



Published in final edited form as:

Alzheimers Dement. 2019 February ; 15(2): 258–266. doi:10.1016/j.jalz.2018.08.007.

APOE genotypes as a risk factor for age-dependent accumulation of cerebrovascular disease in older adults

Melissa Lamar, Ph.D.^{1,2}, Lei Yu, Ph.D.^{1,3}, Leah H. Rubin, Ph.D, MPH.⁴, Bryan D. James, Ph.D.^{1,5}, Lisa L. Barnes, Ph.D.^{1,2,3}, Jose Marcelo Farfel, M.D, Ph.D.⁶, Chris Gaiteri, Ph.D.^{1,3}, Aron S. Buchman, M.D.^{1,3}, David A. Bennett, M.D.^{1,3}, and Julie A. Schneider, M.D.^{1,3,7}

¹Departments of Rush Alzheimer's Disease Center, 1750 W Harrison Street, Suite 1000

²Departments of Behavioral Sciences, 1645 W Jackson Blvd, Suite 400

³Departments of Neurological Sciences, 1653 W Congress Parkway, Rush University Medical Center, Chicago, IL, 60612, USA

⁴Department of Neurology, Johns Hopkins University School of Medicine, Baltimore, MD, 21205, USA

⁵Departments of Internal Medicine, 1653 W Congress Parkway, Rush University Medical Center, Chicago, IL, 60612, USA

⁶Department of Geriatrics, University of Sao Paulo Medical School, Sao Paulo, Brazil

⁷Department of Pathology, 1653 W Congress Parkway, Rush University Medical Center, Chicago, IL, 60612, USA

Abstract

INTRODUCTION: Apolipoprotein E (*APOE*) is a susceptibility gene for late-onset Alzheimer's disease neuropathology; less is known about the relationship between *APOE* and cerebrovascular disease (CVD) neuropathology.

METHODS: We investigated associations of *APOE* status with arteriolosclerosis, macro- and micro-infarcts, and atherosclerosis in 1,383 adults (65.9–108.2 years at death) with and without dementia. Excluding $\epsilon 2/\epsilon 4$ carriers, multivariable regressions for each CVD-related neuropathology compared $\epsilon 4$ and $\epsilon 2$ carriers to $\epsilon 3/\epsilon 3$ carriers adjusting for confounders including age and Alzheimer's neuropathology.

RESULTS: 342 individuals (24.7%;~87.7 years at death;39.9% non-demented) were $\epsilon 3/\epsilon 4$ or $\epsilon 4/\epsilon 4$, 180 (13.0%;~89.9 years at death;66.6% non-demented) were $\epsilon 2/\epsilon 3$ or $\epsilon 2/\epsilon 2$. $\epsilon 4$ carriers had higher odds of macroinfarcts (OR=1.41,95%CI:1.02–1.94,p=0.03) while $\epsilon 2$ carriers had higher

Corresponding Author: Melissa Lamar Ph.D., Associate Professor, Rush Alzheimer's Disease Center, Rush University Medical Center, 1750 W Harrison Street, Suite 1000, Chicago, IL, 60612. Phone: (312) 942-3365; melissa_lamar@rush.edu.

Publisher's Disclaimer: This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Conflicts

The authors have no conflicts of interest to report.

odds of moderate-to-severe arteriolosclerosis (OR=1.68,95%CI:1.15–2.45,p=0.006) compared to $\epsilon 3/\epsilon 3$ carriers. Age-stratified analyses suggested these relationships were driven by $\epsilon 4$ carriers <90 years at death and $\epsilon 2$ carriers ≥ 90 years at death, respectively.

DISCUSSION: *APOE* differentially affects type and timing of CVD-related neuropathology.

Keywords

APOE $\epsilon 4$ allele; *APOE* $\epsilon 2$ allele; cerebrovascular disease; neuropathology; oldest old

1. Background

Apolipoprotein E (*APOE*) is a susceptibility gene for late-onset Alzheimer's disease (AD) neuropathology. Most studies from our group [1, 2] and others [3–7] have shown that *APOE* $\epsilon 4$ positive status is specifically related to greater abundance of amyloid pathology including AD pathology and cerebral amyloid angiopathy (CAA). In contrast, while *APOE* $\epsilon 2$ carriers also show increases in CAA [6–9], they show less AD pathology when compared to $\epsilon 4$ carriers [4, 10]. Less is known about the role of *APOE* in non-amyloid cerebrovascular disease (CVD) neuropathology. This is despite the fact that increasing evidence suggests CVD-related neuropathology lowers the threshold for dementia and often coexists with AD-related neuropathology in individuals diagnosed with clinical AD at death (see [11] for review).

Existing post-mortem studies of *APOE* and non-amyloid CVD-related neuropathology have met with conflicting results. Our group has shown that *APOE* $\epsilon 4$ positive status is related to a relatively weak increase in the odds of gross infarcts in older persons [12]. Meanwhile, other studies have shown inconsistent results when investigating the relationship between $\epsilon 4$ and non-amyloid CVD neuropathologies of arteriolosclerosis, infarcts, lacunes, and/or atherosclerosis [4, 5, 10, 13–17]. Even less is known about *APOE* $\epsilon 2$ positive status and non-amyloid cerebrovascular disease; however, studies that do exist report null post-mortem findings [4, 10, 15]. This may be due, in part, to the small number of prospective clinical-pathologic studies that include pathologic data on non-amyloid CVD neuropathologies as well as the potentially limited inclusion of oldest old decedents.

In previous work investigating the relationship of *APOE* and the neuropathology of dementia in the oldest old, we showed that oldest old decedents have a higher prevalence of mixed AD-vascular pathology [18]. While studies of oldest old decedents report that $\epsilon 2$ is associated with the same degree [19, 20] – or less [10] – of senile plaques and tangles in post-mortem tissue as $\epsilon 4$ seen at younger ages of death, less is known about non-amyloid CVD neuropathology. Thus, the current study investigates the relationship of *APOE* $\epsilon 4$ as well as $\epsilon 2$ carrier status with additional CVD-related neuropathologies, i.e., arteriolosclerosis, microinfarcts, and intracranial atherosclerosis, and the role of age at death, i.e., ≥ 90 years at death, on these associations.

2. Methods

2.1 Participants

Described in detail elsewhere [21–23], subjects of this research were participants in prospective, community-based, clinical-pathologic cohort studies of aging, either ROS (1994–present), MAP (1997–present) or MARS (2004–present). The Institutional Review Board of Rush University Medical Center approved all studies and participants gave written informed consent for all aspects of the study in accordance with the Declaration of Helsinki. They also signed an anatomic gift act for donation of the brain at the time of death. All studies follow the same recruitment, biospecimen and data collection as well as clinical and neuropathological processing and analysis.

As previously outlined [24], dementia status was determined through a review of self-report questionnaires, neurological examination, cognitive testing and participant interview blind to post-mortem neuropathological data. For the purposes of this study, individuals were deemed to have ‘dementia at death’ (based on their last clinical visit prior to death) that included AD as well as other primary causes of dementia [25]. Mild cognitive impairment (MCI) was also determined if participants were impaired on one or more cognitive domain(s) but did not have a clinical diagnosis of dementia regardless of possible causes for cognitive impairment.

2.2 APOE genotyping

DNA was extracted from peripheral blood or frozen postmortem brain tissue. Genotyping was performed by Polymorphic DNA Technologies (Alameda, CA) by investigators blinded to all clinical and pathologic data. *APOE* alleles and genotypes were determined by sequencing rs429358 (codon 112) and rs7412 (codon 158) at exon 4 of the *APOE* gene [26]. *APOE* $\epsilon 4$ positive status was defined as possessing one or more copies of the $\epsilon 4$ allele, i.e. $\epsilon 3/\epsilon 4$ or $\epsilon 4/\epsilon 4$, while the following were considered negative for $\epsilon 4$, i.e., non-carriers of the *APOE* $\epsilon 4$ allele: $\epsilon 2/\epsilon 2$, $\epsilon 2/\epsilon 3$ or $\epsilon 3/\epsilon 3$. Separate group categorizations were used for the *APOE* $\epsilon 2$ positive group, i.e., participants with $\epsilon 2/\epsilon 2$ or $\epsilon 2/\epsilon 3$ haplotypes. Given that we wanted to assess the independent effects associated with the $\epsilon 4$ and $\epsilon 2$ alleles, we excluded participants with the $\epsilon 2/\epsilon 4$ haplotype from all analyses.

2.3 Neuropathology

Postmortem samples were obtained from 1,258 aged participants, with details of brain autopsy procedures described elsewhere [21, 22, 27]. Briefly, the post-mortem neuropathological evaluation included a uniform structured assessment with procedures that includes and extends those outlined by the pathologic dataset recommended by the National Alzheimer’s Disease Coordinating Center. Evaluations were performed blinded to clinical data and reviewed by a board-certified neuropathologist.

2.3.1 Outcomes of Interest—We used the term arteriolosclerosis to describe the histological changes commonly found in the small vessels of the brain in aging including intimal deterioration, smooth muscle degeneration, and fibrohyalinotic thickening of arterioles with consequent narrowing of the vascular lumen. We evaluated the vessels of the

anterior basal ganglia with a semi-quantitative grading system, i.e., 0 (none) to 7 (occluded) and compressed these levels into none (0), mild (1), moderate (2), and severe (3) as previously reported [12, 28, 29].

Gross infarct, i.e., macroinfarct examination documented age (acute/subacute/chronic), size, and location (side and region) of cerebral infarcts visible to the naked eye on fixed slabs. All grossly visualized and suspected infarcts were dissected for histologic confirmation [25, 27]. A minimum of nine regions in one hemisphere are examined on 6 μ m paraffin-embedded sections, stained with hematoxylin/eosin (six cortical regions: midfrontal, middle temporal, entorhinal, hippocampal, inferior parietal, and anterior cingulate cortices; two subcortical regions: anterior basal ganglia and thalamus; and midbrain) [12, 30]. For this study, we coded for none versus ≥ 1 macroinfarcts regardless of location.

Microinfarcts were defined as any chronic infarct seen by microscopic examination using hematoxylin and eosin-stained 6-micron sections that were not identified by gross inspection. They were investigated in at least nine regions including the mid-frontal, middle or superior temporal, anterior cingulate, inferior parietal, and entorhinal cortices, as well as within the hippocampus, anterior basal ganglia, anterior thalamus, and hemisection of the midbrain, including the substantia nigra. Microinfarcts ranged from cavitated to puckered to incomplete in appearance; however, all exhibited acellularity with varying degrees of gliosis and remaining macrophages [30, 31].

The degree of intracranial atherosclerosis of the circle of Willis vessels was recorded on a semi-quantitative scale, i.e., none (0), mild (1), moderate (2), and severe (3), as previously described [32].

2.3.2 Covariates—Global AD-related neuropathology, i.e., plaque and tangle burden, was evaluated using Bielschowsky silver staining to visualize neuritic plaques and neurofibrillary tangles in the mid-frontal, superior temporal, inferior parietal, and entorhinal cortices as well as the CA1/subiculum of the mid-hippocampus at 6mm [33]. CAA was quantified in mid-frontal, mid-temporal, parietal, and calcarine cortices [34]. For each region, meningeal and parenchymal vessels were assessed for amyloid deposition and scored from 0 (no deposition), 1 (scattered segmental but no circumferential deposition), 2 (circumferential deposition up to 10 vessels), 3 (circumferential deposition up to 75% of the total vessels of the region), to 4 (circumferential deposition on more than 75% of the total vessels of the region). The CAA variable used for the current analyses grouped participants by no/mild or moderate/severe regional average of the maximum of the meningeal and parenchymal CAA scores as follows: no (average=0), mild (average<1.5), moderate (1.5 average<2.5), and severe (average>2.5).

2.4 Statistical Analyses

Differences between groups (*APOE* $\epsilon 4$, $\epsilon 2$, and $\epsilon 3/\epsilon 3$ carriers) in demographic and clinical characteristics were examined using three group comparisons (ANOVA for continuous variables and Chi-square tests for categorical variables). To examine the role of *APOE* genotypes on the presence of neuropathologically derived levels of arteriolosclerosis, macro- and micro-infarcts, and intracranial atherosclerosis, we conducted a series of multivariable

logistic regressions for each CVD-related neuropathology comparing *APOE* $\epsilon 4$ and $\epsilon 2$ carriers to $\epsilon 3/\epsilon 3$ carriers after controlling for a number of a priori covariates. All p values are two sided and the statistical significance was set a $p < 0.05$. All analyses were performed using SAS, version 9.4 (SAS Institute, Inc., Cary, NC).

In the first series of models, we adjusted for demographic characteristics (age at death and sex). The second set of models controlled for demographic characteristics as well as other cerebral vessel disease variables of non-interest (e.g., arteriolosclerosis, macroinfarcts, and intracranial atherosclerosis were added to the microinfarct model; arteriolosclerosis, macroinfarcts, and microinfarcts were added to the intracranial atherosclerosis model, etc.). The third set of models further adjusted for global AD-related neuropathology and CAA given the fact that $\epsilon 4$ and $\epsilon 2$ are known to be related to both forms of neuropathology. The final set of models added baseline systolic blood pressure and change in systolic blood pressure over time given the role that hypertension may play in CVD burden [35] and its *APOE* associates [36]. Odds Ratios (ORs) and 95% Confidence Intervals (Cis) were calculated using maximum likelihood estimates from the logistic regression models.

Where indicated secondary to significant results, individual analyses were conducted to explore interactions between $\epsilon 4$ and $\epsilon 2$ carrier status with age at death. Thus, individual interaction terms, e.g., $\epsilon 4$ carrier status \times age and $\epsilon 2$ carrier status \times age, were included in final models found to be significant on neuropathological outcomes as outlined above. Given previous reports in the oldest old of mixed AD-vascular pathology [18] and differential levels of AD-related neuropathology based on *APOE* genotypes [10], we also grouped participants based on age at death less than or greater than 90 years and conducted stratified analyses to specifically address issues surrounding the oldest old.

3. Results

A total of 1,470 persons had available neuropathological data at the time of these analyses as well as *APOE* allele information and subsequent genotyping. Given that we wanted to assess the independent effects associated with $\epsilon 4$ and $\epsilon 2$, we excluded 87 participants (6.0%) with the $\epsilon 2/\epsilon 4$ haplotype from all analyses. Thus, a total of 1,383 individuals, 458 men and 925 women (96% white), with neuropathological data and relevant *APOE* allele information were included in this study (Table 1). Age at death (mean=88.8) ranged between 65.9 and 108.2 years. Participants were highly educated, averaging 16.2 years of education.

Of the 1,383 participants, 342 (24.7%) had *APOE* $\epsilon 4$ ($\epsilon 3/\epsilon 4$ or $\epsilon 4/\epsilon 4$), 180 (13.0%) had $\epsilon 2$ ($\epsilon 2/\epsilon 2$ or $\epsilon 2/\epsilon 3$), and 861 (62.2%) had $\epsilon 3/\epsilon 3$. Sample characteristic analyses compared the 342 $\epsilon 4$ carriers [i.e., $\epsilon 3/\epsilon 4$ ($n=317$) and $\epsilon 4/\epsilon 4$ ($n=25$)], the 180 *APOE* $\epsilon 2$ carriers [i.e., $\epsilon 2/\epsilon 2$ ($n=7$) or $\epsilon 2/\epsilon 3$ ($n=173$)], and the 861 $\epsilon 3/\epsilon 3$ carriers. Separate ANOVAs with follow-up least squares mean comparisons revealed that $\epsilon 4$ carriers were younger at time of death, $F(1,380)=7.61$, $p=0.0005$, compared to $\epsilon 3/\epsilon 3$ carriers (follow-up p -value=0.004) [and $\epsilon 2$ carriers, follow-up p -value=0.001]. $\epsilon 4$ carriers also reported more years of education, $F(1,380)=5.30$, $p=0.0005$, than their $\epsilon 3/\epsilon 3$ counterparts (follow-up p -value=0.03) [and $\epsilon 2$ carriers, follow-up p -value=0.007]. Chi-square testing revealed that $\epsilon 2$ carriers were less

likely to be cognitively impaired, i.e., mild cognitive impairment or demented, at death [$\chi^2(2)=51.52, p<0.0001$] compared to $\epsilon 3/\epsilon 3$ carriers [and $\epsilon 4$ carriers] (Table 1).

3.1 APOE and CVD

3.1.1 Levels of arteriolosclerosis—There were no associations between *APOE* $\epsilon 4$ carrier status and arteriolosclerosis in our sample regardless of adjustments (Table 2). By contrast, regardless of model adjustments, $\epsilon 2$ carriers were at increased odds of moderate to severe levels of arteriolosclerosis compared to $\epsilon 3/\epsilon 3$ carriers (Table 2).

3.1.2 Macroinfarcts—Regardless of model adjustments, $\epsilon 4$ carriers were at increased odds of macroinfarcts compared to $\epsilon 3/\epsilon 3$ carriers (fully adjusted Model 4: OR=1.41,95%CI: 1.02–1.94, $p=0.03$). By contrast, there were no associations between *APOE* $\epsilon 2$ carrier status and macroinfarcts in our sample regardless of adjustments (fully adjusted Model 4: OR=0.89,95%CI:0.60–1.31, $p=0.55$).

3.1.3 Microinfarcts—*APOE* $\epsilon 4$ was not related to microinfarcts regardless of adjustments (Table 3). Similar results were seen when considering $\epsilon 2$ (Table 3).

3.1.4 Levels of intracranial atherosclerosis—There were no associations between *APOE* $\epsilon 4$ carrier status and levels of intracranial atherosclerosis in our sample regardless of adjustments (Table 4). Similar results were seen when considering $\epsilon 2$ carrier status (Table 4).

3.2 APOE, CVD, and Age at death

3.2.1 Interaction term—Age at death was included as an interaction term with *APOE* genotypes in separate macroinfarct and arteriolosclerosis models outlined above; there were no significant results for interactions with $\epsilon 2$ or $\epsilon 4$ in either model (data not shown).

3.2.2 Stratified analyses—When age stratified analyses were restricted to age at death <90 years, $\epsilon 4$ carriers with an age at death <90 years were at increased odds of macroinfarcts compared to $\epsilon 3/\epsilon 3$ carriers of a similar age, regardless of adjustments (fully adjusted Model 4: OR=1.81,95%CI:1.15–2.87, $p=0.01$). There were no significant results for $\epsilon 2$ carrier status in macroinfarct models regardless of adjustments (data not shown). Additionally, there were no significant results for $\epsilon 4$ or $\epsilon 2$ carrier status in arteriolosclerosis models restricted to age at death <90 years, regardless of adjustments (data not shown).

When age stratified analyses were restricted to age at death ≥ 90 years, there were no significant results for $\epsilon 4$ or $\epsilon 2$ carrier status in macroinfarct models, regardless of adjustments (data not shown). In contrast, regardless of model adjustments, $\epsilon 2$ carriers with an age at death ≥ 90 years were at increased odds of moderate to severe levels of arteriolosclerosis compared to $\epsilon 3/\epsilon 3$ carriers of a similar age (fully adjusted Model 4: OR=1.80,95%CI:1.06–2.98, $p=0.02$). There were no significant results for $\epsilon 4$ carrier status in these models regardless of adjustments (data not shown).

3.3 Post-hoc analyses

Including the 87 participants with $\epsilon 2/\epsilon 4$ as an additional group did not change results for the original *APOE* $\epsilon 4$ and $\epsilon 2$ carrier groups across all analyses previously reported, regardless of adjustments. There were no significant findings for $\epsilon 2/\epsilon 4$ compared to $\epsilon 3/\epsilon 3$ carriers regardless of adjustments (data not shown) with one exception. Like the original *APOE* $\epsilon 4$ carriers, $\epsilon 2/\epsilon 4$ carriers were also at increased odds of macroinfarcts compared to $\epsilon 3/\epsilon 3$ carriers in fully adjusted Model 4 (OR=2.40,95%CI:1.10–5.20,p=0.02). These results were driven by $\epsilon 2/\epsilon 4$ carriers ≥ 90 years at death (fully adjusted Model 4: OR=1.80,95%CI:1.06–2.98,p=0.02).

4. Discussion

Current results revealed a significant association between $\epsilon 2$ carrier status (i.e., $\epsilon 2/\epsilon 2$ or $\epsilon 2/\epsilon 3$) and levels of arteriolosclerosis, regardless of adjustments. Results also confirmed our previous work [12] and the work of others [13, 15] documenting the relationship between *APOE* $\epsilon 4$ and CVD in the form of macroinfarcts and extended it to include $\epsilon 2/\epsilon 4$. Age-stratified analyses suggest that the association of $\epsilon 2$ with arteriolosclerosis is driven by $\epsilon 2$ carriers ≥ 90 years of age at death, whereas, the association of $\epsilon 3/\epsilon 4$ or $\epsilon 4/\epsilon 4$ with macroinfarcts is driven by those carriers < 90 years at death. By contrast, though numbers are small, in those with $\epsilon 2/\epsilon 4$, the association with macroinfarcts may be driven by those ≥ 90 years at death. Overall, results of this study suggest that *APOE* may differentially affect the type and timing of CVD-related neuropathology.

Our results regarding the differential associations between *APOE* genotypes with the type of CVD-related neuropathology contributes to the literature in several ways. First, we report that the presence of $\epsilon 2/\epsilon 2$ or $\epsilon 2/\epsilon 3$ is associated with greater severity of cerebral small vessel disease. Our work in decedents with and without dementia extends previous reports that these *APOE* haplotypes were not related to macroinfarcts, microinfarcts, lacunes, and hemorrhages [4, 10, 15] given that these studies consisted primarily of decedents with AD and did not look at arteriolosclerosis. Likewise, our results suggesting that the presence of $\epsilon 4$ (including $\epsilon 2/\epsilon 4$) is associated with greater odds of gross infarcts adds to a growing body of literature on this topic [12, 13, 15], and provides further support for the null findings from other studies investigating $\epsilon 4$ and other CVD-related neuropathologies [4, 5, 10, 16, 17]. Second, our study may inform previous reports of the relative increase in the frequency of the $\epsilon 2$ allele in decedents with vascular dementia [37] by suggesting that cerebral small vessel disease (and perhaps gross infarcts in those with $\epsilon 2/\epsilon 4$) may be a key contributor in affected individuals. Given the relationship between arteriolosclerosis and white matter damage [38], and the role of white matter damage including infarcts [39] in vascular dementia [40], additional work is needed to investigate these potential links; however, our study provides support for the assertion that the ‘protective’ effect of the $\epsilon 2$ allele may, in fact, imply a delayed onset and alternate underlying physiology, rather than a prevention of dementia.

The delayed onset suggested for the $\epsilon 2$ allele may best be interpreted within the context of a differential impact *APOE* genotypes on the timing of CVD-related neuropathology. The age-stratified analyses of this study revealed that the $\epsilon 2$ and arteriolosclerosis association was

particularly robust for $\epsilon 2$ carriers ≥ 90 years at death while the $\epsilon 4$ and macroinfarcts association was particularly robust for $\epsilon 3/\epsilon 4$ or $\epsilon 4/\epsilon 4$ carriers < 90 years at death. Interestingly, we provide preliminary evidence that the $\epsilon 2/\epsilon 4$ and macroinfarcts association was particularly robust for those carriers ≥ 90 years at death, suggesting that this haplotype may share timing attributes with $\epsilon 2$ but CVD-related neuropathological associations with $\epsilon 4$. It has been reported that AD may partially mediate the association between excess early mortality and *APOE* $\epsilon 4$ positive status [41]; results of our age-stratified analyses suggest that exploration of the role of gross infarcts on these associations and the role of the $\epsilon 2/\epsilon 4$ haplotype may also be warranted. In addition, age-stratified $\epsilon 2$ results in our oldest old decedents, when combined with the fact that $\epsilon 2$ has been associated not only with vascular dementia post-mortem [37] but also adverse cerebrovascular events ante-mortem [42, 43], suggest that *APOE* $\epsilon 2$ may play a role in the greater preponderance of mixed AD/vascular pathology reported in the oldest old [18]. Additional work is needed to better understand the mechanisms underlying the role of *APOE* in differentially promoting earlier gross infarcts and later cerebral small vessel disease depending upon carrier status and the complex relationship implied by the results revealed for our small sample of $\epsilon 2/\epsilon 4$ carriers.

Our data suggests that at least some of the mechanisms of action for *APOE* $\epsilon 4$ and $\epsilon 2$ may be distinct from beta amyloid – something other researchers have noted [44] – and have specific windows of opportunity. For example, it has been hypothesized that $\epsilon 2$ may contribute to the disruption of vessel wall integrity and increase micro and/or macro intracerebral bleeding ([36] for review). Combined with the fact that $\epsilon 2$ has been associated with adverse vascular events [42, 43,], it seems plausible that the *APOE* $\epsilon 2$ allele may also be contributing to the pathology of cerebral small vessel disease including neuropathologically-confirmed arteriolosclerosis (and perhaps gross infarcts) in the oldest old. More generally, Apolipoprotein E as a plasma lipoprotein constituent (ApoE) is present in perivascular astrocytes as well as the walls of CVD-affected vessels [46]. Thus, it may be that, regardless of haplotypes, ApoE provides a link between AD-related and CVD-related neuropathologies. Furthermore, while *APOE* $\epsilon 2$ and $\epsilon 4$ carrier status appear to contribute at different times to CVD-related neuropathology, results of the current study, if validated, may suggest that studies of disease prevention may require distinct approaches based on *APOE* genotype and age.

This study has strengths and limitations. To our knowledge, this is the largest community-based study that examined postmortem human brain for association of *APOE* $\epsilon 4$ and $\epsilon 2$ alleles with CVD. Uniform clinical and pathologic evaluation protocols are applied across our cohorts by the same group of investigators, which makes it efficient to merge the data for combined analysis. This is particularly important given the possibility of depletion of $\epsilon 4$ in older ages [41] combined with the rarity of $\epsilon 2$ carriers more generally requires a large number of decedents for a study of this type. Case in point, while we explored associations between the $\epsilon 2/\epsilon 4$ haplotype and CVD-related neuropathology, this group comprised only 6% of the entire sample, leaving interpretation of the resulting relationships tentative. Another limitation is that this is an association study, thus, our results cannot establish a causal relationship between *APOE* allele carrier status and neuropathology and, as previously stated, discussions of possible underlying mechanisms require directed study. Furthermore, the extent of CVD is likely under-reported in our study given the

neuropathological examination was restricted both in terms of quantification as well as brain areas. This is particularly the case for our semi-quantitative levels of arteriolosclerosis which were restricted to one section of the anterior basal ganglia. While we adjusted for both CVD neuropathology of non-interest as well as global AD-related neuropathology, we may have omitted other less apparent confounders and/or other *APOE* allele by neuropathology interactions. Combined with the fact that our work has shown that neuropathologies are often inter-related and the presence of one neuropathology may exacerbate the brain-behavior associates of another [25, 32], further work is needed to understand the role of distinct *APOE* gene variants on both the separate as well as the interactive effects of these neuropathologies. In conclusion, *APOE* allele carrier status may not only impart differential age of clinical onset [47, 48], results of our study add to the growing body of literature [3, 49] that *APOE* genotypes may also impart a differential pathophysiology and course of disease.

Research in Context

Systematic review:

Using traditional (e.g., PubMed) sources, we found that most studies show *APOE* $\epsilon 4$ positive status specifically related to a greater abundance of amyloid pathology including AD pathology and cerebral amyloid angiopathy (CAA). *APOE* $\epsilon 2$ carriers show increases in CAA, but less AD pathology compared to $\epsilon 4$ carriers. Existing post-mortem studies of *APOE* and non-amyloid CVD-related neuropathology report conflicting results. This may be due to the small number of prospective clinical-pathologic studies including data on non-amyloid CVD-related neuropathologies and/or limited inclusion of oldest old decedents.

Interpretation:

Findings revealing the role of *APOE* in differentially promoting earlier gross infarcts and later cerebral small vessel disease depending upon carrier status suggest that *APOE* may differentially affect the type and timing of CVD-related neuropathology.

Future Directions:

Verification of these findings is needed, as are investigations to understand the role of distinct *APOE* genotypes on the interactive effects of amyloid and non-amyloid neuropathologies.

Acknowledgements/Funding Sources

Funding: This work was supported by the National Institutes of Health, National Institute on Aging: R01 AG043379, P30 AG10161, RF1 AG22018, R01 AG15819, R01 AG24480 and K01 AG040192.

References

- [1]. Farfel JM, Yu L, Buchman AS, Schneider JA, De Jager PL, Bennett DA. Relation of genomic variants for Alzheimer disease dementia to common neuropathologies. *Neurology* 2016;87:489–96. [PubMed: 27371493]

- [2]. Yu L, Boyle PA, Nag S, Leurgans S, Buchman AS, Wilson RS, et al. APOE and cerebral amyloid angiopathy in community-dwelling older persons. *Neurobiology of aging* 2015;36:2946–53. [PubMed: 26341746]
- [3]. Warzok RW, Kessler C, Apel G, Schwarz A, Egensperger R, Schreiber D, et al. Apolipoprotein E4 promotes incipient Alzheimer pathology in the elderly. *Alzheimer disease and associated disorders* 1998;12:33–9. [PubMed: 9539408]
- [4]. Serrano-Pozo A, Qian J, Monsell SE, Betensky RA, Hyman BT. APOEepsilon2 is associated with milder clinical and pathological Alzheimer disease. *Annals of neurology* 2015;77:917–29. [PubMed: 25623662]
- [5]. Mortimer JA, Snowdon DA, Markesbery WR. The effect of APOE-epsilon4 on dementia is mediated by Alzheimer neuropathology. *Alzheimer disease and associated disorders* 2009;23:152–7. [PubMed: 19484916]
- [6]. Nelson PT, Pious NM, Jicha GA, Wilcock DM, Fardo DW, Estus S, et al. APOE-epsilon2 and APOE-epsilon4 correlate with increased amyloid accumulation in cerebral vasculature. *Journal of neuropathology and experimental neurology* 2013;72:708–15. [PubMed: 23771217]
- [7]. Charidimou A, Martinez-Ramirez S, Shoamanesh A, Oliveira-Filho J, Frosch M, Vashkevich A, et al. Cerebral amyloid angiopathy with and without hemorrhage: evidence for different disease phenotypes. *Neurology*. 2015;84:1206–12. [PubMed: 25716356]
- [8]. McCarron MO, Nicoll JA, Ironside JW, Love S, Alberts MJ, Bone I. Cerebral amyloid angiopathy-related hemorrhage. Interaction of APOE epsilon2 with putative clinical risk factors. *Stroke; a journal of cerebral circulation* 1999;30:1643–6.
- [9]. Greenberg SM, Vonsattel JP, Segal AZ, Chiu RI, Clatworthy AE, Liao A, et al. Association of apolipoprotein E epsilon2 and vasculopathy in cerebral amyloid angiopathy. *Neurology* 1998;50:961–5. [PubMed: 9566379]
- [10]. Gavett BE, John SE, Gurnani AS, Bussell CA, Saurman JL. The Role of Alzheimer's and Cerebrovascular Pathology in Mediating the Effects of Age, Race, and Apolipoprotein E Genotype on Dementia Severity in Pathologically-Confirmed Alzheimer's Disease. *Journal of Alzheimer's disease : JAD* 2016;49:531–45. [PubMed: 26444761]
- [11]. Kapasi A, DeCarli C, Schneider JA. Impact of multiple pathologies on the threshold for clinically overt dementia. *Acta neuropathologica* 2017;134:171–86. [PubMed: 28488154]
- [12]. Schneider JA, Bienias JL, Wilson RS, Berry-Kravis E, Evans DA, Bennett DA. The apolipoprotein E epsilon4 allele increases the odds of chronic cerebral infarction [corrected] detected at autopsy in older persons. *Stroke; a journal of cerebral circulation* 2005;36:954–9.
- [13]. Kalaria RN, Cohen DL, Premkumar DR. Apolipoprotein E alleles and brain vascular pathology in Alzheimer's disease. *Annals of the New York Academy of Sciences* 1996;777:266–70. [PubMed: 8624096]
- [14]. Yip AG, McKee AC, Green RC, Wells J, Young H, Cupples LA, et al. APOE, vascular pathology, and the AD brain. *Neurology* 2005;65:259–65. [PubMed: 16043796]
- [15]. Premkumar DR, Cohen DL, Heder P, Friedland RP, Kalaria RN. Apolipoprotein E-epsilon4 alleles in cerebral amyloid angiopathy and cerebrovascular pathology associated with Alzheimer's disease. *Am J Pathol* 1996;148:2083–95. [PubMed: 8669492]
- [16]. Hultman K, Strickland S, Norris EH. The APOE varepsilon4/varepsilon4 genotype potentiates vascular fibrin(ogen) deposition in amyloid-laden vessels in the brains of Alzheimer's disease patients. *Journal of cerebral blood flow and metabolism : official journal of the International Society of Cerebral Blood Flow and Metabolism* 2013;33:1251–8.
- [17]. Olichney JM, Hansen LA, Hofstetter CR, Lee JH, Katzman R, Thal LJ. Association between severe cerebral amyloid angiopathy and cerebrovascular lesions in Alzheimer disease is not a spurious one attributable to apolipoprotein E4. *Arch Neurol*. 2000;57:869–74. [PubMed: 10867785]
- [18]. James BD, Bennett DA, Boyle PA, Leurgans S, Schneider JA. Dementia from Alzheimer disease and mixed pathologies in the oldest old. *JAMA*. 2012;307:1798–800. [PubMed: 22550192]
- [19]. Berlau DJ, Corrada MM, Head E, Kawas CH. APOE epsilon2 is associated with intact cognition but increased Alzheimer pathology in the oldest old. *Neurology*. 2009;72:829–34. [PubMed: 19255410]

- [20]. Ohm TG, Scharnagl H, Marz W, Bohl J. Apolipoprotein E isoforms and the development of low and high Braak stages of Alzheimer's disease-related lesions. *Acta neuropathologica*. 1999;98:273–80. [PubMed: 10483785]
- [21]. Bennett DA, Schneider JA, Arvanitakis Z, Wilson RS. Overview and findings from the religious orders study. *Current Alzheimer research* 2012;9:628–45. [PubMed: 22471860]
- [22]. Bennett DA, Schneider JA, Buchman AS, Barnes LL, Boyle PA, Wilson RS. Overview and findings from the rush Memory and Aging Project. *Current Alzheimer research* 2012;9:646–63. [PubMed: 22471867]
- [23]. Barnes LL, Shah RC, Aggarwal NT, Bennett DA, Schneider JA. The Minority Aging Research Study: ongoing efforts to obtain brain donation in African Americans without dementia. *Current Alzheimer research* 2012;9:734–45. [PubMed: 22471868]
- [24]. Park M, Shah RC, Fogg LF, Wyatt JK. Daytime sleepiness in mild Alzheimer's disease with and without parkinsonian features. *Sleep medicine* 2011;12:397–402. [PubMed: 21388877]
- [25]. Schneider JA, Boyle PA, Arvanitakis Z, Bienias JL, Bennett DA. Subcortical infarcts, Alzheimer's disease pathology, and memory function in older persons. *Annals of neurology* 2007;62:59–66. [PubMed: 17503514]
- [26]. Yu L, Lutz MW, Wilson RS, Burns DK, Roses AD, Saunders AM, et al. TOMM40'523 variant and cognitive decline in older persons with APOE epsilon3/3 genotype. *Neurology* 2017;88:661–8. [PubMed: 28108637]
- [27]. Schneider JA, Wilson RS, Cochran EJ, Bienias JL, Arnold SE, Evans DA, et al. Relation of cerebral infarctions to dementia and cognitive function in older persons. *Neurology* 2003;60:1082–8. [PubMed: 12682310]
- [28]. Barnes LL, Leurgans S, Aggarwal NT, Shah RC, Arvanitakis Z, James BD, et al. Mixed pathology is more likely in black than white decedents with Alzheimer dementia. *Neurology* 2015;85:528–34. [PubMed: 26180136]
- [29]. Yu L, Boyle PA, Leurgans S, Schneider JA, Bennett DA. Disentangling the effects of age and APOE on neuropathology and late life cognitive decline. *Neurobiology of aging* 2014;35:819–26. [PubMed: 24199961]
- [30]. Arvanitakis Z, Leurgans SE, Barnes LL, Bennett DA, Schneider JA. Microinfarct pathology, dementia, and cognitive systems. *Stroke; a journal of cerebral circulation* 2011;42:722–7.
- [31]. Buchman AS, Leurgans SE, Nag S, Bennett DA, Schneider JA. Cerebrovascular disease pathology and parkinsonian signs in old age. *Stroke; a journal of cerebral circulation* 2011;42:3183–9.
- [32]. Nag S, Yu L, Capuano AW, Wilson RS, Leurgans SE, Bennett DA, et al. Hippocampal sclerosis and TDP-43 pathology in aging and Alzheimer disease. *Annals of neurology* 2015;77:942–52. [PubMed: 25707479]
- [33]. Schneider JA, Aggarwal NT, Barnes L, Boyle P, Bennett DA. The neuropathology of older persons with and without dementia from community versus clinic cohorts. *Journal of Alzheimer's disease : JAD* 2009;18:691–701. [PubMed: 19749406]
- [34]. Boyle PA, Yu L, Nag S, Leurgans S, Wilson RS, Bennett DA, et al. Cerebral amyloid angiopathy and cognitive outcomes in community-based older persons. *Neurology* 2015;85:1930–6. [PubMed: 26537052]
- [35]. Ighodaro ET, Abner EL, Fardo DW, Lin AL, Katsumata Y, Schmitt FA, et al. Risk factors and global cognitive status related to brain arteriolosclerosis in elderly individuals. *Journal of cerebral blood flow and metabolism : official journal of the International Society of Cerebral Blood Flow and Metabolism* 2016.
- [36]. Esiri M, Chance S, Joachim C, Warden D, Smallwood A, Sloan C, et al. Cerebral amyloid angiopathy, subcortical white matter disease and dementia: literature review and study in OPTIMA. *Brain pathology* 2015;25:51–62. [PubMed: 25521177]
- [37]. Betard C, Robitaille Y, Gee M, Tiberghien D, Larrivee D, Roy P, et al. Apo E allele frequencies in Alzheimer's disease, Lewy body dementia, Alzheimer's disease with cerebrovascular disease and vascular dementia. *Neuroreport* 1994;5:1893–6. [PubMed: 7841371]

- [38]. Erten-Lyons D, Woltjer R, Kaye J, Mattek N, Dodge HH, Green S, et al. Neuropathologic basis of white matter hyperintensity accumulation with advanced age. *Neurology* 2013;81:977–83. [PubMed: 23935177]
- [39]. Hulette C, Nochlin D, McKeel D, Morris JC, Mirra SS, Sumi SM, et al. Clinical-neuropathologic findings in multi-infarct dementia: a report of six autopsied cases. *Neurology* 1997;48:668–72. [PubMed: 9065545]
- [40]. McAleese KE, Alafuzoff I, Charidimou A, De Reuck J, Grinberg LT, Hainsworth AH, et al. Post-mortem assessment in vascular dementia: advances and aspirations. *BMC Med* 2016;14:129. [PubMed: 27600683]
- [41]. Hayden KM, Zandi PP, Lyketsos CG, Tschanz JT, Norton MC, Khachaturian AS, et al. Apolipoprotein E genotype and mortality: findings from the Cache County Study. *J Am Geriatr Soc* 2005;53:935–42. [PubMed: 15935014]
- [42]. O'Donnell HC, Rosand J, Knudsen KA, Furie KL, Segal AZ, Chiu RI, et al. Apolipoprotein E genotype and the risk of recurrent lobar intracerebral hemorrhage. *N Engl J Med* 2000;342:240–5. [PubMed: 10648765]
- [43]. Biffi A, Anderson CD, Jagiella JM, Schmidt H, Kissela B, Hansen BM, et al. APOE genotype and extent of bleeding and outcome in lobar intracerebral haemorrhage: a genetic association study. *The Lancet Neurology* 2011;10:702–9. [PubMed: 21741316]
- [44]. Knopman DS. beta-Amyloidosis and neurodegeneration in Alzheimer disease: who's on first? *Neurology* 2014;82:1756–7. [PubMed: 24748669]
- [45]. Liu X, Li L, Liu F, Deng S, Zhu R, Li Q, et al. ApoE gene polymorphism and vascular dementia in Chinese population: a meta-analysis. *Journal of neural transmission* 2012;119:387–94. [PubMed: 21984189]
- [46]. Utter S, Tamboli IY, Walter J, Upadhaya AR, Birkenmeier G, Pietrzik CU, et al. Cerebral small vessel disease-induced apolipoprotein E leakage is associated with Alzheimer disease and the accumulation of amyloid beta-protein in perivascular astrocytes. *Journal of neuropathology and experimental neurology* 2008;67:842–56. [PubMed: 18716559]
- [47]. Khachaturian AS, Corcoran CD, Mayer LS, Zandi PP, Breitner JC, Cache County Study I. Apolipoprotein E epsilon4 count affects age at onset of Alzheimer disease, but not lifetime susceptibility: The Cache County Study. *Arch Gen Psychiatry* 2004;61:518–24. [PubMed: 15123497]
- [48]. Sando SB, Melquist S, Cannon A, Hutton ML, Sletvold O, Saltvedt I, et al. APOE epsilon 4 lowers age at onset and is a high risk factor for Alzheimer's disease; a case control study from central Norway. *BMC Neurol* 2008;8:9. [PubMed: 18416843]
- [49]. Ba M, Kong M, Li X, Ng KP, Rosa-Neto P, Gauthier S. Is ApoE varepsilon 4 a good biomarker for amyloid pathology in late onset Alzheimer's disease? *Transl Neurodegener* 2016;5:20. [PubMed: 27891223]

Highlights

- We examined *APOE* $\epsilon 4$ & $\epsilon 2$ as related to non-amyloid forms of cerebrovascular disease
- Of 1,383 decedents, 342 were $\epsilon 3/\epsilon 4$ or $\epsilon 4/\epsilon 4$, 180 were $\epsilon 2/\epsilon 3$ or $\epsilon 2/\epsilon 2$
- $\epsilon 3/\epsilon 4$ & $\epsilon 4/\epsilon 4$ carriers had higher odds of macroinfarcts compared to $\epsilon 3/\epsilon 3$ carriers
- $\epsilon 2/\epsilon 3$ & $\epsilon 2/\epsilon 2$ carriers had higher odds of arteriolosclerosis versus $\epsilon 3/\epsilon 3$ carriers
- Results were driven by $\epsilon 4$ carriers <90 & $\epsilon 2$ carriers ≥ 90 years at death, respectively

Table 1.

Sample Background and Neuropathology characteristics

	Entire Sample (N=1383)	APOE carrier status		
		ε4+ (n=342)	ε2+ (n=180)	ε3/ε3 (n=861)
Background				
Age at death (yrs)	88.85 ±6.78	87.71 ±6.54	89.91 ±7.20	89.08 ±6.73
Sex (M:F)	458:925	115:227	53:127	290:571
Race (W:B:O)	1329:49:4	321:20:1	173:6:1	835:23:2
Education (yrs)	16.25 ±3.63	16.75 ±3.58	15.74 ±3.31	16.16 ±3.70
MMSE at death	20.81 ±9.25	17.57 ±10.35	22.62 ±8.21	21.73 ±8.67
MCI at death (%)	23.80	19.35	22.22	26.00
Demented at death (%)	43.60	60.11	33.33	39.25
Neuropathology				
Arteriosclerosis (%)	33.70	33.63	42.22	31.94
Macroinfarcts (%)	35.36	38.90	35.00	34.03
Microinfarcts (%)	30.01	29.82	31.67	29.73
Atherosclerosis (%)	34.20	35.10	37.78	33.10
Global AD-related	0.74 +0.62	1.11 +0.66	0.41 +0.42	0.66 +0.57
CAA (% with moderate/severe)	33.55	51.75	33.33	26.36
NIA Reagan (%)	63.85	81.87	46.11	60.40

Note: all values equal mean±standard deviation unless otherwise noted. Abbreviations: yrs=years; M=male, F=female; W=white, B=black, O=other including Native American and Asian/Pacific Island; MMSE=Mini-Mental State Examination; MCI=mild cognitive impairment; CAA=cerebral amyloid angiopathy; NIA Reagan=AD present by NIA Reagan Pathology criteria (High or Intermediate Likelihood); %=percent.

Table 2.

Role of *APOE* genotypes ($\epsilon 4$ and $\epsilon 2$) on the presence of neuropathologically derived levels of arteriolosclerosis (severe+moderate versus mild+none).

	Adjusted OR (95% CI)			
	Model 1 (N=1371)	Model 2 (N=1364)	Model 3 (N=1329)	Model 4 (N=1231)
<i>APOE</i> $\epsilon 4$ carrier status				
No	Referent	Referent	Referent	Referent
Yes	1.12 (0.86-1.47)	1.04 (0.78-1.38)	1.00 (0.73-1.37)	0.97 (0.70-1.34)
<i>APOE</i> $\epsilon 2$ carrier status				
No	Referent	Referent	Referent	Referent
Yes	1.51 (1.09-2.11) **	1.46 (1.03-2.07) *	1.53 (1.07-2.20) *	1.68 (1.15-2.45) **

OR=Odds Ratio

CI=Confidence Interval

Model 1=adjusted for demographic characteristics (age at death and sex)

Model 2=adjusted for demographic characteristics (age at death and sex) + macroinfarcts, microinfarcts and levels of intracranial atherosclerosis

Model 3= adjusted for demographic characteristics (age at death and sex) + macroinfarcts, microinfarcts and levels of intracranial atherosclerosis + global AD-related neuropathology and CAA

Model 4= adjusted for demographic characteristics (age at death and sex) + macroinfarcts, microinfarcts and levels of intracranial atherosclerosis + global AD-related neuropathology and CAA + subclinical cardiovascular disease (baseline systolic BP, change in systolic BP over time)

**
p<0.01

*
p<0.05

Table 3.

Role of *APOE* genotypes ($\epsilon 4$ and $\epsilon 2$) on the presence of microinfarcts ($1 \geq$ versus 0).

	Adjusted OR (95% CI)			
	Model 1 (N=1383)	Model 2 (N=1364)	Model 3 (N=1329)	Model 4 (N=1231)
<i>APOE</i> $\epsilon 4$ carrier status				
No	Referent	Referent	Referent	Referent
Yes	1.04 (0.80-1.37)	0.98 (0.74-1.31)	1.01 (0.74-1.38)	1.03 (0.74-1.42)
<i>APOE</i> $\epsilon 2$ carrier status				
No	Referent	Referent	Referent	Referent
Yes	1.07 (0.75-1.51)	1.03 (0.72-1.48)	0.98 (0.67-1.42)	1.02 (0.70-1.50)

OR=Odds Ratio

CI=Confidence Interval

Model 1=adjusted for demographic characteristics (age at death and sex)

Model 2=adjusted for demographic characteristics (age at death and sex) + macroinfarcts, arteriolosclerosis and levels of intracranial atherosclerosis

Model 3= adjusted for demographic characteristics (age at death and sex) + macroinfarcts, arteriolosclerosis and levels of intracranial atherosclerosis + global AD-related neuropathology and CAA

Model 4= adjusted for demographic characteristics (age at death and sex) + macroinfarcts, arteriolosclerosis and levels of intracranial atherosclerosis + global AD-related neuropathology and CAA + subclinical cardiovascular disease (baseline systolic BP, change in systolic BP over time)

**
p<0.01

*
p<0.05

Table 4.

Role of *APOE* genotypes ($\epsilon 4$ and $\epsilon 2$) on the presence of neuropathologically derived levels of intracranial atherosclerosis (severe+moderate versus mild+none).

	Adjusted OR (95% CI)			
	Model 1 (N=1376)	Model 2 (N=1364)	Model 3 (N=1329)	Model 4 (N=1231)
<i>APOE</i> $\epsilon 4$ carrier status				
No	Referent	Referent	Referent	Referent
Yes	1.14 (0.88-1.50)	1.10 (0.83-1.45)	1.08 (0.80-1.47)	1.10 (0.80-1.51)
<i>APOE</i> $\epsilon 2$ carrier status				
No	Referent	Referent	Referent	Referent
Yes	1.21 (0.86-1.70)	1.10 (0.77-1.57)	1.07 (0.74-1.54)	1.04(0.71-1.54)

OR=Odds Ratio

CI=Confidence Interval

Model 1=adjusted for demographic characteristics (age at death and sex)

Model 2=adjusted for demographic characteristics (age at death and sex) + macroinfarcts, arteriolosclerosis and microinfarcts

Model 3= adjusted for demographic characteristics (age at death and sex) + macroinfarcts, arteriolosclerosis and microinfarcts + global AD-related neuropathology and CAA

Model 4= adjusted for demographic characteristics (age at death and sex) + macroinfarcts, arteriolosclerosis and microinfarcts + global AD-related neuropathology and CAA + subclinical cardiovascular disease (baseline systolic BP, change in systolic BP over time)

**
p<0.01

*
p<0.05