

## RESEARCH ARTICLE

# Comparative evaluation of in-house Carba NP test with other phenotypic tests for rapid detection of carbapenem-resistant Enterobacteriaceae

Raunak Bir | Sarita Mohapatra  Amarjeet Kumar | Sonu Tyagi | Seema Sood | Bimal Ku Das | Arti Kapil

Department of Microbiology, All India Institute of Medical Sciences, New Delhi, India

**Correspondence**

Sarita Mohapatra, Department of Microbiology, All India Institute of Medical Sciences, New Delhi, India.

Email: saritarath2005@yahoo.co.in

**Abstract**

**Background:** The prevalence of carbapenem-resistant Enterobacteriaceae (CRE) is alarming worldwide causing serious infections. Rapid and accurate identification of CRE is crucial to reduce the mortality and morbidity. In this study, we tried to develop an in-house Carba NP test for detection of CRE and evaluate its performance with others.

**Methods:** A prospective study was conducted with 40 nonrepeating Enterobacteriaceae isolates over a period of 3 months. All the isolates were screened for carbapenem resistance as per CLSI 2016 guidelines followed by PCR for blaNDM-1, blaOXA-48, blaKPC, blaVIM, and blaIMP genes. All the isolates were subjected to five phenotypic tests, that is, in-house Carba NP (iCarba NP), commercial Carba NP (cCarba NP), Blue-Carba, modified Hodge test (MHT), and CHROMagar.

**Results:** Among the 40 isolates, 87.5% were identified as *Escherichia coli*, 7.5% were *Klebsiella pneumoniae*, 2.5% were *Enterobacter cloacae*, and 2.5% were *Citrobacter freundii*. Thirty-three of 40 (82.5%) isolates were found to harbor one or more resistant genes. Considering PCR to be the gold standard test, sensitivity of the phenotypic methods for CRE detection ranged from 63.6% (MHT) to 96.9% (CHROMagar). Both cCarba NP and iCarba NP observed to have highest specificity. The performance of iCarba NP was found comparable with cCarba NP by kappa score 1 and found approximately 10 times less expensive than cCarba NP.

**Conclusion:** CHROMagar was observed most sensitive assay for detection of CRE followed by both Carba NP tests. iCarba NP was proved cheaper and equally good as cCarba NP for detection of CRE.

**KEYWORDS**

Carba NP test, carbapenem-resistant Enterobacteriaceae, CHROMagar, modified Hodge test

Abbreviation: BCarba, Blue-Carba; B-PERII, Bacterial Protein Extraction Reagent; cCarba NP, commercial Carba NP; CDC, Centers for Disease Control and Prevention; CLSI, Clinical and Laboratory Standards Institute; CP-CRE, carbapenamase-producing carbapenam-resistant Enterobacteriaceae; CRE, carbapenem-resistant Enterobacteriaceae; iCarba NP, In-house Carba NP; mCIM, modified carbapenamase inhibition method; MHA, Mueller-Hinton agar; MHT, modified Hodge test; NPV, negative predictive value; PPV positive predictive value

## 1 | INTRODUCTION

Carbapenem-resistant Enterobacteriaceae (CRE) has become a major clinical challenge globally. Centers for Disease Control and Prevention (CDC), the United States, estimated 9300 patients

infected with CRE causing 610 deaths annually.<sup>1</sup> Carbapenem resistance is manifested either due to production of carbapenemase enzymes or due to noncarbapenemase mechanisms, for example, alterations in porin channels, mutation in the expression of efflux pumps, or AmpC production.<sup>2</sup> Carbapenemase-producing carbapenem-resistant Enterobacteriaceae (CP-CRE) is observed as a major threat to healthcare system due to its ability to disseminate rapidly among various bacterial clones through plasmids. The most prevalent carbapenemase enzymes include *bla*NDM-1, *bla*OXA-48, *bla*OXA-23, *bla*KPC, *bla*VIM, and *bla*IMP.<sup>3</sup> In addition, these isolates often carry other non- $\beta$ -lactam resistance determinants that give rise to multi-drug-resistant and pan-drug-resistant isolates.<sup>4</sup> Rapid and accurate identification of CRE is crucial not only to reduce the mortality and morbidity, but also to implement infection control measures. The presence of multiple resistance mechanisms among CREs makes their identification difficult.<sup>5</sup> Currently, several phenotypic tests (e.g. Carba NP test, MHT, CHROMagar, modified carbapenemase inhibition method [mCIM], and Blue-Carba [BCarba]) and molecular methods are available for the detection of CRE. However, all these methods have their own limitations in terms of sensitivity, specificity, rapidity, cost-effectiveness, and availability of laboratory facility.

Here, in this study we tried to develop an iCarba NP test for rapid and accurate identification of CP-CRE. We also tried to evaluate its performance with other available phenotypic methods cCarba NP (RAPIDEcW CARBA NP), BCarba, MHT, and CHROMagar.

## 2 | MATERIALS AND METHODS

A prospective study was conducted with 40 nonrepeating *Enterobacteriaceae* isolates over a period of 3 months (from November 2016 to January 2017). All the isolates were phenotypically identified by the MALDI-TOF (BioMerieux, Durham, NC, USA).

### 2.1 | Screening test for CRE

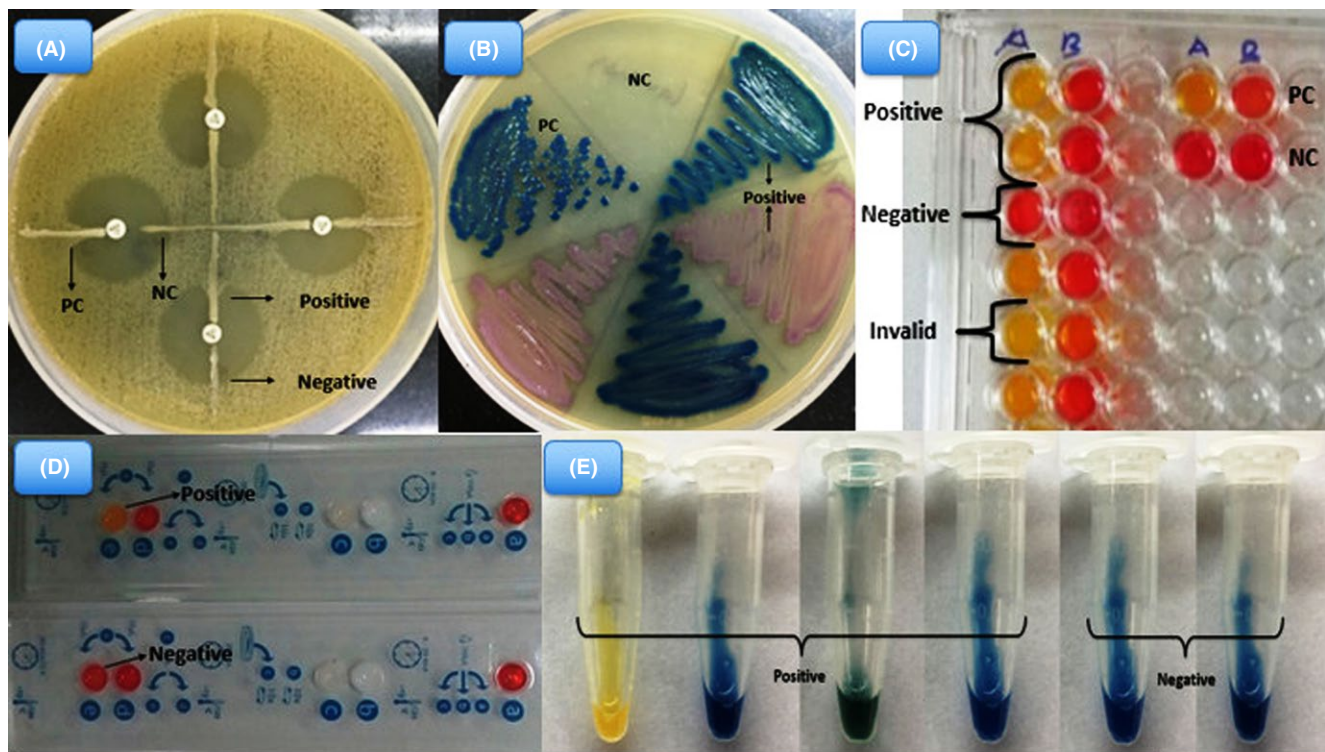
All the 40 isolates were screened for carbapenem resistance as per Clinical and Laboratory Standards Institute (CLSI) 2016 guidelines using four carbapenem disks (imipenem 10  $\mu$ g, meropenem 10  $\mu$ g, ertapenem 10  $\mu$ g, and doripenem 10  $\mu$ g).<sup>6</sup> Isolates were further confirmed by PCR for five carbapenemase genes (*bla*NDM-1, *bla*OXA-48, *bla*KPC, *bla*VIM, and *bla*IMP) using published primers.<sup>7</sup> PCR was considered as gold standard test to evaluate the performance of all the assays.

### 2.2 | In-house Carba NP

The iCarba NP test was performed using the following method. Two solutions were prepared.

#### 2.2.1 | Solution A

One microliter solution (made up of 3 mg of imipenem monohydrate + 0.5% phenol red solution + 0.1 mmol/L ZnSO<sub>4</sub> at pH 7.8).



1A: Modified Hodge Test, 1B: CHROMagar, 1C: In-house Carba NP Test, 1D: Commercial Carba NP Test, 1E: Blue-Carba Test

**FIGURE 1** Figure showing various phenotypic tests for detection of carbapenem resistance

**TABLE 1** Comparison of the iCarba NP with other phenotypic methods for detection of CP-CRE

Phenotypic tests	Positive (%)	Negative (%)	Indeterminate (%)	Sensitivity (95% CI)	Specificity (95% CI)	Positive predictive value (95% CI)	Negative predictive value (95% CI)	Unweighted kappa value
iCarba NP (n = 40)	33 (82.5%)	4 (10%)	3 (7.5%)	93.9% (78.3-98.9)	71.4% (30.2-94.8)	93.9% (78.3-98.9)	71.4% (30.2-94.8)	0.653
cCarba NP (n = 40)	33 (82.5%)	3 (7.5%)	4 (10%)	93.9% (78.3-98.9)	71.4% (30.2-94.8)	93.9% (78.3-98.9)	71.4% (30.2-94.8)	0.653
MHT (n = 40)	23 (57.5%)	16 (40%)	1 (2.5%)	63.6% (45.1-79)	71.4% (30.2-94.9)	91.3% (70.5-98.5)	29.4% (11.4-56)	0.724
CHROMagar (n = 40)	34 (85%)	6 (15%)	0 (0%)	96.9% (82.4-99.8)	71.4% (30.2-94.8)	94.1% (78.9-98.9)	83.3% (36.4-99.1)	0.224
BCarba (n = 40)	35 (87.5%)	5 (12.5%)	0 (0%)	96.9% (82.5-94.8)	57.1% (20.2-88.2)	91.4% (75.8-97.7)	80% (29.8-98.9)	0.609

Kappa value  $\geq 0.6$  is significant.

MHT, modified Hodge test; iCarba NP, in-house Carba NP test; cCarba NP, commercial Carba NP test; BCarba, Blue-Carba.

## 2.2.2 | Solution B

One microliter solution (made up of 0.5% phenol red solution + 0.1 mmol/L ZnSO<sub>4</sub> at pH 7.8).

Two calibrated loops (10  $\mu$ L) of the tested isolates from Mueller-Hinton agar (MHA) plate were suspended in 200  $\mu$ L of Bacterial Protein Extraction Reagent (B-PERII). The mixture was vortexed for 1 minute and incubated at room temperature for 30 minutes. One hundred microliters of the bacterial suspension was put in a 96-well U-bottom microtiter plate in two adjacent wells as duplicate. One hundred microliters of solution A and 100  $\mu$ L of solution B were added in the first column and in adjacent column, respectively. The plate was incubated at 37°C for 2 hours. *Klebsiella pneumoniae* ATCC-BAA 1705 and ATCC-BAA 1706 were used as positive control and negative control, respectively. Any color change from red to yellow or red to orange of the test solution was considered as positive test result, whereas red-orange was considered as indeterminate. No color change was considered as negative. Any color change in solution B was considered as invalid result<sup>6</sup> (Figure 1).

## 2.3 | Commercial Carba NP (cCarba NP)

The RAPIDEC CARBA NP test was performed and interpreted according to the manufacturer's instructions.

## 2.4 | BCarba

The BCarba test was performed as follows.<sup>8</sup>

### 2.4.1 | Test solution

Test solution was prepared by mixing 3 mg of imipenem monohydrate in 1-mL of 0.04% bromothymol blue solution containing 0.1 mmol/L ZnSO<sub>4</sub> and adjusted to pH 7.

### 2.4.2 | Control solution

Control solution was prepared by mixing 1 mL of 0.04% bromothymol blue solution containing 0.1 mmol/L ZnSO<sub>4</sub>. pH was adjusted to 7.

Two calibrated loops (10  $\mu$ L) of the test isolate from Mueller-Hinton agar were suspended in 100  $\mu$ L of test and 100  $\mu$ L of control solutions in two different Eppendorf tubes. The mixture was homogenized by vortexing for 15-30 seconds and incubated at 37°C for 2 hours with agitation (150 rpm). *Klebsiella pneumoniae* (ATCC-BAA 1705 and ATCC-BAA 1706) were used as positive and negative control strains, respectively. Change in color either from blue to green, blue to yellow, or green to yellow was considered positive. No change in initial green or blue was considered negative, whereas any color change in control solution was treated as invalid.

## 2.5 | Modified Hodge test

Modified Hodge test was performed as per CLSI 2016 guidelines.<sup>6</sup>

## 2.6 | CHROMagar KPC

All the isolates were inoculated on chromogenic medium, that is, CHROMagar KPC (CHROMagar Company, Paris, France). The result was interpreted after 18–24 hours of aerobic incubation as per the manufacturer's instruction.

## 2.7 | Statistical analysis

The performance of all the assays was calculated in terms of sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV). Kappa value was calculated to check the inter-rater agreement between all the assays.

## 3 | RESULTS

Among 40 *Enterobacteriaceae* isolates, 87.5% (35/40) were *Escherichia coli*, 7.5% (3/40) were *Klebsiella pneumoniae*, 2.5% (1/40) were *Enterobacter cloacae*, and 2.5% (1/40) were *Citrobacter freundii*. Using carbapenem disk diffusion test, 80% (32/40) of the total isolates were resistant, 7.5% (3/40) were intermediate, and 12.5% (5/40) were sensitive. Thirty-three of 40 isolates were found to harbor one or more resistant genes by PCR. Nineteen of 33 (57.6%) contain *bla*NDM-1, 6 (18.2%) contain *bla*OXA-48, and only 1 (3%) contain *bla*IMP gene. Seven isolates (21.2%) observed to contain both *bla*NDM-1 and *bla*OXA-48 genes. All the isolates were found negative for *bla*KPC and *bla*VIM genes. The bacterial isolates of this study were isolated from rectal swabs of hematological malignancy patients receiving chemotherapy. Thirty-six of 40 patients (90%) had history of antibiotic intake (either single or multiple including  $\beta$ -lactams) within previous 30 days as a course of their treatment or prophylaxis. As the study was planned with one-time screening of patients during the first visit, the outcome could not be observed. Thirty of 36 (83.3%) patients who were on antibiotics observed to harbor one or more carbapenamase genes. Three of rest 4 (75%) patients without previous antibiotic history also showed the presence of carbapenamase gene, that is, only *bla*NDM-1.

Considering PCR as gold standard, the sensitivity and specificity of all the phenotypic assays were calculated (Table 1). Our methodology for iCarba NP is a modification of the original test process described in CLSI in terms of increasing the inoculum size and additional incubation step after the addition of protein extraction reagent. The sensitivity of the phenotypic methods in this study ranged from 63.6% to 96.9%. CHROMagar was observed to have the highest sensitivity followed by the cCarba NP. Both cCarba NP

and iCarba NP had highest specificity in comparison with other assays. The performance of iCarba NP was comparable to cCarba NP. Overall, iCarba NP and cCarba NP performed well for the detection of metallo- $\beta$ -lactamases (*bla*NDM-1, *bla*IMP); however, only one isolate positive for *bla*OXA-48 could not be detected. Among all the assays, MHT observed to have lowest sensitivity (63.6%) and specificity (71.4%), respectively. iCarba NP and cCarba NP had observed highest PPV followed by BCarba. NPV was ranged from 83.3% for CHROMagar to 29.4% in case of MHT. PPV and NPV of iCarba NP and cCarba NP were same. Considering CHROMagar as standard test, kappa value for iCarba NP and cCarba NP was observed same, that is, 0.7, suggesting good agreement between the CHROMagar assay and the two Carba NP tests. Moreover, considering cCarba NP as the standard, kappa value of iCarba NP was calculated to be 1. We also compared time to positivity of the result for both iCarba NP and cCarba NP assays at different time interval, that is, 15 minutes, 30 minutes, 1 hour, and 2 hours of putting up of the test (Table 2). More than 60% of isolates were detected positive within 15 minutes of putting up of the test. On further analysis, it was found that 65% of these isolates which gave positive result within 15 minutes of the test were carrying metallo- $\beta$ -lactamase (*bla*NDM-1, *bla*IMP) gene with or without *bla*OXA-48, whereas only 16.7% isolates carrying *bla*OXA-48 gene alone were positive by 15 minutes. Comparing the price of both the Carba NP tests, iCarba NP test was found 10 times cheaper than cCarba NP.

## 4 | DISCUSSION

The prevalence of CRE is increasing worldwide causing serious community-acquired and nosocomial infections. Carbapenem resistance is exhibited by two main mechanisms, firstly due to the presence of carbapenemase enzyme, and secondly due to the loss of porin function or expression in the efflux pumps.<sup>2</sup> Identification of CP-CRE can be made by several phenotypic methods. This includes rapid colorimetric-based assays (Carba NP test); growth-based assays (MHT), carbapenem hydrolysis assays; and immunochromatogenic assays. Molecular methods such as PCR and sequencing can also be used for the detection of carbapenemase genes, but less commonly practiced being costly and need good laboratory facility.<sup>9</sup> Among the carbapenemases, *bla*NDM-1 gene was observed to be present in both the groups of patients with or without history of intake of antibiotics. Hence, the presence of *bla*NDM-1 gene may not be directly related to previous antibiotic intake. Carba NP is a colorimetric assay based on enzymatic hydrolysis of the  $\beta$ -lactam ring of carbapenem group of drugs. Sensitivity and specificity of this

Phenotypic tests	After 15 min	After 30 min	After 1 h	After 2 h	Cost per test in ₹
iCarba NP (n = 33)	21	5	2	5	35
cCarba NP (n = 33)	22	6	3	2	300

**TABLE 2** Comparison of time to positivity and cost between iCarba NP and cCarba NP

BCarba, Blue-Carba; cCarba NP, commercial Carba NP test; iCarba NP, in-house Carba NP test.

assay have been reported to be very high, that is, 90%-100% and 100%, respectively.<sup>10-16</sup> However, less optimal results were observed for the detection of carbapenemases enzymes with low hydrolytic activity, particularly for *bla*OXA-48 and *bla*GES-5.<sup>12,14</sup> Increased sensitivity for detection of *bla*OXA-48 had been already established in literatures by increasing the inoculum size.<sup>9</sup> It was also proven that there might be buffer inhibition effect by B-PER II, which reduces the sensitivity for detection of low hydrolyzing enzymes such as *bla*OXA-48. Alkaline agent such as NaHCO<sub>3</sub> can be added to neutralize this effect. The decreased sensitivity of iCarba NP test might be due to the poor detection of few isolates with *bla*OXA-48. As per CLSI 2016 guidelines, MHT and Carba NP test can be used as confirmatory test for detection of CP-CRE.<sup>6</sup> However, the sensitivity and specificity Carba NP test are reported to be >90% for isolates carrying *bla*KPC gene, *bla*NDM-1, and other metallo  $\beta$ -lactamases, but on the other hand, it can be as low as 11% for *bla*OXA-48 gene.<sup>17</sup> Contrarily, the performance of MHT is poor for the detection of CP-CRE harboring *bla*NDM-1.<sup>18-20</sup>

In this study, we tried to compare in-house-developed Carba NP test with four other phenotypic tests, that is, cCarba NP, BCarba, CHROMagar, and MHT. Among the phenotypic tests, CHROMagar and BCarba tests showed excellent performances in terms of sensitivity and PPV for detection of CP-CRE isolates.<sup>9,21,22</sup> The performance of iCarba NP showed concordant result with the gold standard test, that is, PCR and other phenotypic tests. The sensitivity of iCarba NP and cCarba NP was observed 93.9%, which is in concordance with the published reports.<sup>23</sup> Although reports showed specificity of 98%-100% for Carba NP tests, specificity in the current study was found to be lower. Our result was concordant with the results by Thomson et al.<sup>24</sup> Specificity of all the phenotypic tests was found less in comparison with published reports.<sup>9,22</sup> It might be due to the presence of other carbapenemase genes apart from the five genes used in the study. Among all the phenotypic tests, CHROMagar and both the Carba NP tests showed high sensitivity and specificity and hence can be used as screening tool to detect production of CP-CRE. Sensitivity of MHT observed least among all the assays. Our study result showed the presence of NDM-1 and IMP-like carbapenemases in higher amount gives faster positive result than low hydrolyzing enzymes such as OXA-48. The result of time to positivity of various Carba NP in our study was in concordance with the published literature.<sup>25</sup> Comparing the cost, the iCarba NP test was observed 10 times cost-effective than cCarba NP test.

## 5 | CONCLUSION

Among the available phenotypic tests, CHROMagar was observed the most sensitive and specific followed by Carba NP test for detection of CP-CRE. The performance of iCarba NP was comparable with cCarba NP test and was able to differentiate CP-CRE from other carbapenem-resistant isolates. Both the Carba NP tests were found rapid, accurate, and easy to perform and interpret the result. Hence,

it can be used as screening test for the rapid detection of CP-CRE in clinical settings.

## ETHICAL APPROVAL

This study was approved by the Ethical Committee of All India Institute of Medical Sciences, New Delhi, India.

## ORCID

Sarita Mohapatra  <http://orcid.org/0000-0002-8311-4112>

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