



# Timing flexibility of oral NEPA, netupitant-palonosetron combination, administration for the prevention of chemotherapy-induced nausea and vomiting (CINV)

Sally Baron-Hay<sup>1</sup> · Matti Aapro<sup>2</sup> · Alberto Bernareggi<sup>3</sup> · Lee Schwartzberg<sup>4</sup>

Received: 20 August 2018 / Accepted: 7 January 2019 / Published online: 26 January 2019  
© The Author(s) 2019

## Abstract

**Purpose** The administration timing of antiemetic and chemotherapeutic regimens is often determined by regulatory indications, based on registration studies. Oral NEPA, fixed combination of the neurokinin-1 receptor antagonist (NK<sub>1</sub>RA) netupitant and the 5-hydroxytryptamine-3 RA (5-HT<sub>3</sub>RA) palonosetron, is recommended to be administered approximately 60 min before chemotherapy. Reducing chair time for chemotherapy administration at oncology day therapy units would improve facility efficiency without compromising patient symptom management. The objective was to determine if oral NEPA can be administered closer to chemotherapy initiation without compromising patient symptom management.

**Methods** NK<sub>1</sub> receptor occupancy (NK<sub>1</sub>RO) time course in the brain was determined using positron emission tomography; netupitant and palonosetron plasma concentration-time profiles were described by pharmacokinetic (PK) models; and the rate, extent, and duration of RO by netupitant and palonosetron were predicted by pharmacodynamic modeling. Clinical efficacy data from a pivotal study in cisplatin and oral NEPA-receiving patients were reviewed in the context of symptom management.

**Results** Striatal 90% NK<sub>1</sub>RO, assumed to correlate with NK<sub>1</sub>RA antiemetic efficacy, was predicted at netupitant plasma concentration of 225 ng/mL, reached at 2.23 h following NEPA administration. Palonosetron 90% 5-HT<sub>3</sub>RO was predicted at a 188-ng/L plasma concentration, reached at 1.05 h postdose. The mean time to first treatment failure for the 1.5% of NEPA-treated patients without complete response receiving highly emetogenic chemotherapy was 8 h. Antiemetic efficacy was sustained over 5 days despite the expected decrease of NK<sub>1</sub>RO and 5-HT<sub>3</sub>RO.

**Conclusions** Results suggest that administering oral NEPA closer to initiation of cisplatin administration would provide similar antiemetic efficacy. Prospective clinical validation is required.

**Keywords** Chemotherapy-induced nausea and vomiting · CINV · NEPA · Netupitant · Palonosetron · Administration timing

## Introduction

Chemotherapy-induced nausea and vomiting (CINV) remains one of the most distressing side effects of emetogenic chemotherapy and can negatively impact quality of life and overall survival of cancer patients [1–3]. Advances in antiemetic

research have improved the therapeutic options for the prevention of CINV [4]. However, complete control of emesis, and especially of nausea, is still not achieved in many cancer patients [5, 6]. The American Society of Clinical Oncology guidelines [7], National Comprehensive Cancer Network [8], and the Multinational Association of Supportive Care in Cancer/European Society for Medical Oncology guidelines [9] recommend the triple combination of a 5-hydroxytryptamine-3 receptor antagonist (5-HT<sub>3</sub>RA), a neurokinin-1 (NK<sub>1</sub>)RA, and dexamethasone for CINV prevention associated with highly emetogenic chemotherapy (HEC), anthracycline-cyclophosphamide (AC)-based regimens, and carboplatin regimens, with the addition of olanzapine to the triple combination discussed under specific conditions. Finally, patients treated with moderately emetogenic chemotherapy should receive a 5-HT<sub>3</sub>RA and

✉ Sally Baron-Hay  
sbaronha@med.usyd.edu.au

<sup>1</sup> Royal North Shore Hospital, St Leonards, NSW, Australia

<sup>2</sup> Genolier Cancer Centre, Clinique de Genolier, Genolier, Switzerland

<sup>3</sup> Helsinn Healthcare SA, Lugano, Switzerland

<sup>4</sup> West Cancer Center, Memphis, TN, USA

dexamethasone [7–9], or the triplet NK<sub>1</sub>RA–5-HT<sub>3</sub>RA–dexamethasone combination if they present with additional risk factors or for whom 5-HT<sub>3</sub>RA and dexamethasone alone fail [8].

CINV is classified as acute or delayed, depending on the timing of its occurrence after the start of chemotherapy administration [10]. The acute phase is defined as the 24 h following chemotherapy and is largely mediated by serotonin activation of 5-HT<sub>3</sub> receptors in the intestine, and, to a lesser extent, by activation of centrally located 5-HT<sub>3</sub> receptors in the area postrema and nucleus tractus solitarius [11, 12], while the delayed phase is defined as the 25–120 h after chemotherapy and is predominantly driven by substance P activation of NK<sub>1</sub> receptors in the area postrema and the nucleus tractus solitarius [10]. Crosstalk between 5-HT<sub>3</sub> and NK<sub>1</sub> receptors could also contribute to CINV [13]. Generally, 5-HT<sub>3</sub>RAs have proven highly effective in controlling CINV in the acute phase but poor at control in the delayed phase [14, 15]. Conversely, NK<sub>1</sub>RAs are most effective in the prevention of CINV during the delayed phase [4]. Several chemotherapeutic agents, such as cisplatin, can induce both acute and delayed CINV [16].

Antiemetic prophylaxis is administered prior to the start of chemotherapy. Among the factors affecting the administration convenience of the chosen antiemetic regimen are the precise timing of administration, the number of agents, the number of doses, and the number of days of treatment. Minimizing the time lapse between the administration of antiemetic regimens and of chemotherapeutic treatments could benefit health care centers and patients. With chair time being a significant issue for busy oncology day therapy units, reducing the time patients occupy a treatment chair for chemotherapy administration could improve facility efficiency without compromising patient symptom management.

Oral NEPA is the first fixed-combination antiemetic, composed of the highly selective NK<sub>1</sub>RA netupitant (300 mg) and the pharmacologically and clinically distinct 5-HT<sub>3</sub>RA palonosetron (0.5 mg) [4, 13]. Oral NEPA uniquely targets two critical emetic pathways and provides protection against both acute and delayed CINV [17–19]. In the registration trials, oral NEPA plus dexamethasone offered superior CINV control in patients receiving cisplatin- and AC-based chemotherapy, compared with oral palonosetron plus dexamethasone [17, 18]. In these studies, NEPA and palonosetron were both administered as a single oral dose approximately 60 min prior to chemotherapy on day 1. The timing for NEPA administration was chosen on the basis of the design used in prior registration studies of aprepitant, the first approved NK<sub>1</sub>RA [20, 21], while no clinical data supporting this choice are available. As an alternative for patients who cannot swallow oral medication, an intravenous formulation of NEPA (fosnetupitant 235 mg/palonosetron 0.25 mg) administered as a 30-min infusion before chemotherapy has been developed

and approved by the US Food and Drug Administration [22] for patients receiving HEC, and it is currently being evaluated in the AC setting.

The convenience of NEPA dosing could be improved by allowing flexibility in the timing of its administration. The start of antiemetic activity is assumed to be related to the time elapsed from drug administration to occupancy of target receptors above a therapeutic threshold, in the relevant regions of the central (CNS) and peripheral (PNS) nervous system. A positron emission tomography (PET) study in humans using aprepitant found that the highest concentration of NK<sub>1</sub> receptors in the brain was in the striatum and demonstrated a good correlation between >90% NK<sub>1</sub> receptor occupancy (RO) in the striatum at therapeutic doses and antiemetic efficacy [23]. Consequently, 90% RO in the striatum has become a recognized threshold correlating with NK<sub>1</sub>RA efficacy [23] and is an accepted surrogate marker for effective NK<sub>1</sub>RA interaction with NK<sub>1</sub> receptors in the area postrema and nucleus tractus solitarius. In the present analysis, the same >90% 5-HT<sub>3</sub>RO in relevant tissues of the CNS and PNS [12, 24] was assumed as the threshold required for palonosetron antiemetic effect.

Data from previous pharmacokinetic (PK) and pharmacodynamic (PD) studies carried out during the development of NEPA were used to establish here a PK/PD model-based analysis of NK<sub>1</sub>- and 5-HT<sub>3</sub>RO in their respective relevant tissues. Clinical data from a pivotal trial in patients receiving cisplatin-based chemotherapy [17] were evaluated to establish if a correlation could be made between the PK/PD model estimates and the clinical data. As cisplatin is ranked among the most emetogenic chemotherapeutic agents and with emetic activity in the acute and delayed periods [16, 25, 26], this would provide data applicable to broader chemotherapeutic regimens.

## Methods

### Study design (Fig. 1) [17, 27, 28]

Data used for PK/PD modeling of netupitant and palonosetron in this analysis were obtained from previous preclinical and clinical studies performed during the development of oral NEPA and palonosetron.

PD data characterizing the interaction of netupitant with NK<sub>1</sub> receptors in the brain were from a single-dose, open-label PET study in six healthy adult males randomized to receive oral netupitant at 100-, 300-, or 450-mg dose (two subjects/dose) [27]. Together with oral netupitant, subjects received a highly selective, high-affinity NK<sub>1</sub>RA PET tracer, [<sup>11</sup>C]-GR205171, as an intravenous bolus injection at baseline, and at approximately 6, 24, 48, 72, and 96 h after dosing with netupitant. The injections were followed by 60-min PET scans. This procedure allowed the evaluation of netupitant brain penetration, the rate and extent of netupitant interaction

Spinelli et al (27)	Calcagnile et al (28)	Hesketh et al (17)
<p><b>Study design</b></p> <ul style="list-style-type: none"> <li>Randomized, open-label PET study to investigate netupitant NK<sub>1</sub> receptor occupancy in various brain regions</li> </ul> <p><b>Patients</b></p> <ul style="list-style-type: none"> <li>N = 6 healthy subjects</li> <li>Male: 6; mean age: 22.3 yr; mean BMI: 23.9 kg/m<sup>2</sup></li> </ul> <p><b>Intervention</b></p> <ul style="list-style-type: none"> <li>Single oral netupitant at 100-, 300-, or 450-mg dose (2 subjects/dose level)</li> <li>[<sup>11</sup>C]-GR205171 radioligand PET tracer to characterize NK<sub>1</sub> receptor binding administered at predose, and at 24, 48, 72, and 96 h postdose</li> </ul> <p><b>Assessments</b></p> <ul style="list-style-type: none"> <li>PET scans at: predose, and at 6, 24, 48, 72, 96 h postdose</li> <li>Blood samples to determine netupitant plasma concentration were collected at: predose, and at 1, 2, 3, 4, 4.5, 5, 5.5, and 12 h postdose, and immediately before and after the PET scan (6, 7, 24, 25, 48, 49, 72, 73, 96, and 97 h)</li> </ul>	<p><b>Study design</b></p> <ul style="list-style-type: none"> <li>Single-center, randomized, open-label, 2-way crossover study to investigate the effect of food on the PK of netupitant and palonosetron. <b>The present study analyzed only data from the fasted state</b></li> </ul> <p><b>Patients</b></p> <ul style="list-style-type: none"> <li>N = 22 healthy subjects</li> <li>Male: 15; mean age: 35.1 yr; mean BMI: 24.8 kg/m<sup>2</sup></li> </ul> <p><b>Intervention</b></p> <ul style="list-style-type: none"> <li>Single oral NEPA dose administered in a fasted or fed state</li> <li>Washout period of 28 days</li> <li>Crossover: single oral NEPA dose administered in the alternative state</li> </ul> <p><b>Assessments</b></p> <ul style="list-style-type: none"> <li>Blood samples for PK analysis collected at: predose, and at 1, 2, 3, 4, 4.5, 5, 5.5, 6, 8, 12, 24, 48, 72, 96, 120, 144, and 192 h postdose. For netupitant an additional sample was collected at 240 h</li> </ul>	<p><b>Study design</b></p> <ul style="list-style-type: none"> <li>Phase II randomized, double-blind, multicenter study in cisplatin-treated patients to determine the dose of netupitant to combine with palonosetron (0.50 mg). <b>The present study analyzed only data from patients receiving the approved 300-mg netupitant dose in oral NEPA</b></li> </ul> <p><b>Patients</b></p> <ul style="list-style-type: none"> <li>N = 135 chemotherapy-naive patients with solid tumors receiving cisplatin at a ≥50-mg/m<sup>2</sup> dose</li> <li>Male: 57%; median age: 53.0 yr; chemotherapy: cisplatin alone, 14.1%; concomitant LEC, 48.1%; concomitant MEC or HEC, 37.8%</li> </ul> <p><b>Treatment</b></p> <ul style="list-style-type: none"> <li>Day 1: single oral NEPA dose administered 60 min before cisplatin plus single oral dexamethasone 12-mg dose 30 min before cisplatin</li> <li>Days 2–4: oral dexamethasone 4 mg twice daily</li> </ul> <p><b>Efficacy assessments</b></p> <ul style="list-style-type: none"> <li>CR, no emesis, no significant nausea, and CP in the acute, delayed, and overall phases</li> </ul>

**Fig. 1** Design of studies included for the PK/PD modeling and for the correlation with antiemetic clinical efficacy [17, 27, 28]. BMI, body mass index; CP, complete protection (CR and no significant nausea); CR, complete response (no emesis, no rescue medication); HEC, highly

emetogenic chemotherapy; LEC, low emetogenic chemotherapy; MEC, moderately emetogenic chemotherapy; NK<sub>1</sub>, neurokinin-1; oral NEPA, 300 mg netupitant/0.50 mg palonosetron; PD, pharmacodynamic; PET, positron emission tomography; PK, pharmacokinetics

with NK<sub>1</sub> receptors in different brain regions, and the receptor washout rate. From this PET study, a maximum effect ( $E_{\max}$ ) model was established to relate NK<sub>1</sub>RO as a function of netupitant plasma concentration. Through the model, the time required to achieve the 90% NK<sub>1</sub>RO in the striatum was predicted.

PD data characterizing the interaction of palonosetron with 5-HT<sub>3</sub> receptors in tissues were from preclinical studies in NG-108-15 [29] and in HEK 293 cell membranes stably expressing 5-HT<sub>3A</sub> and 5-HT<sub>3B</sub> receptors [30].

PK modeling of netupitant and palonosetron plasma concentration-time profiles was based on two-compartment model fitting to mean curves observed in an open-label, randomized phase I study in 22 healthy adults aimed at testing the effect of food on the PK of netupitant and palonosetron [28]. The subjects received single doses of oral NEPA in a fed or fasted state in the initial treatment period and in the alternative state in the following treatment period after a washout of 28 days. Mean netupitant and palonosetron plasma concentration-time curves used for PK modeling were from subjects receiving oral NEPA in the fasted state.

Netupitant and palonosetron PK/PD modeling results were correlated with clinical data from the multinational, randomized,

double-blind, parallel group, phase II study in 694 chemotherapy-naive cancer patients scheduled to receive cisplatin-based HEC [17]. This study compared antiemetic efficacy and safety of three different oral doses of netupitant (100, 200, and 300 mg) plus 0.5 mg palonosetron, all given on day 1. A standard 3-day aprepitant plus intravenous ondansetron 32-mg regimen was included as an exploratory arm. All patients received a single oral dose of 12 mg dexamethasone 30 min before cisplatin on day 1 and 4 mg twice daily on days 2–4.

The primary efficacy endpoint was complete response (CR; no emesis, no rescue medication) during the overall phase (0–120 h following chemotherapy). Efficacy analysis results from 135 chemotherapy-naive patients receiving 300 mg netupitant plus 0.5 mg palonosetron (the approved oral NEPA dose) 60 min before cisplatin on day 1 were used to establish clinical correlations with the outcomes from PK/PD modeling analyses.

Detailed design, methods, and patient eligibility criteria for the clinical studies have been published previously [17, 27, 28]. For each, the relevant study protocols were approved by the corresponding ethical review committees, and sites participating in the studies followed the International Conference on Harmonization E6 Good Clinical Practice guidelines,

Declaration of Helsinki principles, and local laws and regulations.

### Netupitant PK/PD analysis

In the PET study, the extent of NK<sub>1</sub>RO in different brain regions (striatum, lateral and medial temporal cortex, occipital and frontal cortex, and anterior cingulate) was determined by PET scans following single oral dose administration of netupitant [27].

Blood samples for the determination of netupitant plasma concentrations were collected at the following time points: predose 1, 2, 3, 4, 4.5, 5, 5.5, and 12 h postdose, immediately before the PET scan (6, 24, 48, 72, and 96 h postdose), and immediately after the PET scan (7, 25, 49, 73, and 97 h postdose). Parameter values and the precision of the estimates are reported in the paper by Spinelli et al. [27].

For all subjects, individual NK<sub>1</sub>RO observations in the striatum and other brain regions were correlated with the respective netupitant plasma concentrations by sigmoid  $E_{\max}$  modeling (Eq. 1):

$$RO (\%) = \frac{E_{\max} \times C^{\gamma}}{EC_{50}^{\gamma} + C^{\gamma}} \quad (1)$$

where  $E_{\max}$  is the maximal NK<sub>1</sub>RO,  $EC_{50}$  is the plasma concentration at which 50% of  $E_{\max}$  is reached,  $C$  is the netupitant plasma concentration at any time, and  $\gamma$  is a slope parameter reflecting the shape of the curve. The values of  $E_{\max}$ ,  $EC_{50}$ , and  $\gamma$  for each brain region were estimated by fitting the sigmoid  $E_{\max}$  model to the experimental RO values as a function of netupitant plasma concentrations for all subjects and all doses simultaneously, using the software WinNonlin Professional Edition Version 4.1.b (Pharsight Corporation, Mountain View, CA).

The netupitant plasma concentration required to achieve 90% NK<sub>1</sub>RO,  $C_{90\%}$ , in the striatum was then predicted by Eq. 2, derived from Eq. 1:

$$C_{90\%} = \sqrt[\gamma]{\frac{90\% \times EC_{50}^{\gamma}}{E_{\max} - 90\%}} \quad (2)$$

The time required to reach  $C_{90\%}$  after administration of 300-mg netupitant was estimated through the PK model (Eq. 3) obtained by fitting a two-compartment open model, with first-order absorption, first-order elimination and lag time, to mean plasma netupitant concentration using the PK software Phoenix WinNonlin version 6.4 (Certara, Princeton, NJ).

$$C_t = A \times e^{-\lambda_1 \times t} + B \times e^{-\lambda_2 \times t} - C \times e^{-K_{01} \times t} \quad (3)$$

where  $C_t$  represents netupitant plasma concentration at any time,  $A$ ,  $B$ , and  $C$  are hybrid constants,  $\lambda_1$  and  $\lambda_2$  are disposition rate constants,  $K_{01}$  is the absorption rate constant, and  $t$  is

time. Mean plasma netupitant concentration-time curves were obtained from 22 healthy adults enrolled in the above-mentioned PK study [31]. The two-compartment model described by Eq. 3 was fitted to mean plasma concentration-time data of subjects in fasted state by iterative nonlinear regression analysis based on the minimization of the objective function until convergence. The weighted least squares analysis was performed using  $1/C_{(\text{pred})}^2$  as a weighting factor, where  $C_{(\text{pred})}$  is the predicted concentration. Goodness of fit was established on the basis of plots of observed vs. predicted concentrations, plots of weighted residuals, and conventional criteria, including the weighted sum of squared residuals, the Akaike Information Criterion, and the Schwarz Bayesian Criterion.

### Palonosetron PK/PD analysis

Palonosetron is a potent 5-HT<sub>3</sub>RA that exhibits allosteric binding and positive cooperativity upon binding to 5-HT<sub>3</sub> receptors in HEK 293 cells [30]. In saturation-binding studies in NG-108-15 cell membranes, palonosetron showed a mean affinity ( $pK_i$ ) value of 10.45 M at the 5-HT<sub>3</sub> receptor [29]. Assuming competitive inhibition, the palonosetron  $EC_{50}$  can be assumed to be approximately twofold the  $K_i$  [32]. Hence,

$$EC_{50} = 2 \times 10^{-10.45} \text{M} = 0.071 \text{nM} = 21 \text{ng/L}$$

Interaction kinetics was modeled using Eq. 1, where  $E_{\max}$  is the maximum palonosetron 5-HT<sub>3</sub>RO, assumed to be 100%,  $EC_{50}$  is the palonosetron plasma concentration at which 50%  $E_{\max}$  is achieved,  $C$  is palonosetron concentration in plasma at any time, and  $\gamma$  is a slope parameter, assumed to be 1 (the sigmoid  $E_{\max}$  model reduces to a simple  $E_{\max}$  model).

The percentage of 5-HT<sub>3</sub>RO was simulated as a function of palonosetron concentration after oral administration of 0.5-mg palonosetron. Mean palonosetron plasma concentration-time data from 22 healthy adults enrolled in the Calcagnile et al. [28] study, who received a single oral NEPA dose in the fasted state, were applied to Eq. 3, where  $C_t$  represents palonosetron plasma concentration at any time.

### Pivotal phase II clinical study [17]

For the collection of efficacy data, patients completed a diary through the first 120 h after receiving cisplatin, including the following information: timing and duration of each emetic episode, severity of nausea using a 100-mm horizontal visual analog scale, and use of concomitant and rescue medication. In this analysis, the percentages of patients with CR, without emesis, and with “no significant nausea” (NSN) were calculated for the acute period and for each day after (days 2–5), for the full analysis set. The mean time to first emetic episode and

the time to treatment failure (time to the first emetic episode or use of rescue medication, whichever occurred first) were determined using the patient-reported data.

## Results

### Netupitant PK/PD modeling

The sigmoid  $E_{\max}$  model parameters from the PET study [27] indicated  $E_{\max}$  values greater than 90% in most of investigated brain regions. Estimates of  $EC_{50}$  and  $\gamma$  ranged from 0.2 to 10.2  $\mu\text{g/L}$  and from 0.5 to 1.2  $\mu\text{g/L}$ , respectively, and were characterized by good precision in the striatum. In other brain areas, the limited number of experimental points in the ascending part of the RO vs. plasma concentration curves affected the precision of the  $EC_{50}$  and  $\gamma$  estimates.

PK model parameters reported in Table 1 [28] were estimated by fitting a two-compartment open model (Eq. 3) to the mean plasma concentration-time curves of netupitant from healthy adults receiving 300-mg netupitant as oral NEPA fixed combination [28] and were used to simulate the netupitant plasma concentration-time profile at any time following administration of oral NEPA.

The PK/PD correlation between predicted netupitant  $NK_1RO$  in all tested brain regions and predicted netupitant plasma concentrations following 300-mg oral netupitant is presented in Fig. 2. Higher and longer-lasting  $NK_1RO$  were predicted in the occipital cortex, the anterior cingulate, and the frontal cortex, where netupitant RO was greater than or close to 90% up to 120 h postdosing. In the striatum, netupitant  $NK_1RO$  was predicted to exceed 90% up to approximately 24 h after drug administration, then to decline slowly, reaching 75–80% RO on day 5 postdosing. Netupitant washout from

the blood compartment was predicted to be faster than from all brain regions, confirming the high affinity of netupitant for  $NK_1$  receptors in the brain.

PD model-predicted  $NK_1RO$  (Fig. 2) is consistent with experimental values determined by PET after oral administration of 300-mg netupitant [27]. Using the netupitant PK model parameters reported in Table 1 [28], the 90%  $NK_1RO$  in the striatum was predicted to be attained at a netupitant plasma concentration of 225 ng/mL, reached at 2.23 h after administration of oral NEPA, i.e., earlier than the netupitant peak time, estimated at  $\sim 6$  h. In addition, 90%  $NK_1RO$  would be reached within 3 h in other brain regions such as the occipital cortex, the frontal cortex, and the anterior cingulate (Fig. 2).

### Palonosetron PK/PD modeling

PK model parameters reported in Table 1 [28] were estimated by fitting a two-compartment open model (Eq. 3) to the mean plasma concentration-time curves of palonosetron from healthy adults receiving 0.5-mg palonosetron as oral NEPA fixed combination [28]. These parameters were used to simulate the palonosetron plasma concentration-time profile at any time following administration of oral NEPA.

PD model-predicted palonosetron 5-HT<sub>3</sub>RO as a function of PK model-predicted palonosetron plasma concentrations after administration of 0.5-mg palonosetron as oral NEPA indicated that 90% 5-HT<sub>3</sub>RO in tissues is expected to be attained at a palonosetron plasma concentration of 188 ng/L, reached at 1.05 h after administration of oral NEPA, i.e., earlier than the palonosetron peak concentration of 693 ng/L, estimated at 5.2 h.

The PK/PD correlation between predicted palonosetron 5-HT<sub>3</sub>RO and predicted palonosetron plasma concentrations as a function of time is presented in Fig. 3. Palonosetron 5-HT<sub>3</sub>RO was predicted to exceed 90% up to approximately 3 days after drug administration, and then it declined slowly, reaching a RO of approximately 80% on day 5 postdosing. Palonosetron washout from the blood compartment was predicted to be faster than from 5-HT<sub>3</sub> receptors in tissues because of the high affinity of palonosetron for 5-HT<sub>3</sub> receptors.

### Pivotal phase II clinical study

The time to first treatment failure for any patient treated with oral NEPA was 8 h, with a mean time to treatment failure of 114.2 h [17]. The time to the first emetic episode for any oral NEPA-treated patient was also 8 h, with a mean time to first emesis of 114.4 h. The time to the first administration of rescue medication was 95 h, and the mean time was 119.8 h. In the acute phase, for patients receiving NEPA prophylaxis, the rates of no emesis, NSN, and CR were 99% for each, with daily rates of no emesis and NSN of  $\geq 95\%$  on days 2–5.

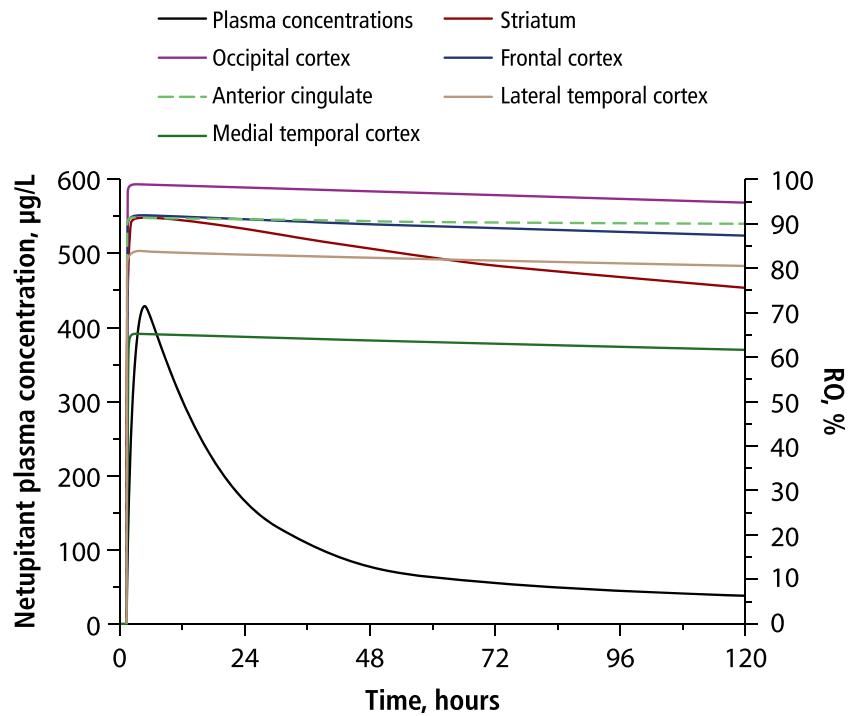
**Table 1** PK parameters for netupitant and palonosetron estimated from two-compartmental modeling of plasma concentration-time data

Parameter	Netupitant			Palonosetron		
	Units	Estimate	CV%	Units	Estimate	CV%
A	$\mu\text{g/L}$	521.1	10.4	ng/L	536.3	21.9
B	$\mu\text{g/L}$	86.9	12.6	ng/L	335.6	42.9
$K_{01}$	$\text{h}^{-1}$	0.95622	32.0	$\text{h}^{-1}$	0.70045	13.1
$\lambda_1$	$\text{h}^{-1}$	0.07144	13.9	$\text{h}^{-1}$	0.04955	32.8
$\lambda_2$	$\text{h}^{-1}$	0.00673	11.6	$\text{h}^{-1}$	0.01294	26.8
$t_{\text{lag}}$	h	1.62	7.4	h	0.67	5.9

Mean plasma concentration-time curves of netupitant and palonosetron from 22 healthy adults who received oral NEPA in the fasted state were used for modeling [28]

$\lambda_1$ ,  $\lambda_2$ , disposition rate constants; A, B, hybrid coefficients; CV, coefficient of variation;  $K_{01}$ , absorption rate constant; PK, pharmacokinetics;  $t_{\text{lag}}$ , lag time

**Fig. 2** Model-predicted netupitant NK<sub>1</sub>RO in different brain regions and netupitant plasma concentrations as a function of time after administration of 300-mg netupitant. NK<sub>1</sub>RO, neurokinin-1 receptor occupancy



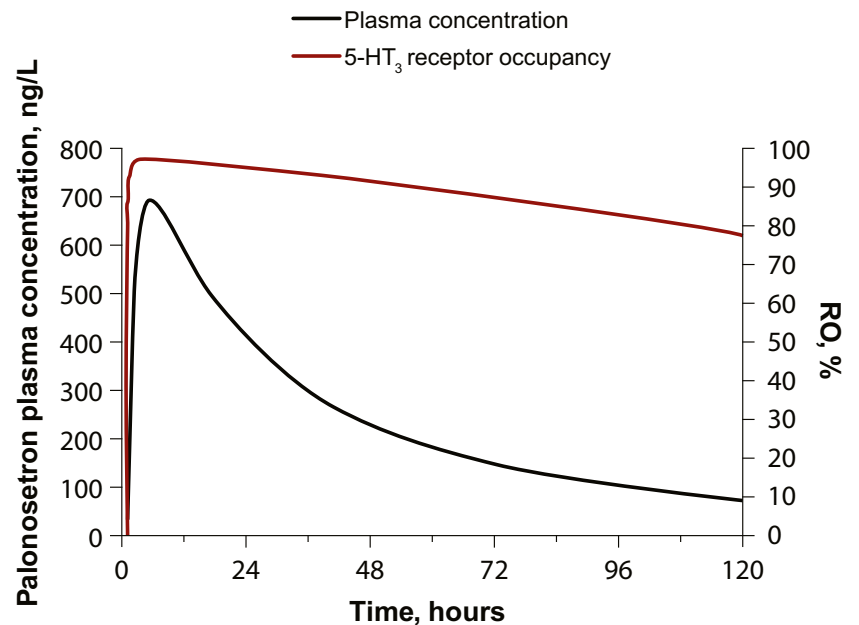
**Discussion**

The prevention of acute CINV is mainly dependent on inhibition of 5-HT<sub>3</sub> receptors by 5-HT<sub>3</sub>RAs, while delayed CINV control is associated with NK<sub>1</sub> receptor inhibition by NK<sub>1</sub>RAs [4]. The pivotal clinical study included in this analysis [17] previously demonstrated the superiority of oral NEPA vs oral palonosetron in the rate of acute CR, suggesting that the NK<sub>1</sub>RA component of the fixed combination, netupitant, may also contribute to the prevention of CINV in the acute

period. The present analysis predicted that palonosetron may occupy 90% of 5-HT<sub>3</sub> receptors at a plasma concentration of 188 ng/L within 1.05 h after dosing, while netupitant may reach the therapeutic threshold of 90% RO in the striatum [23] at a plasma concentration of 225 ng/mL which is reached as early as 2.23 h after administration. These results further support the role of both components of NEPA in CINV control during the acute phase.

Cisplatin-associated acute nausea and vomiting has been shown to start within the first 4 h after initiation of

**Fig. 3** Model-predicted palonosetron 5-HT<sub>3</sub>RO and predicted palonosetron plasma concentrations as a function of time after administration of 0.5-mg palonosetron. 5-HT<sub>3</sub>RO, 5-hydroxytryptamine-3 receptor occupancy



chemotherapy, and to reach a peak between 4 to 10 h [31]. Here, >90% of 5-HT<sub>3</sub> and of striatal NK<sub>1</sub> receptors were predicted to be occupied at 1.05 and 2.23 h, respectively, postadministration of oral NEPA, thus before the start of emetic episodes associated with cisplatin treatment. Accordingly, clinical data showed that the time to first treatment failure following cisplatin administration for any patient among the 135 patients in the oral NEPA group was 8 h. Therefore, reducing the time of administration of NEPA to less than 1 h prior to the administration of cisplatin would not be expected to impact its antiemetic efficacy in the acute phase. In addition, >90% occupancy of 5-HT<sub>3</sub> and of striatal NK<sub>1</sub> receptors was predicted to be sustained over approximately 72 and 24 h, respectively, after oral NEPA administration. This prolonged RO also suggests that increasing the time of administration of NEPA to more than 1 h before cisplatin administration would not affect its antiemetic activity.

The antiemetic activity of oral NEPA is sustained in the delayed phase, with a daily CR rate ranging from 96 to 98% on days 2–5 [17]. Although a 90% occupancy of striatal NK<sub>1</sub> receptors has been assumed as the threshold to reach antiemetic activity [23], it seems that this level does not need to be sustained over the entire delayed period to exert antiemetic control, since on day 4 a 98% CR rate was attained [17] with an estimated NK<sub>1</sub>RO in the striatum of 78% (Fig. 2). Noteworthy, in other brain regions such as the occipital cortex and the anterior cingulate, 90% NK<sub>1</sub>RO was exceeded up to 120 h after NEPA administration. Previous studies have shown that palonosetron and netupitant can act synergistically on the inhibition of the substance P signaling pathway [13, 33]. Palonosetron can inhibit crosstalk between the NK<sub>1</sub> and 5-HT<sub>3</sub> receptor signaling pathways and induce 5-HT<sub>3</sub> receptor internalization, which may result in prolonged inhibition of NK<sub>1</sub> and 5-HT<sub>3</sub> receptor function/signaling pathways [13].

Overall, the results presented here suggest a potential for flexibility in the administration timing of NEPA administered immediately before chemotherapy. Administration of NEPA closer to the time of chemotherapy would most likely not affect delayed CINV control, as maintaining ≥90% NK<sub>1</sub>RO in the striatum, surrogate marker for effective NK<sub>1</sub>RA interaction in the area postrema and the nucleus tractus solitarius, does not seem to be required for antiemetic efficacy.

Some limitations of this study include the small number of subjects involved in the PET study with netupitant; the fact that the PET study analyzed the interaction with NK<sub>1</sub> receptors following administration of netupitant as single agent; the assumption of the adequacy of a sigmoid  $E_{\max}$  model to describe the interaction of palonosetron with the 5-HT<sub>3</sub> receptor; and the assumption of the 90% 5-HT<sub>3</sub>RO threshold to establish 5-HT<sub>3</sub>RA antiemetic activity for palonosetron. In addition, the data used to develop the PK and PD models, as well

as the clinical trial results used to establish potential correlations with clinical antiemetic efficacy, were obtained from independent studies analyzing different subject or patient populations. These limitations and assumptions appear to be acceptable in light of the good correlation between model-predicted (Fig. 2) and observed NK<sub>1</sub>RO [27] in the different brain regions. In addition, the degree of NK<sub>1</sub> and 5-HT<sub>3</sub>RO correlated well with the described antiemetic effects of NEPA in clinical trials. This retrospective analysis using PK/PD modeling allows generation of accurate predictions about the clinical effects of the timing of oral NEPA administration rapidly and in a noncostly manner that can be used as guidance for optimization of antiemetic administration in future clinical studies. Ultimately, a prospective clinical validation of these results would be required. In fact, a noninferiority study (in terms of CR rate) in cancer patients to examine two different administration times of NEPA relative to the first dose of HEC has been approved and will shortly begin accrual.

In conclusion, the PK/PD modeling and clinical data presented herein suggest that moving the timing of oral NEPA administration closer to chemotherapy initiation would probably not result in a loss of efficacy and could enhance the convenience of the administration. Prospective clinical validation is warranted to confirm these indications.

**Acknowledgments** The authors thank patients, investigators, and the study teams. Editorial and medical writing assistance was provided by Iratxe Abarrategui, PhD, CMPP, and Joanne Franklin, PhD, CMPP, of TRM Oncology, The Hague, The Netherlands, and funded by Helsinn Healthcare SA, Lugano, Switzerland. The authors are fully responsible for all content and editorial decisions for this manuscript.

**Source of funding** The trials described within this paper were sponsored by Helsinn Healthcare SA, Lugano, Switzerland.

## Compliance with ethical standards

**Conflict of interest** Lee Schwartzberg: consultant for Amgen, Helsinn, NanoString, Napo, Pfizer, Taiho, Genentech/Roche, BMS, Genomic Health, Myriad, AstraZeneca; has received nonfinancial support from AbbVie, AstraZeneca, Helsinn, Merck, Novartis, Bayer, Celgene, Lilly, BMS, Genentech, Pfizer; has received institutional grants from BMS, Novartis, and MedImmune.

Matti Aapro: advisor for Eisai, Helsinn, Merck, Mundipharma, Roche, and Tesaro; honoraria from Eisai, Helsinn, Merck, Mundipharma, Roche, and Tesaro; and has received grants from Helsinn, Merck, Roche, and Tesaro.

Sally Baron-Hay: honoraria from AstraZeneca, Novartis, and Pfizer; advisor for AstraZeneca, Novartis, and Pfizer.

Alberto Bernareggi: Helsinn Healthcare SA employee.

**Ethical approval** For this type of study, formal consent is not required.

**Open Access** This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (<http://creativecommons.org/licenses/by/4.0/>), which permits unrestricted use, distribution, and reproduction in any medium, provided you give

appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

## References

- Grassi L, Bernardi MA, Ruffilli F, Meggiolaro E, Andritsch E, Sirgo A, Caruso R, Juan Linares E, Bellé M, Massarenti S, Nanni MG, Psycho-Oncology IOR-IRST, UniFE Psychiatry Co-Authors (2015) Role of psychosocial variables on chemotherapy-induced nausea and vomiting and health-related quality of life among cancer patients: a European study. *Psychother Psychosom* 84:339–347
- Basch E, Deal AM, Kris MG, Scher HI, Hudis CA, Sabbatini P, Rogak L, Bennett AV, Dueck AC, Atkinson TM, Chou JF, Dulko D, Sit L, Barz A, Novotny P, Fruscione M, Sloan JA, Schrag D (2016) Symptom monitoring with patient-reported outcomes during routine cancer treatment: a randomized controlled trial. *J Clin Oncol* 34:557–565
- Basch E, Deal AM, Dueck AC, Scher HI, Kris MG, Hudis C, Schrag D (2017) Overall survival results of a trial assessing patient-reported outcomes for symptom monitoring during routine cancer treatment. *JAMA* 318:197–198
- Navari RM, Aapro M (2016) Antiemetic prophylaxis for chemotherapy-induced nausea and vomiting. *N Engl J Med* 374:1356–1367
- Jordan K, Jahn F, Aapro M (2015) Recent developments in the prevention of chemotherapy-induced nausea and vomiting (CINV): a comprehensive review. *Ann Oncol* 26:1081–1090
- Bošnjak SM, Gralla RJ, Schwartzberg L (2017) Prevention of chemotherapy-induced nausea: the role of neurokinin-1 (NK<sub>1</sub>) receptor antagonists. *Support Care Cancer* 25:1661–1671
- Hesketh PJ, Kris MG, Basch E, Bohlke K, Barbour SY, Clark-Snow RA, Danso MA, Dennis K, Dupuis LL, Dusetzina SB, Eng C, Feyer PC, Jordan K, Noonan K, Sparacio D, Somerfield MR, Lyman GH (2017) Antiemetics: American Society of Clinical Oncology clinical practice guideline update. *J Clin Oncol* 35:3240–3261
- National Comprehensive Cancer Network (2018) NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). Antiemesis. Version 3.2018. [http://www.nccn.org/professionals/physician\\_gls/pdf/antiemesis.pdf](http://www.nccn.org/professionals/physician_gls/pdf/antiemesis.pdf) Accessed 08 Aug 2018
- Roila F, Molassiotis A, Herrstedt J, Aapro M, Gralla RJ, Bruera E, Clark-Snow RA, Dupuis LL, Einhorn LH, Feyer P, Hesketh PJ, Jordan K, Olver I, Rapoport BL, Roscoe J, Ruhlmann CH, Walsh D, Warr D, van der Wetering M, participants of the MASCC/ESMO Consensus Conference Copenhagen 2015 (2016) MASCC and ESMO guideline update for the prevention of chemotherapy- and radiotherapy-induced nausea and vomiting and of nausea and vomiting in advanced cancer patients. *Ann Oncol* 27:v119–v133
- Hesketh PJ, Van Belle S, Aapro M, Tattersall FD, Naylor RJ, Hargreaves R, Carides AD, Evans JK, Horgan KJ (2003) Differential involvement of neurotransmitters through the time course of cisplatin-induced emesis as revealed by therapy with specific receptor antagonists. *Eur J Cancer* 39:1074–1080
- Lumms SC (2012) 5-HT(3) receptors. *J Biol Chem* 287:40239–40245
- Higgins GA, Kilpatrick GJ, Bunce KT, Jones BJ, Tyers MB (1989) 5-HT3 receptor antagonists injected into the area postrema inhibit cisplatin-induced emesis in the ferret. *Br J Pharmacol* 97:247–255
- Rojas C, Raje M, Tsukamoto T, Slusher BS (2014) Molecular mechanisms of 5-HT(3) and NK(1) receptor antagonists in prevention of emesis. *Eur J Pharmacol* 722:26–37
- Roila F, Warr D, Clark-Snow RA, Tonato M, Gralla RJ, Einhorn LH, Herrstedt J (2005) Delayed emesis: moderately emetogenic chemotherapy. *Support Care Cancer* 13:104–108
- Geling O, Eichler HG (2005) Should 5-hydroxytryptamine-3 receptor antagonists be administered beyond 24 hours after chemotherapy to prevent delayed emesis? Systematic re-evaluation of clinical evidence and drug cost implications. *J Clin Oncol* 23:1289–1294
- Kris MG, Gralla RJ, Clark RA, Tyson LB, O'Connell JP, Wertheim MS, Kelsen DP (2005) Incidence, course, and severity of delayed nausea and vomiting following the administration of high-dose cisplatin. *J Clin Oncol* 3:1379–1384
- Hesketh PJ, Rossi G, Rizzi G, Palmas M, Alyasova A, Bondarenko I, Lisyanskaya A, Gralla RJ (2014) Efficacy and safety of NEPA, an oral combination of netupitant and palonosetron, for prevention of chemotherapy-induced nausea and vomiting following highly emetogenic chemotherapy: a randomized dose-ranging pivotal study. *Ann Oncol* 25:1340–1346
- Aapro M, Rugo H, Rossi G, Rizzi G, Borroni ME, Bondarenko I, Sarosiek T, Oprean C, Cardona-Huerta S, Lorusso V, Karthaus M, Schwartzberg L, Grunberg S (2014) A randomized phase III study evaluating the efficacy and safety of NEPA, a fixed-dose combination of netupitant and palonosetron, for prevention of chemotherapy-induced nausea and vomiting following moderately emetogenic chemotherapy. *Ann Oncol* 25:1328–1333
- Gralla RJ, Bosnjak SM, Hontsa A, Balser C, Rizzi G, Rossi G, Borroni ME, Jordan K (2014) A phase III study evaluating the safety and efficacy of NEPA, a fixed-dose combination of netupitant and palonosetron, for prevention of chemotherapy-induced nausea and vomiting over repeated cycles of chemotherapy. *Ann Oncol* 25:1333–1339
- Poli-Bigelli S, Rodrigues-Pereira J, Carides AD, Julie Ma G, Eldridge K, Hipple A, Evans JK, Horgan KJ, Lawson F, Aprepitant Protocol 054 Study Group (2003) Addition of the neurokinin 1 receptor antagonist aprepitant to standard antiemetic therapy improves control of chemotherapy-induced nausea and vomiting. Results from a randomized, double-blind, placebo-controlled trial in Latin America. *Cancer* 97:3090–3098
- Hesketh PJ, Grunberg SM, Gralla RJ, Warr DG, Roila F, de Wit R, Chawla SP, Carides AD, Ianus J, Elmer ME, Evans JK, Beck K, Reines S, Horgan KJ, Aprepitant Protocol 052 Study Group (2003) The oral neurokinin-1 antagonist aprepitant for the prevention of chemotherapy-induced nausea and vomiting: a multinational, randomized, double-blind, placebo-controlled trial in patients receiving high-dose cisplatin—the Aprepitant Protocol 052 Study Group. *J Clin Oncol* 21:4112–4119
- Akynzeo® (netupitant and palonosetron) [prescribing information] (2018) Dublin, Ireland: Helsinn Birex Pharmaceuticals. [https://www.akynzeo.com/hcp/assets/pdf/Prescribing\\_Information.pdf](https://www.akynzeo.com/hcp/assets/pdf/Prescribing_Information.pdf) Accessed 8 Aug 2018
- Bergström M, Hargreaves RJ, Burns HD, Goldberg MR, Goldberg MR, Sciberras D, Reines SA, Petty KJ, Ogren M, Antoni G, Långström B, Eskola O, Scheinin M, Solin O, Majumdar AK, Constanzer ML, Battisti WP, Bradstreet TE, Gargano C, Hietala J (2004) Human positron emission tomography studies of brain neurokinin 1 receptor occupancy by aprepitant. *Biol Psychiatry* 55:1007–1012
- Nakamura H, Yokoyama H, Takayanagi R, Yoshimoto K, Nakajima A, Okuyama K, Iwase O, Yamada Y (2015) Theoretical evaluation of antiemetic effects of 5-HT3 receptor antagonists for prevention of vomiting induced by cisplatin. *Eur J Drug Metab Pharmacokinet* 40:39–44
- Martin M (1996) The severity and pattern of emesis following different cytotoxic agents. *Oncology* 53:26–31



26. Hesketh P (1996) Management of cisplatin-induced delayed emesis. *Oncology* 53:73–77
27. Spinelli T, Calcagnile S, Giuliano C, Rossi G, Lanzarotti C, Mair S, Stevens L, Nisbet I (2014) Netupitant PET imaging and ADME studies in humans. *J Clin Pharmacol* 54:97–108
28. Calcagnile S, Lanzarotti C, Gutacker M, Jakob-Rodamer V, Peter Kammerer K, Timmer W (2015) Evaluation of the effect of food and age on the pharmacokinetics of oral netupitant and palonosetron in healthy subjects: a randomized, open-label, cross-over phase 1 study. *Clin Pharmacol Drug Dev* 4:377–386
29. Wong EH, Clark R, Leung E, Louny D, Bonhaus DW, Jakeman L, Parnes H, Whiting RL, Eglon RM (1995) The interaction of RS 25259-197, a potent and selective antagonist, with 5-HT<sub>3</sub> receptors, in vitro. *Br J Pharmacol* 114:851–859
30. Rojas C, Stathis M, Thomas AG, Massuda EB, Alt J, Zhang J, Rubenstein E, Sebastiani S, Cantoreggi S, Snyder SH, Slusher B (2008) Palonosetron exhibits unique molecular interactions with the 5-HT<sub>3</sub> receptor. *Anesth Analg* 107:469–478
31. Morrow GR, Hickok JT, Rosenthal SN (1995) Progress in reducing nausea and emesis. Comparisons of ondansetron (Zofran), granisetron (Kytril), and tropisetron (Navoban). *Cancer* 76:343–357
32. US Food and Drug Administration. (2012) Draft Guidance for Industry. Drug Interaction Studies — Study Design, Data Analysis, Implications for Dosing, and Labeling Recommendations. <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm> Accessed 15 June 2018
33. Thomas AG, Stathis M, Rojas C, Slusher BS (2014) Netupitant and palonosetron trigger NK1 receptor internalization in NG108-15 cells. *Exp Brain Res* 232:2637–2644