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## Serum Homocysteine and Behavioral and Psychological Symptoms of Dementia: Is There Any Correlation in Alzheimer's Disease?

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## Keywords

Cognitive dysfunction · Dementia · Vitamin B12 · Hyperhomocysteinemia

## Abstract

**Background:** There are studies showing the relation between serum B12, folate and Behavioral and Psychological symptoms of Dementia (BPSD) in Alzheimer's disease (AD). Serum levels of these vitamins do not reflect actual disease status and therefore checking the serum Homocysteine level is considered a better test. Homocysteine has been found to be associated with cognitive impairment and various psychiatric disorders. **Purpose:** This study was planned to identify the correlation between serum homocysteine levels and BPSD in AD patients. **Methods:** AD patients (n = 18) and healthy controls (n = 18) were included in the study. Diagnosis of AD was confirmed using International Classification Disease-10. AD patients were assessed using Mini Mental Status Examination (MMSE), Clinical Dementia Rating scale (CDR), Global Deterioration scale (GDS), and Neuropsychiat-

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E-Mail karger@karger.com www.karger.com/aon ric inventory (NPI). Healthy controls were assessed on MMSE and Mini International Neuropsychiatric Interview. Serum homocysteine, vitamin B12, and folate levels were measured in all study subjects. Results: Patients with AD had statistically significant higher serum levels of homocysteine as compared to the control group, while the levels of vitamin B12 and folate did not differ significantly. There was statistically significant positive correlation of serum homocysteine levels with total NPI score as well as with NPI sub-domains particularly delusion, agitation/aggression, and depression/ dysphoria. Serum homocysteine levels did not correlate significantly with MMSE, CDR, and GDS scores. Conclusion: Correlation was observed between serum homocysteine levels and behavioral and psychological symptoms of AD. There is a possibility of etiological role, but the underlying pathophysiological mechanisms need to be elucidated. Whether patients will benefit or not with supplements of vitamin B12 and folate requires further research. This was a cross-sectional study and findings should not be generalized. Further prospective longitudinal studies with a large number of patients are needed. © 2018 S.Karger AG, Basel

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## Introduction

Alzheimer's disease (AD) is characterized by the progressive loss of memory and learning processes, visuospatial abnormalities, confusion, and disorientation [1, 2]. Though, AD is associated with cognitive dysfunction with usual initial clinical presentation with memory complaints, it is prudent to identify the behavioral and psychological symptoms of dementia (BPSD), which can be present even in the mild form of the AD. BPSD consists of abnormalities of thought, perception, mood, and behavior in dementia patients [3, 4], which were suggested as core symptoms of dementia along with cognitive symptoms by Tariot et al. [5].

Studies suggest that 70–90% of AD patients may experience delusions, hallucinations, depression, agitation, and other BPSD [6, 7]. BPSD is the most challenging aspect of the disease, which increases the burden for the caregiver and it is also the cause of repeated hospitalization and deterioration in the quality of life in AD patients as compared to those with only cognitive symptoms [8].

Because the treatment of dementia is very difficult, the emphasis is on the early identification and management of BPSD. By doing this, one can improve the quality of life of patients with dementia and reduce the burden on the family for caring them.

It has been found that the concentrations of serum B12 and folate are low [9, 10] and some psychiatric disorders are present in in AD patients [11], but significant correlation was not found between serum vitamin B12, folate levels and BPSD in previous studies [12, 13]. However, few studies have assessed the correlation between BPSD of dementia patients and the plasma total homocysteine (tHcy) concentration [14], but results are not consistent. In addition to this, serum vitamin B12 and folate levels may not reflect the actual status of these vitamins in brain as well as the current disease status. Therefore, the homocysteine levels are considered a better test than serum vitamin B12 and folate measurement [15].

Homocysteine is an important amino acid, which is produced during the metabolism of methionine. If homocysteine is not converted to methionine or cysteine, it gets accumulated and can act as a neurotoxin by causing calcium influx through N-Methyl D-Aspartic acid receptor channels or by increased generation of free radicals. Because the enzymes necessary for metabolism of homocysteine or its conversion to cysteine or methionine require folate and vitamin B12, the deficiency of folate and vitamin B12 can result in increased blood homocysteine levels [14]. When homocysteine gets accumulated, it inhibits the production of prostacyclin, which is involved in thrombus formation and blood pressure control and leads to the accumulation of low-density lipoprotein, which damages the blood vessel wall. Finally, homocysteine damages the blood vessel and causes blood clotting or thrombus formation and acts as a strong toxic substance in the body, which is implicated in the pathogenesis of cardiovascular and cerebrovascular disorders like arteriosclerosis, dementia, and stroke [16, 17].

Studies have shown that increased blood homocysteine levels are not only correlated with cardiovascular diseases but also with the severity of cognitive damage and dementia. Moreover, it has been found that hyperhomocysteinemia is correlated with psychosis, depression, and other psychiatric disorders [18, 19]. Elevated blood homocysteine level has shown to be related to pathophysiology of psychosis in young male patients with schizophrenia [14]. Studies have also shown correlation between hyperhomocysteinemia and cognitive dysfunction in patients with bipolar disorder [20, 21] and schizophrenia [22]. One study found that low folic acid and high homocysteine, but not low vitamin B12 levels, are correlates of depressive symptoms in community-dwelling middle-aged individuals [23]. Another study by Almeida et al. [24] showed that that older adults with high tHcy had increased risk of depression. From available literature, it has been presumed that BPSD may be correlated with plasma homocysteine levels in AD patients. It is hypothesized that "elevated total plasma homocysteine levels correlated with BPSD and its sub-domains in AD patients".

There are very few studies that have assessed the correlation between serum homocysteine level and BPSD. The existing research is limited and only 3 studies with similar hypothesis were found. All of these studies have shown conflicting results [25–27]. So, on the basis of previous results suggesting that plasma homocysteine levels are associated with risk and symptoms of AD, the plasma homocysteine levels and BPSD in Indian AD patients were assessed and investigated to find any correlation between them. Research studies on the role of homocysteine in BPSD of AD patients from India are not available.

This study was aimed to find any correlation between homocysteine and BPSD and its sub-domains in Indian AD patients.

## Methods

### Participants

The sample consisted of 36 participants: 18 AD patients and 18 healthy controls. Between January 2015 and December 2015, all AD patients were recruited from outpatients and inpatients of the de-

	Control $(n = 18)$	AD ( <i>n</i> = 18)	U	<i>p</i> value
Age, years	70.94 (7.4)	74.5 (8.54)	123.00	0.222
Gender, male:female	10:8	10:8		
Education, years	5.16 (4.95)	5.67 (5.85)	155.00	0.827
MMSE	23.89 (2.68)	14.44 (4.15)	13.50	$0.000^{+}$
Homocysteine, µmol/L	11.59 (1.51)	19.11 (5.45)	16.00	$0.000^{+}$
Vitamin B12, ng/mL	407.6 (133.9)	374.3 (130.8)	136.00	0.420
Folate, pg/mL	9.6 (1.34)	9.71 (4.35)	142.00	0.536

Table 1. Demographic, clinical, and biochemical characteristics of AD patients and controls

partment. All subjects were  $\geq 60$  years old, met the criteria of AD at baseline according to ICD-10 (International Classification of Disease-10, 1992) [28] and had Mini Mental status Examination (MMSE) [29] score of 10–24. Exclusion criteria included patients having severe to advanced stage of AD; patients receiving supplements of Vitamin B12 and Folic acid; patients with other forms of dementia such as fronto-temporal, vascular, and lewy body; patients receiving methotrexate and/or any anticonvulsants such as valproate, carbamazepine, phenytoin and phenobarbitone; patients with deranged kidney functions; patients who had taken acetylcholinesterase inhibitors, antipsychotics, antidepressants, and benzodiazepines in the last 4 weeks; patients with unreliable informant; patients with thyroid abnormalities, and patients on special diets.

Healthy controls were the healthy elderly recruited through word of mouth (carers for inpatients and outpatients of department of Geriatric Mental Health). Inclusion criteria were age  $\geq 60$  years, MMSE score  $\geq 24$ , absence of alcohol and substance abuse, absence of any neurological disease, and absence of any psychiatric disorder (after thorough assessment with Mini International Neuropsychiatric Interview [30]).

### Cognitive and Behavioral Assessment

MMSE score was used to assess the severity of cognitive impairment. MMSE scale has 11 questions with a total score of 30. The cutoff value to differentiate dementia patients from healthy elderly was changed according to the level of education of the elderlies. The cutoff values for different educational levels were: illiterate patient - less than 17 points, primary - less than 20 points and those with high school education - less than 24 points [29, 31-34]. Clinical staging of the AD patients was done with the Global Deterioration scale (GDS) [35]. In addition to cognitive functions, it also assesses the activity of daily living and abnormal behaviors of the patients. The GDS classifies the clinical stages of dementia into Seven Grades, which includes predementia stages (grades I-III) and the dementia stages (grades IV-VII). The Clinical Dementia Rating (CDR) scale was used to rate the performance in 6 domains: memory, orientation, judgment and problem solving, community affairs, home and hobbies, and personal care in patients through an interview with them/their caregiver [36].

BPSD were assessed using Neuropsychiatric Inventory (NPI) [37]. It was developed to assess the behavioral symptoms associated with dementia. NPI has been translated to different languages and used in many countries. NPI is also available in Hindi version, which was used for assessment in this study. The NPI has 12 subdomains for assessing the behavioral symptoms in dementia patients, namely, delusion, hallucinations, agitation/aggression, depression/dysphoria, anxiety, euphoria/elation, apathy/indifference, disinhibition, irritability/lability, aberrant motor behavior, sleep/night-time behavior, and appetite/eating changes. It also assesses the amount of caregiver distress caused by each behavior. The patients were assessed for BPSD on 12 sub-domains of NPI in the 4 weeks prior to the assessment [37].

### Biochemical Investigations

The blood homocysteine, folate, and vitamin B12 concentration were measured in both AD patients and healthy controls. After the patients had fasted for a period of 12 h or longer, blood samples (3–5 mL of blood) were collected intravenously from their upper limb in the morning. Then blood samples were stored in Vacutainer tubes containing citrate; within not more than 30 min, the samples were transferred to the department of biochemistry and analyzed in full autoanalyzer. The report of serum homocysteine level was given after 7 days, while Folate and Vitamin B12 reports were available within 24 h. These tests were performed in the Laboratory of the Department of Biochemistry, KGMU, Lucknow.

### Statistical Analysis

The data were analyzed using IBM SPSS statistic version 20 and Microsoft excel (Microsoft office professional plus 2013). The significant level of probability p was set to less than 0.05. The analyses included Mann Whitney U test and Spearman rank correlation coefficient when appropriate.

## Results

## Demographic and Clinical Characteristics

The baseline characteristics of the AD patients and healthy controls in the study are given in Table 1. There were no differences in age, sex, and education level between the 2 groups. MMSE scores are significantly low in

Table 2. Demographic, clinical, and biochemical characteristics of
AD patients

	AD patients, mean (SD; $n = 18$ )	
Age, years	74.5 (8.54)	
Gender, male:female	10:8	
Education, years	5.67 (5.85)	
Duration of dementia, years	4.08 (1.56)	
MMSE	14.44 (4.15)	
CDR	1.61 (0.5)	
GDS	4.94 (0.99)	
Homocysteine, µmol/L	11.59 (1.51)	
Vitamin B12, ng/mL	374.3 (130.8)	
Folate, pg/mL	9.71 (4.35)	
NPI Total	22.17 (6.50)	

**Table 3.** Scores of NPI sub-domains in the BPSD group

NPI sub-domains	NPI score, mean (SD; <i>n</i> = 18)	
Delusion	3.22 (2.07)	
Hallucination	1.11 (1.71)	
Agitation/aggression	3.11 (2.08)	
Depression/dysphoria	3.00 (2.30)	
Anxiety	1.56 (2.12)	
Euphoria/elation	0.22 (0.94)	
Apathy/indifference	0.33 (1.03)	
Disinhibition	0.22 (0.94)	
Irritability/lability	2.00 (2.06)	
Aberrant motor behavior	2.78 (2.07)	
Sleep/night time behavior	3.11 (1.84)	
Appetite/eating change	1.50 (1.50)	
NPI Total	22.17 (6.50)	

**Table 4.** Correlation of serum homocysteine levels with scores of the MMSE, CDR, and GDS and duration of dementia in AD patients

	AD patients $(n = 18)$		
	ρ	95% CI	<i>p</i> value
Duration of dementia	0.198	-0.389 to 0.750	0.430
MMSE	0.065	-0.509 to 0.593	0.798
CDR	-0.143	-0.587 to 0.346	0.572
GDS	0.070	-0.454 to 0.565	0.783

ρ, Spearman correlation coefficient.

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AD patients as compared to the scores of the control group (as expected; p < 0.001).

Remaining clinical parameters of AD patients like CDR, GDS and total NPI scores are given in Table 2 and Table 3.

## *Homocysteine, Folate, and Vitamin B12 Concentrations in Participants*

Mean homocysteine, vitamin B12, and folate concentrations in control group were 11.59  $\mu$ mol/L, 407.6 ng/mL, and 9.6 pg/mL, respectively, and in AD patients, they were 19.11  $\mu$ mol/L, 374.3 ng/mL, and 9.71 pg/mL respectively. As shown in Table 1, the homocysteine concentrations were significantly higher in AD patients as compared to those of the control group (p < 0.01), while there was no significant difference in vitamin B12 and folate concentrations between the 2 groups.

## Correlation of Serum Homocysteine Levels with

Duration of Dementia, MMSE, GDS, and CDR Scores As shown in Table 4, serum homocysteine levels did not correlate significantly with the duration of dementia, MMSE, GDS, and CDR scores in AD patients.

## Correlation of Serum Homocysteine Levels with Scores of NPI

As shown in Table 5, the serum homocysteine levels correlate significantly with total NPI scores in AD patients ( $\rho = 0.749, p < 0.001$ , Fig. 1). On further analyses with each NPI sub-domain, significant correlation of serum homocysteine levels was found with delusion, agitation/aggression, and depression/dysphoria (Table 5). However, no significant correlation was found between serum homocysteine levels and the other 9 sub-domains.

## Discussion

# *Relation of Homocysteine with MMSE Scores and Duration of Dementia*

This study did not find any significant negative correlation between MMSE scores and plasma tHcy levels in AD patients. MMSE score could reflect the degree of cognitive impairment. As MMSE scores decline, the degree of cognitive impairment increases. There are studies that have reported that increased homocysteine levels are correlated with decreased cognitive functions [26, 38, 39], but contradictory findings have been reported [25]. Results of the previous studies are inconsistent, but the overall results showed that high homocysteine levels are gen-

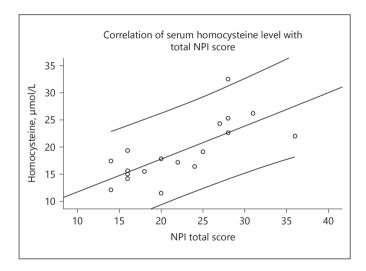
**Table 5.** Correlation of serum homocysteine levels with scores of NPI

NPI sub-domains	AD patients $(n = 18)$			
	ρ	95% CI	<i>p</i> value	
Delusion	0.602	0.252 to 0.838	0.008*	
Hallucination	0.151	-0.413 to 0.677	0.549	
Agitation/aggression	0.610	0.265 to 0.810	0.007*	
Depression/dysphoria	0.586	0.155 to 0.858	0.011*	
Anxiety	0.203	-0.279 to 0.675	0.418	
Euphoria/elation	0.257	0.117 to 0.564	0.303	
Apathy/indifference	-0.155	-0.549 to 0.211	0.539	
Disinhibition	0.164	-0.024 to 0.450	0.517	
Irritability/lability	0.237	-0.248 to 0.660	0.343	
Aberrant motor behavior	-0.296	-0.671 to 0.199	0.233	
Sleep/night time behavior	-0.218	-0.565 to 0.213	0.385	
Appetite/eating change	0.369	-0.079 to 0.705	0.132	
NPI total score	0.749	0.396 to 0.920	$0.000^{+}$	

\* Significant difference (p < 0.05).

<sup>†</sup>Significant difference (p < 0.001).

ρ, Spearman correlation coefficient.



**Fig. 1.** Scatter diagram to show the correlation of tHcy level with total NPI score in AD patients. NPI, neuropsychiatric inventory.  $\rho = 0.749$  (Spearman Rank Correlation). p < 0.001.

erally correlated with decreased cognitive functions. However, the results of the present study were contradictory but are in accordance with findings of Tabet et al. [25]. Reason for such findings may be the smaller sample size, which was also present in Tabet's study. Those studies that have shown negative correlation had relatively a large number of AD patients in their recruited sample [26, 39]. Findings of this study implicate that increased plasma tHcy level is not associated with cognitive decline in AD patients.

This study also did not find any correlation between the plasma tHcy level and duration of dementia in AD patients. It showed that homocysteine did not increase gradually with progression of AD. Nilsson et al. [40] had found that plasma homocysteine concentration relates to the severity but not to the duration of AD, which is consistent with our findings. AD has complex etiology in which multiple factors play together. Homocysteine may be one of such factors and not the main or primary one. However, it can be possible that homocysteine, by various mechanisms, contributes to the occurrence of AD or aggravates the pathophysiological damage, which is responsible for the progressive cognitive decline in AD.

## Relation between Serum Homocysteine and BPSD

This study was aimed to investigate the correlation of serum homocysteine levels with BPSD in patients of AD. The correlation of homocysteine with cognitive functions was studied extensively in the past, but the relationship between BPSD and homocysteine in dementia is not given enough attention till date. The result of this study showed that the serum homocysteine level is significantly correlated with the total NPI score in AD patients. Apart from this, the delusion; agitation/aggression, and depression/dysphoria sub-domains of NPI also showed significant correlation with homocysteine. Studies that have found association between homocysteine and BPSD are very few [26, 27]. Negative findings were reported by one study [25]. The results of this study are partially in accordance with the results of the study by Kim and Lee [27], which found significant correlation of plasma tHcy level with the total NPI score as well as with following NPI sub-domains: delusion, agitation/ aggression, depression/dysphoria, elation/euphoria, apathy/indifference, and disinhibition. It is difficult to explain these differences, but the smaller sample size of our study (18 as compared to 77 AD patients of the study by Kim and Lee [27]) could be one possible reason for these differences. Study results implicate that high plasma tHcy level is associated with BPSD in AD patients, particularly delusion, agitation/aggression, and depression/ dysphoria.

Limited research has been done on correlation between homocysteine and thought disorders like delusion. Monji et al. [19] reported that higher homocysteine levels in a patient of epilepsy was associated with interictal psy-

chosis, while Spiro et al. [41] reported that some of the patients with schizophrenia had homocystinuria, which may be because of the defect in methionine metabolism. Later on, one study had showed that 45% of the patients of schizophrenia had higher homocysteine concentration [42]. However, Virgos et al. [43] reported contradictory findings and showed that the blood homocysteine concentration was not significantly different between schizophrenia patients and normal subjects. According to previous studies, though results are not consistent, it can be assumed that hyperhomocysteinemia may be correlated to schizophrenia like psychosis to some extent. Recently, Kim and Lee [27] found a positive correlation between the plasma tHcy level and delusion and agitation/aggression in AD patients. Various mechanisms have been suggested to explain the correlation between hyperhomocysteinemia and schizophrenia like psychosis. Possible theories are as follows: (1) homocysteine acts as an agonist at the glutamate site and as a partial antagonist of the glycine co-agonist site of N-Methyl D-Aspartic acid receptors, which results into excessive intracellular calcium influx and neuronal death [44, 45], (2) increased level of homocysteine creates a state of hypomethylation [46, 47], which causes deoxyribonucleic acid (DNA) damage and apoptosis [48-50]. In the present study, the scores of delusion and agitation/aggression sub-domain of the NPI were increased in the AD patients as the homocysteine concentration increased, which suggests that the psychotic symptoms in AD were caused by a similar mechanism to that of schizophrenia.

Studies on correlation of depression and homocysteine in dementia patients are very few. Two previous studies have reported that the presence of major depressive disorders in patients with AD was correlated with hyperhomocysteinemia [27, 51]. Several studies have tried to identify the relationship between depression and homocysteine in elderly patients, but the results were not consistent [52-55]. In the present study, the homocysteine levels and the NPI scores in the depression/dysphoria sub-domain were significantly correlated, a finding similar to the results of the previous studies [27, 51]. This relationship of homocysteine and depression cannot be attributed to a particular mechanism, but several hypotheses have been suggested to explain this correlation. Vascular depression hypothesis can be one possible explanation. The presence of depression, vascular disease, and vascular risk factors together, along with the association of ischemic lesions to specific behavioral symptoms supports "Vascular depression hypothesis." The central mechanism underlying the vascular depression hypoth-

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esis can be the disruption of prefrontal white matter pathways or their modulating pathways by single lesions or by an accumulation of lesions exceeding a threshold [56]. When homocysteine is accumulated, it inhibits the production of prostacyclin and leads to the accumulation of low-density lipoprotein, which damages the blood vessel wall [16, 17]. According to vascular depression hypothesis, it is assumed that the high level of homocysteine causes the damage and chronic inflammatory responses in vascular endothelial cells, which exacerbates AD and results into vascular depression because of the vascular pathology. It is established that the chronic inflammation is one of the key mechanisms of AD [57–59].

## Study Limitations

This study has several limitations. First, the number of AD patients was not large. Second, the research was conducted in a tertiary care referral hospital situated in an urban area, the findings cannot be generalized. Third, the inferences drawn from this study are limited by its cross-sectional design.

## Summary and Conclusion

The study found associations between serum homocysteine levels and BPSD, which raises the possibility of the etiological role of homocysteine in pathophysiology of BPSD in AD. However, the pathophysiological mechanisms underlying these associations need to be elucidated further because correlation does not necessarily imply a causative relation. This was a cross-sectional study, findings of which cannot be generalized, and should be confirmed through prospective longitudinal studies on a larger group of the patients with dementia. The relationship of low vitamin B12, folate, or high homocysteine levels with BPSD should be studied in depth. In future, we may have better understanding of the relationship between BPSD and folate, vitamin B12 and homocysteine though large prospective, longitudinal studies with consistent results, which will help us to determine whether or not to give vitamin B12 and folate as therapeutic drugs for treatment of BPSD in dementia.

Finally, the authors concluded from the study results that the serum homocysteine level in Indian AD patients showed significant correlation with BPSD in AD patients. The BPSD particularly delusion, agitation/aggression, and depression were correlated significantly with the homocysteine level. One must go for investigations of vitamin B12, folate and homocysteine in a patient of AD patient with BPSD and give a therapeutic trial of folate and vitamin B12.

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### **Ethics Statement**

The study was approved by the Institutional Ethics Committee of King George's Medical University, Lucknow, India. Written informed consent was obtained from all study participants/their caregivers. This study was performed in accordance with Declaration of Helsinki (current version: Fortaleza, Brazil, October 2013).

### **Disclosure Statement**

The manuscript complies with the ICMJE statement. The authors declare that they have no conflicts of interest to disclose.

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