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## Sequencing of anthracyclines and taxanes in neoadjuvant and adjuvant therapy for early breast cancer (Review)

Zaheed M, Wilcken N, Willson ML, O'Connell DL, Goodwin A

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## TABLE OF CONTENTS

ABSTRACT .....	1
PLAIN LANGUAGE SUMMARY .....	2
SUMMARY OF FINDINGS .....	4
BACKGROUND .....	8
OBJECTIVES .....	8
METHODS .....	8
RESULTS .....	11
Figure 1. ....	12
Figure 2. ....	15
Figure 3. ....	17
Figure 4. ....	17
Figure 5. ....	18
DISCUSSION .....	21
AUTHORS' CONCLUSIONS .....	21
ACKNOWLEDGEMENTS .....	22
REFERENCES .....	23
CHARACTERISTICS OF STUDIES .....	26
DATA AND ANALYSES .....	47
Analysis 1.1. Comparison 1 Neoadjuvant, Outcome 1 Overall survival. ....	48
Analysis 1.2. Comparison 1 Neoadjuvant, Outcome 2 Disease-free survival. ....	48
Analysis 1.3. Comparison 1 Neoadjuvant, Outcome 3 Pathological complete response (pCR) includes by hormone or HER2 receptor status. ....	48
Analysis 1.4. Comparison 1 Neoadjuvant, Outcome 4 Pathological response. ....	49
Analysis 1.5. Comparison 1 Neoadjuvant, Outcome 5 Adverse events. ....	50
Analysis 1.6. Comparison 1 Neoadjuvant, Outcome 6 Treatment adherence: dose reduction. ....	50
Analysis 2.1. Comparison 2 Adjuvant, Outcome 1 Adverse events. ....	51
Analysis 2.2. Comparison 2 Adjuvant, Outcome 2 Treatment adherence: delay in treatment. ....	52
Analysis 2.3. Comparison 2 Adjuvant, Outcome 3 Treatment adherence: dose reduction. ....	53
Analysis 2.4. Comparison 2 Adjuvant, Outcome 4 Treatment adherence: one-dose reduction. ....	53
Analysis 2.5. Comparison 2 Adjuvant, Outcome 5 Treatment adherence: did not receive planned cycles. ....	53
ADDITIONAL TABLES .....	54
APPENDICES .....	55
CONTRIBUTIONS OF AUTHORS .....	59
DECLARATIONS OF INTEREST .....	59
SOURCES OF SUPPORT .....	60
DIFFERENCES BETWEEN PROTOCOL AND REVIEW .....	60
INDEX TERMS .....	60

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[Intervention Review]

# Sequencing of anthracyclines and taxanes in neoadjuvant and adjuvant therapy for early breast cancer

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## ABSTRACT

### Background

Anthracyclines and taxanes are chemotherapeutic agents widely used in a sequential regimen in the adjuvant and neoadjuvant treatment of early breast cancer to reduce the risk of cancer recurrence. Standard practice is to administer anthracycline-based chemotherapy followed by a taxane. Anthracyclines tend to be administered first as they were established before taxanes for treatment of early breast cancer.

### Objectives

To assess whether the sequence in which anthracyclines and taxanes are administered affects outcomes for people with early breast cancer receiving adjuvant or neoadjuvant therapy.

### Search methods

We searched Cochrane Breast Cancer's Specialised Register, CENTRAL, MEDLINE, Embase, the World Health Organization's International Clinical Trials Registry Platform (WHO ICTRP) and ClinicalTrials.gov on 1 February 2018.

### Selection criteria

Randomised controlled trials comparing administering a taxane prior to an anthracycline with taxane following anthracycline to people with early breast cancer receiving chemotherapy. The studies needed to have reported on at least one of our outcomes of interest, which included overall survival, disease-free survival, pathological response, treatment adherence, toxicity and quality of life.

### Data collection and analysis

Two review authors independently extracted data, assessed risk of bias and quality of the evidence. The primary outcome measure was overall survival. Secondary outcomes included disease-free survival, pathological response (in the neoadjuvant setting only), adverse events, treatment adherence and quality of life. For time-to-event outcomes of overall survival and disease-free survival, we derived hazard ratios (HRs) with 95% confidence intervals (CI) where possible. For dichotomous outcomes of pathological complete response, treatment

adherence and adverse events, we reported the treatment effect as a risk ratio (RR) with 95% CI where possible. We used GRADE to assess the certainty of the evidence separately for the neoadjuvant and adjuvant settings.

### Main results

There were 1415 participants in five neoadjuvant studies and 280 participants in four adjuvant studies involving five treatment comparisons. Four of the five neoadjuvant studies collected data for the primary outcome (overall survival) and two studies had data available; one of the four adjuvant studies collected overall survival data.

The neoadjuvant studies suggested that the administration of taxanes first probably resulted in little to no difference in overall survival (HR 0.80, 95% CI 0.60 to 1.08; 947 participants; 2 studies; moderate-certainty evidence) and disease-free survival (HR 0.84, 95% CI 0.65 to 1.09; 828 participants; 1 study; moderate-certainty evidence). Administration of taxanes first also resulted in little to no difference in pathological complete response (absence of cancer in the breast and axilla: RR 1.15, 95% CI 0.96 to 1.38; 1280 participants; 4 studies; high-certainty evidence). However, there appeared to be a trend in favour of taxanes first. Studies reported treatment adherence using a range of measures. Administration of taxanes first probably did not increase the likelihood of requiring dose reductions compared to administration of anthracyclines first (RR 0.81, 95% CI 0.59 to 1.11; 280 participants; 1 study; moderate-certainty evidence). There was probably little to no difference in the risk of grade 3/4 neutropenia (RR 1.25, 95% CI 0.86 to 1.82; 280 participants, 1 study; moderate-certainty evidence) or grade 3/4 neurotoxicity (RR 0.95, 95% CI 0.55 to 1.65; 1108 participants; 2 studies; low-certainty evidence) when taxanes were given first. There were no data on quality of life.

Only one adjuvant study collected data on overall survival and disease-free survival but did not report data. Administration of taxanes first reduced the risk of grade 3/4 neutropenia (RR 0.62, 95% CI 0.40 to 0.97; 279 participants; 4 studies, 5 treatment comparisons; high-certainty evidence) and appeared to result in little to no difference in grade 3/4 neurotoxicity (RR 0.78, 95% CI 0.25 to 2.46; 162 participants; 3 studies; low-certainty evidence). There was probably little to no difference in the proportions experiencing dose delays when taxanes are given first compared to anthracyclines given first (RR 0.76, 95% CI 0.52 to 1.12; 238 participants; 3 studies, 4 treatment comparisons; moderate-certainty evidence). One study reported on quality of life and indicated that scores (using the Functional Assessment of Cancer Therapy – Breast Cancer (FACT-B) validated questionnaire) were similar in both groups though did not provide numerical data.

### Authors' conclusions

In the neoadjuvant setting, there is high- to low-certainty evidence of equivalent outcomes for the sequence in which taxanes are delivered. In the adjuvant setting, none of the studies reported on overall survival or disease-free survival. In most institutions, standard practice would be to deliver anthracycline followed by taxane, and currently available data do not support a change in this practice. We wait for the full-text publication of a relevant neoadjuvant study for women with HER2-negative breast cancer for inclusion in an update of this review.

## PLAIN LANGUAGE SUMMARY

### Taxane chemotherapy before or after anthracycline chemotherapy in early breast cancer

Anthracyclines and taxanes are active classes of chemotherapeutic agents used before or after surgery for early breast cancer.

#### What is the aim of this review?

We aimed to find out if giving people with early breast cancer (where the cancer has not spread beyond the lymph nodes near the breast) taxane chemotherapy before anthracycline chemotherapy (instead of after) would change outcomes.

While the benefits of adding taxanes to anthracyclines are well established, it is unknown whether giving taxane chemotherapy before or after anthracycline chemotherapy has an impact on how long people live, how long they remain free of breast cancer, their completion of treatment, the side effects of treatment and their quality of life.

#### Key messages from the review

The order in which taxane and anthracycline chemotherapies are given may have had little to no impact on:

- how long participants lived;
- how long they remained free of breast cancer;
- completion of treatment and
- side effects of treatment.

None of the studies reported data on quality of life. Many of the studies did not report information on important outcomes such as how long people will live or remain free of breast cancer. We await the publication of one relevant study involving 112 participants who receive chemotherapy before breast cancer surgery for inclusion in an update of this review.

In summary, the results found no sufficient evidence of benefit or harm due to the order in which taxane and anthracycline chemotherapies are given. In most institutions, standard practice would be to deliver anthracycline followed by taxane. Based on this review of the evidence, the currently available data do not support a change in this practice.

**What was studied in the review?**

For women with early breast cancer who have a higher risk of cancer returning, combination chemotherapy with anthracycline and taxane is often offered either before or after surgery to reduce the risk of cancer returning and prolong life. Traditionally, anthracyclines are given first followed by taxanes but there is no strong evidence for this order. We compared the possibility of giving taxanes first followed by anthracyclines compared to the standard treatment with anthracycline first.

**What are the main results of the review?**

All participants in the studies were women. We found five studies involving 1415 participants in which chemotherapy was given prior to surgery. The taxane medicine used in three of these studies was paclitaxel, while the other two studies used docetaxel. Two studies used a single agent anthracycline (epirubicin), while three studies used a combination of epirubicin, cyclophosphamide and fluorouracil. There were also four studies involving 280 participants that compared the order of giving taxanes and anthracyclines to participants who were receiving chemotherapy after breast cancer surgery. The taxane used in all four studies was docetaxel, while the anthracyclines used were a combination of epirubicin or adriamycin plus either cyclophosphamide or fluorouracil (or both).

The main results were that the order in which taxane chemotherapy is given:

- probably resulted in little to no difference in survival or risk of cancer coming back for participants who receive chemotherapy before surgery;
- probably resulted in little or no difference in the degree by which the tumour may have shrunk in response to chemotherapy for participants who received chemotherapy before surgery;
- may have resulted in little or no difference in having side effects for participants receiving chemotherapy before surgery but giving taxanes first reduced the risk of neutropenia (low white blood cell count) in those who received chemotherapy after surgery. The side effects that were examined were neutropenia and neurotoxicity (damage to the nerves);
- probably resulted in little to no difference in the proportion of participants receiving chemotherapy after breast cancer surgery experiencing delays in chemotherapy doses.

Many studies did not collect or report data on survival, the risk of cancer coming back or overall well-being (quality of life). In some cases, the studies did not report data that could be used in the review and we wait for responses from the investigators who conducted the trials.

**How up-to-date is this review?**

The review authors searched for studies that had been published up to February 2018.

## SUMMARY OF FINDINGS

### Summary of findings for the main comparison. Taxane followed by anthracyclines compared to anthracyclines followed by taxane in neoadjuvant therapy for early breast cancer

#### Taxane followed by anthracyclines compared to anthracyclines followed by taxane in neoadjuvant therapy for early breast cancer

**Patient or population:** neoadjuvant therapy for early breast cancer

**Setting:** outpatient

**Intervention:** taxane followed by anthracyclines

**Comparison:** anthracyclines followed by taxane

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	N° of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with anthracyclines followed by taxane	Risk with taxane followed by anthracyclines				
<b>Overall survival</b> (follow-up: up to 5 years)	<b>3-year risk of death<sup>a</sup></b>		<b>HR 0.80</b> (0.60 to 1.08)	947 (2 RCTs)	⊕⊕⊕⊖ <b>Moderate<sup>b</sup></b>	—
	702 per 1000	620 per 1000 (516 to 729)				
<b>Disease-free survival</b> (follow-up: up to 5 years)	<b>3-year risk of recurrence<sup>a</sup></b>		<b>HR 0.84</b> (0.65 to 1.09)	828 (1 RCT)	⊕⊕⊕⊖ <b>Moderate<sup>c</sup></b>	—
	616 per 1000	552 per 1000 (463 to 648)				
<b>Pathological complete response</b> (no invasive cancer in breast or axilla)  (follow-up: up to 5 years for 2 studies; unreported in 2 studies)	<b>Study population</b>		<b>RR 1.15</b> (0.96 to 1.38)	1280 (4 RCTs)	⊕⊕⊕⊕ <b>High<sup>d</sup></b>	—
	228 per 1000	262 per 1000 (219 to 314)				
<b>Adverse events: neutropenia</b> (grade 3/4)  (follow-up: up to 6 months based on number of chemotherapy cycles)	<b>Study population</b>		<b>RR 1.25</b> (0.86 to 1.82)	280 (1 RCT)	⊕⊕⊕⊖ <b>Moderate<sup>e</sup></b>	—
	254 per 1000	317 per 1000 (218 to 462)				
<b>Adverse events: neurotoxicity</b> (grade 3/4)  (follow-up: up to 5 or 6 months based on number of chemotherapy cycles)	<b>Study population</b>		<b>RR 0.95</b> (0.55 to 1.65)	1108 (2 RCTs)	⊕⊕⊖⊖ <b>Low<sup>f</sup></b>	—
	45 per 1000	43 per 1000 (25 to 75)				

<b>Treatment adherence</b> (defined as dose reduction)  (follow-up: up to 6 months based on number of chemotherapy cycles)	<b>Study population</b>		<b>RR 0.81</b> (0.59 to 1.11)	280 (1 RCT)	⊕⊕⊕⊖ <b>Moderate</b> <sup>g</sup>	—
	399 per 1000	323 per 1000 (235 to 442)				
<b>Quality of life</b>	—		—	—	—	Not measured

\***The risk in the intervention group** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

**CI:** confidence interval; **HR:** hazard ratio; **RCT:** randomised controlled trial; **RR:** risk ratio.

#### GRADE Working Group grades of evidence

**High certainty:** we are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect

**Very low certainty:** we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect

<sup>a</sup>The baseline risk in the anthracycline followed by taxane group was based on risk estimates provided in [Neo-TAnGo 2014](#) (Figure 2D for overall survival; Figure 2B for disease-free survival).

<sup>b</sup>The number of events did not meet the optimal information size (approximately 120 events in total in one study) and only one study reported follow-up of five years for overall survival. Therefore, we downgraded by one level for both imprecision and indirectness.

<sup>c</sup>The optimal information size was not met (as per GRADE guidance; [Guyatt 2011](#)). Therefore, we downgraded by one level for imprecision only.

<sup>d</sup>We did not downgrade for imprecision as the optimal information size was met.

<sup>e</sup>The confidence intervals were very wide, indicating no effect and appreciable benefit and harm with taxanes first. Therefore, we downgraded by one level for imprecision.

<sup>f</sup>The confidence intervals were very wide and the impact of unblinding on the assessment of neurotoxicity was unclear. Therefore we downgraded by one level for imprecision and one level for risk of bias.

<sup>g</sup>The optimal information size was not met (as per GRADE guidance; [Guyatt 2011](#)), and the impact of unblinding on treatment adherence was unclear. Therefore, we downgraded by one level only for imprecision and risk of bias. We did not think that risk of bias was very serious due to the design of the studies whereby participants received both treatment drugs but in a different order; therefore, we deducted 0.5 levels for risk of bias.

## Summary of findings 2. Taxane followed by anthracyclines compared to anthracyclines followed by taxane in adjuvant therapy for early breast cancer

### Taxane followed by anthracyclines compared to anthracyclines followed by taxane in adjuvant therapy for early breast cancer

**Patient or population:** adjuvant therapy for early breast cancer

**Setting:** outpatient

**Intervention:** taxane followed by anthracyclines

**Comparison:** anthracyclines followed by taxane

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	N° of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with anthracyclines followed by taxane	Risk with taxane followed by anthracyclines				
Overall survival	—	—	—	—	—	Not reported
Disease-free survival	—	—	—	—	—	Not reported
Adverse events: neutropenia (grade 3/4) (follow-up: up to 3.5 or 4.5 months)	<b>Study population</b>		<b>RR 0.62</b> (0.40 to 0.97)	279 (4 RCTs, 5 treatment comparisons)	⊕⊕⊕⊖ <b>High<sup>a</sup></b>	—
	255 per 1000	158 per 1000 (102 to 248)				
Adverse events: neurotoxicity (grade 3/4) (follow-up: up to 4 or 4.5 months)	<b>Study population</b>		<b>RR 0.78</b> (0.25 to 2.46)	162 (3 RCTs)	⊕⊕⊖⊖ <b>Low<sup>b</sup></b>	—
	63 per 1000	49 per 1000 (16 to 156)				
Treatment adherence (defined as dose delay) (follow-up: up to 3.5 or 4.5 months)	<b>Study population</b>		<b>RR 0.76</b> (0.52 to 1.12)	238 (3 RCTs, 4 treatment comparisons)	⊕⊕⊕⊖ <b>Moderate<sup>c</sup></b>	—
	333 per 1000	253 per 1000 (173 to 373)				
Quality of life (follow-up: up to 4 months)	1 study reported quality of life data using the FACT-B version 4 questionnaire (Puhalla 2008). Scores were similar in both groups for a subset of 20 participants who were assessed before, during and after treatment. Numerical or further details were not provided in the trial publication.		—	20 (1 RCT)	⊕⊕⊕⊖ <b>Moderate<sup>d</sup></b>	—

\*The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

CI: confidence interval; RCT: randomised controlled trial; RR: risk ratio.

#### GRADE Working Group grades of evidence

**High certainty:** we are very confident that the true effect lies close to that of the estimate of the effect

**Moderate certainty:** we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low certainty:** our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect

**Very low certainty:** we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect



<sup>a</sup>We did not downgrade the certainty of the evidence. A lack of blinding was judged to be unlikely to influence physician assessment of grade 3/4 neutropenia (blood tests) and there was no heterogeneity detected across studies.

<sup>b</sup>The confidence intervals were very wide and the impact of unblinding on the assessment of neurotoxicity was unclear. Therefore, we downgraded by one level for imprecision and one level for risk of bias.

<sup>c</sup>The study was open-label with no independent assessment of this outcome. We did not think that the risk of bias was overly serious due to the design of the study where participants received both treatment drugs but in a different order. The confidence intervals were wide and the optimal information size was not met. Therefore, we downgraded by one level for both imprecision and risk of bias.

<sup>d</sup>Information for this outcome derived from a very small sample size (from one study). Therefore, we downgraded by one level due to imprecision. We did not downgrade for risk of bias despite this being an open-label study. This is because all participants received the same treatment though in a different order and this was unlikely to influence participant-reported responses.

## BACKGROUND

### Description of the condition

Breast cancer is the most common malignancy and the second leading cause of cancer-related mortality among women worldwide, and thus represents a significant healthcare burden (Ferlay 2015). Over the past few decades there have been substantial improvements in survival for women with early breast cancer following the introduction of adjuvant (after surgery) and neoadjuvant (before surgery) chemotherapy, endocrine therapy and human epidermal growth factor receptor 2 (HER2)-directed therapy (Cossetti 2015).

### Description of the intervention

Anthracyclines and taxanes are active classes of chemotherapeutic agents used in the adjuvant and neoadjuvant treatment of women with early breast cancer.

Anthracyclines (e.g. doxorubicin, epirubicin, liposomal doxorubicin) exert their effect by complexing with DNA and topoisomerase II to induce apoptosis (i.e. cell death) and inhibit DNA and ribonucleic acid (RNA) synthesis. Potential toxicities of anthracyclines include cardiotoxicity, myelosuppression (that results in a reduced number of blood cells) and secondary malignancies (predominantly types of haematological cancer).

Taxanes (e.g. docetaxel, paclitaxel, nab-paclitaxel) exert their effect by stabilising microtubules (fibrous shafts that assist chromosomes to divide), thereby inhibiting cell division and cell function. Potential toxicities of taxanes include neuropathy (i.e. tingling in the hands and feet), myelosuppression and myalgia (muscle pain).

At present, standard clinical practice for women with early breast cancer is to administer a regimen of anthracycline-based chemotherapy followed by a taxane. The reason for this established sequence appears to be historical rather than linked to outcomes. Anthracyclines were developed first and the benefit of anthracycline chemotherapy for early breast cancer was established prior to that of taxanes (Jones 2006; Levine 1998). However, one reason to assess the optimal sequence of anthracyclines and taxanes is the finding that outcomes were better when taxanes were given first, in one large retrospective analysis involving approximately 1600 women with breast cancer who received paclitaxel and anthracycline as adjuvant therapy (Alvarez 2010).

### How the intervention might work

It is unknown whether the order in which taxanes and anthracyclines are administered results in significantly different outcomes for women with early breast cancer. It remains to be determined if the administration of taxanes first leads to better, worse or no difference in treatment outcomes. The effect may also differ depending on the receptor status of the tumour.

### Why it is important to do this review

The aim of this review was to assess whether the sequence in which anthracyclines and taxanes are administered, as adjuvant or neoadjuvant chemotherapy, affects outcomes for women with early breast cancer. The results of this review could potentially guide the management of chemotherapy sequencing for women with early breast cancer requiring adjuvant or neoadjuvant

chemotherapy. A previous systematic review examined this important topic, but since it was published further trials have been conducted (Bines 2014). This Cochrane Review complements the review by Bines 2014 by adding more recent trial results and critically appraising the included studies.

## OBJECTIVES

To assess whether the sequence in which anthracyclines and taxanes are administered affects outcomes for people with early breast cancer receiving adjuvant or neoadjuvant therapy.

## METHODS

### Criteria for considering studies for this review

#### Types of studies

All randomised controlled trials (RCT) that examined the sequence of administration of anthracyclines and taxanes for people with early breast cancer receiving adjuvant or neoadjuvant chemotherapy.

#### Types of participants

Aged 18 years or older, with early breast cancer suitable for adjuvant or neoadjuvant chemotherapy.

#### Types of interventions

##### Intervention

Taxane (docetaxel, paclitaxel or nab-paclitaxel) chemotherapy administered before an anthracycline-based chemotherapy. The same regimen of drugs were administered as the comparator arm in reverse sequence. We included studies in which concurrent interventions with any other non-anthracycline-based chemotherapy, granulocyte colony stimulating factor or trastuzumab were administered. We excluded studies in which concurrent interventions with radiotherapy or endocrine therapy were administered. Interventions could include:

- docetaxel delivered intravenously at any dose weekly, every 14 days or every 21 days for three or four cycles;
- paclitaxel delivered intravenously at any dose weekly for 12 weeks, every 14 days or 21 days for three or four cycles;
- nab-paclitaxel delivered intravenously at any dose weekly or every 21 days for three or four cycles.

##### Comparator

Anthracycline (doxorubicin, epirubicin or liposomal doxorubicin)-based chemotherapy administered before taxane chemotherapy. The same regimen of drugs was administered as in the intervention arm but in reverse sequence. We included studies in which concurrent interventions with any non-taxane chemotherapy or granulocyte colony stimulating factor or trastuzumab were administered. We excluded studies in which concurrent interventions with radiotherapy or endocrine therapy were administered. Comparisons could include:

- doxorubicin delivered intravenously at any dose every 14 days or every 21 days for three or four cycles;
- epirubicin delivered intravenously at any dose every 14 days or every 21 days for three or four cycles;

- liposomal doxorubicin delivered at any dose or frequency for three or four cycles.

### Types of outcome measures

#### Primary outcomes

##### Neoadjuvant and adjuvant setting

- Overall survival, defined as the time from randomisation/study entry until death from any cause.

#### Secondary outcomes

##### Neoadjuvant setting

- Disease-free survival, defined as time from surgery to first recurrence of breast cancer at any site, development of new ipsilateral (same breast as previous breast cancer) or contralateral (different breast to previous breast cancer) breast cancer or second non-breast malignant disease with the exception of basal cell or squamous cell carcinoma of the skin, haematological cancers or carcinoma in situ of the cervix.
- Pathological complete response (pCR), defined as no invasive carcinoma in the breast or axillary lymph nodes (ypT0/isypN0 (TNM staging; [AJCC 2010](#))) after neoadjuvant therapy.
- Standardised Residual Cancer Burden score (RCB; [MD Anderson Cancer Center](#)).
- Degree of response after neoadjuvant therapy:
  - no invasive or in situ carcinoma in the breast or axillary lymph nodes (ypT0ypN0);
  - no invasive carcinoma in breast (ypT0/isypN0/+);
  - no invasive carcinoma in axillary lymph nodes (ypN0).

##### Adjuvant setting

- Disease-free survival, defined as time from randomisation to first recurrence of breast cancer at any site, development of new ipsilateral or contralateral breast cancer or second non-breast malignant disease with the exception of basal cell or squamous cell carcinoma of the skin, haematological cancers or carcinoma in situ of the cervix.

##### Neoadjuvant and adjuvant setting

- Adverse events classified according to the World Health Organization (WHO) or National Cancer Institute Common Terminology Criteria for Adverse Events ([NCI-CTCAE](#)):
  - febrile neutropenia;
  - neutropenia;
  - cardiac toxicity;
  - pulmonary toxicity;
  - neurotoxicity;
  - haematological malignancy;
  - treatment-related death.
- Treatment adherence, defined as delay in treatment or dose reductions, or both, or early cessation of treatment.
- Quality of life measured using a validated instrument.

#### Main outcomes in 'Summary of findings' table for summarising the evidence

The following outcomes were included in a 'Summary of findings' table using the GRADE approach ([Schünemann 2011](#)).

- Overall survival (mortality).
- Disease-free survival (recurrence).
- pCR for neoadjuvant setting.
- Treatment adherence.
- Adverse events including grade 3/4 neutropenia and neurotoxicity.
- Quality of life.

### Search methods for identification of studies

#### Electronic searches

We searched the following databases on 1 February 2018.

- The Cochrane Breast Cancer's Specialised Register. Details of the search strategies used by the Cochrane Breast Cancer Group (CBCG) for the identification of studies and the procedure used to code references are outlined on the Group's website ([Cochrane Breast Cancer Group's Specialised Register](#)). We extracted and considered for inclusion in the review trials with the key words "breast neoplasm; breast cancer; breast carcinoma; breast adenocarcinoma; breast tumour/tumor; adjuvant; neoadjuvant; anthracycline; taxane; chemotherapy; docetaxel; paclitaxel; nab-paclitaxel; cabazitaxel; doxorubicin; epirubicin; daunorubicin; idarubicin and valrubicin".
- Cochrane Central Register of Controlled Trials (CENTRAL; Issue 1, 2018; in the Cochrane Library; [Appendix 1](#)).
- MEDLINE OvidSP (top up search to complement CBCG's Specialised Register; [Appendix 2](#)).
- Embase OvidSP (from 1974; [Appendix 3](#)).
- WHO International Clinical Trials Registry Platform (ICTRP) search portal for all prospectively registered and ongoing trials ([apps.who.int/trialsearch/Default.aspx](#); [Appendix 4](#)).
- ClinicalTrials.gov ([clinicaltrials.gov](#); [Appendix 5](#)).

#### Searching other resources

- Bibliographic searching.

We tried to identify further studies from the reference lists of identified relevant trials or reviews. We obtained a copy of the full article for each reference reporting a potentially eligible trial. Where this was not possible, we contacted authors to obtain additional information (as outlined in the 'Notes' section in the [Characteristics of included studies](#) table).

- Searching conference proceedings.

We searched the following conference proceedings in Embase (via OvidSP) from 2006 to 1 February 2018 to identify relevant abstracts:

- American Society of Clinical Oncology Annual Scientific Meeting;
- European Society for Medical Oncology Annual Scientific Meeting;
- San Antonio Breast Cancer Symposium;
- American Society of Clinical Oncology Breast Cancer Symposium;
- European Breast Cancer Conference.

## Data collection and analysis

### Selection of studies

We merged the search results using reference management software (e.g. [Endnote](#)) and uploaded the records into [Covidence](#) ([Covidence](#)). Two review authors (MZ and AG) independently screened titles and abstracts, and assessed full-text articles for potentially relevant studies for inclusion. We resolved any disagreement about the eligibility of a study by discussion and, if required, by consulting a third review author (NW). We recorded our reasons for the exclusion of any potentially relevant studies in the [Characteristics of excluded studies](#) table. We imposed no language restrictions. If required for future review updates, we will obtain translations of relevant studies. We recorded the selection process in a PRISMA flow diagram.

### Data extraction and management

Two review authors (MZ and MW) independently extracted data using standard extraction forms tested and refined for this review. We collected the following information: study design, participants, setting, interventions, follow-up, sources of funding, notable conflicts of interest of trial authors and outcomes.

We extracted at least the following items.

- General information: title, authors, contact details, location, publication status, language, year of publication, source of funding.
- Trial characteristics: study design, length of follow-up.
- Participants: inclusion and exclusion criteria, sample size, baseline characteristics and similarity at baseline, neoadjuvant/ adjuvant setting, hormone receptor status, HER2 in-situ hybridisation status, withdrawals, losses to follow-up.
- Intervention and comparator: drug, dose, timing and number of cycles, dose reductions, dose omissions.
- Adverse events and toxicities.
- Outcomes: hazard ratios (HR) and 95% confidence intervals (CI), log rank  $\chi^2$  statistic, P values from log-rank test, number of events.

We resolved any disagreement regarding the extraction of quantitative data by discussion and, if required, by consulting a third review author (NW, AG or DO'C). For studies with more than one publication, we collated data from each publication into a single data collection form and considered the final or updated version of each study the primary reference.

### Assessment of risk of bias in included studies

Two review authors (MZ and MW) independently assessed the risk of bias for each study using Cochrane's 'Risk of bias' assessment tool, as outlined in the *Cochrane Handbook for Systematic Reviews of Interventions* (Chapter 8.5; [Higgins 2011](#)). We resolved any disagreements by discussion and, if needed, by consulting a third author (AG, NW or DO'C). We assessed the following sources of bias:

- sequence generation;
- allocation concealment;
- blinding of participants, personnel;
- blinding of outcome assessment for outcomes other than overall survival;

- incomplete outcome data;
- selective outcome reporting;
- other sources of bias.

We described the 'Risk of bias' assessments in a 'Risk of bias' table (see [Characteristics of included studies](#) table).

### Measures of treatment effect

For dichotomous outcomes (i.e. a variable with only two outcomes such as yes or no) – treatment adherence (i.e. dose delays, dose reductions, one-dose reduction, did not receive planned number of cycles), pCR and adverse events – we reported the treatment effect as a risk ratio (RR) and 95% CI. We planned to report the number needed to treat for an additional beneficial outcome if there was a significant difference in pCR. RRs less than 1.0 favour the administration of taxanes first for adverse outcomes (i.e. lack of treatment adherence, adverse events) or favour administration of anthracyclines first for beneficial outcomes (i.e. pCR). The reverse is the case for RRs greater than 1.0.

In review updates, for continuous outcomes (where measurement is continuous on a numerical scale) – quality of life – we will report the treatment effect as a standardised mean difference and 95% CI as quality of life is expected to be measured using different scales. If all studies use the same scale, we will report the mean difference. In the current review, only one study measured quality of life but did not report any numerical data. There was a second continuous outcome reported, mean dose intensity for treatment adherence, but a measure of variation was not reported for this outcome. Therefore, the data could not be pooled in a meta-analysis and are presented in a separate table for completeness (see [Table 1](#) and [Table 2](#)).

For time-to-event outcomes – disease-free survival and overall survival – we reported the treatment effect as a HR and 95% CI. For those studies that reported overall survival or disease-free survival data, we extracted the HR and associated variances directly from the trial publications. In review updates, if this is not possible, we will obtain the data indirectly, using methods described by [Parmar 1998](#) or [Tierney 2007](#). We will record the use of indirect methods in the Notes section of the [Characteristics of included studies](#) table. We reported the ratios of treatment effects for response so that HRs less than 1.0 favoured the administration of taxanes first and HRs greater than 1.0 favoured the administration of anthracyclines first.

### Unit of analysis issues

In the neoadjuvant setting, [Neo-TAnGo 2014](#) was a four-arm study that randomised women to anthracycline then paclitaxel or reverse order with or without gemcitabine. For the purpose of this review, we combined the two intervention arms (taxane followed by anthracycline with or without gemcitabine) and the two comparison arms (anthracycline followed by taxane with or without gemcitabine).

In the adjuvant setting, [AERO B03 2007](#) was a three-arm study and we only included the data relating to treatments with both a taxane and anthracycline. The Wildiers study was a four-arm study that randomised women to either conventional chemotherapy (taxane followed by anthracycline or in reverse order) or dose-dense treatment (taxane followed by anthracycline or in reverse order). We used data from all four treatments arms and split

them into two treatment comparisons: [Wildiers 2009a](#) and [Wildiers 2009b](#).

### Dealing with missing data

We contacted authors of some included studies in writing to request missing data (e.g. overall survival, disease-free survival and relative dose intensity outcome data) ([Abe 2013](#); [AERO B03 2007](#); [Alamgeer 2014](#); [Puhalla 2008](#)).

### Assessment of heterogeneity

We assessed the degree of heterogeneity by visual inspection of forest plots, the  $I^2$  statistic ([Higgins 2003](#)), and the  $\text{Chi}^2$  test for heterogeneity ([Cochran 1954](#)). We considered there to be substantial statistical heterogeneity if the  $I^2$  statistic was greater than 50% and the P value was less than 0.10 for the  $\text{Chi}^2$  test for heterogeneity. For this initial review, as the expected number of included trials was small and, therefore, we did not expect significant heterogeneity, we used the fixed-effect model. In review updates, we plan to use the random-effects model (see [Data synthesis](#)) for pooling estimates across trials unless the results are affected by the inclusion of small studies. If this occurs, then we will also use the fixed-effect model and compare the results.

### Assessment of reporting biases

As the review included fewer than 10 studies, we did not formally assess publication bias using funnel plots. If additional studies are available in review updates, we will assess publication or other bias by visual examination of funnel plot symmetry provided there are at least 10 studies in the meta-analysis ([Higgins 2011](#)). Where possible, we will review the protocols of included studies to assess outcome reporting bias.

### Data synthesis

We pooled data using the fixed-effect model as sufficiently similar (in terms of population and intervention) studies were available to provide meaningful results in the neoadjuvant and adjuvant settings. We performed all analyses using Review Manager 5 software ([Review Manager 2014](#)).

For dichotomous outcomes, we used the fixed-effect method (Mantel-Haenszel; [Mantel 1959](#)). In review updates, we will use the random-effects method ([DerSimonian 1986](#)).

Data could not be pooled for continuous outcomes in this review. However, in review updates, for continuous outcomes, we will use the random-effects with inverse variance method ([Deeks 2011](#)).

For time-to-event outcomes, we used the fixed-effect with inverse variance method. In review updates, we will use the random-effects (DerSimonian and Laird with inverse-variance) method.

In review updates, if we are concerned about the effect of small studies on the random-effects meta-analysis, we will compare the fixed-effect and random-effects estimates. If results from the fixed-effect and random-effects analysis are different, we will perform sensitivity analyses to consider restricting the meta-analysis to include the larger studies only.

### 'Summary of findings' table

Two review authors (MZ and MW) used the GRADE approach to assess the certainty of the evidence for the following outcomes:

overall survival (event: risk of death), disease-free survival (event: risk of recurrence), pCR, treatment adherence, adverse events and quality of life. We used [GRADEpro GDT](#) software to develop the 'Summary of findings' tables and followed GRADE guidance ([Schünemann 2011](#)).

To calculate the absolute risk for the control group for time-to-event outcomes, we derived the event rate at a specific time point (three-year risk for both overall survival and disease-free survival) from the Kaplan-Meier curve in the [Neo-TAnGo 2014](#) study; only the neoadjuvant studies reported data for overall survival and disease-free survival. We entered the event rate at three years and the pooled HR into [GRADEpro GDT](#) and estimated the corresponding absolute risk for the intervention group at three years by the [GRADEpro GDT](#) software.

### Subgroup analysis and investigation of heterogeneity

We presented data separately for participants receiving neoadjuvant and adjuvant chemotherapy. We presented data from one or two trials separately for the following prespecified patient subgroups:

- people with positive versus negative HER2 status;
- people with positive, negative or triple negative hormone receptor status.

In review updates, we will conduct tests for interaction to determine whether the sequence in which anthracyclines and taxanes are administered has a significantly different effect in subgroups.

### Sensitivity analysis

Due to the limited data available, the proposed sensitivity analysis was not undertaken. In review updates, we plan to perform the following sensitivity analysis:

- risk of bias: low versus high/unclear risk of bias. We will assign an overall unclear/high risk of bias to studies in which we have judged at least four of the seven domains to have unclear/high risk of bias.

## RESULTS

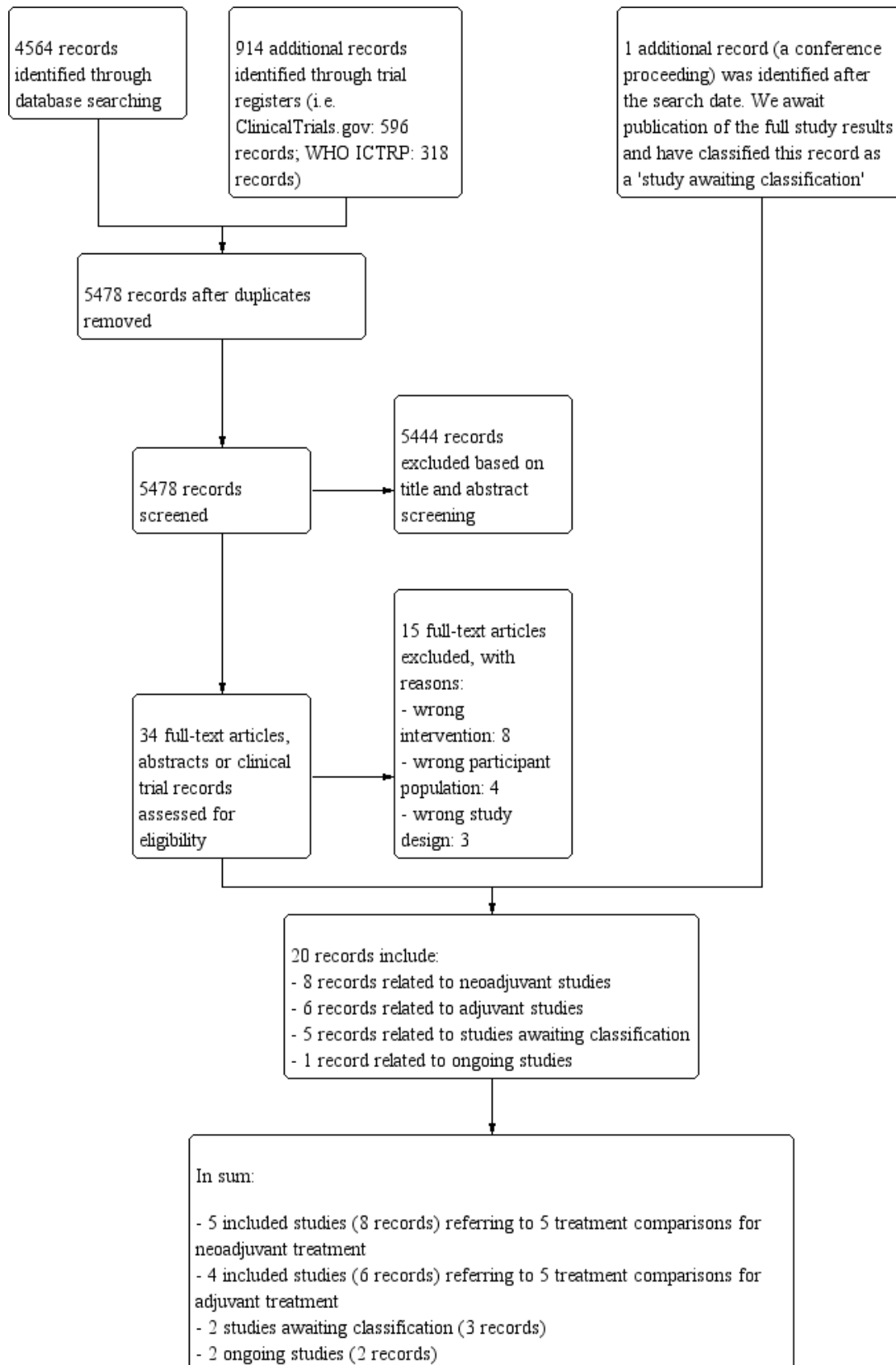
### Description of studies

#### Results of the search

We outlined the search process in the PRISMA flow diagram ([Figure 1](#); [Moher 2009](#)). We identified 4564 records through searching CBCG's Specialised Register, CENTRAL, MEDLINE and Embase (that included American Society of Clinical Oncology and San Antonio Breast Cancer Symposium conference proceedings), and an additional 914 records from the WHO ICTRP and ClinicalTrials.gov. After removal of duplicate records, from 5478 unique records we excluded 5444 records based on review of the titles and abstracts retrieved. We retrieved 34 full-text articles, abstracts or clinical trial records and on review excluded 15 records that did not meet the selection criteria ([Characteristics of excluded studies](#) table). The predominant reason for exclusion was that studies did not compare the reverse order of taxanes and anthracyclines in two treatment arms. There was one additional record relating to an abstract from a cancer conference and we wait for the results to be published. This record has been added to the [Characteristics of studies awaiting classification](#) table. The remaining 20 records related to:



**Figure 1. Study flow diagram.**



- five included studies (eight records) referring to five treatment comparisons in the neoadjuvant setting ([ACOSOG Z1041 2013](#); [Alamgeer 2014](#); [Miller 2005](#); [Neo-TAnGo 2014](#); [Stearns 2003](#));
- four included studies (six records) referring to five treatment comparisons in the adjuvant setting ([Abe 2013](#); [AERO B03 2007](#); [Puhalla 2008](#); [Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#));
- three studies awaiting classification (five records: [Masuda 2012](#); [NeoSAMBA](#); [Taghian 2005](#)); and
- one ongoing study (one record: [UMIN000003283](#)).

### Included studies

See [Characteristics of included studies](#) table.

#### Neoadjuvant studies

The five included studies involved 1415 participants ([ACOSOG Z1041 2013](#); [Alamgeer 2014](#); [Miller 2005](#); [Neo-TAnGo 2014](#); [Stearns 2003](#)). Three studies used paclitaxel ([ACOSOG Z1041 2013](#); [Neo-TAnGo 2014](#); [Stearns 2003](#)), and two studies used docetaxel ([Alamgeer 2014](#); [Miller 2005](#)), as taxane chemotherapy. Two studies used single agent doxorubicin ([Miller 2005](#); [Stearns 2003](#)), and three studies used epirubicin as anthracycline chemotherapy ([ACOSOG Z1041 2013](#); [Alamgeer 2014](#); [Neo-TAnGo 2014](#)). Two studies used epirubicin with cyclophosphamide and fluorouracil ([ACOSOG Z1041 2013](#); [Alamgeer 2014](#)), and one study used epirubicin and cyclophosphamide ([Neo-TAnGo 2014](#)). One study used paclitaxel every two weeks with or without gemcitabine ([Neo-TAnGo 2014](#)).

In terms of the characteristics of trial participants, one study included T1-3, N0-3 disease ([Alamgeer 2014](#)), one study included only participants with tumour size greater than 20 mm (T2) with or without axillary lymph node involvement ([Neo-TAnGo 2014](#)), and two studies included participants with either tumour size greater than 20 mm (T2) or lymph node involvement ([ACOSOG Z1041 2013](#); [Miller 2005](#)). One study included participants with stage IV disease but presented data separately for stage III disease and could be used ([Stearns 2003](#)). In all studies, the majority of trial participants had axillary lymph node-positive disease. One study included HER2-positive participants only ([ACOSOG Z1041 2013](#)), and another study was designed and commenced prior to the introduction of trastuzumab ([Neo-TAnGo 2014](#)). All studies had included participants whose breast cancer was HER2-positive, hormone receptor-positive or hormone receptor-negative and included both premenopausal and postmenopausal women.

In relation to the outcomes assessed in the studies, the primary outcome for two of the studies was to identify a marker that correlated with tumour response to chemotherapy ([Alamgeer 2014](#); [Miller 2005](#)). One of these studies also reported overall and disease-free survival ([Alamgeer 2014](#)). All studies used different definitions of pCR. Four studies reported on no invasive cancer in the breast or axilla ([ACOSOG Z1041 2013](#); [Miller 2005](#); [Neo-TAnGo 2014](#); [Stearns 2003](#)). Only one study reported no invasive or in situ carcinoma in the breast or axilla and was included in a separate analysis for pCR ([Alamgeer 2014](#)). No studies reported on RCB or quality of life outcomes.

One study was funded solely by a government grant ([ACOSOG Z1041 2013](#)), and four studies were supported by a government or cancer society grant combined with a research grant from a pharmaceutical company ([Alamgeer 2014](#); [Miller 2005](#); [Neo-TAnGo 2014](#); [Stearns 2003](#)).

#### Adjuvant studies

The four included studies involved 280 participants and contributed to five treatment comparisons ([Abe 2013](#); [AERO B03 2007](#); [Puhalla 2008](#); [Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)). All studies used docetaxel as taxane chemotherapy. Two studies with three treatment comparisons used epirubicin with cyclophosphamide and fluorouracil ([Abe 2013](#); [Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)), one study used epirubicin with cyclophosphamide ([AERO B03 2007](#)), and one study used adriamycin and cyclophosphamide ([Puhalla 2008](#)). Three treatment comparisons included dose-dense regimens (where at least the same amount of chemotherapy was given over a shorter period of time, i.e. 300 mg/m<sup>2</sup> in total given in four cycles of fortnightly docetaxel at 75 mg/m<sup>2</sup> rather than three cycles of three-weekly docetaxel at 100 mg/m<sup>2</sup>) ([AERO B03 2007](#); [Puhalla 2008](#); [Wildiers 2009b](#)). The Wildiers study had two treatment comparisons involving dose dense and conventional regimen ([Wildiers 2009a; Wildiers 2009b](#)). There were two treatment comparisons that compared conventional three-weekly regimens ([Abe 2013; Wildiers 2009a](#)).

For two studies, participants had to have axillary lymph node involvement ([AERO B03 2007; Puhalla 2008](#)), and for the other two studies they did not ([Abe 2013; Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)). For two studies, HER2-positive participants were able to participate if they were ineligible for or chose not to participate in adjuvant trastuzumab trials ([Abe 2013; Puhalla 2008](#)). One study did not report the HER2 status of participants ([Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)). Overall, a small proportion (12%) of the included participants had HER2-positive disease.

In relation to the outcomes assessed in the studies, all studies were primarily investigating toxicity and treatment adherence. One study collected data on overall and disease-free survival ([AERO B03 2007](#)). One study reported collecting data on quality of life and made a brief statement of the findings in the Discussion section of the published article ([Puhalla 2008](#)); numerical data were not provided.

One study provided no information about funding ([Abe 2013](#)), one study was supported by a government or cancer society grant combined with a research grant from industry ([AERO B03 2007](#)), and two studies were supported with grants from a pharmaceutical company ([Puhalla 2008; Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)).

#### Excluded studies

We excluded 15 studies ([Characteristics of excluded studies](#) table). The most common reason for exclusion was that they were not evaluating the sequencing of taxanes and anthracyclines ([Akashi-Tanaka 2017; Anonymous 2001; Buzdar 2004; Earl 2003; Skarlos 2012; Thomas 2017; Wildiers 2006](#)). The second most common reason was inclusion of the wrong participant population ([Cresta 2001; Focan 2005; SWOG S0800; Zoli 2005](#)). The third most common reason was that they were not RCTs, rather they were either non-randomised or retrospective studies ([Cardoso 2001; Fabiano 2002](#)). One study used different anthracycline regimens in the comparison arms when sequencing taxane ([Guarneri 2010](#)), and one study was a meta-analysis ([Albain 2012](#)).

### **Studies awaiting classification**

The [Masuda 2012](#) study reported results in an abstract only. The study examined the sequence of treatments for women with HER2-positive breast cancer in the neoadjuvant setting. There were insufficient details provided in the abstract and we await the complete trial publication. We contacted the trialists in May 2018 and we received no reply.

The [Taghian 2005](#) study was reported in two publications though neither reported pCR (although this was listed in the clinical trials registry record), or overall survival and disease-free survival for each treatment group. We contacted trialists in August 2018 and they responded that they have not yet analysed the clinical outcome data for each treatment group, though they intend to do so soon. This will be included in a review update.

The [NeoSAMBA](#) study was identified through searches of the clinical trial registry databases and after the search date of this review, it

was noted that the study reported preliminary results in the form of a conference abstract. We await the full-text publication of this study in 2019. The study examined the sequence of treatments for women with locally advanced HER2-negative breast cancer and the primary outcome was pCR and secondary outcomes included disease-free survival and overall survival.

### **Ongoing studies**

We identified one ongoing neoadjuvant study through searches of the WHO ICTRP and ClinicalTrials.gov databases ([UMIN00003283](#); [Characteristics of ongoing studies](#) table).

### **Risk of bias in included studies**

[Figure 2](#) shows a summary of the risk of bias judgements of the included studies for each 'Risk of bias' domain. For each risk of bias domain, we combined the judgements for neoadjuvant and adjuvant studies. A summary of risk of bias assessments for each treatment setting is provided at the end of this section.



**Figure 2. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.**

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias): Overall survival	Blinding of outcome assessment (detection bias): DFS	Blinding of outcome assessment (detection bias): Toxicity and treatment adherence	Blinding of outcome assessment (detection bias): Neoadjuvant studies only: pCR	Blinding of outcome assessment (detection bias): Quality of life	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Abe 2013	?	?	+			?			+	+	+
ACOSOG Z1041 2013	+	+	+	+	+	?	+		+	+	+
AERO B03 2007	+	?	+	+	+	?			+	-	+
Alamgeer 2014	+	?	+	+	+		+		+	+	+
Miller 2005	+	?	+			?	+		+	+	+
Neo-TAnGo 2014	+	+	+	+	+	?	+		+	+	+
Puhalla 2008	+	+	+			?		+	+	+	+
Stearns 2003	+	?	+	+	+	?	+		+	-	+
Wildiers 2009a	+	+	+			+			+	+	+
Wildiers 2009b	+	+	+			+			+	+	+

## Allocation

### *Random sequence generation*

The nine studies, relating to 10 treatment comparisons in the neoadjuvant and adjuvant settings, were described as randomised. Eight studies reporting nine treatment comparisons described the method of random sequence generation adequately (i.e. with low risk of bias; [ACOSOG Z1041 2013](#); [AERO B03 2007](#); [Alamgeer 2014](#); [Miller 2005](#); [Neo-TAnGo 2014](#); [Puhalla 2008](#); [Stearns 2003](#); [Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)). These studies used a biased coin minimisation algorithm, stratified randomisation or minimisation. For one study, there was insufficient information to accurately assess the method of random sequence generation ([Abe 2013](#)); the study was at unclear risk of bias.

### *Allocation concealment*

Four studies, reporting five treatment comparisons, were at low risk of bias for allocation concealment. These studies described central randomisation systems ([ACOSOG Z1041 2013](#); [Neo-TAnGo 2014](#); [Puhalla 2008](#); [Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)). Five studies did not describe methods of allocation concealment or did not provide sufficient detail in the trial publication and were at unclear risk of bias ([Abe 2013](#); [AERO B03 2007](#); [Alamgeer 2014](#); [Miller 2005](#); [Stearns 2003](#)).

## Blinding

### *Blinding of participants and personnel*

Five studies, reporting six treatment comparisons, were described as open label ([ACOSOG Z1041 2013](#); [Alamgeer 2014](#); [Neo-TAnGo 2014](#); [Puhalla 2008](#); [Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)), while four studies provided no information in the trial publication and were likely to have been open label ([Abe 2013](#); [AERO B03 2007](#); [Miller 2005](#); [Stearns 2003](#)). Performance bias was unlikely to be significant given that participants received both drug treatments just in reverse order. Therefore, performance bias was not viewed to be serious and studies were judged at low risk of bias for this domain.

### *Blinding of outcome assessments*

We assessed detection bias for each outcome: overall survival, disease-free survival, pCR (for neoadjuvant studies only), toxicity and treatment adherence (these outcomes were combined because grade of toxicity is entwined with treatment adherence, i.e. a drug dose is reduced or delayed, etc if high-grade toxicities occur) and, quality of life.

The assessment of overall survival and disease-free survival was perceived not to be biased by a lack of blinding. Therefore, the five studies that collected or reported (or both) overall survival ([ACOSOG Z1041 2013](#); [AERO B03 2007](#); [Alamgeer 2014](#); [Neo-TAnGo 2014](#); [Stearns 2003](#)), and the five studies that collected or reported (or both) on disease-free survival ([ACOSOG Z1041 2013](#); [AERO B03 2007](#); [Alamgeer 2014](#); [Neo-TAnGo 2014](#); [Stearns 2003](#)), were at low risk of bias.

For pCR, there was a lack of blinding perceived to be unlikely to lead to material bias given that the assessment of pCR by a pathologist is an objective assessment. In one study, two independent assessors determined pCR ([Neo-TAnGo 2014](#)); in one study, one independent assessor determined pCR ([Miller 2005](#)); while the remaining three studies did not use an independent assessor ([ACOSOG Z1041 2013](#);

[Alamgeer 2014](#); [Stearns 2003](#)). Overall, all studies were at low risk of bias.

For outcome measures that were more likely to be influenced by a lack of blinding, that is, toxicity and treatment adherence, we considered for each study whether outcome assessments were confirmed by independent panels/adjudication committees and how toxicity outcomes were measured (e.g. blood tests). Most studies that assessed one or more of these outcomes had unclear risk of bias as there was no independent clinical review group and the toxicity measure collected (e.g. neuropathy) may have been influenced by the lack of blinding ([Abe 2013](#); [ACOSOG Z1041 2013](#); [AERO B03 2007](#); [Miller 2005](#); [Neo-TAnGo 2014](#); [Puhalla 2008](#); [Stearns 2003](#)). However, one study reporting two treatment comparisons ([Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)) reported only two toxicity outcomes relevant to this review – febrile neutropenia and neutropenia. These toxicities were diagnosed using blood tests and so were less likely to be influenced by the lack of blinding; therefore, they were at low risk of bias for this domain.

Only one study collected and reported quality of life information ([Puhalla 2008](#)). Participants who knew their treatment allocation completed quality of life questionnaires. However, all participants in the same study received the same treatments though in a different order; therefore, the study was at low risk of bias as lack of blinding was unlikely to influence participant-reported responses.

### **Incomplete outcome data**

All studies described minimal participant loss during the study and accounted for these losses; therefore, they were at low risk of bias ([ACOSOG Z1041 2013](#); [Abe 2013](#); [AERO B03 2007](#); [Alamgeer 2014](#); [Miller 2005](#); [Neo-TAnGo 2014](#); [Puhalla 2008](#); [Stearns 2003](#); [Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)).

### **Selective reporting**

Seven studies, reporting eight treatment comparisons, had either reported results for those outcomes listed in the methods section of the trial publication ([Abe 2013](#); [Miller 2005](#); [Wildiers \(Wildiers 2009a; Wildiers 2009b\)](#)) or a trial registration record with the outcomes as included in the methods and results section of the trial publication ([ACOSOG Z1041 2013](#); [Alamgeer 2014](#); [Neo-TAnGo 2014](#); [Puhalla 2008](#)). [ACOSOG Z1041 2013](#) and [Neo-TAnGo 2014](#) reported some additional toxicity outcomes, while in two trials, there were some changes to the primary or secondary outcomes with both adding new and important outcomes ([Alamgeer 2014](#): pCR; [Puhalla 2008](#): relative dose intensity and quality of life). Overall, we judged these seven studies (eight treatment comparisons) at low risk of bias. [AERO B03 2007](#) was at high risk of bias for this domain as data related to both overall survival and disease-free survival were not reported despite the study being completed in 2004. We contacted the authors for data and received no response (as of July 2018). [Stearns 2003](#) was at high risk of bias due to having reported important outcomes (overall survival and recurrence) but omitted reporting the data separately for each treatment group and, therefore, the data could not be used for the treatment comparisons.

### **Other potential sources of bias**

All studies were generally free of other sources of bias.

**Summary of risk of bias by treatment setting**

**Neoadjuvant studies**

Overall, the risk of bias was low for most domains across the neoadjuvant studies. The two main exceptions related to the lack of, or insufficient details to assess, allocation concealment (in three out of the five studies), and uncertainty in the risk of bias when assessing toxicity/treatment adherence outcomes when studies were unblinded (in all four studies reporting on this outcome). All of the studies collected data on prespecified outcomes. However, one study did not report important efficacy outcome data separately for each treatment group (Stearns 2003).

**Adjuvant studies**

Overall, the risk of bias was low for most domains with some concern in risk of bias being noted due to unblinding of outcome assessments for toxicity and treatment adherence. Although all studies reported the prespecified outcomes, one study omitted to report important outcome data that were collected in 2004 (AERO B03 2007). We contacted the trial authors in July 2018 and are yet to receive a reply. Therefore, we rated this study at high risk of bias for selective outcome reporting.

**Effects of interventions**

See: [Summary of findings for the main comparison Taxane followed by anthracyclines compared to anthracyclines followed by](#)

taxane in neoadjuvant therapy for early breast cancer; **Summary of findings 2** Taxane followed by anthracyclines compared to anthracyclines followed by taxane in adjuvant therapy for early breast cancer

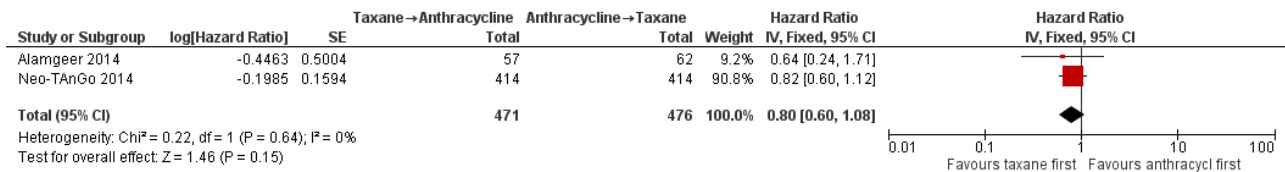
**Neoadjuvant setting**

Five included studies (eight records) referred to five treatment comparisons in the neoadjuvant setting (ACOSOG Z1041 2013; Alamegeer 2014; Miller 2005; Neo-TAnGo 2014; Stearns 2003). See: [Summary of findings for the main comparison](#).

**Overall survival**

Four studies collected data on overall survival (ACOSOG Z1041 2013; Alamegeer 2014; Neo-TAnGo 2014; Stearns 2003); however, data from one study are yet to be published (ACOSOG Z1041 2013), and one study did not report data separately for each treatment group (Stearns 2003). Based on data from two studies (947 participants), administering taxanes first probably resulted in little to no difference in overall survival compared to administering anthracyclines first (HR 0.80, 95% CI 0.60 to 1.08; moderate-certainty evidence; [Analysis 1.1](#); [Figure 3](#)). Only one study provided an indication of the number of deaths with Neo-TAnGo 2014 reporting that more than 120 participants had died over the three-year median follow-up period.

**Figure 3. Forest plot of comparison: 1 Neoadjuvant, outcome: 1.1 Overall survival.**

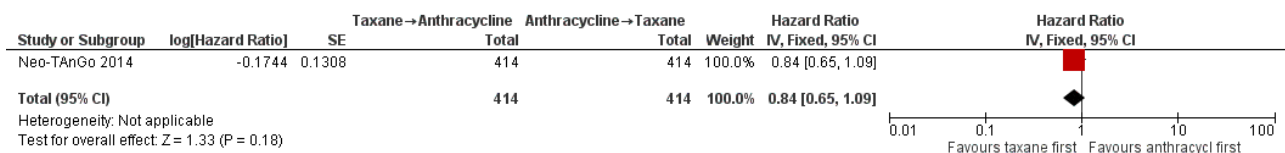


**Disease-free survival**

Three studies involving 1132 participants collected data on disease-free survival (ACOSOG Z1041 2013; Neo-TAnGo 2014; Stearns 2003), but only one study reported data (Neo-TAnGo 2014). ACOSOG Z1041 2013 collected data but the data for this type of outcome are not yet

mature to present and Stearns 2003 did not report data separately for each treatment group. Based on one study, administering taxanes first probably resulted in little to no difference in disease-free survival compared to administering anthracyclines first (HR 0.84, 95% CI 0.65 to 1.09; 828 participants; moderate-certainty evidence; [Analysis 1.2](#); [Figure 4](#)).

**Figure 4. Forest plot of comparison: 1 Neoadjuvant, outcome: 1.2 Disease-free survival.**



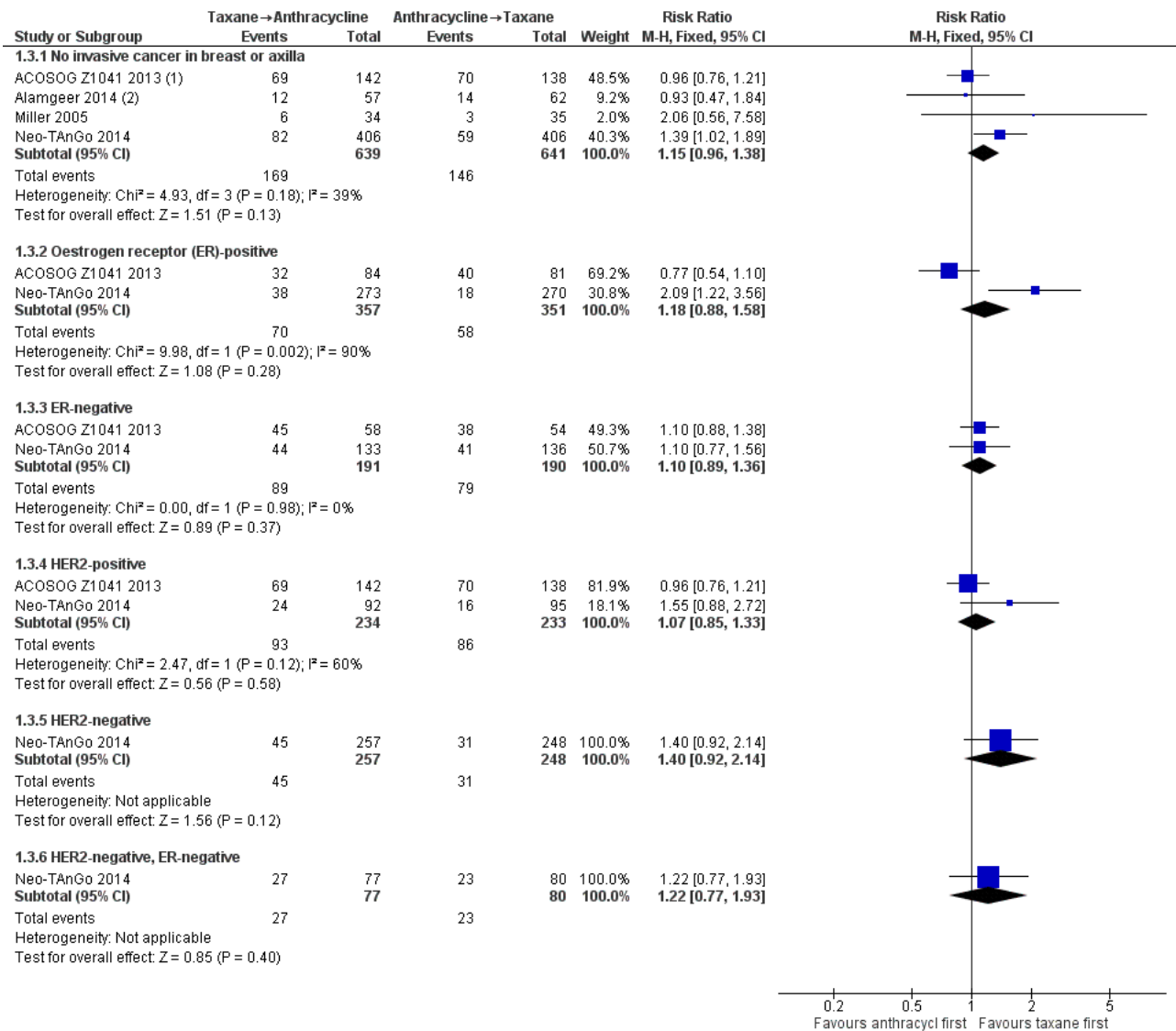
**Pathological complete response**

All five studies provided some data relating to pathological response.

taxanes first resulted in little to no difference in pCR compared to administering anthracyclines first (RR 1.15, 95% CI 0.96 to 1.38; 1280 participants; 4 studies; high-certainty evidence; [Analysis 1.3](#); [Figure 5](#)); however, there appeared to be a trend in favour of taxanes first.

Four studies reported data on pathological response defined as the absence of cancer in the breast and axilla. Administering

**Figure 5. Forest plot of comparison: 1 Neoadjuvant, outcome: 1.3 Pathological complete response (pCR) includes by hormone or HER2 receptor status.**



**Footnotes**

- (1) Data derived from 2013 ASCO abstract; full-text article did not provide data
- (2) pCR defined as no invasive or in situ carcinoma in the breast or axillary lymph nodes

Two studies provided data by hormone receptor or HER2 (or both) status (ACOSOG Z1041 2013; Neo-TAnGo 2014). Overall, receptor status did not appear to affect the results (Analysis 1.3); however, ACOSOG Z1041 2013 was confounded with participants receiving trastuzumab throughout the trial period in the taxanes followed by anthracycline treatment arm while the other treatment group received trastuzumab only after receiving anthracyclines. Therefore, results need to be considered cautiously.

One study defined pathological response as the absence of invasive or in situ carcinoma in the breast or axillary lymph nodes (Alamgeer 2014). Based on one study, administering taxanes first probably resulted in little to no difference in pathological response compared to anthracyclines first (RR 0.93, 95% CI 0.47 to 1.84; 119 participants; Analysis 1.4).

Two studies reported data relating to absence of invasive cancer in the breast and found that there was probably little or no difference between groups (RR 0.97, 95% CI 0.79 to 1.20; 306 participants; Analysis 1.4). One study reported data relating to absence of invasive cancer in the axilla and indicated a higher response in the taxane first group compared to anthracycline first group (RR 1.66, 95% CI 1.03 to 2.66; 282 participants; Analysis 1.4).

**Standardised Residual Cancer Burden score**

None of the studies reported standardised RCB score.

## Adverse events

### Febrile neutropenia

One study collected data on febrile neutropenia (grade 3) but did not report data separately for each treatment group (Stearns 2003). In total, 5/20 women reported grade 3 neutropenic fever.

### Neutropenia

Four studies collected data on neutropenia (ACOSOG Z1041 2013; Miller 2005; Neo-TAnGo 2014; Stearns 2003); however, only one study reported the data in a useable manner (ACOSOG Z1041 2013). This was because Stearns 2003 did not report data separately for each treatment group (i.e. in total, 6/20 women reported grade 3/4 neutropenia); Miller 2005 and Neo-TAnGo 2014 presented the incidence of neutropenia for each drug within each treatment arm and accumulating these data across a treatment arm may lead to double-counting of toxic events.

One study presented usable data (ACOSOG Z1041 2013). Administering taxanes first probably resulted in little to no difference in risk of neutropenia compared to administering anthracyclines first (RR 1.25, 95% CI 0.86 to 1.82; 280 participants; moderate-certainty evidence; Analysis 1.5). There were 45 events in 142 participants in the taxane first arm and 35 events in 138 participants in the anthracycline first arm.

### Cardiac toxicity

One study presented data on cardiac toxicity (ACOSOG Z1041 2013). Administering taxanes first appeared to result in little to no difference in risk of cardiac toxicity compared to administering anthracyclines first (RR 0.97, 95% CI 0.29 to 3.28; 280 participants; data not shown). The study contributed results with 10 events in 280 participants and notably administered trastuzumab and anthracycline concurrently in the intervention arm.

### Pulmonary toxicity

One study presented data on pulmonary toxicity (Neo-TAnGo 2014). Administering taxanes first appeared to result in little to no difference in risk of pulmonary toxicity compared to administering anthracyclines first (RR 3.00, 95% CI 0.12 to 73.43; 828 participants; data not shown). The study reported one event of pneumonia in 828 participants.

### Neurotoxicity

Three studies collected data on neurotoxicity (ACOSOG Z1041 2013; Neo-TAnGo 2014; Stearns 2003). However, two studies contributed data for the analysis (ACOSOG Z1041 2013; Neo-TAnGo 2014). Stearns 2003 did not report data separately for each treatment group (i.e. there was one case of peripheral neuropathy in 20 trial participants).

Based on two studies, administering taxanes first may have resulted in little to no difference in risk of neurotoxicity compared to administering anthracyclines first (RR 0.95, 95% CI 0.55 to 1.65; 1108 participants; low-certainty evidence; Analysis 1.5). Time points and method of assessments for neurotoxicity were unclear and, therefore, the ability of these studies to meaningfully detect neurotoxicity remained unclear. Forty-nine of 1108 participants reported grade 3 or grade 4 neurosensory difficulties.

### Haematological malignancy

None of the studies reported haematological malignancy.

### Treatment-related death

Two studies reported treatment-related death. Administering taxanes first appeared to result in little to no difference in the risk of treatment-related death (RR 1.00, 95% CI 0.06 to 15.93; 1108 participants; Analysis 1.5). There were two treatment-related deaths in 1108 participants. One death was due to neutropenic sepsis and pneumonia after the third dose of paclitaxel in the taxanes first arm while the other death was due to venous thromboembolism two days after the third dose of epirubicin and cyclophosphamide in the anthracyclines first arm.

### Treatment adherence

Treatment adherence was reported using measures such as number of participants who received at least a certain percentage of the planned dose, received a certain number of weeks of planned cycles, dose reductions, dose intensity and cycle delivered dose intensity (CCDI). Due to the heterogeneity in reporting treatment adherence, Table 1 shows the results for the five studies that reported this outcome. Two studies reported dose intensity (Miller 2005; Neo-TAnGo 2014), but with different measures (i.e. calculated either as percentage of planned dose intensity delivered (Miller 2005) or CDDI (Neo-TAnGo 2014)). These two studies did not suggest a difference in dose intensity or in CDDI between the two treatment groups.

Data could be extracted and presented for the dose reduction outcome. One study reported dose reduction and administering taxanes first probably did not increase the risk of requiring dose reductions (RR 0.81, 95% CI 0.59 to 1.11; 280 participants; moderate-certainty evidence; Analysis 1.6) (ACOSOG Z1041 2013).

### Quality of life

None of the studies reported data on quality of life.

### Adjuvant setting

Four included studies (six records) referring to five treatment comparisons in the adjuvant setting (Abe 2013; AERO B03 2007; Puhalla 2008; Wildiers (Wildiers 2009a; Wildiers 2009b)). See: Summary of findings 2.

### Overall survival

None of the studies presented data for overall survival. One study collected data but reported no results (AERO B03 2007).

### Disease-free survival

None of the studies presented data for disease-free survival. One study collected data but reported no results (AERO B03 2007).

### Adverse events

#### Febrile neutropenia

All four studies, involving five treatment comparisons, reported febrile neutropenia. Administration of taxanes first probably resulted in little to no difference in the risk of febrile neutropenia (RR 0.70, 95% CI 0.24 to 2.05; 4 studies with 5 treatment comparisons; 279 participants; moderate-certainty evidence; Analysis 2.1). There were four cases of febrile neutropenia in



142 participants in the taxanes first arm and six cases of febrile neutropenia in 137 participants in the anthracyclines first arm.

### Neutropenia

All four studies, involving five treatment comparisons, reported neutropenia (grade 3/4). Administration of taxanes first reduced the risk of grade 3/4 neutropenia when compared with anthracyclines first (RR 0.62, 95% CI 0.40 to 0.97; 4 studies with 5 treatment comparisons; 279 participants; high-certainty evidence; [Analysis 2.1](#)). There were 23 events in 142 participants in the taxane first arm and 35 events in 137 participants in the anthracyclines first arm.

### Cardiac toxicity

Two studies reported cardiac toxicity. Administration of taxanes first probably resulted in little to no difference in the risk of cardiac toxicity (RR 0.30, 95% CI 0.01 to 6.99; 2 studies; 120 participants; moderate-certainty evidence; data not shown). There were no events in the taxane first group and one event in the anthracyclines first group in a total of 120 participants.

### Pulmonary toxicity

One study reported pulmonary toxicity. Administration of taxanes first may have resulted in little to no difference in the risk of pulmonary toxicity (RR 0.33, 95% CI 0.01 to 7.85; 56 participants; moderate-certainty evidence; data not shown). There were no events in the taxane first arm and one event in the anthracyclines first arm in a total of 56 participants.

### Neurotoxicity

Three studies reported data for neurotoxicity. Administration of taxanes first may have resulted in little to no difference in the risk of neurotoxicity (RR 0.78, 95% CI 0.25 to 2.46; 162 participants; 3 studies; low-certainty evidence; [Analysis 2.1](#)). There were four events in 83 participants in the taxane first arm and five events in 79 participants in the anthracyclines first arm.

### Haematological malignancy

None of the studies reported haematological malignancy.

### Treatment-related death

One study reported treatment-related death. There were no events in either group (64 participants; [AERO B03 2007](#)).

### Treatment adherence

Treatment adherence was reported using a variety of measures. These included dose delays, dose reductions, one-dose reductions, number of participants who did not receive the planned number of cycles (i.e. six or eight cycles) and dose intensity. The available data have been presented separately below.

### Delay in treatment

Three studies involving four treatment comparisons reported delay in treatment ([AERO B03 2007](#); [Puhalla 2008](#); Wildiers ([Wildiers 2009a](#); [Wildiers 2009b](#))). Administration of taxanes first probably resulted in little to no difference in the risk of dose delays (RR 0.76, 95% CI 0.52 to 1.12; 238 participants; 3 studies with 4 treatment comparisons; moderate-certainty evidence; [Analysis 2.2](#)). There were 31 dose delays in 121 participants in the taxane first arm compared to 39 dose delays in 117 participants in the anthracycline first arm.

### Dose reduction

Two studies involving three treatment comparisons reported dose reductions ([Puhalla 2008](#); Wildiers ([Wildiers 2009a](#); [Wildiers 2009b](#))). For the taxane component (docetaxel), the taxane first regimen probably reduced the number of dose reductions compared to anthracyclines first regimen (RR 0.33, 95% CI 0.15 to 0.73; 173 participants; 3 treatment comparisons; moderate-certainty evidence; [Analysis 2.3.1](#)). Seven dose reductions occurred in 87 participants in the taxane first arm compared to 21 dose reductions in 86 participants in the anthracycline first taxane arm. For the anthracycline component (fluorouracil, epirubicin, cyclophosphamide), the taxane first regimen probably resulted in little to no difference in the number of dose reductions compared to anthracyclines first regimen (RR 0.98, 95% CI 0.18 to 5.44; 117 participants; 1 study, 2 treatment comparisons; moderate-certainty evidence; [Analysis 2.3.2](#)).

### One-dose reduction

One study reported data on one-dose reduction ([AERO B03 2007](#)). Administration of taxanes first probably resulted in little to no difference in the number of one-dose reductions compared to the anthracyclines first regimen (RR 0.55, 95% CI 0.14 to 2.10; 65 participants; [Analysis 2.4](#)).

### Did not receive planned cycles

Three studies reported data on participants who did not receive planned cycles ([Abe 2013](#); [AERO B03 2007](#); [Puhalla 2008](#)). Administration of taxanes first probably resulted in little to no difference in the number of planned cycles received (RR 0.45, 95% CI 0.15 to 1.31; 163 participants; [Analysis 2.5](#)). There were 4/83 participants who did not receive the planned number of cycles in the taxane first arm compared to 9/80 participants in the anthracycline first arm.

### Dose intensity

Four studies involving five treatment comparisons reported data on dose intensity ([Abe 2013](#); [AERO B03 2007](#); [Puhalla 2008](#); Wildiers ([Wildiers 2009a](#); [Wildiers 2009b](#))). However, the presentation of data varied across the studies and only one study reported a measure of precision (in the form of a CI, standard error or standard deviation) ([AERO B03 2007](#); median and range). Therefore, data could not be pooled using meta-analysis. The results for all studies are provided in [Table 2](#). Overall, the relative dose intensity (a percentage of the absolute dose intensity (actual) divided by the planned dose intensity) indicated that the taxane component within the taxanes first arm was possibly more likely to meet the expected dose compared to the anthracyclines first arm. When examining the anthracycline component of the chemotherapy schedule, the mean relative dose intensity may have been more likely to meet the expected dose in the anthracycline first arm than the taxane first arm.

### Quality of life

One study reported quality of life data using the Functional Assessment of Cancer Therapy – Breast Cancer (FACT-B) version 4 questionnaire ([Puhalla 2008](#)). Scores were similar in both groups for a subset of 20 participants who were assessed before, during and after treatment. Numerical or further details were not provided in the trial publication.

## DISCUSSION

### Summary of main results

In the neoadjuvant setting, there is likely to be no or little difference in survival outcomes, tumour response or toxicity due to the sequence in which anthracyclines and taxanes are delivered. There was no evidence of either significant benefit or harm; however, the number of studies and participants contributing data were small. We expect the collection of data over time to provide further information regarding survival outcomes.

In the adjuvant setting, there were no available survival data. There is high- to low-certainty evidence in regard to the differences in toxicity between the two regimens. Sequencing taxanes first reduced the risk of grade 3 or grade 4 neutropenia with no differences observed for other toxicities. Administering taxane first likely reduced the risk of requiring dose reductions for taxanes. The ability to deliver taxanes with reduced need for dose reductions may result in an important benefit if it improves survival; however, data regarding survival were lacking.

With the available data, there was no strong evidence for harm, benefit or equivalence due to the order in which taxane was delivered and, therefore, the specific clinical situation may take precedence when choosing the chemotherapy regimen.

### Overall completeness and applicability of evidence

Only two neoadjuvant studies reported on our primary outcome of overall survival and one on our secondary outcome of disease-free survival. Two further studies collected survival data (ACOSOG Z1041 2013; Stearns 2003), and the reporting from one is awaited (ACOSOG Z1041 2013). No adjuvant study reported on survival outcomes and only one study collected data (AERO B03 2007). Most were feasibility studies assessing toxicities. We suspect that a wider range of toxicity data, including important and routinely assessed toxicities such as febrile neutropenia and neurotoxicities were probably collected but not reported in the publications. None of the studies included numerical data for quality of life. Some studies had only a small subset of people with reported HER2-positive or triple negative disease. Overall, the review had limited generalisability as the studies may not have included all relevant types of participants and there was limited information for some important outcomes.

The results of this review do not provide sufficient evidence to recommend a change from current local practice.

### Quality of the evidence

Overall, the certainty of the evidence across the two settings was high to low. There were five neoadjuvant studies with 1415 participants and four adjuvant studies with 280 participants. The studies were open-label, which potentially increases the risk of bias for toxicity and quality of life domains; however, we judged this risk to be small as all arms were receiving the same drugs. Otherwise, methodologically the studies were well conducted overall. Neo-TAnGo 2014 contributed the most data to the meta-analysis and was a well-reported study. In general, due to imprecision it was difficult to judge consistency of results as the CIs were wide and overlapping. Studies did not consistently report or collect data on the review's outcomes and with the smaller number of studies the

optimal information size was not met. In addition, in some cases, length of follow-up was short or not reported.

### Potential biases in the review process

The aim of this review was to provide a thorough assessment of any important risks and benefits due to the sequence in which anthracyclines and taxanes are administered, in an adjuvant or neoadjuvant setting. Despite a comprehensive search of the databases and key conferences we may not have identified all potentially relevant studies. Studies may have used a sequenced regimen without investigation of the effect of the sequence in which taxanes are delivered being an objective. These studies potentially may have been excluded in the title and abstract review.

Due to the number of studies, we did not examine the funnel plot to assess reporting bias. Small negative studies are included in this review; however, there may be further such studies that have not been published. Only one study has not reported on collected survival data which should have been available since initial publication (AERO B03 2007). We contacted the study authors to determine if there were data collected but not reported and for clarification of data, but received no response. Overall, we judged the risk of publication bias to be low.

There are a few minor amendments between our protocol and review which we made to include more relevant studies (docetaxel every 14 days) or report on more information (neutropenia) or for ease of organisation of information (pathological response rather than degree of response). We do not believe that these amendments would have introduced bias.

It is possible that not all of the studies that exist on this topic have been included in this review. Many of the titles and abstracts retrieved provided no indication that they examined sequencing of chemotherapy. The reference lists of existing systematic reviews and included studies were used to cross-check whether all potentially relevant studies were included in this Cochrane Review. We welcome contact from trial authors who note that their trial is missing from this review.

### Agreements and disagreements with other studies or reviews

This review complements a prior review that included retrospective and non-randomised studies and did not pool data (Bines 2014). Since that review, results for one study have become available (Puhalla 2008). In our analysis administering taxane probably did not result in any meaningful difference in pathological response. We agree with the authors that the reverse sequence administering taxane first is an acceptable option.

## AUTHORS' CONCLUSIONS

### Implications for practice

This review has not found significant evidence of benefit or harm due to the sequence in which taxane is delivered. In most institutions standard practice would be to deliver anthracycline followed by taxane, and currently available data do not support a change in this practice. We wait for the full-text publication of one study in the neoadjuvant setting that will report on important outcomes (i.e. pathological complete response, and overall and

disease-free survival) that will help to answer this review question ([NeoSAMBA](#)).

### Implications for research

Further research assessing the role of the sequence in which taxanes are delivered is required to inform more robust discussion and it is expected that trial results from [NeoSAMBA](#) (involving 112 participants) will be published in full-text in 2019. In particular, reporting of data collected on overall and disease-free survival is required, so too the quality of life associated with delivering taxane prior to anthracycline compared to anthracycline prior to taxane. Collecting and reporting results by important molecular subgroups

(e.g. ER-positive, triple-negative breast cancer) and data relating to toxicities are warranted for future trials.

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**CHARACTERISTICS OF STUDIES**
**Characteristics of included studies** [ordered by study ID]

**Abe 2013**

Methods	Accrual: June 2006 to April 2008 Phase II randomised controlled trial conducted in Japan Adjuvant therapy Multicentre or single centre: not reported Median follow-up: not reported
Participants	Age: median 52 years, range 29 to 64 years 55% postmenopausal Node-positive or high-risk node-negative women Stage I/IIA/IIB: 100% (83% stage II) Axillary lymph node involvement: 0: 57%; 1-3: 33%; ≥ 4: 10% Hormone receptor-positive: 55% HER2-positive: 24% Excluded: prior chemotherapy or hormone therapy as neoadjuvant or adjuvant therapy
Interventions	Arm 1 ('arm B' in the trial publication): docetaxel (100 mg/m <sup>2</sup> ) every 3 weeks for 3 cycles followed by fluorouracil (500 mg/m <sup>2</sup> ), epirubicin (100 mg/m <sup>2</sup> ), cyclophosphamide (500 mg/m <sup>2</sup> ) every 3 weeks for 3 cycles.

**Abe 2013** (Continued)

Arm 2 ('arm A' in the trial publication): fluorouracil (500 mg/m<sup>2</sup>), epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) every 3 weeks for 3 cycles followed by docetaxel (100 mg/m<sup>2</sup>) every 3 weeks for 3 cycles.

For both arms: G-CSF if ANC < 500 µL (full blood count measured on day 8) or febrile neutropenia

Outcomes	Primary outcome <ul style="list-style-type: none"> <li>• Toxicity, assessed using the NCI CTC version 3</li> </ul> Secondary outcomes <ul style="list-style-type: none"> <li>• Treatment adherence measures that included the number of participants in each arm who completed all intended treatment cycles, and RDI (total cumulative drug dose the participant actually received per unit time divided by the planned cumulative dose per unit time)</li> </ul>
Notes	Clinical trial registration record not found  Trialists contacted in July 2018 requesting information on mean RDI (mismatch of data in Table 2 and text); as of 16 August 2018, no reply received  Funding considerations: no information available

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Patients were "randomised to two arms;" no further details provided; however, baseline characteristics across the 2 arms were balanced.
Allocation concealment (selection bias)	Unclear risk	No details provided in trial publication.
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Likely to be an open-label study. Participants in both groups received the same drugs though in different order. Therefore, it was unlikely that this would lead to a material bias in participants' and physicians' behaviours even when unblinded.
Blinding of outcome assessment (detection bias) Toxicity and treatment adherence	Unclear risk	Study used formalised toxicity criteria (NCI CTC version 3) and measured a range of toxicity outcomes where some may have been affected by unblinding (e.g. neuropathy) in borderline cases. Dose-reduction/delays were based on toxicity assessments. Overall, unblinding may have influenced physicians' assessments on a subset of outcomes assessed.
Incomplete outcome data (attrition bias) All outcomes	Low risk	1 discontinuation in the intervention arm (due to neutropenia).
Selective reporting (reporting bias)	Low risk	Clinical trial registry record not found (trial ran from June 2006 to April 2008). All outcomes reported in the Methods section had the corresponding results in the publication.
Other bias	Low risk	None identified

**ACOSOG Z1041 2013**

Methods	Accrual: 15 September 2007 to 15 December 2011
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**ACOSOG Z1041 2013** (Continued)

	<p>Open-label, phase III randomised controlled trial</p> <p>Neoadjuvant therapy</p> <p>Multicentre (36 centres) across the USA and Puerto Rico</p> <p>Follow-up: yearly for a maximum of 5 years</p>
Participants	<p>Age: 25–39 years: 21% (both arms); 40–49 years: approximately 33% (both arms); 50–59 years: 38.7% (arm 1) and 35.5% (arm 2); 60–69 years: 10.6% (arm 1) and 14.5% (arm 2); &gt; 70 years: approximately 3% (both arms)</p> <p>HER2-positive disease</p> <p>Stage described as clinical T stage and N stage: generally 7% T1, 55% T2, 29% T3, 9% T4; 36% N0, 51–57% N1, 5% N2, 0.7% to 8% N3</p> <p>ER-positive, PR-positive: 41.5% (arm 1), 35.5% (arm 2); ER-positive, PR-negative: 16.2% (arm 1), 22.5% (arm 2); ER-negative and PR-negative: 40.8% (arm 1) and 39.1% (arm 2)</p> <p>Excluded: any current breast cancer treatment except hormonal therapy (taken for up to 28 days after diagnosis but had to be stopped before study registration)</p>
Interventions	<p>Arm 1 ('concurrent' in the trial publication): paclitaxel (80 mg/m<sup>2</sup>) and trastuzumab 4 mg/kg first dose (2 mg/kg after days 1, 8, 15) once a week for 12 weeks followed by fluorouracil (500 mg/m<sup>2</sup>), epirubicin (75 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) every 3 weeks for 4 cycles and trastuzumab (2 mg/kg after a 4 mg/kg loading dose) once a week for 12 weeks</p> <p>Arm 2 ('sequential' in the trial publication): fluorouracil (500 mg/m<sup>2</sup>), epirubicin (75 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) every 3 weeks for 4 cycles followed by paclitaxel (80 mg/m<sup>2</sup>) and trastuzumab (2 mg/kg after a 4 mg/kg loading dose) once a week for 12 weeks</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>pCR in the breast</li> </ul> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>pCR in the breast and axillary nodes</li> <li>Cardiac outcomes</li> <li>Adverse events</li> <li>OS</li> <li>Progression-free survival</li> </ul>
Notes	<p>Clinical trial registration number: <a href="#">NCT00430001</a></p> <p>Funding considerations: supported by a National Cancer Institute grant, National Cancer Institute to the Alliance for Clinical Trials in Oncology, and Alliance Statistics and Data Center.</p> <p>Data quality and analysis: completed by Alliance Statistics and Data Center.</p>

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "Patients were randomly assigned to treatment (1:1) with a biased coin minimisation algorithm so that marginal distributions of stratification factors would be similar in each treatment group" (p. 1318).
Allocation concealment (selection bias)	Low risk	Central allocation.

**ACOSOG Z1041 2013** (Continued)

		Quote: "...patients were, unstratified, randomly assigned centrally by the Danish Breast Cancer Cooperative Group Secretariat."
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Quote: "Neither patients nor investigators, except for a cardiac review panel, were masked to treatment assignment."  Comment: participants in both groups received the same drugs though in different order. Therefore, it was unlikely that this would lead to a material bias in participants' and physicians' behaviours even when unblinded.
Blinding of outcome assessment (detection bias) Overall survival	Low risk	Outcome collected but not yet reported. Unblinding unlikely to have had an impact on outcome assessment.
Blinding of outcome assessment (detection bias) DFS	Low risk	Unblinding unlikely to have had an impact on outcome assessment for this review question where trial participants received both treatment regimens. DFS was determined each year for a maximum of 5 years (data not provided).
Blinding of outcome assessment (detection bias) Toxicity and treatment adherence	Unclear risk	Laboratory tests (blood counts) repeated before each cycle. LVEF measured at completion of first 12-week regimen and second 12-week regimen. Cardiac review panel reviewed multigated acquisition scan and echocardiography results for all participants each month. Judged at unclear risk of bias because assessors were not blinded to treatment allocation for assessment of other toxicities. Dose reductions/delays were based on toxicities. Knowledge of treatment allocation may have had some influence on physicians' assessments.
Blinding of outcome assessment (detection bias) Neoadjuvant studies only: pCR	Low risk	Before each cycle of treatment, assessments for tumour size were completed. Imaging and tumour evaluation was done every 3 months. Mammogram of ipsilateral breast taken at completion of neoadjuvant chemotherapy. pCR was viewed to be an objective outcome and a review by a pathologist on whether pCR had been achieved or not was unlikely to be influenced by unblinding.
Incomplete outcome data (attrition bias) All outcomes	Low risk	138/140 participants in the comparator group and 142/142 participants in intervention group proceeded to treatment. 4/142 participants in the intervention arm discontinued treatment (2 refused, 1 allergic reaction, 1 disease progression) and 8/138 participants discontinued in the comparator arm (4 refused treatment, 2 based on physicians' discretion, 1 second primary cancer and 1 death unrelated to treatment). All participants who began study treatment were included in the efficacy analysis.
Selective reporting (reporting bias)	Low risk	Prespecified outcomes in Clinical Trials.gov record (NCT00430001) and the Methods section of the trial publication are the same. Most outcomes except OS and DFS (due to immature data), were reported in the results section. Some additional toxicity data were reported in the trial publication that were not included in the trial registry record.
Other bias	Low risk	None identified

**AERO B03 2007**

Methods	Accrual: 12 December 2003 to 30 September 2004
	Multicentre, phase II randomised controlled trial conducted in France
	Adjuvant therapy
	Median follow-up: not reported

**Sequencing of anthracyclines and taxanes in neoadjuvant and adjuvant therapy for early breast cancer (Review)**

**AERO B03 2007** (Continued)

Participants	<p>Age: median 53.9 years, range: 31–69 (arm 1) and 55.4 years, range: 32–72 (arm 2)</p> <p>56–65% postmenopausal</p> <p>Node-positive invasive breast adenocarcinoma</p> <p>Majority of women had T1-2 and N0-1 (arm 1: 91%; arm 2: 80%)</p> <p>Median number of pathologically involved nodes: 2 (range 1–20)</p> <p>ER-positive: 68% (arm 1), 71% (arm 2); PR-positive: 56% (arm 1), 45% (arm 2)</p> <p>HER2-positive: 17% (arm 1), 6% (arm 2)</p> <p>Excluded: second or inflammatory breast cancer, previous or concomitant anticancer therapy including radiotherapy and hormone therapy</p>
Interventions	<p>3 arm study</p> <p>Arm 1 ('arm C' in the trial publication): docetaxel (100 mg/m<sup>2</sup>) every 2 weeks for 4 cycles followed by epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (600 mg/m<sup>2</sup>) every 2 weeks for 4 cycles.</p> <p>Arm 2 ('arm B' in the trial publication): epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (600 mg/m<sup>2</sup>) every 2 weeks for 4 cycles followed by docetaxel (100 mg/m<sup>2</sup>) every 2 weeks for 4 cycles.</p> <p>Arm 3 ('arm A' in the trial publication – <i>not used in the review</i>): docetaxel (75 mg/m<sup>2</sup>), epirubicin (75 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) every 3 weeks for 6 cycles.</p> <p>For arms 1 and 2: pegfilgrastim (6 mg) recommended on day 2 after each chemotherapy cycle. Only arms 1 and 2 were used in the review</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>• Incidence of grade 4 toxicity. Toxicity was assessed using the NCI CTC version 3</li> </ul> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>• DFS</li> <li>• OS</li> <li>• Treatment adherence measures that included cumulative dose, absolute dose intensity (total actual dose received by patient, divided by number of weeks of treatment), and RDI (absolute dose intensity divided by planned-dose intensity)</li> <li>• Treatment-related death</li> </ul>
Notes	<p>Trial not powered to detect differences between treatment arms.</p> <p>Clinical trial registration record not found.</p> <p>Trialists were contacted in July 2018 requesting information on efficacy outcome data; as of 16 August 2018, no reply received.</p> <p>Funding considerations: supported by European Association for Research in Oncology and by grants from Sanofi-Aventis and Amgen.</p>

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Participants were "stratified according to center and number of involved lymph nodes (1-3, 4-9, > 9) and randomly assigned to one of three treatment arms." There were no imbalances in baseline characteristics.



#### AERO B03 2007 (Continued)

Allocation concealment (selection bias)	Unclear risk	No details provided in trial publication.
Blinding of participants and personnel (performance bias) All outcomes	Low risk	No information provided on blinding in the trial publication. Participants in both groups would have received the same drugs though in different order. Therefore, it was judged unlikely that this would lead to a material bias in participants' and physicians' behaviours even if unblinded.
Blinding of outcome assessment (detection bias) Overall survival	Low risk	OS data not yet reported. Unlikely that assessment of this outcome would be affected by unblinding.
Blinding of outcome assessment (detection bias) DFS	Low risk	Unblinding was unlikely to have an impact on outcome assessment for this review question where trial participants received both treatment regimens. Data for DFS have not been reported (results are not mature, as stated in 2007 publication).
Blinding of outcome assessment (detection bias) Toxicity and treatment adherence	Unclear risk	This study used formalised toxicity criteria (NCI CTC version 3) and measured a range of toxicity outcomes where in borderline cases some may be affected by unblinding (e.g. neuropathy). Dose-reductions/delays were based on toxicity assessments. Overall, unblinding may have influenced the physicians' assessments for a subset of outcomes.
Incomplete outcome data (attrition bias) All outcomes	Low risk	31/34 (91%) participants in arm 1 and 25/31 (81%) participants in arm 2 completed the study. The reasons for not completing the study were similar across groups.
Selective reporting (reporting bias)	High risk	Did not identify clinical trial registry record; trial commenced in 2003 and was completed in 2004. Important efficacy outcome data – OS and DFS – were collected but not reported in trial publication published in 2007 (i.e. immature at time of publication). No data published in last 10 years. Trial authors were contacted in July 2018 and no reply received.
Other bias	Low risk	None identified

#### Alamgeer 2014

Methods	<p>Accrual: April 2004 to December 2011</p> <p>Open-label, phase III randomised controlled trial conducted in Australia</p> <p>Neoadjuvant therapy</p> <p>Multicentre study</p> <p>Follow-up: not reported</p>
Participants	<p>Age: &lt; 50 years: 56%; ≥ 50 years: 44%</p> <p>T stage: 18% T1, 65% T2, 17% T3</p> <p>Locally advanced breast cancer</p> <p>Lymph node-positive: 81%</p> <p>HER2-positive: 24%</p> <p>Triple-negative: 24%</p>

**Alamgeer 2014** (Continued)

Luminal A: 20%; luminal B: 41%

Interventions	<p>Arm 1 ('arm B' in the trial publication): docetaxel (100 mg/m<sup>2</sup>) every 3 weeks for 4 cycles followed by fluorouracil (500 mg/m<sup>2</sup>), epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) every 3 weeks for 4 cycles</p> <p>Arm 2 ('arm A' in the trial publication): fluorouracil (500 mg/m<sup>2</sup>), epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) every 3 weeks for 4 cycles followed by docetaxel (100 mg/m<sup>2</sup>) every 3 weeks for 4 cycles</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>• Identification of markers that correlate with tumour response to chemotherapy</li> </ul> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>• DFS (collected but not reported)</li> <li>• OS</li> </ul>
Notes	<p>Clinical trial registration number: <a href="https://www.anzctr.org.au/Trial/Registration/Trial.jsp?id=12605000588695">ACTRN12605000588695</a></p> <p>Funding considerations: ANZCTR record states: primary sponsor – Monash Health; secondary sponsor: Sanofi Aventis; trial publication states support from Victorian Cancer Agency.</p> <p>Trialist was contacted in July 2018 for survival data based on sequencing; as of 16 August 2018, no reply received.</p>

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	From trial registry record: random sequence generated through "coin toss with no restriction."
Allocation concealment (selection bias)	Unclear risk	No details provided
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Open-label study. Participants in both groups received the same drugs though in different order. Therefore, it was judged unlikely that this would lead to a material bias in participants' and physicians' behaviours even when unblinded.
Blinding of outcome assessment (detection bias) Overall survival	Low risk	Lack of blinding unlikely to influence this outcome
Blinding of outcome assessment (detection bias) DFS	Low risk	Lack of blinding unlikely to have an impact on outcome assessment for this review question where trial participants received both treatment regimens
Blinding of outcome assessment (detection bias) Neoadjuvant studies only: pCR	Low risk	Assessments including mammography, ultrasound, etc were repeated before chemotherapy commenced and repeated after 4 cycles of chemotherapy; plus periodic clinical assessments after each cycle of chemotherapy to ensure tumour was not progressing. pCR was viewed to be an objective outcome and a review by a pathologist on whether pCR had been achieved or not was unlikely to be influenced by unblinding.
Incomplete outcome data (attrition bias) All outcomes	Low risk	Although a CONSORT diagram was not provided, it appeared that there were no missing data for the 2 outcomes reported.

**Alamgeer 2014** (Continued)

Selective reporting (reporting bias)	Low risk	Prespecified outcomes in ACTRN record included DFS; data for this outcome were not reported in the trial publication. The publication added a new important outcome – pCR – that was not in the trial record but this was considered an important outcome to report in the publication.
Other bias	Low risk	None identified

**Miller 2005**

Methods	<p>Accrual: June 1999 to October 2002</p> <p>Single-centre, phase II randomised controlled trial conducted in US</p> <p>Neoadjuvant study</p> <p>Median follow-up: not followed up (trial publication specifically states that participants were not followed for recurrence or OS)</p>
Participants	<p>Age: median 50 years, range: 30–64 years (arm 1); and 50 years, range: 36–65 years (arm 2)</p> <p>Inflammatory disease: 17% (arm 1), 23% (arm 2)</p> <p>Median tumour size: 5.5 cm (arm 1), 6.0 cm (arm 2)</p> <p>ER-positive: 57% (arm 1), 57% (arm 2)</p> <p>HER2-positive: 23% (arm 1), 17% (arm 2)</p> <p>Excluded: prior breast or chest wall radiation, chemotherapy or hormonal therapy</p>
Interventions	<p>Arm 1: docetaxel (40 mg/m<sup>2</sup>) weekly for 6 cycles followed by doxorubicin (75 mg/m<sup>2</sup>) every 2 weeks for 3 cycles with filgrastim on days 2–11</p> <p>Arm 2: doxorubicin (75 mg/m<sup>2</sup>) every 2 weeks for 3 cycles with filgrastim on days 2–11 followed by docetaxel (40 mg/m<sup>2</sup>) weekly for 6 cycles</p>
Outcomes	<p>Trial publication did not appear to define outcomes as primary and secondary. Outcomes collected were:</p> <ul style="list-style-type: none"> <li>• Serological and imaging markers of angiogenesis</li> <li>• Tumour microvessel density</li> <li>• Clinical complete response, defined as the complete disappearance of all evidence of disease by physical examination</li> <li>• Clinical partial response, defined as ≥ 50% decrease in the product of the greatest perpendicular tumour diameters</li> <li>• pCR, defined as no evidence of invasive malignancy in the breast and lymph node specimens at the time of definitive surgery</li> <li>• Toxicities</li> </ul>
Notes	<p>Trial not powered to detect differences between treatment arms.</p> <p>Clinical trial registration record not found.</p> <p>Funding considerations: supported by American Cancer Society, American Society of Clinical Oncology, Breast Cancer Research Foundation, Walter Cancer Institute and unrestricted research grants from Aventis and Amgen.</p>

**Risk of bias**
**Sequencing of anthracyclines and taxanes in neoadjuvant and adjuvant therapy for early breast cancer (Review)**

**Miller 2005** (Continued)

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "randomly assigned after stratification for tumour size ( $\leq 5$ cm versus $> 5$ cm) and clinical axillary node status (positive versus negative)".  Comment: there did not appear to be imbalances in baseline characteristics between groups.
Allocation concealment (selection bias)	Unclear risk	No details provided
Blinding of participants and personnel (performance bias) All outcomes	Low risk	No information provided about blinding in the trial publication. Participants in both groups would have received the same drugs though in different order. Therefore, unlikely that this would lead to a material bias in participants' and physicians' behaviours even if unblinded.
Blinding of outcome assessment (detection bias) Toxicity and treatment adherence	Unclear risk	Used formalised toxicity criteria (NCI CTC version 2) and measured a range of toxicity outcomes where in borderline cases some may be affected if unblinded (e.g. neuropathy). Dose-reductions/delays were based on toxicity assessments. Overall, unblinding may have influenced the physicians' assessments for a subset of outcomes.
Blinding of outcome assessment (detection bias) Neoadjuvant studies only: pCR	Low risk	Study pathologist blinded to treatment.
Incomplete outcome data (attrition bias) All outcomes	Low risk	A CONSORT diagram was not provided. There did not appear to be missing data for the outcomes reported.
Selective reporting (reporting bias)	Low risk	Did not identify clinical trial registry record (trial started recruitment in June 1999 and completed in October 2002). However, the outcomes outlined in the Methods section were reported in the results section in the publication. Also the Methods section clearly stated that survival data were not collected.
Other bias	Low risk	None identified

**Neo-TAnGo 2014**

Methods	<p>Accrual: 18 January 2005 to 28 September 2007</p> <p>Open-label, phase III randomised controlled trial conducted in the UK</p> <p>Neoadjuvant therapy</p> <p>Multicentre study (57 centres)</p> <p>Median follow-up: 47 months (interquartile range 37–51)</p>
Participants	<p>Age: <math>&lt; 50</math> years: 63% (in both arms); <math>\geq 50</math> years: 37% (in both arms)</p> <p>26% (arm 1) and 28% (arm 2) postmenopausal</p> <p>Tumour size <math>&gt; 20</math> mm</p> <p>Inflammatory or locally advanced disease: 25%</p>

**Neo-TAnGo 2014** (Continued)

Axillary node involvement: 50%

ER-positive: 67% (arm 1), 66% (arm 2); PR-positive: 49% (arm 1), 53% (arm 2)

HER2-positive: 26% (arm 1), 28% (arm 2)

Excluded: prior chemotherapy, radiotherapy or endocrine therapy

Interventions	<p>4-arm study with 1 treatment comparison relevant for inclusion in this review (labelled as "sequencing analysis" in trial publication)</p> <p>Arm 1: paclitaxel (175 mg/m<sup>2</sup>) with or without gemcitabine 200 mg/m<sup>2</sup> every 2 weeks for 4 cycles followed by epirubicin (90 mg/m<sup>2</sup>) and cyclophosphamide (600 mg/m<sup>2</sup>) every 3 weeks for 4 cycles</p> <p>Arm 2: epirubicin (90 mg/m<sup>2</sup>) and cyclophosphamide (600 mg/m<sup>2</sup>) every 3 weeks for 4 cycles followed by paclitaxel (175 mg/m<sup>2</sup>) with or without gemcitabine (200 mg/m<sup>2</sup>) every 2 weeks for 4 cycles</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>pCR, defined as absence of invasive breast cancer in the breast and axillary lymph nodes</li> </ul> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>DFS, defined as from the date of randomisation to the date of first event (locoregional relapse, distant relapse, progression on neoadjuvant chemotherapy or death) or to the date of censoring</li> <li>OS, defined as from the date of randomisation to the date of death or to the date of each participant's last clinic visit (for women who were not known to have died)</li> <li>Toxicity, assessed for each chemotherapy cycle according to the Common Terminology Criteria for Adverse Events</li> <li>Course-delivered dose intensities</li> </ul>
Notes	<p>Clinical trial registration record: <a href="#">NCT00070278</a></p> <p>Funding considerations: supported by Cancer Research UK, Eli Lilly, Bristol-Myers Squibb. Trial authors declared that study design, data collection, data analysis, data interpretation, etc did not involve study sponsors.</p> <p>Statistical analysis: Warwick Clinical Trials Unit, Coventry, UK</p>

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Minimisation randomisation.  Quote: "...treatment allocations were made by telephoning the Cancer Research UK Trials Unit (Birmingham UK) who used their central computerised minimisation procedure to generate the patient's random allocation."
Allocation concealment (selection bias)	Low risk	Central allocation.  Quote: "...patients were randomly assigned via a central randomisation procedure to..."
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Open-label study. Participants in both groups received the same drugs though in different order. Therefore, it was judged to be unlikely that this would lead to a material bias in participants' and physicians' behaviours even when unblinded.
Blinding of outcome assessment (detection bias) Overall survival	Low risk	Lack of blinding unlikely to influence this outcome.

**Neo-TAnGo 2014** (Continued)

Blinding of outcome assessment (detection bias) DFS	Low risk	Unblinding is unlikely to have an impact on outcome assessment for this review question where trial participants received both treatment regimens.
Blinding of outcome assessment (detection bias) Toxicity and treatment adherence	Unclear risk	Toxicity was assessed using a range of laboratory tests as well as the CTCAE scale. Dose delays and dose reductions were based on laboratory tests (e.g. ANCs) and severe toxicities. As the study was unblinded, knowledge of treatment allocation may have had some influence on the physicians' assessments.
Blinding of outcome assessment (detection bias) Neoadjuvant studies only: pCR	Low risk	Each pathology report was reviewed by 2 people masked to the treatment group – 1 chief investigator and 1 study pathologist. Therefore, judged to have low risk of bias.
Incomplete outcome data (attrition bias) All outcomes	Low risk	All participants randomised to treatment groups, except for 2/416 in the intervention group and 1/415 in the comparator group were included in analysis. 11 (2.6%) participants in the comparator group and 16 (3.85%) in the intervention group were protocol violators (reasons across groups were very similar). These participants were still included in ITT analysis for secondary outcomes. For the primary outcome, there were equal numbers of participants who did not undergo surgery (4 in each group).
Selective reporting (reporting bias)	Low risk	Prespecified outcomes in Clinical trials.gov record ( <a href="#">NCT00070278</a> ) and the Methods section of the trial publication are generally the same. Some important outcomes have been added to the trial publication – i.e. toxicity and treatment adherence data.
Other bias	Low risk	None identified

**Puhalla 2008**

Methods	<p>Accrual: October 2004 to June 2006</p> <p>Multicentre, phase II randomised controlled trial conducted in the USA</p> <p>Adjuvant therapy</p> <p>Median follow-up: not reported</p>
Participants	<p>Age: median 51 years, range 33–75 years</p> <p>55% postmenopausal</p> <p>Node-positive non-metastatic breast cancer</p> <p>Stage II: 72%</p> <p>Median number of positive lymph nodes: 2 (range 1–35)</p> <p>Hormone receptor-positive: 66%</p> <p>HER2-positive: 4% (arm 1); 0% (arm B)</p> <p>Excluded: prior chemotherapy or hormone therapy as neoadjuvant or adjuvant therapy</p>
Interventions	<p>Arm 1 ('arm A' in the trial publication): docetaxel (75 mg/m<sup>2</sup>) every 2 weeks for 4 cycles followed by doxorubicin (60 mg/m<sup>2</sup>), cyclophosphamide (600 mg/m<sup>2</sup>) every 2 weeks for 4 cycles</p>

**Puhalla 2008** (Continued)

Arm 2 ('arm B' in the trial publication): doxorubicin (60 mg/m<sup>2</sup>), cyclophosphamide (600 mg/m<sup>2</sup>) every 2 weeks for 4 cycles followed by docetaxel (75 mg/m<sup>2</sup>) every 2 weeks for 4 cycles

For arm 1 and 2: pegfilgrastim (6 mg) on day 2 after each chemotherapy cycle

Outcomes	Primary outcomes <ul style="list-style-type: none"> <li>• Completion of 4 cycles of docetaxel without dose reductions or delays within 10 weeks</li> <li>• RDI defined as total cumulative drug doses the participant actually received per time divided by the protocol-intended cumulative doses per time</li> </ul> Secondary outcomes <ul style="list-style-type: none"> <li>• Toxicity, assessed using the NCI CTC version 2</li> <li>• Quality of life</li> </ul>
Notes	Clinical trial record: <a href="#">NCT00201708</a>  Funding considerations: supported by research grant from Sanofi-Aventis; data management support by Bridge Site Clinical Research, Columbus

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "A 1:1 fixed block randomisation with a block size of 9 was used. After eligibility was confirmed at the coordinating centre randomisation occurred."  Comment: baseline characteristics were similar although there were more stage III participants in the comparator arm.
Allocation concealment (selection bias)	Low risk	Quote: "at the coordinating centre, randomisation occurred and the group assignment was then sent to the outside institution."
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Open-label study. Participants in both groups received the same drugs though in different order. Therefore, it was judged to be unlikely that this would lead to a material bias in participants' and physicians' behaviours even when unblinded.
Blinding of outcome assessment (detection bias) Toxicity and treatment adherence	Unclear risk	Study used formalised toxicity criteria (NCI CTC version 2) and measured a range of toxicity outcomes, some of which in borderline cases may be affected by unblinding (e.g. neuropathy). Dose-reductions/delays were based on toxicity assessments. Overall, unblinding may have influenced the physicians' assessments for a subset of outcomes.
Blinding of outcome assessment (detection bias) Quality of life	Low risk	FACT-B version 4 performed on a small subset of 20 randomly selected participants at 3 time points. Collected for feasibility and not prespecified. Participants were not blinded to treatment allocation.
Incomplete outcome data (attrition bias) All outcomes	Low risk	In the trial publication, there was a mismatch between number of participants in each group in the CONSORT diagram Figure 1 (26 participants in each arm) and Table 1 (28 patients in each arm), with 2 patients unaccounted for. Contacted trial author and received reply confirming that there was an error in the CONSORT diagram and that there were 28 participants in each arm.
Selective reporting (reporting bias)	Low risk	Trial record identified ( <a href="#">NCT00201708</a> ). Outcomes reported (except for 1 outcome that was related to which regimen to take forward for phase III trial). 2 other outcomes were added in the trial publication that were not in trial registration record – RDI and quality of life but these are considered important outcomes to report.

**Puhalla 2008** (Continued)

Other bias	Low risk	None identified
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**Stearns 2003**

Methods	<p>Accrual: April 1997 to June 2001</p> <p>Single-centre, phase II randomised controlled trial conducted in USA</p> <p>Neoadjuvant study</p> <p>Median follow-up: 24 months (from time of surgery)</p>
Participants	<p>Age: &lt; 50 years: 76%; ≥ 50 years: 24%</p> <p>Stage IIIA: 14 participants, 48%; IIIB: 10 participants, 35%; IV: 5 participants, 17%</p> <p>55% premenopausal; 31% postmenopausal; 14% perimenopausal</p> <p>Excluded: women with prior hormonal or cytotoxic therapy</p>
Interventions	<p>Arm 1: paclitaxel (250 mg/m<sup>2</sup>) every 2 weeks for 3 cycles with filgrastim followed by doxorubicin (90 mg/m<sup>2</sup>) every 2 weeks for 3 cycles with filgrastim; protocol was amended prior to recruitment of final 9 participants: paclitaxel (175 mg/m<sup>2</sup>) every 2 weeks for 4 cycles with filgrastim followed by doxorubicin (60 mg/m<sup>2</sup>) every 2 weeks for 4 cycles with filgrastim</p> <p>Arm 2: doxorubicin (90 mg/m<sup>2</sup>) every 2 weeks for 3 cycles with filgrastim followed by paclitaxel (250 mg/m<sup>2</sup>) every 2 weeks for 3 cycles with filgrastim; protocol was amended prior to recruitment of final 9 participants: doxorubicin (60 mg/m<sup>2</sup>) every 2 weeks for 4 cycles with filgrastim followed by paclitaxel (175 mg/m<sup>2</sup>) every 2 weeks for 4 cycles with filgrastim</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>Feasibility of serial biopsies</li> </ul> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>Clinical and pathological responses</li> <li>Levels of apoptosis</li> <li>Survival</li> <li>Disease recurrence</li> <li>Expression of ER, HER2, bcl2 and p53</li> </ul>
Notes	<p>Trial not powered to detect differences between treatment arms</p> <p>Clinical trial registration record not found</p> <p>Funding considerations: cancer research fund of Damon Runyon-Walter Winchell Foundation, investigator-initiated grant from Bristol-Myers Squibb Oncology and Amgen, Footwear Foundation/QVC Presents Shoes on Sale</p>

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "Randomisation was stratified by menopausal status and lesion stage and conducted in blocks of 4 patients as implemented in the RANLST module of the STPLAN software package."



**Stearns 2003** (Continued)

Allocation concealment (selection bias)	Unclear risk	No details provided
Blinding of participants and personnel (performance bias) All outcomes	Low risk	No information provided about blinding in the trial publication. Participants in both groups would have received the same drugs though in different order. Therefore, it was unlikely that this would have led to a material bias in participants' and physicians' behaviours even if unblinded.
Blinding of outcome assessment (detection bias) Overall survival	Low risk	If the study was open-label, a lack of blinding was unlikely to influence this outcome.
Blinding of outcome assessment (detection bias) DFS	Low risk	If the study was open-label, unblinding was unlikely to have an impact on outcome assessment for this review question where trial participants received both treatment regimens.
Blinding of outcome assessment (detection bias) Toxicity and treatment adherence	Unclear risk	Collection of toxicity data was not discussed and if study was unblinded, knowledge of treatment allocation may have had some influence on the physicians' assessments.
Blinding of outcome assessment (detection bias) Neoadjuvant studies only: pCR	Low risk	Although there was no information regarding blinding of the pathologist who assessed this outcome, pCR was viewed to be an objective outcome. A review by the pathologist on whether pCR had been achieved or not was unlikely to be influenced by unblinding.
Incomplete outcome data (attrition bias) All outcomes	Low risk	A CONSORT diagram was not provided. There do not appear to be missing data for the outcomes reported.
Selective reporting (reporting bias)	High risk	Could not identify a clinical trial registry record (trial started recruitment in April 1997 and completed in June 2001). All outcomes reported in the Methods section had the corresponding results in the publication; however, data had not been reported separately by treatment group for OS, DFS or toxicities.
Other bias	Low risk	None identified

**Wildiers 2009a**

Methods	<p>Accrual: 22 September 2005 to 18 July 2006</p> <p>Multicentre, phase II randomised controlled trial conducted in Belgium</p> <p>Adjuvant therapy</p> <p>Median follow-up: not reported</p>
Participants	<p>Age: mean 48.0 years (SD 8.6) (arm 1), mean 48.9 years (SD 9.7) (arm 2)</p> <p>Approximately 50% were &gt; 50 years of age</p> <p>Node-positive or other features of high risk as per St Gallen criteria</p> <p>Stage I: 20% (arm 1), 26% (arm 2); stage II: 50% (arm 1), 47% (arm 2); stage III: 30% (arm 1), 26% (arm 2)</p> <p>Axillary lymph node involvement: mean 4.8 (SD 7.5) (arm 1), mean 4.9 (SD 9.7) (arm 2)</p> <p>ER-positive: 70% (arm 1), 74% (arm 2); PR-positive: 70% (arm 1), 84% (arm 2)</p>

**Wildiers 2009a** (Continued)

HER2-positive: not reported

Excluded: prior systemic anticancer therapy or radiotherapy

Interventions	<p>4-arm study with 1 treatment comparison presented as part of <a href="#">Wildiers 2009a</a> (labelled as "conventional" in trial publication) and the other treatment comparison presented in <a href="#">Wildiers 2009b</a> (labelled as "dose-dense" in trial publication).</p> <p>Arm 1 ('arm B' in the trial publication): docetaxel (100 mg/m<sup>2</sup>) every 3 weeks for 3 cycles followed by fluorouracil (500 mg/m<sup>2</sup>), epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) every 3 weeks for 3 cycles.</p> <p>Arm 2 ('arm A' in the trial publication): fluorouracil (500 mg/m<sup>2</sup>), epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) every 3 weeks for 3 cycles followed by docetaxel (100 mg/m<sup>2</sup>) every 3 weeks for 3 cycles.</p> <p>For both arms: pegfilgrastim allowed for secondary prophylaxis of febrile neutropenia or prolonged grade IV neutropenia</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>Number of participants in each arm who completed all intended cycles at any overall RDI of <math>\geq 85\%</math> of the global regimen. Dose intensity (percentage of reference value) was defined as the dose intensity achieved in arm 1 or 2 for a participant who received all intended doses with no cycle delay or dose reduction</li> </ul> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>Incidence of dose delays (<math>\geq 1</math> day) and dose reductions due to adverse events (haematological or non-haematological)</li> <li>Toxicity and tolerability (e.g. neutropenia and febrile neutropenia, grade 3–4); toxicity assessed before each cycle using the NCI CTC version 3.0</li> </ul>
Notes	<p>Clinical trial registration record not found</p> <p>Funding considerations: study and trial publication were supported by an Amgen grant.</p>

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "patients were randomized...(1:1:2:2)."  Comment: this process involved stratified randomisation for age (< or > 50 years of age). Baseline characteristics were similar across groups and no major imbalances were noted (e.g. for age, white blood cell count, tumour parameters).
Allocation concealment (selection bias)	Low risk	Central allocation  Quote: "...Randomisation of patients was carried out centrally via email or fax..."
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Open-label study. Participants in both groups received the same drugs though in different order. Therefore, it was unlikely that this would lead to material bias in participants' and physicians' behaviours even when unblinded.
Blinding of outcome assessment (detection bias)	Low risk	Study used formalised toxicity criteria (NCI CTC) and the limited number of toxicity outcomes reported (i.e. neutropenia) were based on objective measures from blood tests. Dose-reductions/delays were based on this toxicity as-

**Wildiers 2009a** (Continued)

Toxicity and treatment adherence		essment. Given the types of toxicities assessed in this study, unblinding was unlikely to influence the physicians' assessments.
Incomplete outcome data (attrition bias) All outcomes	Low risk	100% of participants in 'Doc → FEC' (docetaxel → fluorouracil, epirubicin, cyclophosphamide) arm and 95% (19/20) of participants in 'FEC → Doc' (fluorouracil, epirubicin, cyclophosphamide → docetaxel) arm had completed the study. Of the 5 withdrawals, 4 were related to adverse events (perineal abscess, wound problem, mucositis and pharyngitis/gastritis) and 1 due to the physician's decision. 1 withdrew in the last cycle of chemotherapy.
Selective reporting (reporting bias)	Low risk	Could not identify clinical trial registry record (trial started recruitment in September 2005 and completed in July 2006). However, all outcomes reported in the Methods section had the corresponding results in the publication.
Other bias	Low risk	None identified

**Wildiers 2009b**

Methods	<p>Accrual: 22 September 2005 to 18 July 2006</p> <p>Multicentre, phase II randomised controlled trial conducted in Belgium</p> <p>Adjuvant therapy</p> <p>Median follow-up: not reported</p>
Participants	<p>Age: mean 49.2 years (SD 10.0) (arm 1); mean 48.6 years (SD 8.5) (arm 2)</p> <p>51% (in both arms) were &gt; 50 years of age</p> <p>Node-positive or other features of high risk as per St Gallen criteria</p> <p>Stage I: 28% (arm 1), 5% (arm 2); stage II: 51% (arm 1), 79% (arm 2); stage III: 21% (arm 1), 15% (arm 2)</p> <p>Axillary lymph node involvement: mean 2.8 (SD 5.3) (arm 1), mean 4.2 (SD 6.9) (arm 2)</p> <p>ER-positive: 64% (arm 1), 79% (arm 2); PR-positive: 69% (arm 1), 79% (arm 2)</p> <p>HER2-positive: not reported</p> <p>Excluded: prior systemic anti-cancer therapy or radiotherapy</p>
Interventions	<p>4-arm study with 1 treatment comparison presented as part of <a href="#">Wildiers 2009a</a> (labelled as "conventional" in trial publication) and the other treatment comparison presented in <a href="#">Wildiers 2009b</a> (labelled as "dose-dense" in trial publication).</p> <p>Arm 1 ('arm D' in the trial publication): docetaxel (75 mg/m<sup>2</sup>) every 2 weeks for 4 cycles followed by fluorouracil (375 mg/m<sup>2</sup>), epirubicin (75 mg/m<sup>2</sup>), cyclophosphamide (375 mg/m<sup>2</sup>) every 10 or 11 days for 4 cycles.</p> <p>Arm 2 ('arm C' in the trial publication): fluorouracil (375 mg/m<sup>2</sup>), epirubicin (75 mg/m<sup>2</sup>), cyclophosphamide (375 mg/m<sup>2</sup>) every 10 or 11 days for 4 cycles followed by docetaxel (75 mg/m<sup>2</sup>) every 2 weeks for 4 cycles.</p> <p>For both arms: pegfilgrastim allowed for secondary prophylaxis of febrile neutropenia or prolonged grade IV neutropenia</p>
Outcomes	Primary outcome

**Wildiers 2009b** (Continued)

- Number of participants in each arm who completed all intended cycles at any overall RDI of  $\geq 85\%$  of the global regimen. Dose intensity (percentage of reference value) was defined as the dose intensity achieved in arm 1 or 2 for a participant who received all intended doses with no cycle delay or dose reduction

## Secondary outcomes

- Incidence of dose delays ( $\geq 1$  day) and dose reductions due to adverse events (haematological or non-haematological)
- Toxicity and tolerability (e.g. neutropenia and febrile neutropenia, grade 3–4); toxicity assessed before each cycle using the NCI CTC version 3.0

## Notes

Clinical trial registration record not found

Funding considerations: study and trial publication were supported by an Amgen grant.

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "patients were randomized...(1:1:2:2)."  Comment: this process involved stratified randomisation for age (< or > 50 years of age). Baseline characteristics were similar across groups and no major imbalances were noted (e.g. for age, white blood cell count, tumour parameters).
Allocation concealment (selection bias)	Low risk	Central allocation  Quote: "...Randomisation of patients was carried out centrally via email or fax..."
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Open-label study. Participants in both groups received the same drugs though in different order. Therefore, it was unlikely that this would lead to material bias in participants' and physicians' behaviours even when unblinded.
Blinding of outcome assessment (detection bias) Toxicity and treatment adherence	Low risk	Study used formalised toxicity criteria (NCI CTC) and the limited number of toxicity outcomes reported (i.e. neutropenia) were based on objective measures from blood tests. Dose-reductions/delays were based on this toxicity assessment. Given the types of toxicities assessed in this study, unblinding was unlikely to influence the physicians' assessments.
Incomplete outcome data (attrition bias) All outcomes	Low risk	92% (36/39) of participants in dose-dense Doc $\rightarrow$ FEC (docetaxel $\rightarrow$ fluorouracil, epirubicin, cyclophosphamide) arm and 97% (38/39) of participants in dose-dense FEC $\rightarrow$ Doc (fluorouracil, epirubicin, cyclophosphamide $\rightarrow$ docetaxel) arm completed the study. 3 withdrawals related to adverse events and 1 due to the physician's decision.
Selective reporting (reporting bias)	Low risk	Could not identify clinical trial registry record (trial started recruitment in September 2005 and completed in July 2006). However, all outcomes reported in the Methods section had the corresponding results in the publication.
Other bias	Low risk	None identified

ANC: absolute neutrophil count; DFS: disease-free survival; ER: oestrogen receptor; FACT-B: Functional Assessment of Cancer Therapy – Breast Cancer; G-CSF: granulocyte-colony stimulating factor; HER2: human epidermal growth factor receptor 2; ITT: intention to treat; LVEF: left ventricular ejection fraction; NCI CTC: National Cancer Institute Common Toxicity Criteria for Adverse Events; OS: overall survival; pCR: pathological complete response; PR: progesterone receptor; RDI: relative dose intensity; SD: standard deviation.

**Characteristics of excluded studies** *[ordered by study ID]*

Study	Reason for exclusion
<a href="#">Akashi-Tanaka 2017</a>	Wrong intervention
<a href="#">Albain 2012</a>	Wrong study design
<a href="#">Anonymous 2001</a>	Wrong intervention
<a href="#">Buzdar 2004</a>	Wrong intervention
<a href="#">Cardoso 2001</a>	Wrong study design
<a href="#">Cresta 2001</a>	Wrong participant population
<a href="#">Earl 2003</a>	Wrong intervention
<a href="#">Fabiano 2002</a>	Wrong study design
<a href="#">Focan 2005</a>	Wrong participant population
<a href="#">Guarneri 2010</a>	Wrong intervention
<a href="#">Skarlos 2012</a>	Wrong intervention
<a href="#">SWOG S0800</a>	Wrong participant population
<a href="#">Thomas 2017</a>	Wrong intervention
<a href="#">Wildiers 2006</a>	Wrong intervention
<a href="#">Zoli 2005</a>	Wrong participant population

**Characteristics of studies awaiting assessment** *[ordered by study ID]*
**Masuda 2012**

Methods	Accrual: September 2009 to September 2011 Multicentre, phase II randomised controlled trial conducted in Japan Neoadjuvant study
Participants	Median age 54 years (range 33–70 years) Median tumour size 35 mm (range 12–80 mm) 40.8% node-positive 60.2% ER-positive 100% HER2-positive breast cancer

**Masuda 2012** (Continued)

Interventions	<p>Arm 1: docetaxel (75 mg/m<sup>2</sup>), cyclophosphamide (600 mg/m<sup>2</sup>), trastuzumab (6 mg/kg, loading by 8 mg) for 4 cycles, followed by 5-fluorouracil (500 mg/m<sup>2</sup>), epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) for 4 cycles</p> <p>Arm 2: 5 fluorouracil (500 mg/m<sup>2</sup>), epirubicin (100 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) for 4 cycles, followed by docetaxel (75 mg/m<sup>2</sup>), cyclophosphamide (600 mg/m<sup>2</sup>), trastuzumab (6 mg/kg, loading by 8 mg) for 4 cycles</p> <p>Arm 3: docetaxel (75 mg/m<sup>2</sup>), cyclophosphamide (600 mg/m<sup>2</sup>), trastuzumab (6 mg/kg, loading by 8 mg) for 6 cycles</p> <p>An interim analysis of pCR noted that anthracycline-containing regimens did not exceed benefit from the current standard regimen and, therefore, study limited allocation only to arm 3.</p> <p>Data for arm 1 and arm 2 only are relevant for this Cochrane review topic</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>• pCR</li> </ul> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>• Overall response rate</li> <li>• Safety (e.g. cardiac toxicity)</li> </ul>
Notes	<p>Clinical trial registry record: <a href="https://www.clinicaltrials.gov/ct2/show/study?term=UMIN000002365">UMIN000002365</a></p> <p>Last follow-up date: 1 August 2014</p> <p>Clinical trials registry record stated that results were partially published at San Antonio Breast Cancer Symposium 2012.</p> <p>Research contact person: Norikazu Masuda, <a href="mailto:nmasuda@alpha.ocn.ne.jp">nmasuda@alpha.ocn.ne.jp</a>; public contact person: Katsumasa Kuroi, <a href="mailto:office@jbcrg.jp">office@jbcrg.jp</a></p> <p>Authors contacted in May 2018 for data relating to comparison of arm 1 and arm 2 (as data were not reported in San Antonio Breast Cancer Symposium 2012 abstract); no reply.</p> <p>Funding considerations: Japan Breast Cancer Research Group; self-funded</p>

**NeoSAMBA**

Methods	<p>Accrual: August 2010 to April 2018</p> <p>Accrual target: 112 participants</p> <p>Phase II randomised controlled trial conducted in Brazil</p> <p>Single centre</p> <p>Neoadjuvant study</p>
Participants	<p>Stage IIB to IIIB HER2-negative breast cancer</p>
Interventions	<p>Arm 1: docetaxel (100 mg/m<sup>2</sup>) intravenously every 3 weeks for 3 cycles followed by 5-fluorouracil (500 mg/m<sup>2</sup>), adriamycin (50 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) intravenously every 3 weeks for 3 cycles</p> <p>Arm 2: 5-fluorouracil (500 mg/m<sup>2</sup>), adriamycin (50 mg/m<sup>2</sup>), cyclophosphamide (500 mg/m<sup>2</sup>) intravenously every 3 weeks for 3 cycles followed by docetaxel (100 mg/m<sup>2</sup>) every 3 weeks for 3 cycles</p>



### NeoSAMBA (Continued)

Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>pCR</li> </ul> <p>Secondary outcome</p> <ul style="list-style-type: none"> <li>Disease-free survival</li> <li>Overall survival</li> <li>Cardiac toxicity</li> </ul>
Notes	<p>Clinical trial registry record: <a href="#">NCT01270373</a> Results expected to be reported in December 2018</p> <p>Sponsor: Instituto Nacional de Cancer Brazil</p> <p>Collaborator: Sanofi</p>

### Taghian 2005

Methods	<p>Accrual: February 2000 to March 2005</p> <p>Multicentre, phase II randomised study conducted in the USA</p> <p>Neoadjuvant study</p>
Participants	<p>Age: mean 47.9 years (SD 9.2) (arm 1); mean 50.4 years (SD 8.2) (arm 2)</p> <p>Premenopausal: 59.3% (arm 1), 48.3% (arm 2); postmenopausal: 37.0% (arm 1), 44.8% (arm 2)</p> <p>Clinical T stage: T2 70.4%, T3 29.6% (arm 1); T2 60.0%, T3 40.0% (arm 2)</p> <p>Clinical N stage: N0 55.6%, N1 44.4% (arm 1); N0 46.7%, N1 53.3% (arm 2)</p> <p>ER-positive: 74.1% (arm 1), 73.3% (arm 2); PR-positive: 77.8% (arm 1), 60.0% (arm 2)</p> <p>HER2-positive: 11.1% (arm 1), 30.0% (arm 2)</p>
Interventions	<p>Arm 1: paclitaxel (80 mg/m<sup>2</sup>) every week for 9 cycles followed by doxorubicin (60 mg/m<sup>2</sup>) every 2 weeks for 4 cycles</p> <p>Arm 2: doxorubicin (60 mg/m<sup>2</sup>) every 2 weeks for 4 cycles followed by paclitaxel (80 mg/m<sup>2</sup>) every week for 9 cycles</p>
Outcomes	<ul style="list-style-type: none"> <li>pCR (only outcome listed in clinical trial record)</li> <li>Interstitial fluid pressure</li> </ul>
Notes	<p>Clinical trial registry record: <a href="#">NCT00096291</a></p> <p>Trial publications state that overall survival data also collected but not reported. Trialists contacted 27 August 2018 asking for overall survival, disease-free survival and pCR data if available. Trialists replied that they could make data available but required time.</p> <p>Research contact person: Alphonse Taghian (ATAGHIAN@mgh.harvard.edu)</p> <p>Funding considerations: supported by Massachusetts Department of Public Health, an Investigator Initiated grant from Bristol-Myers-Squibb, Massachusetts General Hospital Cancer Fund, Jane Mailoux Research Fund, NCI Avon Supplement</p>

ER: oestrogen receptor; HER2: human epidermal growth factor receptor 2; pCR: pathological complete response; PR: progesterone receptor; SD: standard deviation.

### Characteristics of ongoing studies [ordered by study ID]

#### UMIN000003283

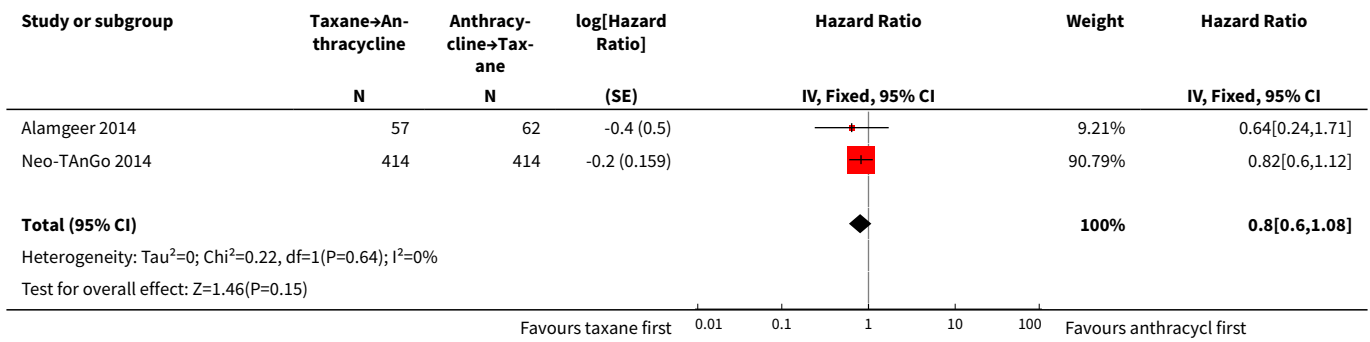
Trial name or title	A randomized study of docetaxel + cyclophosphamide (TC), 5-fluorouracil + epirubicin + cyclophosphamide (FEC)-TC and TC-FEC as preoperative chemotherapy for hormone receptor positive and HER2 negative primary breast cancer JBCRG-09
Methods	<p>Accrual: 1 September 2009 to undisclosed date</p> <p>Accrual target: 195</p> <p>Phase II cluster randomised controlled trial conducted in Japan</p> <p>Multicentre</p> <p>Neoadjuvant study</p> <p>Last follow-up date: 31 May 2017</p>
Participants	<p>Hormone receptor-positive and HER2-negative primary breast cancer</p> <p>Primary breast cancer defined as T1c-3 N0-1, m0 and tumour size <math>\leq 7</math> cm</p>
Interventions	<p>Arm 1: docetaxel (75 mg/m<sup>2</sup>) for 1 hour day 1, cyclophosphamide (600 mg/m<sup>2</sup>), 15-30 minutes day 1 for 3 cycles followed 5-fluorouracil (500 mg/m<sup>2</sup>) day 1, epirubicin (100 mg/m<sup>2</sup>) day 1 and cyclophosphamide (500 mg/m<sup>2</sup>) day 1 for 3 cycles</p> <p>Arm 2: 5-fluorouracil (500 mg/m<sup>2</sup>) day 1, epirubicin (100 mg/m<sup>2</sup>) day 1 and cyclophosphamide (500 mg/m<sup>2</sup>) day 1 for 3 cycles followed by docetaxel (75 mg/m<sup>2</sup>) for 1 hour day 1, cyclophosphamide (600 mg/m<sup>2</sup>), 15-30 minutes day 1 for 3 cycles</p> <p>Arm 3: docetaxel (75 mg/m<sup>2</sup>) for 1 hour day 1, cyclophosphamide (600 mg/m<sup>2</sup>), 15-30 minutes day 1 for 6 cycles</p>
Outcomes	<p>Primary outcome</p> <ul style="list-style-type: none"> <li>Pathological complete response rate</li> </ul> <p>Secondary outcomes</p> <ul style="list-style-type: none"> <li>Safety</li> <li>Overall response rate</li> <li>Disease-free survival</li> <li>Overall survival</li> <li>Clinical response evaluation using various diagnostic imaging techniques</li> </ul>
Starting date	<p>Start date: 1 September 2009</p> <p>Estimated completion date: 31 May 2017 (last follow-up date recorded)</p>
Contact information	Research contact person: Norikazu Masuda, nmasuda@alpha.ocn.ne.jp; public contact person: Katsumasa Kuroi, office@jbcrg.jp
Notes	Funding considerations: Japan Breast Cancer Research Group (JBCRG); self-funded

## DATA AND ANALYSES

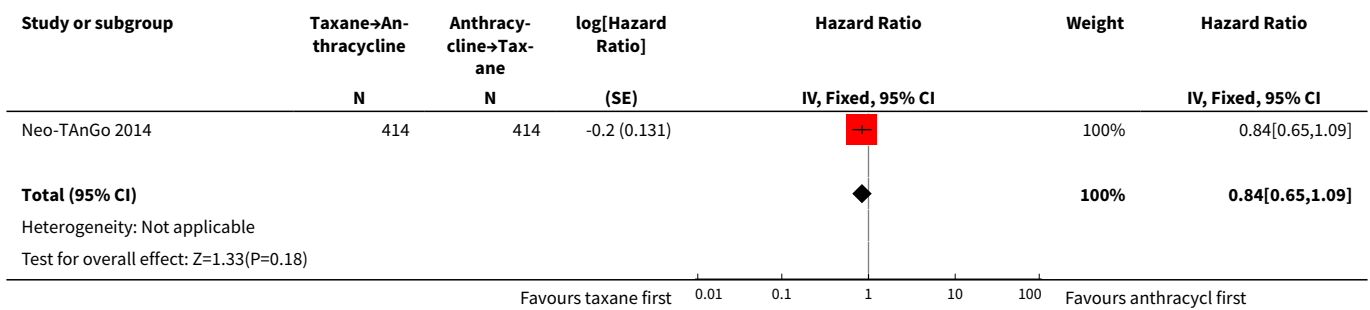
### Comparison 1. Neoadjuvant

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Overall survival	2	947	Hazard Ratio (Fixed, 95% CI)	0.80 [0.60, 1.08]
2 Disease-free survival	1	828	Hazard Ratio (Fixed, 95% CI)	0.84 [0.65, 1.09]
3 Pathological complete response (pCR) includes by hormone or HER2 receptor status	4		Risk Ratio (M-H, Fixed, 95% CI)	Subtotals only
3.1 No invasive cancer in breast or axilla	4	1280	Risk Ratio (M-H, Fixed, 95% CI)	1.15 [0.96, 1.38]
3.2 Oestrogen receptor (ER)-positive	2	708	Risk Ratio (M-H, Fixed, 95% CI)	1.18 [0.88, 1.58]
3.3 ER-negative	2	381	Risk Ratio (M-H, Fixed, 95% CI)	1.10 [0.89, 1.36]
3.4 HER2-positive	2	467	Risk Ratio (M-H, Fixed, 95% CI)	1.07 [0.85, 1.33]
3.5 HER2-negative	1	505	Risk Ratio (M-H, Fixed, 95% CI)	1.40 [0.92, 2.14]
3.6 HER2-negative, ER-negative	1	157	Risk Ratio (M-H, Fixed, 95% CI)	1.22 [0.77, 1.93]
4 Pathological response	3		Risk Ratio (M-H, Fixed, 95% CI)	Subtotals only
4.1 No invasive or in situ carcinoma in breast or axilla	1	119	Risk Ratio (M-H, Fixed, 95% CI)	0.93 [0.47, 1.84]
4.2 No invasive cancer in breast	2	306	Risk Ratio (M-H, Fixed, 95% CI)	0.97 [0.79, 1.20]
4.3 No invasive cancer in axillary lymph nodes	1	282	Risk Ratio (M-H, Fixed, 95% CI)	1.66 [1.03, 2.66]
5 Adverse events	2		Risk Ratio (M-H, Fixed, 95% CI)	Subtotals only
5.1 Neutropenia	1	280	Risk Ratio (M-H, Fixed, 95% CI)	1.25 [0.86, 1.82]
5.2 Neurotoxicity	2	1108	Risk Ratio (M-H, Fixed, 95% CI)	0.95 [0.55, 1.65]
5.3 Treatment-related death	2	1108	Risk Ratio (M-H, Fixed, 95% CI)	1.0 [0.06, 15.93]
6 Treatment adherence: dose reduction	1	280	Risk Ratio (M-H, Fixed, 95% CI)	0.81 [0.59, 1.11]

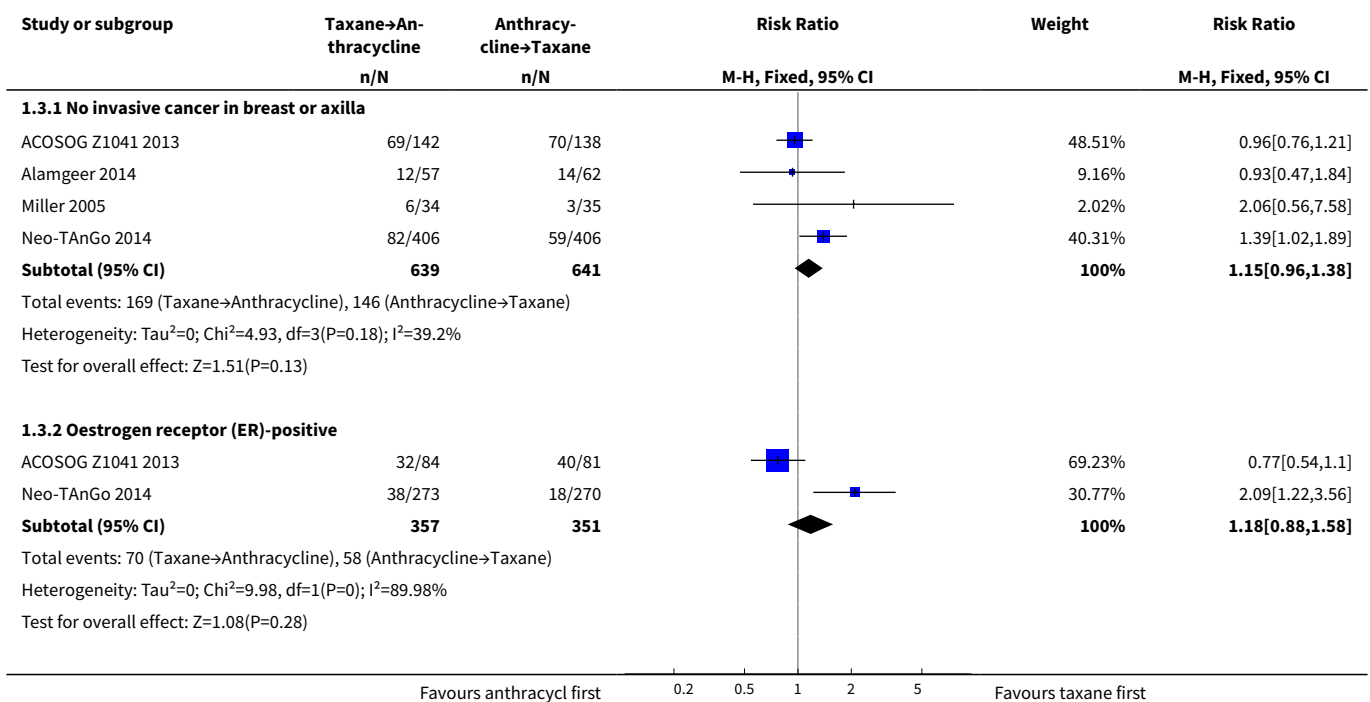
**Analysis 1.1. Comparison 1 Neoadjuvant, Outcome 1 Overall survival.**

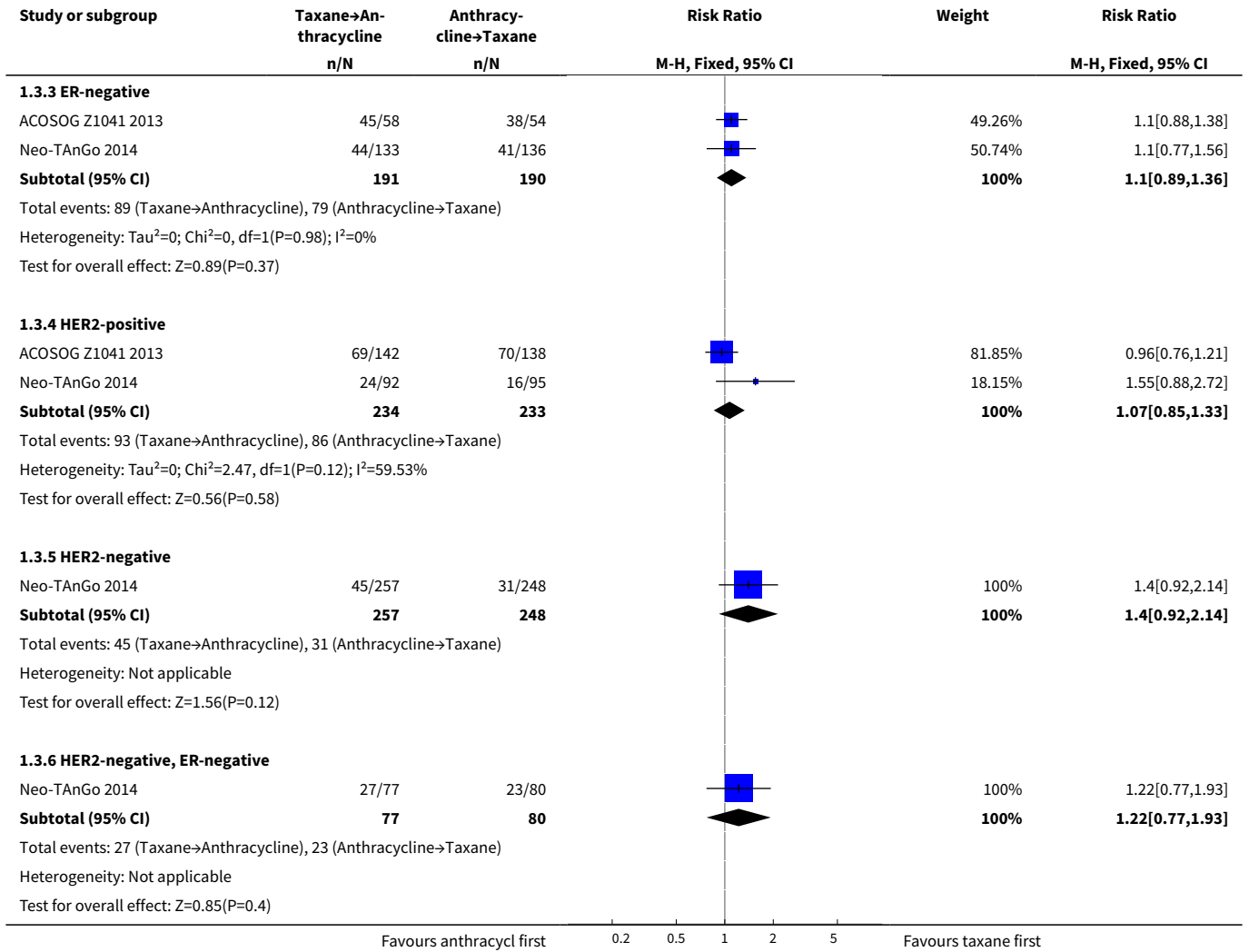


**Analysis 1.2. Comparison 1 Neoadjuvant, Outcome 2 Disease-free survival.**

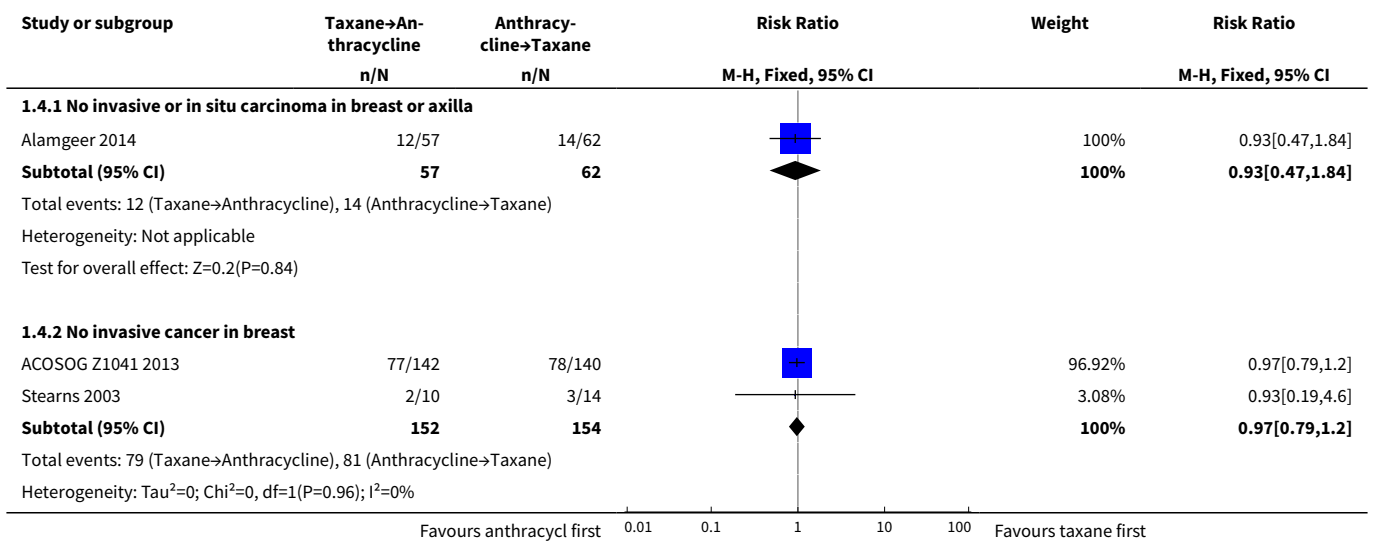


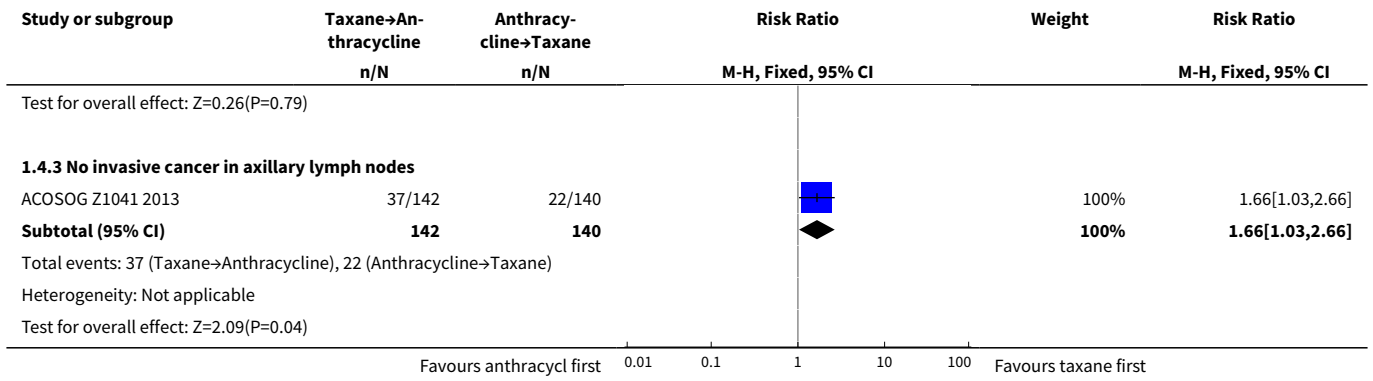
**Analysis 1.3. Comparison 1 Neoadjuvant, Outcome 3 Pathological complete response (pCR) includes by hormone or HER2 receptor status.**



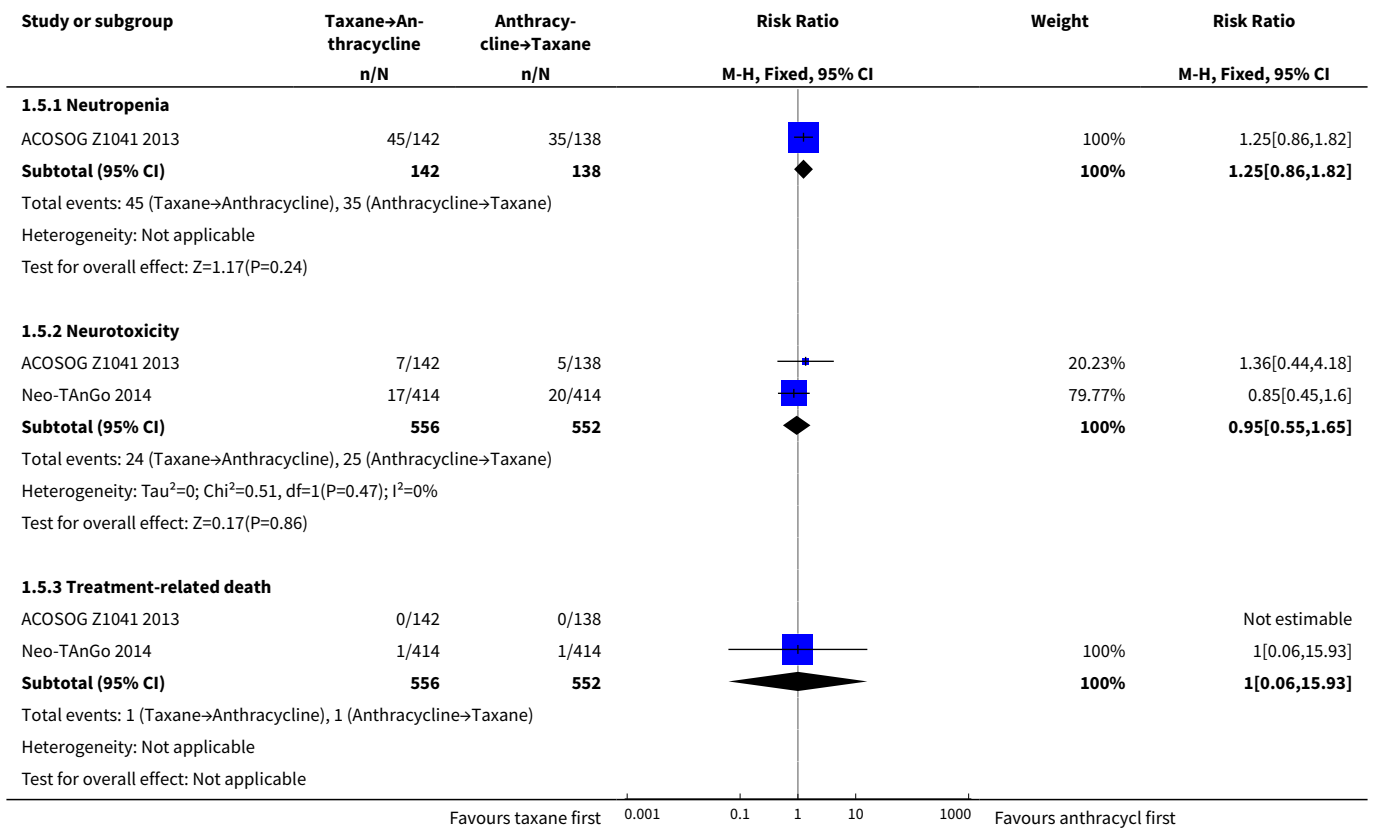


**Analysis 1.4. Comparison 1 Neoadjuvant, Outcome 4 Pathological response.**

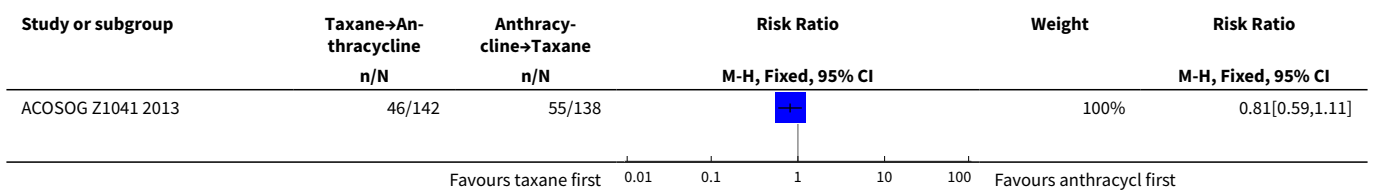




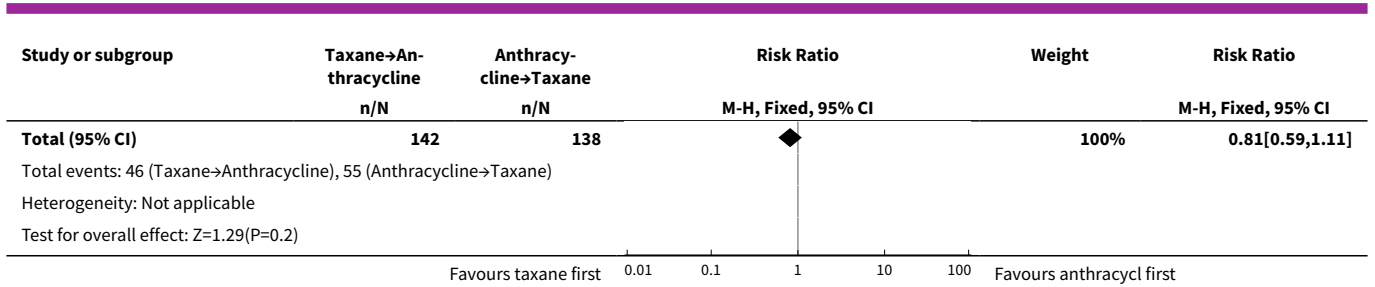
**Analysis 1.5. Comparison 1 Neoadjuvant, Outcome 5 Adverse events.**



**Analysis 1.6. Comparison 1 Neoadjuvant, Outcome 6 Treatment adherence: dose reduction.**



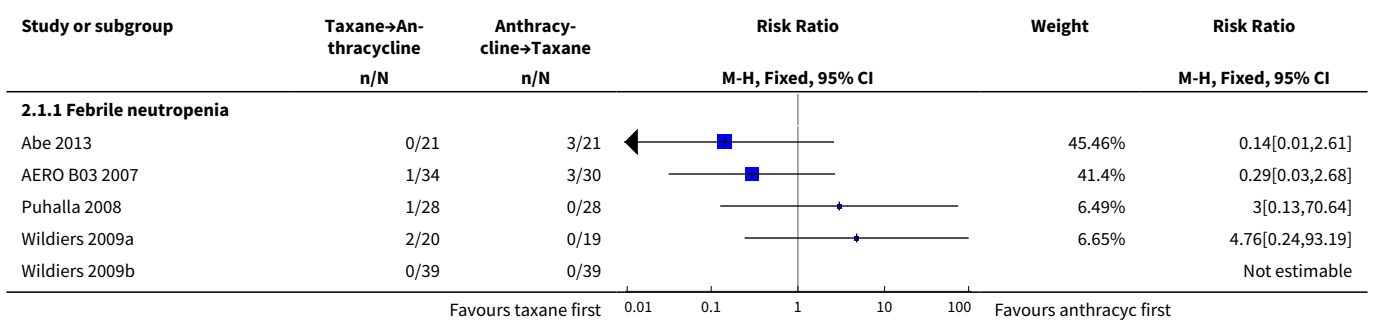


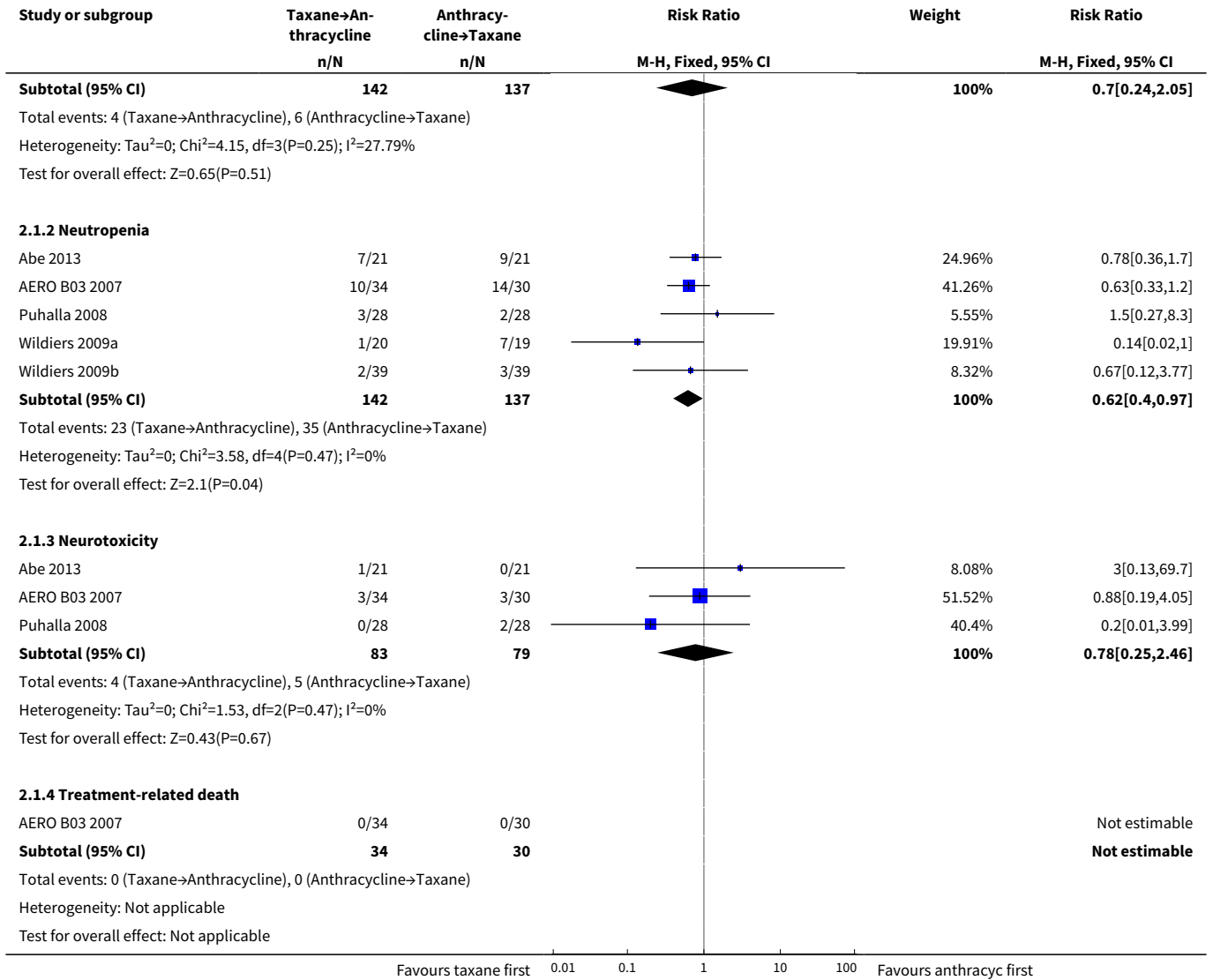


**Comparison 2. Adjuvant**

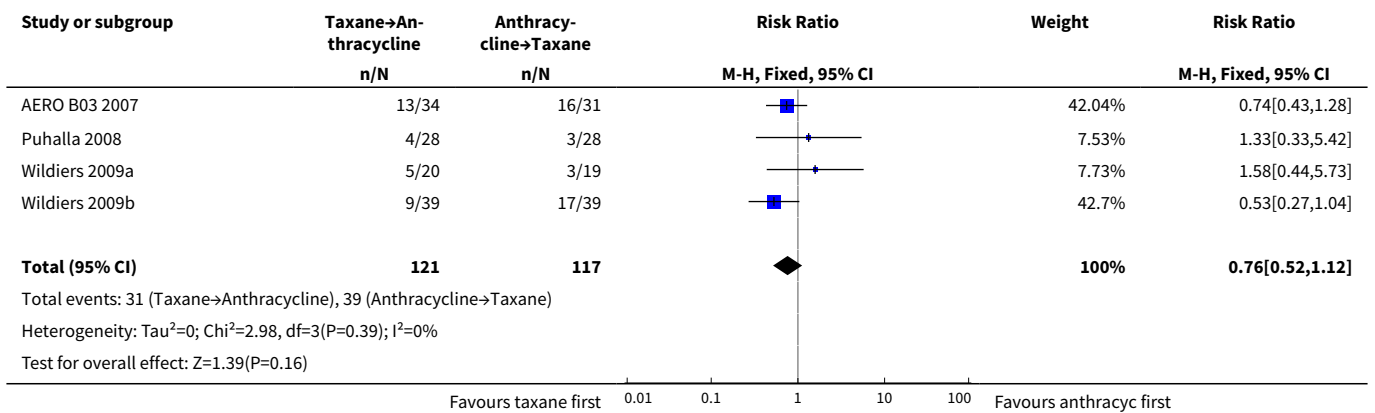
Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
<b>1 Adverse events</b>	5		Risk Ratio (M-H, Fixed, 95% CI)	Subtotals only
1.1 Febrile neutropenia	5	279	Risk Ratio (M-H, Fixed, 95% CI)	0.70 [0.24, 2.05]
1.2 Neutropenia	5	279	Risk Ratio (M-H, Fixed, 95% CI)	0.62 [0.40, 0.97]
1.3 Neurotoxicity	3	162	Risk Ratio (M-H, Fixed, 95% CI)	0.78 [0.25, 2.46]
1.4 Treatment-related death	1	64	Risk Ratio (M-H, Fixed, 95% CI)	0.0 [0.0, 0.0]
<b>2 Treatment adherence: delay in treatment</b>	4	238	Risk Ratio (M-H, Fixed, 95% CI)	0.76 [0.52, 1.12]
<b>3 Treatment adherence: dose reduction</b>	3		Risk Ratio (M-H, Fixed, 95% CI)	Subtotals only
3.1 Taxane (docetaxel)	3	173	Risk Ratio (M-H, Fixed, 95% CI)	0.33 [0.15, 0.73]
3.2 Anthracycline combination	2	117	Risk Ratio (M-H, Fixed, 95% CI)	0.98 [0.18, 5.44]
<b>4 Treatment adherence: one-dose reduction</b>	1	65	Risk Ratio (M-H, Fixed, 95% CI)	0.55 [0.14, 2.10]
<b>5 Treatment adherence: did not receive planned cycles</b>	3	163	Risk Ratio (M-H, Fixed, 95% CI)	0.45 [0.15, 1.31]

**Analysis 2.1. Comparison 2 Adjuvant, Outcome 1 Adverse events.**

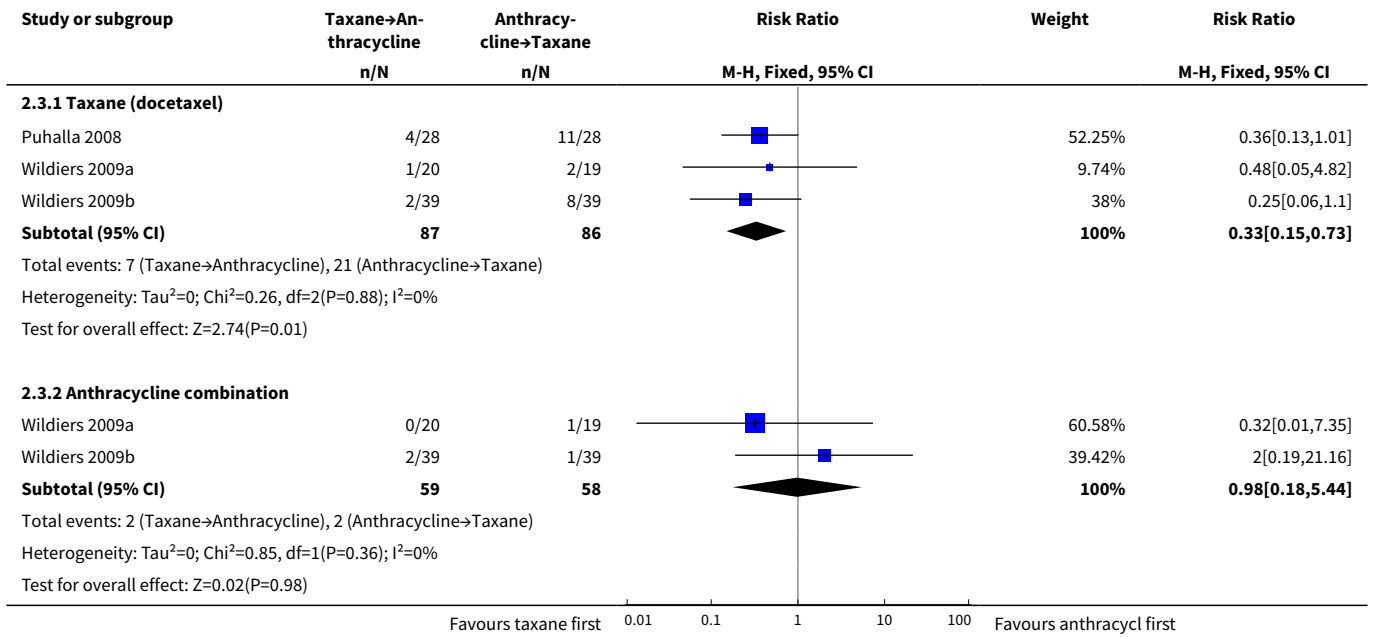




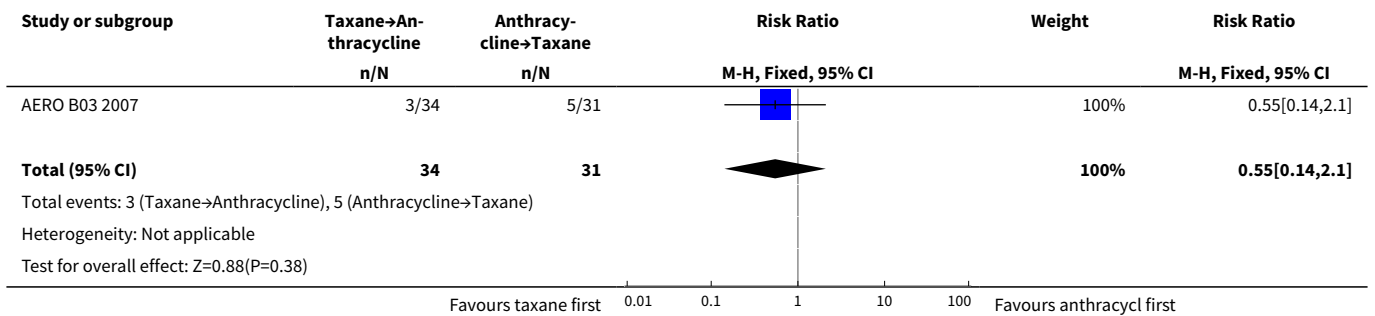
**Analysis 2.2. Comparison 2 Adjuvant, Outcome 2 Treatment adherence: delay in treatment.**



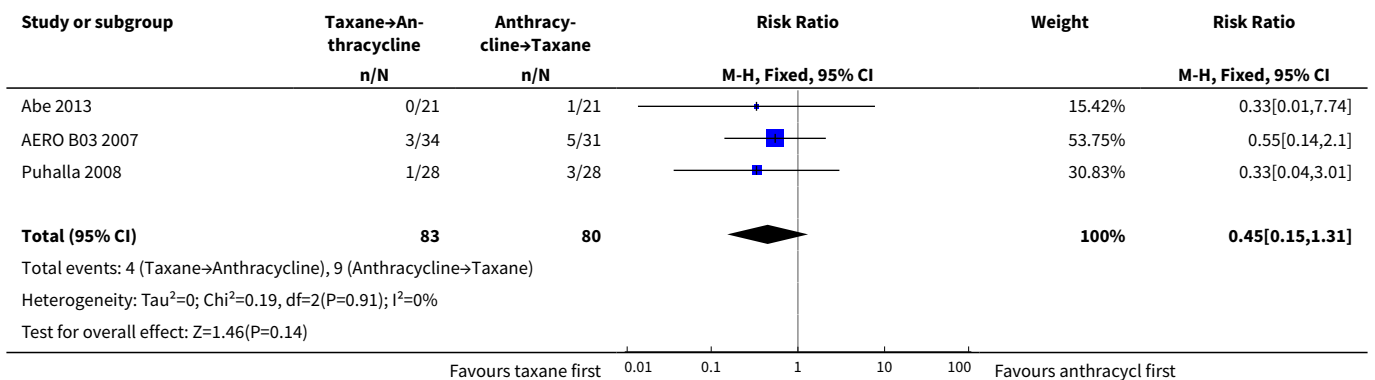
**Analysis 2.3. Comparison 2 Adjuvant, Outcome 3 Treatment adherence: dose reduction.**



**Analysis 2.4. Comparison 2 Adjuvant, Outcome 4 Treatment adherence: one-dose reduction.**



**Analysis 2.5. Comparison 2 Adjuvant, Outcome 5 Treatment adherence: did not receive planned cycles.**



## ADDITIONAL TABLES

**Table 1. Treatment adherence measures for neoadjuvant studies**

Study	Adherence measure reported	Taxane followed by anthracycline (n/N)	Anthracycline followed by taxane (n/N)
ACOSOG Z1041 2013	Number of participants who received $\geq 90\%$ of planned dose of paclitaxel	121/142 (85.2%)	124/138 (89.5%)
	Number of participants who received $\geq 90\%$ of planned dose of FEC	123/142 (86.6%)	103/138 (74.6%)
	Received $\geq 20$ weeks of the planned 24 weeks of trastuzumab <sup>a</sup> or $\geq 10$ weeks of planned 12 weeks of trastuzumab <sup>b</sup>	127/142 (89.4%) <sup>a</sup>	126/138 (91.3%) <sup>b</sup>
	Dose reductions	46/142 (32.4%)	55/138 (39.9%)
	Discontinued due to intolerance (severe toxic effects)	9/142 (6.3%)	9/138 (6.5%)
Alamgeer 2014	NR	NR	NR
Miller 2005	Dose intensity <sup>c</sup>	Taxane: 97.0%	Doxorubicin: 95.2%
		Doxorubicin: 94.2%	Taxane: 89.2%
Neo-TAnGo 2014	Cycle delivered dose intensity <sup>d</sup>	83%	86%
	Percentage of cycles with dose reductions	Taxane $\rightarrow$ epirubicin: 3% Taxane + gemcitabine $\rightarrow$ epirubicin: 5%	Epirubicin $\rightarrow$ taxane: 6% Epirubicin + gemcitabine $\rightarrow$ taxane: 9%
	Percentage of cycles with dose delays	Taxane $\rightarrow$ epirubicin: 15% Taxane + gemcitabine $\rightarrow$ epirubicin: 15%	Epirubicin $\rightarrow$ taxane: 15% Epirubicin + gemcitabine $\rightarrow$ taxane: 16%
Stearns 2003	NR	NR	NR

FEC: fluorouracil, epirubicin and cyclophosphamide; n: number of participants with delay or dose reduction, etc; N: denominator; NR: not reported.

<sup>a</sup> $\geq 20$  weeks of the planned 24 weeks of trastuzumab.

<sup>b</sup> $\geq 10$  weeks of planned 12 weeks of trastuzumab.

<sup>c</sup>Calculated as percentage of planned dose intensity delivered

<sup>d</sup>Calculated as mean of the individual drug delivered dose intensities planned for that cycle; course drug delivered dose as mean of course drug delivered doses over planned number of cycles, per participant.

**Table 2. Dose intensity data for adjuvant studies**

Study	Term used to represent dose intensity	Taxane followed by anthracycline	Anthracycline followed by taxane
Abe 2013	Mean relative dose intensity <sup>a</sup>	Docetaxel: 95.2%	Docetaxel: 94.2%

**Table 2. Dose intensity data for adjuvant studies** (Continued)

		FEC: 98.9%	FEC: 97.8%
<a href="#">AERO B03 2007</a>	Median relative dose intensity	Docetaxel: 96% (range: 25–104) Epirubicin: 96% (range: 0–102) Cyclophosphamide: 95% (range: 0–102)	Docetaxel: 81% (range: 0–102) Epirubicin: 97% (range: 66–102) Cyclophosphamide: 97% (range: 61–102)
<a href="#">Puhalla 2008</a>	Mean relative dose intensity	Docetaxel: 96% Doxorubicin: 95%	Docetaxel: 82% Doxorubicin: 96%
<a href="#">Wildiers 2009a</a>	Mean dose intensity <sup>b</sup>	Docetaxel: 99% FEC: 97%	Docetaxel: 97% FEC: 97%
<a href="#">Wildiers 2009b</a> (dose-dense)	Mean dose intensity <sup>b</sup>	Docetaxel: 111% FEC: 143%	Docetaxel: 108% FEC: 146%

FEC: fluorouracil, epirubicin, cyclophosphamide.

<sup>a</sup>Data used based on the reporting of findings in the abstract and Table 2 in the trial publication. The docetaxel and FEC percentages were switched in the text in the Results section. Therefore, the consistency of results presented in Table 2 and abstract were considered to be the most accurate reflection of the results.

<sup>b</sup>Defined as the dose intensity achieved in intervention or comparator arm for a participant who received all intended doses with no cycle delay or dose reduction.

## APPENDICES

### Appendix 1. CENTRAL

#1 MeSH descriptor: [Breast Neoplasms] explode all trees  
 #2 (breast near cancer\*):ti,ab,kw  
 #3 (breast near neoplasm\*):ti,ab,kw  
 #4 (breast near carcinoma\*):ti,ab,kw  
 #5 (breast near tumour\*):ti,ab,kw  
 #6 (breast near tumor\*):ti,ab,kw  
 #7 #1 or #2 or #3 or #4 or #5 or #6  
 #8 MeSH descriptor: [Anthracyclines] explode all trees  
 #9 (anthracycline\* or doxorubicin or epirubicin or daunorubicin or idarubicin or valrubicin):ti,ab,kw  
 #10 #8 or #9  
 #11 MeSH descriptor: [Taxoids] explode all trees  
 #12 (taxane\* or docetaxel or paclitaxel or nab-paclitaxel or Cabazitaxel):ti,ab,kw  
 #13 #11 or #12  
 #14 #7 and #10 and #13

### Appendix 2. MEDLINE OvidSP

1	exp Anthracyclines/
2	anthracycline\$.tw.
3	doxorubicin.tw.
4	epirubicin.tw.

(Continued)

5	daunorubicin.tw.
6	idarubicin.tw.
7	valrubicin.tw.
8	or/1-7
9	exp Taxoids/
10	taxane\$.tw.
11	docetaxel.tw.
12	paclitaxel.tw.
13	nab-paclitaxel.tw.
14	Cabazitaxel.tw.
15	or/9-14
16	8 and 15
17	randomized controlled trial.pt.
18	controlled clinical trial.pt.
19	randomized.ab.
20	placebo.ab.
21	Clinical Trials as Topic/
22	randomly.ab.
23	trial.ti.
24	(crossover or cross-over).tw.
25	Pragmatic Clinical Trials as Topic/
26	pragmatic clinical trial.pt.
27	or/17-26
28	exp Breast Neoplasms/
29	(breast adj6 cancer\$.tw.
30	(breast adj6 neoplasm\$.tw.
31	(breast adj6 carcinoma\$.tw.
32	(breast adj6 tumo?r\$.tw.



(Continued)

33	or/28-32
34	16 and 27 and 33
35	remove duplicates from 34
36	Animals/ not Humans/
37	35 not 36

### Appendix 3. Embase OvidSP

1	Randomized controlled trial/
2	Controlled clinical study/
3	Random\$.ti,ab.
4	randomization/
5	intermethod comparison/
6	placebo.ti,ab.
7	(compare or compared or comparison).ti.
8	(open adj label).ti,ab.
9	((double or single or doubly or singly) adj (blind or blinded or blindly)).ti,ab.
10	double blind procedure/
11	parallel group\$1.ti,ab.
12	(crossover or cross over).ti,ab.
13	((assign\$ or match or matched or allocation) adj5 (alternate or group\$1 or intervention\$1 or patient\$1 or subject\$1 or participant\$1)).ti,ab.
14	(assigned or allocated).ti,ab.
15	(controlled adj7 (study or design or trial)).ti,ab.
16	(volunteer or volunteers).ti,ab.
17	trial.ti.
18	or/1-17
19	exp breast/
20	exp breast disease/

(Continued)

21	(19 or 20) and exp neoplasm/
22	exp breast tumor/
23	exp breast cancer/
24	exp breast carcinoma/
25	(breast\$ adj5 (neoplas\$ or cancer\$ or carcin\$ or tumo\$ or metasta\$ or malig\$)).ti,ab.
26	or/21-25
27	exp anthracycline/
28	anthracycline\$.tw.
29	exp doxorubicin/
30	doxorubicin.tw.
31	exp epirubicin/
32	epirubicin.tw.
33	exp daunorubicin/
34	daunorubicin.tw.
35	exp idarubicin/
36	idarubicin.tw.
37	exp valrubicin/
38	valrubicin.tw.
39	or/27-38
40	exp taxoid/
41	taxane derivative/
42	taxane\$.tw.
43	exp docetaxel/
44	docetaxel.tw.
45	exp paclitaxel/
46	paclitaxel.tw.
47	nab-paclitaxel.tw.
48	exp cabazitaxel/

(Continued)

49	Cabazitaxel.tw.
50	or/40-49
51	18 and 26 and 39 and 50
52	limit 51 to (human and embase)

#### Appendix 4. WHO ICTRP

##### Basic search:

Breast cancer AND Anthracycline AND Taxane

##### Advanced search:

Condition: Breast cancer

Intervention: (doxorubicin OR epirubicin OR daunorubicin OR idarubicin OR valrubicin) AND (docetaxel OR paclitaxel OR nab-paclitaxel OR Cabazitaxel)

Recruitment status: ALL

#### Appendix 5. ClinicalTrials.gov

1. Condition/disease: Breast cancer

Intervention/treatment: Anthracyclines AND Taxanes

Filters: Interventional

2. Condition/disease: Breast cancer

Intervention/treatment: (doxorubicin OR epirubicin OR daunorubicin OR idarubicin OR valrubicin) AND (docetaxel OR paclitaxel OR nab-paclitaxel OR Cabazitaxel)

Filters: Interventional

#### CONTRIBUTIONS OF AUTHORS

Drafting the protocol: MZ and AG.

Study selection: MZ and AG.

Extracting data from studies: MZ and MW.

Entering data into [Review Manager 2014](#): MZ.

Carrying out the analysis: MZ, MW and DO'C.

Interpreting the analysis: MZ, AG, MW, NW and DO'C.

Drafting the final review: MZ, AG, MW, NW and DO'C.

Disagreement resolution: AG, NW, MW, DO'C.

Updating the review: MZ.

#### DECLARATIONS OF INTEREST

MZ: none known.

NW: none known.

MLW: none known.

DO'C: none known.

AG: none known.

## SOURCES OF SUPPORT

### Internal sources

- NHMRC Clinical Trials Centre, The University of Sydney, Australia.

### External sources

- National Institute for Health Research (NIHR) Cochrane Incentive Awards Scheme 2017, UK.

## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

- Neutropenia has been added as a toxicity outcome. This is because most studies reported neutropenia.
- Both treatment adherence and specific toxicities were added to the most important outcomes listed in the 'Summary of findings' tables for neoadjuvant and adjuvant therapy.
- The outcome 'pathological complete response' was defined in the Cochrane protocol as no invasive or in situ carcinoma in the breast or axillary lymph nodes after neoadjuvant therapy. However, the common definition used for pathological complete response in the field is no invasive carcinoma in the breast or axilla. Therefore, for the analysis, studies that included either definition (with or without ductal carcinoma in situ) were included (in [Analysis 1.3](#)) and a separate analysis was undertaken for those studies that defined pathological response as originally defined in the Cochrane protocol (in [Analysis 1.4](#)).
- The outcome titled 'degree of response' in the neoadjuvant setting was removed as the definition substantially overlapped with data presented for pathological response.
- Docetaxel every 14 days was added. This was because studies using docetaxel every 14 days were found which otherwise met criteria for inclusion.
- Number needed to treat for an additional beneficial outcome would be reported if a significant difference in pathological complete response was found; otherwise it was not felt to be meaningful.
- Concurrent administration of trastuzumab with anthracycline was allowed and one study was included ([ACOSOG Z1041 2013](#)). We did not anticipate finding studies with concurrent use of trastuzumab and anthracycline as this is not done in current practice for concerns regarding increased cardiac toxicity.

## INDEX TERMS

### Medical Subject Headings (MeSH)

Anthracyclines [\*administration & dosage] [adverse effects]; Antibiotics, Antineoplastic [\*administration & dosage] [adverse effects]; Antineoplastic Agents [\*administration & dosage] [adverse effects]; Breast Neoplasms [\*drug therapy] [mortality] [pathology]; Chemotherapy, Adjuvant; Cyclophosphamide [administration & dosage] [adverse effects]; Disease-Free Survival; Docetaxel [administration & dosage] [adverse effects]; Doxorubicin [administration & dosage] [adverse effects]; Drug Administration Schedule; Epirubicin [administration & dosage] [adverse effects]; Fluorouracil [administration & dosage] [adverse effects]; Neoadjuvant Therapy; Nervous System [drug effects]; Neutropenia [chemically induced]; Paclitaxel [administration & dosage] [adverse effects]; Quality of Life; Randomized Controlled Trials as Topic; Taxoids [\*administration & dosage] [adverse effects]

### MeSH check words

Female; Humans