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## Targeting Processive Transcription Elongation Via SEC Disruption for Myc Induced Cancer Therapy

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## SUMMARY

The Super Elongation Complex (SEC) is required for robust and productive transcription through release of RNA Polymerase II (Pol II) with its P-TEFb module and promoting transcriptional processivity with its ELL2 subunit. Malfunction of SEC contributes to multiple human diseases including cancer. Here, we identify peptidomimetic lead compounds, KL-1 and its structural homolog KL-2, which disrupt the interaction between the SEC scaffolding protein AFF4 and

DECLARATION OF INTERESTS

The authors declare no competing interests.

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K.L. and A.S. conceived and designed the experiments. K. L. conducted most of the cell and biochemical experiments. G.E.S and R.K.M performed the virtual high throughput screening. K.L.S. synthesized the compounds KL-1 and KL-2. G.E.S. supervised the chemical aspects of these studies. Y.A. performed the PRO-seq experiments and D.C.M performed western blotting experiments. E.J.R. performed the flow cytometry analysis. H.K. L.W. and R.H. performed the MDA231-LM2 mice studies. S.A.M. and E.J.R. performed NGS sequencing. K.L. and A.R.W. performed bioinformatics analyses, except that P.A.O. performed the HMM analyses. K.L., E.R.S. and A.S. analyzed and interpreted results and wrote the manuscript with input from all authors.

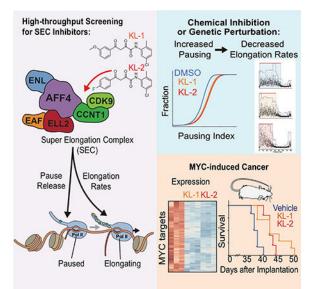
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PTEFb, resulting in impaired release of Pol II from promoter-proximal pause sites and a reduced average rate of processive transcription elongation. SEC is required for induction of heat shock genes and treating cells with KL-1 and KL-2 attenuates the heat shock response from Drosophila to human. SEC inhibition downregulates MYC and MYC-dependent transcriptional programs in mammalian cells and delays tumor progression in a mouse xenograft model of MYC-driven cancer, indicating that small molecule disruptors of SEC could be used for targeted therapy of MYC-induced cancer.

## ETOC

Targeting transcriptional elongation with a small molecule inhibitor of the Super Elongation Complex blocks transcriptional programs driven by the oncogene MYC

## **Graphical Abstract**



#### Keywords

Super Elongation Complex (SEC); transcription elongation; promoter-proximal pausing; processive elongation; MYC; transcriptional addiction in cancer.

## INTRODUCTION

In most metazoans, the majority of Pol II-transcribed genes are regulated at a step called promoter-proximal pausing (Chen et al., 2018; Jonkers and Lis, 2015). Release from pausing requires phosphorylation of the Pol II C-terminal domain (CTD) by cyclin-dependent kinase 9 (CDK9)-containing positive transcription elongation factor b (P-TEFb) (Peterlin and Price, 2006; Zhou et al., 2012). Proper regulation of this transcriptional checkpoint is vital for physiological responses in metazoan development and misregulation of this checkpoint has been found to contribute to human diseases, including cancer (Lin et al., 2010; Bradner et al., 2017; Shilatifard et al., 1996; Smith et al., 2011; Takahashi et al., 2011).

The majority of P-TEFb, comprised of CDK9 and its cyclin partner Cyclin T1 (CCNT1), is sequestered in an inactive form by RNA binding proteins HEXIM1 or HEXIM2 associating with 7SK snRNP (Luo et al., 2012a; Zhou et al., 2012). The inactive complex occupies promoter-proximal regions on chromatin (Ji et al., 2013; McNamara et al., 2016). P-TEFb can be released from the 7SK/HEXIM complex into active complexes such as BRD4/P-TEFb (Yang et al., 2005) and the Super Elongation Complex (SEC) (Lin et al., 2010; Luo et al., 2012b; Zhou et al., 2012). Inhibition of all P-TEFb activity can be achieved with inhibitors such as flavopiridol (Chao and Price, 2001). The activity of BRD4/P-TEFb can be inhibited with small molecules blocking its recruitment to chromatin (Dawson et al., 2011; Filippakopoulos et al., 2010) and phthalimide-conjugated analogs can induce rapid degradation of BRD4 (Winter et al., 2015; Winter et al., 2017). Blocking BRD4/P-TEFb with these compounds inhibits release of paused Pol II into productive elongation with profound effects on MYC targets (Bradner et al., 2017; Delmore et al., 2011). However, studies of SEC in cells are more limited due to a lack of small molecular inhibitors specifically targeting this form of P-TEFb.

In addition to P-TEFb, SEC contains AF4/FMR2 (AFF) family proteins (AFF1–4), the YEATS domain-containing proteins ENL or AF9 (encoded by the *MLLT1* and *MLLT3* genes), the Pol II elongation factors eleven-nineteen lysine-rich leukemia (ELL) proteins, and ELL-associated factor 1 (EAF1) or EAF2 (Lin et al., 2010; Chen et al., 2018; Luo et al., 2012b). Within SEC, the AFF proteins function as scaffolds for binding the other subunits. P-TEFb is required for phosphorylation of Pol II CTD on serine 2 (Ser2P) and transcription elongation factor SPT5 on its C-terminal region to promote release from the promoter-proximal pausing, while ELL proteins have been demonstrated to enhance processivity of elongation by RNA Pol II using *in vitro* transcription assays (Shilatifard et al., 1997; Shilatifard et al., 1996) and in cells (Hu et al., 2013).

SEC is required for rapid induction of transcription in response to cellular signals (Galbraith et al., 2013; Lin et al., 2010; Lin et al., 2011; Takahashi et al., 2011) and is hijacked by HIV Tat to activate proviral genome transcription (He et al., 2010; Sobhian et al., 2010). Mistargeting of SEC is essential for leukemogenesis driven by rearrangements of the mixed lineage leukemia (MLL) gene (Liang et al., 2017; Mohan et al., 2010; Yokoyama et al., 2010). Mutations that stabilize SEC lead to the developmental syndrome CHOPS (Izumi et al., 2015). SEC is a regulatory factor of MYC (Erb et al., 2017; Luo et al., 2012a; Takahashi et al., 2011; Wan et al., 2017), which is a master regulator for cancer cell proliferation and contributes to the pathogenesis of a majority of human cancers by coordinated amplification of transcription (Lin et al., 2012; Nie et al., 2012; Sabo et al., 2014; Walz et al., 2014), and is particularly required for expression of cell division and pre-mRNA splicing factors (Hsu et al., 2015; Koh et al., 2015). Given the importance of transcription elongation control in cancer pathogenesis and the paradigm of BET domain inhibitors in targeting MYC expression and the transcriptional elongation misregulation in cancers (Bradner et al., 2017), developing inhibitors of SEC can serve as a tool for both mechanistic studies of SEC and for cancer therapeutics.

## RESULTS

#### Peptidomimetic identification of disruptors of the Super Elongation Complex

To identify small molecule disruptors of the Super Elongation Complex (SEC), we first examined the crystal structure (PDB: 4IMY) showing AFF4 bound to the CCNT1 subunit of PTEFb (Gu et al., 2014; Schulze-Gahmen et al., 2013). Five residues of the N-terminus of AFF4 (L34, F35, A36, E37 and P38) interacted with the binding groove of CCNT1, comprised of the residues W221, Y224, L163, V164, R165, Y175, F176, D169, W207, W210 and E211 (Figure 1A). Mutation data of CCNT1 residues Y175, E211, D169, F176, R165, W210 and W207 demonstrated the importance of this pocket for interactions with AFF4 (Schulze-Gahmen et al., 2013). A three-tiered grid-based ligand docking glide algorithm was employed to screen for potential compounds binding to the CCNT1 binding pocket (Figure 1A).

To test if candidate compounds can disrupt the AFF4-CCNT1 interaction *in vitro*, we used a bead-based AlphaLISA assay with recombinant CCNT1 (1–300) protein and synthesized BiotinAFF4 peptides (AA 32–67) (Figure S1A, S1B and S1C). We tested the 40 compounds identified from the *in silico* screening at 20 µM with the AlphaLISA assay (Figure 1B) and found that the compound (2Z)-N-(5-Chloro-2-methylphenyl)-2-hydroxy-4-(3-methoxyphenyl)-4-oxo-2butenamide, referred to as "KL-1" (Figure 1C), could inhibit the interaction of AFF4-CCNT1, while neither the pan-CDK9 kinase inhibitor flavopiridol nor the BET domain inhibitors disrupted the AFF4-CCNT1 interaction (Figure 1B).

Based on the structure of KL-1 (Figure 1C), we performed a KL-1 similarity search with ChemDiv compounds and tested the top 32 most similar compounds. The compound (2Z)-N-(5Chloro-2-methylphenyl)-4-(4-fluorophenyl)-2-hydroxy-4-oxo-2-butenamide (referred to as "KL2") shares the same scaffold as KL-1 and modeling of their structures reveals similar peptidomimetic potential for the AFF4 pentapeptide LFAEP (Figure 1C). Both compounds exhibited dose-dependent inhibitory effects on the AFF4-CCNT1 interaction with observed K<sub>i</sub> of 3.48  $\mu$ M and 1.50  $\mu$ M, respectively, for KL-1 and KL-2 (Figure 1D). In order to study the functions of KL-1 and KL-2 in depth, we synthesized these compounds in house as shown in Figure S1D.

KL-1 and KL-2 treatments led to depletion of SEC components AFF1 and AFF4 in both HEK293T and HCT-116 cells within 6 hr (Figure 1E, Figure S1E and S1F), but no major effect on the protein levels of P-TEFb components CCNT1 and CDK9, or on Pol II, BRD2 and BRD4. We confirmed that treating cells with these two compounds results in decreased AFF1 and AFF4 protein using doxycycline-inducible Flag-AFF1 and Flag-AFF4 HEK293T cells (Figure S1G and S1H). KL-1 and KL-2 treatments do not result in decreased mRNA levels of SEC components (Figure S1I). Together, these data demonstrate that KL-1 and KL-2 disrupt the interaction of CCNT1 and the SEC scaffolding AFF proteins, which results in reduced levels of cellular SEC. To investigate the consequences of AFF1 and AFF4 protein reduction on their association with chromatin, we performed ChIP-seq of AFF1 and AFF4 in HEK293T cells treated with KL-1 and KL-2 for 6 hr. Genome browser views of the *HSPA8* gene demonstrate that both inhibitors lead to decreased occupancy of AFF1 and

AFF4 (Figure 1F) and reduced AFF1 and AFF4 chromatin occupancy is observed by genome-wide analysis (Figure 1G and 1H).

#### Small molecule disruption of SEC increases promoter-proximal pausing

Treatment of cells with KL-1 and KL-2 resulted in increased Pol II occupancy at the promoter-proximal regions of *HSPA8* and *SRSF4* loci (Figure 2A). Analysis of the 6,119 Pol II occupied genes in HEK293T cells that are also occupied by AFF1 or AFF4, showed that these genes have increased Pol II occupancy at the promoter-proximal regions after treatment of KL-1 and KL-2 (Figure 2B). We also tested the SEC inhibitors in HCT-116 and Jurkat (J-Lat 6.3 clone) (Jordan et al., 2003) cells and observed similar increases of Pol II occupancy in promoter-proximal regions (Figure S2A, S2B and S2C).

To further investigate the relationship between Pol II and SEC occupancy changes due to SEC inhibitor treatments, we used k-means clustering with the 6,119 Pol II and SEC-occupied genes into three groups: Group I, II and III (Figure S2D). Group I genes exhibited the highest foldchange increase in Pol II occupancy and have the highest AFF1 (Figure S2E) and AFF4 (Figure S2F) occupancy in the control condition, suggesting that the increased Pol II occupancy by KL-1 and KL-2 can be attributed to changes in SEC occupancy. Scatter plot analysis shows that KL-1 (Figure 2C) and KL-2 (Figure 2D) globally reduce AFF1 and AFF4 occupancy (leftward shift) and increases Pol II occupancy (upward shift) at co-occupied genes, suggesting that disruption of SEC by small molecules leads to increases in promoter-proximal Pol II occupancy.

We calculated levels of Pol II pausing based on the ratio of Pol II reads in the gene body and promoter (Figure 2E). Analysis of pausing index revealed that SEC disruptors KL-1 and KL-2 could increase promoter-proximal pausing as shown by the Empirical Cumulative Distribution Function (ECDF) plot (Figure 2F). ECDF analysis of pausing indexes in HCT-116 and Jurkat cells showed similar effects as seen in HEK293T (Figure S2G and S2H), demonstrating that SEC inhibition leads to increased promoter-proximal pausing. To further demonstrate that SEC inhibition through KL-1 and KL-2 increases pausing at the early stage of transcription elongation, we depleted AFF1 and AFF4 proteins in HEK293T cells with short hairpin RNAs (shRNA) and found that co-knockdown of AFF1 and AFF4 leads to increased Pol II occupancy in promoter-proximal regions, as can be seen at the *SRSF4* gene (Figure 2G) and by ECDF analysis (Figure 2H) similar to the use of KL-1 and KL-2 (figure 2F).

#### **Disruption of SEC phenocopies slow Pol II mutants**

Examination of Pol II changes at the 3'-end of genes, such as *SRSF4* and *HSPA8*, revealed a 5'end shift of Pol II from the transcription termination sites (Figure S2I and S2J), which is reminiscent of the recently published phenotype of slow Pol II mutants (Figure 3A) (Fong et al., 2015). Therefore, we tested the effect of KL-1 and KL-2 treatments with the Pol II speed-mutant cells generously provided by the Bentley laboratory (University of Colorado). KL-1 and KL-2 treatments shift the Pol II profile downstream of the TES more 5' in the fast, wild-type (WT) and slow Pol II mutant cells at the *ACTB* and *PIM3* gene (Figure 3B and3C). Performing genome-wide analysis of genes with Pol II termination signals around

the TES sites (Figure S3A), we observed similar Pol II profiles observed by Bentley and colleagues in the slow and fast Pol II cells (Figure 3D) (Fong et al., 2015). Treatment of fast Pol II mutant cells with SEC inhibitors leads to a similar Pol II pattern as the slow Pol II mutant, with a 5' shift in read coverage at the 3'-end of genes (Figure 3D, 3E and S3B), indicating that disruption of SEC phenocopies slow Pol II mutants. To further verify these phenotypes, we performed time and dose-dependent treatment of KL-2 in the 293T cells and observed dose- and time-dependent 5' shifts of Pol II signal around TES sites (Figure S3C and S3D).

Since slow Pol II mutants exhibited hyperphosphorylation of the CTD on Ser2 at the 5'-end of genes due to higher "dwell-time" (Fong et al., 2017), we asked if SEC inhibitor treatments mimicked this phenotype as well. We performed ChIP-seq for the Ser2P form of Pol II in HEK293T cells after 6 hr of KL-1 and KL-2 treatments and observed that KL-1 or KL-2 elevates Pol II Ser2P levels around transcription start sites and their downstream regions, as can be seen at the *SRSF1* gene (Figure S3E) and by metagene analysis of Pol II Ser2P changes (Figure S3F and S3G), further suggesting KL-1 and KL-2 treatments could slow down Pol II transcription elongation.

Since both ELL and the related ELL2 were originally biochemically and mechanistically identified to function as transcription elongation factors to regulate the processive rate (Vmax) of transcription elongation *in vitro* (Shilatifard et al., 1997; Shilatifard et al., 1996) and KL-1 and KL-2 treated cells exhibited phenotypes indicative of less processive Pol II, we therefore measured ELL2 protein levels after KL-1 and KL-2 treatments. We found that KL-1 and KL-2 led to reduced ELL2 protein levels in cells (Figure S3H), suggesting that ELL2 reduction may account for the observed slow-down of Pol II elongation rate (Figure 3E and S3B). To test this, we depleted ELL2 in HEK293T cells (Figure S3I) and found a 5' shift of Pol II signal at TES sites (Figure S3J, S3K and S3L) similar to the KL-1 and KL-2 treatments or the slow Pol II mutant, albeit to a lesser extent. Together, these data suggest that ELL2 reduction contributes to the slow Pol II phenotypes resulting from KL-1 and KL-2 treatments.

To better delineate changes in Pol II occupancy in response to KL-1 and KL-2, we performed precision nuclear run-on and sequencing (PRO-seq) (Kwak et al., 2013), which allows single-nucleotide resolution of polymerase position (Figure 3F and 3G). PRO-seq analysis confirmed that KL-1 and KL-2 treatments result in increased promoter-proximal pausing (Figure S3M and S3N). Increased occupancy of engaged Pol II in the gene body, particularly at the 3'-end of genes as can be seen by metagene analysis (Figure 3H and 3I). Heatmap analysis of expressed genes ranked by gene length is consistent with KL-1 and KL-2 treatments reducing Pol II processivity, which in turn leads to premature termination due to slower transcription elongation of Pol II (Figure 3H). Furthermore, PRO-seq analysis confirms a 3'-end transcriptional defect (Figure 3H and 3J), which is consistent with the Pol II profiles observed in the slow Pol II mutant cells.

#### Disruption of SEC by KL1/KL2 slows Pol II elongation rates

To measure the consequence of SEC inhibition on the rate and processivity of transcription elongation, we used a 4sU-FP-seq strategy employing flavopiridol (FP)-induced pausing

followed by release in the presence of 4sU (Fuchs et al., 2014) (Figure 4A). When cells are pretreated with KL-1 and KL-2, the distance Pol II traveled after release from flavopiridol was markedly reduced, as seen at individual genes (Figure 4B) and by heatmap (Figure 4C) and metaplot analysis (Figure 4D).

We used two different approaches to measure elongation rates in the 4sU-FP-seq experiments as the result of KL1 and/or KL2 treatment. First, we employed a Hidden Markov Model (HMM) method for calculating elongation rates (Danko et al., 2013). We were able to measure elongation rates across all samples for 982 genes (the number of genes for which the model could detect a wave front of Pol II elongation). This analysis demonstrated that both KL-1 and KL-2 treatments decreased the elongation rate of Pol II at the *ACTN2* and *MTR* genes (Figure 4E and S4A), and globally as can be seen by boxplot and histogram analyses of these 982 genes (Figure 4F and 4G). Second, we used the island-based peak caller SICER to use the width of peaks overlapping TSS regions to measure distance traveled (Figure S4B, S4C, S4D and S4E). Together, these data suggest that KL1/KL2-dependnet disruption of SEC results in slower elongating Pol II.

#### SEC inhibitors block paused Pol II release in SEC-dependent rapid response models

SEC has been shown to mediate transcription elongation in rapid response models such as heat shock induced gene expression (Lin et al., 2010; Takahashi et al., 2011) and Tatinduced HIV proviral transcription (He et al., 2010; Sobhian et al., 2010). Therefore, we tested if KL-1 and KL-2 could inhibit the function of SEC in these rapid-response models. For heat shock induction, we pretreated HCT-116 cells with KL-1 or KL-2 for 5 hr to block SEC function and heat shocked cells at 42 °C for 1 hr. Pol II ChIP-seq demonstrates that SEC inhibitors KL-1 and KL-2 block induction of known heat shock inducible genes such as *FOS, HSPD1, HSPE1* and *EGR1* (Figure 5A, 5B and S5A). Analysis of Pol II occupancy at 136 genes induced by heat shock under vehicle conditions (Figure 5C and S5B) demonstrates that KL-1 and KL-2 treatments led to an impaired heat shock response (Figure 5D and 5E).

KL-1 and KL-2 structures mimic the AFF4 pentapeptide LFAEP (Figure 1C) that is conserved across the AFF family in humans and in the sole Drosophila member, Lilliputian (Figure 5F). Therefore, we tested the effect of KL-1 and KL-2 in the heat shock response in Drosophila S2 cells. As shown in Figure 5G, treatment of S2 cells with KL-1 and KL-2 attenuated heat shock induction of the 215 heat shock induced genes in these cells (Figure 5G).

Since SEC interacts with, and is an essential coactivator for the HIV transactivator Tat (He et al., 2010; Sobhian et al., 2010), we examined the effect of KL-1 and KL-2 in this process using the J-Lat 6.3 clone, a derivative of Jurkat cells that has an integrated HIV genome in which GFP replaces the HIV *nef* gene (Jordan et al., 2003). In this system, the activation of the HIV genome can be achieved by treatment with 10 nM Phorbol 12-myristate 13-acetate (PMA) and the expression of the HIV genome can be monitored with GFP fluorescence (Figure S5C). Treating cells with 20  $\mu$ M KL-1 or KL-2 resulted in a strong inhibition of GFP expression in J-Lat 6.3 cells after PMA induction as revealed by FACS analysis (Figure S5D), and this inhibition is dose-dependent (Figure S5E). ChIP-seq of Pol II in the J-Lat 6.3

# Chemical inhibition of SEC by KL1/KL2 downregulates MYC and MYC-dependent transcriptional programs

We performed mRNA-seq of 293T cells after KL-1 and KL-2 treatments for 24 hr and found a large overlap in gene expression changes, with 1,911 genes being downregulated and 1,242 genes being upregulated by both treatments (Figure 6A, 6B and 6C). Gene ontology analysis revealed that RNA splicing-related factors, MYC target gene sets, and cell proliferation-related terms are among the top enriched terms for downregulated genes in response to SEC inhibitors (Figures 6D and S6A). DNA repair, apoptosis, and cellular response to unfolded protein were modestly enriched terms for upregulated genes, suggesting a stress response of these cells after SEC inhibition (Figure S6B).

KL-1 and KL-2 treatments led to decreased expression of *MYC*, canonical MYC targets (Zeller et al., 2003), and RNA splicing-related genes (Figure 6C), which were recently identified as direct MYC targets important for MYC-driven cancers (Hsu et al., 2015; Koh et al., 2015). Interestingly, the *PRMT5* gene, which encodes an enzyme responsible for methylation of splicing machinery proteins, is among the downregulated genes, suggesting that SEC inhibitors perturb the MYC–PRMT5 axis (Koh et al., 2015), which could potentially be used to target splicing vulnerabilities in these cancers. We compared mRNA-seq after SEC depletion with either AFF1 and AFF4 co-knockdown or ELL2 knockdown with gene expression changes after SEC chemical inhibition. We found that 1,221 genes out of the 1,911 (63.8%) SEC inhibitors-downregulated genes were significantly downregulated by SEC subunit depletion, and accordingly, gene ontology analysis demonstrated enrichment for RNA splicing and MYC target gene terms (Figure S6C and 6E), which were also significantly down-regulated after acute-degradation of SEC subunit ENL (Erb et al., 2017).

These findings led us to investigate the potential of using SEC inhibitors in cancer cells exhibiting transcriptional addiction (Bradner et al., 2017), such as those with high expression of MYC, which leads to increased transcription of downstream genes necessary for cancer cell proliferation (Lin et al., 2012; Sabo et al., 2014). We used the previously characterized MYC-amplified small cell lung carcinoma H2171 cells (Lin et al., 2012) and a corresponding low-MYC expressing small cell lung cell line, SW1271 (Figure 6F). ChIP-seq of MYC protein in both H2171 and SW1271 cells confirms that H2171 has more MYC-occupied sites, consistent with a previous study (Lin et al., 2012) (Figure 6G and 6H).

To elucidate the role of SEC in MYC-mediated transcriptional regulation, we performed ChIPseq of SEC subunits in both H2171 and SW1271 cells and found SEC co-localized with MYC on chromatin (Figure 6G). Genome-wide analysis of MYC and SEC occupancy shows that H2171 cells have more MYC and SEC co-bound regions and increased occupancy of SEC (Figure 6H, 6I and 6J), suggesting that SEC is involved in MYC-mediated transcriptional regulation in these cancer cells. We found that H2171 cells (Lin et al., 2012) are more sensitive to KL-1 and KL-2 treatments than SW1271 cells (Figure 6K),

and knockdown of MYC in H2171 cells resulted in decreased sensitivity to SEC inhibition (Figure S6E), indicating that SEC inhibitors could be useful for abrogating the growth advantage of high MYC expressing cancer cells.

Since MYC has been shown to recruit the P-TEFb complex (Rahl et al., 2010) and colocalizes with the P-TEFb containing SEC complex (Figure 6I), we examined the role of MYC in SEC recruitment and productive transcription elongation. We depleted MYC in 293T cells for 2 days and found that MYC depletion led to reduced chromatin occupancy of SEC subunits AFF1 and AFF4 (Figure S6F and S6G). We also performed 4sU-FP-seq in MYC-knockdown cells and found that MYC depletion for 2 days results in reduced processivity at the *MTR* loci (Figure S6H) and observed a global defect in distance traveled after release from flavopiridol (Figure S6I). HMM analysis demonstrated that MYC knockdown led to decreased elongation rates (Figure S6J). These data suggest that SEC acts as a MYC cofactor by enhancing transcription processivity.

# KL1/KL2 disruption of SEC delays tumor progression and improve survival of mice bearing MDA231-LM2 tumor

To determine if the SEC disruptors KL-1 and KL-2 could be used *in vivo* as possible cancer therapeutics through affecting the rate of transcription elongation, we employed the murine MDA231-LM2 tumor model (Figure 7A), which has been extensively characterized as a MYC-dependent tumor model (Hsu et al., 2015) and has been recently established in our lab (Wang et al., 2017). Both KL-1 and KL-2 could inhibit colony formation of MDA231-LM2 cells *in vitro* (Figure S7A) and both SEC disruptors increase apoptosis as shown by Annexin V staining (Figure S7B). We assessed the toxicity of KL-1 and KL-2 in mice with increasing doses and found that injection of 5 doses of 50 mg/kg KL-1 or 10 mg/kg KL-2 for 5 days does not result in significant weight loss in mice after monitoring for 35 days, and no obvious sign of sickness was observed during this period (Figure S7C).

To measure the potential of SEC inhibition in the MDA231-LM2 tumor model, we initiated injection of mice with SEC inhibitors on day 17 after inoculation, when the average tumor size reached 100 mm<sup>3</sup> (Figure 7A). After once daily administration for 15 days, we further monitored tumor weights and mice were euthanized when the tumor size reached 1000 mm<sup>3</sup>. Both KL-1 and KL-2 delayed tumor progression as monitored by tumor sizes (Figure 7B-D). Our study demonstrated that both SEC inhibitors significantly extended survival of recipient mice (Figure 7E). Together, these data suggest that SEC disruptors could potentially be used to delay progression and improve the survival of MYC-dependent cancer.

#### DISCUSSION

The Super Elongation Complex functions as a transcriptional cofactor that is required for driving high rates of transcription for immediate-early genes, heat shock genes under stress, for production of the HIV provirus, and contributes to oncogenesis by driving high rates of coordinated transcriptional programs such as occurs in MYC amplified cancers (Lin et al., 2010; Smith et al., 2011; Luo et al., 2012b). Treatment of mammalian cells with KL-1 and KL-2 result in reduced levels of subunits of SEC AFF1, AFF4 and ELL2. Germline mutations that stabilize AFF4 cause the human developmental disorder CHOPS syndrome

(Izumi et al., 2015). Both AFF4 and ELL2 are targeted by the SIAH1 E3 ligase (Liu et al., 2012). The SEC destabilizing property of KL-1 and KL-2 likely enhances the efficacy of our lead compounds in the transcription elongation assays and *in vivo* animal tumor model. Proteolysis-Targeting Chimera (PROTAC) methods that allow targeted degradation of proteins with small molecules have been shown to be much more efficacious than the small molecule inhibitor alone (Neklesa et al., 2017; Winter et al., 2015; Winter et al., 2017). For example, JQ1 and related compound IBET-151 bind to the bromodomains of BRD4 and block its interactions with acetylated histones on chromatin (Dawson et al., 2011; Filippakopoulos et al., 2010). When JQ1-like molecules are fused to phthalimides to target BRD4 degradation by the endogenous cellular ubiquitin ligase cereblon, the loss of BRD4 protein obviates the need for constant interaction of JQ1 with BRD4 (Lu et al., 2015; Winter et al., 2017).

In this study, we found that SEC disruptors KL1 and KL2 could block transcription elongation in multiple SEC-dependent transcriptional models, demonstrating these compounds can be used a convenient chemical perturbation tool for the mechanistic and functional studies of SEC in other SEC-related cellular and developmental processes. Interestingly, we found that the MYC-dependent cancer cells are sensitive to the SEC inhibitors, providing the mechanistic finding that SEC is co-localized with MYC and exhibit increased occupancy in the MYC-dependent cancers. Indeed, we also showed that SEC complex is involved in MYC-dependent transcription through promotion of transcription elongation rates and these SEC disruptors can be potentially used *in vivo*.

MYC hyperactivation induces transcriptional amplification and increases messenger RNA synthesis, which leads to an increased burden on the core spliceosome to properly process mRNA, suggesting that RNA splicing is a therapeutic vulnerability in MYC-driven cancer (Hsu et al., 2015; Lee and Abdel-Wahab, 2016). RNA expression profiling analysis shows that KL-1 and KL-2 treatment leads to a significantly decreased output of the MYC transcriptional program, including RNA splicing-related genes including the *PRMT5* gene, which is a key regulator among the MYC-upregulated genes (Bezzi et al., 2013; Koh et al., 2015), suggesting that KL-1 and KL-2 could directly target MYC to lead to an impaired downstream MYC-PRMT5 axis.

KL-1 and KL-2 share the same scaffold and have similar activities toward SEC disruption and Pol II processivity, suggesting that this scaffold could function as a lead for future optimization. These leads already exhibit efficacy in impairing SEC function in rapid response models and delaying the progression of a MYC-dependent tumor. Therefore, we anticipate that development of small molecule inhibitors targeting SEC or otherwise slowing RNA Pol II processivity will be useful both for understanding the regulation of transcription elongation in cells and as therapeutic tools for the treatment of human disease including cancer.

## STAR\*Methods

#### CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for reagents may be directed to and will be fulfilled by the Lead Contact, Ali Shilatifard (ASH@Northwestern.edu).

## EXPERIMENTAL MODEL AND SUBJECT DETAILS

#### Cell Lines

HEK293T (female cell line, ATCC CRL-3216), HCT-116 (male cell line, ATCC CCL-247), MDA231-LM2 (female cell line), Flag-AFF1 and Flag-AFF4 HEK293T stable cell lines were cultured in Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% fetal bovine serum (FBS, catalog No. F6178, Sigma). NCI-H2171 [H2171] (male cell line, ATCC CRL-5929) and SW1271 (male cell line, ATCC CRL-2177) small cell lung cancer cells were maintained in RPMI-1640 and DMEM/F12 medium supplemented with 20% FBS. The Jurkat J-Lat full-length cells (6.3) (male cell line, NIH-ARP Cat# 9846–446) were provided by the NIH AIDS Reagent Program and cultured in RPMI-1640 medium with 10% FBS. Drosophila melanogaster S2 cells, a male cell line, were maintained in Schneider's medium. The wild-type Pol II, slow Pol II (R749H) and fast Pol II (E1126G) mutant HEK293T cell lines (Fong et al., 2014) were provided by Dr. David Bentley (University of Colorado School of Medicine) and cultured in DMEM with 10% FBS. After induction with doxycycline (2.0  $\mu$ g/mL) for 16 hr, speed-mutant cells were treated with  $\alpha$ -amanitin (2.5  $\mu$ g/mL, Santa Cruz) for 42 hr prior to ChIP-seq analysis.

#### Plasmids, Peptides and Chemicals

pGEX-2TK cyclin T1 (1–300) (Addgene P#432) was purchased from Addgene and used to express recombinant GST-CCNT1(1–300) in Rosetta cells. GST-CCNT1 (AA1–300) recombinant protein was purified with glutathione superflow agarose (Thermo, Cat# 25236). shRNAs for human AFF1 (TRCN000021975 and TRCN0000330908), and AFF4 (TRCN0000426769 and TRCN0000015825) were obtained from Sigma. ELL2 was also depleted with shRNAs targeting the sequences AAC GCC AGA ATT ATA AGG ATG and AAA TGA TCC CCT CAA TGA AGT.

Biotin labeled AFF4 peptide (AA32–67) and mutant AFF4 peptide abolishing the binding with CCNT1 were synthesized and purified (purity > 96%) by VCPBIO with further Trifluoroacetic acid removal. The sequence for wild-type AFF4 peptide is Biotin-GABA-SPL FAE PYK VTS KED KLS SRI QSM LGN YDE MKD FIG-amide and the mutant AFF4 peptide sequence is Biotin-GABA-SAA AAE PYK VTS KAA KLSS RIQ SAA GNY DEM KDF IG-amide where Biotin indicates N-terminal biotin labeling and GABA indicates a  $\gamma$ -amino-butyric acid spacer. The candidate chemicals from the *in silico* screening were purchased from the vendors ChemDiv, ChemBridge and Enamine.

#### MDA231-LM2 Tumor Model

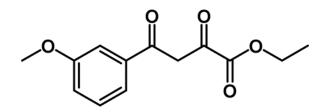
MDA231-LM2 tumor model was established as previously reported (Wang et al., 2017). Briefly, Six-week-old female athymic mice (nu/nu genotype, BALB/c background) were

purchased from Envigo (Indianapolis, IN) and housed under aseptic conditions. All protocols, described below, were approved by the Northwestern University Institutional Animal Care and Use Committee.  $4 \times 10^6$  MDA231-LM2 cells, in 0.4 ml of cell culture media with matrigel (BD Bioscience) were injected in the right mammary pad of mice under anesthetization by isoflurane. For the *in vivo* therapy-response study, mice were randomly assigned to vehicle (DMSO,) KL-1, and KL-2 treatment groups when the size of tumor reached 100 mm<sup>3</sup>. Mice were treated with drug administration by intraperitoneal injection at 50 mg/kg of KL1 and 10 mg/kg of KL2, with once daily administration for 15 days for 3 weeks. The tumor sizes were measured twice a week and the mice were euthanized when the tumor size reached 1000 mm<sup>3</sup>.

#### METHOD DETAILS

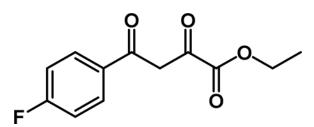
#### **Chemical Synthesis**

All chemical reagents were obtained from commercial suppliers and used without further purification unless otherwise stated. Anhydrous solvents were purchased from Sigma-Aldrich and dried over 3 Å molecular sieves when necessary. Normal phase flash column chromatography was performed using Biotage KP-Sil 50 µm silica gel columns and ACS grade solvents on a Biotage Isolera flash purification system. Analytical thin layer chromatography (TLC) was performed on EM Reagent 0.25 mm silica gel 60 F254 plates and visualized by UV light. Proton (<sup>1</sup>H), and carbon (<sup>13</sup>C) NMR spectra were recorded on a 500 MHz Bruker Avance III with direct cryoprobe spectrometer. Chemical shifts were reported in ppm ( $\delta$ ) and were referenced using residual non-deuterated solvent as an internal standard. The chemical shifts for <sup>1</sup>H NMR and <sup>13</sup>C NMR are reported to the second decimal place. Proton coupling constants are expressed in hertz (Hz). The following abbreviations were used to denote spin multiplicity for proton NMR: s = singlet, d = doublet, t = triplet, q= quartet, m = multiplet, brs = broad singlet, dd = doublet of doublets, dt = doublet of triplets, quin = quintet, tt = triplet of triplets. Low resolution liquid chromatography/mass spectrometry (LCMS) was performed on a Waters Acquity-H UPLC/MS system with a 2.1 mm  $\times$  50 mm, 1.7 µm, reversed phase BEH C18 column and LCMS grade solvents. A gradient elution from 95% water +0.1% formic acid/5% acetonitrile +0.1% formic acid to 95% acetonitrile +0.1% formic acid/5% water +0.1% formic acid over 2 min plus a further minute continuing this mixture at a flow rate of 0.85 mL/min was used as the eluent. Total ion current traces were obtained for electrospray positive and negative ionization (ESI+/ ESI-). High-resolution mass spectra were obtained using an Agilent 6210 LC-TOF spectrometer in the positive ion mode using electrospray ionization with an Agilent G1312A HPLC pump and an Agilent G1367B autoinjector at the Integrated Molecular Structure Education and Research Center (IMSERC), Northwestern University.

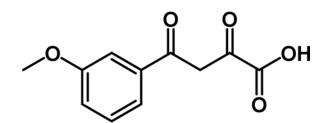


Cell. Author manuscript; available in PMC 2019 October 18.

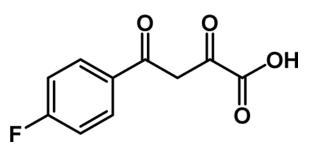
Ethyl 4-(3-methoxyphenyl)-2,4-dioxobutanoate (**2a**): To a solution of diisopropylamine (1.4 mL, 10 mmol) in THF (33 mL) at -78 °C was added *n*-BuLi (4.0 mL, 10 mmol). 3'methoxyacetophenone (0.91 mL, 6.7 mmol) was added slowly, and the reaction was stirred at 78 °C for 15 min. Diethyl oxalate (1.4 mL, 10 mmol) was added slowly, and the reaction stirred at -78 °C for 1.5 hr. The reaction was slowly warmed to room temperature, then was quenched by the addition of 1M HCl (10 mL). The aqueous layer was extracted with EtOAc (3 × 75 mL) and then combined organic layers were washed with 1M HCl (10 mL), saturated aqueous NaHCO<sub>3</sub> (10 mL), water (10 mL), and brine (10 mL). The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, decanted into a round bottom flask and concentrated by rotary evaporation. The crude material was recrystallized from EtOH to obtain **2a** (0.764 g, 46% yield) as an off-white solid. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  15.47 (s, 1H), 7.78 (dt, J = 7.7, 1.3 Hz, 1H), 7.73 (t, J = 2.1 Hz, 1H), 7.62 (t, J = 7.9 Hz, 1H), 7.47 (s, 1H), 7.36 (dd, J = 8.3, 2.6 Hz, 1H), 4.61 (q, J = 7.2 Hz, 2H), 4.09 (s, 3H), 1.63 (t, J = 7.2 Hz, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)  $\delta$  190.98, 169.47, 162.36, 160.17, 136.53, 130.03, 120.64, 120.38, 112.38, 98.38, 62.79, 55.67, 14.26.



Ethyl 4-(4-fluorophenyl)-2,4-dioxobutanoate (2b): To a solution of diisopropyl amine (6.2 mL, 44 mmol) in THF (44 mL) at 0 °C was added *n*-BuLi (16.2 mL, 40.5 mmol). The cloudy yellow solution was stirred at 0 °C for 30 min., then cooled to -78 °C. 4'fluoroacetophenone (3.2 mL, 26 mmol) was added slowly along the sides of the flask and was stirred for 15 min. Diethyl oxalate (7.9 mL, 58 mmol) was added and the reaction stirred at -78 °C for 1 hour. The mixture was warmed to room temperature and stirred for 20 min and the reaction was quenched by the addition of 1M HCl. The organic solvent was removed by rotary evaporation. The aqueous phase was extracted with EtOAc ( $3 \times 75$  mL) and the combined organic layers were washed with 1M HCl (25 mL), saturated aqueuos NaHCO<sub>3</sub> (25 mL), and brine (25 mL). The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated. The crude material was purified by flash column chromatography and recrystallized from EtOH to obtain **2b** (3.38 g, 54 % yield) as a yellow solid. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ 15.83 – 15.03 (m, 1H), 8.42 – 8.09 (m, 2H), 7.50 (s, 1H), 7.42 (t, J = 8.5 Hz, 2H), 4.64 (q, J = 7.1 Hz, 2H), 1.65 (t, J = 7.2 Hz, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>) δ 189.86, 169.33, 166.36 (d, J = 256.5 Hz), 162.27, 131.50 (d, J = 2.5 Hz), 130.72 (d, J = 9.5 Hz)\*, 116.3 (d, J = 22.0 Hz)\*, 97.96, 62.83, 14.25.

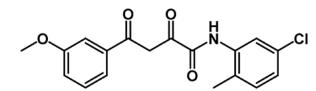


4-(3-methoxyphenyl)-2,4-dioxobutanoic acid (**3a**): To a solution of **2a** (0.764 g, 3.05 mmol) in THF (15 mL) was added a solution of NaOH (1.22 g, 30.5 mmol) in 15 mL of water. The reaction stirred at room temperature for 15 min. The organic solvent was removed by rotary evaporation. The aqueous phase was extracted with Et<sub>2</sub>O ( $3 \times 30$  mL), then acidified with 1M HCl. The aqueous layer was extracted with EtOAc ( $3 \times 50$  mL), and the combined organic layers were washed with brine (50 mL), dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated by rotary evaporation to obtain **3a** (0.450 g, 66% yield) as an off-white solid. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  15.32 (s, 1H), 7.74 – 7.66 (m, 1H), 7.66 – 7.59 (m, 1H), 7.54 (t, J = 8.0 Hz, 1H), 7.37 (s, 1H), 7.29 (dd, J = 8.7, 3.0 Hz, 2H), 4.00 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)  $\delta$  186.77, 174.75, 161.49, 160.07, 134.35, 130.09, 120.69, 120.49, 112.27, 95.27, 55.56.

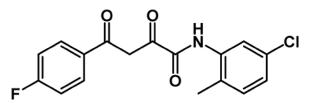


4-(4-fluorophenyl)-2,4-dioxobutanoic acid (**3b**): To a solution of **2b** (3.38 g, 14.2 mmol) in THF (47 mL) was added a solution of NaOH (5.68 g, 142 mmol) in 45 mL of water. The reaction stirred at room temperature for 15 min., then the organic solvent was removed by rotary evaporation. The aqueous phase was extracted with Et<sub>2</sub>O ( $3 \times 50$  mL), then acidified with conc. HCl. The aqueous layer was extracted with EtOAc ( $3 \times 100$  mL), and the combined organic layers were washed with brine (50 mL), dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated to obtain **3b** (1.92 g, 64% yield) as an off-white solid. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  15.21 (s, 1H), 8.25 – 8.01 (m, 2H), 7.27 (s, 1H), 7.22 (t, J = 8.6 Hz, 2H), 7.14 (s, 1H). <sup>1</sup>H NMR (500 MHz, DMSO-D6)  $\delta$  8.30 – 8.02 (m, 2H), 7.41 (t, J = 8.8 Hz, 2H), 7.10 (s, 1H), (Carboxylic acid -OH and enol-OH not observed). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)  $\delta$  185.90, 174.19, 166.49 (d, J = 257.7 Hz), 161.63, 130.62 (d, J = 9.5 Hz)\*, 129.42 (d, J = 3.1 Hz), 116.44 (d, J = 22.1 Hz)\*, 95.08. \* Indicates two equivalent carbons with the same chemical shift that couple with <sup>19</sup>F.

Cell. Author manuscript; available in PMC 2019 October 18.



N-(5-chloro-2-methylphenyl)-4-(3-methoxyphenyl)-2,4-dioxobutanamide (**4a**): Acid **3a** (0.400 g, 1.80 mmol) was dissolved in THF (9.00 mL) and 5-chloro-2-methylaniline (0.33 mL, 2.7 mmol) was added, followed by EEDQ (0.467 g, 1.89 mmol). The reaction stirred at room temperature for 18 hr then was diluted with EtOAc. The organic phase was washed with 1M HCl ( $2 \times 20$  mL), saturated aqueous NaHCO<sub>3</sub> ( $2 \times 20$  mL), water (20 mL) and brine (20 mL). The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated. The crude material was recrystallized from MeOH to obtain **4a** (0.412 g, 66% yield) as a yellow powder. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  15.65 (s, 1H), 9.02 (s, 1H), 8.28 (d, J = 2.2 Hz, 1H), 7.63 (d, J = 7.7 Hz, 1H), 7.59 – 7.49 (m, 1H), 7.43 (t, J = 8.0 Hz, 1H), 7.31 (s, 1H), 7.25 – 7.15 (m, 2H), 7.10 (dd, J = 8.1, 2.2 Hz, 1H), 3.90 (s, 3H), 2.35 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)  $\delta$  185.77, 179.73, 160.15, 159.08, 135.81, 134.96, 132.59, 131.55, 130.12, 126.23, 125.43, 121.28, 120.48, 120.38, 112.19, 94.18, 55.68, 17.20.



N-(5-chloro-2-methylphenyl)-4-(4-fluorophenyl)-2,4-dioxobutanamide (4b): To a solution of **3b** (0.208 g, 0.990 mmol) in THF (5 mL) was added 5-chloro-2-methylaniline (0.18 mL, 1.5 mmol), followed by EEDQ (0.257 g, 1.04 mmol). The reaction stirred at room temperature for 18 hr, then was diluted with EtOAc. The organic phase was washed with 1M HCl ( $3 \times 10$ mL), saturated aqueous NaHCO<sub>3</sub> (3 × 10 mL), water (10 mL), and brine (10 mL). The organic layer was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated by rotary evaporation. The crude material was recrystallized from MeOH to obtain 4b (0.061 g, 59% yield) as a yellow powder. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>): δ 15.65 (s, 1H), 9.00 (s, 1H), 8.27 (d, J = 2.3 Hz, 1H), 8.07 (dd, J = 8.5, 5.3 Hz, 2H), 7.21 (t, J = 8.4 Hz, 2H), 7.16 (d, J = 8.1 Hz, 1H), 7.10 (dd, J = 8.3, 2.2 Hz, 1H), 2.35 (s, 3H). <sup>1</sup>H NMR (500 MHz, DMSO-D6) δ 10.24 (s, 1H), 8.17 (dd, J = 8.5, 5.3 Hz, 2H), 7.58 (d, J = 2.5 Hz, 1H), 7.46 – 7.35 (m, 3H), 7.31 (d, J = 8.3 Hz, 1H), 7.24 (dd, J = 8.3, 2.3 Hz, 1H), 7.17 (s, 1H), 2.22 (s, 3H). 13C NMR (125 MHz, CDCl<sub>3</sub>) & 184.81, 179.44, 166.34 (d, J = 256.6 Hz), 158.98, 135.76, 132.60, 131.57, 130.50 (d, J = 9.4 Hz)\*, 129.91 (d, J = 2.85 Hz), 126.26, 125.48, 121.30, 116.42 (d, J = 22.2 Hz)\*, 93.80, 17.19. \* Indicates two equivalent carbons with the same chemical shift that couple with <sup>19</sup>F.

## In Silico High-throughput Screening

*In Silico* Filtering of The Small Molecule Database for Ligand Preparation—The ZINC database (Irwin et al., 2012), which contains approximately 41 million commercially available compounds, was used for virtual high-throughput screening (vHTS). All

Cell. Author manuscript; available in PMC 2019 October 18.

compounds in the ZINC library were subjected to a panel of PAINS substructures filters with Smiles ARbitrary Target Specifications (SMARTS) strings (Baell and Holloway, 2010) to eliminate promiscuous and non-drug-like molecules that interfere with functionality of the target proteins. Filtering generated a list of approximately 10 million commercially available compounds for further screening. The 10 million compound dataset was then subjected to the LigPrep module of Schrodinger (Small-Molecule Drug Discovery Suite 2017–2, Schrödinger, LLC, New York) in OPLS2005 force field at pH 7.4  $\pm$  1 (physiological pH) retaining the specific chirality. A low energetic 3D structure for each molecule was generated in this ligand preparation panel.

**Protein Preparation for Small Molecule Screening and Grid Generation**—The protein preparation (prot-prep) engine implemented in the Schrödinger software suite was utilized to prepare the protein for small molecule docking simulations. Analysis of the tripartite complex crystal structure (4IMY.pdb) having a resolution 2.94 Å reveals the binding of the AFF4 protein to CCNT1, a subunit of P-TEFb. We observed that five terminal residues of AFF4 (L34, F35, A36, E37 and P38) are having good interactions with the binding groove of CCNT1containing the residues W221, Y224, L163, V164, R165, Y175, F176, D169, W207, W210 and E211. Furthermore, the mutation data of Y175, E211, D169, F176, R165, W210 and W207 of CCNT1 reported in the literature (Schulze-Gahmen et al., 2013) guided us to select the small molecule ligand-binding site. A 12 Å<sup>3</sup> grid was generated considering the centroid of the above mention critical residues in the CCNT1 groove.

**Virtual Screening Workflow**—For vHTS, we began with the curated library of approximately 10 million drug-like compounds described above and the OPLS 2005 force field was set. The ligand van der Waals radii was scaled to 0.80 Å with partial atomic charges <0.15 esu. A three-tier Glide docking algorithm (Small-Molecule Drug Discovery Suite 2017–2, Schrödinger, LLC) was employed that incorporates vHTS followed by Standard Precision (SP) and Extra Precision (XP) docking protocols. The output of this three-tier docking engine was analyzed using the XP-visualization tools by considering the interactions of the compounds with the critical residues reported above. Based on the docking scores, a list of 122 compounds was selected for cross validation using a 5point structure focus pharmacophore generated by the pharmacophore module implemented in BIOVIA software considering the interactions of residues of AFF4 and Cyclin T1. Using the 5point pharmacophore as the query, the glide hits were scored. Based on fitting scores and low energetics conformers, 67 hits were selected. We selected 40 available compounds having a Glide docking score < -6.0. The Glide score is a function of the binding energy (Small-Molecule Drug Discovery Suite 2017–2, Schrödinger, LLC)

#### AlphaLISA Assay

The interaction of CCNT1-AFF4 was measured by Perkin Elmer's bead-based AlphaLISA assays. Recombinant GST-CCNT1 (1–300), which was purified by Glutathione Superflow Agarose from Rosetta cells, and AFF4 peptides were diluted in incubation buffer (25 mM HEPES, PH 7.4, 100 mM NaCl, 0.1% NP-40). GST-CCNT1 (AA1–300) and AFF4 peptides at indicated concentrations were mixed together with 0.5 µg of AlphaScreen Streptavidin

Donor beads, and 0.5  $\mu$ g of Glutathione AlphaLISA Acceptor Beads. For inhibition assays, inhibitors were added right after the mixture of CCNT1 and AFF4 peptide. Reactions were subsequently incubated for 2 hr with agitation in the dark. Plates were read with a Tecan INFINITE M1000 PRO. The dissociation constant Kd of CCNT1-AFF4 interaction was calculated based on the hyperbolic binding equation in Prism 7 (Graphpad). The IC<sub>50</sub> values of the KL-1 and KL-2 were calculated with a four-parameter sigmoid fitting equation in Prism 7 and converted to the inhibitory Constants (K<sub>i</sub>) with the Cheng and Prusoff equation.

#### **Heat Shock Induction**

Heat shock of mammalian cells was performed using ~70–80% confluent HCT-116 cells by adding pre-heated (42 °C) conditioned media collected from identically growing cells (Mahat et al., 2016b). The heat shock cells were incubated at 42 °C for 1 hour. After washing with PBS, the heat shock and non-heat shock HCT-116 cells were fixed with 1% formaldehyde in PBS for downstream ChIP-seq analysis. For heat shock induction of Drosophila S2 cells, the S2 cells were mixed with pre-heated medium to instantly increase the medium temperature from 24 °C to 37 °C and maintained in a water bath at 37 °C for 10 min before fixation for ChIP-seq.

#### Induction of J-Lat 6.3 Cells

The J-Lat 6.3 cell line was derived from human Jurkat cells with the integration of a fulllength green fluorescent protein (GFP)-encoding HIV-1 vector (HIV-R7/E<sup>-</sup>/GFP) under the control of the viral 5'-LTR (Jordan et al., 2003). To measure the effects on Tat-mediated HIV inducibility with flow cytometry, J-Lat 6.3 cells were incubated with 10 nM PMA (Phorbol 12-myristate 13acetate) in the presence of vehicle or SEC inhibitors at the indicated concentrations for 17 hr. Cells were washed in PBS and GFP fluorescence was measured with a FACSVantage instrument (Becton Dickinson, San Jose, CA). Analysis was gated on live cells according to forward and side scatter. A two-parameter analysis to distinguish GFP-derived fluorescence from background fluorescence was used: GFP was measured in FL1 and cellular autofluorescence was monitored in FL2. The percentage of GFP-positive cells was calculated based on live cells (Jordan et al., 2003). The J-Lat 6.3 cells were also induced with 10 nM PMA for 11 hr and then treated with Vehicle or SEC inhibitors for 6 hr prior to ChIP-seq analysis.

#### Chromatin Immunoprecipitation Sequencing

Chromatin Immunoprecipitation Sequencing (ChIP-seq) was performed according to a previously published protocol (Liang et al., 2015). Briefly, cells were crosslinked with 1% paraformaldehyde for 10 min and were quenched with glycine for 5 min at room temperature. Fixed chromatin was sonicated with a Covaris Focused-ultrasonicator for 6 min and immunoprecipitated with the indicated antibody and Dynabeads Protein G. Libraries were prepared with the HTP Library Preparation Kit for Illumina (KAPA Biosystems) and sequenced on a NextSeq 500. ChIP-seq reads were aligned to the Drosophila genome (UCSC dm3) or human genome (UCSC hg19). Alignments were processed with Bowtie version 1.1.2, allowing only uniquely mapping reads with up to two mismatches within the 50 bp read. The resulting reads were extended to 150 bp toward the interior of the sequenced fragment and normalized to total reads aligned (reads per million, r.p.m.). Peaks were called

using MACS (model based analysis of ChIP-Seq) (Zhang et al., 2008) version 1.4.2 using default parameters. Ensembl version 75 transcripts were chosen with the highest total coverage from the annotated TSS to 200 nt downstream for protein coding genes that also have a RefSeq identifier, were at least 2 kb long, and 2 kb away from the nearest gene. The genes with SEC and Pol II occupancy were defined by the overlapping of peaks with Pol II and SEC peaks by MACS 1.4.2 using default parameters. For pausing indexes, the promoter region was defined as -200 bp upstream to 400 bp downstream, and the body region was the remainder of the entire gene body. The ratio of the average coverage (r.p.m.) of the promoter over the average coverage of the gene body was then taken to be the pausing index. ECDF plots were made in R version 3.3.3 using the ecdf function. P-values were calculated with a two-sided Kolmogorov-Smirnov test. Heatmap tables were made for the indicated windows around the TSS or TES using the average coverage (r.p.m.) in 25 bp & 50 bp bins (50 bp bins for 50 kb downstream of the TSS). Metagene tables were made by approximating the coverage across all genes to the same length. All ChIP-seq heatmaps were sorted by the decreasing coverage in indicated windows by the control samples and visualized using JavaTreeView version 1.6.4 (Saldanha, 2004). Average profile plots were made by averaging the coverage for all genes using colMeans in R.

#### Precision Nuclear Run-on and Sequencing

Precision Nuclear Run-on and Sequencing (PRO-seq) was performed according to the previously published protocol (Mahat et al., 2016a) with minor modifications. All 4 biotinylated nucleotides were used at 25 µM each final concentration for the run-on reaction. RPPH (NEB) was used to remove the 5' RNA cap. Libraries were size selected using a 2% agarose gel on a Pippin HT programmed to elute 140-350 bp. After sequencing, adaptors were removed with cutadapt version 1.14 (Martin, 2011). Reads were trimmed from the 3'end to 36 bp with removing low quality bases using Trimmomatic version 0.33 (Bolger et al., 2014) requiring a minimal read length of 16nt. Reads were then mapped to the human genome (UCSC hg19) using Bowtie version 1.1.2 (Langmead et al., 2009). Only uniquely mapped reads with up to 2 mismatches in the entire read were used for further analysis. Read where then converted to single nucleotide 3' BigWig strand specific tracks by taking 5' positions of the read (using bedtools genomecov version 2.17 (Quinlan and Hall, 2010) with options -strand -bg -5. Strands were then swapped to give the correct orientation with the 5'-end now becoming the 3'-end of the read (Mahat et al., 2016a). PRO-seq genome browser track examples show coverage of the entire length of the read for easier visualization. The single nucleotide 3' BigWig strand specific tracks were used to generate all other figures. For the Pol II-selected genes described above, we found the site of maximum coverage, in the region from the annotated TSS to 500bp downstream, to which we assign the pausing site. Heatmap tables were made as described above but instead centering at this calculated pausing site.

#### 4sU-FP-seq

**Cell Labeling with 4sU, RNA Extraction and Fragmentation**—20–50 million cells were treated with flavopiridol for 1–2 hr to pause the Pol II at the TSS sites. For the release of Pol II and measurement of elongation rates, the cells were labeled with 4thiouridine (4sU, Sigma-Aldrich, St. Louis, MO, USA) either in water bath or in plates. For water bath

labeling, the cells were harvested through centrifugation for 3 min at 350 g and washed with PBS twice. Then, the cells were released with prewarmed medium containing 500  $\mu$ M 4-thiouridine for 15 min, and harvested by centrifuge at 1800 g for 3 min. For labeling in plates, the cells were washed with PBS twice after flavopiridol treatment and released with prewarmed medium containing 500  $\mu$ M 4-thiouridine in CO<sub>2</sub> incubator at 37 °C for 15 min. RNA was extracted with 4 mL Trizol (Invitrogen) and 5  $\mu$ L 20 mg/mL glycogen. The extracted RNA was further fragmented by base hydrolysis in 0.2 M NaOH on ice for 18 min, neutralized by adding 1x volume of 1 M Tris-HCl pH 6.8 and precipitated with isopropanol.

**Biotinylation of RNA**—Biotinylation of 4sU-labeled RNA was performed using EZ-Link Biotin-HPDP (Pierce) dissolved in dimethylformamide (DMF, Sigma) at a concentration of 1 mg/mL and stored at 4 °C. Biotinylation was carried out in 10 mM Tris (pH 7.4), 1 mM EDTA, and 0.2 mg/mL BiotinHPDP at a final RNA concentration of 200 ng/µL for 1.5 hrs. at room temperature. After biotinylation, unbound Biotin-HPDP was removed by extracting twice with chloroform and phase lock gel. Afterward, a 1/10 volume of 5 M NaCl and an equal volume of isopropanol was added to precipitate RNA. RNA was collected by centrifugation at 20,000g for 20 min and the pellet was washed with an equal volume of 80% ethanol. The pellet was resuspended in 200 µL RNAse-free water.

**Purification of 4sU-Labeled RNA, Library Preparation and Alignment**—After denaturation of RNA samples at 65 °C for 5 min followed by rapid cooling on ice for 5 min, biotinylated RNA was captured using streptavidin beads. Up to 200 μg of biotinylated RNA were incubated with 50 μL of Dynabeads® MyOne<sup>TM</sup> Streptavidin C1 with rotation for 15 min at room temperature. Beads were washed two times with 65 °C wash buffer (10 mM Tris-HCl, pH 7.4, 1 mM EDTA, 1 M NaCl, 0.1% Tween20) followed by four washes with room temperature wash buffer. Labeled RNA was eluted twice for 5 min with 100 μL of freshly prepared 100 mM dithiothreitol (DTT). RNA was recovered from the elute fractions and purified using the RNeasy MinElute Spin columns (Qiagen). Libraries were made with the TruSeq RNA Sample Prep kit (Illumina) and subjected to Illumina sequencing. 4sU-FP-seq reads were aligned to the human genome (UCSC hg19). Alignments were processed with Bowtie version 1.1.2, allowing only uniquely mapping reads with up to two mismatches within the 50 bp read. The resulting reads were extended to 150 bp toward the interior of the sequenced fragment and normalized to total reads aligned (reads per million, rpm) for each strand.

**Elongation Rate Analysis**—Genes used for calculating elongation rates were required to have an observed transcription start site with 4sU RNA signals, a minimum gene length of 50 kb and must be at least 2 kb away from the transcription start site of another gene. Genes were further filtered for activity/coverage by filtering on the reads-per-million count within each gene body (+400 to TES) in our untreated, wild-type data. Thus, the read coverage must be present at levels above background (r.p.m. > 1). We first used the Hidden Markov Model (HMM) to calculate elongation rates. Advancing waves were identified using a three state Hidden Markov Model (HMM) that was previously developed and implemented on GRO-seq data from a human cell line (Danko et al., 2013). We also used SICER peak calling to determine elongation rates. Peaks were called with SICER version 1.1 (Zang et al., 2009) with the following options --windowSize 150 --fragSize 150 --gapSize 3 with strand separated reads over input. These strand-specific peaks were filtered for an FDR < 0.01. Peaks were merged if there was a gap less than 2 kb. Peaks were then overlapped with TSS's of genes on the same strand that were greater than 50 kb. The distance traveled was calculated from the TSS to the 3'-end of the merged peak and the elongation rate in kb/min was calculated using the time after release.

#### **RNA-seq Analysis**

Total RNA-seq reads were trimmed from the 3' end until the final base had a quality score > 30, using Trimmomatic version 0.33 (Bolger et al., 2014) and then aligned to the human genome (UCSC hg19, using Tophat version 2.1.0 (Kim et al., 2013)) with the following options -no-novel-juncs --read-mismatches 2 --read-edit-dist 2 --num-threads 10 --maxmultihits 20 then post filtering for uniquely mapped reads using the NH flag. Protein coding genes from Ensembl version 75 that also had a RefSeq identifier were only considered for analysis. Raw read counts were normalized to r.p.m. per sample and then displayed in the UCSC genome browser as bigWig-formatted coverage tracks. Exonic reads were assigned to specific genes from Ensembl release 75 using Bioconductor package GenomicRanges countOverlaps. The R Bioconductor package edgeR (Robinson et al., 2010), version 3.12.0 was used to fit the data to a negative binomial generalized log-linear model and estimate a dispersion parameter. To filter out lowly expressed genes, genes had to have at least 1 count per million (c.p.m) in at least 2 samples in each comparison. The total number of uniquely mapped reads was provided to edgeR for the calcNormFactors normalization rather than the default column sums. An adjusted-p value threshold of 0.01 and a  $\log_2 r.p.m$  cut off of 3 was used to identify genes significantly differentially expressed in one experimental condition relative to another. GO term analysis was done using Metascape (Tripathi et al., 2015).

### QUANTIFICATION AND STATISTICAL ANALYSES

Data are presented as Mean  $\pm$  SD. The sample sizes (n) in the figure legends indicate the number of replicates in each experiment and is provided in the corresponding figure legends. The peak or gene size (N) in the heatmaps indicates the number of peaks or genes included. For Figures S1I and S5E, One-Way ANOVA tests were performed with Prism 7 (GraphPad Software, La Jolla, CA) to determine the statistical significance. P value < 0.005 (**\*\***) was considered as highly significantly different, *p* value < 0.05 was considered as significantly different, *p* 0.05. For Figures 2F, 2H, S2G and S2H, the two-sided Kolmogorov-Smirnov test was performed for the ECDF curves and the *p* values were provided in each figure. For Figures S2E, S2F, 4G, S4E, 5E and 5G, the statistical significance was determined by a two-sided Wilcoxon signed-rank test using R 3.3.3 package with the *p* values provided in each figure. For Figures 7D, a 2-tailed unpaired t-test was used for comparison the tumor size between each treatment group. For Figure 7E, the Kaplan-Meier survival curves were plotted with GraphPad Prism 7 and the *p* values were calculated using the log-rank test.

## DATA AND SOFTWARE AVAILABILITY

The accession number for the raw and processed ChIP-seq, RNA-seq, PRO-seq and 4sU-FP-seq data reported in this paper is GEO: GSE112608.

## **KEY RESOURCES TABLE**

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
Rabbit anti-AFF1 Antibody, Affinity Purified	Bethyl Laboratories	Bethyl Cat# A302-344A, RRID:AB_1850255
Rabbit anti-ELL2 Antibody, Affinity Purified	Bethyl Laboratories	Bethyl Cat# A302-505A, RRID:AB_1966087
Pol II Rpb1 NTD (D8L4Y) Rabbit mAb	Cell Signaling Technology	Cell Signaling Technology Cat# 14958, RRID:AB_2687876
BRD2 (D89B4) Rabbit mAb	Cell Signaling Technology	Cell Signaling Technology Cat# 5848, RRID: AB_10835146
BRD4 (E2A7X) Rabbit mAb	Cell Signaling Technology	Cell Signaling Technology Cat# 13440, RRID: AB_2687578
MED26 (D4B1X) Rabbit mAb	Cell Cell Signaling Technology	Cell Signaling Technology Cat# 14950
c-Myc (D3N8F) Rabbit mAb	Cell Signaling Technology	Cell Signaling Technology Cat# 13987, RRID:AB_2631168
Tubulin beta antibody	DSHB	DSHB Cat# E7, RRID:AB_528499
AFF4 Antibody	Proteintech	Proteintech Group Cat# 14662-1-AP, RRID:AB_2242609
Cyclin T1 Antibody (H-245)	Santa Cruz	Santa Cruz Biotechnology Cat# sc-10750, RRID:AB_2073888
HSP 90α/β Antibody	Santa Cruz	Santa Cruz Biotechnology Cat# sc-7947, RRID:AB_2121235
CDK9 Antibody (C-20)	Santa Cruz	Santa Cruz Biotechnology Cat# sc-484, RRID:AB_2275986
Anti-RNA polymerase II subunit B1 (phospho CTD Ser2) Antibody, clone 3E10	Active Motif	Active Motif Cat# 61083, RRID:AB_2687450
FLAG-synthetic antibody	Sigma-Aldrich	Sigma-Aldrich Cat# F3165, RRID:AB_259529
ANTI-FLAG M2 Affinity Gel	Sigma-Aldrich	Sigma-Aldrich Cat# A2220, RRID:AB_10063035
Anti-SPT5 Antibody, clone 6F1	Millipore	Millipore Cat# MABE1803
Rabbit anti-AFF1 serum	(Lin et al., 2010)	N/A
Rabbit anti-ELL2 serum	(Lin et al., 2010)	N/A
Rabbit anti-AFF4 serum	(Lin et al., 2010)	N/A
Rabbit anti-Drosophila Rpb1 antibody	(Lin et al., 2010)	N/A
Bacterial and Virus Strains		
Biological Samples		
Chemicals, Peptides, and Recombinant Proteins		

REAGENT or RESOURCE	SOURCE	IDENTIFIER
4-Thiouridine	Sigma-Aldrich	Cat# T4509
Screening compounds	ChemDiv, ChemBridge and Enamine	N/A
EZ-Link <sup>™</sup> HPDP-Biotin	Thermo	Cat# 21341
KL-1	This study	N/A
KL-2	This study	N/A
Biotin-AFF4 (32-67) peptides	VCPBIO	N/A
Biotin-AFF4 mutant peptides	VCPBIO	N/A
Glycogen	Sigma-Aldrich	Cat# 10901393001
GST-CCNT1 (1-300)	This study	N/A
a-Amanitin	Santa Cruz	CAS 23109–05-9
Biotin-11-ATP	Perkin Elmer	Cat# NEL544001EA
Biotin-11-CTP	Perkin Elmer	Cat# NEL542001EA
Biotin-11-GTP	Perkin Elmer	Cat# NEL545001EA
Biotin-11-UTP	Perkin Elmer	Cat# NEL543001EA
RNA 5' Pyrophosphohydrolase (RppH)	NEB	Cat# M0356S
2% Agarose, PippinHT, 100–600 bp.10/ pkg.	Sage science	Cat# HTC2010
BD Matrigel Matrix	BD Biosciences	Cat# 354234
Critical Commercial Assays		
TruSeq® Stranded Total RNA LT - (with Ribo-ZeroTM Human/Mouse/ Rat) - Set A	Illumina	RS-123–2201
TruSeq® Stranded Total RNA LT - (with Ribo-ZeroTM TM Human/ Mouse/Rat) - Set B	Illumina	RS-123-2202
High-Throughput Library Preparation Kit Standard PCR Amp Module - 96 rxn	KAPA Biosystems	KK8234
RNeasy Mini Kit	Qiagen	Cat# 74104
Vi-CELL Reagent Quad Pak	Beckman Coulter	Cat# 383198
AlphaScreen GST Detection Kit, 500 assay points	Perkin Elmer	Cat# 6760603C
AlphaScreen Streptavidin Donor beads	Perkin Elmer	Cat# 6760002S
RNeasy MinElute Cleanup Kit	Qiagen	Cat# 74204
Glutathione Superflow Agarose	Thermo	Cat# 25236
Dynabeads <sup>®</sup> MyOne <sup>™</sup> Streptavidin C1	Thermo	Cat# 65001
Streptavidin M280 beads	Invitrogen	Cat# 11206D
Dynabeads Protein G	Invitrogen	Cat# 10003D
Phase Lock Gel Heavy	VWR	Cat# 10847–802
Trizol Reagent	Invitrogen	Cat# 15596-018
Crystal violet solution	Sigma-Aldrich	Cat# HT90132-1L

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Dead Cell Apoptosis Kit with Annexin V Alexa Fluor® 488 & Propidium Iodide (PI)	Thermo	Cat# V13245
CellTiter-Glo® 2.0 Assay	Promega	Cat# G9242
Deposited Data		
Raw and analyzed data	This paper	GEO: GSE112608
Human reference genome GRCh37/hg19	Genome Reference Consortium	https://www.ncbi.nlm.nih.gov/assembly/GCF_000001405.13
Drosophila reference genome BDGP Release 5/dm3	Genome Reference Consortium	http://www.fruitfly.org/sequence/release3genomic.shtml
Experimental Models: Cell Lines		
HCT-116, human, male	ATCC	ATCC Cat# CCL-247, RRID:CVCL_0291
J-Lat 6.3 clone (Jurkat cells), human, male	NIH AIDS Program	NIH-ARP Cat# 9846-446, RRID:CVCL_8280
HEK-293T, human, male	ATCC	ATCC Cat# CRL-3216, RRID:CVCL_0063
HEK293T Flag-AFF1	(Lin et al., 2010)	N/A
HEK293T Flag-AFF4	(Lin et al., 2010)	N/A
NCI-H2171 [H2171] (ATCC® CRL-5929™), human, male	ATCC	ATCC Cat# CRL-5929, RRID:CVCL_1536
SW 1271 [SW1271] (ATCC® CRL-2177 <sup>TM</sup> ), human, male	ATCC	ATCC Cat# CRL-2177, RRID:CVCL_1716
FAST Pol II Mutant E1126G HEK293 cells, human, female	(Fong et al., 2014)	N/A
WT Pol II Mutant HEK293 cells, human, female	(Fong et al., 2014)	N/A
Slow Pol II Mutant R749H HEK293 cells, human, female	(Fong et al., 2014)	N/A
MDA231-LM2, human, female	(Wang et al., 2017)	N/A
S2 cells, Drosophila, male	Invitrogen	Cat# R690-07
Experimental Models: Organisms/Strains		
athymic nude mice, nu/nu	Envigo	Hsd:Athymic NudeFoxn1 <sup>nu</sup>
Oligonucleotides		
Recombinant DNA		
shRNA targeting sequence: AFF1 #1 GCCTCAAGTGAAGTTTGACAA	Sigma-Aldrich	Clone ID: TRCN0000021975
shRNA targeting sequence: AFF1 #2 TAGGTTGGGAAAGCCGAAATA	Sigma-Aldrich	Clone ID: TRCN0000330908

REAGENT or RESOURCE	SOURCE	IDENTIFIER
shRNA targeting sequence: AFF4 #1 GCACGACCGTGAGTCATATAA	Sigma-Aldrich	Clone ID: TRCN0000426769
shRNA targeting sequence: AFF4 #2 GCACCAGTCTAAATCTATGTT	Sigma-Aldrich	Clone ID: TRCN0000015825
shRNA targeting sequence: ELL2 #1 AACGCCAGAATTATAAGGATG	This paper	N/A
shRNA targeting sequence: ELL2 #2 AAATGATCCCCTCAATGAAGT	This paper	N/A
Lenti-sh1368 knockdown c-myc shRNA targeting sequence: GACGAGAACAGTTGAAACA	Addgene	Addgene plasmid # 29435
pGEX-2TK cyclin T1 (1-300)	Addgene	Addgene plasmid # P432
Software and Algorithms		
TopHat 2.1.0	(Kim et al., 2013)	https://ccb.jhu.edu/software/tophat/index.shtml
MACS 1.4.2	(Zhang et al., 2008)	http://liulab.dfci.harvard.edu/MACS/
EdgeR 3.12.0	(Robinson et al., 2010)	http://bioconductor.statistik.tu-dortmund.de/packages/2.11/bioc/ht
Bowtie version 1.1.2	(Langmead et al., 2009)	http://bowtiebio.sourceforge.net/index.shtml
Trimmomatic 0.33	(Bolger et al., 2014)	http://www.usadellab.org/cms/index.php?page=trimmomatic
Cutadapt 1.14	(Martin, 2011)	https://cutadapt.readthedocs.io/en/stable/guide.html
Bedtools 2.17	(Quinlan and Hall, 2010)	https://launchpad.net/ubuntu/+source/bedtools/2.17.0-1
Metascape	(Tripathi et al., 2015)	http://metascape.org/gp/index.html
R 3.2.1		https://www.rproject.org/
ZINC database	(Irwin et al., 2012)	http://zinc.docking.org
Small-Molecule Drug Discovery Suite 2017–2	Schrödinger	https://www.schrodinger.com/suites/small-molecule-drugdiscover
Prism 7	GraphPad Software	https://www.graphpad.com
FlowJo	FlowJo, LLC	https://www.flowjo.com
Dassault Systèmes BIOVIA	Dassault Systèmes	https://www.3ds.com/productsservices/biovia/
Other		

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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### HIGHLIGHTS

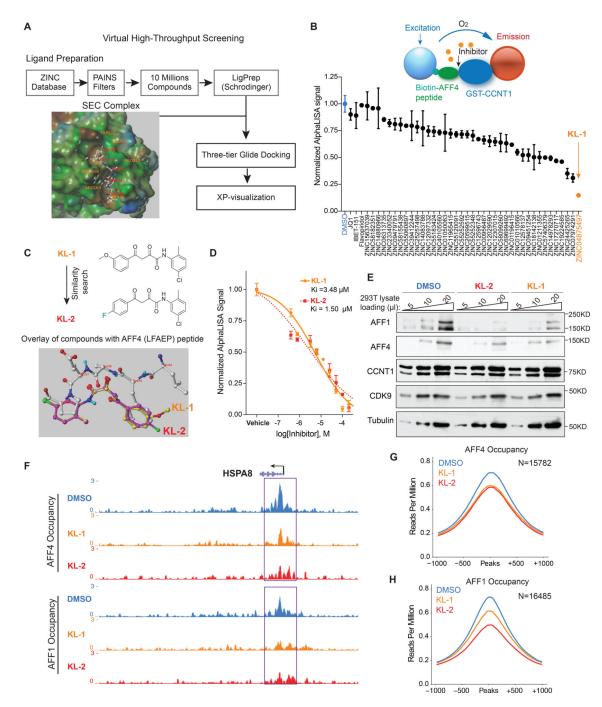
Discovery of small molecule inhibitors of SEC and transcription elongation by Pol II

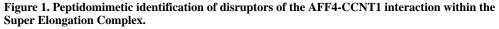
KL-1 and KL-2 disrupt the Cyclin T1-AFF4 interaction within SEC

SEC inhibitors attenuate SEC-dependent rapid transcriptional responses

MYC transcriptional programs are inhibited by SEC chemical disruptors KL-1/KL-2

Liang et al.





(A) Schematic for identifying small molecule disruptors of the AFF4-CCNT1 interaction using *in silico* screening. Compounds from the ZINC database were docked with the AFF4-CCNT1 structure (4IMY) using a three-tier glide-docking algorithm, which led to 40 candidates. Residues in the binding pocket of CCNT1 are labeled orange and residues of the AFF4 peptide are labeled red.

(B) Validation screening identified KL-1 as a potential SEC disruptor using AlphaLISA screening. GST-CCNT1 (AA 1–300) and biotin-labeled AFF4 peptide (AA 32–67) were used to measure the AFF4-CCNT1 interaction with the AlphaLISA assay.
(C) Similarity search for KL-1-like molecules identified KL-2. The peptidomimetic

potential of KL-1 and KL-2 can be seen by overlaying them with the AFF4 peptide LFAEP structure.

(**D**) Dose-dependent inhibition of KL-1 and KL-2 on AFF4-CCNT1 interaction. The  $K_i$  constants of both compounds were measured with the AlphaLISA assays.

(E) KL-1 and KL-2 treatment results in reduced protein levels of SEC components AFF1 and AFF4 but not CDK9 or CCNT1. HEK293T cells were treated with 20  $\mu$ M of SEC inhibitors KL1 or KL-2 for 6 hr. 5  $\mu$ l, 10  $\mu$ l and 20  $\mu$ l cell lysates were loaded and the protein levels of AFF1, AFF4, CCNT1, CDK9 and Tubulin (load control) were determined by western blotting.

(**F-H**) ChIP-seq analysis demonstrates that KL-1 and KL-2 treatment results in decreased occupancy of AFF1 and AFF4 on chromatin as seen at the *HSPA8* gene (F) or by metaplot analysis at AFF1 (G) and AFF4 peak regions (H). See also Figure S1.

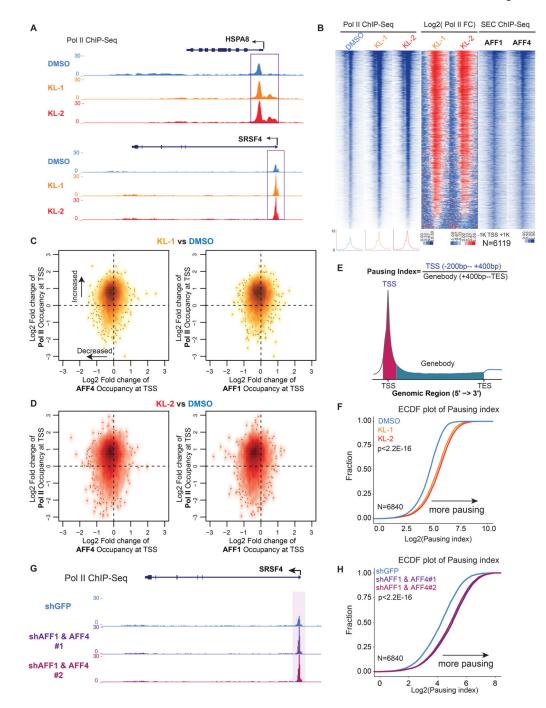


Figure 2. Small molecule disruption of SEC increases promoter-proximal pausing.(A) SEC inhibitors increase Pol II occupancy near transcription start sites (TSS) of the

HSPA8 and SRSF4 genes. Pol II ChIP-seq was performed in HEK293T cells with 20 μM SEC inhibitors KL-1 and KL-2 for 6 hr. Coverage is in reads per million (rpm).(B) Heatmap analysis of Pol II occupancy at SEC-occupied genes in cells treated with KL-1 and KL-2 shows increased Pol II occupancy at promoter-proximal regions. Rows are sorted by Pol II occupancy in the DMSO condition and metaplots of Pol II occupancy are shown at

the bottom. Corresponding AFF1 and AFF4 occupancy (rpm) in the vehicle-treated condition is shown.

(C-D) Scatter plots of log<sub>2</sub> fold changes of Pol II occupancy versus log<sub>2</sub> fold changes of AFF4 (left panels) or AFF1 in (right panels) in KL-1 (C) or KL-2 (D) treated cells. SEC inhibitor treatments result in increased Pol II occupancy and decreased AFF4 and AFF1 occupancy at most of the 6,119 expressed and SEC-occupied genes.

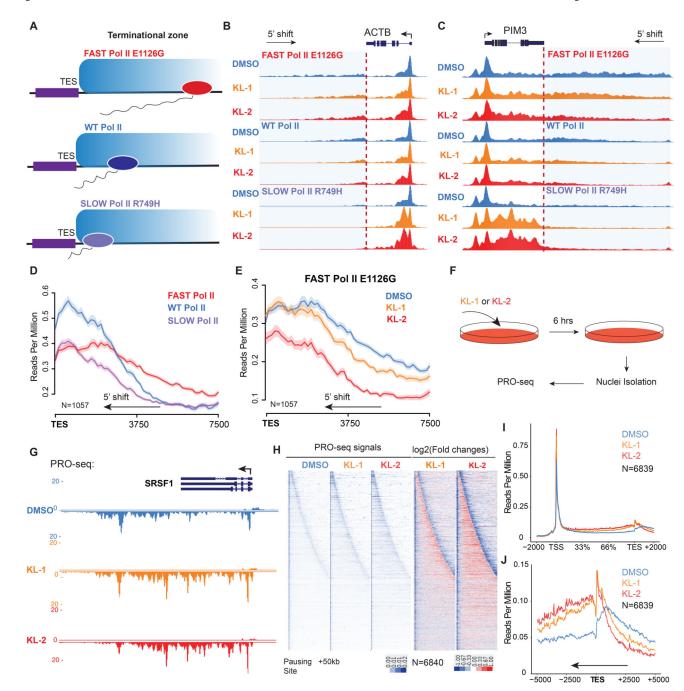
(E) Illustration of the pausing index calculation based on the ratio of Pol II occupancy around the TSS to Pol II occupancy in the gene body.

(**F**) Empirical cumulative density function (ECDF) plots of Pol II pausing index in vehicle and SEC inhibitors-treated cells at the 6,840 expressed genes in 293T cells.

(G-H) Knockdown of AFF1 and AFF4 by shRNA-mediated RNAi shows similar pausing index changes as SEC inhibitors. (G) Genome browser tracks of Pol II occupancy at the *SRSF4* gene. (H) ECDF plot of Pol II pausing index in non-targeting (shGFP), AFF1 knockdown (shAFF1) and AFF4 knockdown (shAFF4). See also Figure S2.

Liang et al.

Page 34



**Figure 3. Disruption of SEC phenocopies slow Pol II mutants and reduces Pol II processivity.** (A) Schematic of alpha-amanitin resistant (N792D) versions of Pol II that are otherwise wildtype (WT) or have a mutation in the trigger loop (E1126G) that results in faster Pol II or the funnel domain (R749H) that results in slower Pol II (Fong et al., 2014). Alpha-amanitin resistant Pol II is induced with doxycycline while alpha-amanitin is used to inhibit and cause the degradation of endogenous Pol II.

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(**B-C**) Genome browser tracks of Pol II ChIP-seq coverage at the *ACTB* (B) and *PIM3* (C) genes in the fast, WT and slow Pol II mutant cells after both SEC inhibitors. Dotted line indicates the position of the annotated transcription end site (TES) site.

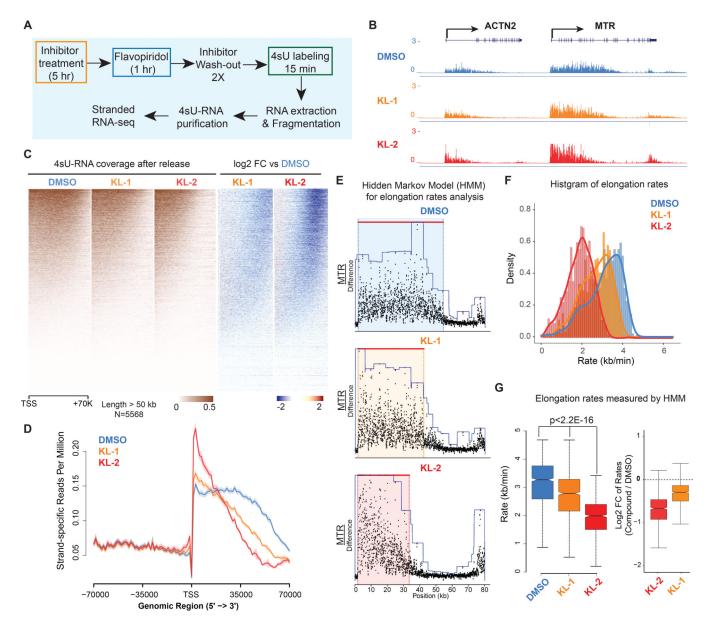
(**D**) Metaplots of Pol II ChIP-seq coverage at the 3'-end of genes in the fast, WT and slow Pol II mutant cells. The region from TES to 7.5 kb downstream of the TES for the 1,057 genes with typical Pol II termination signals in HEK293T cells is plotted (Figure S3A). Pol II appears to terminate earlier in the R749H slow Pol II mutant relative to WT Pol II cells, while the E1126G fast Pol II mutant appears to have a delayed termination with a less prominent peak of Pol II signal 3' of the TES.

(E) Treatment of the fast Pol II mutant expressing cells with SEC inhibitors leads to a Pol II phenotype similar to slower Pol II mutant expressing cells as viewed at the 3'-end of genes. (F-H) PRO-seq analysis in the presence or absence of SEC inhibitors. (F) HEK293T cells were treated for 6 hr with the indicated compounds before nuclear isolation and precision nuclear run-on sequencing (PRO-seq). (G) Genome browser tracks of PRO-seq signal at the *SRSF1* gene in vehicle and SEC inhibitor-treated cells. (H) Metagene plot of PRO-seq signal from pausing sites to TES. (H) Heatmap of Pol II occupancy (rpm) and log<sub>2</sub> fold changes in vehicle or the indicated SEC inhibitors at single-nucleotide resolution. Rows represent genes and are sorted by gene length from shortest to longest and are shown from the predicted pausing site determined by PRO-seq to 50 kb downstream.

**(I-J)** SEC inhibitors reduce the Pol II processivity at gene body, especially, the 3'-end of the gene body. Metagene plotting (I) and Metaplot analysis of PRO-seq signal in the 10 kb region surrounding the annotated TES (J) were performed with all of the expressing genes (N=6,840). See also Figure S3.

Liang et al.

Page 36



#### Figure 4. Small molecule disruption of SEC slows Pol II elongation rates.

(A) Workflow of 4sU-FP-seq based measurement of transcription elongation rates. HEK293T cells were pretreated with vehicle or 20  $\mu$ M SEC inhibitors for 5 hr before addition of the CDK9 inhibitor flavopiridol for 1 hr to arrest Pol II at the promoter-proximal pause site. Inhibitors are washed out with PBS before allowing transcription to proceed in the presence of fresh medium containing 500  $\mu$ M 4-Thiouridine (4sU) for 15 min. The 4sUlabeled RNA was extracted and fragmented before purification, and followed with RNA-seq. (**B**) Genome browser tracks of 4sU-FP-seq for vehicle and SEC inhibitor-treated cells at the *ACTN2* and *MTR* loci.

(C) Heatmap analysis of 4sU-FP-seq in vehicle and the indicated SEC inhibitors in HEK293T cells. All genes longer than 50 kb (N= 5,568) were plotted and ordered using the total 4sU-FPseq signals in the vehicle-treated cells.

(**D**) Metaplot of strand-specific 4sU-FP-seq signals in vehicle and SEC inhibitors-treated cells.

(E) Hidden Markov Model (HMM) for elongation rate analysis. Raw changes in 4sU-FP-seq read counts in non-overlapping 50 bp windows were used to infer elongation rates for the *MTR* gene. Boxes show the span of advancing wave inferred by a 3-state HMM analysis. (F-G) Histograms (F) and boxplots (G) comparing transcription elongation rates for 982 genes in HEK293T cells for which high confidence elongation rates could be determined. Statistical analysis was performed with the Wilcoxon test. See also Figure S4.

Liang et al.

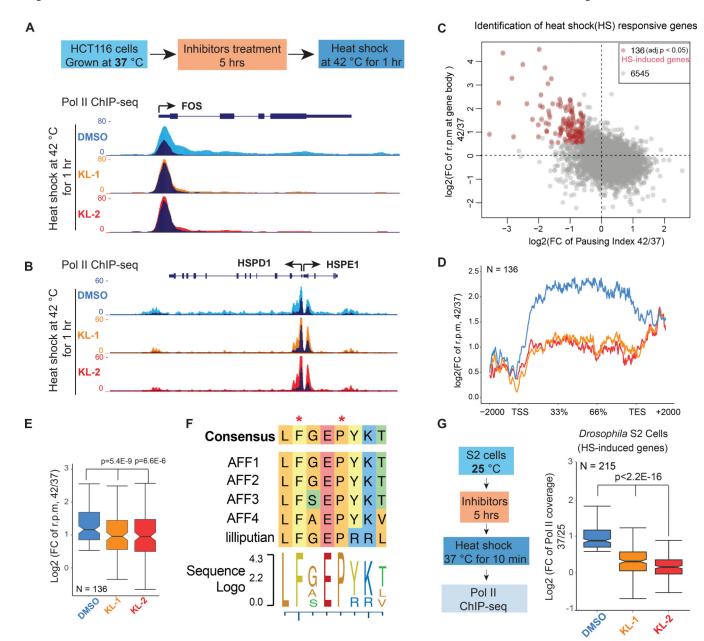


Figure 5. SEC inhibitors block transcription elongation in SEC-dependent rapid response models.

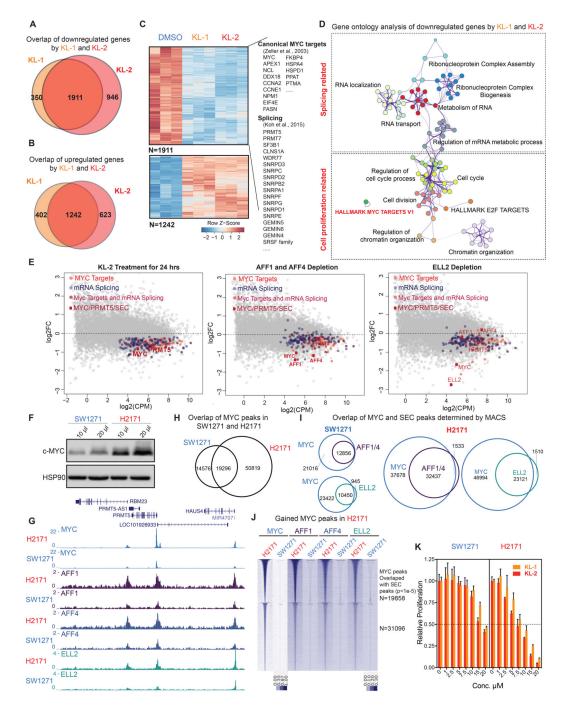
(A-B) Genome browser views of Pol II ChIP-seq at *FOS*, *HSPD1* and *HSPE1* after 1 hr heat shock of HCT-116 cells treated with vehicle (DMSO) or the indicated SEC inhibitors. HCT-116 cells were pretreated with inhibitors for 5 hr at 37 °C before exchanging medium with conditioned 42 °C medium. Dark and light colors indicate the 37 and 42 °C conditions, respectively.

(C) Genome-wide identification of heat shock-induced genes with fold change of reads per million (rpm) in gene bodies and pausing index. Red dots are the 136 heat shock-induced genes according to Pol II signals (fold change rpm in gene bodies > 1.5 and pausing index decreased > 1.5-fold in the DMSO treatment).

(**D-E**) Metagene plot of the 136 heat-shock induced genes shows attenuated induction with both inhibitors (D). Box plot analysis depicts the  $\log_2$  fold changes (rpm) in gene bodies (E) (y axis) after heat shock with pretreatment of vehicle or SEC inhibitors.

(**F**) Sequence alignment of the CCNT1 interacting region in human AFF family proteins and the Drosophila homolog Lilliputian.

(G) Genome-wide analysis of SEC inhibitors effect on the heat shock response in Drosophila S2 cells (N=215). S2 cells treated with and without 20  $\mu$ M SEC inhibitors were heat shocked 10 min at 37 °C before performing Pol II ChIP-seq. Statistical analysis was performed with the Wilcoxon test. See also Figure S5.



#### Figure 6. SEC disruption targets MYC and MYC target genes.

(**A-B**) Venn diagram of deregulated genes in 293T cells by KL-1 and KL-2. 1,911 genes were downregulated and 1,242 genes were upregulated by both inhibitors.

(C) Heatmap showing expression changes in response to SEC inhibitors, with differentially expressed canonical MYC targets, RNA splicing factors, and core SNRP assembly genes highlighted. Z score-normalized values are displayed (n=3).

(**D**) Network enrichment analysis with Metascape (Tripathi et al., 2015) of the 1,911 genes downregulated by both KL-1 and KL-2. Each cluster is represented by different colors and a circle node denotes an enriched term.

(E) MA plots of RNA splicing and Hallmark MYC target genes in KL-2 treated, AFF1 and AFF4 co-depletion, and ELL2-depleted cells. Circles mark downregulated splicing and Hallmark MYC target genes. The *MYC* and *PRMT5* genes, and genes encoding SEC components are denoted as red squares.

(**F**) Western analysis of MYC levels in the MYC lowly expressed small cell lung cancer cell line SW2171 and MYC-amplified small cell lung cancer cell line H2171.

(G) Increased SEC at MYC binding sites in MYC highly expressed H2171 cells. Genome browser views of MYC and SEC occupancy around the *PRMT5* gene in SW1271 and H2171 cells are shown.

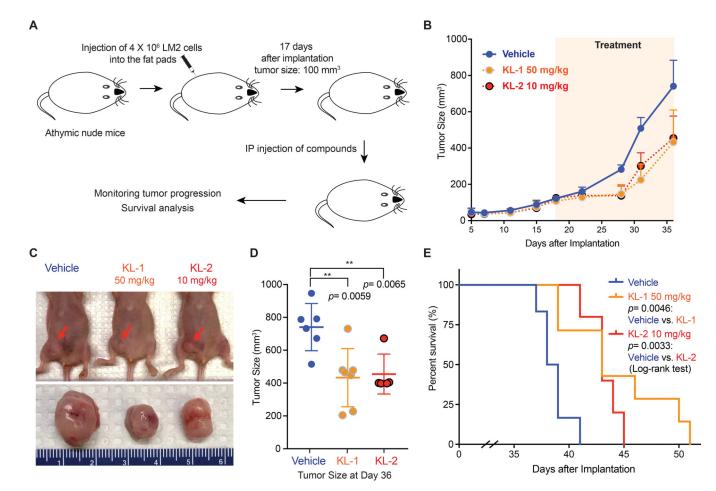
(**H**) ChIP-seq analysis of MYC binding peaks in SW1271 and H2171 cells. Venn diagram of MYC peaks showing that H2171 cells gain more MYC binding sites.

(I) MYC and SEC overlap in SW1271 and H2171 cells. The SEC and MYC peaks were determined by MACS with a *p*-value cutoff at 1E-5.

(J) Heatmap of SEC occupancy at the 50,819 gained MYC binding sites in H2171 cells. The heatmap is separated based on whether gained SEC peaks could be called by MACS with a p-value cutoff of 1E-5.

(**K**) MYC-amplified H2171 cells are more sensitive to KL-1 and KL-2 inhibition than MYC lowly expressed SW2171 cells. Both cell lines were treated with increasing concentrations of KL-1 and KL-2 for 3 days and cell proliferation was measured with CellTiter-Glo (Promega) (n=3–6). See also Figure S6.

Liang et al.



# Figure 7. SEC disruptors delay tumor progression and improve survival of MDA231-LM2 tumor mice.

(A) Schematic of MDA231-LM2 tumor development in athymic nude mice (Wang et al., 2017).  $4 \times 10^6$  MDA231-LM2 cells were inoculated into fat pads of nude mice. 17 days after injection, when the tumor reached 100 mm<sup>3</sup>, mice were divided randomly into three groups. Drug treatments were performed once daily with 50 mg/kg KL-1, 10 mg/kg KL-2 or PBS (vehicle) for a total of 15 intraperitoneal injections.

(**B-C**) KL-1 and KL-2 delay tumor growth in the MDA231-LM2 tumor mouse model. Average tumor sizes of the vehicle (n=6), KL-1 (n=7), and KL-2 (n=5) treated groups were plotted from day 5 to day 36 after inoculation (B). Representative tumors sizes are shown (C).

(**D**) Dot plots of tumor sizes at day 36 after inoculation and vehicle, KL-1, and KL-2 treatment, indicating that SEC disruptors KL-1 and KL-2 delay tumor progression *in vivo*. A 2-way unpaired student's t-test was used for statistical analysis.

(E) Kaplan-Meier survival curves of vehicle, KL-1, and KL-2 treated nude mice transplanted with  $4 \times 10^6$  MDA231-LM2 cells. 17 days after inoculation, vehicle (n=6), KL-1 (n=7), and KL-2 (n=5) were administered daily for 15 intraperitoneal injections. Mice were euthanized when the tumor size reached 1000 mm<sup>3</sup>. The *p* values were calculated using the log-rank test. See also Figure S7.