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Race-based differences in lipoprotein(a)-associated risk of carotid atherosclerosis: The Multi-Ethnic Study of Atherosclerosis

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Abstract

Objective: Lipoprotein(a) [Lp(a)] is a well-described risk factor for atherosclerosis, but Lp(a)associated risk may vary by race/ethnicity. We aimed to determine whether race/ethnicity modifies Lp(a)-related risk of carotid atherosclerotic plaque outcomes among Black, Caucasian, Chinese, and Hispanic individuals.

Approach and Results: Carotid plaque presence and score were assessed by ultrasonography at baseline (n=5,155) and following a median 9.4 year period (n=3,380) in Multi-Ethnic Study of Atherosclerosis (MESA) participants. Lp(a) concentrations were measured by immunoassay and examined as a continuous and categorical variable using clinically-based cutoffs, 30 and 50 mg/dL. Lp(a) was related to greater risk of prevalent carotid plaque at baseline in Caucasians alone (all $p \le 0.001$): per log unit: (RR: 1.05); Lp(a) 30 mg/dL: (RR: 1.16); Lp(a) 50 mg/dL: (RR: 1.20). Lp(a) levels over 50 mg/dL were associated with a higher plaque score at baseline in Caucasians (all $p<0.001$) and Hispanics (p=0.04). In prospective analyses, Caucasians with Lp(a) $\frac{50 \text{ mg}}{\text{d}}$ were found to have greater risk of plaque progression (RR: 1.12; $p=0.03$) and higher plaque scores (all $p<0.001$) over the 9.4 year follow-up. Race-based differences between Caucasians and Black participants were significant for cross-sectional associations and for carotid plaque score following the 9.4 year study period.

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DISCLOSURES

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Conclusions: Race was found to be a modifying variable in Lp(a)-related risk of carotid plaque, and Lp(a) levels may have greater influence on plaque burden in Caucasians than in Black individuals. Borderline results in Hispanics suggest that elevated Lp(a) may increase risk of carotid plaque, but follow-up studies are needed.

Keywords

epidemiology; risk factors; cardiovascular disease; race and ethnicity; lipids and lipoproteins; carotid ultrasound; lipoprotein(a); Lp(a); atherosclerosis; carotid plaque; race; MESA

INTRODUCTION

Cardiovascular risk guidelines from the American College of Cardiology Foundation/ American Heart Association and the European Society of Cardiology/European Atherosclerosis Society affirm that circulating levels of Lp(a) are an independent risk factor for atherosclerotic cardiovascular disease (ASCVD) (1, 2). Both recent consensus panels and the 2018 AHA/ACC Guideline on the Management of Blood Cholesterol have recommended $Lp(a)$ assessment for individuals at intermediate to high risk of ASCVD $(3, 4)$ and in children with a family history of familial hypercholesterolemia (5). Despite guideline and panel recommendations as well as the nearly ten thousand Lp(a) studies conducted to date, there remain ongoing controversies regarding Lp(a)-related risk and clinical testing.

Currently, there is no universal clinical cutoff value for Lp(a) that discriminates increased risk of ASCVD development. While clinical laboratories in the United States designate elevated $Lp(a)$ levels at 30 mg/dL, the AHA/ACC and European guidelines recommend a threshold of 50 mg/dL $(3, 4)$. Further complicating this designation, Lp(a) may differentially confer risk of ASCVD outcomes depending on race/ethnicity (6–9). It has been reported that Lp(a) is a significant risk factor for calcific aortic valve disease in Caucasian and Black participants, but not in Hispanics or Chinese Americans (6). Lp(a) has further been shown to be related to greater risk of incident heart failure in Caucasian individuals (7). Finally, Lp(a) has been associated with risk of peripheral artery disease in Hispanics and Caucasians, but not in Black or Chinese Americans (9). While no studies have examined race-based differences in Lp(a) and carotid plaque development, research in largely homogeneous populations and with other subclinical atherosclerosis outcomes have shown inconsistent results so far (10–26).

Given the present gaps in the literature, we aimed to interrogate two clinical Lp(a) cutoff values and examine whether race/ethnicity serves as a modifying variable for Lp(a) and risk of carotid atherosclerotic plaque in Multi-Ethnic Study of Atherosclerosis (MESA) participants. The findings may provide additional justification for inclusion of Lp(a) in clinical guidelines, and any observed race/ethnicity-based differences would inform future recommendations and consensus panels.

MATERIALS AND METHODS

The data that support the findings of this study are available from the corresponding author on reasonable request.

Study population

MESA was begun in order to investigate the prevalence and progression of subclinical CVD in individuals free of known clinical CVD, radiation or chemotherapy-treated cancer, other serious major illnesses, or cognitive impairment in the judgment of the screening interviewer prior to baseline (27). Between 2000 and 2002, 6,814 men and women without CVD, aged 45–84 years, and of Caucasian, Black, Hispanic, or Chinese racial/ethnic backgrounds were enrolled from six communities. Institutional Review Board approval was obtained at all MESA sites, and all participants gave informed consent. Further MESA protocol information is available at www.mesa-nhlbi.org.

Demographic, anthropometric, and clinical measurements

Questionnaires were used to obtain information regarding age, sex, race/ethnicity, education, and lifestyle factors including smoking status, physical activity, and dietary intake. Height and weight were measured by trained staff according to standard procedures, and body mass index (BMI) was calculated as weight $(kg)/$ height (m^2) . Resting blood pressure was measured using the Dinamap Monitor PRO 100 (Critikon, Tampa, Florida, USA) automated oscillometric device; three measures were taken; the last two measures were averaged and recorded.

Laboratory measurements

Fasting blood was drawn and collected in EDTA-anticoagulant tubes. Samples were aliquoted and stored at −70°C until analyte measurements were conducted. Fasting total cholesterol and high density lipoprotein cholesterol (HDL-C) concentrations were measured as described previously (28). Lp(a) mass concentration was assessed using a latex-enhanced turbidimetric immunoassay (Denka Seiken, Tokyo, Japan) that controls for the size heterogeneity of apo(a) (8).

Imaging

B-mode ultrasonography was conducted at baseline on 5,271 participants (Exam 1 conducted between 2000–2002) and following a median 9.4 years (Exam 5 conducted between 2010–2012) in 3,441 MESA participants, as described by Tattersall et al. (29). Images of the right and left common, bifurcation, and internal carotid arterial segments were obtained and converted into digital records. Images were interpreted by the MESA Carotid Ultrasound Reading Center at the University of Wisconsin. Carotid plaque was defined as focal thickening at least 50% greater than the surrounding intima media or a discrete, focal wall thickening 1.5 cm. Kappa values for intra- and inter-reader reproducibility of carotid plaque were respectively 0.83 (95% CI: 0.70–0.96) and 0.89 (95% CI: 0.72–1.00).

Statistical model

Statistical analysis was conducted using Stata (version 15.0, Stata Corp, College Station, TX). Baseline characteristics were presented as means (SD) for continuous variables with normal distributions, medians (interquartile ranges) for continuous variables with skewed distributions, and frequencies (%) for categorical variables. Tukey-Kramer HSD was used to test differences between groups. Lp(a) was examined as a continuous variable (per log unit)

and as a categorical variable using two clinical cutoff values (30 and 50 mg/dL). Generalized linear model with log link function was used to estimate the relative risk (RR) per log unit of Lp(a) for the presence of carotid plaque and its progression with 95% confidence intervals (CIs). These outcomes were examined as logistical variables. Carotid plaque score was assessed by the number of carotid plaques $(0-12)$ at both baseline and at the follow-up exam, a median 9.4 years after baseline. Ordered logit regression was used to determine associations of Lp(a) and plaque score. The study sample was stratified by race/ethnicity, and statistical adjustments were made for age, sex, hypertension medication use, lipid lowering medication use, systolic blood pressure, smoking status (never, former, or current), diabetes (as defined by American Diabetes Association criteria), total cholesterol, HDL-C. Individuals with missing covariate data were excluded from analyses. Of the 5,271 participants with baseline ultrasound data, 116 were missing one or more covariates, leaving 5,155 participants for cross-sectional analyses. Of the 3,441 participants with ultrasound measurements at both baseline and at the follow-up exam, 61 were missing one or more covariates, leaving 3,380 participants for prospective analyses. Lp(a)-race interactions were examined by including race/ethnicity as an interaction term in the above regression models. Interactions were deemed significant where $p<0.10$.

RESULTS

Characteristics of the 5,271 MESA participants of this subcohort are shown in Table 1 and are further stratified by prevalent baseline carotid plaque. Individuals with carotid plaque were more likely to be older, male, Caucasian, former or current smokers, taking hypertension or lipid lowering medication, have diabetes, higher systolic blood pressure, and higher levels of blood lipids including $Lp(a)$ (all $p<0.001$). The study sample was additionally stratified by race/ethnicity (Supplemental Table I). Across the four races/ ethnicities, significant differences were observed in clinical and lifestyle characteristics including Lp(a) and carotid plaque. Black individuals had the highest median Lp(a) levels $(p<0.001)$, while Caucasians showed the highest prevalence of carotid plaque at baseline and following 9.4 years (both $p<0.001$). Lp(a) distributions of the MESA cohort for each race/ ethnicity are presented in Supplemental Figure I (A-D).

Cross-sectional relations of Lp(a) and baseline carotid plaque are shown in Table 2. Greater Lp(a) levels were related to significantly greater risk of plaque in Caucasians, irrespective of whether Lp(a) was examined as a continuous or categorical variable (all $p<0.001$). Both clinical cutoff values discriminated risk of plaque in Caucasians. Including race/ethnicity as an interaction term showed significant differences in relations of Lp(a) and prevalent carotid plaque between Caucasian and Black participants: Lp(a) 30 mg/dL (p for interaction=0.02), and Lp(a) 50 mg/dL (p for interaction=0.01).

Cross-sectional associations of Lp(a) and baseline carotid plaque score as determined by total plaque number are shown in Table 3. Greater Lp(a) levels were related to significantly greater plaque score in Caucasians, irrespective of whether $Lp(a)$ was examined as a continuous or categorical variable (all $p<0.001$). A significant relationship was also found in Hispanics with $Lp(a)$ 50 mg/dL ($p=0.04$). Including race/ethnicity as an interaction term showed differences in relations of Lp(a) and carotid plaque score between Caucasian and

Black participants: per log unit of Lp(a) (p for interaction=0.10), Lp(a) 30 mg/dL (p for interaction=0.007), and $Lp(a)$ 50 mg/dL (p for interaction=0.001).

Prospective associations between Lp(a) and risk of carotid plaque progression over the mean 9.4-year study period are shown in Table 4. Lp(a)-related risk of plaque progression was only found in Caucasians with $Lp(a)$ levels $\frac{50 \text{ mg}}{d}$. There were no race significant interactions.

Prospective associations of $Lp(a)$ and plaque score following a median period of 9.4 years are shown in Table 5. Greater $Lp(a)$ levels were related to a significantly greater plaque score in Caucasians, irrespective of whether Lp(a) was examined as a continuous or categorical variable (all $p\,0.001$). No associations were detected in other race/ethnicities. Including race/ethnicity as an interaction term revealed significant differences in the relations of Lp(a) and carotid plaque number between Caucasian and Black participants: Lp(a) 30 mg/dL (p for interaction=0.05), and Lp(a) 50 mg/dL (p for interaction=0.01). A race interaction between Caucasians and Chinese American participants was also observed for the Lp(a) 30 mg/dL cutoff (p for interaction=0.04).

DISCUSSION

In a multi-ethnic cohort, Lp(a) was found to be significantly related to all carotid plaque outcomes in Caucasians. Significant race interactions were observed between Black and Caucasian individuals for all outcomes except plaque progression. The absence of a race interaction with plaque progression was likely due to a combination of factors including the selection bias for healthier individuals in the follow-up sample and that the ordinal plaque score variable has a power advantage over the logistical plaque progression outcome facilitating the identification of an interaction when examining the former.

Null findings were largely observed in the other races/ethnicities, though associations of Lp(a) with prevalent plaque outcomes were statistically borderline in Hispanics. For Hispanic participants, it must be acknowledged that 'Hispanic' does not represent a homogeneous race, and genetic/ancestral overlaps with European, African, and Native American populations have been reported (30). Indeed, it has been estimated that Hispanic Americans may share >65% ancestry with European Americans (30). This large degree of overlap may translate to similarities in $Lp(a)$ pathogenicity, which contributed to the borderline results observed here. By contrast, despite the approximate 3-fold higher median $Lp(a)$ levels in Black individuals, no relations between $Lp(a)$ and carotid plaque outcomes were found in this group or in Chinese Americans. While this is the first multi-ethnic cohort study to interrogate Lp(a) and carotid atherosclerotic plaque, other studies have examined Lp(a) and carotid plaque as well as other measures of subclinical coronary atherosclerosis.

Previous studies

To date, few large cohort studies have examined Lp(a) and carotid atherosclerosis, but positive associations have been reported in smaller samples. In studies of patient populations (11, 12), a subanalysis of 152 AIM-HIGH clinical trial subjects (13), and subcohort studies (10, 14), Lp(a) has been shown to increase risk of carotid atherosclerosis outcomes including

stenosis, occlusion, and/or plaque burden. Most relevant to the present findings, a crosssectional analysis in the biracial Atherosclerosis Risk in Communities (ARIC) cohort showed that Lp(a) was related to greater carotid wall thickness in both Black and Caucasian individuals in an age-adjusted model (31). While no race interactions were identified in the ARIC study, methodological differences may have contributed to the inconsistency with the present findings. Among these, the carotid wall thickness outcome used in ARIC is a composite value of extracranial common carotid artery, internal carotid artery, and carotid bifurcation intima media thicknesses and is less precise than the carotid plaque variable used here. In addition, Lp(a) assessment in the ARIC study may have been another source of imprecision as it pre-dated an important methodological advancement in measuring Lp(a). It is now recognized that either controlling for apo(a) isoform size heterogeneity or using an apo(a) isoform size-*insensitive* assay is crucial for obtaining accurate $Lp(a)$ values. Taken together, differences in the outcome and exposure variables may have contributed to the null race interaction in ARIC and their identification here.

In contrast to studies of carotid atherosclerosis, those of Lp(a) and risk of coronary atherosclerosis as assessed by coronary artery calcification (CAC) have offered equivocal findings. Lp(a) has been shown to be unrelated to CAC in a number of cohort studies (16, 17) including MESA (6) and the Dallas Heart Study (15), but also in smaller studies of Black individuals (18) and postmenopausal women (24). While the above null findings are counter to Lp(a) increasing risk of coronary atherosclerosis in the general population, there is evidence that Lp(a) is related to CAC in subgroups with underlying ASCVD risk factors. Specifically, Lp(a)-related risk of CAC has been reported in European patients with chest pain (23), healthy black individuals with a family history of early-onset CAD (25), European individuals with a family history of ASCVD (26), hypercholesterolemia patients (21, 22), females with diabetes (17) and male smokers (19). Collectively, these studies provide nuanced evidence that Lp(a) is related to coronary atherosclerosis, but appear to dispute the established causal role of Lp(a) in coronary events such as myocardial infarction. And yet, as proposed by Nordestgaard and Langsted (2016), Lp(a) likely promotes coronary events by contributing to thrombosis and stenosis and not early atherogenesis (32), which is supported by the above study findings. Indeed, it must be acknowledged that our results in the carotid vascular bed may be more consistent with a role for $Lp(a)$ promoting stenosis than inducing early atherogenesis. As this remains a controversial area in Lp(a) research, further studies are warranted.

Implications and further research

The race-based differences in $Lp(a)$ and risk of carotid plaque affirm previous observations that Lp(a) may be more pathogenic in Caucasians and potentially Hispanic individuals compared to Black or Chinese Americans for certain CV-related outcomes (6, 7, 9), though not all (8). Given recent advances in Lp(a) lowering therapies such as PCSK9 inhibitors and antisense oligonucleotides, it is possible that these pharmacotherapies may be more efficacious in Caucasians and Hispanics in reducing a broader range of CV outcomes than in other populations.

A biological/biochemical explanation for the observed race-based differences remains uncertain but there are a number of possibilities. Previous research has demonstrated that the KIV type II domain of the $Lp(a)$ component, apo(a), differs by race/ethnicity and influences circulating levels of Lp(a) (33–35), but our results suggest that properties of Lp(a) other than circulating concentrations may contribute to its atherogenecity. Indeed, a number of heterogeneous regions of apo(a) have been identified (36). Of these, the KIV_{10} domain influences lysine residue binding (37), has been shown to affect vascular cell permeability in tissue culture (38), and defects in KIV_{10} were found to lower apo(a)/Lp(a) vascular accumulation and reduce atherogenecity in experimental murine models (39, 40). Genetic heterogeneity in KIV_{10} may therefore be expected to have implications for risk of atherogenesis or stenosis. In addition to KIV_{10} domain variations, race-based differences in Lp(a) oxidation would also lead to differences in atherogenic burden. However, the one prospective cohort study to examine this phenomenon showed that oxidized Lp(a) levels are greater in Black individuals compared to Caucasians (41), which would refute such a mechanism—though confirmation studies are warranted. Finally, it is well-documented that Lp(a) localizes to atherosclerotic plaques (42–46); however, there remains a paucity of data across different races/ethnicities, and analyzing carotid plaque composition in other races would be appropriate. Taken together, additional research of Lp(a) particle biochemistry and its localization to atherosclerotic plaques may provide insights in to putative race-based differences in Lp(a) pathogenecity observed here.

Strengths and limitations

The present analysis represents the first cohort study showing a modifying influence of race/ ethnicity on Lp(a) and risk of carotid atherosclerotic plaque. The study was well-powered in terms of sample sizes and numbers of carotid plaque cases in both cross-sectional and prospective analyses. While the study design does not permit the determination of causality, the mean 9.4-year study period allowed for the assessment of temporality of associations. In terms of limitations, Lp(a) is likely related to ASCVD risk depending upon the vascular bed or event outcome similar to other lipid and non-lipid risk factors (47, 48). Therefore, racebased differences in $Lp(a)$ and risk of carotid plaque should therefore not be generalized to other vascular beds or event outcomes. It must also be recognized that there was a selection bias for healthier individuals in the prospective analyses since these participants completed a follow-up visit a median 9.4 years after baseline. The sample showed a lower rate of incident CVD, which may have contributed to the weaker findings for the plaque progression outcome. Finally, statistical adjustments were made for typical ASCVD risk factors, but the possibility of confounding cannot be excluded.

Conclusions

Race was found to be a modifying variable in Lp(a)-related risk of carotid atherosclerotic plaque, and Lp(a) levels may have greater influence on plaque burden in Caucasians than in Black individuals. Borderline results in Hispanics suggest that elevated $Lp(a)$ may increase risk of carotid plaque, but follow-up studies are needed. Collectively, these results have implications for the role of Lp(a) in influencing ASCVD risk across different races, and additional studies are warranted to continue exploring differences in the molecular biochemistry and pathogenecity of Lp(a) particles among races/ethnicities.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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NONSTANDARD ABBREVIATIONS AND ACRONYMS

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Highlights

- In Multi-Ethnic Study of Atherosclerosis cohort participants, Lp(a) was related to greater risk of carotid plaque and its progression in Caucasians
- **•** In Hispanics participants, Lp(a)-associated risk of carotid plaque was statistically borderline and equivocal
- **•** Significant race interactions were observed whereby Lp(a)-associated risk of plaque outcomes was significantly different in Caucasians than from Black individuals

Table 1.

Demographic and clinical characteristics of 5,271 MESA participants stratified by the presence or absence of carotid plaque at baseline.

Individuals with missing covariate data were excluded. Definitions: MESA= Multi-Ethnic Study of Atherosclerosis; SBP=systolic blood pressure; HDL-C=high density lipoprotein-cholesterol; Lp(a)=lipoprotein(a)

Table 2.

Lp(a)-related risk of carotid plaque at baseline^{*} was assessed in 5,155 Multi-Ethnic Study of Atherosclerosis participants stratified by race/ethnicity.

Risk ratios and 95% confidence intervals are shown, p-values where significant or approaching significance. Covariate adjustments were made for age, sex, systolic blood pressure, hypertension and lipid lowering medication use, total cholesterol, HDL-C, diabetes, and smoking status. Raceinteractions were tested between racial/ethnic groups. Individuals with missing covariate data were excluded. Definitions: Lp(a)=lipoprotein(a); diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; smoking status=current, former, never

* Carotid plaque is modeled as a logistical variable (0 or 1); absent or present at baseline

 \dot{f} Significantly different than Black participants (ρ for interaction<0.10)

Table 3.

Associations of Lp(a) and baseline plaque score in 5,155 Multi-Ethnic Study of Atherosclerosis participants stratified by race/ethnicity.

Regression coefficients and 95% confidence intervals are shown, p-values where significant. Covariate adjustments were made for age, sex, systolic blood pressure, hypertension and lipid lowering medication use, total cholesterol, HDL-C, diabetes, and smoking status. Race-interactions were tested among racial/ethnic groups. Individuals with missing covariate data were excluded. Definitions: Lp(a)=lipoprotein(a); diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; smoking status=current, former, never

* Modeled as an ordinal variable with plaque score values between 0 to 12

 \dot{f} Significantly different than Black participants (ρ for interaction<0.10)

Table 4.

Lp(a)-related risk of carotid plaque progression * over a median 9.4 year period in 3,380 Multi-Ethnic Study of Atherosclerosis participants stratified by race/ethnicity $\dot{\tau}$.

Risk ratios and 95% confidence intervals are shown, p-values where significant. Covariate adjustments were made for age, sex, systolic blood pressure, hypertension and lipid lowering medication use, total cholesterol, HDL-C, diabetes, and smoking status. Race-interactions were tested between racial/ethnic groups. Definitions: Lp(a)=lipoprotein(a); diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; smoking status=current, former, never

* Carotid plaque progression was modeled as a logistical variable (0 or 1); progression was considered to have occurred when a new plaque developed after baseline

† No significant race-interactions were observed

Table 5.

Prospective associations between $Lp(a)$ levels and carotid plaque score $\check{\tau}$ following a median period of 9.4 years in 3,380 Multi-Ethnic Study of Atherosclerosis participants stratified by race/ethnicity.

Regression coefficients and 95% confidence intervals are shown, p-values where significant or approaching significance. Covariate adjustments were made for age, sex, systolic blood pressure, hypertension and lipid lowering medication use, total cholesterol, HDL-C, diabetes, and smoking status. Race-interactions were tested among racial/ethnic groups

* Modeled as an ordinal variable with plaque score values from 0 to 12

 \dot{f} Significantly different than Black participants (ρ for interaction<0.10)

** Significantly different than Caucasian participants (p for interaction<0.10)

Definitions: Lp(a)=lipoprotein(a); diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; smoking status=current, former, never