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# Cardiolipin-Dependent Mitophagy Guides Outcome after Traumatic Brain Injury

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Mitochondrial energy production is essential for normal brain function. Traumatic brain injury (TBI) increases brain energy demands, results in the activation of mitochondrial respiration, associated with enhanced generation of reactive oxygen species. This chain of events triggers neuronal apoptosis via oxidation of a mitochondria-specific phospholipid, cardiolipin (CL). One pathway through which cells can avoid apoptosis is via elimination of damaged mitochondria by mitophagy. Previously, we showed that externalization of CL to the mitochondrial surface acts as an elimination signal in cells. Whether CL-mediated mitophagy occurs *in vivo* or its significance in the disease processes are not known. In this study, we showed that TBI leads to increased mitophagy in the human brain, which was also detected using TBI models in male rats. Knockdown of CL synthase, responsible for *de novo* synthesis of CL, or phospholipid scramblase-3, responsible for CL translocation to the outer mitochondrial membrane, significantly decreased TBI-induced mitophagy. Inhibition of mitochondrial clearance by 3-methyladenine, mdivi-1, or phospholipid scramblase-3 knockdown after TBI led to a worse outcome, suggesting that mitophagy is beneficial. Together, our findings indicate that TBI-induced mitophagy is an endogenous neuroprotective process that is directed by CL, which marks damaged mitochondria for elimination, thereby limiting neuronal death and behavioral deficits.

Key words: apoptosis; autophagy; cardiolipin; mitophagy; neuroprotection; phospholipid

## **Significance Statement**

Traumatic brain injury (TBI) increases energy demands leading to activation of mitochondrial respiration associated with enhanced generation of reactive oxygen species and resultant damage to mitochondria. We demonstrate that the complete elimination of irreparably damaged organelles via mitophagy is activated as an early response to TBI. This response includes translocation of mitochondria phospholipid cardiolipin from the inner membrane to the outer membrane where externalized cardiolipin mediates targeted protein light chain 3-mediated autophagy of damaged mitochondria. Our data on targeting phospholipid scramblase and cardiolipin synthase in genetically manipulated cells and animals strongly support the essential role of cardiolipin externalization mechanisms in the endogenous reparative plasticity of injured brain cells. Furthermore, successful execution and completion of mitophagy is beneficial in the context of preservation of cognitive functions after TBI.

## Introduction

The bioenergetics of the brain relies mainly on the respiratory oxidation of glucose, thus making mitochondria essential for the

maintenance of the brain's normal function. Aberrant mitochondrial dynamics and metabolism play an important role in the

pathogenesis of a number of neurological diseases (Zhang et al., 2013; Pickrell and Youle, 2015).

TBI leads to an acute energy crisis that sharply raises the demands of mitochondrial electron transport (Kilbaugh et al., 2015). This is mostly because of the rupture of membrane barriers and ionic dishomeostasis triggering immediate compensatory attempts to reinstate the balance by "re-pumping" the ions back into their respective compartments at the expense of ATP hydrolysis (Liu et al., 2013). These attempts lead to the increased respiration inevitably associated with the enhanced production of reactive oxygen species.

Our previous work demonstrated that this chain of events culminates in apoptosis of neurons realized via specific oxidation of a mitochondria-unique phospholipid, CL, catalyzed by the intermembrane hemoprotein cytochrome c (cyt c; Kagan et al., 2005; Ji et al., 2012b). Among the pathways neurons employ to avoid this vicious cycle and prevent apoptosis is effective elimination of damaged mitochondria through a specialized autophagic process, mitophagy. We reported that CL is used by cells as a signal for mitophagy via its translocation to the mitochondrial surface, where it is specifically recognized by the autophagic protein microtubuleassociated protein light chain 3 (LC3; Chu et al., 2013, 2014). Although the utility of CL mitophagic signaling has been demonstrated in neuronal cells in vitro, the occurrence and role of CL-mediated mitophagy in vivo, particularly in regulation of the pathogenic processes in disease, remain to be defined and could be important in the context of new drug discovery for TBI.

In the present study, we documented that TBI is associated with increased evidence of mitophagy in the human brain. In parallel preclinical studies, using the model of controlled cortical impact (CCI) on rat brain and *in vitro* model of neuronal mechanical stretch, we established that mitophagy is dependent on the externalization of CL on the surface of mitochondria. We further showed that suppressed clearance of injured mitochondria leads to the worsened CCI outcome. Together, our data suggest that TBI-induced mitophagy, operating via CL externalization as markers for the elimination of damaged mitochondria, is beneficial because it attenuates neuronal death and associated behavioral deficits.

#### **Materials and Methods**

Patients. Human brain tissue was obtained for analysis from the tissue bank of the Institutional Review Board-approved Department of Neurosurgery at the First Affiliated Hospital of Nanjing Medical University (Table 1). Brain tissue resected from the pericontusional area of the temporal or frontal cortices of severe TBI patients who underwent decompressive craniectomy was flash-frozen in liquid nitrogen and stored at  $-80^{\circ}$ C until analysis. Brain tissue resected from the temporal cortices of epilepsy patients who underwent surgical treatment was used as a control and stored in the same manner as the TBI samples.

Rat TBI model. All research protocols and animal experiments were approved by Nanjing Medical University according to the guidelines of the Animal Care and Use Committee. Briefly, a craniotomy was performed over the left parietal cortex in 17-d-old male Sprague-Dawley rats. Rats were anesthetized with 3.5% isoflurane in  $O_2$  and maintained on 2% isoflurane in  $N_2O/O_2$  (2:1). For all experiments, a pneumatically driven, 6 mm metal impactor tip was used at a velocity of  $4.0 \pm 0.2$  m/s,

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Table 1. Clinical information of human brain specimens

No. of patients	Gender	Age, y	Diagnosis	Time to injury, h	Site	Outcome
Ctrl						
1	Male	52	Epilepsy	_	Right temporal lobe	No disability
2	Male	21	Epilepsy	_	Right temporal lobe	No disability
3	Male	58	Epilepsy	_	Left temporal lobe	Mild disability
TBI			,		·	,
1	Male	54	TBI	3	Left frontal lobe	Severe disability
2	Male	68	TBI	5	Left frontal lobe	Death
3	Male	61	TBI	8	Right frontal lobe	Severe disability
4	Male	62	TBI	3	Right temporal lobe	Death
5	Male	56	TBI	6	Left temporal lobe	Mild disability

at a penetration depth of 2.5 mm, for a duration of 50 ms. After TBI, the bone flap was replaced, sealed with dental cement, and the scalp incision was closed. The rats were weaned from mechanical ventilation, extubated, and returned to their cages until euthanasia. The basic surgical preparation and procedure were performed in accordance with previously described methods (Lai et al., 2008; Ji et al., 2012b).

All animal experiments were performed in accordance with the NIH Guidelines for the Care and Use of Animals and approved by the Animal Care and Use Committee of Nanjing Medical University in China and the University of Pittsburgh.

Primary cortical neuronal cultures and an in vitro TBI model. Cortices were isolated from embryonic Day 17 Sprague-Dawley rats in an ice-cold medium. Briefly, the tissue was rinsed and triturated to obtain the primary neuron suspension. Primary cortical neurons were plated at a density of 3.5 ml/well ( $2.0 \times 10^6$  cells/ml) and were used to perform experiments at 7 d *in vitro*, according to the study protocol. TBI was produced via mechanical stretch of the neurons cultured on a flexible SILASTIC membrane using a pneumatic pressure pulse as described previously (Ji et al., 2012a).

*GFP-LC3 transgenic rats.* GFP-LC3 transgenic rats were purchased from Beijing CasGene Biotech. Co., Ltd. After kill, brains were perfused with 4% paraformaldehyde, followed by dehydration at increasing concentrations of sucrose (10–30%). Selected areas of the cortex and hippocampus were processed as  $5-\mu$ m-thick cryosections that were dried at room temperature and stained with mitochondria markers. Sections were observed under a light laser confocal microscope and analyzed using Image Pro-Plus 7.0 software.

Drug administration. To test the *in vivo* effect of different drugs after TBI, injured animals were randomly assigned to different groups receiving either vehicle (DMSO) or one of the other drugs being tested. Five microliters of 3-methyladenine (3-MA; 10 mg/ml, diluted in DMSO; sc-205596, Santa Cruz Biotechnology) or bafilomycin A1 (BAF-A1; 0.1 mg/ml, diluted in DMSO; sc-201550, Santa Cruz Biotechnology) was administered by a single intracerebroventricular injection 30 min before CCI. Mdivi-1 (1.5 mg/kg of 20 mg/ml, diluted in DMSO; sc-215291, Santa Cruz Biotechnology) was administered to rats by intraperitoneal injection 15 min before CCI.

SiRNA administration. For in vivo administration, 30 nmol of siRNA was infused through a surgically implanted brain infusion cannula (coordinates: -0.8 mm posterior to bregma, -1.5 mm lateral to midline, and -4.6 mm ventral to the skull surface) using an osmotic minipump (Alzet Model 1003D, Durect) at a rate of  $1.0~\mu$ l/d over 72 h. The siRNA targeting rat CL synthase (CLS) and phospholipid scramblase-3 (PLS3), as well as the negative control siRNA, were synthesized by GenePharm (GENEPHARM). The efficacy of the knockdown of targeted proteins was evaluated by Western blot. Primary neurons were transfected on Day 4 with 45 nmol of targeted siRNA or control siRNA using Lipofectamine 3000 (Invitrogen). The knockdown efficacy was assessed by Western blot. Experiments were performed 72 h after transfection.

*Mitochondrial cyt c release.* Samples (pericontusional area in rat brain after CCI) were washed in PBS and then incubated for 3 min in lysis buffer (75 mm NaCl, 8 mm Na<sub>2</sub>HPO<sub>4</sub>, 1 mm NaH<sub>2</sub>PO<sub>4</sub>, 1 mm EDTA, and 350  $\mu$ g/ml digitonin). The lysates were centrifuged at 12,000 × g for 1 min and the

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supernatant was collected for cytosolic cyt c analysis. The mitochondriaenriched fraction was resuspended in lysis buffer. The suspension was then incubated on ice and sonicated twice for 10 s with a 30 s interval. The final mitochondrial lysate was once again centrifuged at  $400,000 \times g$  in an ultracentrifuge (Backman) for 25 min and the supernatant collected for mitochondrial cyt c analysis. Cyt c was detected by Western blot.

Transmission electron microscopy. To collect samples for microscopic examination, rats were killed using 10% chloral hydrate and perfused with 4% paraformaldehyde. The brain was extracted and cortices and hippocampi were isolated in ice-cold PBS. Brain tissue was fixed in PBS, pH 7.4, containing 2.5% glutaraldehyde for at least 2 h at room temperature. After this step, both rat tissue and prefixed human tissue samples were processed in the same way. Tissues were postfixed in 1% osmium tetroxide for 1 h at 4°C and dehydrated with an ethanol gradient, followed by embedding in epoxy resin. The ultrastructure of the tissue (100 nm ultrathin sections) was observed using a transmission electron microscope (Quanta 10, FEI). The autophagic vacuoles containing damaged mitochondria were quantified by an investigator blinded to group assignment from 20 fields-of-view at 4800× direct magnification. Image analysis was performed using ImageJ (Schneider et al., 2012).

Confocal microscopy. Colocalization of the mitochondrial marker (MitoTracker Red) with GFP-LC3 was performed to ascertain the occurrence of mitophagy in accordance with previously described guidelines (Klionsky et al., 2016). Primary cortical neurons were transfected with an adenovirus of GFP-LC3 to visualize LC3 puncta, followed by staining with MitoTracker Red to visualize mitochondria under Lipofectamine 2000 (Invitrogen). GFP-LC3 transgenic rats were used to assess CCI-induced mitophagy in vivo. Fluorescence images were captured and viewed using a light laser confocal microscope. Multiple fields from one coverslip/well were selected to get an average at random and imaged for further analysis using Image Pro-Plus 7.0 software. Each experiment was repeated independently in triplicate or more. The following primary antibodies were used: Neu-N (1:1000; Abcam, catalog #ab104224), COXIV (1:200; Abcam, catalog #ab16056).

Immunoblot analysis. Extracts for immunoblotting were obtained from brain tissues and cells homogenized in RIPA buffer. In brief, proteins were separated by electrophoresis and then transferred to PVDF membranes by electro blotting, as described in standard immunoblot procedures. The primary antibodies were p62 (1:1000; Abcam, catalog #ab56416; RRID:AB\_945626), LC3 (1:1000; Cell Signaling Technology, catalog #2775s), TOM40 (1:1000; Abcam, catalog #ab51884; RRID: AB\_883141), COXIV (1:1000; Cell Signaling Technology, catalog #13141), GAPDH (1:1000; Cell Signaling Technology, catalog #3141), GAPDH (1:1000; Cell Signaling Technology, catalog #3141), GAPDH (1:1000; Abcam, catalog #ab156882), PLS3 (1:1000; Abcam, catalog #ab137128), and Cyt c (1:1000; Abcam, catalog #ab13575; RRID: AB\_300470).

Assessment of CL externalized to the mitochondrial surface using flow cytometry. As previously described, an annexin V-binding assay was used to assess the amount of CL on the surface of mitochondria (Kagan et al., 2016). In brief, MitoTracker Red CMXRos (Life Technologies) was used to label mitochondria before harvesting. Isolated crude mitochondria were incubated with FITC-labeled annexin V to stain surface-exposed CL and then subjected to flow cytometry analysis (FACSCanto, Becton Dickinson). The FITC fluorescence from gated red fluorescent mitochondria (MitoTracker Red) events was determined to evaluate the binding of annexin V to mitochondria. Data presented are the relative FITC fluorescence intensity compared with that of mitochondria isolated from control brains.

TUNEL. A terminal deoxynucleotidyl transferase dUTP nick-end labeling (TUNEL) assay was used to assess apoptotic cell death according to the manufacturer's instructions (12156792910, Roche). The rats were killed and perfused with 0.1 mm PBS, pH 7.4. In brief, coronal frozen sections were embedded onto the slides and incubated with 50  $\mu$ l TUNEL reaction mixture. The slides were observed and quantified using a Nikon fluorescent microscope. The apoptotic cells showed red fluorescence. The nuclei were stained with DAPI and showed blue fluorescence. Apoptosis was calculated as TUNEL-positive cells/DAPI.

Cortical lesion volume. Lesion size was assessed on Day 7 post-CCI. One section was obtained every 0.5 mm through dorsal hippocampus for

lesion volume measurement. Free-floating sections were washed three times in PBS. After blocking in 5% serum and 0.25% Triton X-100 in PBS for 60 min, the sections were incubated in blocking solution containing anti-NeuN antibody (1:1500; ab177487, Abcam) at 4°C overnight. After this primary antibody incubation, the sections were washed and incubated with a secondary anti-rabbit antibody for 60 min in blocking solution. Visualization was performed using a Vectorstain ABC kit (PK-6100, Vector Laboratories). Stained sections were mounted on glass slides. Necrotic divot and contralateral cortical areas and volumes were calculated by the Image analysis software. The area representing ipsilateral lesioned and contralateral healthy cortex was first calculated per section by multiplying the number of points counted per region. The volume (V) was estimated by multiplying the sum of areas ( $\sigma$ A) by the periodicity (m) and the mean section thickness (t):  $V = (\sigma A)mt$ . The cortical lesion volume in the ipsilateral cortex is expressed as a percentage of the volume of the entire contralateral cortex volume by the equation: %V = (V divot/Vcontra)  $\times$  100 (Whalen et al., 1999; Scafidi et al., 2010).

Assessments of motor function and cognitive performance. Motor function was evaluated using beam balance, which was performed on postinjury Days 1–5 by the same experienced investigator blinded to the experimental group assignment. The beam balance test was used to assess gross vestibular-motor function. This test consists of placing the animal on a suspended, narrow wooden beam, and the animals are pretrained so that each animal can stand on the beam for 60 s before CCI. The amount of time for which rats could remain (in seconds) on the beam balance was recorded and analyzed using Prism Software 6.04 (GraphPad Software).

For the Morris water maze (MWM), the water was colored black and the temperature was maintained at  $25\pm2^{\circ}\mathrm{C}$ . To ensure recovery from motor deficits, hidden platform testing was performed on post-TBI Days 11–15, and visible platform testing was performed on Days 16–17. Rats were allowed a maximum of 120 s to look for the submerged platform. If rats failed to reach the platform by 120 s, the experimenter placed them on the platform for 15 s. There was a minimum of 5 min between each trial. The latencies for rats to reach the platform were recorded and analyzed using tracking device and software (Chromotrack 3.0, San Diego Instruments).

In a separate group of rats, assessments of neurocognitive function were performed using a NOR test on Days 18–19 after sham or CCI injury. Briefly, for the habituation phase, which was conducted 18 d after CCI injury; two similar objects were placed into the inner arena across from where the rat was placed. The rats were allowed to investigate for 35 min to become familiar with the objects. Exploration was defined as sniffing and/or touching the objects. The rats were returned to their home cages at the end of the habituation period, and 24 h later (that is, post-injury Day 19) were again placed in the arena with the objects for 1 min before being returned briefly to their home cages. The recognition test was conducted 1 h later and consisted of one of the familiar objects being replaced with a novel object, followed by recording the time spent exploring the original versus novel object.

Fluoro jade-C staining. Fluoro jade-C (FJC) was purchased from Sigma-Aldrich (catalog #AG325) and staining was performed as described previously (Shellington et al., 2011). Briefly, the slide-mounted tissue was stained with 0.001% FJC solution and dried in an oven in the dark for over 1 h. The dried tissue sections were then cleared by immersion in xylene for at least 1 min, covered in neutral gum, and coverslipped. The slides were imaged under a fluorescent microscope. Nuclei were stained with DAPI and showed blue fluorescence. FJC-positive cells (green signal) were counted from five randomly chosen fields and the average number of cells was calculated.

Caspase activity. Caspase-3 activity was quantified using a luminescence Caspase-Glo assay kit according to the manufacturer's instructions (Promega). Brain samples were transferred into ice-cold Tris-HCl buffer solution. Samples were collected at  $15,000 \times g$  at 4°C for 10 min. The supernatant was assayed using Assay kit. Luminescence was established at the baseline and following 1 h of incubation at RT with a Fusion-a plate reader (Perkline). Caspase-3 activity was indicated as the luminescence generated after 1 h of incubation per milligram of protein.

Statistical analysis. Results are reported as the mean  $\pm$  SD for immunoblots and fluorescence experiments. Gray levels were detected with

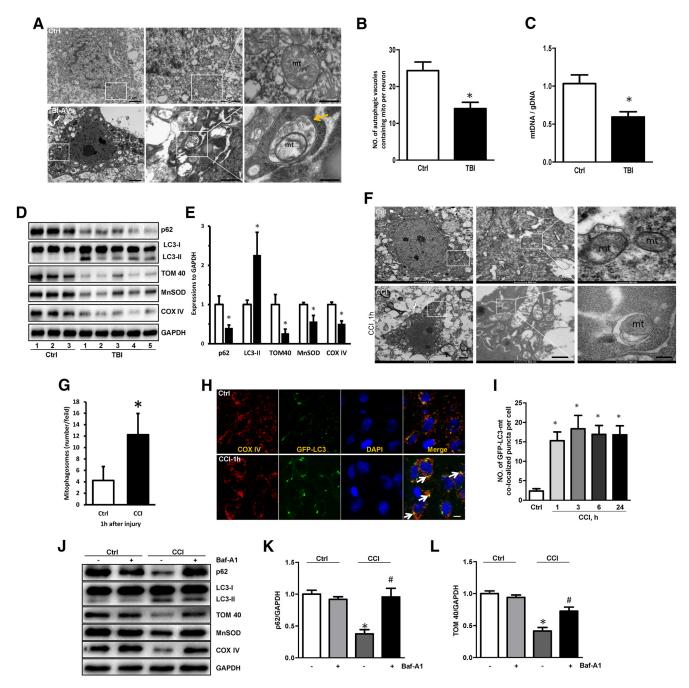


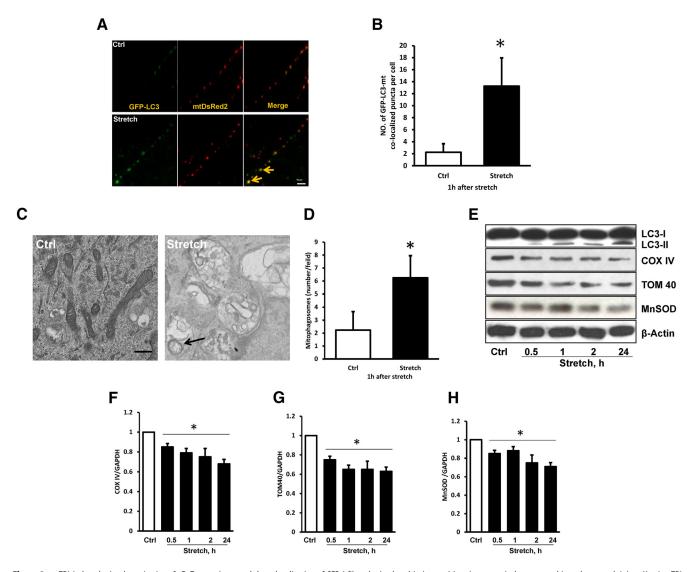
Figure 1. Mitophagy induction after traumatic brain injury. A, B, Representative TEM images of neurons from human brains. Mitochondria within autophagosome-like structures, such as a double membrane (arrow), were observed in TBI brain tissue. A significant difference in the number of autophagic vacuoles containing mitochondria per neuron (obtained from TEM images) was observed between TBI (n = 5) and Ctrl (Ctrl n = 3, TBI n = 5; mean  $\pm$  SD; t = 6.723;  $^*p < 0.05$  vs Ctrl by t test). C, The ratio of mtDNA/gDNA was assessed by real-time PCR, reflecting the relative number of mitochondria in human samples (Ctrl n = 3, TBI n = 5, mean  $\pm$  SD; t = 8.534;  $^*p < 0.05$  vs Ctrl by t test). C, E, Western blot of autophagic and mitochondrial markers obtained from TBI (n = 5) and control (n = 3) patients. GAPDH was used as the loading control. Bar graphs show the results of analysis (by band-density analysis) of p62, LC3-II, TOM40, MnSOD, and COXIV (Ctrl n = 3, TBI n = 5, mean  $\pm$  SD; p62 t = 3.325, LC3-II t = 7.364, TOM40 t = 4.127, MnSOD t = 2.633, COXIV t = 3.172;  $^*p < 0.05$  vs Ctrl by t test). F, F, TEM images of neurons in the rat brains 1h after CCI; note that mitochondria were engulfed by autophagic vacuoles. Scale bar,  $0.1 \mu$ m. Autophagic vacuoles containing damaged mitochondria were quantified after CCI (Ctrl n = 3, TBI n = 5; mean  $\pm$  SD; t = 4.571; t = 4.571; t = 4.571; t = 4.571; t = 6.703; t = 4.571; t = 6.703; t

ImageJ. Student's t test was used to compare the differences between the two groups with Prism Software 6.04 (GraphPad Software). For behavioral tests, data were expressed as the mean  $\pm$  SEM. Motor and MWM data, which are continuous, were analyzed by two-way ANOVA for overall statistical significance, followed by Tukey's *post hoc* test for betweengroup comparisons. Significant differences were defined as p < 0.05.

#### Results

#### Mitophagy is induced after clinical and experimental TBI

Although general markers of autophagy have been shown to increase in the brain after experimental and clinical TBI (Clark et al., 2008; Cavallucci et al., 2014; Sarkar et al., 2014), the selective



**Figure 2.** TBI-induced mitophagy *in vitro.* **A**, **B**, Trauma increased the colocalization of GFP-LC3 and mitochondria (arrows) in primary cortical neurons subjected to stretch injury (*in vitro* TBI model: n=5; mean  $\pm$  SD; t=6.712; \*p<0.05 vs Ctrl by t test). Scale bar, 10  $\mu$ m. **C**, **D**, Representative electron-micrographs of primary cortical neurons. The arrow indicates mitochondria engulfed by vacuolar structures (n=3; mean  $\pm$  SD; t=3.425; \*p<0.05 vs control by t test). Scale bar, 0.2  $\mu$ m. **E-H**, Western blot of general autophagy markers and mitochondrial proteins in primary cortical neurons subjected to stretch injury.  $\beta$ -Actin was used as the loading control [(**E**) n=3; mean  $\pm$  SD;  $F_{(4,10)}=4.362$ ; \*p<0.05 vs control by one-way ANOVA and Tukey's *post hoc* test; (**H**) n=3; mean  $\pm$  SD;  $F_{(4,10)}=7.336$ ; \*p<0.05 vs control by one-way ANOVA and Tukey's *post hoc* test].

clearance of damaged mitochondria by mitophagy and its mechanisms merit additional exploration. We reasoned that mitophagy might play an important role in recovery after TBI. Using transmission electron microscopy (TEM), we first evaluated whether mitophagy occurred in the human brain after TBI. Contusional brain tissue resected from severe TBI patients with intractable intracranial pressure was compared with brain tissue resected from control patients. An increased amount of autophagic vacuoles containing damaged mitochondria was observed in TBI patients compared with controls (Fig. 1A, B). Further, we used the ratio of mitochondrial DNA (mtDNA) to genomic DNA (gDNA) in the brain tissue to quantitate mitochondria in TBI and control patients. The brain tissue mtDNAgDNA ratio was significantly decreased in TBI patients versus controls (Fig. 1C). The contents of mitochondrial marker proteins—COXIV in the inner mitochondrial membrane (IMM), TOM40 in the outer mitochondrial membrane (OMM), and MnSOD in the matrix—were also significantly decreased in TBI versus control brain tissue (Fig. 1D,E). Western blot analysis showed increased lipidated microtubule-associated protein LC3 (LC3-II) and decreased p62 (SQSTM1) in TBI versus control brain tissue (Fig. 1D,E), consistent with the increased general autophagy. Using GFP-LC3 transgenic rats, we also observed increased colocalization of LC3 with mitochondria in the cortex after CCI (Fig. 1F,G). Ultrastructural examination of the neuronal morphology by TEM demonstrated engulfment of damaged mitochondria into vacuoles (Fig. 1H,I). CCI decreased the contents of mitochondrial marker proteins from all three mitochondrial compartments: IMM, OMM, and matrix and this effect was reversed by bafilomycin A1 (Fig. 1J-L), which prevents autophagosome—lysosome fusion.

To gain further insights into the role of mitophagy in TBI-induced damage, we used *in vitro* mechanical stretch model (Fig. 2) and CCI model of *in vivo* TBI. Using primary cortical neurons that express GFP-LC3, we found that mechanical stretch injury resulted in the formation of LC3 puncta, an indicator of autophagosome

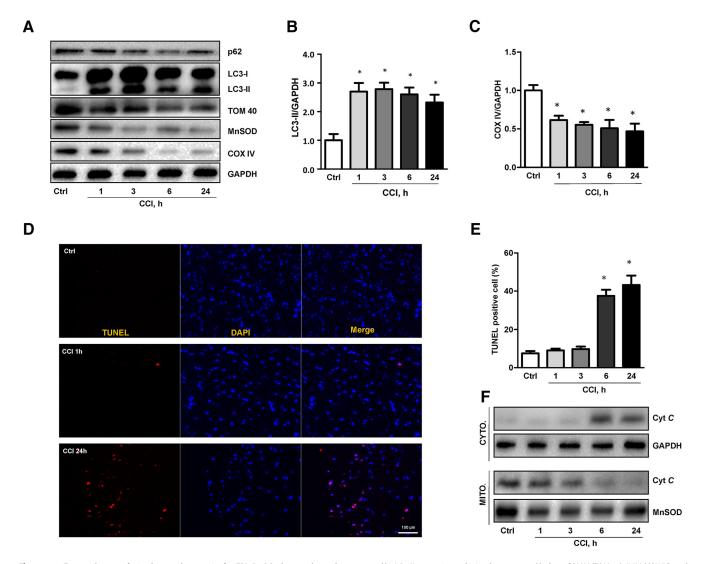


Figure 3. Temporal course of mitophagy and apoptosis after TBI. A-C, Both general autophagy assessed by LC3-II expression and mitophagy assessed by loss of OMM (TOM 40), IMM (COX IV), and matrix (MnSOD) proteins were induced in the cortex as early as 1 h after CCI and persisted for 24 h after injury [(B) n=5; mean  $\pm$  SD;  $F_{(4,20)}=16.334$ ; \*p<0.05 vs Ctrl by one-way ANOVA and Tukey's post hoc test]. D-F, Apoptosis assessed by TUNEL staining (n=5) and release of cyt c from mitochondria to cytosol in contusional cortex did not occur until 6 h after CCI (n=5; mean  $\pm$  SD;  $F_{(4,20)}=23.143$ ; \*p<0.05 vs Ctrl by one-way ANOVA and Tukey's post hoc test). Scale bar, 100 μm. CYTO, Cytosolic fraction; MITO, mitochondrial fraction.

formation. Stretch injury enhanced colocalization of GFP-LC3-positive puncta with MitoTracker Red, indicating an increased number of mitophagosomes (Fig. 2*A*, *B*). Furthermore, injured neurons exhibited double-membrane autophagosomes with encapsulated mitochondria (Fig. 2*C*,*D*) and decreased levels of OMM (TOM40), IMM (COXIV), and matrix (MnSOD) proteins (Fig. 2*E*–*H*). Overall, these data demonstrate the clearance of mitochondria by autophagy after clinical and experimental TBI.

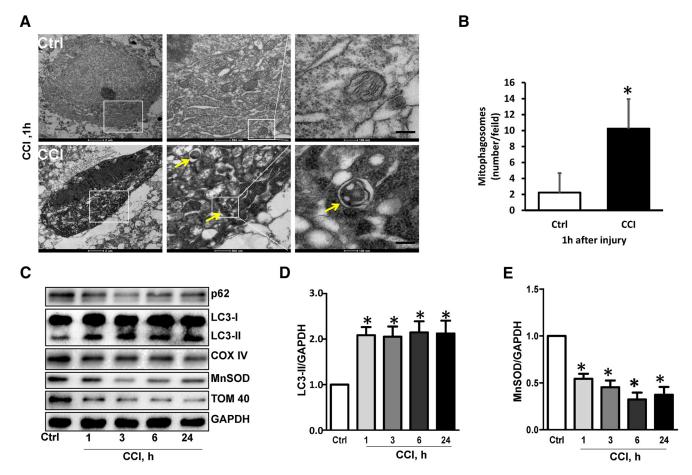
### Temporal course of mitophagy after CCI.

Neuronal apoptosis is a well known contributor to the CCI pathogenesis and our previous work established its association with CL oxidation catalyzed by the cyt c/CL complexes that precedes the release of cyt c into the cytosol (Kagan et al., 2005). Assuming the pro-survival nature of the autophageal elimination of damaged mitochondria, we next studied the time course of mitophagy and apoptosis after CCI. An increase in LC3-II/GAPDH and decrease in p62/GAPDH were observed as early as 1 h after CCI versus control, and these changes persisted at 24 h post-injury (Fig. 3 *A*, *B*). The loss of mitochondrial proteins and

the colocalization of LC3-II with mitochondria were also apparent as early as 1 h and persisted until 24 h after CCI in the injured cortex (Fig. 3A, C). A similar time course for the selected biomarkers of mitophagy was observed in the CCI injured hippocampus (Fig. 4A–E). In contrast, TUNEL positivity (Fig. 3D, E) and cyt C release from the mitochondria (Fig. C) were not observed until 6 h after CCI. These assessments indicate that mitophagy occurs before the injured cells commit to triggering the death program in response to trauma.

## PLS3 deficiency attenuates TBI-induced mitophagy by inhibiting CL externalization

We have previously shown that the signaling function of CL in mitophagy is realized via its externalization to the surface of mitochondria, where it specifically interacts with the autophagic protein LC3 (Kagan et al., 2005; Chu et al., 2013). Therefore, we next analyzed CL redistribution after TBI, using annexin V-FITC externalization assay to monitor the content of accessible CL on the surface as described previously (Chu et al., 2013; Kagan et al., 2016). Robust externalization of CL on mitochondria was de-



**Figure 4.** Mitophagy in the hippocampus after TBI. **A**, Representative electron micrographs of injured and sham neurons at 1 h after injury. A mitophagosome containing partially degraded mitochondria is indicated by the arrow. Scale bar,  $0.1 \, \mu \text{m.} \, B$ , Mitophagosome number was increased after CCI compared with control (n=3; mean  $\pm$  SD; t=13.761; \*p<0.05 vs control by t test). **C**–E, The levels of autophagic and mitochondrial markers were assessed by Western blot after TBI [(D)n=3; mean  $\pm$  SEM;  $F_{(4,10)}=5.417$ ; \*p<0.05 vs control by one-way ANOVA and Tukey's post hoc test; (E) n=3; mean  $\pm$  SEM;  $F_{(4,10)}=13.672$ ; \*p<0.05 vs control by one-way ANOVA and Tukey's post hoc test].

tected in the human brain after TBI compared with the control group (Fig. 5A).

PLS3 was reported to act as a mitochondrial enzyme responsible for translocating CL from the IMM to the OMM in vitro (Liu et al., 2008) with the accompanying phosphorylation of PLS3 at threonine 21 (Liu et al., 2003a; He et al., 2007). Immunoprecipitation with a PLS3 antibody followed by Western blot with an anti-phosphothreonine antibody showed that PLS3 was phosphorylated after trauma both in vitro and in vivo (Fig. 5 B, G). To determine the role of PLS3 in TBI-induced CL externalization and mitophagy, we continuously administered anti-PLS3 siRNA or nontargeted siRNA intraventricularly for 72 h to rats before CCI. PLS3 expression was significantly decreased by the targeted siRNA versus nontargeted siRNA in the injured cortex (Fig. 5D). Notably, deficiency of PLS3 markedly suppressed CL externalization induced by trauma both in vitro and in vivo (Fig. 5C,H). Furthermore, PLS3 knockdown prevented CCI-induced loss of mitochondrial proteins without affecting general autophagy markers (Fig. 5D-F).

## Knockdown of CL synthase attenuates mitophagosome biogenesis after TBI.

To further evaluate the role of CL in the recognition of injured mitochondria for mitophagy after TBI, we used RNAi against CLS *in vitro* and *in vivo*. The RNAi knockdown of CLS resulted in a significantly decreased CLS protein level, but did not affect the expression

of COXIV or TOM40 in *in vitro* and LC3-II in *in vivo* (Fig. 6). Importantly, we observed that CLS deficiency attenuated mechanical stretch-induced loss of mitochondrial proteins (Fig. 6A–C).

To evaluate the effect of CLS knockdown on TBI-induced mitophagy *in vivo*, we continuously infused anti-CLS or nontargeted control siRNA into the ventricular system of rats for 72 h before CCI. CLS expression was significantly decreased (Fig. 6*D*) by targeted siRNA treatment. Thus achieved CLS knockdown prevented TBI-induced loss of mitochondrial marker proteins, but not general autophagy proteins markers (Fig. 6*E*, *F*).

To evaluate mitophagosome formation after TBI, we used GFP-LC3 transgenic rats and assessed the colocalization of GFP-LC3 with mitochondria by confocal microscopy. Anti-CLS siRNA administration markedly decreased CCI-induced mitophagosome formation in the injured cortex versus nontargeting siRNA controls (Fig. 6*G*,*H*).

## Mitophagy is beneficial after TBI by preventing apoptotic death

Mitochondrial fragmentation is essential in mitophagic processing, in which dynamin-related protein 1 is a key regulator of mitochondrial fission and subsequent elimination by autophagy (Twig et al., 2008; Zhang et al., 2013). We found that mdivi-1, an inhibitor of dynamin-related protein 1, significantly reversed CCI-induced mitophagy, but did not affect general autophagy (Fig. 7A–C).

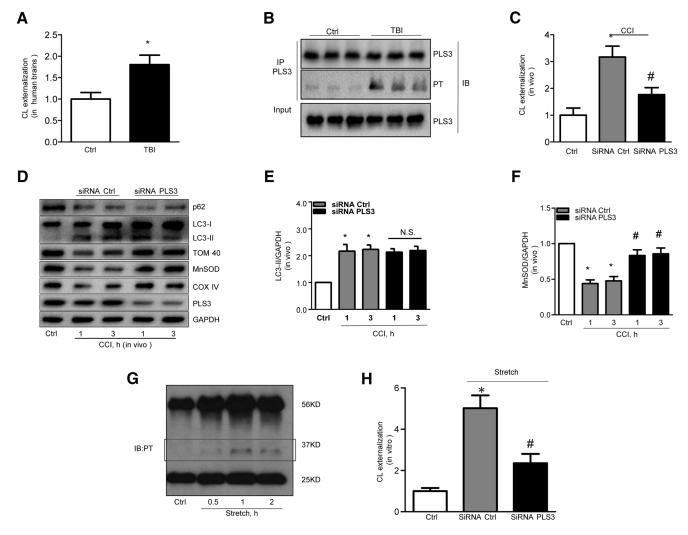
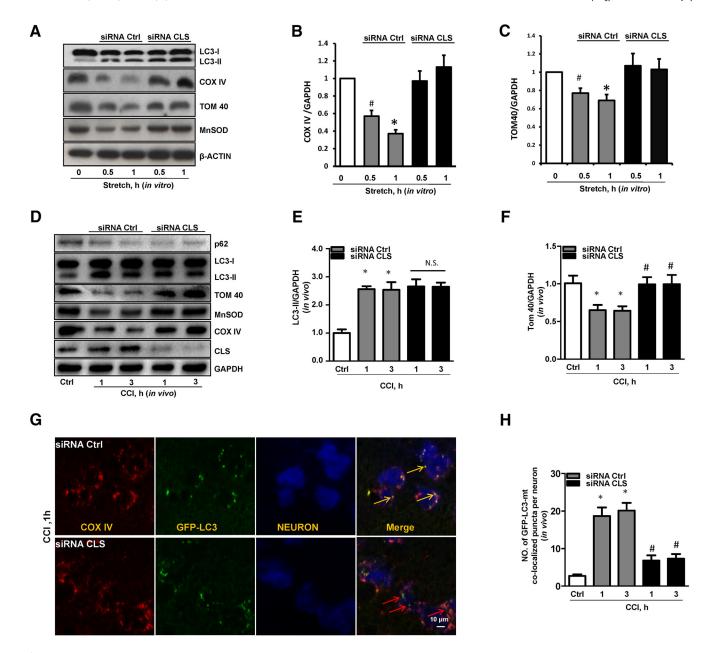


Figure 5. PLS3 knockdown inhibits TBI-induced mitophagy. A, Exposure of CL on mitochondrial surface and phosphorylation of PLS3 (B) are increased in human brains after TBI. (Ctrl n=3 and TBI n=5; mean  $\pm$  SD; t=2.534; p<0.05 vs Ctrl by t test). C, PLS3 knockdown significantly attenuated CCI-induced CL externalization (n=5; mean  $\pm$  SD;  $F_{(2,12)}=10.530$ ; \*p<0.05 vs control and \*p<0.05 vs control by one-way ANOVA and Tukey's p of t to the expression of the general autophagy marker LC3-II [t per and t per and t

Because mitochondrial quality control is essential for neuronal health, we reasoned that impaired mitophagy might trigger or enhance neuronal death after TBI. In line with this notion, treatment of rats with mdivi-1 or 3-MA significantly increased the number of TUNEL-positive cells and markedly upregulated cleaved caspase-3 expression in the injured cortex (Fig. 7D–F). To further evaluate the effect of mdivi-1, we examined the lesion volume and neurocognitive outcomes. Cortical lesion volume was larger in the mdivi-1-treated rats compared with the rats that received the vehicle (Fig. 7G). The results of beam balance and MWM tests revealed that the TBI + mdivi-1 group of rats performed markedly worse than the TBI + vehicle group (Fig. 7H,I). To further assess memory retention, we used the novel object recognition (NOR) test. We found that mdivi-1-treated rats spent significantly less time exploring the novel object than the vehicle-treated rats (Fig. 7J) suggesting that inhibition of mitophagy after TBI has a detrimental effect on cognitive function. Motor function before surgery and performance in the visible platform test did not differ between groups. Because both 3-MA and mdivi-1 have off-target effects (Wu et al., 2010; Qian et al.,

2013), we used in vivo PLS3 knock-down protocol to assess the role of CL-mediated mitophagy in the outcome after TBI. The efficacy of PLS3 knockdown was evaluated by Western blot (Fig. 8B). We used FJC staining, which detects degenerating neurons, to assess lesion evolution in the subacute period (1 week after the injury). PLS3 knockdown increased FJC-positivity after CCI compared with nontargeted control siRNA group (Fig. 8A, B). We measured caspase-3 activity in pericontusional region to evaluate apoptotic markers downstream of mitochondriamediated apoptosis. Greater caspase-3 activity was observed in PLS3 knockdown versus nontargeted control siRNA group after CCI (Fig. 8C). Meanwhile, lesion volume in both cortical and hippocampal tissues was larger PLS3 downregulation compared with the siRNA ctrl (Fig. 8D). After injury, a significant improvement in motor performance was observed on Days 4-5 in the CCI + siRNA Ctrl group compared with the CCI + siRNA PLS3 group (Fig. 8E). The results of the MWM task revealed that rats in the CCI + siRNA Ctrl group performed better than those in the CCI + siRNA PLS3 group on Days 14-15 (Fig. 8F). PLS3 siRNAtreated rats spent less time with the novel object compared with



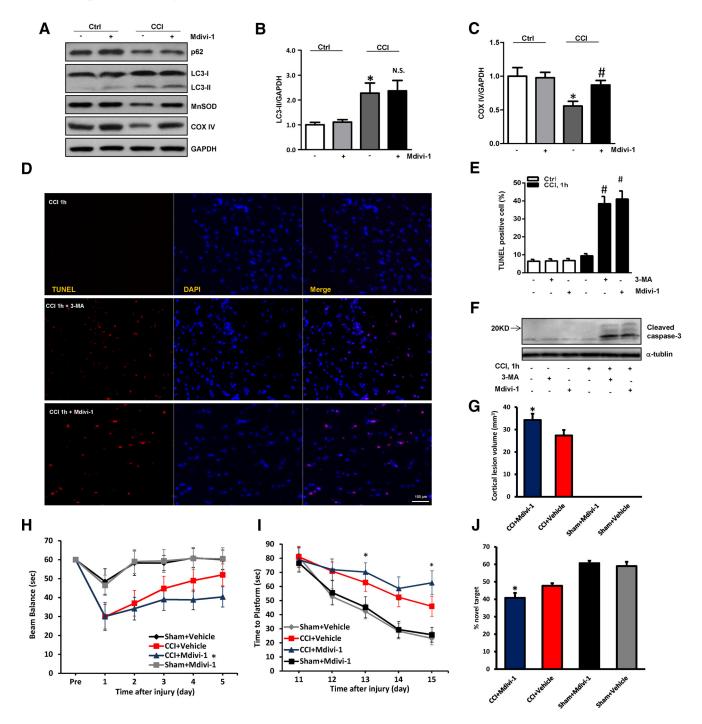
**Figure 6.** Analysis of CL-mediated mitophagy in response to traumatic injury. A-C, TBI-induced decrease of mitochondrial proteins was reversed by siRNA targeting CLS in *in vitro* experiment [(B) n=5; mean  $\pm$  SD;  $F_{(4,20)}=13.732$ ; \*p<0.05 vs 1 h with siRNA CLS and #p<0.05 vs 0.5 h with siRNA CLS by one-way ANOVA and Tukey's *post hoc* test]. D-F, Knockdown of CLS attenuated mitophagy after CCI. Both general autophagy assessed by LC3-II expression and mitophagy assessed by loss of OMM (TOM 40) and IMM (COX IV) proteins were induced in cortex at 1 and 3 h after injury [n=3; (E) mean  $\pm$  SEM;  $F_{(4,10)}=10.679$ ; \*p<0.05 vs Ctrl and p>0.05 CCI+ siRNA PLS3 vs CCI+ siRNA Ctrl by one-way ANOVA and Tukey's *post hoc* test; (F) mean  $\pm$  SEM;  $F_{(4,10)}=15.139$ ; \*p<0.05 vs Ctrl and #p<0.05 vs CCI+ siRNA Ctrl by one-way ANOVA and Tukey's *post hoc* test; (F) mean  $\pm$  SEM;  $F_{(4,10)}=15.139$ ; \*p<0.05 vs Ctrl and #p<0.05 vs CCI+ siRNA Ctrl by one-way ANOVA and Tukey's *post hoc* test; (F) mean  $\pm$  SEM;  $F_{(4,10)}=15.139$ ; \*p<0.05 vs CCI+ siRNA Ctrl by one-way ANOVA and Tukey's *post hoc* test). Sea that the control of GFP-LC3 with mitochondrial protein COX-IV (n=5) in GFP-LC3 transgenic rats transfected for 72 h with CLS siRNA ctrl by one-way ANOVA and Tukey's *post hoc* test). Sea the control of the c

nontargeted siRNA controls (Fig. 8*G*). These data are compatible with a beneficial role of mitophagy, leading to the clearance of damaged mitochondria and inhibition of downstream apoptosis, after TBI (Fig. 8*H*).

### Discussion

Primary injury to the brain in the setting of severe TBI in the highly organized and cybernetic machinery of the brain triggers sophisticated repair mechanisms (Park et al., 2008). The complexity of mitochondria as bioenergetic and signaling platforms in neurons makes them particularly vulnerable to mechanical

injury that, combined with their high oxidant generating potential, necessitates activation of urgent repair mechanisms. Here we demonstrate, for the first time, that mitophagy is involved in the elimination of damaged organelles as an early TBI response of the injured human and rat brain. This response includes translocation of CL from the IMM to the surface of the OMM where externalized CL mediates targeted LC3-mediated autophagy of damaged mitochondria. Our data targeting PLS and CLS in genetically manipulated cells and animals strongly support the essential role of CL and its externalization mechanisms in the



**Figure 7.** Suppression of mitophagy worsens TBI-induced neuronal injury and behavioral deficits.  $\textbf{\textit{A}}, \textbf{\textit{B}}$ , Mdivi-1 did not affect general autophagosome biogenesis induced by CCl at 1 h post-injury (n=3; mean  $\pm$  SD;  $F_{(3,8)}=22.742$ ; \*p<0.05 vs control and p>0.05 CCl vs CCl + Mdivi-1 by one-way ANOVA and Tukey's post hoc test).  $\textbf{\textit{A}}, \textbf{\textit{C}}$ , The loss of mitochondrial proteins induced by CCl was partly reversed by mdivi-1. GAPDH was used as the loading control (n=3; mean  $\pm$  SD;  $F_{(3,8)}=12.583$ ; \*p<0.05 vs control and \*p<0.05 vs CCl by one-way ANOVA and Tukey's post hoc test). p=0.05 vs CCl by one-way ANOVA and Tukey's post po

endogenous reparative plasticity of injured brain cells. We further show that successful execution and completion of mitophagy is beneficial in the context of preservation of cognitive functions after TBI thus suggesting that mechanisms and path-

ways of CL externalization may represent new targets for drug discovery.

Mitophagy as a regulator and precursor of apoptosis has previously been documented in TBI and other CNS disorders (Tang

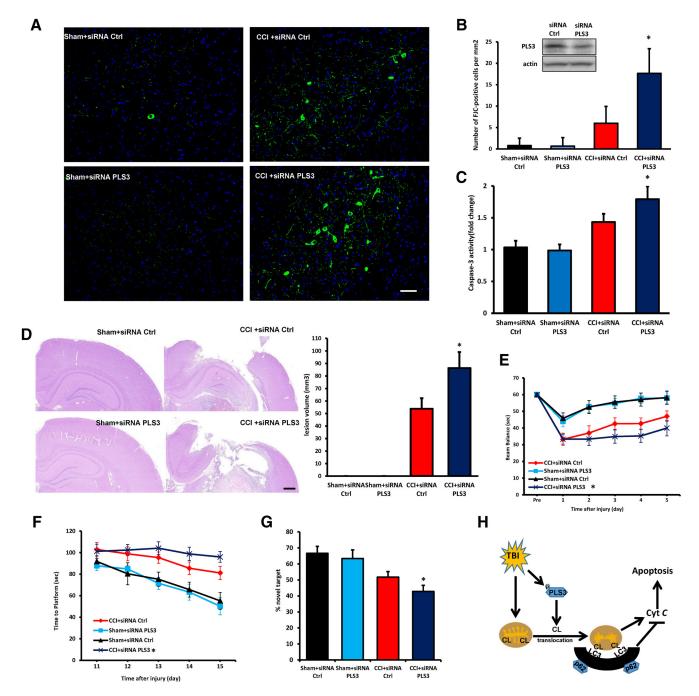


Figure 8. PLS3 knockdown exacerbates neuronal injury and behavioral deficits after TBI. A, Assessment of neurodegeneration by FJC staining. Neurodegeneration observed in the injured cortex 1 week after CCI was exacerbated by PLS3 downregulation. Scale bar, 100  $\mu$ m. B, Quantification of FJC staining showed significantly higher neurodegeneration in the CCI + siRNA PLS3 group (n = 5; mean  $\pm$  SD;  $F_{(3,16)} = 32.461$ ; \*p < 0.05 vs CCI + siRNA Ctrl by one-way ANOVA and Tukey's post hoc test). Rats were transfected with control or PLS3 siRNA 72 h before CCI and measurements were obtained 24 h after injury. Insert: The efficacy of PLS3 knockdown evaluated by Western blot, n = 5/group. C, Caspase-3 activity of pericontusional cortex (n = 5; means  $\pm$  SD;  $F_{(3,16)} = 17.227$  \*p < 0.05 vs CCI + siRNA Ctrl by one-way ANOVA and Tukey's post hoc test). D, Analysis of lesion volume (both cortical and hippocampal areas using H&E staining) 7 d after CCI (n = 5; mean  $\pm$  SD;  $F_{(3,16)} = 10.325$ ; \*p < 0.05 vs CCI + V by one-way ANOVA and Tukey's post hoc test). Scale bar, 500  $\mu$ m. E, Time spent (in seconds) on the balance beam apparatus before and after CCI or sham injury (n = 8 - 12; mean  $\pm$  SD;  $F_{(3,200)} = 72.671$ ; \*p < 0.05 vs CCI + siRNA Ctrl by one-way ANOVA and Tukey's post hoc test). E, Latency (in seconds) till rats locate a hidden (submerged) platform on post-TBI Days 11–15 (n = 8 - 12;  $F_{(3,200)} = 14.271$ ; \*p < 0.05 vs CCI + siRNA Ctrl by one-way ANOVA and Tukey's post hoc test). E, Schematic diagram summarizing the proposed role of CL in mitophagy after TBI. FJC, Fluoro jade-C.

et al., 2016; Liu et al., 2017b; Wang et al., 2017; Wu et al., 2017). A number of studies have reported presence of abnormal mitochondria early after acute injury, possibly associated with energy depletion and/or disturbed Ca<sup>2+</sup> homeostasis (Cheng et al., 2012). Within this context autophagy of mitochondria might play an important positive homeostatic role of in endogenous

metabolic rebalancing in acute injury, especially in TBI (Smith et al., 2011). In line with this, the induction of autophagy using rapamycin inhibited an important mitochondrial cell death pathway with decreased cyt *c* release and caspase 3 activation (Tang et al., 2016; Wang et al., 2017; Wu et al., 2017), while inhibition of mitophagy by mdivi-1-induced cyt *c* release and caspase 3 activa-

tion (Zuo et al., 2014). In addition to TBI, dramatically increased mitophagy was observed within hours after reperfusion in both middle cerebral artery occlusion and oxygen and glucose deprivation experiments (Zhang et al., 2013).

Both beneficial and detrimental effects of autophagy or mitophagy have been reported after TBI (Galluzzi et al., 2016; Feng et al., 2017; Gao et al., 2017; Huang et al., 2017; Liu et al., 2017a). To better understand mitophagy and cell death after TBI, we performed a time course assessment of mitochondrial protein content and TUNEL immunofluorescence. We found that after an early period of mitophagy (1 h, 3 h), cells underwent significant cell death with cyt c release from 6 to 24 h. This early onset of mitophagy may reflect the attempts of cells to eliminate the injured mitochondria to circumvent the necessity to engage a more drastic cell response, apoptosis. To experimentally test this idea, we used 3-MA and mdivi-1 as autophagy and mitophagy inhibitors respectively, as well as PLS3 siRNA to specifically block mitophagy in a rat CCI model. Mdivi-1 is a mitochondrial fission inhibitor that blocks mitophagy via decreased fragmentation of mitochondria (Smith and Gallo, 2017). In line with this, Fischer et al. (2016) showed that mdivi-1 blocked CCI-induced decrease in mitochondrial length and mito-fragmentation in the hippocampus (Rosdah et al., 2016). They observed that administration of Mdivi-1 starting 30 min post-injury, and subsequently at 24 and 48 h post-injury improved NOR performance after CCI. These results differ from our findings. One possible explanation for this difference could be the timing of Mdivi-1 administration; post-injury versus pre-injury. Preau et al. (2016) showed that the mitochondrial fragmentation is essential in mitophagic processing. However, other studies indicate that mitochondrial fission is not required for mitophagy (Yamashita et al., 2016; Burman et al., 2017). It is possible that the beneficial versus detrimental effects of mitophagy after TBI depend on timing after injury. 3-MA, an autophagy inhibitor, has been also tested as a response modifier after TBI (Luo et al., 2011) with conflicting results (Wang et al., 2012; Jin et al., 2016). However, the harmful effects of long-term autophagy and continued activation of LC3 cannot be completely excluded by our study focused on the early time points, from 1 to 24 h, after TBI (Kroemer and Levine, 2008; Liu and Levine, 2015). This is an important unanswered question given that Sarkar et al. (2014) demonstrated the persistence of autophagy over the period of 1 week after TBI. We also found a transient motor deficit affecting their beam balance performance on Day 1 after surgery in sham group. These deficits resolve by Day 2 after surgery. Sham operated rats undergo craniotomy and anesthesia and it is possible that these procedures influence beam balance performance on Day 1 after surgery. Previous studies have shown that craniotomy alone can cause mild transient neurological dysfunction. Nevertheless it is thought that the effects of surgery are best controlled by including surgical sham control groups (Cole et al., 2011; Osier and Dixon, 2016).

Our previous studies showed that mitochondria are important determinants of the ultimate fate of cells after TBI (Bayir et al., 2007; Ji et al., 2012a). We demonstrated that cyt c release from mitochondria triggered by stretch injury in primary neurons was causatively linked with selective oxidation of CL (Kagan et al., 2009). Although translocation of CL from the IMM to the OMM is required by both apoptosis and mitophagy, its oxidation by the cyt c/CL complex in the presence of hydrogen peroxide is essential for mitochondrial apoptosis (Kagan et al., 2005). Appearance of CL on the surface of damaged mitochondria acts as an eat me signal for the autophageal machinery via recognition of externalized CL by LC3 (Chu et al., 2013, 2014). Availability of CL likely

represents a key factor for its externalization. In line with this possibility, our data showed that knockdown of CL synthase, the enzyme responsible for its *de novo* synthesis, reduced mitophagy. Importantly, quantification of LC3/GAPDH showed no significant difference between groups treated with either siRNA-control or siRNA-CL, suggesting that overall autophagy was not affected by CLS deletion.

Another protein that plays an important role in the translocation of CL from the inner to the outer mitochondrial membrane during mitophagy is PLS3 (Liu et al., 2003b). It has been shown that lipid transfer activity of PLS3 is increased by phosphorylation of its Thr 21 by protein kinase C (PKC)- $\delta$  (He et al., 2007). Previous studies have shown enhanced expression of PLS3 in hippocampal mitochondria and colocalization of PLS3 with PKC-δ after cerebral ischemia reperfusion (Kowalczyk et al., 2009). Our result in the CCI model also show increased phosphorylation of PLS3 accompanied by markedly elevated levels of CL in OMM fractions isolated from injured brain. As overexpression of PLS3 has been shown to enhance CL translocation and increase sensitivity to apoptosis (Liu et al., 2003b), we reasoned that PLS3 also played a role in mitophagy after TBI. Indeed, we demonstrated that anti-RNAi injection in vivo resulted in knockdown of PLS3 and downregulated mitophagy in the CCI model. Acting as a multifactorial regulator of both the number and function of mitochondria, CL can also interact with the autophagy protein beclin 1, the immunity-related GTPase IRGM, and NLRP3 (Geisler et al., 2010; Sun et al., 2012; Iyer et al., 2013). Overall, our results show that CL signaling is essential for the quality control of mitochondria via the mitophageal elimination of TBI damaged organelles.

There are several limitations to our study. First being focused on the involvement of CL in the mitophageal elimination of damaged mitochondria, this study did not explore the possible contribution of PINK1/Parkin (Mukhida et al., 2005; Zhang et al., 2013; Wang et al., 2017) to TBI-induced mitophagy in the brain. Second, we used a developmental TBI model in juvenile rats whereas the clinical samples were obtained from adult patients. Related to clinical samples, epilepsy patients were used as controls and were thus not true normal naive controls, but represented the best available source of control samples given that resection of brain tissue from normal healthy controls is unethical. Furthermore, clinical TBI tissues were mostly frontal, whereas all control tissues were temporal. In addition, the mean age of the controls was younger than in the TBI patients. Nevertheless, these are extremely valuable human samples that represent the best available control tissue for our studies.

In summary, we report that activation of CL-dependent mitophagy is an essential component of the responses elicited by clinical and experimental TBI. Suppression of TBI-induced mitophagy worsens the overall outcome likely via increased apoptosis. Our findings are important for better understanding of the mechanisms of secondary injury after TBI and could guide the discovery of new small molecule modulators of mitophagy that could serve as potential novel therapeutics for TBI and other acute CNS insults.

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