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Principles of TAVR Valve Design, Modelling, and Testing

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Abstract

Introduction: Transcatheter aortic valve replacement (TAVR) has emerged as an effective minimally-invasive alternative to surgical valve replacement in medium- to high-risk, elderly patients with calcific aortic valve disease and severe aortic stenosis. The rapid growth of the TAVR devices market has led to a high variety of designs, each aiming to address persistent complications associated with TAVR valves that may hamper the anticipated expansion of TAVR utility.

Areas Covered: Here we outline the challenges and the technical demands that TAVR devices need to address for achieving the desired expansion, and review design aspects of selected, latest generation, TAVR valves of both clinically-used and investigational devices. We further review in detail some of the up-to-date modeling and testing approaches for TAVR, both computationally and experimentally, and additionally discuss those as complementary approaches to the ISO 5840–3 standard. A comprehensive survey of the prior and up-to-date literature was conducted to cover the most pertaining issues and challenges that TAVR technology faces.

Expert Commentary: The expansion of TAVR over SAVR and to new indications seems more promising than ever. With new challenges to come, new TAV design approaches, and materials used, are expected to emerge, and novel testing/modeling methods to be developed.

Keywords

TAVI; Aortic Stenosis; Calcific aortic valve disease; Prosthetic Heart Valve; Valve Hydrodynamics; Thrombogenicity; Medical Device; ISO 5840

1. Calcific aortic valve disease

Calcific Aortic Valve Disease (CAVD) afflicts approximately 0.9% of the Unites States population with 2.8% of people over 75 years of age having moderate to severe aortic stenosis (AS), which is a narrowing of the aortic valve opening, ultimately leading to heart failure if untreated [1]. With CAVD progression into severe AS, valve narrowing limits

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blood flow into the aorta reducing forward flow in the systemic circulation and coronary perfusion. Further, stiffened valve leaflets (cusps) may also prevent their closure during diastole, leading to aortic insufficiency. The 2-year survival rate after onset of symptomatic AS is 50% and declines to 20% at 5 years with a rapid decline in survival thereafter [2]. The disease is characterized by the formation of tissue similar to bone [3] on the leaflets of the aortic valve. Valve calcification initiates with non-interacting nodules, which grow and coalesce until blood flow to the body is reduced [4]. Clinical symptoms include angina, syncope, and heart failure, thus early intervention is essential to limit morbidity and mortality [2]. However, patients usually do not have symptoms until the disease has progressed to an advanced stage [5].

2. The era of TAVR

Traditionally, replacement of the diseased valve with a prosthetic valve has been the most effective treatment for AS [6]. TAVR has become an established technology that provides an alternative to open-heart Surgical Aortic Valve Replacement (SAVR) [7]. It has emerged as an effective therapy for the unmet clinical need of inoperable patients with severe AS, often as their only life-saving treatment. In this type of minimally invasive intervention, a stent with a mounted bioprosthetic valve is delivered through the arterial tree, commonly in a transfemoral approach, and deployed through the stenotic native valve [8]. TAVR has the potential to replace traditional SAVR procedures in the future if its durability would be improved to a level similar to that of surgical bioprosthetic valves. The concept of TAVR devices began in 1999 with the start-up company PVT (NJ, USA) in collaboration with ARAN R&D (Caesarea, Israel), which completed the first-in-human feasibility studies in 2002 [9]. PVT was later acquired by Edwards Lifesciences Corp. (Irvine, CA, USA) in 2004. At the same year Medtronic (Minneapolis, MN, USA) began evaluating the feasibility of their own valves. With many successful feasibility trials in Europe (REVIVE, PARTNER Europe, TRAVERSE) both Edwards and Medtronic gained the Conformité Européenne (CE) mark in 2007 [9]. Currently, the only FDA-approved TAVR devices are the Edwards SAPIEN family balloon-expandable valves, and the Medtronic CoreValve family selfexpandable valves, with the latest generation being the SAPIEN 3 and the Evolut Pro, respectively. In 2011, TAVR was indicated for use in inoperable patients (defined as a surgical risk with high risk patients avoiding surgery and deemed inoperable) with severe AS, thus fulfilling a previously unmet clinical need. In 2012, its indicated use was expanded to operable high-risk patients. In 2017, TAVR was granted commercial approval by the FDA for Intermediate-risk patients (with risk profiles that are generally suitable for surgical approaches). Following favorable results for TAVR in intermediate-risk patients [8], randomized trials are currently ongoing in low-risk (low risk profile for surgical operation) patients (PARTNER 3, Medtronic low-risk trial, and NOTION 2), comparing TAVR with SAVR [10]. Currently, approximately 180,000 patients can be considered potential TAVR candidates in the European Union and in Northern-America annually. This number might increase up to 270,000 if indications for TAVR expand to low-risk patients [11].

3. Review scope

The early and ongoing success of TAVR devices has led to a fast expansion of new TAVR valves and concepts that are rapidly introduced to the market. In this paper, we will discuss (i) the mechanical and biological requirements of a TAVR device, (ii) different TAVR design aspects using examples from existing devices, (iii) review in detail existing and complementary testing methods that are available today or that may be utilized in the future to address specific TAVR issues, both computational and experimental, and refer to the current corresponding ISO guidance.

4. TAVR performance guidance

The International Organization for Standardization (ISO) developed a set of guideline procedures for heart valves implanted with transcatheter techniques (ISO 5840-3). In terms of hydrodynamic performance, TAVR valves effective orifice area (EOA, a measure of the valve performance during systole) is expected to be higher than that of equivalent-size SAVR valves (ISO 5840–2), but at the same time are allowed a higher total regurgitant flow percentage (TAVR <20–25% of the stroke volume, SAVR <10–15%) to account for additional leak flows. However, the fact that a TAVR valve needs to be crimped and then deployed over a diseased and heavily calcified aortic valve makes it technically far more demanding to develop a successful TAVR device. Each new TAVR valve design must be thoroughly tested, both in bench testing and pre-clinical tests before reaching the first-inman stage. We highlight several requirements and specific challenges that a TAVR valve needs to meet: (a) hemocompatibility, (b) hydrodynamics, (c) non-thrombogenicity, (d) high durability, (e) low calcification susceptibility, and (f) crimping & deployment stability (Figure 1). Aspect (f) makes the engineering of TAVR devices even more challenging compared to the surgical valve designs. TAVR designs can be generally grouped into balloon-expandable and self-expandable valves. Each concept has its own engineering challenges, both pre- and post-procedural.

5. Common complications of TAVR

While improved greatly since the first-generation devices were introduced to the market, several persistent complications still exist, and are becoming of more concern with the expansion of TAVR to younger and lower-risk patients. Paravalvular leak (PVL), structural valve deterioration (SVD), permanent pacemaker implantation (PPI), valve thrombosis and strokes are the most common complications [12].

Roughly, 25% of all post-TAVR patients suffer from mild-to-more severe paravalvular aortic regurgitation (AR) [13]. Although in recent years the reported incidence of AR is decreasing, moderate-to-severe AR can still be expected at a rate of approximately 5% following TAVR, 10 times more frequently than after SAVR [10].

SVD is defined by permanent intrinsic changes of the valve (calcification, pannus, and leaflet failure) leading to degeneration and/or dysfunction [14]. The risk of SVD is heavily influenced by valve design and patient age at the time of implantation, with inverse association between age and prevalence of SVD [15]. Medium term follow-up with TAVR

experience is so far reassuring in terms of SVD. However, the experience with bioprosthetic SAVR valves indicates that at least 10 years of follow-up are essential to establish the long-term durability of TAVR valves [14].

Latest-generation TAVR devices have been associated with higher incidence of cardiac conduction abnormalities (CCAs). Balloon aortic valvuloplasty is routinely employed before TAVR, helping facilitate easier deployment and minimize the likelihood of coronary occlusion but increases the risk of conduction abnormalities [16, 17] and may require concurrent PPI. Cases of left bundle branch block (LBBB) and total atrioventricular (AV) block have been repeatedly reported [16, 17, 18, 19]. Because of the proximity of the AV-node, mechanical stress and injury exerted by the deployed stent on the aortic annulus may damage the bundle of His fibers and those forming the left branch [19].

6. Extended and off-label uses for TAVR

For high-risk surgical patients or those deemed unable to undergo surgery, TAVR may be used off-label as an alternative to surgery or medical therapy.

A recent indication for TAVR devices is the replacement of failed bioprosthetic valves in a procedure that is called Valve-in-Valve (ViV). ViV, which can be used both for failing bioprosthetic SAVR [20] or TAVR valves [21, 22], allows the patients to avoid open heart surgery (if former TAVR valve recipients) or a repeated surgery (if former SAVR valve recipients). The failing bioprosthetic valve or TAVR valve is treated like the native stenotic valve and a TAVR valve is deployed into the annulus of the failed valve. The ViV procedure, however, increases the narrowing of the valve geometric orifice area and reduces the hydrodynamic performance, since the transcatheter valve is deployed within the orifice of the failed bioprosthetic or TAVR valves [12]. Therefore, the risk-benefit of ViV (versus SAVR) is dependent on the available geometric orifice area of each and every patient, and hence the option of ViV should not be planned to compensate for a lower-durability valve in the first procedure.

TAVR in bicuspid aortic valve (BAV) patients leads to a unique off-label use due to the radical variation in patients valve orifice geometry. Although BAV occurs in about 1% of the population [23], up to 75% of the BAV population will develop AS pathologies early on [24]. BAV patients have elliptical valve openings and major variations in calcific patterns (Raphe 0,1,2 with/without calcific commissures) that make transcatheter aortic valve (TAV) deployment more challenging than the intended use in AS patients (in terms of the TAV conforming to the native pathological BAV anatomy).

Search for alternative leaflet material: the polymer opportunity

To date, pericardium xenografts are the only leaflet material for flexible prosthetic aortic valve to gain FDA and CE approval. However, many of the persistent limitations associated with bioprosthetic valves (both SAVR and TAVR) are inherently related to the tissue material (i.e. calcific degeneration, crimping and deployment damage, durability). Other shortcoming of bioprosthetic valves is related to cost-effectiveness of the manufacturing process: (i) producing the harvested tissue from which leaflets can be processed with enough

reliability and reproducibility involves an extremely high rejection rate (approximately 98%), (ii) the valves have to be sutured by hand, which limits automation and makes it nonpertinent for mass production. This has led to a constant search for alternative leaflet materials. Polymers provide better design freedom to overcome many of the aforementioned limitations as they offer the possibility to specifically design and optimize a TAVR valve from the bottom up, and can be potentially produced at high reproducibility and lower costs. However, all the attempts to date to develop a viable polymeric aortic valve have failed [25]. Many of these valves had promising early results, yet none had everything needed to gain FDA or CE approval (Figure 1). In recent years, new polymer technologies have emerged and subsequently novel polymeric aortic valve have been developed, showing very promising early in-vitro results [26]. Examples for such devices include the PolyNova xSIBS TAVR valve (PolyNova Cardiovascular Inc., Stony Brook, NY, USA), Triskele urethane (POSS-PCU) TAVR valve (UCL TAVTM, University College London, London, UK), Foldax SAVR valve (Foldax Inc., Salt Lake City, UT, USA), SAT TAVI heparinized polyurethane valve (SAT, Cape Town, South Africa), and the Endurance Valve HA-LLDPE (Ohio State University, OH, USA). While some have been initially developed for surgical applications, the future of polymeric valves is likely in transcatheter applications where tissue leaflets are more vulnerable. Specific design aspects of these valves will be discussed in more details below.

8. TAVR design aspects

Figure 2 depicts various current TAVR devices with varying design approaches for addressing some of the aforementioned complications/limitations. In general, the various TAVR valves stent types are categorized as: balloon-expandable valves (e.g. SAPIEN 3), self-expandable valves (e.g. Evolut Pro), and other (mechanically expanded, e.g. Boston Scientific Lotus Edge Valve) (Table 1). Among those valves, currently only the SAPIEN 3 balloon-expandable and the Evolut Pro self-expandable are FDA-approved valves. The SAPIEN 3, Evolut Pro, ACURATE Neo, Allegra, Engager, JenaValve, Portico, Lotus Edge and the CENTERA have CE mark.

8.1. Paravalvular leak (PVL) -

The most common design approach in the latest valves' designs for minimizing PVL is the incorporation of an outer skirt at the lower part (ventricular side) of the stent (Evolut Pro, ACURATE Neo, SAPIEN 3, Lotus Edge, Colibri, FoldaValve, SAT valve, Endurance valve). In the SAPIEN 3 for example, the outer PET fabric skirt is divided into pockets intended to fill with retrograde clotting blood and seal the gaps between the valve and the tissue, conceivably offering a certain degree of protection against PVL. Another approach for minimizing PVL, which is commonly adopted in the self-expandable devices, is expanding the ventricular side of the stent (flared annulus design) for better attaching the stent to the aortic root on the ventricular side (Evolut Pro, ACURATE Neo, Engager, CENTERA, Triskele, SAT TAVI). A unique stent design of the Lotus Edge, which is characterized by a thinner and denser mesh with an adaptive axially collapsing polycarbonate-urethane polymer sealing skirt along the annulus edge. It aims at better conforming to the shape of the native aortic root for minimizing the potential of PVL gaps forming post-deployment. Direct Flow

valve (Direct Flow Medical Inc., Santa Rosa, CA, USA) is a non-metallic valve made of bovine pericardium and a conformable expandable cuff. After placement of the valve in position across the annulus, the cuff is inflated with liquid plastic polymer that hardens while keeping the cuff in place through a double ring. This design aims to achieve an atraumatic seal [27].

8.2. Durability –

Durability is now becoming a major concern of TAVR with its increasing expansion to younger and lower risk patients. Tissue leaflets have become the material of choice for PHV manufacturers, either for surgical of transcatheter valve applications, as these have proven record for passing the minimum ISO requirement of 200M cycles in the accelerated wear tests. In terms of tissue leaflet design for improving durability, the material allows only limited design freedom. Very little information is disclosed by the valve manufacturers who mostly protect it as proprietary information.

The Meridian Valve (HLT Medical, Maple Grove, MN, USA) leaflets are porcine pericardial tissue mounted on a wire form that flexes throughout the cardiac cycle. This design is intended to support leaflet motion and reduce tissue stress, which in turn may enhance the valve's durability. The stent of the Allegra valve was designed such that when the valve is fully open, the leaflet's free edges do not touch the stent, with the aim of preventing wear of the leaflets, and improving valve durability. An integrative approach that combines various TAVR valve challenges demonstrates the design flexibility using alternative materials such as polymers. The PolyNova polymeric TAVR valve was designed using the Device Thrombogenic Emulation (DTE) methodology for optimizing hydrodynamic and durability performances, as well as minimizing the valve thrombogenicity [28]. It yielded a leaflet design where flexural stresses were minimized by varying its thickness [28], and the nominal conformation of the leaflets ('zero-stress' position) was adjusted to be semi-open [29]. Currently, several polymeric investigational TAVR valves already met and surpassed the ISO 5840–3 durability standard (e.g., SAT TAVI, PolyNova).

Other than mechanical failure per se, durability of TAVs can also be affected by crimping and deployment –induced damage, calcific degeneration, and thrombosis. These will be discussed separately in the following sections.

8.3. Crimping damage –

In recent years there is a growing evidence that bioprosthetic valves are subjected to irreversible mechanical damage during valve crimping [30, 31] and deployment [32, 33]. With the trend growing to crimp TAVR devices into lower profile delivery catheters, the collagen fibers are prone to fragmentation in the tissue leaflets [34], applying significant tissue damage both on the leaflets surface and through its depth [31]. The damage to the leaflets is increased with lower crimping sizes [31], and with longer crimping durations [34]. The concern of crimping duration restricts most TAVR devices today to be crimped onto the delivery catheter and immediately deployed at the procedure site (cath-lab) using trained personnel, with limited time between crimping to deployment (e.g. < 20 minute with the SAPIEN 3 at 14 Fr crimping size). The Colibri valve (Colibri Heart Valve LLC, Broomfield,

CO, USA) incorporates 'dry' porcine pericardium that allows for crimping and loading of the valve onto the delivery system at factory setting [35]. In addition, Colibri reports that their latest generation valve can be crimped up to 9 Fr (3 mm), which is the lowest profile reported to date. By factory-crimping the device into such a small profile the device can be used in many patients with challenging vascular access. However, the concern of crimping damage and its effect on long-term durability yet needs to be explored. The FoldaValve (Folda LLC, Rancho Santa Margarita, CA, USA) addressed the issue of stent-crimping damage by incorporating a unique crimping process that ends with the leaflets folded outside of the crimped stent, thus by avoiding leaflet damage by the stent struts, less pressure is effectively applied on them. This mechanism can furthermore provide further room for crimping the valves into a smaller French size without risking the leaflet damage [36]. Alternative approach for overcoming the limitation of crimping damage is to use materials that are less prone to damage as compared to the current harvested tissue derived materials for the leaflets. This could be achieved by engineering a leaflet material with yield stress that is far from the stresses that are expected during crimping. The PolyNova valve, for example, incorporates xSIBS polymer for the leaflets, which has shown *in-vitro* resistance to crimping-damage following 8 days of crimping.

8.4. Calcific degeneration

Aside from fatigue and structural damage associated with durability, formation of new calcific masses in the TAV leaflets can lead to valve failure from increased stresses or induced stenosis. The calcific masses can form from active processes where the immune responses of the body cause new calcific masses to grow, or passive processes where calcium ions can accumulate into defects in the tissue [37]. Glutaraldehyde is mainly used to chemically treat the two kinds of tissues (bovine and porcine pericardium) that are used for TAVs [38]. Although glutaraldehyde decreases immune response to the tissue leaflets, it does not completely eliminate the active calcification process [37]. Calcific degeneration is considered a major limitation of tissue valves and was traditionally addressed by valve manufacturers by chemically modifying the tissue leaflets, to make them more resistant to calcific deposition. While calcific degeneration may be associated with the valve design and leaflet kinematics, this kind of modification is less a design approach, but more of a chemical treatment of the implanted tissues. Choosing a different material for the leaflets, e.g. polymers, might also overcome this limitation, by engineering their chemistry to tackle both the passive and active calcific deposition. However, this will have to be carefully verified both in-vitro and in-vivo.

8.5. Thrombogenicity -

Thrombosis in heart valves is governed by the interaction between the blood flow (hemodynamics) and foreign material (hemocompatibility). Flow induced stresses are governed by the valve design and kinematics that dictate the resultant flow patterns formed. Those, combined with the foreign materials hemocompatibility, determine the thrombogenic potential of the valve. The flow-induced thrombogenicity could be initiated by several mechanisms: (i) PVL, which involves a confined retrograde jet flow into the left ventricle during diastole, exposes platelets to elevated shear stresses that may activate them, potentially leading to either thrombus or thrombogenboli formation [39]. (ii) Stagnation

regions in the valve neo-sinuses, with low washout, that may lead to thrombus formation on the leaflets [40, 41, 42]. With thrombus growth, it may limit the leaflets kinematics [43] and may also affect the valve durability [15]. (iii) Highly disturbed flow regime through the valve orifice at systole may lead to platelet activation and thromboemboli formation. This is common with mechanical surgical valves, and less problematic with bioprosthetic or polymeric SAVR valves. However, specifically for TAVR valves, because the valve is deployed over a diseased valve there is an inherent degree of narrowing that further accelerates the systolic blood flow through the valve and exacerbates the flow induced stresses.

In terms of minimizing the valve thrombogenicity using a dedicated design, the DTE methodology that was described above and was incorporated into the design of the PolyNova polymeric TAVR valve, allows to iteratively minimize flow disturbances such as recirculation regions and regions of strong shear flows [44, 45]. The polymeric SAT TAVI leaflets design focused on leaflets smoothness for further reducing thrombogenicity.

8.6. Stent design approaches -

Stent designs have evolved immensely since the conception of TAVR to address all of the design aspects and make a better product. Many valves have iterated on the original designs of the balloon-expandable SAPIEN valve or the self-expandable CoreValve to address the major complications, while other companies have created radically different designs to address them. For example, the newest generation SAPIEN 3 valve has benefited from wider-angle stent cells as well as a denser cell structure in the annulus region of the frame, allowing better coronary access in the supra-annular frame and better sealing around the annulus [46]. The Evolut Pro was designed with a different shape (versus the original CoreValve) over the annulus region for better sealing and increased radial force [47]. The CENTERA Valve (Edwards Lifesciences) is a new self-expandable design that incorporates the benefits of the SAPIEN low-profile frame with the ability to recapture and redeploy the valve [48]. The Lotus Edge (Boston Scientific) holds the claim for one of the only fully recapturable and redeployable valves as well as having a braided frame for increased sealing [49]. The Meridian valve, like the Lotus Edge, also utilizes braided nitinol stent that is fully recapturable and redeployable, yet with a completely different design. Some designs, like the Engager (Medtronic) [50], J-valve (JC Medical) [51] and JenaValve (JenaValve Technology) [52], have created stents that lock onto the native leaflets or engage with the native sinus cusps to anchor the stent. Other designs, like the FoldaValve, crimp the stent without contacting the tissue leaflets with the stent. Then, during deployment the leaflets are pulled back into the stent frame and take shape [36]. The Endurance valve stent was designed such that it requires only 9 polypropylene sutures, all of which are non-load bearing and function only to keep the leaflets in place during crimping and expansion. Generally, the progression of stent designs has been working towards smaller profile designs for decreased crimping profiles, recapturing/redeployment, and better anchoring. Design aspects of 'Valve migration' and 'Valve orifice circularity', which are also related to the stent design, are discussed below.

8.7. Valve migration –

Valve migration may result from improper anchoring of the TAVR valve. The risk is mostly of migration into the left ventricle as migration force is maximal during diastole. The majority of TAVR valves use radial force against the aortic root and the calcified leaflets to hold the valve in place (see Figure 2). The deployed valve is intentionally oversized within the native diseased calcified aortic root. Other self-expandable devices, combine radial force with anchoring struts that hold against the native leaflets, providing axial compression, and prevent the valve from migrating into the left ventricle during diastole (e.g. ACURATE Neo, Engager, J-Valve [53], JenaValve, SAT TAVI [54]); The SAT TAVI, for example, is designed for Rheumatic Heart Disease (RHD) patients, where the transcatheter valve is deployed into a compliant aortic root and valve that are non-calcified, and therefore radial force-based anchoring is not enough for preventing valve migration [55]. Moving the fixation to the native leaflets offers an increased ability to treat aortic regurgitation patients without AS and calcific masses to anchor against [55]. The Allegra valve (NVT, Germany), like some other supra-annular self-expandable TAVs comprise of a distal stent region that aims at stabilizing the valve against the ascending aorta. Unlike the other TAVs, the Allegra valve is not dependent on the diameter of the ascending aorta. Moreover, the radial force of the stent is increased in the basal part that provides implant anchoring. The JenaValve, which is implanted in a trans-apical approach, is completely supra-annular (e.g. does not penetrate into the left ventricle outflow tract) and relies only upon anchoring onto the calcified native leaflets. The Triskele has a stent design that mainly applies counteractive axial forces other than radial forces. This design also aims for reducing the perturbation of the AV-node and the Left Bundle Branch (LBB) [56]. The Direct Flow valve uniquely holds on both sides of the native aortic root using inflatable rings. In addition to providing axial securement to the anatomy, this approach also provides seal against PVL. The ACRUATE Neo (Boston Scientific) stent has a ortic-facing arches in the upper crown, not to lock on the native leaflets, but rather to push the native leaflets away from the TAV leaflets and coronary ostia.

8.8. Valve orifice circularity –

Self-expandable TAVR valves are more prone to stent deformations after the deployment, and various designs address this issue by adopting supra-annular positions for the prosthetic leaflets with either a flaring or a certain degree of freedom from the stent confinement (e.g. Evolut Pro, ACURATE Neo, Allegra, Engager, JenaValve). Such device positioning allows the valve to assume a circular cross section where the leaflets extend, thus less affected by the deployed stent shape. The Meridian valve adopts a different approach: the wire form, independent of the outer support structure, is intended to allow the leaflets to maintain a circular geometry, preserving the flow area even in an eccentric diseased annulus.

9. Testing methods for TAVR valves

9.1. By the ISO

This ISO standard is designed to outline benchmark *in-vitro* testing for the Transcatheter Heart Valve (THV) devices to provide evidence of efficacy and safety for CE and FDA approvals before proceeding to clinical evaluations. The tests are used to assess physical, chemical, biological and mechanical properties of THVs and of their materials and

components. ISO 5840 also defines operational conditions and performance requirements for THV substitutes. All TAVR valve manufacturers must follow a long list of bench tests that are defined by ISO 5840–3. FDA approval is dependent on the results of these tests and therefore it is critical to design the THV according to the pass/fail criteria as defined in the ISO. These tests include, among others: (i) Material property assessment, including biocompatibility (ISO 10993–1) and mechanical property testing (at various stages of manufacture), (ii) Device hydrodynamic performance, (iii) Structural performance, which includes device durability, structural component fatigue and component corrosion, and (iv) additional implant design evaluation like resistance to device migration, device MRI safety, radial resistive force, recoil (for balloon-expandable stents), etc. ISO 5840–3 also outlines the required evaluation criteria for the delivery systems, yet this is beyond the scope of this review.

While ISO 5840–3 defines the testing methods and minimum performance requirements for a THV, new testing approaches are being developed, mostly on the academic side, with the aim of optimizing both device assessment to be more realistic and optimizing the procedure and thus the clinical outcomes. These include both experimental as well as advanced computational simulations that complement each other. In addition, many of these methods are used to investigate new clinical indications for TAVR (e.g. ViV, or off-label TAVR use in BAV patients) that are excluded from ISO 5840, and whose long-term clinical outcome data is still sparse. Table 2 summarizes numerous publications to date related to TAVR testing, categorized according to testing aspects and parameters/cases of evaluation, both experimental and computational. Using this table, the readers could refer to what evaluation approaches for TAVR devices have been developed and utilized, and choose the most pertinent method, or develop their own, according to their needs. Description of selected testing methods/models, both computational and experimental, follows.

9.2. Computational models

Computational models have been developed to assist clinicians in pre-procedural planning and in understanding the underlying biomechanics of the clinical complications in cardiovascular disease, such as CAVD. In other cases, computational models have also been developed for optimizing TAVR device design and performance during R&D stages. Several studies addressed various aspects of TAVR procedure via Finite Element Analysis (FEA) [33, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72], from crimping to deployment, in order to evaluate performance of TAVR valves in specific settings. Among the advantages of computational models: (i) once established, it allows analysis of the results in extremely high spatial and temporal resolution, (ii) it allows analysis of more parameters than in an equivalent experimental setup, without interfering with the measurements, (iii) it allows investigation of more complex models and various scenarios while simultaneously considering more factors, and (iv) isolation of any of the input parameters and performing sensitivity analyses are relatively easy and cost-effective- as compared to equivalent costly experiments. Due to these reasons computational simulations serve as an excellent tool to complement experimental testing approaches. Below are highlights surveying recent modeling efforts relevant and/or specific to TAVR.

9.2.1. Valve Crimping—Crimping has been shown to have an impact on bioprosthetic leaflets [30, 31] and a FEA can serve to conduct stress analyses and assess the damage risk [73], which in turn may affect the valve durability. The inclusion of the prosthetic leaflets appears to have a negligible effect on the stent longitudinal and axial deformation during the crimping [74], thus allowing to simplify crimping models in following analyses for reduced computational cost. Stresses experienced in prosthetic leaflets were computationally compared between Edwards SAPIEN bioprosthetic valve and PolyNova polymeric valve. The peak values normalized to the ultimate tensile stresses were 9.1% and 24.7% for the PolyNova and SAPIEN valves, respectively, indicating that the polymeric leaflets should potentially sustain less damage during crimping to a similar catheter profile [73].

9.2.2. Stent deployment—Stent deployment can be categorized according to the type of TAVR valves, namely, balloon-expandable and self-expandable valves. Unlike balloon-expandable TAVR valve, the self-expandable TAVR valve's prosthetic leaflets tend to show more often eccentric post-deployment configuration along with the stent- its effect on the leaflets' stress distribution was studied via FEA [75]. FEAs of self-expandable TAVR valves are mostly of the Medtronic CoreValve family. Similar to balloon-expandable valves studies, these aim in assisting pre-procedural planning to minimize potential clinical complications. Specifically, FE simulations can predict the presence of paravalvular gaps based on the distance between the frame and luminal side of the aortic root, and can be further validated with clinical data. Bosman et al. (2016) and Schultz et al. (2016) compared the results of stent deployment with post-TAVR CT-based reconstruction and the calculation of paravalvular gaps with post-op angiographic and echocardiographic aortic regurgitation grade [76, 77]. The deployment strategy was also modeled in terms of valve implantation depth and release angle. The stent cross-section eccentricity was measured for each configuration and results show their direct impact on the final stent deformation [78].

As mentioned above, stent deployment models are often developed with the exclusion of prosthetic leaflets for simplicity reasons. In a comparative analysis Bailey et al. (2015) showed that the inclusion of the prosthetic leaflets in a complete model of a balloon-expandable TAVR led to a discrepancy of 0.236% of the expanded frame diameter after being deployed in a patient-specific aortic root [79]. On the other hand, the impact of stent deployment on deformations in the prosthetic leaflets was studied through more complex models. In these studies, the TAVR valve was deployed in different circumferential orientations relative to the native valve and it was found that in the specific analyzed cases it is preferable to deploy the device with the prosthetic leaflets aligned with the native leaflets, as the stresses in the valve increased when the distance between the prosthetic commissures decreased. This potentially could represent a sufficient increase in stress to induce variation in device lifespan, which would therefore have a direct impact on valve durability This consideration remains valid on a patient-to-patient basis, since TAVR valve final deformation is dependent on the individual anatomy and calcifications distribution [80].

9.2.3. Valve positioning—Positioning the valve prior to the deployment is one of the procedural parameters which has been shown to affect the stent landing zone and anchorage onto the native tissue. Several patient-specific studies with different levels of accuracy were

published to investigate the stent deformation in different scenarios [74, 81, 82]. Bianchi et al. (2016) quantified the stent anchorage to the calcified native leaflets in three procedural scenarios (midway, distal and proximal). Observed contact area resulted in a sudden drop in the recoil phase of the stent for the proximal configuration, leading to stent dislodgment into the LV, as occurred in the clinical setting (Figure 3) [74]. Calcification distribution has been shown to represent the main predictor of TAVR performance, as shown by Sturla et al. (2016), in which different calcification patterns were implemented based on *ex-vivo* measurements on three explanted human aortic valve leaflets [83]. FE models are capable of assessing complications such as risk of aortic root rupture based on stress developed in the sinus during balloon-expansion. Material failure criteria were included to pinpoint the location of the soft tissue failure upon stent expansion [84], based on a unreferenced maximum stress threshold of σ =2.5 MPa.

9.2.4. Leaflet mechanics and durability—TAV leaflet fatigue damage was studied via FEA under cyclic pressurization in different levels of under-expansion (1, 2, 3 mm reduction in diameter) (Figure 4) [85]. In the under-expanded valves, the TAV leaflets exhibited severe pin-wheeling (diastolic deformation of coapted leaflets with inherent twisting pattern) during valve closure, which drastically increased leaflet stresses, and resulted in accelerated fatigue damage of the leaflets. This study ascertains that an under-expansion >9% will significantly impact the durability, even though clinically a range of 10–15% under-expansion is generally considered acceptable.

The mechanical response of the materials used to fabricate TAVR valve leaflets is often analyzed computationally. These were compared and tested using FEA under static pressureonly loading conditions to examine the effects of tissue thickness and anisotropy on the valve deformation and stress distribution [86]. Results showed that bovine pericardium leaflets experience lower maximum principal stress than porcine leaflets. Similar aspects can be analyzed for TAVR ViV utility, adding on the complexity of the models, thereby requiring simplification assumptions [82].

9.2.5 Effect of TAVR on cardiac conduction abnormalities—FEA was employed to assess contact pressures in patient-specific TAVR deployment models, in the region of the aortic root that encompasses the AV conduction system, which was determined by identifying the membranous septum (MS). The analysis was conducted for a large set of patients (*n*=112) with and without emergent CCAs, such as new LBBB or high-degree AV block. In patients with these CCAs, the results showed a significant increase in contact pressure and contact pressure index [17].

To date, the beating action of the heart was not investigated in TAVR deployment simulations. The Living Heart Human Model (LHHM) is an electro-mechanically coupled heart simulator provided by Dassault Systèmes SIMULIA (Johnston, RI, USA) inclusive of the entire heart and the electrophysiology and fibrous architecture of the myocardium. The mechanical behavior of the model was validated against clinical data to assure physiological and realistic responses [87]. This model can be employed to investigate the interaction of the heart wall motion on TAVR valves performance and its effect on risk of CCAs through

quantifying dynamic post-deployment stresses and strains in the proximity of the AV-node, MS, and LBB (Figure 5) [88].

9.2.6. Hydrodynamics—Computational Fluids Dynamics (CFD) has been used to study and characterize hydrodynamics in TAVR patient-specific models, although to a lower extent given the complex interacting geometries that come into play. Sirois et al. (2018) investigated the effect of elliptical deployment and under-expansion on the transvalvular flow of isolated valves. Increases in transvalvular pressure gradients indicated that under-expanded deployment has a much greater negative effect on the TAV hemodynamics compared with elliptical deployment [89].

CFD was employed to study post-deployment AR in a large set of patients for which predicted values were compared and in agreement with angiographic and echocardiographic data [90]. Fluid-structure Interaction (FSI) analysis is capable of simulating leaflets mechanical response to blood flow and vice versa by solving the fluid and structural mechanics governing equations simultaneously [91]. It is commonly employed to study the prosthetic valves hemodynamics, and examine leaflets kinematics and maximum stress magnitudes [44, 92, 93, 94, 95, 96]. Wu et al. (2015) were the first to develop an FSI model to study a self-expandable TAVR valve deployed in a patient-specific aortic root geometry [94]. This model, however, did not include the calcified native leaflets, which could affect the flow analysis. Ghosh et al. (2017) implemented an ALE-FSI model to compare the PolyNova TAVR and SAVR valve's hydrodynamics together with leaflets mechanical stresses during the cardiac cycle [96]. This SAVR valve was also studied using an "operator-split" FSI approach, which was used to evaluate the valve thrombogenicity [44].

9.2.7 Thrombogenicity—Valve thrombosis was addressed and quantified through blood residence time of randomly distributed platelets in close proximity of the leaflets [42], and compared for intra- and supra- annular positioning cases of a TAV model. The thrombogenicity of a TAVR valve can be characterized by utilizing the concept of stress accumulation (SA) that was extensively used in studies investigating other cardiovascular devices [97, 98]. In these flow analyses, the blood is modeled as two-phase Newtonian fluid with viscosity of 0.0035 kg/m-s and density of 1,081 kg/m³ with platelets assumed as neutrally-buoyant 3 µm solid spherical particles. This two-phase flow model computes the particle-fluid interactions (drag, lift and Basset forces, and turbulent fluctuations). The shear loading histories of the platelet trajectories flowing through the TAVR valve can be computed by incorporating the cumulative linear product of the instantaneous shear stress and exposure time along each platelet trajectories. The overall SA reached by individual platelets along their trajectories is collapsed into a probability density function (PDF) to statistically represent the distribution of the SA of all trajectories for each TAVR valve configuration analyzed (its 'thrombogenic footprint')[44]. This allows for direct comparison of different configurations on two ranges of the PDF-the main mode and the tail region. The main mode of PDF represents the mean SA value for most of the trajectories flowing through the device (bulk flow), and the tail region represents the distribution of the trajectories at the higher and riskier SA range, which translates into a higher risk of activating platelets, i.e., increase the device thrombogenicity.

9.3. Experimental methods

As outlined above, computational models may provide information and insights into THV performance that are difficult or not possible to achieve from experiments. However, numerical simulation results are affected greatly by the input parameters, and the numerical setting, and therefore special attention should be given in choosing the input and output parameters. Validation should be performed whenever possible; however, due to the high complexity of the more advanced models, this is not always feasible. It is therefore crucial to understand the limitations and interpretation of the generated simulations data so that experiments and simulations would complement each other. Most importantly, when both *invitro* and *in-silico* methods are considered, it is very beneficial to consider means of validation/comparison already at the design phase of the valve and its experimental setup. With PHVs evolution toward transcatheter applications, researchers have started developing *in-vitro* models that extend beyond the ISO guidelines in terms of complexity and scope as to focus on specific aspects of CAVD complications in order to innovate TAVR devices designs and optimize their clinical performance and safety. Below we highlight some of these methods.

9.3.1. *Crimping damage* of the THV leaflets is not addressed directly in ISO 5840–3; however, all tests with the THV device should be performed on valves after crimping and deployment according to their intended use. This implicitly includes the effects of crimping and deployment in other tests, such as hydrodynamics and durability. However, the ISO covers minimum performance requirements for current TAVR intended use but not for future indications. Crimping-associated damage in the leaflet may affect long-term durability of the THV device *in-situ*, either before or beyond the 5-years use indicated by the ISO. In fact, this is a major concern that impede the expansion of TAVR to younger and lower risk patients [15]. Crimping-associated damage to bioprosthetic leaflets have been demonstrated in many *in-vitro* studies [30, 31, 33, 99, 100, 101]. Damage was examined with imaging techniques such as surface characterization with scanning electron microscopy (SEM) and subsurface with second-harmonic generation microscopy of the collagen fibers. Damage can also be assessed and quantified with indexing images, hydraulic conductance [4], or mechanical testing [6, 41].

9.3.2. *Hydrodynamic performance* assessment of THVs is the process of characterizing the flow and pressure behavior of the deployed valve. Pulse Duplicators (PDs) are traditionally used to measure the ISO 5840–3 Sec 7.2.3 outlined parameters. Standard FDA ISO compliant PDs generally consist of a compliant left ventricle model, a functional mitral valve and a simplified aortic valve annulus mounted in a simplified rigid aortic root structure (Figure 6). The ventricle is usually actuated with a linear piston pump, allowing direct control of the stroke volume and cardiac cycle. ISO 5840–3 specifies minimum device performance requirements based on the valve diameter and at a single cardiac output (CO 5 L/min at 70 BPM, MAP 100 mmHg, systolic period 35%), with additional standardized performance testing at multiple COs. ISO 5840–3 additionally describes testing the THV in circular and elliptical annulus mounts that attempt to cover the range of labeled/intended implant dimensions and to assess oversizing characteristics. The hydrodynamics guidelines in ISO 5840–3 are primarily concerned with specifying and calculating an effective orifice

area (EOA), which is a modified measure of the Bernoulli equation for estimating an opening area of the valve in peak systolic flow, pressure gradient across the valve in systole, and the regurgitant volume, that is comprised of the closing and leak volumes.

ISO 5840–3 N.4.3.5 outlines the need for characterizing the THV flow field which has been extensively developed in literature with digital particle image velocimetry (DPIV) [40, 61, 62, 63, 64, 65, 102, 103, 104, 105, 106, 107, 108]. DPIV allows quantitative analysis of the flow field velocity, shear and particle residence times, which are critical for predicting risks of thrombosis (Figure 7). Gunning et al. (2014) used DPIV to extensively characterize the developed flow field from a severe eccentric deployed TAVR [62]. The results show increased shear stresses and increased turbulence which may influence hemolysis, which cannot be characterized by traditional hydrodynamics. Studies of sinus stasis times, or particle residence times in the aortic sinus are often performed with DPIV experiments [61, 63, 65, 102, 104, 105, 107]. These studies commonly focus on the positioning of the TAVR valve, at supra-annular and sub-annular alignments, and its influence of the hydrodynamics. Midha et al. (2017) used DPIV to characterize the residence times in the neosinus of a TAVR valve and compared to clinical 4DCT data to show that supra-annular positioning of the THV can directly influence residence times and thrombosis formation [40].

DPIV techniques are based on optical clarity of the model, and therefore THVs are mostly limited to analysis of the flow downstream of where the TAV stent extends. Analysis of the flow regimes within the valve region itself could be possible to a certain extent, depending on the specific valve being tested, yet requires improvisation for replacing the metallic stent with a transparent frame substitute [40]. As an example, TAVR supra-annular designs such as the Medtronic CoreValve family may be unsuitable for DPIV analysis.

9.3.3. Patient-specific hydrodynamics—With advancements in 3D-printing technology, recent *in-vitro* models are recreating patient-specific geometries for TAVR hydrodynamics analysis and deployment characterization [29, 66, 67, 68, 69, 70, 71, 72, 104, 109, 110]. Most importantly, development of such models sets the ground for testing TAVs under more realistic scenarios, leading to more realistic hydrodynamic predictions, which are often inferior to what is evaluated under the simplified mock anatomy of traditional PDs [71]. In that, the hydrodynamic test conditions might be referred to as 'baseline hydrodynamics', while the testing under diseased CAVD anatomies complements it by adding the anatomical variability as a factor. Such novel setups open the door for experimental tests that were until recently available only via complex computational simulations. They additionally provide a new mean for validation of the latter. New 3D printed elastomers allow the user to tune the mechanical properties of the material and directly print the patient aortic root, native leaflets and calcification patterns. Qian et al. (2017) used 3D printed aortic roots to quantify the anchoring strains on the annulus/root structure showing a bulge post deployment of the TAV caused by the calcifications [69]. These bulge areas corresponded to the locations of large PVL in the patient based on clinical transesophageal echocardiography [69]. Tanaka et al. (2018) took the 3D printed aortic structure to the next experimental level by mounting the patient specific roots/valves, and patient specific silicone aorta into a pulsatile flow system. The resulting PVL from the deployed TAV was directly measured with ultrasound, and location and magnitude of PVL

were compared to the patient's clinical data [72]. The work of Rotman et al. (2018) focused on casting patient specific replicas of calcific aortic valves that have similar performance characteristics as diseased aortic valves (Figure 8). Integrated into a dedicated pulsatile flow system of the complete upper body circulation (Replicator, Vascular Simulations LLC, Stony Brook, NY, USA), these valves allow to study the whole TAVR procedure in a severe AS patient-specific anatomy, as well as the deployment characterization in such a way that an improved hydrodynamics can be achieved before and after the procedure [71]. Such a platform might also be ideal for medical centers for TAVR training in challenging anatomies, or where there is a consideration of what size or type of TAVR device would provide the best outcome.

9.3.4. Leaflet mechanics—Gunning et al. (2015) used a marker array on the TAV leaflet surface to characterize the strain of the leaflet during the cardiac cycle, using high speed imaging. This study showed that eccentric deployments of the TAV induced increased strains that may lead to reduced durability [111]. Maleki et al. (2015) used high speed imaging to track the leaflet edges and estimate bending stresses. Results showed that oversizing the valves, up to 20%, had little impact on the hydrodynamics but increased the stresses [112]. Heide-Jorgensen et al. (2016) demonstrated a novel method for optical high spatiotemporal strain analysis for TAV (Figure 9). This method enabled high-resolution imaging of all three TAV leaflets simultaneously. A coating technique for applying a stochastic pattern on the leaflets of the TAV was developed, replacing the marker array of previous studies. It should be noted that implementing any of these techniques requires imaging with dual high-speed cameras setup [113].

9.3.5. Durability—ISO 5840–3 7.2.4.1 and Annex O describe the guidelines for *in-vitro* durability testing of THVs to anticipate device lifetimes *in-situ*. These guidelines require that the THV remains intact and functional over 200 million *in-vitro* cycles (equivalent to 5 years *in-vivo* operation), which requires periodic hydrodynamic performance evaluations. This test is traditionally performed in accelerated wear testers that induce rapid cycling of the PHVs, commonly at 600–1,200 bpm, depending on the valve being tested and its capacity to fully open and close at high frequencies. Currently, there is no computational model that could reliably replace the accelerated wear testing. Completion of the durability testing usually takes 3–6 months. This is highly time-consuming for valve manufacturers, especially if design changes in the TAV are required. Of note, this test does not capture the main failure mode of bioprosthetic valves *in-vivo*, the structural valve degeneration, which is usually caused by calcification of the tissue material [37].

9.3.6. Calcification susceptibility—An equivalent type of testing complementary to the accelerated wear testing has been developed to assess *in-vitro* the calcification susceptibility of a PHV. This test utilizes the accelerated wear testers that are used for the traditional durability testing and uses a pro-calcific compound as the working fluid (instead of saline) [114, 115]. It is not clear how accurately such protocols characterize PHV calcification susceptibility; yet, if performed in comparison to reference valves with known clinical history of calcification tendency, it can provide a valuable tool. It should be noted that the only available alternative is to perform chronic testing in a large animal model,

which is (i) very expensive, (ii) ethically debatable, (iii) includes biological variability and thus requires large sample size, and ultimately (iv) there is no large animal CAVD model-resulting in a TAVR valve that is implanted in a healthy animal aortic root, and as such does not reflect implantation in a CAVD human patient.

9.3.7. Thrombogenicity—*In-vitro* models of thrombus formation and thrombogentic potential have been adapted to THV devices with some studies observing platelet aggregation on the surface of the bioprosthetic leaflet via SEM and histophathology [116]. Platelet aggregation and thrombus formation studies usually consist of flowing platelets or blood over the tissue samples at steady flows with matching physiological shear rates. Bourget et al (2017) combined observations of crimping and balloon dilation damage with blood cell uptake, showing increased rates of uptake with increased tissue damage [100]. A more unique study performed by Richardt et al (2018) used a clotting milk model to highlight regions of potential for thrombus deposition due to flow stasis [117].

Other Bench-top thrombogenicity protocols include testing under pulsatile flow conditions. Jesty and Bluestein (1999) developed a modified prothrombinase assay for measuring platelet activity state (PAS) [118] in order to quantify cardiovascular devices thrombogenicity [119], i.e., the tendency of the device to induce platelet activation by the flow patterns through it. This protocol uses fresh human gel-filtered platelets suspended in a platelet buffer together with other coagulation factors that are critical for the formation of thrombin. Using acetylation of prothrombin, the thrombin generated in the PAS assay is defective and cannot participate further in the coagulation cascade; therefore it does not activate other platelets and does not form fibrin fibers (Figure 10a) [118, 120]. This hemophilia-like *in-vitro* scenario creates a 1:1 correspondence between the agonist for platelets activation (e.g., the flow induced stresses) and thrombin concentrations measured, which is termed the PAS. Combining this protocol in a pulsatile flow loop with a cardiovascular device one can quantify the platelet activity rate of the device (the slope of PAS) as a function of the recirculation time that can be used to compare the thrombogenic potential of various designs (Figure 10b). This protocol has been used extensively to characterize and optimize the thromboresistance of cardiovascular devices such as ventricular assist devices and PHVs- including polymeric valves [6, 45, 120, 121, 122, 123, 124, 125, 126]. Recently, it was used to evaluate the thrombogenicity of a polymeric TAVR valve in a complementary hemodynamic testing approach that included also baseline hydrodynamics and patient-specific hydrodynamic testing [29].

10. Expert commentary

TAVR as an effective alternative to surgical valve replacement in high-risk, CAVD patients with severe AS represents a disruptive technology that has challenged the hegemony of the surgical approach for valve replacement that dominated the field for decades. However, the promise of TAVR as a longer-term solution that could also offer an effective alternative to SAVR for lower risk patients, could be at risk. This may impede TAVR initial phenomenal success as a life-saving solution for inoperable patients. The accumulating clinical evidence of various limitations and adverse events that were described in our review, include failed delivery due to tortuous aortic geometry and severe valvular calcification, valve migration,

PVL, embolization with increased stroke risk, and CCAs following TAVR. With longer follow-up clinical studies new serious complications are being increasingly recognized, such as thrombosis with persistent pro-thrombotic risk, valve leaflet thickening, and valve deterioration. Current TAVR technology is based on tissue leaflets adapted to, but not specifically designed and optimized for TAVR. Those may sustain damage during crimping and deployment on nodular valve calcifications, resulting in limited durability and impaired functionality. Clearly, studying the potential pitfalls and the underlying mechanisms that may lead to various TAVR failure modes is a key for designing future generation TAVR devices that will overcome these limitations. A comprehensive integrated approach is required in order to truly facilitate their expansion into younger, lower risk patients, and for additional emerging indications.

New generation TAVR devices will have to effectively address the aforementioned clinical complications before their anticipated expansion to lower risk patients could be fully realized. In addition, off-label opportunities for TAVR are quickly emerging and will challenge existing and newer generation TAVs even more. This will likely lead to dedicated TAV designs for new indications, perhaps with dedicated patient-specific designs as the ultimate goal. Clinical complications that require better design solutions include better addressing the persistent issue of PVL and the need of PPI because of TAVR-induced CCAs. Other complications that will need to be addressed in terms of device design are thrombogenicity and durability, as was demonstrated with the DTE methodology [28, 121]. The clinical follow-up of the valves durability has been difficult with the elderly/frail cohort that is associated with the early use of TAVR, although certain failure modes were already observed and documented [14, 37]. As clinical trials expand to lower risk and younger patients, durability is becoming a critical aspect and longer follow up clinical studies will be required.

Extended and off-label uses of TAVR devices have been conducted in medical centers worldwide. The report by Hira et al. (2018) summarizes the clinical outcomes of many of those [153]. Such uses include the ViV procedure discussed earlier, where research ambiguity exists in terms of matching valve oversizing parameters and positioning within the surgical valve. BAV patients leads to a unique off-label use for TAVR. BAV patients have elliptical valve openings and major variations in calcific patterns that could possibly leading to asymmetrical flow patterns. Clinical results show numerous complication rates among the early generation TAVR devices with BAV treatments, but a significant improvement with newer generation devices, that appear to match complication rates similar to those observed in the tricuspid cohort [23, 154, 155, 156, 157, 158, 159, 160]. Many authors highlight the fact that severe PVL and highly elliptical stent deployments are still prevalent in BAV patients with newer generation devices, which leads the authors to question the device durability. This leaves the door open for possible new devices with dedicated designs targeted to achieve better valve performance for the BAV patient population.

Polymeric PHVs have been under development for decades, but no polymeric aortic valve received CE mark or FDA approval yet. However, disruptive newer polymer valve technologies that have recently appeared around the globe (e.g. PolyNova xSIBS valve, the Triskele urethane valve, SAT TAVI heparinized-polyurethane valve, and the HA-LLDPE

Endurance Valve) significantly differ from the old-generation polymeric PHVs, currently demonstrating the great potential for finally becoming a viable substitute for bioprosthetic heart valves. In addition, the potential advantages that polymers offer such as the ability to manufacture at mass production with complete reproducibility, the higher engineering freedom, and the ability to cope with persistent complications that are traditionally associated with bioprosthetic leaflets (e.g. calcific degeneration and durability), strongly indicate that polymeric TAVR valves may transform the field and help fulfill its early promise.

Finally, there is a clear ongoing trend for more sophisticated experimental and computational methods that emerge around the globe. These include, among others, patient-specific models with pulse duplicators that were not available until recently, and now allow evaluation of TAV devices under more realistic scenarios of AS anatomy. Integrating between such experimental and computational methods provides a powerful tool for valve manufacturers, for optimizing the design and performance of their devices with much less dependency on costly animal studies, and for adapting their device to new indications of use.

11. Five-year view

The rapid growing of the TAVR market and the rate of new TAVR devices emerging every year are likely to continue in the following five years [161]. With TAVR already expanding to lower risk patients, the inherent complications associated with tissue-based TAVR valves, such as calcific degeneration and durability, are a growing concern. This will likely be addressed by additional unique design approaches, yet also by search of alternative leaflets materials. Several novel polymer technologies have been introduced in the recent years, with very promising early results. We envision that a first prosthetic polymeric aortic valve may move forward toward gaining regulatory approval within this period. The crimping race for lower profile valves is expected to continue and cross slightly below the current lowest 14 Fr record. However, considering the inherent crimping-damage issues related with tissue-leaflets, we predict that the next significant crimping record will be gained only with alternative leaflet materials. Finally, we anticipate that advanced modeling approaches and experimental testing methods (e.g. advanced CFD and FSI, patient-specific simulations, pulse duplicators designed for TAVR experiments) will get more traction in the regulatory process, offering critical complementary information on the TAV performance.

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Abbreviations

AR	Aortic regurgitation
AS	Aortic stenosis

AV	Atrioventricular
CAVD	Calcific aortic valve disease
CCA	Cardiac conduction abnormalities
CFD	Computational fluid dynamics
СО	Cardiac output
DTE	Device thrombogenic Emulation
DPIV	Digital particle image velocimetry
EOA	Effective orifice area
FEA	Finite element analysis
FSI	Fluid-structure interaction
ISO	International organization for standardization
LBB	Left bundle branch
LBBB	Left bundle branch block
PAS	Platelet activity state
PD	Pulse duplicator
PHV	Prosthetic heart valve
PVL	Paravalvular leak
PPI	Permanent pacemaker implantation
RHD	Rheumatic heart disease
SAVR	Surgical aortic valve replacement
SVD	Structural valve deterioration
TAV	Transcatheter aortic valve
TAVR	Transcatheter aortic valve replacement
THV	Transcatheter heart valve
ViV	Valve-in-valve

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Key Issues

- With TAVR expanding into younger and lower risk patients, TAVR valve durability and improved hemodynamics become a critical issue.
- The variability of TAVR devices designs that exist today is remarkable, demonstrating the wide range of design approaches to address common pitfalls and complications of TAVR.
- New extended and off-label uses for TAVR (e.g. ViV and BAV) are stretching the limits of current devices, and might require dedicated TAV designs for improving clinical outcome in these unique pathologies.
- Persistent complications of TAVs related to the tissue leaflets accelerates the need for investigating alternative materials.
- New-generation polymeric valves demonstrate potential to substitute tissue TAVs with ability to improve clinical performance and durability, introduce dedicated designs for currently off-label uses, and enhance manufacturing efficacy and reproducibility.
- Cutting-edge computational and experimental testing methods that complement the ISO standards are essential for a deeper examination of current TAVs pitfalls, for improving future generation valves, and expansion to new indications.



Figure 1:

Highlights of a TAVR device key requirements. * The only requirement that is not shared with SAVR devices.



Figure 2:

Selected TAVR valves based on varying design approaches, both commercially-available and under-investigation devices.



Figure 3:

Total contact area calculated in the deployment and recoil phases for the distal, midway and proximal configurations. The model in the midway configuration is shown in three instances: 40% and 90% of the deployment time, and at the end of the recoil. Adapted by permission from John Wiley and Sons, Artificial Organs (Bianchi M. *et al.* Effect of Balloon-Expandable Transcatheter Aortic Valve Replacement Positioning: A Patient-Specific Numerical Model)©2016.



Figure 4:

Left- Contour plots of the peak equivalent strain and the matrix and fiber damage at the 4th cycle fatigued state for each case. Right- The peak equivalent strain observed in the leaflets for each case and cycle. Reprinted by permission from Springer Nature, Annals of Biomedical Engineering (Martin C. et al. Transcatheter Valve Underexpansion Limits Leaflet Durability: Implications for Valve-in-Valve Procedures)©2016.



Figure 5:

Post TAVR deployment stresses and strains in SIMULIA beating heart model in close proximity of the AV node (animation freeze frame- Top) - for assessing a mechanical threshold predictive of CCAs (bottom). LHHM – living heart human model; VBB – left bundle branch; MS – membranous septum. (Adapted from Ghosh R.P. *et al.* P6317Simulation of transcatheter aortic valve performance in a beating heart. European Heart Journal 2018;39(Suppl_1): ehy566.P6317-ehy566.P6317. By permission of Oxford University Press).



Figure 6:

Example of a Pulse Duplicator (PD) for baseline hydrodynamic testing mitral and aortic valves (Vivitro PD, VivitroLabs, Victoria, BC). (a) mock native aortic root model for deployment of the TAVR device; (b) a typical diagram of transvalvular pressures/flows over a cardiac cycle at CO of 5 l/min. Reprinted by permission from Springer Nature, J Cardiovascular and Translational Research (Rahmani B. *et al.* In Vitro Hydrodynamic Assessment of a New Transcatheter Heart Valve Concept (the TRISKELE))©2017.



Figure 7:

PIV setup for eccentric THV deployment. (a) Raw image of the particle laden fluid flow from the imaging plane. Idealized schematic of (b) circular and (c) eccentric deployed TAVRs at peak systole with imaging plane coincident with the lower commissure post and line of coaptation of the lower leaflets. Reprinted by permission from Springer Nature, Annals of Biomedical Engineering (Gunning *et al.* An in vitro evaluation of the impact of eccentric deployment on transcatheter aortic valve hemodynamics)©2014.

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Figure 8:

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Novel platform for hydrodynamic testing in a diseased patient-specific CAVD anatomy. (a) – Vascular Simulations (Stony Brook, NY, USA) upper body arterial Replicator. (b) left – reconstruction of ascending aorta and aortic root based on a CT scan of a patient, based on which aortic root models, shown on the right, were developed. (c) – Corresponding aortic valve models, with (right) or without (left) calcific deposits, (d-e) - the modular valve model (red colored for visibility) fitted into the aorta and left ventricle. Reprinted by permission from Springer Nature, Cardiovascular Engineering and Technology (Rotman O.M. et al. Realistic Vascular Replicator for TAVR Procedures)©2018.

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Figure 9:

Method for optical high spatiotemporal strain analysis for transcatheter aortic valves invitro. A – A closed TAVR valve surrounded by the nitinol stent seen from the aortic point of view. The surface of the each leaflet is covered with a fine pattern of ink speckles with a particle size less than 1 µm. The ink is applied directly to the surface of the leaflets by an airbrush. B - Post processing of the experimental data of from the TAVR valve seen by one of two high-speed cameras. The applied facet field is marked by the squares, which each contains a unique signature based on the gray level intensity of the pixels inside it. Using stereophotogrammetry, the facet field is transformed into a three-dimensional surface. To reduce computational time areas with no interest is masked out and no facets were applied. Since the facets need to be visual for both cameras computation of the 3D structure along edges, such as the coaptation lines, is not possible. C - Visualization of the strain distribution of the TAVR valve leaflets on the surface created from digital image correlation. The left column depicts the von Mises strains at varying time points, and the right column depicts the major principal strains. Reprinted from Heide-Jorgensen et al. A Novel Method for Optical High Spatiotemporal Strain Analysis for Transcatheter Aortic Valves In Vitro. Journal of Biomechanical Engineering. 2016 Mar;138(3):4032501. With permission by ASME.



Figure 10:

(A) Platelet Activity State (PAS) thrombogenicity methodology concept, in which the agonist for platelet activation (here fluid shear) is correlated 1:1 with thrombin formation (Adapted from Bluestein D. *et al.* Research Approaches for Studying Flow Induced Thromboembolic Complications in Blood Recirculating Devices. Expert Review of Medical Devices 2004;1(1):65-80. With permission by Taylor & Francis Ltd). (B) Implementation of the PAS assay with TAVR valve testing, using a mock left ventricle model and pulsating flow of gel-filtered human platelets in a closed flow loop.

Table 1:

Selected TAVR valves with varying design approaches.

Valve	Approval for use	Stent/frame type	Crimped profile (Fr)	Leaflets material	Leaflets position	Retreivable/ Repositioable
Evolut Pro (Medtronic)	 FDA approved CE mark	Self-expandable (Nitinol)	14	Porcine pericardium	Supra-annular	Partially/Yes
ACURATE Neo (Boston Scientific)	• CE mark	Self-expandable (Nitinol)	18	Porcine pericardium	Supra-annular	No/No
Allegra (NVT)	• CE mark	Self-expandable (Nitinol)	18	Bovine pericardium	Supra-annular	-
Engager (Medtronic)	• CE mark	Self-expandable (Nitinol)	30	Bovine pericardium	Supra-annular	Partially/ Yes
JenaValve (JenaValve Technology)	• CE mark *	Self-expandable (Nitinol)	32	Porcine pericardium	Supra-annular	Partially/Yes
Portico (St. Jude Medical)	• CE mark	Self-expandable (Nitinol)	18	Bovine pericardium	Intra-annular	Fully/Yes
SAPIEN 3 (Edwards Lifesciences)	FDA approvedCE mark	Balloon-expandable (Cobalt-chromium)	14/16	Bovine pericardium	Intra-annular	No/No
Lotus Edge (Boston Scientific)	• CE mark	Mechanical-expandable (Braided Nitinol)	18/20	Bovine pericardium	Intra-annular	Fully/Yes
CENTERA (Edwards Lifesciences)	• CE mark	Self-expandable (Nitinol)	14	Bovine pericardium	Intra-annular	Partially/Yes
Direct Flow (Direct Flow Medical)	 CE mark No longer available 	Inflation of balloon rings by a polymer	18	Bovine pericardium	Intra-annular	Fully/No
Colibri (Colibri Heart Valve LLC)	• Investigational	Balloon-expendable (Stainless steel)	9	'Dry' porcine pericardium	Intra-annular	No/No
Meridian Valve (HLT Medical)	Investigational	Self-expandable (Braided Nitinol)	18	Porcine pericardium	Intra-annular	Fully/Yes
J-valve (JC Medical)	Investigational	Self-expandable (Nitinol)	27	Porcine pericardium	Intra-annular	-
FoldaValve (Folda LLC)	Investigational	Self-expandable (Nitinol)	14	Bovine pericardium	Intra-annular	Fully/Yes
Triskele (UCL TAV)	Investigational	Self-expandable (Nitinol)	20	Urethane (POSS-PCU) polymer	Intra-annular	Fully/Yes
SAT TAVI (Strait Access Technologies)	• Investigational	Balloon-expandable (-)	-	Heparinized Polyurethane or bovine pericardium	Supra-annular	No/No
PolyNova Valve (PolyNova Cardiovascular Inc.)	• Investigational	Self-expandable (Nitinol)	16	xSIBS polymer	Intra-annular	Partially/Yes
Endurance Valve (Ohio state University)	• Investigational	Balloon-expandable (Cobalt-chromium)	18	HA-LLDPE polymer	Intra-annular	No/No

* Transapical JenaValve device (shown in Figure 2).

Table 2:

Up to date testing methods for TAVR valves according to testing categories, both *in-vitro* and *in-silico*, and the corresponding ISO guidance. CCA – Cardiac conduction abnormalities; CFD – computational fluid dynamics; FEA – finite element analysis; FSI – fluid-structure interaction; PVL – Paravalvular leak; ViV – valve-in-valve.

Aspects	In-vitro	In-silico	Comments
Crimping	Leaflet Damage [31, 33, 99, 100, 101], Radial Force [127, 128] ISO-5840-3 Sec 7.2.5.7, Calcification [34]	Stent deformation analysis [73, 74] and validation of radial force [129] *	
Stent deployment Balloon-expandable	AR rupture [59], ViV [57, 130, 131], Positioning [33, 57, 58], Coronary Flow [57], Patient Specific [66, 67, 68, 69, 70, 71, 72, 110], Pullout Force [58] ISO-5840-3 Sec 7.2.5.1, Paravalvular Gaps [69, 70, 72], Structural Performance ISO-5840-3 Sec 7.2.4, Recoil ISO-5840-3 Sec 7.2.5.5	Stent positioning [74, 81, 82], Leaflets stress analysis [79, 80, 132], Stent deformation [83, 133], Paravalvular gaps [84, $133^{\mathcal{F}}$, 134], AR rupture [84], AR material calibration [135] $^{\mathcal{F}}$, ViV [82]	FEA (<i>in-silico</i>)
Stent deployment Self-expandable	ViV [60, 61, 136], Positioning [56, 58, 60, 61, 62, 63, 64, 65], Patient Specific [66, 67], Pullout Force [58, 64, 68] ISO-5840-3-3 Sec 7.2.5.1, Structural Performance ISO-5840-3 Sec 7.2.4	Stent positioning [78], Stent deformation [76 $^{\mathcal{F}}$, 94 * , 137, 138], Paravalvular gaps [76 $^{\mathcal{F}}$, 78, 139], CCA [17, 139] $^{\mathcal{F}}$, AR material model calibration [140]	FEA (<i>in-silico</i>)
Leaflet mechanics	Eccentric [111], Oversizing [112], High-resolution strain analysis [113]	Eccentric deployment [75, 141, 142], Material model calibration [86, 143 [*] , 144 [*]], ViV [85]	FEA (in-silico)
Durability	Durability [37, 103, 131] ISO-5840-3 Sec 7.2.4.1,	[85, 145]	
Calcification susceptibility	Accelerated [114, 115]		
Hydrodynamics	Baseline [29, 56, 103, 107, 108, 131] ISO-5840-3 Sec 7.2.3, Patient-specific [29, 71, 104], ViV [58, 60, 64, 65, 105, 106, 136], Sinus Stasis [61, 63, 65, 102, 104, 105, 107], Eccentric [62, 111], Leaflet Dynamics [112, 146], patient-specific PVL [69, 72]	Patient-specific [147, 148, 149], Patient-specific PVL [90] \mathcal{F} , Eccentric deployment [89] * , Leaflets dynamics [93, 94, 146] *	CFD/FSI (in-silico)
Thrombogenicity	Crimping/Balloon Damage [100], Blood Stagnation [40, 117], Biocompatibility [116, 150] ISO-5840-3-3 Sec 7.2.2.2, ViV [117], PVL [39], Flow-induced platelet activation [28, 29]	Blood stagnation [42, 151, 152] [*] , Leaflets durability [85]	

* Validated *in-vitro*,

T_{Validated} in-vivo