLIPI score 3 to 5.

long-term toxicity, which could potentially underestimate TRM. Indeed, is it likely that not all deaths related to chronic toxicities (ie, pulmonary fibrosis from alkylators, or peripheral vascular disease from radiation) were captured. Other limitations include missing data for some deaths and a lack of racial or ethnic diversity. Finally, we only had data on the first decade of deaths in the rituximab era, and longer follow-up is needed to understand late deaths in this cancer with a long natural history.

Whereas recent reports have demonstrated an improvement in excess mortality rate among patients with FL since the introduction of immunotherapy in combination with chemotherapy,<sup>9,24</sup> the leading COD remains lymphoma. Indeed, our findings corroborate the long-term follow-up of the younger cohorts of patients with symptomatic disease included in the SWOG trial.<sup>12</sup> Mounier at al<sup>9</sup> have reported that the excess mortality rate was higher in the elderly population and in those with stage III to IV disease, which is in agreement with our findings that showed that stage was strongly associated with lymphoma-related death and that lymphoma

remained the principal COD in patients older than age 70 years. As expected and reported, <sup>25,26</sup> we also observed a more important rate of non-lymphoma-related death among patients  $\geq$  70 years of age. A recent<sup>24</sup> population-based study that included 961 patients with FL (with a short 55-month median follow-up) also reported that the higher standardized mortality ratio for FL (standardized mortality ratio, including all causes of death) was associated with FLIPI score and EFS12/ EFS24 failures. Of note, in the current study, women tended to have a higher standardized mortality ratio than men but a similar global OS in contrast to that reported in the National LymphoCare Study<sup>27</sup> and the Swedish Lymphoma Registry study<sup>28</sup>, both of which demonstrated lower lymphoma-related mortality for women.<sup>27,28</sup> In our study, the cumulative incidence of lymphoma-related deaths by sex were identical, whereas a nonsignificant trend toward a lower rate of nonlymphoma-related deaths was observed in women. Of note, of the 140 lymphoma deaths, 77 (55%) were related to transformation. Indeed, among patients without a transformation during their disease history, the cumulative incidence of death related to lymphoma is equivalent to that for deaths unrelated

FYear Estimate         95% CI         10% Estimate         95% CI         10% Estimate         95% CI         95% CI <th>eristic         5-Year Estimate         95% CI           0.075         0.062 to 0.089           0.075         0.062 to 0.089           0.068         0.053 to 0.008           0.068         0.053 to 0.008           0.069         0.053 to 0.008           0.069         0.063 to 0.103           ars         0.069         0.048 to 0.099           0.0         0.052         0.039 to 0.070           0.0         0.069         0.048 to 0.099           0.0         0.069         0.048 to 0.099           0.0         0.069         0.048 to 0.090           0.0         0.069         0.048 to 0.090           0.0         0.069         0.048 to 0.090           0.0         0.0160         0.120 to 0.212           0.0         0.0160         0.072 to 0.106           0.0         0.015         0.002 to 0.008           0.011         0.012         0.045 to 0.086           0.015         0.045 to 0.086         0.045 to 0.086           0.014         0.0162         0.0160         0.0160           0.030         0.031 to 0.045 to 0.045         0.045 to 0.045</th> <th>10-Year Fctimate</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>	eristic         5-Year Estimate         95% CI           0.075         0.062 to 0.089           0.075         0.062 to 0.089           0.068         0.053 to 0.008           0.068         0.053 to 0.008           0.069         0.053 to 0.008           0.069         0.063 to 0.103           ars         0.069         0.048 to 0.099           0.0         0.052         0.039 to 0.070           0.0         0.069         0.048 to 0.099           0.0         0.069         0.048 to 0.099           0.0         0.069         0.048 to 0.090           0.0         0.069         0.048 to 0.090           0.0         0.069         0.048 to 0.090           0.0         0.0160         0.120 to 0.212           0.0         0.0160         0.072 to 0.106           0.0         0.015         0.002 to 0.008           0.011         0.012         0.045 to 0.086           0.015         0.045 to 0.086         0.045 to 0.086           0.014         0.0162         0.0160         0.0160           0.030         0.031 to 0.045 to 0.045         0.045 to 0.045	10-Year Fctimate						
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0.052         0.039 b 0.070         0.094         0.014 b 0.029         0.005 b 0.026 b 0.026           0         0.066         0.048 b 0.029         0.142         0.106 b 0.026         0.005 b 0.036           0         0.160         0.120 b 0.212         0.254         0.198 b 0.327         0.005 b 0.139           1         2         2         2         2         0.016         0.005 b 0.039         0.005 b 0.039           1         2         2         2         0.039         0.024 b 0.026         0.012         0.020 b 0.041           1         0.039         0.024 b 0.026         0.0131 b 0.133         0.022 b 0.029         0.012 b 0.029           1         0.015         0.005 b 0.130         0.024 b 0.026         0.024 b 0.026         0.026 b 0.030           1         0.015         0.014 b 0.133         0.244 b 0.031         0.025 b 0.031         0.026 b 0.030           1         0.014         0.015 b 0.133         0.244 b 0.032         0.026 b 0.133         0.026 b 0.030           1         0.014         0.016 b 0.133         0.021 b 0.133         0.025 b 0.026         0.017 b 0.026           1         0.014         0.016 b 0.133         0.026 b 0.133         0.026 b 0.026         0.017 b 0.026	0.052           0.069           0.069           0.069           0.069           0.069           0.069           0.069           0.069           0.069           0.069           0.069           0.069           0.0160           0.039           0.039           0.039           0.039           0.015           mediate           0.062           0.0146           0.030           ved           0.030		100' >					< .001
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$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	0.160 0.039 0.873 0.873 0.873 0.873 0.873 0.873 0.039 (3.5) 0.146	0.142	0.106 to 0.190	0.017	0.008 to 0.036	0.058	0.035 to 0.096	
<ul> <li>&lt; .001</li> <li>0.024 to 0.062</li> <li>0.072 to 0.105</li> <li>0.023 to 0.115</li> <li>0.024 to 0.062</li> <li>0.027 to 0.016</li> <li>0.025 to 0.106</li> <li>0.029</li> <li>0.020 to 0.041</li> <li>0.020 to 0.041</li> <li>0.020 to 0.041</li> <li>0.021 to 0.042</li> <li>0.021 to 0.041</li> <li>0.021 to 0.042</li> <li>0.021 to 0.041</li> <li>0.041 to 0.041</li> <li>0.041</li></ul>	0.039 0.873 0.873 0.873 0.873 0.015 0.015 0.062 0.062 0.046 (3-5) 0.146	0.254	0.198 to 0.327	0.095	0.065 to 0.139	0.166	0.118 to 0.232	
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0.030     0.021 to 0.044     0.067     0.046 to 0.035     0.017 to 0.038       ve     0.248     0.210 to 0.292     0.361     0.312 to 0.419     0.060     0.042 to 0.087       v)              v)             v)             v)             v)             v)             v)             v)             v)             v)             v)             v)             v)             v)             v) </td <td>0.030</td> <td></td> <td>&lt; .00</td> <td></td> <td></td> <td></td> <td></td> <td>.0052</td>	0.030		< .00					.0052
we         0.248         0.210 tb 0.292         0.361         0.312 tb 0.419         0.060         0.042 tb 0.087           v)          <		0.067	0.046 to 0.097	0.025	0.017 to 0.038	0.057	0.038 to 0.084	
<ul> <li>&lt;.001</li> <li>&lt;.001</li> <li>0.040</li> <li>0.028 to 0.056</li> <li>0.081</li> <li>0.061 to 0.108</li> <li>0.035</li> <li>0.025 to 0.051</li> <li>0.025 to 0.051</li> </ul>	0.248	0.361	0.312 to 0.419	0.060	0.042 to 0.087	0.070	0.049 to 0.100	
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	0.040 ansformed	0.081	0.061 to 0.108	0.035	0.025 to 0.051	0.062	0.044 to 0.086	
	Transformed 0.399 0.307 to 0.517	0.459	0.358 to 0.589	0.025	0.006 to 0.101	0.047	0.015 to 0.154	

## Sarkozy et al

to lymphoma (4.0% v3.5% at 5 years and 8.1% v6.2% at 10 years for deaths related to lymphoma v deaths unrelated to lymphoma, respectively). This important notion that transformation in FL is the major cause of lymphoma-related death should provide a framework for future specific approaches.

In our series, 17% of deaths (n = 42) were attributed to treatment, and these were most commonly a result of infections (n = 20) and secondary MDS/AML (n = 12). Others have reported a significant incidence of fatal secondary myeloid neoplasia in patients who received radioimmunotherapy or a fludarabine-mitoxantrone-based regimen<sup>12</sup>; however, little is known regarding other long-term, treatment-related fatal complications, such as infections or cardiac deaths, especially in patients with indolent lymphomas. Finally, the risk of nonmyeloid neoplasia (and myeloid secondary neoplasia) in survivors of lymphoma is well known and seems to be higher in patients with FL than in those with diffuse large B-cell lymphoma.<sup>29</sup> Long-term outcome data of

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## EQUAL CONTRIBUTION

C.S. and M.J.M. contributed equally to this work. J.R.C. and G.S. jointly supervised this work.

#### **PRIOR PRESENTATION**

Presented at the 34th International Conference on Machine Learning, Sydney, NSW, Australia, August 6-11, 2017. Presented at the International Conference on Malignant Lymphoma, ICML, Lugano, Switzerland, June 14-17, 2017 clinical trials, registry data, and additional studies are needed to have a better estimate of the long-term fatalities directly or indirectly associated with specific treatments.

In conclusion, we provide a comprehensive description of the pattern of deaths observed in patients with FL in the rituximab era. Despite a favorable (80%) 10-year OS, lymphoma represents the leading COD in the first decade after diagnosis. This is particularly true for patients who present with a high FLIPI score, for those with transformed disease, and for those who did not achieve EFS12/EFS24. Deaths related to treatment seem to also be a significant burden and new, less-toxic treatment options need to be investigated. Given the adverse outcomes associated with early treatment failure (EFS24) and histologic transformation, efforts that focus on clinical or biologic models to identify at-risk patients and the development of new therapeutic approaches are an important challenge in FL.

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AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST AND DATA AVAILABLITY STATEMENT

Disclosures provided by the authors and data availability statement (if applicable) are available with this article at DOI https://doi.org/10.1200/JC0.18.00400.

AUTHOR CONTRIBUTIONS

**Conception and design:** Clémentine Sarkozy, Matthew J. Maurer, James R. Cerhan, Gilles A. Salles

Provision of study materials or patients: All authors

Collection and assembly of data: All authors

Data analysis and interpretation: Clémentine Sarkozy, Matthew J. Maurer, James R. Cerhan, Gilles A. Salles, Hervé Ghesquières, Emmanuel Bachy, Brian K. Link, Thomas M. Habermann Manuscript writing: All authors

Final approval of manuscript: All authors

Accountable for all aspects of the work: All authors

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#### AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

#### Cause of Death in Follicular Lymphoma in the First Decade of the Rituximab Era: A Pooled Analysis of French and US Cohorts

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# **APPENDIX**

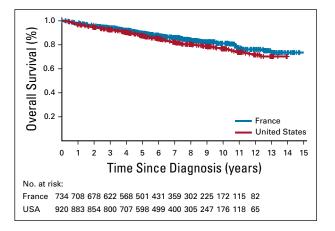


FIG A1. Overall survival since diagnosis.

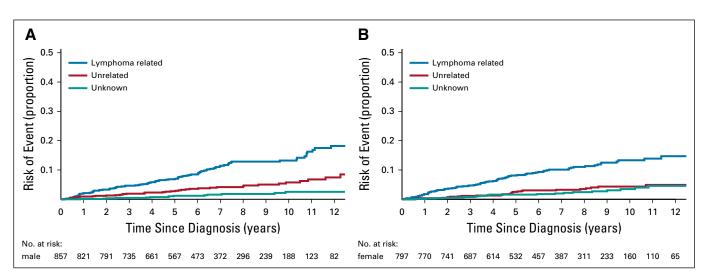


FIG A2. Cumulative incidence for the competing risks of cause of death according to sex. (A) Cumulative incidence by cause of death for male patients. (B) Cumulative incidence by cause of death for female patients.

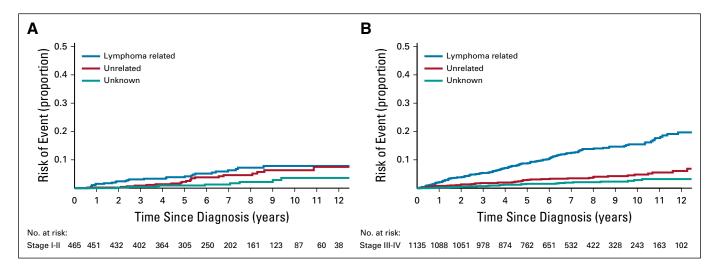


FIG A3. Cumulative incidence for the competing risks of cause of death according to disease stage. (A) Cumulative incidence by cause of death for patients with stage I and II disease. (B) Cumulative incidence by cause of death for patients with stage III and IV disease.

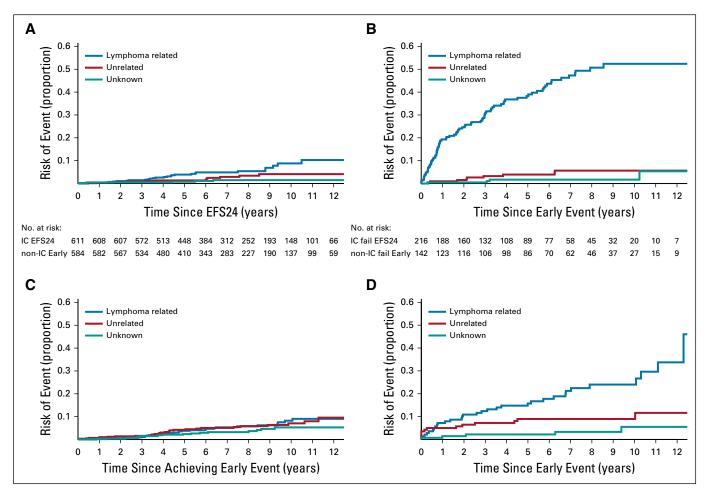


FIG A4. Cumulative incidence for the competing risks of cause of death. (A) Patients treated upfront with immunochemotherapy (IC) who achieved event-free survival within 24 months of diagnosis (EFS24). (B) Patients treated upfront with IC who did not achieve EFS24 diagnosis. (C) Patients not treated with up-front IC (non-IC treated) who achieved EFS12/early event achieve non-IC treated. (D) Patients not treated with up-front IC (non-IC treated) who did not achieve EFS12 (early event)/early event fail non-IC treated.

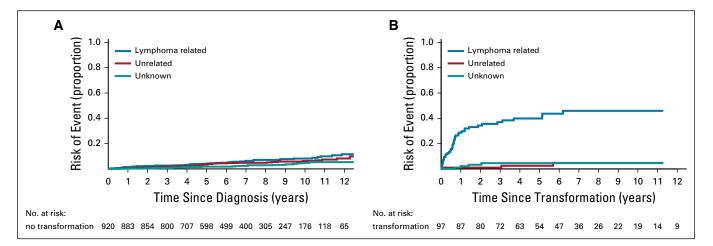


FIG A5. Cumulative incidence for the competing risks of cause of death. (A) US cohort patients without follicular lymphoma transformation/US cohort no transformation (B) US cohort patients with follicular lymphoma transformation/US cohort transformation.

CHLS	Cardiorespiratory arrest for food misuse (no lymphoma)	
CHLS	Sudden death (no lymphoma)	
CHLS	Cholecystisis (no lymphoma)	
CHLS	Cardiac decompensation (no lymphoma)	
CHLS	Denutrition (no lymphoma)	
CLB	CR at last follow-up, dead at age 92 years	
CLB	Epilepsia-vascular accident-stable disease	
SPORE	Peripheral vascular disease, unspecified	
SPORE	Parkinson disease	
SPORE	Necrotizing fasciitis	
SPORE	Sepsis	
SPORE	Atherosclerotic heart disease	
SPORE	Stroke	
SPORE	Acute myocardial infarction	
SPORE	Subarachnoid hemorrhage	
SPORE	Acute ischemia of the bowel	
SPORE	Acute myocardial infarction	
SPORE	Pneumonia	
SPORE	Acute respiratory distress syndrome	
SPORE	Diffuse lung injury	
SPORE	Pneumonia/sever sepsis	
SPORE	Congestive heart failure	
SPORE	Vascular disease	
SPORE	Pneumonia	
SPORE	Acute myocardial infarction	
SPORE	Ruptured aortic aneurysm	
SPORE	Occlusion and stenosis of precerebral arteries, not resulting in cerebral infarction	
SPORE	Natural causes, stated on death certificate	
SPORE	Acute cardiopulmonary arrest	
SPORE	Acute myocardial infarction	
SPORE	Other causes, not related to lymphoma, treatment, or cancer	
SPORE	Cardiac arrest	
SPORE	Other causes, not related to lymphoma, treatment, or cancer	

Abbreviations: CHLS, Centre Hospitalier Lyon Sud; CLB, Centre Léon Bérard; CR, complete response; SPORE, Specialized Program of Research Excellence.