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# Ventilatory response to CO<sub>2</sub> in patients with epilepsy

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# Abstract

**Objective:** Severe peri-ictal respiratory depression is thought to be linked to SUDEP risk but its determinants are largely unknown. Inter-individual differences in the interictal ventilatory response to  $CO_2$  (hypercapnic ventilatory response [HCVR] or central respiratory  $CO_2$  chemosensitivity) may identify patients who are at increased risk for severe peri-ictal hypoventilation. HCVR has not been previously studied in patients with epilepsy; therefore, we evaluated a method to measure it at bedside in an epilepsy monitoring unit (EMU), and examined its relationship to postictal hypercapnia following generalized convulsive seizures (GCS).

**Methods:** Interictal HCVR was measured by a respiratory gas analyzer using a modified rebreathing technique. Minute ventilation ( $V_E$ ), tidal volume, respiratory rate, end tidal (ET) CO<sub>2</sub> and O<sub>2</sub> were recorded continuously. Dyspnea during the test was assessed using a validated scale. The HCVR slope ( $V_E$ / ETCO<sub>2</sub>), for each subject was determined by linear regression.

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#### Patient Consent: Obtained

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Competing interests:

R.K. Sainju, D.N. Dragon, H.B. Winnike, M.B. Nashelsky, M.A. Granner, G.B. Richerson and B.K. Gehlbach report no other disclosures relevant to the manuscript.

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During the video EEG study, subjects underwent continuous respiratory monitoring, including measurement of chest and abdominal movement, oro-nasal airflow, transcutaneous (tc)  $CO_2$ , and capillary oxygen saturation (SPO<sub>2</sub>).

**Results:** Sixty-eight subjects completed HCVR testing in  $151 \pm 58$  seconds, without any serious adverse events. HCVR slope ranged from -0.94 to 5.39 (median 1.71) L/min/mm Hg. HCVR slope correlated with the degree of unpleasantness and intensity of dyspnea, and was inversely related to baseline ETCO<sub>2</sub>. Both the duration and magnitude of postictal tcCO<sub>2</sub> rise following GCS were inversely correlated with HCVR slope.

**Significance:** Measurement of the HCVR is well tolerated and can be performed rapidly and safely at the bedside in the EMU. A subset of individuals has a very low sensitivity to  $CO_2$  and this group is more likely to have a prolonged increase in postictal  $CO_2$  after GCS. Low interictal HCVR may increase the risk of severe respiratory depression and SUDEP after GCS and warrants further study.

#### Keywords

epilepsy; SUDEP; biomarker; central chemoresponsiveness; generalized tonic-clonic seizures; hypercapnia

# INTRODUCTION

SUDEP is an important cause of mortality in patients with epilepsy<sup>1, 2</sup>. Research conducted in a variety of animal models suggests that respiratory dysfunction following generalized convulsive seizures (GCSs, encompassing focal to bilateral tonic clonic and generalized tonic-clonic) may lie within the causal pathway of many SUDEP cases<sup>3, 4</sup>, a hypothesis that is further supported by data from a limited number of SUDEP cases that have occurred in epilepsy monitoring units (EMUs)<sup>5</sup>. Peri-ictal hypoventilation, including central apnea, may occur in both focal seizures with impaired awareness and GCSs <sup>6, 7</sup>, a process that may be mediated by seizure invasion of the amygdala<sup>8</sup>. The occurrence of frequent or severe periictal hypoventilation has been proposed as a biomarker of SUDEP risk<sup>6</sup> but its determinants are largely unknown.

During steady-state wakeful conditions, ventilation is determined mostly by central chemosensitivity to  $CO_2^{3, 9, 10}$ , as well as by non-chemoreflex drives including cortical drive<sup>11</sup>, whereas central chemosensitivity to  $CO_2$  becomes an even more dominant source of respiratory drive during sleep<sup>12, 13</sup>. After a GCS, hypercapnia and acidosis may occur<sup>14</sup>, and in some patients hypercapnia may be severe and prolonged, reflecting severe hypoventilation<sup>4, 15</sup> that implies reduced respiratory  $CO_2$  chemosensitivity.

Central respiratory  $CO_2$  chemosensitivity can be quantified using the hypercapnic ventilatory response (HCVR), which measures the increase in minute ventilation (V<sub>E</sub>) induced by an increase in end tidal  $CO_2$  (ETCO<sub>2</sub>)<sup>16,17</sup>. We evaluated a method to measure the HCVR rapidly and conveniently at the bedside in a population of adult patients with epilepsy. We hypothesized that the test would be well tolerated in patients with epilepsy, and easily incorporated into the workflow of a busy EMU. We further hypothesized a wide range

of sensitivity to  $CO_2$  in this population, and that a reduced HCVR would correlate with the severity of postictal hypoventilation after GCS.

# MATERIALS AND METHODS

# Patients and clinical setting

This is a prospective study that was approved by the Institutional Review Board at the University of Iowa. All subjects provided informed consent. Eligible subjects were 18 years or older with confirmed epilepsy who were undergoing video EEG monitoring in the EMU at the University of Iowa Hospitals and Clinics. Subjects were excluded if they had a history of active cardio-pulmonary disease or had a stroke or space occupying lesion in the brain. Patients were also excluded if there was a possibility of pregnancy based on the results of a screening questionnaire and/or a urine pregnancy test as appropriate.

All the subjects consented for long-term follow up by telephone every 6 months to assess their overall health status. The most recent neurology clinic visit was also reviewed for those subjects followed at the University of Iowa Hospitals and Clinics.

#### Measurement of the HCVR:

The HCVR test was performed at bedside in the EMU using a modified hyperoxic rebreathing technique<sup>16, 17</sup> and an Ultima PFX Respiratory Gas Analyzer (MGC Diagnostics, St. Paul Minnesota, USA). Inter-ictal testing was typically performed on Day 1 of EMU admission while still on home seizure medications. Subjects were seated comfortably facing a blank screen and wore noise cancelling headphones and a nasal clip. Each test began with measurement of baseline values for respiratory rate (RR), V<sub>E</sub>, tidal volume (V<sub>T</sub>), ETCO<sub>2</sub>, and end-tidal oxygen (ETO<sub>2</sub>) while breathing room air for 30-45 seconds. Then, the HCVR was measured by having subjects breathe through a Y-valve that allowed switching from room air to two 5-liter rebreathing bags pre-filled with 50% oxygen, 6% carbon dioxide, and balance nitrogen. Subjects took two deep breaths to promote rapid equilibration of  $CO_2$  between the rebreathing bag and the alveolar, arterial and mixed venous compartments. They were then asked to breathe in whatever way they felt was most comfortable. The test was terminated for: (1)  $ETCO_2 > 55 \text{ mm Hg}$  (> 60 mm Hg for subjects with baseline hypercapnia), (2)  $ETO_2 < 160 \text{ mm Hg}$ ; (3)  $V_E > 100 \text{ liters/minute}$ ; or (4) subject intolerance. After the test, subjects completed the Multidimensional Dyspnea Profile (MDP)<sup>18, 19</sup>, a validated scale designed to assess the intensity (sensory component) and unpleasantness (affective component) of dyspnea, with each dimension rated on a scale from 0 to 10 (maximum intensity or unpleasantness).

As we gained confidence performing the procedure in this patient population we added a brief period of hyperventilation to a target  $ETCO_2$  30 mm Hg or at least 10 mm Hg below their baseline values prior to  $CO_2$  inhalation. This maneuver maximized the number of data points available for calculation of HCVR slope. This maneuver was not, however, long enough to allow for determination of the ventilatory recruitment threshold for  $CO_2$ —the  $ETCO_2$  below which ventilation is determined by waking neural drive<sup>16</sup>.

# Continuous video EEG and comprehensive respiratory monitoring:

The duration of video EEG monitoring for each patient was determined by the clinical team. EEG was recorded from scalp electrodes placed using the standard 10-20 system with addition of T1 & T2 electrodes (Nihon Kohden, Japan). EKG was recorded using three leads placed at bilateral infraclavicular and suprascapular locations. Capillary oxygen saturation (SpO<sub>2</sub>) and transcutaneous CO<sub>2</sub> (tcCO<sub>2</sub>) were measured on the forehead or cheekbone, and both were recorded continuously (SenTec Digital Monitoring System, Therwil BL, Switzerland). The accuracy and reliability of tcCO<sub>2</sub> monitoring have been previously established<sup>20-21</sup>. Chest and abdominal belts were used to perform respiratory inductance plethysmography (Pro-Tech zRIP DuraBelt, Philips Respironics, Morrisville, PA). Airflow was measured using a nasal pressure transducer (BiNaps, Salter Labs, Arvin, CA) and an oro-nasal thermistor (ThermiSense, Salter Labs, Arvin, CA).

Both the video and EEG of each seizure were carefully reviewed by a board certified epileptologist (RKS) and respiratory data were reviewed by the same epileptologist as well as a board-certified pulmonologist (BKG). Clinical and EEG seizure onset as well as the duration of the convulsive phase were recorded for each seizure.

For each GCS, the baseline tcCO<sub>2</sub>, SPO<sub>2</sub>, heart rate, and respiratory rate were established by averaging data every 10 seconds from 2 minutes prior to electrographic seizure onset to one minute prior to electrographic seizure onset. The duration of post-ictal tcCO<sub>2</sub> rise was defined as the time interval between (a) the point at which the tcCO<sub>2</sub> increased by at least 10% over the pre-ictal baseline tcCO<sub>2</sub> and (b) the point at which the tcCO<sub>2</sub> fell (returned) to < 10% above the pre-ictal baseline. The magnitude of tcCO<sub>2</sub> rise was expressed as tcCO<sub>2</sub>, which was calculated as the difference between the peak tcCO<sub>2</sub> during the post-ictal state and the pre-ictal baseline tcCO<sub>2</sub>. Likewise, the duration of oxygen desaturation was defined as the time interval between (a) the point at which the SPO<sub>2</sub> fell below 90% and (b) the point at which the SPO<sub>2</sub> returned to 90% or greater. The nadir of SPO<sub>2</sub> was also determined. Only GCS with interpretable tcCO<sub>2</sub> data were considered for analysis in the study. When subjects had more than one GCS, the duration of post-ictal tcCO<sub>2</sub> rise and tcCO<sub>2</sub> were averaged.

#### **Clinical variables**

All subjects eligible for HCVR testing filled out questionnaires on their clinical history. Medical records were reviewed to collect additional information. Variables included for analysis were age, gender, duration of epilepsy, current antiepileptic drugs (AEDs), body mass index (BMI), history of depression, history of obstructive sleep apnea (OSA), active tobacco use, current use of serotonin selective reuptake inhibitors (SSRIs) or serotoninnorepinephrine reuptake inhibitors (SNRIs), and results of current and prior video-EEG monitoring.

#### Statistics

Calculation of HCVR slope ( $V_E/ETCO_2$ ): for each subject, the  $V_E$  vs ETCO<sub>2</sub> relationship was fit with a least squares regression (Figures 1A, B, and C). During the HCVR testing, the ETCO<sub>2</sub> tends to rise in most patients immediately after initial two breaths which is due to acute inhalation of CO<sub>2</sub> rather than their chemoresponsiveness. Therefore,

for least squares fits, we excluded all values up to and including the time that patients took two deep breaths. Graphing and regression analyses were performed using Prism 7 (GraphPad Software, La Jolla, CA).

SPSS software (IBM Analytics, Somers, NY) was used for statistical analyses. Spearman's rho correlation coefficient test (two tailed, alpha = 0.05), was used for univariate analyses examining the relationship between clinical variables and HCVR slope (dependent variable). A similar approach was used to analyze the relationship between HCVR slope and the duration or magnitude ( $tcCO_2$ ) of post-ictal tcCO<sub>2</sub> rise. The Mann-Whitney U test was used to compare median values (two tailed, alpha =0.05).

# RESULTS

#### Subject characteristics

Table 1 summarizes patient characteristics. A total of sixty-eight subjects were enrolled in the study with age ranging from 20 to 69 years. Most (86.6%) subjects had focal epilepsy. At the time of admission to the EMU, three subjects were not taking any AEDs while 20 were on 1 AED and the remaining subjects were on 2 or more AEDs.

#### Measurement of the HCVR and tolerability of the HCVR

The resting ETCO<sub>2</sub> prior to measurement of the HCVR ranged from 25 to 45 mm Hg with a mean of  $37 \pm 4.2$  mm Hg.

The HCVR was successfully measured in 67 subjects (a calibration error occurred during measurement in one patient, so it was excluded from further analysis). Fifty-eight patients reached the target ETCO<sub>2</sub>. Nine subjects stopped the test for various reasons at a final ETCO<sub>2</sub> of 49 to 54 mm Hg. Transient symptoms experienced by the subjects during the test are summarized in Table 2. All symptoms resolved rapidly upon return to room air breathing. There were no serious adverse events.

The mean duration of CO<sub>2</sub> rebreathing during HCVR measurement was  $151 \pm 58$  seconds. In 43 subjects we measured the time for the ETCO<sub>2</sub> to normalize after completing the test. Subjects averaged 10 ± 8 seconds for their ETCO<sub>2</sub> to return to their pre-testing baseline. Seventy-six percent of subjects overcorrected their ETCO<sub>2</sub> by 3 mm Hg below their baseline ETCO<sub>2</sub>. When compared with the remaining subjects, those who overcorrected their ETCO<sub>2</sub> had a higher median HCVR slope (1.93 vs 1.25 L/min/mm Hg, p = 0.006).

## HCVR slope and its relationship with clinical variables

HCVR slope varied from -0.94 to 5.39 L/min/mm Hg. The distribution of slopes appeared Gaussian (Figure 1 D) with a mean of  $1.87 \pm 1.19$  and variance of 1.41 L/min/mm Hg. The median and inter quartile range (IQR) were 1.71 and 0.98 L/min/mm Hg, respectively.

The subjects' MDP scores for unpleasantness and intensity of dyspnea provoked by the rebreathing test were positively correlated with their HCVR slopes (rho= 0.434 and 0.468; p= 0.0003 and 0.000085). In contrast, baseline ETCO<sub>2</sub> was inversely correlated with HCVR slope (rho= -0.267, p=0.034). No significant correlation was found between HCVR slope

#### Video EEG and peri-ictal respiratory monitoring

A total of 49 GCSs (44 focal to bilateral tonic-clonic ) were recorded from 24 subjects. Thirteen GCSs from 11 subjects had sufficient data to calculate duration of post-ictal tcCO2 rise.

The mean duration of the convulsive phase was  $64 \pm 22$  seconds, with a range of 39 to 115 seconds. All 13 GCSs were associated with post-ictal tcCO<sub>2</sub> elevation and oxygen desaturation of varying severity. The duration of post-ictal tcCO<sub>2</sub> rise ranged from 134 seconds to 756 seconds, with a mean of  $433 \pm 229$  seconds. Peak tcCO<sub>2</sub> value ranged from 45 to 75 mm Hg with a mean tcCO<sub>2</sub> (increase from baseline) of  $18.1 \pm 6$  mm Hg. Likewise, duration of oxygen desaturation ranged from 34 seconds to 238 seconds with a mean of  $104 \pm 77$  seconds. Nadir SPO<sub>2</sub> ranged from 14% to 79%, with a mean of 53.0%  $\pm 21.8\%$ .

Univariate analyses examining the relationship between HCVR slope and selected clinical variables and the duration and magnitude ( $tcCO_2$ ) of post-ictal  $tcCO_2$  elevation are summarized in Table 3B. HCVR slope was inversely correlated with both the duration and magnitude of  $tcCO_2$  elevation in the post-ictal state (rho = -0.745 and -0.778; p = 0.008 and 0.014; Figure 2). Duration and magnitude of  $tcCO_2$  rise were also correlated with each other (rho = 0.770, p = 0.015). There was no significant relationship between HCVR slope and BMI, OSA, duration of convulsion, duration of peri-ictal O<sub>2</sub> desaturation, or nadir of peri-ictal O<sub>2</sub> desaturation.

# Long-term follow up:

At the time of this manuscript preparation, 55 subjects had completed at least six months of follow up, 43 patients had one year follow up, and 12 patients had two years of follow up. One patient was lost to follow up. All 55 subjects were ascertained to be alive at six months. However, one subject with pharmaco-resistant focal epilepsy died of "SUDEP plus<sup>22</sup>" 11 months after being studied. This subject was a 52-year-old man with pharmaco-resistant focal epilepsy. His medical problems consisted of hypertension, viral encephalitis in 1996, and a remote history of alcohol abuse and depression. He had his first seizure during the time of his acute viral encephalitis, and subsequently continued to have an average of 2-3 convulsive seizures per month despite multiple AED trials. At the time of enrollment, he had a markedly blunted HCVR slope (0.19 L/min/mm Hg, Figure 1D). Approximately 36 hours after HCVR testing, his video EEG study captured one focal to bilateral tonic-clonic seizure, with a convulsive phase lasting 66 seconds. He also experienced significant oxygen desaturation, with an SPO<sub>2</sub> nadir of 61% and a total duration of desaturation of 240 seconds (Figure 3). Unfortunately, the magnitude and duration of post ictal tcCO<sub>2</sub> rise were not able to be measured due to signal loss.

He was discharged from the hospital with the addition of a new AED, lamotrigine. Three months later, he was admitted to the hospital for acute pancreatitis potentially related to lamotrigine, so it was switched to oxcarbazepine. He subsequently developed a pseudo

pancreatic cyst, which was treated with antibiotics and placement of a drain. Despite these setbacks he had a full recovery and returned home in his baseline medical condition. One morning approximately 5 months later (11 months from this video EEG study), he was found dead, lying in the prone position in bed. A full autopsy examination revealed a flaccid heart with four chamber dilatation, consistent with a dilated cardiomyopathy, along with bilateral pulmonary congestion and congestive hepato-splenomegaly. Toxicologic examination of the blood showed no evidence of a drug or ethanol contribution to death. The patient did not have any antemortem complaints of cardiac illness and did not exhibit prior signs of a dilated cardiomyopathy. The findings and circumstances of his death, are consistent with "SUDEP plus"<sup>22</sup>.

# DISCUSSION

We demonstrate here the successful bedside measurement of the interictal HCVR in patients with epilepsy, and its relationship to the duration and magnitude of  $tcCO_2$  rise after GCS. To our knowledge, this is the first such study of the HCVR in this population. Our main findings include:

- 1. Measurement of the HCVR was rapid, safe, and generally well tolerated by the participants. Indeed, the test was simple enough that it could be administered in an outpatient setting.
- 2. Subjects showed a wide range of ventilatory responses.
- **3.** HCVR slope was positively correlated with the dyspnea rating scale for unpleasantness and intensity.
- 4. HCVR slope was inversely correlated with baseline ETCO<sub>2</sub>, consistent with an effect of blunted CO<sub>2</sub> chemosensitivity on baseline ventilation.
- 5. Both the duration and magnitude of post-ictal tcCO<sub>2</sub> rise after GCS were inversely correlated with HCVR slope.
- 6. One subject with pharmaco-resistant epilepsy and a markedly blunted HCVR slope died of "SUDEP plus" 11 months after being studied.

The relationship between  $ETCO_2$  and VE during the HCVR is approximately linear over a wide range (30-100 mm Hg of  $ETCO_2$ )<sup>23</sup>. Hyperventilation is a potential trigger for seizures in some patients with epilepsy, and high  $ETCO_2$  can potentially trigger discomfort and anxiety. Therefore, out of an abundance of caution, we employed a more modest period of hyperventilation than that described by Mohan and Duffy <sup>16</sup>, but still one that changed CO<sub>2</sub> over the linear range. We also selected a lower target CO<sub>2</sub> (55 vs 60 mm Hg) for test termination than the target utilized in some studies.

The ventilatory response to  $CO_2$  (HCVR slope) among patients with epilepsy varied widely. This variability was in line with previous studies performed in healthy adults<sup>24</sup>. However, the lowest response we recorded (-.94 L/min/mm Hg) is lower than previously reported (0.4 L/min/mm Hg) for normal adults<sup>24</sup>. The reason for this subject's negative HCVR is unclear, but may be due to cortical influences on breathing. We took steps to minimize these influences by following a standard testing procedure, and by asking our subjects to use noise

canceling headphones and to focus on a blank screen during the test. It is likely that the steps we took reduced variability due to cortical influences to only a modest level, but that they did not entirely eliminate it. We did not find any significant correlation between HCVR slope and age, gender, or OSA as previously reported  $^{25-28}$ .

Although the ventilatory response to  $CO_2$  may be modulated by environmental and acquired factors, genetic factors are likely to have a dominant influence. A study comparing monozygotic to dizygotic twins suggested genetic factors determine the sensitivity of central chemoreceptors<sup>29</sup>. Teenage swimmers and their siblings have a similar HCVR slope, regardless of whether the siblings are also swimmers<sup>26</sup>. Similarly, a study described familial clusters of low ventilatory response to  $CO_2$  in a study of the Egna people of New Guinea<sup>30</sup>. However, we cannot rule out the possibility that there are some individuals with a low HCVR due to acquired causes, such as infection or prior neurological insult.

An inverse relationship between HCVR slope and baseline ETCO<sub>2</sub>, as reported here, has been previously reported in patients with sleep apnea syndrome<sup>31</sup>. Individuals with a high level of chemosensitivity (large HCVR slope) respond to a small increase in ETCO<sub>2</sub> with a large increase in minute ventilation. This is expected to maintain a relatively low level of  $CO_2$  at baseline, which is what we found. During the recovery phase after rebreathing  $CO_2$ , subjects who over corrected their ETCO2 by 3 mm Hg had a higher HCVR slope than those who did not, again suggesting that highly responsive central chemoreceptors maintain lower  $CO_2$  levels.

We found that both the intensity and unpleasantness of dyspnea correlated with HCVR slope in this study. This indicates that individuals with low  $CO_2$  chemosensitivity not only have a reduced ventilatory response to  $CO_2$ , but they also have less dyspnea when hypercapnic. It is thought that a low  $CO_2$  chemosensitivity may be an advantage for athletes who participate in endurance events like swimming or running<sup>26, 32</sup>. In other circumstances, however, a low chemosensitivity contributes to disease, as in the obesity hypoventilation syndrome, or may even be life threatening, as in congenital central hypoventilation syndrome<sup>33</sup>.

 $TcCO_2$  rose by at least 10% after GCS in all cases for which these data were available. Although postictal apnea occurs after convulsive seizures<sup>34</sup>, our findings suggest that GCS can also provoke a more subtle form of hypoventilation: namely, ventilation that is not frankly apneic or bradypneic, but is insufficient to meet the metabolic demands of a convulsive seizure<sup>14</sup>. We did not find any significant association between either the duration or magnitude of post-ictal tcCO<sub>2</sub> rise and the duration of convulsive phase of the seizure, similar to the findings of Seyal et al<sup>15</sup>.

Despite a limited number of GCS available for analysis, our findings clearly suggest that the severity of postictal respiratory depression following GCS is strongly influenced by baseline  $CO_2$  chemosensitivity, as indicated by the inverse correlation between HCVR slope and the duration and magnitude of post-ictal tcCO<sub>2</sub> rise. Therefore, interictal measurement of HCVR could serve as a surrogate for severity of post-ictal hypoventilation, a hypothesis that should be tested in a larger study. If true, interictal HCVR could be measured much more easily, including in the outpatient clinic, than post-ictal tcCO<sub>2</sub>, which requires an EMU admission.

We hypothesize that an inadequate ventilatory response to  $CO_2$  in patients with a low HCVR slope may contribute to a potentially life-threatening situation when the patient's airway and consciousness are both compromised; e.g. an unattended patient with decreased level of arousal in the prone position after a GCS. If this is the case, low  $CO_2$  chemosensitivity would not be the "cause" of SUDEP, but rather would confer vulnerability in a context where the body's homeostasis is challenged. We speculate that low  $CO_2$  chemosensitivity may have contributed to the sudden death of our subject who had an unusually low HCVR slope and died of SUDEP plus. While drawing conclusions from a single case would be premature, further investigation of this hypothesis is warranted.

Serotonergic neurons in the raphe nuclei of the brainstem are known to play an important role in central CO<sub>2</sub> chemoreception and CO<sub>2</sub> induced arousal<sup>10, 35</sup>. Pharmacologic manipulation to enhance central chemoreceptor sensitivity has been successfully demonstrated in animal studies using SSRIs<sup>36, 37</sup>, and SSRIs protect against postictal respiratory arrest in DBA/2 mice<sup>38</sup>. In addition, in a study of epilepsy patients undergoing video-EEG monitoring, SSRIs were associated with reduced severity of ictal hypoxemia<sup>39</sup>. We did not find any correlation betwen SSRI/SNRI use and HCVR slope in our study. This may be due to the small sample size as this study was not designed specifically to evaluate this relationship. It is also possible that out results are confunded by the fact that many patients had diseases for which SSRIs and SNRIs were prescribed. If HCVR slope proves to be a useful surrogate for severe post-ictal hypoventilation, further clinical studies to examine the effect of SSRIs on the HCVR in patients with epilepsy are justified.

There are several limitations to our study. First, although the HCVR measurements were performed by the same respiratory therapist using the same machine and in a similar physical environment, we were unable to control for several factors—for instance, sleep deprivation and caffeine intake—that may influence the response. Second, sensor dislodgment and signal dropout from convulsive activity limited the number of generalized convulsive seizures that could be correlated with the HCVR. Finally, because our study was performed in a single center, our results should be replicated in another environment.

# CONCLUSIONS

In patients with epilepsy, interictal central respiratory chemosensitivity to  $CO_2$  can be measured rapidly and safely at the bedside. This interictal  $CO_2$  chemosensitivity, or HCVR, varies widely between patients with epilepsy as predicted. Individuals with a low HCVR exhibit less dyspnea during testing and more severe respiratory depression following GCS. The method described here can be used to assay  $CO_2$  chemosensitivity in a variety of clinical contexts and under different experimental conditions, including in an outpatient clinic setting. The results of these studies may aid in identifying biomarkers for patients at the highest risk of SUDEP while providing new insights into the mechanisms responsible for peri-ictal respiratory depression.

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We confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this report is consistent with those guidelines.

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# **Key Points**

- The ventilatory response to CO<sub>2</sub> can be tested rapidly and safely at the bedside in patients with epilepsy.
- A subset of patients with epilepsy has a blunted hypercapnic ventilatory response.
- Interictal hypercapnic ventilatory response was inversely correlated with the severity of postictal hypercapnia after generalized convulsive seizures.

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#### Figure 1:

Linear regression of  $V_E$  and ETCO<sub>2</sub> for (A) the subject with the median slope, (B) the subject who died of SUDEP plus, and (C) the subject with the highest slope. (D) shows the frequency distribution of HCVR slopes. The black arrow points to the subject with the median slope and the maroon arrow indicates the subject who died of SUDEP plus.

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# Figure 2:

Scatter plot depicting the correlation between HCVR slope and (A) duration of post-ictal  $tcCO_2$  rise and (B) magnitude ( $tcCO_2$ ) of post-ictal  $tcCO_2$  rise.



# Figure 3:

Peri-ictal respiratory depression complicating a focal to bilateral tonic-clonic seizure in a patient who later died of SUDEP Plus. Prior to (A) the patient was drowsy, lying supine in bed. (A) Clinical seizure onset (time 0) characterized by arousal, increased muscle tone, and apnea/hypopnea. (B) Vocalization and beginning of tonic phase. (C) Breathing resumed, initially with an irregular pattern, followed by increasing rate, depth, and regularity. Inspiratory flow limitation was evident on the NPT tracing, and both inspiratory and expiratory upper airway sounds were audible. (D) EEG seizure termination. (E) Patient was

turned on to his left side by EMU staff. The duration of peri-ictal hypoventilation totaled 80 seconds, with oxygen saturation falling to a nadir of 61%. Heart rate (beats/min) increased throughout the event: (A) 96, (B) 108, (C) 114, (D) 120, (E) 144. Dashed lines in the pulse oximetry tracing represent data dropout from movement. T3, T1 = left mid- and anterior-temporal leads, respectively, NPT = nasal pressure transducer (airflow), RIP Chest = thoracic (chest) respiratory inductance plethysmography, RIP Abd = abdominal respiratory inductance plethysmography, SpO<sub>2</sub> = oxygen saturation.

#### Table # 1.

## Clinical characteristics

	N= 67
Age (yrs) **	$40.43 \pm 13.81$
Gender (women %)	49.25
BMI <sup>**</sup>	$30.44{\pm}7.37$
Depression (%)	40.29
OSA (%)	20.89
Use of SSRI/SNRI (%)	23.88
Current smoking (%)	23.88
Epilepsy Type (%)	
Focal	82.09
Genetic generalized	13.43
Symptomatic generalized	1.49
Unclear	2.99
Seizure semiology (%)	
Focal aware-non-motor	20.90
Focal aware-motor	7.46
Focal with impaired awareness- motor onset	22.39
Focal with impaired awareness- non motor onset	23.89
Focal to bilateral tonic-clonic	46.27
Generalized- motor onset	7.46
Generalized- non motor onset	1.49
Unknown onset	7.46
Unclassified	2.99
Duration of epilepsy (yrs) **	$17\pm14.11$

\*\* mean ± standard déviation

Abbreviations: BMI- Body mass index; OSA: obstructive sleep apnea; SSRI- selective serotonin reuptake inhibitor; SNRI- serotonin and norepinephrine reuptake inhibitors

# Table #2.

# Symptoms during HCVR

Symptom	Frequency of occurrence (%)
Difficult to breathe/short of breath	32
Dizzy/lightheaded	28
Hot, sweaty	20
Nothing (other than MDP scores)	17
Headache	17
Tingling	14
Anxious/fear/ closing in	12
Others (weird feeling/jaw pain/distorted vision)	12
Weak/tired	6
Nausea	6
Cough/dry	4
Ear popping/ear pressure	3
Shaking/twitching	3

#### Table # 3A.

The relationship between HCVR slope and selected clinical variables

	HCVR slope		
Independent variable	Spearman 's rho	<b>p</b> =	
Age	-0.171	0.167	
Gender	0.133	0.284	
Duration of epilepsy	-0.051	0.685	
BMI	0.136	0.271	
SSRI/SNRI use	-0.016	0.896	
OSA	0.039	0.756	
Curent smoking	0.051	0.685	
Baseline ETCO <sub>2</sub>	-0.267	0.034*	
Unpleasantness of dyspnea	0.434	0.0003*	
Intensity of dyspnea	0.468	0.000085*	

\* 0.05

Abbreviations: BMI- Body mass index; OSA: obstructive sleep apnea; SSRI- selective serotonin reuptake inhibitor; SNRI- serotonin and norepinephrine reuptake inhibitors

# Table # 3B.

# Determinants of post-ictal hypercapnia

	Duration of post-ictal tcCO <sub>2</sub> rise		Post-ictal tcCO <sub>2</sub>	
	Spearman's rho	<b>p</b> =	Spearman's rho	<b>p</b> =
BMI	-0.436	0.180	-0.536	0.137
OSA	0.224	0.509	-0.206	0.594
Duration of convulsion	0.082	0.811	-0.218	0.574
Duration of peri-ictal O2 desaturation	0.486	0.329	0.800	0.104
Nadir peri-ictal SPO <sub>2</sub>	-0.395	0.309	0.193	0.647
HCVR Slope	-0.745	0.008 **	-0.778	0.014 **
Post-ictal tcCO <sub>2</sub>	0.770	0.015 **	NA	NA
Duration of peri-ictal tcCO <sub>2</sub> rise	NA	NA	0.770	0.015 **

\*\* p 0.05

Abbreviations: BMI- Body mass index; OSA- Obstructive sleep apnea