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## Genetic diversity of the *Plasmodium vivax* multidrug resistance 1 gene in Thai parasite populations

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### Abstract

*Plasmodium vivax* resistance to chloroquine (CQ) was first reported over 60 years ago. Here we analyzed sequence variations in the multidrug resistance 1 gene (*Pvmdr1*), a putative molecular marker for *P. vivax* CQ resistance, in field isolates collected from three sites in Thailand during 2013-2016. Several single nucleotide polymorphisms previously implicated in reduced CQ sensitivity were found. These genetic variations encode amino acids in the two nucleotide-binding domains as well as the transmembrane domains of the protein. The high level of genetic diversity of *Pvmdr1* provides insights into the evolutionary history of this gene. Specifically, there was little evidence of positive selection at amino acid F1076L in global isolates to be promoted as a possible marker for CQ resistance. Population genetic analysis clearly divided the parasites into eastern and western populations, which is consistent with their geographical separation by the central malaria-free area of Thailand. With CQ-primaquine remaining as the frontline treatment for vivax malaria in all regions of Thailand, such a population subdivision could be shaped and affected by the current drugs for *P. falciparum* since mixed *P. falciparum/P. vivax* infections often occur in this region.

#### Keywords

*Plasmodium vivax*; Multidrug resistance 1 gene; Genetic diversity; Phylogenetic relationship; Genetic structure

### 1. Introduction

Chloroquine (CQ) has been the first-line antimalarial drug to treat *Plasmodium vivax* infections for more than 70 years. Whereas CQ resistance in *Plasmodium falciparum* is widespread and appears at high frequencies in most malaria-endemic areas, *P. vivax* CQ resistance is much less common, despite the first report of treatment failure indicative of CQ

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resistance in the 1950s (Berliner et al., 1948; Hoekenga, 1952). The slow emergence/spread of CQ resistance in *P. vivax* may be explained by its ability to form gametocytes before symptoms appear, thus enabling mosquito infection before drug treatment. Nonetheless, ineffective treatments of vivax malaria have been reported in many areas (Price et al., 2014), including, but not limited to, Papua New Guinea (Cooper, 1994; Schuurkamp et al., 1992), Ethiopia (Ketema et al., 2011), Indonesia (Baird et al., 1997; Ratcliff et al., 2007; Tjitra et al., 2008), India (Singh, 2000), and Brazil (de Santana Filho et al., 2007). In the Greater Mekong Subregion (GMS) of Southeast Asia, though CQ is generally effective for treatment of vivax malaria, CQ clinical failure indicative of resistance has been reported sporadically in Myanmar (Htun et al., 2017; Marlar et al., 1995; Myat Phone et al., 1993), Thailand (Congpuon et al., 2011; Rijken et al., 2011), Vietnam (Thanh et al., 2015), and at the China-Myanmar border (Liu et al., 2014; Yuan et al., 2015). Because of high recurrence rates of *P. vivax* after CQ treatment in some areas, CQ resistance has been considered an important public concern. In Indonesia, for example, CQ as the front-line treatment for *P. vivax* has been replaced by artemisinin-combination therapy (Baird et al., 1997).

Previous studies have suggested that mutations within the multidrug resistant 1 gene (Pvmdr1) may be used as markers for CQ resistance surveillance (Chung et al., 2015; Huang et al., 2014; Mekonnen et al., 2014). In vitro drug susceptibility assays also revealed association between higher genomic copy number of Pvmdr1 and the increase in CQ IC<sub>50</sub> (Marfurt et al., 2007; Suwanarusk et al., 2008). More recently, M908L and T958M mutations were shown to be associated with reduced in vitro CQ sensitivity (Chehuan et al., 2013). In the clinical settings, association was found between the copy number of *Pvmdr1* harboring Y976F/F1076L mutations and treatment failure in severe P. vivax malaria cases (Fernandez-Becerra et al., 2009; Melo et al., 2014). However, some studies failed to detect a link between Pvmdr1 mutations and reduced CQ sensitivity (Hamedi et al., 2016; Schousboe et al., 2015; Shalini et al., 2014). Association between Y976F/F1076L mutations and P. vivax CQ resistance was mostly reported based on the cut-off  $IC_{50}$  values of an estimated minimal effective concentration of CQ at 100 ng/ml of the whole blood, a value that is applied for in vitro drug susceptibility in P. falciparum (Baird et al., 1997; Rungsihirunrat et al., 2015). There is not yet a CQ concentration cutoff for defining CQ resistance in P. vivax. Thus, with the unclear link between *P. vivax* resistance and *Pvmdr1* mutations (point mutations and copy number variation) (Suwanarusk et al., 2008), the resistance cut-off value needs future validation.

To better understand the diversity and evolution of *Pvmdr1* in *P. vivax* populations in Thailand, we collected blood samples from *P. vivax* malaria patients from the western and eastern national borders where malaria remains endemic. In these areas, CQ has been administered with primaquine (PQ) as the standard radical cure for *P. vivax* malaria since 2007. Through sequencing, we determined the extent of genetic diversity and identified potential mechanisms affecting the evolution of *Pvmdr1*. We also report haplotype clusters representative of major parasite variations and the population structure of the Thai parasites with respect to the global isolates.

#### 2. Materials and methods

#### 2.1. Study sites and samples collection

Malaria transmission in Thailand is perennial and seasonal, which follows the pattern of rainfall with two transmission peaks: one in July-September and one in November (Cui et al., 2003). Samples were collected in three provinces: Tak and Kanchanaburi in the west bordering Myanmar, Ubon Ratchathani in the northeast bordering Cambodia and Laos (Fig. 1). There are different distribution patterns of malaria vectors between western and eastern regions of Thailand (CDC, 2015; Kittichai et al., 2017). While major vectors in western Thailand are Anopheles dirus, An. minimus and An. maculatus complexes, in eastern Thailand such as Ubon Ratchathani An. barbirostris is widely distributed. The latter species has been shown to be a vector for *P. vivax* (Sriwichai et al., 2016). Whereas the western border has been the most malaria prevalent, an outbreak occurred in Ubon Ratchathani in 2016, which reported the highest number of malaria cases in Thailand (CDC, 2015). In total, 88 finger-prick blood samples were collected from symptomatic patients in Kanchanaburi (37 during 2013-2014), Tak (22 during 2013-2015), and Ubon Ratchathani (29 during 2015-2016). To reduce the possibility of imported cases, patients were all from local villages who did not have travel histories in the previous month. Genomic DNA was extracted from the bloods using DNeasy Blood & Tissue Kit (Qiagen, Valencia, California, USA). Malaria diagnosis was performed by light microscopy and confirmed by nested PCR targeting the 18S rRNA gene (Snounou et al., 1993). The study was approved by the Ethics Committee of the Faculty of Tropical Medicine, Mahidol University (TMEC11-033).

#### 2.2. PCR amplification and sequencing of the Pvmdr1 gene

PCR of the *Pvmdr1* gene was performed with *Pfu* DNA polymerase (Promega) and primer sets MF1/MR5 and MF4/MR7 which generated two amplicons of 2,770 bp and 2,884 bp, respectively (Supplementary Table S1). PCR products were purified using the AccuPrep PCR purification kit (Bioneer Corporation, South Korea), and sequenced in both directions with primers described in the Table S1. All primers were designed based on the reference *Pvmdr1* sequence from the Salvador I strain (PVX\_080100). *S*equences were assembled and edited manually using BioEdit 7.2.5 (Hall, 1999). Sequence alignment was done using the program Muscle 3.8 (Edgar, 2004).

#### 2.3. Domain prediction in Pvmdr1

Nucleotide-binding domains (NBDs) and transmembrane domains (TMs) of the Pvmdr1 protein were identified by mapping the sequence to the P-glycoprotein structure model from PDB: 4Q9H, which shares 31% identity. The suitable protein template was searched via the SWISS-MODELL server (https://swissmodel.expasy.org/interactive).

#### 2.4. Global data collection

A total of 113 full-length or near full-length *Pvmdr1* sequences were retrieved from GenBank and PlasmoDB (plasmodb.org) representing parasite isolates from 13 countries: 13 from Thailand, 7 from China, 7 from Papua New Guinea (PNG), 2 from India, 8 from Madagascar, 15 from Mexico, 22 from Columbia, 30 from Peru, 5 from Brazil, and one each

from Vietnam, North Korea, Mauritania and El Salvador (Fig. 1). Each sequence was trimmed to remove low-quality segments, yielding 4,257 bp fragments from the 4,395 bp *Pvmdr1* open reading frame. Sequences obtained in this study are available in GenBank with the accession numbers KX421155-KX421190 and KX868005-KX868056.

#### 2.5. Sequence polymorphism and diversity

Sequence diversity was estimated using either short sequences through the sliding window technique or the nearly full-length (4,257 bp) sequence. The nucleotide diversity of *Pvmdr1* was estimated by DnaSP v5.10 (Librado and Rozas, 2009). Singletons, parsimony, number of segregation sites including both synonymous and nonsynonymous mutations, and haplotypes (H) were determined. For genetic diversity, the average nucleotide diversity ( $\theta \pi$ ) and haplotype diversity (Hd) were measured across different sites within Thailand as well as across different countries.

#### 2.6. Intragenic Recombination

Detection of recombination events was done using several programs incorporated in DnaSP v5.10 and the RDP4 package (Martin et al., 2010). The minimum numbers of recombination events (Rm) were assessed by the gametic test in DnaSP v5.10. Recombination breakpoints were detected using RDP, MaxChi, GENECONV, BootScan, Chimeara, SIScan, PhylPro, LARD and 3Seq algorithms implemented in the RDP4 package. LDhat in the RDP4 package was used to scan the recombination rate (Rho,  $\rho$ ) and the mutation rate (Theta,  $\theta$ ). The population-scaled recombination to mutation ratio ( $\rho/\theta$ ) was calculated.

#### 2.7. Tests of departure from neutrality and codon-based tests of selection

Tajima's D and Fu & Li's F\* and D\* tests were used to assess the departure from neutrality using the DnaSP v5.10 software. If the Watterson population nucleotide diversity ( $\theta$ ) and average pairwise nucleotide diversity ( $\pi$ ) differ, a significant positive D value is expected, suggesting a balancing selection or a population size shrinking. Likewise, Fu & Li's F\* and D\* tests are implemented to evaluate the difference between the number of singletons and the average pairwise nucleotide diversity. If a significant positive value is found, a balancing selection is indicated. However, if the Fu & Li's statistics are negative, directional selection is implicated. Sliding window analysis also was used to determine nucleotide diversity and to perform all neutrality tests across the loci. Deletions/insertions were excluded from the analyses.

To study the evolutionary force acting on the *Pvmdr1* gene, a hypothesis of dN>dS was tested using a bootstrap method with 1,000 replicates. Two-tailed Z-test of selection was used to estimate variance and make a substitution model using the Nei-Gojobori method in MEGA7 (Kumar et al., 2016). While a dN/dS ratio exceeding one is considered as a result of positive selection, a ratio less than one is an indicator of a purifying selection. A P-value of < 0.05 was considered as the level of significance.

A panel of maximum likelihood codons-based tests in the HyPhy package implemented in the online web-server Data Monkey (http://www.datamnkey.org) was conducted in order to determine the existence of specific codons targeted by selection (Kumar et al., 2016).

#### 2.8. Phylogenetic relationship

To determine the genetic interrelationships of all the parasite isolates, phylogenetic analysis was done with aligned *Pvmdr1* sequences using PHYLOViZ 2.0 (Francisco et al., 2012). A phylogenetic tree was generated using the neighbor-joining algorithm. The minimum number of substitutions or errors that influence the tree's branching was measured by the Hamming distance. The Sal I reference strain was represented as the wild type. Haplotype clusters were defined manually using nine global single nucleotide polymorphisms (SNPs) with frequencies of 5%.

#### 2.9. Population structure and genetic differentiation

Haplotype clustering analysis based on the polymorphic sites in *Pvmdr1* was used to analyze the *P. vivax* populations with reference to the dynamics of the parasite transmission bearing the varied SNP patterns. The pattern was shown by the Thai provinces and countries. STRUCTURE 2.3.2 software was used to assess clustering of haplotypes (Pritchard et al., 2000) based on the admixture model. Twenty iterations were run for each cluster (K= 1-12) with a burn-in of 50,000 steps and then 500,000 Markov Chain Monte Carlo (MCMC) steps. The optimal number of clusters (K) was determined according to a published method based on the change in the posterior probability of the data [Ln P(D)] (Evanno et al., 2005). FSTAT v.2.9.3 (Goudet, 1995) software was used to assess pairwise genetic differentiation (Weir & Cockerham *Fst* values) using *Fst*. LIAN 3.7 (Haubold and Hudson, 2000) software was used to calculate the linkage disequilibrium (*LD*) using 50,000 iterations for burn-in followed by 100,000 MCMC iterations.

#### 3. Results

#### 3.1. Genetic diversity of the Pvmdr1 gene in Thai and global parasite isolates

Based on the alignment with the model structure PDB: 4Q9H, the predicted structure of the Pvmdr1 protein comprises a NBD1, the first six TMs (TM1-6), a NBD2 and the second six TMs (TM7-12). Since the genetic diversity of *Pvmdr1* has been evaluated mostly based on partial sequences, we sequenced the near complete Pvmdr1 gene in 88 P. vivax clinical samples from three provinces in Thailand (Table 1). The sequences covering 4.257 bp (1,419 amino acids) contained a total of 34 SNPs, out of which 21 were nonsynonymous and 13 synonymous. The 21 nonsynonymous mutations are located in TM3, NBD1, NBD7-11 and NBD2 (Table 1). 14 out of the 21 nonsynonymous mutations were novel SNPs (K454I, L470H, K672N, N740 D, A763V, L845F, A861E, L936F, E996Q, P1177T, G1232C, G1265W, S1274R, and K1393N). Of the nine most prevalent SNPs, five (L845F, M908L, T958M, Y976F, and F1076L) were found in TM7-12 (Supplementary Fig. S1). In particular, two mutations M908L and T958M previously associated with reduced in vitro CQ sensitivity (Chehuan et al., 2013) reached high frequencies (96.59% and 100%, respectively). Although Ubon Ratchathani has the least number of nonsynonymous mutations of the three Thai sites, it has the highest frequency for the L845F mutant (58.62%). In contrast, the S513R and K1393N combination was the most prevalent in the two western provinces (Table 1). Y976F and F1076L were found in 9-27% and 27-41% of isolates across all three sites, respectively.

In total, 15 of the 21 nonsynonymous substitutions were parsimony-informative (9, 12 and 8 were parsimony-informative in Tak, Kanchanaburi and Ubon Ratchathani, respectively) (Table 2). Nineteen singletons were found across the three areas. The nucleotide diversity of the near full-length *Pvmdr1* gene was  $0.00085 \pm 0.00005$  (Table 2). Sliding window plot of nucleotide diversity showed two peaks at the nucleotide positions 1,453-1,529 (NBD1) and 3,147-3,222 (TM11), but the two peaks did not reach statistical significance (Fig. 2). Forty eight haplotypes were detected and the haplotype diversity was high (0.961 ± 0.011). Based on the 9 SNPs with 5% frequency in global isolates (Table 3), nine haplotypes were found among the Thai isolates. Three haplotypes (Hap\_10, Hap\_29, and Hap\_30) were province-specific, while six haplotypes (Hap\_2, Hap\_5, Hap\_11, Hap\_17, Hap\_20, and Hap\_21) with frequencies of 1-11.44% of total isolates were shared among the three provinces (Table 3, Supplementary Table S2). In terms of regions, seven haplotypes were specific to the western border of Thailand (Hap\_7, Hap\_10, Hap\_11, Hap\_17, Hap\_18, Hap\_20, and Hap\_29), whereas only one haplotype Hap\_30 was unique in the eastern region.

We used 201 *Pvmdr1* sequences from 13 countries to assess its global genetic diversity. These sequences contained 46 polymorphic sites, comprising 14 synonymous and 30 nonsynonymous substitutions. All the nonsynonymous mutations were located in TM1, TM3-5, NBD1, TM7-11 and NBD2. Sixteen of those polymorphic sites were parsimonyinformative. The nucleotide diversity was  $0.00069 \pm 0.00004$  in global isolates (Table 2). When the analysis was conducted according to the countries, the number of parsimonyinformative sites in the Thai population (0.00085) was higher than the rest of countries, and this finding was also consistent with the haplotype number and the degree of haplotype diversity. Sliding window analysis showed that the highest peak was at the nucleotide positions 3,150-3,233 (TM11), coinciding with the second highest peak of the Thai isolates (Fig. 2). Out of the 9 global SNPs with 5% frequency, 7 were found in the 88 Thai isolates from the current study (Table 1). Based on these 9 SNPs, there were 30 haplotypes distributed across all the continents (Table 3, Supplementary Table S2). Most of them were country-shared haplotypes with a frequency of 1-18% of total isolates/haplotypes. Ten out of the 30 haplotypes (with frequency 1% of total isolates) were country-specific: Hap 13 for Madagascar; Hap\_22-30 for China, Peru, India, North Korea and Thailand, respectively. Four haplotypes were from South America: Hap\_3, Hap\_16, Hap\_23, and Hap\_26. Eleven haplotypes were shared by countries or continents; Hap 1 and Hap 4 between Southeast Asia (SEA: Thailand) and the South America, Hap\_6 between South America and Africa, Hap\_7 and Hap\_18 between Thailand and Africa, Hap\_12 and Hap\_14-15 between Thailand and China. Hap 8 was shared by four subcontinents: SEA (Thailand), South Asia (India), East Asia (China), and the Southwestern Pacific (Papua New Guinea, PNG). Similarly, Hap 9 was shared by three continents: SEA, Africa and the PNG. Seven haplotypes were shared between the western Thailand and the others, but none was shared with the eastern Thai parasite population.

#### 3.2. Departure from neutrality and selection

In order to see whether the Thai *Pvmdr1* gene follows the neutral equilibrium model of molecular evolution, Tajima D, as well as Fu & Li's F\* and D\* were computed for the full-length *Pvmdr1* gene, which all yielded negative values in the Thai populations (Table 4).

Only Fu & Li's F\* and D\* were statistically deviating from zero (Table 4). Similarly, in the worldwide populations, all the neutrality tests yielded negative values and they were all statistically deviating from zero (Table 4). These findings suggest an excess of lowfrequency polymorphisms within the Thai populations as well as the global populations, possibly as a result of directional selection or population expansion. The dN-dS statistic generated by the Z-test was also negative in the total populations, signifying purifying selection on *Pvmdr1*, albeit the level of significance was not reached (Table 4). To zoom in on particular regions of the gene, sliding window analysis was performed for Tajima's D (Fig. 2), which showed insignificant positive values at TM11 among the global sequences. When the Thai isolates were analyzed alone, two peaks higher than 1 were observed for both Tajima's D and Fu & Li's F\*: The first peak was within NBD1, whereas the second peak of significant positive Tajima's D value was observed at the nucleotide positions 3098-3272 (TM11 encompassing the F1076L mutation). TM11 also matched the highest value of nucleotide and haplotype diversity when partitioning the gene into TM domains (Supplementary Table S3). Interestingly, two codon-based tests of selection revealed that the mutations S513R and F1076L were under positive selection and a few others sites under negative selection (Supplementary Table S4).

#### 3.3. Recombination

As recombination can break the linkage, several methods were used to detect the number of recombination events and the locations of breakpoints in the genome. Using seven recombination detection algorithms within the RDP4 software, we only detected one recombination breakpoint by the MaxChi algorithm in the global isolates (Supplementary Fig. S2). For country-wise sequences, DnaSP detected six recombination events in Thailand, two in Colombia and one each in Peru and Madagascar (Table 4), while three methods in the RDP package (BootScan, MaxChi and 3Seq1) only detected one recombination event. The country-wise recombination signal, measured by using the estimated recombination ( $\rho$ )/ estimated mutation rates ( $\theta$ ) ratio, showed that the ratio in most countries were >1 (Table 4).

#### 3.4. Genetic substructure

The global population genetic structure using the 9 major SNPs (with minor allele frequencies of 5%) showed optimal clustering at K = 3 (Fig. 3), which are colored as green, blue and red. Whereas all parasite populations had the M908L and T958M mutations at high frequencies, the three clusters also featured discordant, additional mutations (Supplementary Fig. S3). The green cluster represented parasites from South America with prevalent mutations at V221L (Fig. 1). The red cluster containing parasites from China and western Thailand included mutations of S513R, G698S, and K1393N. The blue cluster contained S513R, G698S, L845F, Y976F and F1076L, and it was of multiple origins mostly found in eastern Thailand, Madagascar, and PNG. The expected heterozygosity ( $H_E$ ) corresponding to these clusters was 0.027 (green), 0.048 (red), and 0.063 (blue), respectively (Supplementary Fig. S3). Phylogenetic analysis based on the Neighbor-Joining method among the global parasite isolates also showed a clear lineage separation consistent with the three population clusters (Fig. 4). For the majority of the Thai's isolates, the red and the blue clusters were also clearly separated.

Moderate to high *Fst* values (0.15-0.76) were observed among the populations from different countries, indicating genetic differentiation of *P. vivax* populations. *Fst* showed a high degree of genetic difference among clusters (*Fst* > 0.25), which was 0.37 between the red and the green clusters, 0.43 between the blue and the green clusters, and 0.41 between the red and the blue clusters. Within Thailand, no significant genetic differentiation was detected between the two western sites, Tak and Kanchanaburi (*Fst*=0.0283). In contrast, the parasites from eastern Thailand was moderately different from Tak (*Fst*= 0.2009) and Kanchanaburi (*Fst*= 0.1505). The result was consistent with the genetic substructure described above.

LD was found in the isolates from Ubon Ratchathani, but not form Tak and Kanchanaburi (Table 6). Likewise, the global isolates displayed limited LD except for those from Brazil and PNG. The low LD might be due to the presence of recombination and could suggest a population expansion/isolation.

#### 4. Discussion

Although malaria incidence has significantly declined over the past decade, the problem of drug resistance for both P. falciparum and P. vivax poses a major challenge in malaria control and elimination. The P-glycoprotein encoded by *mdr1*, an ABC transporter protein, plays an important role in multidrug detoxification in various organisms including the malarial parasites. In *P. vivax*, though genetic evidence is lacking, SNPs as well as gene duplication of *Pvmdr1* are associated with resistance to CQ (Lu et al., 2011; Suwanarusk et al., 2008). This study detected 12 of 34 SNPs in Pvmdr1 occurring at high frequency ( 5%) in *P. vivax* populations in Thailand, among which seven were nonsynonymous. Similar to what has been found in world *P. vivax* populations, the number of *Pvmdr1* SNPs in different Thai parasite populations also varied. Seven SNPs were consistent with those reported from Tak Province (Rungsihirunrat et al., 2015), but different from those reported from Laos (including N133K, S139S and E1261K) (Imwong et al., 2008). Among the SNPs found in this study, one novel SNP (L845F) was found in the eastern province Ubon Ratchathani, whereas another novel SNP (K1393N) was found in Kanchanaburi (Barnadas et al., 2008; Orjuela-Sanchez et al., 2009; Rungsihirunrat et al., 2015). Widespread SNPs such as T958M, Y976F and F1076L, associated with CQR in P. vivax were used in epidemiological surveillance worldwide (Huang et al., 2014; Lu et al., 2011). In previous studies, Pvmdr1 SNPs and gene duplication events (~9%) were reported from countries of the GMS, including Thailand, Myanmar and Laos, albeit the sample sizes are small (Imwong et al., 2008; Lu et al., 2011). High frequency (>62%) F1076L plus gene duplication of the Pvmdr1 was reported in western Thailand, whereas Cambodian parasite isolates near eastern Thailand harbored high frequency Y976F but no gene amplification (Lin et al., 2013). In this study, we did not investigate *pvmdr1* gene amplification, and thus some sequences used for analysis could be from different copies of the gene in case of duplicated *Pvmdr1*. Given that *pvmdr1* duplication was mostly associated with mefloquine use and the history of this event was relatively short (Khim et al., 2014), the *pvmdr1* gene copies should not have diverged much, which should not have a major effect on our analysis. Findings from in vitro drug susceptibility studies in *P. vivax* vary (de Santana Filho et al., 2007; Lu et al., 2011; Orjuela-Sanchez et al., 2009; Rungsihirunrat et al., 2015; Shalini et al., 2014), and there is no clear

association between any SNP or increased gene copy number and reduced antimalarial drug susceptibility. How *P. vivax* responds to the antimalarial drug pressure remains controversial (Barnadas et al., 2008; Lu et al., 2011; Orjuela-Sánchez P et al., 2009). This could be studied by performing co-evolution analysis of *Pfindr1* gene in *P. vivax/P. falciparum* co-endemic regions, given mixed-species infections are frequent and collateral selection can happen.

Our study aimed to analyze the extent of sequence diversity of and potential selection on the *Pvmdr1* gene from Thai *P. vivax* populations. Similar to what was found for the global *P.* vivax populations, low nucleotide diversity  $(0.00069 \pm 0.00004)$  was found in Thailand, suggesting the presence of functional/structural constraint on this gene. however, sliding window analysis of Tajima's D, Fu & Li's D\* and Fu & Li's F\* observed positive values in two domains NBD1 (S513R) and TM11 (F1076L) of Pvmdr1 among the Thai isolates, but only in one domain (TM11) in the global isolates (Fig. 2). This latter result might signify that these two domains of the Pvmdr1 protein might have experienced a balancing selection in Thai populations or a reduction of population size. The multidrug transporter protein is predicted to function by coupling ATP hydrolysis in NBDs to the conformation change in the TM domains leading to extrusion of foreign compounds (Dawson and Locher, 2006). Mutations in both NBDs and TM may therefore negatively impact the function of the transporter protein more than the F1076L alone (Lu et al., 2011; Shalini et al., 2014). Significant signature of selection on *Pvmdr1* has not been reported to date, which might be correlated with the unique biology of the parasite such as early gametocyte production before antimalarial drug treatment (Koepfli et al., 2011). However, in the present study, the mutations S513R and F1076L were shown to be under positive selection with two codonbased tests of selection. This observation might be justified since selection often targets specific amino acids instead of the whole protein, which sometimes is masked by purifying selection also acting on the gene (Koepfli et al., 2011).

Two population genomics studies of the global *P. vivax* populations divided the New and Old World populations as well as detected parasite population substructures according to countries (Hupalo et al., 2016; Pearson et al., 2016). Interestingly, two population clusters in western and eastern Thailand with little gene flow were identified which are separated by the central, malaria-free region. Fst and genetic substructure results both support such a notion. An earlier study identified contrasting genetic structure between Asian and South American populations, and also revealed substantial population differentiation between populations from Thailand and Laos (Imwong et al., 2007). The significant population differentiation between the eastern and western Thai P. vivax populations is similar to this finding. Strong LD within the eastern populations suggested parasite expansion or bottleneck events, which was not found in the two western populations. Furthermore, the eastern parasite population did not share any haplotypes with other parts of the world, further indicating that parasite population from this area might have undergone a unique evolutionary process. The predicted population expansion and bottleneck events are consistent with the malaria outbreaks detected in the eastern province in recent years. Phylogenetic analysis showed three major clusters of haplotypes, where both population-specific and shared haplotypes were observed. It would be interesting to test whether these clusters are correlated with different sensitivities to CQ. It is noteworthy that CQ resistance has only been sporadically reported in the GMS (Myat Phone et al., 1993), where CQ and PQ remain as the standard

treatment for uncomplicated *P. vivax* infections. Thus, the reasons for the differences in the *Pvmdr1* gene mutations and their prevalence in the GMS could be related to the epidemiology of sympatric *P. falciparum* parasites, which are often present in mixed parasite species infections and are treated differently among endemic countries. In addition, the different distribution of major malaria vectors also may contribute to the differentiation of parasites in the eastern and western provinces. Furthermore, different immunity of human hosts may also account for the differences in mutation patterns and their prevalence.

In summary, this study revealed action of directional selection in the evolutionary course of *Pvmdr1* gene in Thai *P. vivax* populations. Particular domains of the gene showing high genetic diversity were found to be under balancing selection or subject to a population size decline. The eastern-western division pattern of the *P. vivax* parasite populations based on the Pvmdr1 SNPs is consistent with the spread of both Pfmdr1 (Veiga et al., 2016) and K13 propeller mutations in P. falciparum (Menard et al., 2016; Talundzic et al., 2015). Therefore, in addition to the selection from CQ-PQ treatment, we speculate that P. vivax might have been under drug selection pressure from treatment of mixed *P. falciparum* infections. Obviously, in an area with complex interplays among the multiple vector species, human host and co-endemic *P. vivax* and *P. falciparum*, the evolution of drug resistance is also influenced by the multitude of factors. Regardless of the population subdivision between eastern and western Thailand, much higher numbers of recombination events were detected in the Thai *P. vivax* population, which suggests the presence of a relatively large parasite population despite intensified control efforts in recent years. This is in contrast to the rapidly shrinking *P. falciparum* population in this region, and highlights that effective targeting the *P.* vivax reservoir is essential to achieve the goal of malaria elimination in Thailand by 2024.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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# Fig. 1. Map indicating locations of the sampling sites for parasite isolates from three provinces in Thailand and other regions worldwide.

In addition to the origin of the samples, the distribution of the three clusters of *Pvmdr1* haplotypes in each country or region based on the STRUCTURE plot (Blue, Green and Red) in Fig. 3 is shown as pie charts. The world map was modified from the one available on: www.freeworldmap.net.

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Peaks of diversity consistently shown in all analyses suggestive of balancing selection or population decline, thus, an excess of alleles at intermediate frequencies are marked by red dotted rectangles and correspond to positive values. The star plotted above the peaks depicts the statistical significance of the test at the level 0.05. Sliding window analysis used a window size of 100 bp and a step size of 10 bp. Nucleotide positions correspond to those of Sal I sequence.

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**Fig. 3.** Structure analysis of the global *Pvmdr1* SNPs by the admixture model. Plots representative of the genetic structure of *Pvmdr1* sequences in different countries and regions at K = 2, 3, and 4 respectively. Country codes include of THA\_Tak: Tak, THA\_Kan: Kanchanaburi, THA\_Ubon: Ubon Ratchathani, MEX: Mexico, COL: Colombia, PER: Peru, BRA: Brazil, MDG: Madagascar, CHN: China, and PNG: Papua New Guinea.



#### Fig. 4. Phylogenetic analysis of *Pvmdr1* gene in worldwide isolates.

The distribution of the three clusters of *Pvmdr1* haplotypes based on the Neighbor-Joining phylogenetic tree is shown. Clusters 1, 2 and 3 are colored in red, green and blue, respectively.

#### Table 1

SNPs identified in the three *P. vivax* populations in Thailand and their prevalence (%).

SNPs	Amino acids <sup>*</sup>	Tak (N=22)	Kanchanaburi (N=37)	Ubon Rachathani (N=29)	Total (N=88)
ACC-126-ACT	(T42T)			3.45	1.14
AAG-132-AAA	(K44K)	4.55	5.41	13.79	7.95
GGC-516-GGT	(G172G)		5.41		2.27
AAC-936-AAT	(N312N)			6.90	2.27
ACG-1065-ACA	(T355T)	4.55			1.14
AAA-1367-ACA	(K456 <b>I</b> )		2.70		1.14
CTT-1409-CAT	(L470 <b>H</b> )	4.55			1.14
СТА-1477-ТТА	(L493L)	13.64	2.70	17.24	10.23
AGT-1539-AGA	S513 <b>R</b>	63.64	37.84	17.24	37.50
ACA-1587-ACG	T529T	86.36	97.30	68.97	85.23
AAG-2016-AAC	(K672 <b>N</b> )		2.70		1.14
AAT-2218-GAT	(N740 <b>D</b> )		2.70		1.14
CGG-2266-AGG	(R756R)	4.55	8.11		4.55
GCG-2288-GTG	(A763 <b>V</b> )	4.55	8.11		4.55
CCA-2424-CCG	(P808P)		2.70	3.45	2.27
CTC-2533-TTC	(L845 <b>F</b> )		2.70	58.62	20.45
GCG-2582-GAG	(A861 <b>E</b> )		10.81		4.55
ATG-2722-CTG	M908L	95.45	97.30	96.55	96.59
AGC-2739-AGT	S913S		2.70		1.14
TTA-2808-TTT	(L936 <b>F</b> )	4.55			1.14
ACG-2873-ATG	T958 <b>M</b>	100.00	100.00	100.00	100.00
TAC-2927-TTC	Y976 <b>F</b>	9.09	27.03	20.69	20.45
TTT-2936-TCT	F979 <b>S</b>		2.70		1.14
ATG-2938-GTG	M980V		2.70		1.14
GAG-2986-CAG	(E996 <b>Q</b> )		2.70		1.14
TTT-3226-CTT	F1076 <b>L</b>	31.82	40.54	27.59	34.09
ATC-3414-ATT	(I1138I)			3.45	1.14
CCA-3529-ACA	(P1177 <b>T</b> )	4.55			1.14
GGT-3694-TGT	(G1232 <b>C</b> )			3.45	1.14
GGG-3793-TGG	(G1265 <b>W</b> )			3.45	1.14
AGT-3822-AGG	(S1274 <b>R</b> )		2.70		1.14
TCC-4074-TCT	S1358S	27.27	10.81		11.36
AAG-4179-AAC	(K1393 <b>N</b> )	9.09	29.73		14.77
GAG-4188-GAA	(E1396E)	9.09	10.81		6.82

New mutations identified in this study are in parenthesis, and non-synonymous mutations are in bold.

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Sequence polymorphisms and summary statistics of the near full-length *Pvmdr1* gene in different populations \*.

Population					c		Ę		
	10. 15014105	Polymorphic sites	Singletons	Amino acid changes	Syn	Non-syn	л ±SD	Η	$\mathbf{Hd} \pm \mathbf{SD}$
Thailand (this study)	88	34	19	15	13	21	$0.00085\pm0.00005$	48	$0.961\pm0.011$
Tak	22	15	7	6	9	6	$0.00073 \pm 0.00008$	17	$0.961\pm0.029$
Kanchanaburi	37	26	14	12	10	16	$0.00090 \pm 0.00007$	27	$0.961\pm0.022$
Ubon Ratchathani	30	14	9	×	L	٢	$0.00072 \pm 0.00083$	16	$0.927 \pm 0.025$
Thailand (plasmodb)	13	5	4	1	-	4	$0.00025 \pm 0.00007$	5	$0.692 \pm 0.110$
China	7	10	8	2	ю	7	$0.00075\pm0.00018$	9	$0.952\pm0.096$
PNG	7	1	0	1	0	1	$0.00014 \pm 0.0003$	7	$0.571\pm0.110$
India	2	4	4	0	ю	1	$0.00091 \pm 0.00046$	7	$1.000\pm0.500$
Madagascar	8	14	10	4	4	10	$0.00110\pm 0.00023$	×	$1.000\pm0.063$
Mexico	15	7	9	1	3	4	$0.00024 \pm 0.00013$	4	$0.371\pm0.153$
Peru	30	8	4	4	5	9	$0.00032 \pm 0.00007$	٢	$0.692\pm0.057$
Brazil	5	4	4	0	2	2	$0.00038\pm0.00011$	4	$0.900\pm0.161$
Colombia	22	63	59	4	24	39	$0.00161 \pm 0.00108$	٢	$0.814\pm0.049$
Other	4	6	×	1	7	٢	$0.00111 \pm 0.00026$	4	$1.000\pm0.177$
Global	201	46	30	16	14	30	$0.00069 \pm 0.00004$	53	$0.907\pm 0.013$

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types; Hd, haplotype diversity; SD, standard deviation.

#### Table 3

Haplotypes of the near full-length *Pvmdr1* gene from global *P. vivax* isolates.

2° Stru	cture	TM4	NE	BD1	TM7>	TM8	TM9	TM10	TM11	NBD2		
Haplotype	Cluster	V221L	S513R	G698S	L845F	M908L	T958M	Y976F	F1076L	K1393N	Ν	Frequency (%)
Hap_1	Green	V	S	G	L	L	М	Y	F	К	37	18.41
Hap_2	Blue	v	R	G	L	L	М	Y	F	К	23	11.44
Hap_3	Green	L	S	G	L	L	М	Y	F	К	20	9.95
Hap_4	Blue	v	S	G	L	L	М	F	L	К	17	8.46
Hap_5	Blue	v	S	G	F	L	М	Y	L	К	19	9.45
Hap_6	Green	v	S	G	L	М	М	Y	F	К	15	7.46
Hap_7	Blue	v	S	G	L	L	М	Y	L	К	7	3.48
Hap_8	Blue	v	S	S	L	L	М	Y	L	К	9	4.48
Hap_9	Blue	v	S	S	L	L	М	F	L	К	6	2.99
Hap_10	Red	v	S	G	L	L	М	Y	F	Ν	5	2.49
Hap_11	Red	v	R	G	L	L	М	Y	F	Ν	5	2.49
Hap_12	Red	v	R	S	L	L	М	Y	F	К	4	1.99
Hap_13	Blue	V	R	S	L	L	М	F	L	К	4	1.99
Hap_14	Red	V	S	S	L	L	М	Y	F	Ν	3	1.49
Hap_15	Red	v	S	S	L	L	М	Y	F	К	3	1.49
Hap_16	Green	v	S	G	L	М	Т	Y	F	К	3	1.49
Hap_17	Red	v	R	G	L	М	М	Y	F	К	3	1.49
Hap_18	Blue	v	R	G	L	L	М	F	L	К	3	1.49
Hap_19	Red	v	R	S	L	L	М	Y	F	Ν	2	1.00
Hap_20	Blue	v	S	G	L	L	М	Y	L	Ν	2	1.00
Hap_21	Blue	v	S	G	F	L	М	Y	F	К	2	1.00
Hap_22	Blue	v	-	S	L	L	М	F	L	К	1	0.50
Hap_23	Green	v	S	G	L	L	Т	Y	F	К	1	0.50
Hap_24	Blue	v	-	G	L	L	М	Y	L	К	1	0.50
Hap_25	Blue	v	S	S	F	L	М	Y	L	К	1	0.50
Hap_26	Green	v	S	G	L	L	М	-	F	К	1	0.50
Hap_27	Red	V	R	S	L	L	М	Y	F	-	1	0.50
Hap_28	Red	V	-	G	L	L	М	Y	F	К	1	0.50
Hap_29	Red	V	R	G	L	L	М	F	F	Ν	1	0.50
Hap_30	Blue	v	S	G	L	L	М	F	F	К	1	0.50
Allele frequency ( 5%)		9.95	22.89	17.41	9.45	89.55	98.01	16.42	34.83	8.96	201	

Hap\_16 is Sal I. The assignment of each haplotype to one of the three clusters (colored as blue, green and red) is indicated.

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#### Table 4

Neutrality and recombination tests of the near full-length Pvmdr1 gene in different populations.

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Population	No. isolates	Fu & Li's F	Fu & Li's D	Tajima's D	Z test	$\rho/\theta$ ratios	Rm events
Thailand (this study)	88	-3.290***	-3.548**	-1.486	-1.464	3.301	6
Tak	22	-1-114	-0.950	-0.973	-2.624	29.927	3
Kanchanaburi	36	-2.153	-2.057	-1.442	-0.755	3.914	3
Ubon Ratchathani	30	-0.962	-1.000	-0.426	0.155*	1362.087	5
Thailand (plasmodb)	13	-1.704	-1.610	-1.182	-1610	52.094	0
China	7	-1.168	-1.053	-1.109	-0.653	0.080	0
PNG	7	1.101	-0.953	1.342	1.034	na	0
India	2	na	na	na	-1.562	na	0
Madagascar	8	-1.056	-0.961	-0.916	-0.077	2.132	1
Mexico	15	-2.345	-2.120	-1.849*	-1.187	0.059	0
Peru	30	-1.381	-1.211	-1.118	-1.565	43.900	1
Brazil	5	-1.113	-1.094	-1.094	-0.542	3386.638	0
Colombia	22	-4.107 **	-3.997 **	-2.412#	-1.931	3.230	2
Others	4	-0.488	-0.492	-0.492	0.022*	na	0
Global	201	-5.474 **	-6.403**	-2.146***	-1.298	1.756	6

 $p^{\#} < 0.01$ 

\*\* p<0.02

\* p<0.05

 $\rho_{\rm estimated}$  recombination rate; Rm; recombination

 $\boldsymbol{\theta}_{\text{estimated mutation rate; na, not analyzed; Other: El Salvador, Mauritania, North Korea, and Vietnam$ 

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	Thailand <sup>1</sup>	Peru	India	Brazil	China	Colombia	Mexico	PNG	Thailand <sup>2</sup>	Madagascar
<b>Thailand</b> <sup>1</sup>	1	0.00002	NA	0.00236	0.00018	0.00002	0.00002	0.00002	0.00002	NA
Peru	0.1535***		NA	0.01042	0.00002	0.00002	0.00002	0.00002	0.00002	NA
India	0.0982	0.4185		NA	NA	NA	NA	NA	NA	NA
Brazil	0.1968 <sup>NS</sup>	$0.2490^{\rm NS}$	0.4683	1	0.00220	0.0000	0.00027	0.00116	0.00033	NA
China	0.2018**	0.4259***	0.0906	$0.3940^{\rm NS}$		0.00002	0.00004	0.00798	0.64489	NA
Colombia	0.2857***	0.2544***	0.5544	0.4291**	$0.5217^{***}$	,	0.00002	0.00002	0.00002	NA
Mexico	0.2568***	0.3875***	0.6481	0.5508*	$0.5514^{**}$	0.5127***	,	0.00002	0.00002	NA
PNG	$0.2761^{***}$	$0.5934^{***}$	0.4426	0.7385 <sup>NS</sup>	$0.2699^{\rm NS}$	0.6831***	0.7564***		0.01720	NA
Thailand <sup>2</sup>	0.1983***	0.3982***	0.2923	0.4255*	$-0.0301^{\rm NS}$	0.5103***	0.5376***	0.2736 <sup>NS</sup>		NA
Madagascar	0.2051	0.4976	0.0644	0.4335	0.1568	0.5658	0.5469	0.1662	0.2360	-
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<sup>4</sup>Country-wise comparison included Fst (below diagonal) and p-value (above diagonal). Thailand<sup>1</sup> and Thailand<sup>2</sup> are from this study and PlasmoDB, respectively. NS, not significant; NA, not analyzed.

#### Table 6

Linkage disequilibrium (LD) of the near full-length *Pvmdr1* gene in the global isolates.

Origin	I <sub>A</sub> S	p value	LD
Thailand (this study)	0.0043	$9.16\times10^{-03}$	Significant
Ubon Ratchathani	0.0072	$9.32\times10^{-03}$	Significant
Tak	0.0006	$3.94\times10^{-01}$	NS
Kanchanaburi	0.0044	$5.61\times10^{-02}$	NS
Thailand (plasmodb)	-0.0015	$6.20\times10^{-01}$	NS
China	0.0205	$2.83\times10^{-02}$	Significant
India	NA	$1.00\times10^{-05}$	Significant
Madagascar	0.0302	$3.03\times10^{-03}$	Significant
PNG	0.0008	1.00e + 00	NS
Mexico	0.0443	$3.00\times10^{-05}$	Significant
Columbia	0.0128	$4.20\times10^{-04}$	Significant
Peru	0.0349	${<}1.00\times10^{-03}$	Significant
Brazil	-0.0005	$8.08\times10^{-01}$	NS

\* Significant LD at p < 0.05 based on 100,000 Monte Carlo Markov chain re-samplings; NA, not analyzed because of the small sample size; NS, not significant.