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## ***Alternaria* sensitisation at age 6 years is associated with subsequent airway hyper-responsiveness in non-asthmatics**

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### **ABSTRACT**

In the non-selected birth cohort Tucson Children's Respiratory Study, early sensitisation to *Alternaria* was associated with increased airway hyper-responsiveness (AHR) into adult life among non-asthmatics. The increase in AHR was of a similar magnitude to that seen for *Alternaria* sensitised asthmatics and was primarily evident among those who were overweight or obese. In contrast, there was no significant association between early sensitisation to aeroallergens other than *Alternaria* and AHR among non-asthmatics. Why this group of *Alternaria* sensitised individuals without asthma demonstrated increased AHR of a magnitude similar to asthmatics is unknown and requires further investigation.

### **INTRODUCTION**

Early sensitisation to the fungus *Alternaria* and airway hyper-responsiveness (AHR) to cold air challenge at 6 years of age are associated with chronic asthma in early adult life.<sup>1</sup> Among asthmatics, *Alternaria* sensitisation, in particular, is associated with risk for asthma persistence, severity and potentially fatal asthma exacerbations.<sup>2</sup> In one of the few population-based cohort studies to have compared clinical characteristics of children sensitised to *Alternaria* with those sensitised to other allergens, Downs and colleagues showed that children sensitised to *Alternaria* were more likely to have AHR than were children sensitised to other allergens (OR 1.26) and the association was strengthened with increased *Alternaria* exposure.<sup>3</sup> In our region, *Alternaria* is ubiquitous and a known asthma associated aeroallergen similar to house dust mites in other locations with higher humidity or cockroaches in the inner city environment.<sup>4</sup> The relationship of obesity to AHR in

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asthmatics and non-asthmatics is contradictory. In asthmatics, body mass index (BMI) was negatively associated with AHR or showed no association.<sup>5-8</sup> In contrast, studies among non-asthmatics show a positive association of BMI to AHR.<sup>9,10</sup> To our knowledge, there is limited information about the relation of *Alternaria* sensitisation to airway responsiveness among *non-asthmatics* and whether there are factors that modify this relation, such as obesity.

## METHODS

Data from the Tucson Children's Respiratory Study, a non-selected birth cohort of healthy infants enrolled between 1980 and 1984, were used to investigate the relationship between *Alternaria* sensitisation and AHR based on response to methacholine challenge in individuals with and without asthma. Participants completed skin prick tests (SPT) at age 6 to *Alternaria alternata* (1:100 w/v), Bermuda grass (*Cynodon dactylon*, 1:20 w/v), careless weed (*Amaranthus palmeri*, 1:20 w/v), house dust mix (1:10 w/v) and olive (*Olea europaea*), mesquite (*Prosopis glandulosa*) and mulberry trees (*Morus alba*) (1:20 w/v) (Hollister-Stier Laboratories, Everett, Washington, USA). SPT responses at age 6 were grouped into three categories based on AAAAI practice guidelines (≥ 3 mm average weal size minus negative control): *Alternaria*-positive (regardless of other sensitisation, 'Altpos'), non-*Alternaria*-positive (positive to allergens other than *Alternaria*, 'non-Altpos') and SPT negative ('SPTneg'). Skin prick testing was repeated at ages 11, 16, 22 and 26. Of the allergens tested at age 6, *Alternaria*, Bermuda, careless weed, olive, mesquite and mulberry were tested again at these ages.

Spirometry and methacholine challenge were performed at ages 11, 16, 22 and 26. A controlled inhalation protocol using a dosimetric method modified after Chai *et al.*<sup>11</sup> was used for the methacholine challenges. This protocol doubles the cumulative methacholine dose from 0.004 to 2.048 mg with the endpoint defined as a 20% drop in FEV1 or completion of the final dose. The dose response slope was calculated as described by Chinn *et al.*<sup>12</sup> and Marcon *et al.*<sup>13</sup> Briefly, the per cent decrease in FEV1 from baseline was regressed on log10 dose (mg) using all data except postsaline FEV1. Baseline FEV1 was included in the calculation of the slope and assigned a per cent decrease of '0' and an arbitrary dose of 0.002 mg, the next lowest step-wise dose before 0.004 mg. The resulting 'log-slope' then underwent a shifted reciprocal transformation as described by Chinn (100/(log-slope + 10))<sup>12</sup> and is hereafter referred to as the 'log-slope'. Lower levels of the transformed log-slope correspond to increased airway responsiveness. We also calculated the provocative dose 20 (PD20) at each age using the formula described by Dell *et al.*<sup>14</sup> The median (min, max) methacholine log-slope for those with a PD20 between 0.512 mg and 1.024 mg was 5.52 (4.8, 6.1), 5.45 (4.9, 5.9), 5.58 (4.2, 6.3) and 5.43 (4.5, 6.6) at ages 11, 16, 22 and 26, respectively. Total serum IgE was measured at these same ages and values were log base 10 transformed.

A report of a physician diagnosis of asthma with active symptoms during the past year was ascertained from questionnaires completed at ages 6, 8, 11, 13, 16, 18, 22, 24, 26 and 29 years. Asthma questions were similar at each age, for example, at age 22 participants were asked: 'Have you ever had asthma (reactive airways disease)?'. If an affirmative response

was provided, additional questions included ‘Did a doctor ever say you had asthma?’ and ‘During the past year, how many asthma attacks or episodes did you have?’. A participant was classified as ‘asthmatic’ if they ever reported an asthma diagnosis with active symptoms during the past year at any age and were classified as ‘non-asthmatic’ if they completed one or more questionnaires and did not report asthma. Active wheeze was defined as wheeze within the previous 12 months obtained from questionnaires using similar questions at each age, for example at age 22 participants were asked: ‘Has your chest ever sounded wheezy or whistling?’ and if an affirmative response was provided, they were additionally asked ‘During the past year, how often have you had wheezing or whistling?’. Overweight/obese was defined as a BMI at or above the 85th percentile in children or at or above 25 kg/m<sup>2</sup> in adults.

Analysis was limited to participants with skin test data at age 6 and at least one subsequent methacholine challenge test. Relations between log-slope AHR and the asthma/SPT groups were assessed using one-way analysis of variance with Scheffe multiple comparison test, multiple regression and longitudinal random effects models adjusted for sex and concurrent FEV1, height and age. In the random effects models, survey year was included as a categorical variable.

## RESULTS

There were 558 participants included in this analysis of which 33% (n = 182) had physician diagnosed asthma that was active at one or more times between 6 and 29 years of age. Among non-asthmatics (n=376), 5% were *Atpos* (n = 19), 15% were non-*Atpos* (n=58) and the remainder were SPTneg (80%, n=299) at age 6 years. Sensitisation at age 6 was more common among asthmatics, with 15% *Atpos* (n=28), 24% (n=43) non-*Atpos* and the remainder SPTneg (61%, n = 111).

Both asthmatic and non-asthmatic *Atpos* participants were more likely to be polysensitised at age 6 compared with non-*Atpos* participants (table 1). *Atpos* and non-*Atpos* participants had higher total IgE at age 6 compared with SPTneg subjects. The majority of the *Atpos* participants at age 6 retained sensitisation to *Alternaria* when tested at subsequent ages (65% at age 11, 90% age 16, 88% age 22 and 74% at age 26 years). Five participants were skin test positive to house dust mix at age 6: one in the *Atpos* non-asthmatic group, one in the *Atpos* asthmatic group and three in the non-*Atpos* asthmatic group. Though intriguing that 4/5 of the participants positive for house dust mix were in the asthma group, the analytical possibilities are limited by the small number of sensitised individuals in Tucson.

The methacholine response log-slope was used to assess AHR, lower values indicating increased AHR. When the methacholine challenge test results were expressed as a PD20, 76%, 54%, 56% and 61% of the participants responded to methacholine with at least a 20% drop in FEV1 from baseline at ages 11, 16, 22 and 26, respectively. The correlation between the log-slope and PD20 was strong at all ages (rho = 0.90, 0.85, 0.88, 0.86 at ages 11, 16, 22 and 26, respectively); however, using the log-slope provides responsiveness estimates for all participants and does not censor those with a <20% fall.

*Alt*pos non-asthmatics had AHR of a similar magnitude to that seen for *Alt*pos asthmatics (figure 1A,B). In an adjusted longitudinal model, AHR was increased in *Alt*pos non-asthmatics ( $\beta = -1.4$ , 95% CI  $-2.0$  to  $-0.80$ ,  $p < 0.001$ ) and *Alt*pos asthmatics ( $\beta = -1.6$ , 95% CI  $-2.1$  to  $-1.0$ ,  $p < 0.001$ ) compared with SPTneg non-asthmatics. While non-*Alt*pos asthmatics had increased AHR compared with the SPTneg non-asthmatics ( $\beta = -1.1$ , 95% CI:  $-1.5$  to  $-0.7$ ,  $p < 0.001$ ), the AHR of non-*Alt*pos non-asthmatics was similar to SPTneg non-asthmatics ( $\beta = -0.2$ , 95% CI  $-0.6$  to  $0.2$ ,  $p = 0.236$ ), suggesting that sensitisation to allergens other than *Alternaria* is not associated with increased airway responsiveness among non-asthmatics.

When considered in a model limited to *Alt*pos and non-*Alt*pos non-asthmatics, AHR was significantly increased for *Alt*pos compared with non-*Alt*pos participants ( $p = 0.002$ ). To address the association of polysensitisation with *Alt*pos, this model was adjusted for polysensitisation at age 6 and these same groups compared. Again, AHR was significantly increased for *Alt*pos compared with non-*Alt*pos ( $p = 0.010$ ). Similar results were seen after adjustment for concurrent total IgE ( $p = 0.002$ ). These results suggest that *among sensitised non-asthmatics*, the increased AHR for *Alt*pos is not due to polysensitisation or higher total IgE.

*Among non-asthmatics (n=375)*, *Alt*pos and non-*Alt*pos participants were more likely to wheeze between ages 11 and 26 compared with SPTneg participants (Relative risk (RR)=2.1, 95% CI:1.4 to 3.1,  $p < 0.001$  and RR=1.7, 95% CI 1.3 to 2.3,  $p < 0.001$ , respectively), suggesting increased symptomatology in both atopic groups despite the lack of a diagnosis of asthma. However, non-asthmatic participants with active wheeze during the year prior to methacholine testing (ages 11, 16, 22 and 26) did not have significantly increased AHR compared with those without active wheeze ( $\beta = -0.2$ , 95% CI  $-0.5$  to  $0.01$ ,  $p = 0.063$ ).

In order to address the effects of possible under-reporting of asthma, we examined the relation of sensitisation to AHR among non-asthmatics limiting the analysis to those who did not report active wheeze during the year prior to methacholine testing (ages 11, 16, 22 and 26). In this subset,  $n = 339$ , AHR was increased in *Alt*pos non-asthmatics ( $\beta = -1.3$ , 95% CI  $-2.0$  to  $-0.6$ ,  $p < 0.001$ ) compared with SPTneg non-asthmatics. AHR was similar for non-*Alt*pos non-asthmatics ( $\beta = -0.2$ , 95% CI  $-0.6$  to  $0.2$ ,  $p = 0.338$ ) compared with SPTneg non-asthmatics. Thus, results were similar for non-wheezing non-asthmatics as for the whole group of non-asthmatics.

On further longitudinal analysis *among non-asthmatics*, the increased AHR among *Alt*pos participants compared with the SPTneg group was significant for normal weight ( $\beta = -0.9$ , 95% CI  $-1.7$  to  $-0.2$ ,  $p = 0.012$ ) and stronger for overweight/obese individuals ( $\beta = -2.0$ , 95% CI  $-2.9$  to  $-1.0$ ,  $p < 0.001$ ) in adjusted longitudinal models (figure 1C,D). The interaction of overweight/obese with *Alt*pos was statistically significant among non-asthmatics,  $p = 0.007$ , suggesting an enhanced risk for AHR among overweight/obese individuals even with the caveat of small group sizes. This interaction was still significant after adjusting for BMI at age 6 years ( $p = 0.010$ ) and adjusting for wheeze during the year prior to methacholine testing ( $p = 0.007$ ). Non-*Alt*pos sensitisation at age 6 was unrelated to

AHR in either weight group. With small numbers in any given subset and a maximum of only four data points per participant, these longitudinal analyses should be interpreted with caution.

## DISCUSSION

Among non-asthmatic participants, *Alternaria* sensitisation at age 6 years was associated with increased airway responsiveness from ages 11 to 26 that was of a similar magnitude to that seen in participants who had asthma. Because of the strong association of *Alternaria* sensitisation with asthma, the number of *Alternaria*-sensitised individuals who did not develop asthma is small (19/47). Nevertheless, the association between early sensitisation and increased airway reactivity is consistent at 16, 22 and 26 years.

Several previous studies have identified non-asthmatic individuals who have methacholine AHR.<sup>15,16</sup> Almost invariably, these studies relied on the subject's recall to determine the past history of asthma. Here, we based asthma diagnosis on prospectively obtained data as part of a newborn cohort followed up to adult life. We confirmed that there are indeed adult subjects who did not have asthma during their lifetime but who still had AHR. We also showed, however, that sensitisation to *Alternaria* was strongly associated with AHR in these subjects. Laprise *et al* reported that adults with asymptomatic AHR were more likely to be atopic than asymptomatic subjects without AHR, had evidence of airway remodelling and were highly likely to develop asthma during the subsequent 2 years.<sup>16</sup> Although it is not possible to know if these subjects might have forgotten that they had childhood asthma which was relapsing, it is tempting to speculate that atopy and continued exposure to certain perennial allergens may beget chronic airway inflammation. In the Tucson environment, *Alternaria* is an ideal candidate for such a scenario, given its ubiquity and high allergenicity. What remains unexplained is which factors protected our participants from developing asthma in spite of being sensitised in early life to the allergen most strongly associated with asthma in our locale and having persistent AHR.

Our data suggest that one factor that may influence protection from having asthma symptoms is obesity. We found a significant interaction between sensitisation to *Alternaria* and BMI as determinants of AHR in asymptomatic subjects. These results thus suggest that systemic inflammation<sup>17</sup> and mechanical factors attributable to decreases in functional residual capacity and in tidal volume<sup>18</sup> may enhance AHR in obese subjects. Late-onset asthma associated with obesity is most often non-atopic,<sup>19</sup> but a recent retrospective study reported that obesity enhances the clinical expression of atopic asthma in adults.<sup>20</sup> Continued follow-up of participants in our study may allow us to determine if obese subjects with AHR who are allergic to *Alternaria* are at increased risk for late-onset asthma.

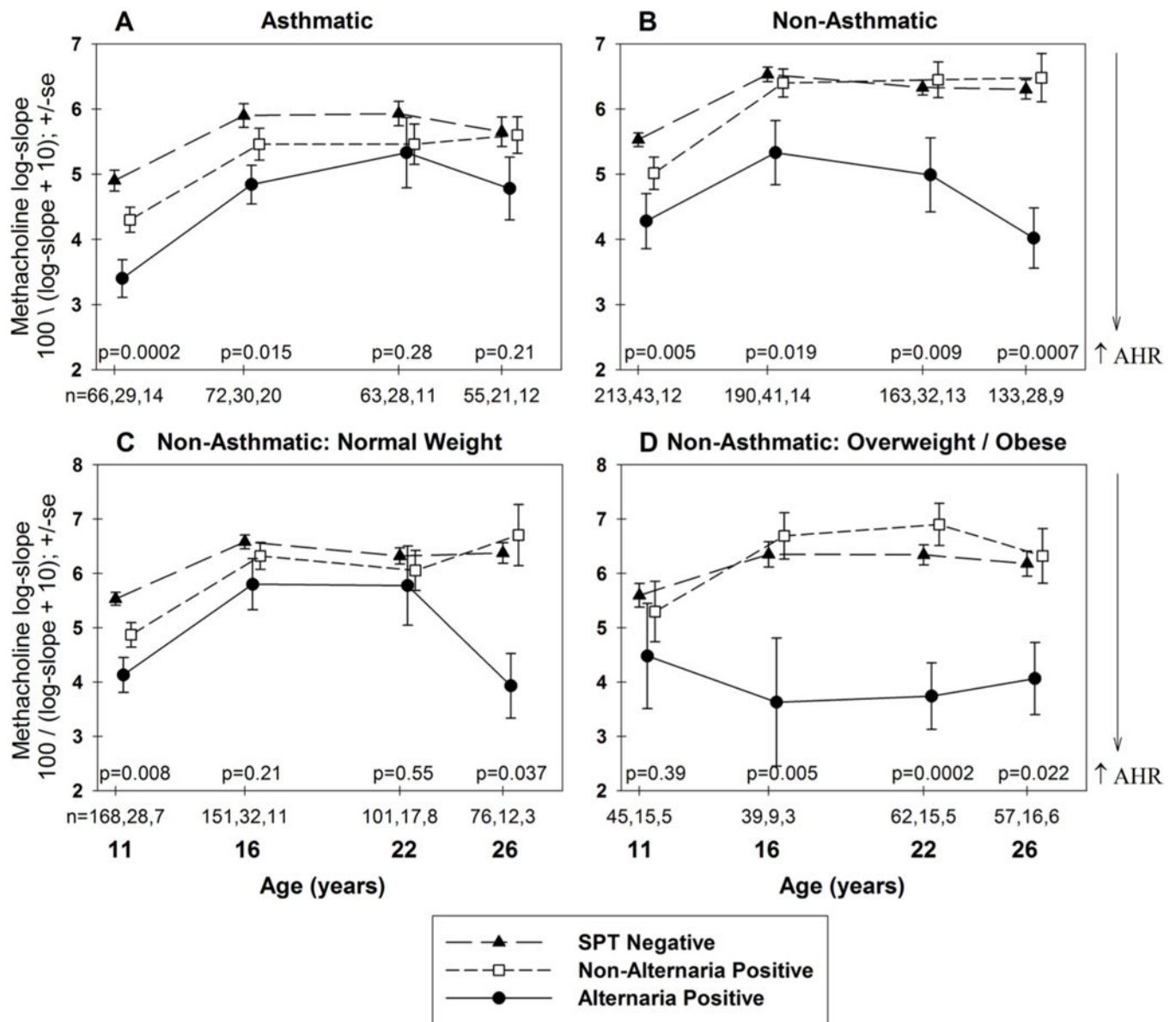
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**Figure 1.** Methacholine log-slope at ages 11–26 years categorised by SPT groups at 6 years of age for asthmatic (A) and non-asthmatic participants (B). Among non-asthmatic participants, the relation between SPT groups at age 6 and subsequent airway hyper-responsiveness was further divided into normal weight (C) and overweight/obese (D) participants. Unadjusted ANOVA performed at each age and the age-specific p values and number of participants reported for each group are listed as: SPT, non-*Alternaria*-positive, *Alternaria*-positive. AHR, airway hyper-responsiveness; ANOVA, analysis of variance; SPT, skin prick test.

Number of positive skin prick tests and total serum IgE levels for the skin prick test groups at age 6 years stratified by physician diagnosed (MD) asthma 6–29 years

**Table 1**

Ever MD asthma 6–29 years	Age 6 year SPT Group	Sensitisation at age 6 years			Geometric mean total IgE age 6 years				
		N	% (n)	Monosensitised	% (n)	Polysensitised	N	Mean	95% CI
No	Negative	299	0	0	0	0	201	18	14 to 22
	Non- <i>A/I</i> -positive	58	69.0 (40)	31.0 (18)	0	0	38	138 <sup>*</sup>	92 to 207
Yes	<i>A/I</i> -positive	19	15.8 (3)	84.2 (16)	0	0	16	196 <sup>*</sup>	134 to 285
	Negative	111	0	0	0	0	86	35	25 to 49
	Non- <i>A/I</i> -positive	43	69.8 (30)	27.9 (12)	0	0	34	137 <sup>†</sup>	88 to 215
	<i>A/I</i> -positive	28	35.7 (10)	64.3 (18)	0	0	23	203 <sup>†</sup>	1 07 to 384

\* P<0.05 compared with non-asthmatic SPTneg.

† P<0.05 compared with asthmatic SPTneg.