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# Diverse genome topologies characterize dosage compensation across species

William Jordan III<sup>1</sup>, Leila E. Rieder<sup>1,2</sup>, and Erica Larschan<sup>1</sup>

<sup>1</sup>Department of Molecular Biology, Cellular Biology and Biochemistry, Brown University, Providence, RI

<sup>2</sup>Department of Biology, Emory University, Atlanta, GA

# Abstract

Dosage compensation is the process by which transcript levels of the X-chromosome are equalized with those of autosomes. Although diverse mechanisms of dosage compensation evolved across species, these mechanisms all involve distinguishing the X-chromosome from autosomes. Because one chromosome is singled out from other chromosomes for precise regulation, dosage compensation serves as an important model for understanding how specific *cis*-elements are identified within the highly compacted three-dimensional genome to co-regulate thousands of genes. Recently, multiple genomic approaches have provided key insights into the mechanisms of dosage compensation, extending what we have learned from classical genetic studies. In the future, newer genomic approaches that require very little starting material show great promise to provide an understanding of the heterogeneity of dosage compensation between cells and how it functions in non-model organisms.

# **Composition of chromatin**

Within the eukaryotic cell nucleus, each chromosome consists of a single molecule of double stranded DNA. Frequently thought of in linear space, these DNA molecules are compacted within the confines of the nucleus, as much as 10-thousand-fold, by adopting diverse three-dimensional conformations. Despite extensive compaction of the chromosomes within the nucleus, specific *cis*-elements need to be precisely identified to regulate genes spatially and temporally.

At the most basic level, chromatin consists of a repeating array of nucleosomes, which are octamers of histone proteins, around which DNA is wrapped. There are two copies of each of the four core histone proteins (H2A, H2B, H3 and H4) within each nucleosome, which is surrounded by 146 bp of DNA [1,2]. Chromatin serves as the substrate for essential cellular processes such as transcription, replication, recombination and cell division [1]. The idea of crumpled polymer globules, or the beads on a string framework for chromatin, was initially

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introduced forty years ago [3,4]. Surprisingly, chromatin only accounts for roughly half of the available nuclear space [5]; specialized nuclear bodies and diverse chromatin regulators reside in the remaining interchromatin space. Nuclear bodies are dynamic structures that often reflect a cell's transcriptional state and serve as sites of transcription and/or RNA processing [5,6].

Chromatin can be generalized into two contrasting types: euchromatin, which generally resides in the interior of the nucleus, and heterochromatin, which generally resides close to the nuclear envelope. These types of chromatin differ in their accessibility: euchromatin is regarded as "active" as it contains gene rich regions, and positively correlates with chromatin accessibility and heterochromatin is regarded as "inactive," is gene poor, and negatively correlates with chromatin accessibility [7–9]. Chromatin may vary dramatically between cell types as histone proteins, histone modifiers (or readers/writers), and other transcription factors are able to recognize and modulate specific loci, further differentiating chromatin compartments and fine-tuning gene expression. Therefore, while chromatin broadly influences gene regulation, it does not fully explain the modulation in activity of individual genes; rather chromatin establishes local environments that are more or less favorable for gene-specific expression [10-13]. In contrast, topologically associated domains (TADs), are physical megabase-sized partitions of the genome. Within an organism, TAD organization is believed to be largely invariant and conserved across cell types [2]. Smaller domains are known by various names, including sub-TADs, chromatin loops, and neighborhoods. These smaller domains may be highly variable between cell types [10,12] and thus play a fundamental role in genomic function and regulation.

Across species, TAD boundaries are enriched for insulator elements, which are bound by a specialized class of insulator proteins that regulate three-dimensional genome organization [9,14]. TAD boundary regions are also enriched for active genes, though recent studies in *Drosophila* suggest three-dimensional chromatin organization is established during zygotic genome activation, independent of transcription [15]. Few factors, including chromatin regulators and non-coding RNAs, modulate genome organization [9]. Therefore, much remains unknown regarding how specific nuclear domains are established and how domains are targeted to modulate gene regulation.

#### Overview of dosage compensation

Diverse models of sex chromosome dosage compensation, in which the sex chromosome(s) of one sex is specifically targeted and transcriptionally regulated, serve as model systems in which to study how three-dimensional genome organization regulates the expression of large groups or co-regulated genes. Analyses of dosage compensation across species, described below, have revealed the mechanisms by which large groups of genes are co-regulated within the context of three-dimensional genome organization.

Sex chromosome dosage compensation is an essential—yet diverse—process in many species. There exist many distinct dosage compensation strategies to equalize transcript levels of genes located on the sex chromosome(s) between the sexes [16,17]. A conserved first step in heterogametic XY species, including humans and *Drosophila*, is distinguishing

the X-chromosome from the autosomes. For example, in many mammals, one female Xchromosome is singled out for inactivation. A conserved dosage compensation mechanism, shared across several species including mammals, *C. elegans* and *Drosophila*, is upregulation of transcription of genes on the active X-chromosome to equalize their expression with that of autosomal genes [16–18] (see Figure 1).

In contrast to the X-chromosome, there are no known examples in which the Y-chromosome is targeted for dosage compensation. The Y-chromosome is very small and heterochromatic, though in some species it does carry specialized genes that have male sex-specific functions [19]. X-chromosome upregulation was first proposed by Susumu Ohno; Ohno hypothesized that transcription of the X-chromosome in mammals was upregulated during early evolution of sex chromosomes in order to compensate for degeneration of the Y-chromosome [20]. Furthermore, Ohno theorized X-chromosome upregulation was not limited to males but also occurred in females. Ohno proposed that X-chromosome downregulation evolved in mammals in order to counteract X upregulation in XX females, therefore maintaining equalized dosage with autosomes [20]. This idea is widely known as Ohno's hypothesis. Data exists that both support and oppose the existence of such a mechanism across species [18,20–22].

#### Mechanisms of dosage compensation

In the nematode worm, Caenorhabditis elegans, males carry a single X-chromosome and two copies of each autosome (XO, AA), while hermaphroditic females carry two copies of the X-chromosome and each autosome (XX, AA). An unknown mechanism is thought to upregulate expression of the X-chromosome in both sexes. A well-defined dosage compensation complex (DCC) then down regulates gene expression for both Xchromosomes in hermaphrodites to equalize X-linked gene expression to that of males [16,17,23–25] (Figure 1A). The DCC initially localizes to a small number of X-linked "recruitment element on X" (rex) sites, which are located in regions of euchromatin and contain binding motifs that cluster in two and three-dimensional space [25]. The process of C. elegans dosage compensation is believed to occur through X-chromosome compaction as compaction is lost in the absence of DCC activity and the X-chromosome becomes enlarged. Enlargement of the X-chromosome may contribute to upregulation of X-linked genes by a mechanism that likely involves the function of specific X-linked DNA sequences rather than global regulation of all genes on the X-chromosome [22,26]. In the fruit fly Drosophila melanogaster, males carry a single X-chromosome and two copies of each autosome (XY, AA), while females carry two copies of the X-chromosome and each autosome (XX, AA) (Figure 1B). A dosage compensation complex known as the Male Specific Lethal complex (MSL), is specifically assembled in males and upregulates transcription of the single male X-chromosome to approach levels of female X-linked gene expression. Similar to C. elegans, the initial sites of MSL recruitment, "chromatin entry sites" (CES), are located in euchromatin and contain binding motifs that cluster in two and three-dimensional space [14,27,28]. Furthermore, H4K16ac is important for increasing transcript levels on the male X-chromosome [29,30].

In mammals, such as mouse and human, males carry a single X-chromosome and two copies of each autosome (XY, AA), while females carry two copies of the X-chromosome and each autosome (XX, AA) (Figure 1C). In females, one copy of the X-chromosome is randomly inactivated in a process known as X-inactivation (XI), which normalizes female X-linked gene expression with that of males [16]. X-inactivation is characterized by extensive DNA methylation that is thought to maintain the inactive state [31].

In mammals, the inactive female X-chromosome forms two large domains. In *C. elegans*, compaction along the entire length of both X-chromosomes in the hermaphrodite is associated with reduced transcription levels [26]. In *Drosophila*, the X-chromosome exhibits enhanced accessibility in both males and females independent of MSL [32]. In the future, three-dimensional techniques could be applied to reveal which species-specific factors are important for setting up and or maintaining the three-dimensional chromosome conformation many dosage compensation complexes use to target an entire chromosome.

#### Techniques for probing chromatin structural dynamics

Dosage compensation requires the targeting and regulation of an entire chromosome. Therefore, sex chromosomes represent a model that provides great insights into how smaller chromatin domains are targeted. Many improved techniques have had a substantial impact on the study of chromatin structure and function. While most of our understanding of dosage compensation comes from classical genetics and microscopy, which have been very powerful, these approaches do not address the heterogeneity of dosage compensation across cells and tissue types. Further application of the new approaches described below will allow for even greater insight into how this fundamental process functions at high resolution in single cells.

#### Chromosome conformation capture

The development of chromosome conformation capture (3C) and the subsequent development of the current 3C family of techniques—chromosome conformation capture on chip (4C), chromosome capture carbon-copy (5C), and genome-wide chromosome conformation capture (Hi-C) [33–35] have significantly contributed to our understanding of how three-dimensional genome structure contributes to genomic function (Figure 2A). There have been many recent improvements in this family of techniques. For example, *in situ* Hi-C may be performed in intact nuclei, which reduces experimental noise due to random ligation events and enables the production of higher resolution data from fewer reads compared to the original technique (dilution Hi-C) [36]. 4C has also been improved by the incorporation of unique molecular identifiers, which reduce the impact of PCR-inflated ligation read counts, allowing the generation of 4C profiles with as few as 100,000 reads per bait [37] compared with 500,000 to 3 million reads for traditional 4C-seq [38].

Several groups have used chromosome conformation capture approaches to study dosage compensation in mammals [39–42], *C. elegans* [25,43], and *Drosophila* [14,44]. Fluorescent *in situ* hybridization (FISH) and genome-wide chromosome conformation capture analysis (Hi-C) on *C. elegans* embryos revealed that the long-range contacts seen between DCC

recognition sites are also lost in the absence of dosage compensation [43]. This likely occurs because X-chromosome remodeling that occurs during active dosage compensation is dependent on a condensin I<sup>DC</sup> subcomplex that is part of the DCC [26,43]. Furthermore, the histone modifiers SET-1, SET-4, and SIR-2.1 are also necessary for dosage compensation X-chromosome remodeling [26,45,46]. Hi-C was performed in several *Drosophila* cell lines and embryos and discovered that, in contrast to *C. elegans*, MSL likely takes advantage of a preexisting three-dimensional topology, as long-range contacts between CES are present in both sexes and are independent of dosage compensation [14,26,44]. However, the mechanism by which the long-range contacts between CES are established in both males and females remains unknown.

RNA antisense purification in mouse lung fibroblasts in conjunction with Hi-C analysis revealed that, upon initiation of XI, the long non-coding RNA Xist utilizes the existing three-dimensional topology of the X-chromosome in order to spread from the XIST locus to distant loci [39]. The spreading of Xist leads to structural changes that cause new regions of the chromosome to come in closer proximity to the XIST locus; this then allows the XIST RNA to transfer to these newly proximal sites, eventually coating the entire chromosome [39]. The inactive X-chromosome eventually takes on a unique, highly compacted structure known as a Barr body [47].

The inactive X is enriched for the histone marks H3K9me3 and H3K27me3, although it appears that H3K27me3 is not necessary for XI [31]. The compacted state of the inactive X requires the presence of two proteins, a heterochromatin protein 1 binding protein HBiX1 and structural maintenance of chromosomes hinge domain-containing protein 1 (SMCHD1) [31]. Based on allele-specific Hi-C and RNA-seq experiments, SMCHD1 antagonizes TAD boundary formation and is required for gene silencing during a specific developmental window; it is not required for maintenance, which may occur through other redundant pathways [48].

Using allele-specific Hi-C analysis, it was demonstrated that both X-chromosomes display classical TAD topology prior to XI [40]. However, after XI, the inactive X-chromosome adopts a unique three-dimensional structure, which consists of two very large megabase scale domains, termed super domains, separated by a boundary region [40]. Within these two super domains, classical TAD structure is rare, but does occur at gene clusters that escape XI [40]. Hi-C data demonstrates that these structures are conserved in humans [36]. However, the genomic content of the two domains differs between human and mouse [41]. CRISPR deletions of the boundary locus in mice results in fusion of the two super domains, but the boundary is not necessary for the formation of XI in either mice or humans [36,41,42]. Furthermore, X-inactivation has been studied much more extensively but recent work suggests that X-upregulation also occurs in mammals [18,49].

#### **MNase-seq and ATAC-seq**

Micrococcal Nuclease sequencing (MNase-seq) and Assay for Transposase-Accessible Chromatin using sequencing (ATAC-seq) are two popular methods for probing chromatin accessibility genome-wide [50–52] (Figure 2B). ATAC-seq is a quick, cost-effective, two-

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step procedure that is able to identify regions of open chromatin (highly accessible regions) and map nucleosome positioning and transcription factor binding sites [50]. The latest version of MNase-seq, which utilizes a titration series, is also able to probe nucleosome positioning as well as relative chromatin accessibility (lowest to highest regions of accessibility) genome-wide [53]. While both techniques offer the ability to determine regions of open chromatin, MNase-seq with titration series offers the added benefit of providing *relative* chromatin accessibility, allowing diverse regulatory regions throughout the genome to be directly compared.

MNase-seq in *Drosophila* cultured cells revealed that MSL regulates chromatin accessibility by modulating nucleosome positioning at CES [14]. Furthermore, a non-sex specific dosage compensation protein, Chromatin-Linked Adaptor for MSL Proteins (CLAMP), regulates chromatin accessibility at CES as well as globally across the entire male X-chromosome [32].

## CUT&RUN

Cleavage Under Targets and Release Using Nuclease (CUT&RUN), a modification of chromatin immunoprecipitation (ChIP), allows for simultaneous high-resolution chromatin mapping of specific factor localization and probing of the local chromatin environment [54] (Figure 2C). CUT&RUN is performed *in situ* under native conditions. It requires only a tenth of the sequencing depth of traditional ChIP-seq and has the ability to map histone modifications, even within compacted chromatin [54]. Furthermore, CUT&RUN may be used to map contact sites at near base-pair resolution and differentiate direct protein binding sites versus indirect sites resulting from long-range interactions [54]. This approach has not yet been applied to dosage compensation, however, it has great potential to contribute to better understanding the targeting requirements of various dosage compensation complexes by more accurately identifying binding sites and three-dimensional interactions between dosage compensation regulators across species.

## Single cell techniques

Until recently, performing many of the techniques discussed above required large pools of cells. Fluorescence-Activated Cell Sorting (FACS) allows specific marked cell populations to be assayed, but still requires a large number of input cells [55] (Figure 2D). More recently, the modernization of genomic approaches allows them to be performed *in situ*. This has led to the ability to assay the genomic landscape in single cells. Single cell approaches are powerful; no longer is an average reading recorded from a bulk sample. As a result, cell to cell heterogeneity is uncovered and cells may be clustered into a number of unique groups.

Single cell techniques are currently being used to probe chromatin accessibility [56,57], DNA variation [58], transcription factor binding sites [59], methylation [60] and threedimensional genome structure [61]. Additionally, microscopy-based approaches such as single-molecule super-resolution microscopy combined with Oligopaints—specialized FISH probes that allow for super-resolution microscopy and visualization of chromatin

organization [62]—and assay of transposase accessible chromatin with visualization (ATAC-see)—a modification of ATAC-seq that reveals open chromatin regions targeted by transposons [63]—allow for single cell visualization of chromatin architecture. Single cell methods have not yet been applied to study dosage compensation. However, these approaches have great potential to define how it functions in different tissues and non-model organisms.

#### Concluding remarks and future perspectives

Dosage compensation is an essential process across species that evolved rapidly to equalize transcript levels from the X-chromosome and autosomes. Dosage compensation systems provide excellent models for defining how a specific part of the genome may be regulated in a context-specific way. Moreover, although diverse dosage compensation mechanisms have evolved, they all share the common feature of specifically distinguishing the X-chromosome from autosomes. In the future, applying the single cell genomic and imaging techniques described above to study how the X-chromosome is distinguished for specific regulation will reveal how heterogeneous this process is across cell types and organisms. Although little is understood about how dosage compensation works beyond classical genetic model organisms, this new frontier of techniques that require little starting material will allow us to study how sex chromosomes differ from autosomes beyond traditional model organisms, which is key to understanding such a rapidly evolving process.

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#### **Outstanding questions:**

- **1.** How is the X-chromosome specifically distinguished from autosomes across species?
- 2. How heterogeneous is dosage compensation across cell types and tissues?
- **3.** How does dosage compensation function across a wide array of species including non-model organisms?

# Highlights

- Dosage compensation equalizes transcript levels on the X-chromosome with those on autosomes.
- Dosage compensation serves as a model for understanding how specific *cis*elements are collectively targeted for coordinated regulation within the genome.
- Genomic approaches to study chromatin and three-dimensional genome organization have provided new insights into dosage compensation.

Organism	Sex Chr.	Dosage Compensation	3-D Chromosome Changes
A. C. elegans	XX, AA XO, AA	<ol> <li>X-upregulation in both sexes:</li> <li>XX, AA XO, AA</li> <li>DCC-mediated X-down-regulation in hermaphrodites:</li> <li>XX, AA XO, AA</li> </ol>	Dosage compensation results in long-range contacts on—and compaction of—the X-chromosome.
B. Drosophila	XX, AA XY, AA	MSLc-mediated X-upregulation in males: XX, AA XY, AA	Long-range contacts on the X-chromosome exist in both sexes.
C. Mouse	XX, AA XY, AA	<ol> <li>Xist-mediated X-inactivation in females: X•, AA XY, AA</li> <li>Up-regulation of active X in both sexes, mechanism unknown:</li> </ol>	Xist spreading leads to additional long-range contacts to the XIST locus. The X-chromosome is highly compacted into the Barr body.

Figure 1.

Dosage compensation strategies: the dosage compensated sex is represented in red.

Technique	Insights	DC Advances	Future Advances
A. Chromosome Conformation Capture (3C, 4C, 5C, Hi-C)	High-resolution 3-D contacts Dosage compensated chromosome	Determine the relationship between structure of the DC chromosome and the dosage compensation machinery.	Determine how the 3-dimensional structure of the dosage compensated X-chromosome differs from that of autosomes.
B. Micrococcal Nuclease (MNase)-seq Assay for Transposon-Ac- cessible Chromatin (ATAC)-seq	Chromatin accessibility and nucleosome positioning	Determine the relationship between accessibility of the DC chromosome and the dosage compensation machinery.	Determine how dosage compensated X-chromo- some accessibility differs from that of autosomes.
C. Cleavage Under Targets & Release Under Nuclease (CUT&RUN)	High-resolution interaction (direct vs. indirect) 3-D contact mapping	None so far.	Identify factor binding sites and 3-dimensional interactions of dosage compensation regulators.
D. In situ single cell or Fluorescence Activated Cell Sorting (FACS)	Cell-to-cell heterogeneity	None so far.	Define how dosage compensation functions differently between cell types and tissues.

#### Figure 2.

Emerging technologies and their current impacts within the dosage compensation field.