# **Clinical Practice Guideline**

# Mechanical Ventilation and Extracorporeal Membrane Oxygenation in Acute Respiratory Insufficiency

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# Summary

<u>Background:</u> Mechanical ventilation is life-saving for patients with acute respiratory insufficiency. In a German prevalence study, 13.6% of patients in intensive care units received mechanical ventilation for more than 12 hours; 20% of these patients received mechanical ventilation as treatment for acute respiratory distress syndrome (ARDS). The new S3 guideline is the first to contain recommendations for the entire process of treatment in these groups of patients (indications, ventilation modes/parameters, accompanying measures, treatments for refractory impairment of gas exchange, weaning, and follow-up care).

<u>Methods</u>: This guideline was developed according to the GRADE methods. Pertinent publications were identified by a systematic search of the literature, the quality of the evidence was evaluated, a risk/benefit assessment was conducted, and recommendations were issued by interdisciplinary consensus.

<u>Results</u>: Mechanical ventilation is recommended as primary treatment for patients with severe ARDS. In other patient groups, non-invasive ventilation can lower mortality. If mechanical ventilation is needed, ventilation modes allowing spontaneous breathing seem beneficial (quality of evidence [QoE]: very low). Protective ventilation (high positive end-expiratory pressure, low tidal volume, limited peak pressure) improve the survival of ARDS patients (QoE: high). If a severe impairment of gas exchange is present, prone positioning lessens mortality (QoE: high). Veno-venous extracorporeal membrane oxygenation (vvECMO) has not unequivocally been shown to improve survival. Early mobilization and weaning protocols can shorten the duration of ventilation (QoE: moderate).

<u>Conclusion</u>: Recommendations for patients undergoing mechanical ventilation include lung-protective ventilation, early spontaneous breathing and mobilization, weaning protocols, and, for those with severe impairment of gas exchange, prone positioning. It is further recommended that patients with ARDS and refractory impairment of gas exchange should be transferred to an ARDS/ECMO center, where extracorporeal methods should be applied only after application of all other therapeutic options.

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hechanical ventilation through an endotracheal tube or tracheostomy is an essential treatment for patients with acute respiratory insufficiency of any cause and is one of the major types of apparatus-assisted treatment in intensive care units. In a German prevalence study, 13.6% of patients in intensive care units received mechanical ventilation for more than 12 hours; 20% of these patients received mechanical ventilation as treatment for acute respiratory distress syndrome (ARDS) (1).

Although many clinical trials of mechanical ventilation have been conducted, there has not yet been any comprehensive clinical practice guideline based on a systematic review and assessment of the pertinent literature. Mechanically ventilated patients now receive care of variable quality. For example, simple measures that improve survival, such as limiting the tidal volume and peak inspiratory pressure, are clinically implemented in only approximately two-thirds of patients with ARDS (2). At the same time, extracorporeal gas-exchange methods have become easier to use through technical improvements and are now being used more commonly and sometimes indiscriminately, in the absence of adequate scientific evidence for the specific clinical use (3).

The new S3 guideline is a source of evidencebased information and an aid to clinical decisionmaking on mechanical ventilation and extracorporeal techniques in patients with acute respiratory insufficiency. The text of the guideline is practically organized, along the lines of the course of treatment of such patients in the intensive care unit: the indications for mechanical ventilation and the alternatives to it (if applicable) are discussed first, followed by the choice of a ventilation mode, the setting of ventilation parameters, accompanying measures, the treatment of refractory impairment of gas exchange, weaning off the ventilator, and followup care after mechanical ventilation has been discontinued.

The present article summarizes the new S3 guideline and contains its key recommendations. The complete guideline is available for no charge on the web portal of the Association of the Scientific Medical Societies in Germany (*Arbeitsgemeinschaft der Wissenschaftlichen Medizinischen Fachgesellschaften*, AWMF) (www.awmf.org/leitlinien/detail/ll/001–021.html).

## Method

The guideline group, which received methodological support from the AWMF, comprised 59 delegates of 21 scientific medical societies from Germany, Austria, and Switzerland, representing all of the medical disciplines and professions that make up the treatment team in an intensive care unit; patient representatives were included as well *(eBox)*. Over the four-year period of guideline development, all group members provided statements of their conflicts of interest, which were then evaluated. Members with relevant conflicts of interest abstained from voting on the corresponding recommendations. The conflicts of interest are described in detail in the guideline report.

The guideline was developed according to the GRADE methods (Grading of Recommendations, Assessment, Development and Evaluation) (4).

The systematic literature search was carried out in PubMed, Embase, Cochrane, and international guideline databases (publication dates: any time up to December 2014 for the main search, any time up to June 2016 for the search update [only meta-analyses and randomized, controlled trials]).

Publications were selected by topic according to uniform criteria, the selected studies were sorted by type, and a full-text database encompassing approximately 3500 studies was created (as per the PRISMA scheme, cf. *eFigure*).

In the literature assessment, national and international guidelines were examined, and relevant content of high-quality guidelines was adopted. Next, current meta-analyses were analyzed and evaluated. In the absence of evaluable meta-analyses, randomized and controlled trials (RCT) and studies that provided evidence of lesser quality were analyzed, evaluated, and summarized in evidence tables. Finally, the literature on each question was summarized using evidence profiles and then qualitatively assessed (for the five categories of quality of evidence [QoE], see *eTable 1*) (5). The recommendations found in the guideline are thus based on information from a total of 297 evaluated publications.

On the basis of this evidence, the benefits and risks of each therapeutic measure were evaluated and the content and strength of the relevant recommendations were categorized into 3 strengths of evidence (*eTable I*) (6). Clinical experience, patient preferences, and an estimate of the necessary resources were also taken into account. The strength of each recommendation therefore does not always directly reflect the previously determined quality of the underlying evidence.

The recommendations were voted on within the guideline group individually and in a two-step process by each participating scientific medical society.

In the following paragraphs we present key recommendations that the guideline group considers especially important in terms of achieving a clinical benefit for patients, or avoiding harm to them. We also present recommendations that deviate from the current clinical practice or that address topics that remain controversial.

## The definition of acute respiratory insufficiency

Acute respiratory insufficiency has no uniform definition. In awake patients, its leading symptom is dyspnea; impaired consciousness is a further important clinical sign. Blood-gas analysis enables the differentiation of hypoxemia, hypercapnia, and mixed forms, but uniform threshold values and definitions are lacking.

In routine clinical practice, patients are mechanically ventilated when the treating team judges that acute hypoxemic/hypercapnic respiratory insufficiency is present. It is, therefore, suggested that the guideline should be applied, independently of the imprecise definition of acute respiratory insufficiency, whenever the treating team considers a patient to need mechanical ventilation or is contemplating the initiation of an extracorporeal technique.

For the disease entity ARDS, the present guideline follows the Berlin definition (*eTable 2*) (7).

# Indications for mechanical ventilation

It is recommended that patients with severe ARDS should be treated primarily with invasive mechanical ventilation (expert consensus, weak recommendation). For patients with ARDS, benefits of non-invasive ventilation have not been definitively demonstrated, but harm may be potentially induced (delayed emergency intubation with the risk of hypoxemia).

A trial of non-invasive ventilation is, however, recommended for all other groups of patients with acute respiratory insufficiency (QoE + to +++++; strong/weak recommendations).

In palliative care, the alleviation of dyspnea is a central objective (8). It is recommended that the treating team should ascertain early in the patient's course whether non-invasive or invasive mechanical ventilation would

# TABLE

Overview of invasive ventilation modes

	Mode					
Subgroup	Mode	Abbreviation	Control mechanism			
	Controlled ventile	ation modes				
	Volume-controlled ventilation	VCV	Set-point targeting: application of volume-controlled ventilation on the basis of set target variables			
	Pressure-controlled ventilation	PCV	Set-point targeting: application of pressure-controlled ventilation on the basis of set target variables			
	Pressure-regulated volume control	PRVC	Set-point/adaptive: volume-controlled ventilation with adaptive pressure changes			
	Ventilation modes that suppor	rt spontaneous bre	athing			
Fidal-volume- supporting	Assist-control ventilation	A/C VC-CMVs	Set-point targeting: application of (patient-triggered) volume-controlled ventilation on the basis of set target variables			
	Pressure-support ventilation, assisted spontaneous breathing, ASB	PSV	Set-point targeting: spontaneous breathing is assisted by a set amount pressure support			
	Variable pressure support	noisy PSV	Set-point targeting: automatic variation of the level of pressure support			
Minute-volume- supporting	Volume-controlled synchronized intermittent mandatory ventilation	VC-SIMV	Automatic adaptation of peak inspiratory pressure to th attainment of a target tidal volume			
	Presssure-controlled ventilation enabling spontaneous breathing in inspiration and expiration, e.g., biphasic positive airway pressure (BIPAP), airway pressure release ventilation (APRV); synonyms, DuoPAP, BI-LEVEL, BI-VENT, etc.	APRV BIPAP	Set-point targeting: time-regulated, pressure-controlled ventilation enabling spontaneous breathing			
	Pressure-controlled synchronized intermittent mandatory ventilation	PC-SIMV	Automatic adaptation of the tidal volume to the attain- ment of a target airway pressure			
Adaptive	Adaptive support ventilation	ASV	Adaptive targeting or optimal targeting: variable pressure-controlled or pressure-supported ventilation depending on pulmonary mechanics and the work of breathing			
	Intellivent-ASV	Intellivent-ASV	Adaptive targeting or intelligent targeting: combination of ASV with additional treatment approaches			
	Neurally adjusted ventilatory assist	NAVA	Adaptive targeting or servo-targeting: applied ventilation pressure proportional to respiratory effort, measured by the electrical activation of the diaphragm			
	SmartCare/PS	SmartCare/PS	Automatic adaptation of pressure support with the goal of keeping the patient within a target comfort zone			
	Proportional assist ventilation and proportional assist ventilation plus, also known as proportional pressure support, PPS	PAV and PAV+	Automatic adaptation of the level of support and the performance of the ventilator according to demand or respiratory effort			
	Hybrid ventilati	ion modes	· ·			
piphasic positive a	atory ventilation with pressure-support ventilation (IMV+PSV), int airway pressure with pressure-support ventilation (BIPAP+PSV), essure-support ventilation with automatic tube compensation (PS	biphasic positive air	way pressure with automatic tube compensation			

Special ventilati	ion modes	
High-frequency oscillation ventilation	HFOV	High-frequency, constant-volume maintenance of a continually high airway pressure

be consistent with the patient's wishes (expert consensus, strong recommendation).

# The choice of ventilation mode

Many different ventilation modes are available *(Table)*, but only a few of them are regularly used in clinical practice. In controlled ventilation, a ventilator performs all of the work of breathing. In assisted ventilation, by definition, the ventilator performs only part of the work; spontaneous breathing is enabled and supported.

When choosing a ventilation mode, one must first ascertain whether spontaneous breathing can be enabled. Excessive sedation is associated with higher long-term mortality (9). In general, therefore, the target of sedation is a patient who is as awake as possible, with intact spontaneous breathing (10). It is recommended that an assisted ventilation mode be initiated early on, in order to enable spontaneous breathing (QoE +; weak recommendation).

On the other hand, for patients with severe ARDS, a single multicenter RCT showed that 28-day mortality was significantly lowered by muscle relaxation to eliminate spontaneous breathing (cis-atracurium 23.7% vs. control 33.3%) (11). This study was of limited methodological quality, however, and there are risks associated with oversedation and prolonged diaphragmatic inactivity. Therefore, no recommendation can now be given either for or against the enabling of spontaneous breathing in the first 48 hours in patients with severe ARDS (QoE ++; no recommendation grade).

Because of the low quantity and quality of the published research findings, the guideline group could not identify any particular ventilation mode that was more beneficial than others. Nonetheless, to avoid harming patients, it is recommended that highfrequency oscillation ventilation (HFOV) should not be used to treat adult patients with ARDS (QoE +++++; strong recommendation), because the most recent meta-analysis (12) showed no advantage for survival, while a recent large-scale RCT (13) showed a significant rise in in-hospital mortality among patients treated with HFOV compared to conventional protective ventilation (HFOV 47%, control 35%).

## Ventilation parameter settings

# Positive end-expiratory pressure (PEEP)

PEEP is intended to counteract a decline in functional residual capacity. Its potential major side effects include overexpansion of ventilated portions of the lung, diminished cardiac output, and elevated intracranial pressure.

Two meta-analyses, each of which was based on three multicenter RCTs, showed that ventilation with high PEEP lowers the mortality of ARDS patients, compared to ventilation with low PEEP or conventional ventilation (for mortality in the intensive care unit, 37.6% vs. 56.3%; for in-hospital mortality, 34.1% vs. 39.1% [14, 15]). Thus, in patients with ARDS, ventilation with high PEEP is recommended (QoE +++++; strong recommendation). The use of ventilatory protocol cards of the ARDS Network (www.ardsnet.org/tools) to determine the PEEP setting is both very well-documented and easily implementable, but these tables take no account of individual respiratory mechanics (QoE ++; weak recommendation).

# Inspiratory oxygen concentration (F<sub>1</sub>O<sub>2</sub>)

In observational studies on selected groups of patients, hyperoxia was found to be associated with increased mortality (16). At the same time, restrictive  $F_iO_2$  settings in mechanically ventilated patients were not found to be associated with elevated mortality or organ failure rates (17). It is, therefore, recommended that mechanically ventilated patients should be treated with the lowest possible  $F_iO_2$  with which a target arterial oxygen saturation (SaO<sub>2</sub>) of 90–94% or an arterial partial pressure of oxygen (PaO<sub>2</sub>) of 60–80 mmHg (8.0–10.7 kPa) can be attained (expert consensus, weak recommendation).

# Tidal volume

High tidal volumes  $(V_t)$  can cause additional ventilatorassociated lung damage through overexpansion.

It was found in two meta-analyses (18, 19) that low tidal volumes lower the mortality of ARDS patients (reduction of in-hospital mortality from 43.2% to 34.5% [19]). It is, therefore, recommended that ARDS patients should be ventilated with a V<sub>t</sub> not exceeding 6 mL/kg standard body weight (BW) (QoE +++; strong recommendation).

Likewise, for mechanically ventilated patients without ARDS, meta-analyses have shown positive effects on critical outcome variables (meta-analysis [20]: reduction of postoperative complications from 14.7% [ $V_t > 8 \text{ mL/kg}$  standard BW] to 8.7% [ $V_t < 8 \text{ mL/kg}$  standard BW]).

Ventilation with low tidal volumes is, therefore, recommended for patients without ARDS ( $V_t$  in the range of 6–8 mL/kg standard BW) (QoE ++++; strong recommendation).

# Peak inspiratory pressure

The limitation of peak inspiratory pressure to prevent barotrauma is a component of a lung-protective ventilation strategy. In a meta-analysis (19), reduced mortality was found only in three trials in which the peak inspiratory pressure was greater than 31 cm H<sub>2</sub>O in the control group (mortality 31.9% with protective ventilation versus 42.6% in the control group). The peak inspiratory pressure for patients with ARDS should therefore not exceed 30 cm H<sub>2</sub>O (QoE ++++; strong recommendation).

# Accompanying measures

# Sedation, analgesia, management of delirium

The recommendations of the German S3 guideline on analgesia, sedation, and delirium management in intensive-care medicine (10) were adopted. The strong recommendations for the use of the Richmond

# MEDICINE

# BOX 1

# Important clinical trials that were published after the end of the literature search period

After the conclusion of the systematic literature search in June 2016, no further clinical trials were published that, to the authors' knowledge, would have necessitated a change in any recommendation in the guideline. The guideline group is of the opinion, however, that three trials published after this date do indeed further support and refine some of the individual recommendations made in the guideline:

Girardis et al. (36) showed that restrictive oxygen administration to a target oxygen saturation of 94-97% lowers mortality and organ failure rates. This was the first randomized, controlled clinical trial to support the recommendation made in the guideline, on the basis of an expert consensus, that the inspiratory oxygen concentration ( $F_iO_2$ ) in mechanically ventilated patients should be kept as low as possible.

In the ART trial (37), the use of recruiting maneuvers followed by PEEP (positive end-expiratory pressure) titration was compared with PEEP application according to the ARMA trial for patients with moderate to severe acute respiratory distress syndrome (ARDS) (38). It was found that recruiting maneuvers followed by PEEP titration led to an increased 28-day mortality. This finding underscores the recommendation already made in the guideline that patients with ARDS should be treated with higher PEEP settings based on the PEEP specifications of the ARDSnet studies. It also implies that—in contrast to the neutral position taken in the guideline—recruiting maneuvers are associated with elevated mortality in ARDS patients and should therefore be used with utmost caution.

In the EOLIA trial (39), veno-venous extracorporeal membrane oxygenation (ECMO) as a standard measure was compared with conventional treatment for patients with very severe ARDS; in the control group, ECMO was permissible as a rescue measure for refractory hypoxemia. No increased survival was found for standard veno-venous ECMO in this group of patients. This confirms the assessment of the guideline group that veno-venous ECMO should be used only as a rescue measure for ARDS patients with refractory hypoxemia.

Agitation-Sedation Scale (RASS) with a target value of 0 to -1 in intensive-care patients for whom mild sedation is not contraindicated, and for individually adapted pain management, are of particular importance when a patient is being weaned off mechanical ventilation.

# Early mobilization

The recommendations of the S2e guideline on positioning therapy and early mobilization for the prevention or treatment of pulmonary dysfunction (21) were adopted, with additional consideration of more recent study findings: early mobilization ( $\leq$  72 hours after admission to the intensive care unit) is considered to be a safe measure contributing to an improved outcome (shorter ICU and hospital stays) and is therefore strongly recommended, unless contraindicated.

# Stress-ulcer prophylaxis

In 2013, the German Commission for Hospital Hygiene and Infection Prevention (*Kommission für Kranken*-

haushygiene und Infektionsprävention, KRINKO) recommended that alkalizing drugs to prevent stress ulcers should not be given to patients receiving enteral nutrition, and that an individual decision should be made on this matter for critically ill patients receiving parenteral nutrition (22). In a recent meta-analysis, a subgroup analysis of appropriately randomized studies revealed no relevant effects on the rates of pneumonia and clinically relevant gastrointestinal hemorrhage. It is, therefore, recommended that mechanically ventilated patients should not routinely be given H<sub>2</sub>-blockers or proton-pump inhibitors for stress-ulcer prophylaxis (QoE ++; strong recommendation).

# Tracheostomy

In the absence of high-quality clinical trials, the guideline group adopted the recommendation formulated by expert consensus in multiple international guidelines (23–25) that the need for a tracheostomy should always be evaluated individually in each patient.

The timing of tracheostomy has been the subject of many randomized, controlled trials; two recent metaanalyses yielded contradictory findings (26, 27). The subgroup analysis on 1-year mortality revealed no significant effect of early tracheostomy (i.e., within one week of intubation; meta-analysis, 788 patients, early tracheostomy 49.7% versus late tracheostomy 53.4 %). Nor was there any demonstrable reduction of the rate of ventilator-associated pneumonia (27). On the other hand, the danger of unnecessary tracheostomy is well-documented (meta-analysis, 2689 patients, rate of tracheostomy actually performed: early tracheostomy group, 86.7%, versus late tracheostomy group, 53.4%) (26). These data provide the basis for a recommendation against early tracheostomy in mechanically ventilated patients (QoE +++; strong recommendation).

# The treatment of severe or refractory impairment of gas exchange

# **Recruitment maneuvers**

In a meta-analysis on the use of recruitment maneuvers (RM), i.e., ventilation maneuvers in which the peak inspiratory pressure is raised in order to reopen atelectatic areas of the lungs, in-hospital mortality was found to be lower in the treatment group than in the control group (36% versus 32%, relative risk [RR] 0.84, 95% confidence interval [0.74; 0.95]). However, in many of the trials underlying this meta-analysis, RM was but one component of a bundle of therapeutic measures that were provided, and there was therefore a high risk of bias (28). In the trials with low bias, no mortality-lowering effect was seen. Therefore, it was decided not to make any recommendation in the present guideline either for against the performance of recruitment maneuvers in patients with ARDS, despite the presence of such a recommendation in the international sepsis guideline (29).

# BOX 2

# Evidence-based treatment algorithm for acute respiratory insufficiency

**Prerequisites:** adult patient, systematic diagnostic assessment (x-ray, cardiac echocardiography and pulmonary ultrasonography, bronchoscopy, computerized tomography, microbiology), and treatment of treatable causes.

- 1. Try to avoid mechanical ventilation by first giving non-invasive ventilation or high-flow oxygen therapy. (Exceptions: severely impaired oxygenation [PaO<sub>2</sub>/F<sub>i</sub>O<sub>2</sub> <150 mm Hg] or unsecured airway—initiate mechanical ventilation early in such cases.)
- Enable spontaneous breathing. (Exceptions: severe ARDS—consider relaxation vs. spontaneous breathing; right-heart failure, intracranial hypertension—in such cases, assess the benefits and risks critically.)
- Implement protective ventilator settings consistently. (SaO<sub>2</sub> 90–94%, appropriate PEEP\*1, V<sub>t</sub> 6–8 mL/kg [ARDS: V<sub>t</sub> ≤ 6 mL/kg], P<sub>max</sub> ≤ 30 cm H<sub>2</sub>O, ΔP ≤ 15 cm H<sub>2</sub>O.)
- 4. For severe ARDS: perform prone positioning (as soon as PaO<sub>2</sub>/F<sub>i</sub>O<sub>2</sub> <150 mm Hg) and restrict fluids. Critically assess recruiting maneuvers and relaxation. Make early contact with the regional ARDS/ECMO center.\*<sup>2</sup>
- 5. Mobilize the patient early (within 72 hours of ICU admission) and wean according to a protocol, with daily trials of spontaneous breathing and evaluation of stopping mechanical ventilation.
- 6. Inform patients and their relatives of the long-term sequelae of mechanical ventilation.

## \*1 PEEP settings:

PEEP settings are easily chosen with the aid of ventilatory protocal cards of the ARDS Network, but this method fails to take individual respiratory mechanics into account and can therefore be recommended only as a rough guide.

Ventilatory protocol card "lower PEEP/higher FiO2"

FiO <sub>2</sub>	0.3	0.4	0.4	0.5	0.5	0.6	0.7	0.7	0.7	0.8	0.9	0.9	0.9	1.0
PEEP	5	5	8	8	10	10	10	12	14	14	14	16	18	18–24

Ventilatory protocol card "higher PEEP/lower F<sub>i</sub>O<sub>2</sub>"

FiO <sub>2</sub>	0.3	0.3	0.3	0.3	0.3	0.4	0.4	0.5	0.5	0.5–0.8	0.8	0.9	1.0	1.0
PEEP	5	8	10	12	14	14	16	16	18	20	22	22	22	24

Alternative ways to determine PEEP settings for individualized PEEP titration:

- use of the static or quasi-static inspiratory compliance curve

- determination of maximal compliance with minimally impaired oxygen-carrying capacity of the circulation

- highest possible PEEP for V<sub>t</sub> = 6 mL/kg body weight and P<sub>insp</sub> in the range of 28–30 cm H<sub>2</sub>O

determination of the stress index

- measurement of the esophageal pressure

Acute hypercapnic respiratory failure:

- Setting of extrinsic peep at a level up to 85% of the intrinsic PEEP

\*2 Contact data of the regional ARDS/ECMO center:

#### Abbreviations:

ARDS: acute respiratory distress syndrome, ECMO: extracorporeal membrane oxygenation, F<sub>i</sub>O<sub>2</sub>: fraction of inspired oxygen; PaO<sub>2</sub>: partial pressure of oxygen in arterial blood; PEEP: positive end-expiratory pressure; P<sub>max</sub>: highest inspiratory pressure; ΔP: driving pressure; SaO<sub>2</sub>: arterial oxygen saturation; V<sub>t</sub>: tidal volume.

# Key messages

- Mechanical ventilation is recommended as primary treatment only for patients with severe ARDS (expert consensus, weak recommendation). For all other groups of patients with acute respiratory insufficiency, it is recommended that a trial of non-invasive ventilation should be carried out first (quality of evidence: very low to high; weak/strong recommendations).
- For mechanically ventilated patients who do not have severe ARDS, it is recommended that spontaneous breathing should be enabled early (quality of evidence: very low; weak recommendation).
- To avoid harm, high-frequency ventilation is not recommended for adult patients with ARDS (quality of evidence: high; strong recommendation).
- Early, consistent prone positioning of patients with severe ARDS is recommended, as it lessens mortality quality of evidence: high; strong recommendation).
- Veno-venous ECMO carries a high complication rate and is recommended for patients with severe ARDS and refractory hypoxemia only as a rescue measure after all other treatment options have been exhausted, and only in specialized centers (expert consensus, strong recommendation).

## **Prone positioning**

The recommendations of the S2e guideline on positioning therapy (21) were examined and compared with the current literature. Prone positioning, when initiated soon after the diagnosis of severe acute pulmonary failure (here:  $PaO_2/F_iO_2 <150 \text{ mmHg}$ ) and continued for at least 16 hours, is associated with reduced long-term mortality (meta-analysis, 977 patients in subgroup with  $PaO_2/F_iO_2 <150 \text{ mmHg}$ : long-term mortality reduced from 54.7% to 41.5%, RR 0.77 [0.65; 0.92]) (30). The guideline group therefore adopted the strong recommendation of the S2e guideline that ARDS patients with a severely impaired oxygenation (here:  $PaO_2/F_iO_2 <150 \text{ mm Hg}$ ) should be intermittently positioned prone.

# Inhaled nitric oxide (iNO) therapy

A pertinent meta-analysis showed no reduction of mortality by iNO therapy in ARDS patients (31); at the same time, an elevated rate of acute renal failure was seen (meta-analysis, 919 patients in the ARDS subgroup, renal failure rates 19% in the iNO group vs. 12.4% in the control group, RR 1.55 [1.15; 2.09]) (32). The routine use of iNO therapy is, therefore, not recommended for patients with ARDS (QoE ++++; strong recommendation).

### Extracorporeal gas-exchange techniques

No prospective, randomized, controlled trials have yet clearly demonstrated the mortality benefit of extracorporeal gas-exchange techniques for patients with ARDS. On the basis of expert consensus, the use of veno-venous ECMO is recommended only as a rescue measure for patients with severe ARDS and refractory hypoxemia, after all other treatment options have been exhausted (expert consensus, strong recommendation).

ECMO carries a high risk of severe complications and requires a complex, multidisciplinary care structure. It is, therefore, strongly recommended that patients with severe ARDS and refractory hypoxemia should be cared for in a center where ECMO is available and is, as a rule, performed in at least 20 patients per year (expert consensus, strong recommendation).

Purely extracorporeal  $CO_2$  elimination with lowflow systems has not been shown to date to have any effect on survival or on the duration of ICU stays, but it does have a higher rate of clinically relevant complications (33, 34). In order to avoid additional harm in the absence of any demonstrated benefit, it is strongly recommended that low-flow systems for extracorporeal  $CO_2$  elimination should not be used to lessen the invasiveness of ventilation in patients with ARDS (expert consensus, strong recommendation).

## Weaning off mechanical ventilation

Most patients can be rapidly weaned off mechanical ventilation, but weaning is difficult for some (24). Weaning protocols are used as an aid to the early evaluation of the patient's ability to be weaned. The use of weaning protocols in adult patients who have been mechanically ventilated for more than 24 hours has been shown to significantly lessen the mean duration of ventilation (from 72 hours to 54 hours) and is therefore recommended for such patients (QoE +++; strong recommendation) (35).

# Specific long-term sequelae

In the German S3 guideline on analgesia, sedation, and delirium management in intensive-care medicine (10), attention was drawn to post-traumatic stress disorder (PTSD) as a potential long-term sequela of treatment in an intensive care unit. This risk motivated the recommendation that patients' families should be informed about PTSD and anxiety disorders in a documented conversation that includes structured information on how best to deal with patients requiring mechanical ventilation in an intensive care unit (expert consensus, weak recommendation).

Important clinical trials that are relevant to the topic of this guideline, but were published after the end of the guideline development process, are listed in Box 1.

# Conclusion

The main objectives of this guideline are: (1) the improved clinical implementation of therapeutic measures that have been shown to be effective in mechanically ventilated patients, and (2) the reduction of excessive treatment with measures whose benefit has not been clearly demonstrated. In pursuit of these aims, the guideline group defined 119 recommendations, among which are 27 key recommendations, which, if consistently

implemented, should improve the quality of clinical care in intensive care units.

The guideline is organized along the lines of the temporal course of an individual patient's treatment in order to make it easier to use. To improve its rapid accessibility, multiple shortened versions ("Short Version," "Key Recommendations and Quality Indicators," *eTables, eBox*) and a "Pocket Edition" (*Box 2*) were also developed. The Pocket Edition reproduces the central statements of the guideline. An accompanying implementation study is now being carried out to identify and analyze any major barriers that might impede the implementation of the recommendations contained in the guideline. Based on the findings of this study, an implementation manual will be created that will make it easier for users to apply the scientific evidence on mechanical ventilation therapy in their clinical work in the intensive care unit.

#### Conflict of interest statement

Prof. Moerer has received lecture honoraria from Pulsion, CareFusion, and Maquet. His department has received research funding from Maquet, CareFusion, B. Braun, CSL Behring, LMA, Pulsion, and Med. Systems.

Prof. Weber-Carstens has received funding for a research project that he initiated, as well as for the performance of clinical trials, from the Dräger company (and he therefore abstained from voting on related subjects during the development of this S3 guideline).

PD Dr. Laudi, Dr. Fichtner, Dr. Nothacker, and Prof. Kaisers state that they have no conflict of interest.

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#### Supplementary material

eBoxes, eTables, eFigure: www.aerzteblatt-international.de/18m0840

# Supplementary material to:

# Mechanical Ventilation and Extracorporeal Membrane Oxygenation in Acute Respiratory Insufficiency

by Falk Fichtner, Onnen Moerer, Sven Laudi, Steffen Weber-Carstens, Monika Nothacker, and Udo Kaisers for the Guideline Group on Mechanical Ventilation and Extracorporeal Membrane Oxygenation in Acute Respiratory Insufficiency

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# eBOX

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# Participating scientific medical societies

Main issuing society: German Society for Anesthesiology and Intensive Care Medicine Deutsche Gesellschaft für Anästhesiologie und Intensivmedizin (DGAI)

Participating scientific medical societies and organizations:

German Interdisciplinary Association for Intensive Care and Emergency Medicine Deutsche Interdisziplinäre Vereinigung für Intensiv- und Notfallmedizin (DIVI)

Swiss Society for Intensive Care Medicine Schweizerische Gesellschaft für Intensivmedizin (SGI)

Austrian Society for Anesthesiology, Resuscitation, and Intensive Care Medicine Österreichische Gesellschaft für Anästhesiologie, Reanimation und Intensivmedizin (ÖGARI)

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German Society for Cardiology and Cardiovascular Research Deutsche Gesellschaft für Kardiologie – Herzund Kreislaufforschung (DGK)

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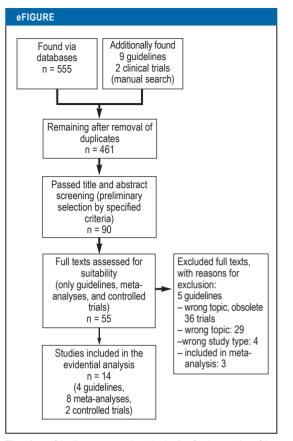
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**Flowchart** of the literature search and selection for the creation of the S3 guideline on mechanical ventilation and extracorporeal techniques in the treatment of acute respiratory insufficiency—example: Chapter 4.1 (PEEP).

## eTABLE 1

The assessment of evidence q	uality and the determination of recommendation strengths according to GRADE (5, 6)						
Evidence quality (EQ)							
Symbol	Assessment						
++++	High-quality evidence						
	Description: "[] Further research is very unlikely to change our confidence in the estimate of effect []"						
+++	Moderate-quality evidence						
	Description: "[] Higher-quality research may well have an important impact on our confidence in the estimate of effect and may change the estimate []"						
++	Low-quality evidence						
	Description: "[] Further research is likely to have an important impact on our confidence in the estimate of effect and may well change the estimate []"						
+	Very low-quality evidence						
•	Description: "[] The observed effect is fraught with great uncertainty []"						
Expert consensus	No relevant evidence						
Recommendation strength							
Formulation	Assessment						
X is recommended	Strong recommendation for/against						
X is not recommended	Description: "[] strong confidence in the relation between desired and undesired effects or strong predominance of desired or undesired effects []"						
It is suggested that X	Weak recommendation for/against						
It is suggested that not-X	Description: "[] weak confidence in the relation between desired and undesired effects or weak predominance of desired or undesired effects []"						
No recommendation for or	No recommendation						
against X can be given	Description: "[] no confidence in the relation between desired and undesired effects or no predominance of desired or undesired effects []"						

GRADE, Grading of Recommendations, Assessment, Development and Evaluation

## eTABLE 2

Definition of the acute respiratory distress syndrome (Berlin Definition, 2012) (7)

Timing	Within one week of a known clinical insult or new or worsening respiratory symptoms				
Chest imaging – chest x-ray or chest CT	Bilateral opacities, not fully explained by effusions, lobar/lung collapse, or nodules				
Origin of edema	Respiratory failure not fully explained by cardiac failure or fluid overload; need objective assessment (e.g., echocardiography) to exclude hydrostatic edema if no risk factor present				
Oxygenation disturbance	Mild: 200 mmHg $P_aO_2/F_iO_2 \le 300$ mmHg and PEEP/CPAP $\ge 5$ cmH <sub>2</sub> O*				
<ul> <li>– at altitudes above 1000m, the correction factor should be calculated as follows: P<sub>a</sub>O<sub>2</sub>/(F<sub>i</sub>O<sub>2</sub> × barometric pressure [mm Hq]/760)</li> </ul>	Moderate: 100 mmHg $P_aO_2/F_iO_2 \le 200$ mmHg and PEEP $\ge 5$ cmH <sub>2</sub> O				
tonows. $P_a O_{2}(1, O_2 \land barometric pressure [mining]/100)$	Severe: $P_aO_2/F_iO_2 \le 100 \text{ mmHg}$ und PEEP $\ge 5 \text{ cmH}_2O$				

\* PEEP/CPAP for mild ARDS also as non-invasive ventilation

ARDS: acute respiratory distress syndrome;  $FO_2$ : inspiratory oxygen concentration;  $P_aO_2$ : arterial partial pressure of oxygen; PEEP/CPAP: positive end-expiratory pressure / continuous positive airway pressure