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# **Role of miRNAs in Immune Responses and Immunotherapy in Cancer**

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# **Abstract**

In the past decade, the study of mechanisms of cancer immunity has seen a prominent boom, which paralleled the increased amount of research on the clinical efficacy of immune checkpoint blockade in several lethal types of cancers. This conspicuous effort has led to the development of successful immunotherapy treatment strategies, whose medical impact has been recognized by the awarding of 2018 Nobel Prize in Physiology or Medicine to the two pioneers of check point inhibitor research, Tasuku Honjo and James Allison. Despite these promising achievements, the differences in the clinical response rate in different cancer patients and the high risk of toxicity of immune-based therapies represent crucial challenges. More remarkably, the causes responsible for different outcome (success versus failure) in patients with tumor having same histotype and clinical characteristics remain mostly unknown. MicroRNAs (miRNAs), small regulatory noncoding RNA molecules representing the most studied component of the dark matter of the human genome, are involved in the regulation of many pathways of cancer and immune cells. Therefore, understanding the role of miRNAs in controlling cancer immunity is necessary, as it can contribute to reveal mechanisms that can be modulated to improve the success of immune-therapy in cancer patients. Here, we discuss the latest findings on immune pathways regulated by miRNAs in cancer, miRNA-mediated regulation of immune cells in the tumor microenvironment, and miRNAs as potential target for immunotherapies.

#### **Keywords**

microRNAs; checkpoint molecules; therapy; cancer

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# **Introduction**

In cancer immunity, two opposite outcomes can occur based on the characteristics of tumor microenvironment. At one side, tumor infiltrating immune cells (innate and adaptive immunity) can effectively control tumor progression and eventually eradicate tumor cells. On the other side, tumor cells can develop the ability to escape immunosurveillance and destruction through the process of immunoediting<sup>1,2</sup>. The discoveries by Drs. Tasuku Honjo and James Allison represent milestones in cancer immunity research. In 1992, Dr. Honjo was the first to identify programmed cell death-1 gene  $(PDCD1)^3$  and its importance to regulate immune responses for cancer treatment<sup>4</sup>. Dr. Allison was the first to determine the anti-tumor efficacy of cytotoxic T lymphocyte antigen-4 (CTLA-4) blockade for treatment of melanoma<sup>5</sup>. Consequently, there has been an increase of studies that aimed to develop more effective immune responses in many different cancer types. Following these important breakthrough, numerous immune checkpoint blockade-based therapies have been approved by Food and Drug Administration (FDA) for the treatment of a broad range of tumor types<sup>6</sup>. Specifically, multiple molecules, targeting receptors and ligands expressed on both tumor and immune cells in the tumor microenvironment (TME), have been approved by the FDA starting from 2001<sup>7</sup>. They including CTLA-4 as well as PD-1 receptor and its corresponding ligand (PDL-1). However, the success of these therapies is often reduced, as only a subset of patients usually experiences positive clinical response and many patients suffered from severe toxicity. Therefore, the identification of patients that would have a clinical benefit from immunotherapy is instrumental to reduce unnecessary severe adverse events in patients that do not respond to these therapies. In this regard, the characterization of the TME and immune cell infiltrates can help to identify which components, such as immune cells and soluble signaling factors, are either beneficial or deleterious to patients $8.9$ .

MiRNAs are small (∼ 21 nucleotides) endogenous non-coding RNA molecules involved in post-transcriptional regulation of gene expression. Briefly, gene expression modulation by miRNAs occurs via base pairing of the specific miRNA primary sequence to its corresponding target messenger RNA (mRNA), which can be located either in the 3' untranslated region (3'UTR) or within the coding sequence. This pairing can lead to either translational repression or cleavage of the mRNA, resulting in the reduced levels of the target protein<sup>10,11</sup>.

Aberrant expression of miRNAs is often found in cancer<sup>12</sup>, resulting in dysregulation of expression of genes that control biology of tumor cells<sup>13</sup>. Since 2011, when hallmarks of cancer were described<sup>14</sup> and miRNAs were included as ubiquitous players in the regulation of all cancer hallmarks<sup>15</sup>, there has been increasing interest in targeting miRNAs as cancer therapy<sup>16</sup>.

Despite a relevant number of studies, there are no currently approved miRNA-based therapies for cancer treatment. Indeed, the use of miR-34a, tested as therapeutic molecule in a miR-34a restoration clinical trial (MRX34), faced a premature termination due to immune related severe adverse events [\(clinicaltrials.gov](http://clinicaltrials.gov): NCT01829971)<sup>17</sup>. Despite this initial failure, the RNA-based therapeutics has recently seen a revival  $18-20$ . A phase 3 clinical trial

using Patisiran, the first RNAi drug approved by the FDA, that specifically inhibits hepatic synthesis of transthyretin in patients with hereditary transthyretin amyloidosis, has been successfully completed<sup>21</sup>. Moreover, additional clinical trials are undergoing, such as phase 1 study of cobomarsen (MRG-106), evaluating the use a locked nucleic acid (LNA)-based oligonucleotide inhibitor of miR-155 for hematopoietic malignancies including cutaneous Tcell lymphoma (CTCL), mycosis fungoides (MF), chronic lymphocytic leukemia (CLL), diffuse large B-cell lymphoma (DLBCL), and adult T-cell leukemia/lymphoma (ATLL) (ASCO 2018; [clinicaltrials.gov:](http://clinicaltrials.gov) NCT02580552).

Recently, there has also been increasing interest in elucidating the role of miRNAs in the regulation of anti-cancer immune responses and how this could impact the efficacy of different cancer therapeutics<sup>22</sup>. Indeed, immune responses have both pro- and antioncogenic effects14 and functional interactions between immune and cancer cells in TME are very important in determining the course of cancer progression. MiRNAs are involved in mediating and controlling several immune and cancer cell interactions and also important mechanisms of immune responses $^{23}$ . Therefore, it is crucial to carefully assess the specific roles of miRNAs in the TME for the development of effective and safe miRNA-based anticancer treatment strategies.

In this review, we discuss the involvement of miRNAs in the regulation of immune cells and potential therapeutic approaches using miRNAs for cancer immunotherapy.

# **Immune cell pathways regulated by miRNAs in cancer**

#### **Monocytes and macrophages**

MiRNAs regulate differentiation, activation, and effector functions of cells of innate and adaptive immunity, which have important effects on cancer progression. As an example, in innate immunity, miR-17–5p, miR-20a, and miR-106a regulate monocyte differentiation and maturation by targeting the expression of the transcription factor acute myeloid leukaemia-1 (AML1). Particularly, these three miRNAs are downregulated during monocytopoiesis, resulting in increased levels of AML1, which in turns promotes the transcription of M-CSF receptor (central regulator of monocytic–macrophage differentiation and maturation) and inhibits the expression of miRNA  $17-5p-20a-106a^{24}$ . A comprehensive transcriptional analysis evaluated the association between the expression miRNAs and their transcription factors (TFs) during monocytic differentiation. Several TFs were found to control the expression of miR-21, miR-155, miR-424, and miR-17–92, which regulate monocytic differentiation by controlling MAPK, TGF- $\beta$ , and JAK-STAT signaling pathway<sup>25</sup>.

MiRNAs can control also macrophage activation and polarization (M1 and M2). M1 and M2 macrophages have opposite effect on regulating cancer immunity. Particularly, M1 are classically activated by Toll-like receptor (TLR) ligands and IFN-γ, secrete proinflammatory cytokines (e.g.: IL-6, IL-12, IL-23, TNF-α), and are involved in T helper 1 (Th1)-mediated immune responses, which control tumor growth or induce tumor elimination. On the other side, M2 macrophages are alternatively activated by IL-4 and IL-13, secrete IL-10 and TGF-β, and mediate anti-inflammatory and pro-tumorigenic responses26. MiR-146a and miR-21 attenuate classical macrophage activation (M1

polarization) by targeting the key adaptor molecules in the TLR/NF-κB pathway. MiR-146a targets IL1R-associated kinase 1 (IRAK1) and TNF receptor-associated factor 6 (TRAF6), and miR-21 targets programmed cell death protein 4 (PDCD4). Downregulation of IRAK1 and TRAF6 leads to reduced TLR signaling and attenuated pro-inflammatory cytokine responses controlled by NF-κB. On the other side, miR-155 can promote classical macrophage activation by targeting suppressor of cytokine signaling 1 (SOCS1), which inhibits proinflammatory response, and IL-13RA1, which promotes alternative M2 macrophage polarization. Furthermore, miR-125a/b can increase NF-κB stability by targeting the negative regulator of NF-κB, TNF-α-induced protein 3 (TNFAIP3), promoting NF- $\kappa$ B signaling and M1 response<sup>27,28</sup> (Table 1).

#### **Natural killer cells**

Another important cellular component of the innate immunity includes natural killer (NK) cells, which play critical role in the immune responses to cancer by tumor cell killing and releasing of immunostimulatory cytokines like IFN-γ. Several miRNAs are involved in the regulation of maturation process and effector functions<sup>29</sup>. The maturation of NK cells is regulated by miR-150. Indeed, in  $mR-150^{-/-}$  mice, immature NK cells accumulate in the bone marrow and peripheral organs due to the direct regulation of c-Myb, a miR-150 target, and consequently the downstream molecules c-Myc and Bcl-230. MiR-181 is also involved in the regulation of NK cell development from CD34<sup>+</sup> hematopoietic progenitor cells by targeting nemo‐like kinase (NLK), which works an inhibitor of Notch signaling, an important pathway for the development of NK cells<sup>31</sup>. MiR-155 also regulates effector functions of NK cells. Particularly, high levels of miR-155 enhance the synthesis of IFN-γ by activated NK cells by targeting hematopoietic cell specific 5′ inositol phosphatase (SHIP-1), a potent-negative regulator of NK-cell effector functions<sup>32</sup>. The secretion of IFN- $\gamma$  is also regulated by miR-29, which is downregulated after NK cell activation, by directly targeting the  $3'$ -UTR of IFN- $\gamma^{33}$ . Another important effector function of NK cells is the ability to kill tumor cells (cytotoxic activity) by the release of pore-forming protein perforin (Prf1) and granzyme B (GzmB), which induces pores on plasma membrane and apoptosis of tumor cells, respectively. NK cell cytotoxicity is regulated by miR-30e through targeting perforin; miR-378 through targeting granzyme B; and miR-27a-5p, which induces the downregulation of both perforin and granzyme B<sup>34,35</sup>.

#### **T helper cells**

MiRNAs can also regulate adaptive immunity by controlling the differentiation and functions of different T-cell types. MiR-155 can regulate the polarization of CD4+ T cells (Th1 vs Th2). The knockdown of miR-155 promotes the differentiation of CD4+ T cell towards Th2 phenotype by increasing the levels of its target c-Maf, a potent transactivator of the IL-4 promoter, resulting in enhanced production of Th2 cytokines IL-4, IL-5, and IL-1036. The miR-17–92 cluster (miR-17, miR-18a, miR-19a, miR-20a, miR-19b-1, and miR-92a) is overexpressed in Th1 cells<sup>37</sup> and miR-17 and miR-19b control Th1 responses by promoting proliferation, reducing activation-induced cell death, enhancing IFN-γ production, and suppressing regulatory T-cell (Treg) differentiation. MiR-17 and miR-19b exert their regulatory function by targeting TGFβRII and CREB1 and PTEN, respectively<sup>38</sup>.

T follicular helper cells (TFH cells) are required for effective humoral immune responses by regulating growth, differentiation, immunoglobulin isotype switching, affinity maturation of B cells, and antibody secretion. TFH cell differentiation is regulated by miR-17–92 cluster by targeting PTEN and the transcription factor RORα, which prevents the expression of genes associated with other T helper subsets, such as Th17 or Th22 cells<sup>39</sup>.

T helper 17 cells (Th17), named in this way due to the production of IL-17, were found to be associated to tumor tissue and involved in tumor immunity<sup>40</sup>. Recently, it has been proposed that Th17 cells can promote anti-tumor immune responses, as they negatively correlate with Treg cells and facilitate the recruitment of effector IFN-γ secreting cells, cytotoxic CD8+ T and NK cells, in the same tumor microenvironment<sup>41</sup>. However, Th17 cells can also have pro-tumorigenic effect by inducing tumor vascularization<sup>42</sup> or IL-6 from tumor cells and tumor-associated stromal cells, which leads to STAT3-mediated upregulation of pro-survival and pro-angiogenic genes<sup>43</sup>. Th17 development is regulated by miRNAs, such as miR-326, which targets the transcription factor Ets-1, a negative regulator on Th17 differentiation<sup>44</sup>. Th17 cell differentiation is also regulated by miR-181c, which targets Smad7, a negative regulator of TGF-β signaling, resulting in increased TGF-β-induced Smad2/3 signaling followed by inhibition of IL-2 functions (Th17 cell differentiation inhibitor)<sup>45</sup>.

#### **Cytotoxic T cells**

Overexpression of miR-17–92 cluster in CD8+ T-cells enhances interferon IFN-γ production, cytotoxicity, and increases the frequency of cells with memory phenotype by the downregulation of  $TGF \beta RII^{46}$ . MiR-155 is upregulated by T cell receptor (TCR)-mediated activation and it regulates proliferation of CD8<sup>+</sup>T cells by targeting SOCS1<sup>47</sup> and the antiproliferative effect of type I IFN signaling<sup>48</sup>. MiR-21 and miR-30b also regulate CD8<sup>+</sup>T cell proliferation by targeting dual specificity phosphatase 10 (DUSP10) and B cell CLL/ lymphoma 6 (BCL-6), respectively<sup>49</sup>. On the other side, miR-146a, which is upregulated by TCR-mediated activation, represses NF-κB signaling by targeting TRAF6 and IRAK150. As we reported above for NK cells, miR-29 can also target mRNA coding for IFN-γ in CD8+T cells, reducing their effector functions<sup>33</sup>.

#### **T regulatory cells**

MiR-155 play an important role also in the regulation Treg cell development and functions. The transcription factor Foxp3, a pivotal lineage specific regulator of Treg cells, binds to the promoter region of the gene BIC, which hosts miR-155 within its sequence<sup>51</sup>. Deficiency of miR-155 impairs the development of Treg cells resulting in reduced number of thymic and splenic Treg cells<sup>52</sup>. Furthermore, miR-155 targets the suppressor of cytokine signaling 1 (SOCS1), a negative regulator of IL-2R signaling. Therefore, low levels of miR-155 results in increased levels of SOCS1, determining reduced STAT5 signaling downstream of the IL-2R and accordingly reduced Foxp3 synthesis and diminished proliferative potential<sup>53</sup>. MiR-15a and miR-16 are expressed at low levels in Treg cells and can target Foxp3 and CTLA4. Their overexpression results in reduced levels of both Foxp3 and CTLA4, resulting in partial reduction of Treg cell-mediated suppression<sup>54</sup>. MiR-146a is highly expressed in Treg cells and targets STAT1, a key transcription factor necessary for the differentiation of

Th1 effector cells. This prevents acquisition of Th1-like properties by Treg cells and enhances effective suppressor function of Treg cells<sup>55</sup>.

#### **Immune checkpoint molecules**

Immune checkpoint molecules are pivotal players in regulating immune responses. MiRNAs regulate the expression of either PD-L1, such as miR-34a-5 $p^{56}$ , miR-138–5 $p^{57}$ , miR-200<sup>58</sup>, miR-424<sup>59</sup>, and miR-513<sup>60</sup> or PD-1, such as miR-138–5 $p^{61,62}$  (Table 1). Therefore, expression levels of these miRNAs can affect the engagement between PD-1 receptor and PD-L1 ligand and accordingly modulate T-cell functions<sup>63</sup>. MiR-138–5p is also implicated in the regulation of another immune checkpoint molecule, the CTLA- $4^{62}$ , whose engagement with its receptors (either CD80 or CD86) on antigen presenting cell (APC), such as dendritic cells and macrophages, determines the suppression of effector T-cell activity, whereas on the other hand promoting Treg cells<sup>64</sup>.

Recently, it has been discovered that highly repetitive primate specific genomic sequences, called pyknons, are transcribed and can harbor binding sites for miRNAs, consequently working as molecular decoy to buffer the levels of target miRNAs, including immune-related miRNAs. Particularly, a specific pyknon transcript, pyknon-90, which is contained inside the primate-specific long non-coding RNA N-BLR and overexpressed in colon cancer, harbors the binding site of miR-200 within its sequence and accordingly can determine the reduction of cellular levels of members of miR-200's family<sup>65</sup>. Because miR-200 can target PD-L1<sup>58</sup>, N-BLR has the potential to represent a novel and additional level of regulation of immune check point molecules. Future miRNA-based drugs targeting PD-1/PD-L1 checkpoint can represent a powerful tool to develop more effective anti-tumor immunotherapies. Furthermore, these miRNAs targeting check point molecules can be used as biomarkers to predict the efficacy of PD-1/PD-L1 antibody treatment.

In summary, miRNAs play an important role in regulating several immune cell pathways, controlling differentiation and effector functions of different subsets of immune cells, such as monocytes, macrophages, NK cells, T helper cells, CD8+ T cells, and Treg cells, which are involved in cancer immunity.

# **MiRNA-mediated regulation of immune cells in the tumor microenvironment**

TME presents as an underlying challenge to the efficacy of cancer immunotherapy. A myriad of factors within the TME contribute to an immune-exclusive phenotype including the abundance of immune-regulatory cells, such as myeloid derived suppressor cells (MDSCs), Treg cells, and tumor-associated macrophages (TAMs), via the production of various cytokines, chemokines, and metabolites<sup>66</sup>. As a result, anti-tumor immunity is blunted due to the inability of tumor infiltrating immune cells, such as cytotoxic T cells and NK cells, from making physical cell-to-cell contact with tumor cells. MiRNAs have been strongly implicated in driving the development and progression of cancer<sup>67</sup>, making them a likely player in determining the immune contexture of tumor microenvironment. Indeed, recent studies provide evidence of the role that miRNAs play in modulating the tumor immune response by regulating the recruitment, activation, and effector functions of different immune cell types in the TME.

The polarization of TAMs in the TME from a pro-immune M1 phenotype to an immuneregulatory M2 phenotype can be a result of aberrant miRNA regulation in cancer. As discussed above, certain miRNAs responsible for homeostatic regulation of macrophage polarization are differentially expressed in M1 and M2 macrophages. The TME is also rich in various molecules such as TGFβ, vascular endothelial growth factor (VEGF), IL-4, IL-13, or IL-10, and prostaglandin  $E_2$  (PGE<sub>2</sub>) that promote M2 polarization in addition to other pleiotropic immune-suppressive effects. Some miRNAs are able to prevent M2 polarization by such molecules, making those miRNAs translationally significant. For example, LPS and IFN-γ stimulation (M1 polarization stimuli), can increase miR-21 expression, which is able to prevent  $PGE_2$ -mediated M2 generation by targeting the STAT3 gene<sup>68</sup>. M1-type stimulation can downregulate the expression of miR-23a/27a/24–2 cluster through the binding of NF-κB to this cluster's promoter; whereas IL-4 (M2 polarization stimulus) can activate the expression of this cluster through STAT6 singling  $^{69}$  (Table 1).

Trafficking and homing of immune cells to the TME and into the tumor bed is essential for effective cancer immunotherapy. MiRNAs regulate chemokines responsible for recruitment and infiltration of lymphocytes into the TME. For example, miR-21, which we previously discussed in the context of preventing M2 polarization, also regulates immune cell recruitment. Inhibition of miR-21 resulted in enhanced release of chemokines RANTES (CCL5) and IP-10 (CXCL10) in the breast cancer cell line MCF-7 and accordingly increased lymphocyte migration<sup>70</sup> (Figure 1). Like M2 TAMs, the recruitment of MDSCs and Treg cells, which are challenging cells for cancer immunotherapy strategies, can be linked to dysregulation of specific miRNAs in the TME. For example, in a hepatocellular carcinoma model, higher levels of TGFβ suppressed the expression of miR-34a, which increased production of chemokine CCL2, responsible for recruiting Treg cells to the  $TME^{71}$  (Figure 1). Given the pleiotropic roles TGFβ plays in cancer, the regulation of TGFβ signaling is also a unique target of interest. It was recently shown that miR-130a and miR-145 target TGFβ beta receptor II and both miRNAs are downregulated in Gr-1+CD11b+ myeloid cells<sup>72</sup> (Table 1). Ectopic expression of miR-130a and miR-145 as well as introduction of mimics benefitted anti-tumor immunity, including reduction of myeloid cells and increase in IFN- $\gamma$  CD8<sup>+</sup> T cells, and limited tumor metastasis<sup>72</sup>. Given the positive and negative roles miRNAs play in manipulating the TME, further studies on regulation of miRNAs can lead to novel strategies that will synergize with cancer immunotherapy.

Another important aspect to be considered in the regulatory role of miRNAs in the TME is that they can exert their regulatory function also beyond the cells of origin. Indeed, in the TME, miRNAs can be transported inside extracellular vesicles (EVs) and delivered to recipient cells, regulating their biological functions<sup>9</sup>. This miRNA-mediated cell-to-cell communication represents an active crosstalk involving different cellular components of TME, which include cancer cells, mesenchymal stromal cells (MCS), cancer associated fibroblasts (CAFs), endothelial cells, and immune cells. This intercellular communication is particular important as many miRNAs regulating immune cells are shuttled by exosomes<sup>73</sup>. In a mouse model of lung cancer, tumor cells can release EVs carrying miR-21 and miR-29, which are delivered to macrophages in the tumor tissue and bind to TLR8 and TLR7, resulting in the activation of the NF-κB pathway (inflammatory response mediated by TNF- $\alpha$  and IL-6)<sup>74</sup> (Figure 1). EV miR-212-3p from pancreatic tumor cells (PANC-1) affects

immune functions of dendritic cells, inducing immune tolerance75 (Figure 1). EV-mediated delivery of miR-203 from PANC-1 to dendritic cells induces the downregulation of TLR4, TNF- $\alpha$ , and IL-12 levels, resulting in the impairment of the immune response activation<sup>76</sup> (Figure 1). MiR-24 released from nasopharyngeal cancer impairs T cell proliferation, their differentiation into Th1 and Th17 cells, and promote the generation of Treg (CD4+CD25high Foxp3+) cells by increasing the levels of pERK, pSTAT1, pSTAT3, and decreasing the levels of pSTAT5<sup>77</sup> (Figure 1). Tumor EV miR-214 targets PTEN in CD4<sup>+</sup> T cells, which promotes the expansion of Treg cells and enhanced immune suppression<sup>78</sup> (Figure 1). Treg cells can exert their suppressor function also via EVs. Indeed, let-7d released inside EVs by Treg cells suppresses Th1 cell proliferation and cytokine secretion<sup>79</sup> (Figure 1). Tumor EV miRNAs can also regulate effector functions of NK cells. EVs derived from hypoxic tumor cells contain high levels of miR-210 and miR-23a, which impairs NK cell cytotoxicity against different tumor cells *in vitro* and *in vivo*<sup>80</sup>(Figure 1).

In summary, miRNAs play an important role in regulating the immune cell functions also in the TME.

# **MiRNAs as potential targets for immunotherapies**

Traditionally, miRNAs have been used as clinical biomarkers for cancer prognosis, diagnosis, and treatment response<sup>9,81</sup>. MiRNAs are powerful tumor suppressors and oncogenes with specific functions associated with cancer and have intrinsic ability to affect the efficacy of standard therapies. Moreover, as described above, miRNAs are also important regulators of immune cell functions and immune responses in the tumor microenvironment. With this in mind, targeting miRNAs with miRNA-based therapeutics for cancer has great potential to enhance immunotherapy along with current treatment modalities.

Several strategies are currently in preclinical studies to evaluate the role of miRNA as immunotherapeutic agents. These immunotherapeutic targets are subdivided into two types: miRNA mimics and antagonists. MiRNA mimics traditionally restore miRNAs that have tumor suppressor capabilities, whereas miRNA antagonists act similarly to inhibitors $82$ . Targeting miRNAs can induce multiple effects from increased tumor sensitivity to conventional treatment modalities to directly increasing immunogenicity of the tumor cells themselves.

Over the past decade, miRNA mimics have led the charge for immunotherapy targets. The first and only miRNA-based anti-cancer therapy that reached clinical trial involved the use of mimic of miR-34a, MRX34<sup>83,84</sup>. MiR-34a was also found to reduce PD-L1 expression in acute myeloid leukemia (AML) by targeting PD-L1 mRNA56. It was shown that miR-34a mimic can also regulate the subtests of immune cells infiltrating tumor tissue. Particularly, it is was demonstrated that in vivo administration of MRX34, besides inducing decreased expression of PD-L1, can also increase tumor infiltration of CD8+ T cells and decrease  $CD8+PD1+T$  cells in a mouse model of NSCLC<sup>85</sup>. Additionally, combination of MRX34 and radiotherapy (XRT) further enhances  $CD8<sup>+</sup>$  T cell infiltration, and reduces both the numbers of radiation-induced macrophages and Treg cells<sup>85</sup>. These results showed the enhanced efficacy of miR-34a mimic to modulate anti-tumor immune responses and control

tumor growth in combination with XRT. The ability of miR-34a to potently control immune responses is proved by occurrence of five immune related serious adverse events, which determined the early termination of the phase I clinical trial (on September 2016). Further in depth studies on toxicity and immune related adverse events were conducted in patients with advanced solid tumor. The use of dexamethasone as premedication was found to moderate adverse events and be associated with acceptable safety<sup>17</sup>.

Additional new potential targets for miRNA mimic therapy are currently being investigated. MiR-124 has the ability to target STAT3, a key pathway regulating immunosuppression in the tumor microenvironment, and administration of miR-124 mimic can increase proimmunogenic cytokines, such as IFN-γ, TNF-α, and IL-2 in glioma microenvironment, resulting in a potent anti-glioma therapeutic effect<sup>86</sup>. Similarly, miR-424 acts as suppressor of PD-L1 and CD80 expression. In vivo studies, disruption of PD-L1/PD-1 and CD80/ CTLA-4 immune checkpoint signaling and reversion of chemoresistance mediated by restoration of miR-424 result in a synergistic effect, which induces proliferation of functional cytotoxic CD8+T cells, the inhibition of myeloid-derived suppressive cells and regulatory T cells, and increases survival in a mouse model of ovarian carcinoma<sup>59</sup>.

As we discussed above, miR-138 regulates CTLA-4 and PD-1 expression on CD4+T cells (Table 1), which results in downregulation of Foxp3 expression. In vivo administration of miR-138 mimic induces significant downregulation of CTLA-4, PD-1, and Foxp3 on tumor infiltrating CD4+T cells in a mouse model of glioma, resulting in significant reduction of infiltrating Treg cells<sup>62</sup>.

Given these preliminary studies, further investigation into several miRNA mimics are warranted, especially with other treatment modalities such as checkpoint inhibitors.

MiRNA inhibitors, as mentioned above, are conventional targets for miRNAs that possess oncogenic potential. These inhibitors have reached clinical trials, with the use of Cobomarsen (MRG105) as anti-miR-155 agent (Figure 1). The inhibition of miR-155 by systemic administration of complementary anti-miR sequences blocks tumor growth and dissemination *in vivo*<sup>87</sup>. However, the inhibition of miR-155 by systemic administration may also induce various effects related to immune cell activity, as genetically-induced deficiency of miR-155 in the immune system cells attenuates their functions<sup>88</sup>. On the other side, the possible negative effects on immune responses induced by systemic administration of miR-155 inhibitor can be circumvented by the overexpression of miR-155 in tumor-specific  $CD8<sup>+</sup>$  T cells, which resulted in increased efficacy of T-cell–based adoptive therapies<sup>89</sup>. As another example, miR-23a has been shown to decrease cytotoxicity of effector CD8<sup>+</sup> cytotoxic T lymphocytes (CTLs) by targeting the transcription factor BLIMP-1, which regulates effector cell differentiation and their cytotoxic ability. TGF-β released by tumor cells induces the increase of miR-23a levels. Adoptive transfer of CTL cells treated to inhibit miR-23a robustly retards tumor progression in a mouse model of melanoma<sup>90</sup>. These two examples show that the inhibition of miRNAs that dampen effector functions of immune cells results in a more robust and effective anti-tumor immune responses.

MiRNAs have also been deployed to modulate functional characteristics of chimeric antigen receptor (CAR) T cells in order to increase their efficacy in adoptive cell therapy. Since 1989, when CAR technology was initially established $91$  and later when anti-CD19 CAR T cell therapy was demonstrated to be effective for the treatment of lymphomas and leukemias $92,93$ , there has been an exponential growth in the research on CAR T cell therapy and discovery of new tumor cell surface targets. This has led to over 100 ongoing clinical trials targeting over 25 different surface molecules in almost every human tissue $94$ . It was recently discovered that CAR T cells specific for epidermal growth factor receptor variant III (EGFRvIII) for the treatment of glioblastoma (GBM) had improved survival and therapeutic efficacy when co-transduced with miR-17–92 and in combination with temozolomide  $(TMZ)^{95}$ . This may result from the targeting of TGFβRII and PTEN by miR-17 and miR-19b, respectively, which may increase effector ability. Another example is represented by increased cytotoxicity of CAR T cells specific for HER2 (HER2-CAR T) mediated by the transfection with miR-143 mimic. Overexpression of miR-143 promotes the differentiation of T cells to fully functional memory T cells, decreases apoptosis, and increases T cell cytotoxicity by targeting Glut-1, a regulator of glycolytic metabolism<sup>96</sup>.

An alternative weapon for anti-tumor immunity strategies is represented by NK cells, which are an important subset of immune cells of the innate immunity. Particularly, they are endowed with highly cytotoxic immune effector function and have potent anti-tumor activity. Furthermore, NK cells can be engineered to generate CAR-NK cells which acquired enhanced specific and effective anti-tumor activity<sup>97</sup>. Therapeutic manipulation of CAR-NK cells with miRNAs or anti-miRNAs will be of interest and we anticipate the development of such approaches in the near future.

# **Challenges in miRNA-based therapy**

Although it showed great potential, it is surprising to find miRNA-targeted immunotherapeutics have not achieved success in clinical practice as a cancer therapy, yet.

As discussed above, MRX34 proved to have beneficial anti-cancer therapeutic effect in preclinical animal model of lung cancer $85,98$ . However, during the trial, several patients developed severe immune-related toxicities, as miR-34a can also regulate immune cell functions85,99,100. A second phase I clinical trial using MRX34 showed that premedication with dexamethasone, a potent anti-inflammatory agent, was required to manage infusionrelated adverse events<sup>17</sup>. Furthermore, it should be also considered the indirect effect of miRNA-based treatment on immune responses. Indeed, it was reported that therapeutic RNA interference (RNAi) molecules have intrinsic immunostimulatory capacity and can activate innate immune response by the activation of TLR eliciting a nonspecific therapeutic effects74. This may increase the risk of misinterpreting the therapeutic effects of miRNAbased therapy or underestimating the possibility of severe immune overreaction<sup>101</sup>. This is a cautionary tale for miRNA therapeutics and particular attention is required for miRNAbased therapy in order to control the effect on immune cell functions, adverse events, and nonspecific results.

Various strategies for diminishing the adverse effects are envisioned and can include the use of combinatorial miRNA mimic and siRNA therapy<sup>102</sup> or combinatorial anti-miRNA and chemotherapy $87$ . These strategies can potentially be used to optimize the dosage for the combination of the single agents and thereby reducing the risk and the levels of treatmentrelated toxicity<sup>103</sup>.

Another important aspect than needs to be taken in consideration is the proper delivery methods. Several types of delivery systems have been investigated, which include synthetic nanoparticles (micelles, liposomes, polymers, nanotubes) $104$  and exosomes, which naturally carry and deliver non-coding RNA105,106. Both systems have pros and cons. The advantage of synthetic nanoparticles is represented by the fact that they can be quickly produced in large and controlled amount and are easily manipulated to increase efficient RNAi molecule loading and cell targeting. However, they have low transfection efficiency in vivo, thus multiple administrations may be required, increasing the risk of immunogenicity and toxicity<sup>107</sup>. On the other side, exosomes have little or no toxicity and immunogenicity compared with conventional nanocarriers, even after multiple administrations, as they are naturally produced; have increased efficiency in delivery cargo directly into the cytosol; and can be engineered to be loaded with RNAi molecules and express tag on their surface to specifically target tumor cells<sup>108</sup>. On the other hand, there are not currently available techniques to produce scalable amount, efficiently purify and load exosomes with RNAi molecules to clinical grade standards $109$ .

## **Conclusions**

Ultimately, the role of miRNA as therapeutics against cancer requires deeper study. The potential for several mimics and inhibitors, as seen in their preclinical evidence, is promising, and there is much more to be learned about miRNA involvement in cancer and immunotherapy. Given their potential, there is a strong case to continuing to explore miRNA and their capabilities as immunotherapeutics against cancer.

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# **Table 1.**

Examples of miRNAs involved in immune regulation in cancer.

