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C/EBP δ links IL-6 and HIF-1 signaling to promote breast cancer stem cell-associated phenotypes

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Abstract

To improve cancer patient outcome significantly, we must understand the mechanisms regulating stem-like cancer cells, which have been implicated as a cause of metastasis and treatment resistance. The transcription factor C/EBP δ can exhibit pro- and anti-tumorigenic activities, but the mechanisms underlying the complexity of its functions are poorly understood. Here, we identify a role for breast cancer cell intrinsic C/EBP δ in promoting phenotypes that have been associated with cancer stem cells (CSC). While C/EBP δ expression is not abundant in most metastatic breast cancers, our data support a pro-tumorigenic role of C/EBP δ when expressed in subsets of tumor cells and/or through transient activation by the tumor microenvironment or loss of substrate adhesion. Using genetic mouse models and human breast cancer cell lines, we show that deletion or depletion of C/EBP δ reduced expression of stem cell factors and stemness markers, sphere formation and self-renewal, along with growth of tumors and established experimental metastases *in vivo*. C/EBP δ is also known as a mediator of the innate immune response, which is enhanced by hypoxia and interleukin-6 (IL-6) signaling, two conditions that also play important roles in cancer progression. Our mechanistic data reveal C/EBP δ as a link that engages two positive feed-back loops, in part by directly targeting the IL-6 receptor (*IL6RA*) gene, and, thus, amplifying IL-6 and HIF-1 signaling. This study provides a molecular mechanism for the synergism of tumor micro-environmental conditions in cancer progression with potential implications for the targeting of cancer stem cells.

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Author contributions. KB and ES conceived the project and designed the study. KB, DMV, SS, and ES designed, conducted, and/or analysed the experiments. LED and MTL provided PDX reagents, data, resources, and advice. GHS and LED provided technical support and supervision for animal model studies. KB, MTL, and ES wrote the manuscript.

Conflict of interest. M.T.L. is a limited partner in StemMed Ltd, and a Manager in StemMed Holdings, its general partner. He also holds an equity stake in Tvardi Therapeutics Inc. L.E.D. is a compensated employee of StemMed Ltd.

Supplementary Information is available at the *Oncogene*'s website.

Keywords

hypoxia; IL-6; breast cancer; cancer stem cells; C/EBP

Introduction

It is well established that most solid tumors display significant clonal heterogeneity, which contributes to malignant progression³⁷. Cells with the ability to initiate new tumors in pre-clinical models are termed tumor-initiating cells (TICs) and are considered a type of cancer stem cell (CSCs). Despite controversies concerning the term “cancer stem cell”, there is surmounting evidence that cancer cells with tumor-initiating and/or stem cell-like properties are highly malignant and support metastatic spread^{39, 44, 6}. Thus, more insights are needed to identify critical signaling molecule(s) that contribute to this highly malignant cell phenotype.

CSCs express at least a subset of proteins that also promote stemness in normal stem cells, including Notch and the so-called OSKM reprogramming factors OCT4 (*POU5F1*), SOX2, KLF4 and MYC^{12, 14}. Cells with CSC characteristics are enriched within populations with specific cell surface markers such as CD44+/CD24- or also ALDH activity, which also contribute directly to the CSC properties⁴⁰. Hypoxia and inflammation can stimulate the generation of CSCs, in part through induction of NOTCH1^{5, 19}. Hypoxia activates the hypoxia-inducible factor 1 alpha (HIF-1 α), which can contribute to the expression of stemness factors²⁶. The inflammatory cytokine interleukin-6 (IL-6) activates the signal transducer and activator of transcription 3 (STAT3), which leads to epithelial-mesenchymal transition (EMT) and the generation of CSCs^{7, 25, 38, 43}. While the HIF-1 and STAT3 pathways can intersect, to our knowledge, the potential synergistic activation of both pathways has not been explored mechanistically.

Hypoxia and IL-6 also induce the transcription factor CCAAT/enhancer binding protein delta (C/EBPd, *CEBPD*)⁴. In turn, C/EBPd promotes HIF-1 α expression^{2, 45} and directly activates IL-6 expression²¹, functions that are part of its role as a mediator of the innate immune response by myeloid cells⁴. Studies in human cancers showed that C/EBPd is overexpressed and associated with poor outcome in urothelial carcinoma⁴¹, and proposed C/EBP δ as a critical factor in high-grade glioblastoma⁸. C/EBPd supports mammary tumor metastasis in the MMTV-Neu transgenic mouse model², a phenotype that can be explained at least in part through functions in the tumor host that promote tumor lymphangiogenesis, angiogenesis and myeloid-suppressor cells^{29, 30}. On the other hand, C/EBPd is also expressed in normal breast epithelium and lowgrade, hormone-receptor positive breast cancer, and has been likened to a tumor suppressor – primarily due to functions in growth arrest, the DNA damage response, and cell death⁴. These observations suggest that the cellular context and/or microenvironment may modulate the specific effects of C/EBPd activity. However, a direct, tumor cell intrinsic role of C/EBPd in human cancer cells has not been demonstrated to date in pre-clinical models. Here we report a tumor promoting role of C/EBPd by supporting signaling pathways and expression of genes that are associated with cancer stem cell-like phenotypes in breast cancer cells. Our study also shows how conditions in the tumor microenvironment mechanistically synergize to promote the malignancy of

cancer cells and provides evidence that targeting of C/EBP δ may attenuate growth of tumors and metastases.

Results

C/EBP δ promotes cancer stem cell-associated features in mouse and human breast cancer cells.

C/EBP δ null mutant mice exhibit a reduced incidence of lung metastasis from MMTV-Neu mouse mammary tumors². To gain further insight into this phenotype we assessed the circulating tumor cells (CTCs) by two independent methods and found that tumor-bearing *Cebpd* KO mice harbored fewer CTCs compared to controls (Fig. 1a, Fig.S1a). The generation of CTCs has been linked to cancer cell stemness³¹. Quantification of CD61⁺:CD49f⁺ cells, which are enriched for TICs²², revealed that *Cebpd* KO tumors also contained fewer such cells compared to controls (Fig. 1b). Furthermore, sphere formation efficiency (SFE), which often correlates with tumor initiating capability⁴⁴, was reduced among *Cebpd* null tumor cells. Assessment of self-renewal showed that the SFE of *Cebpd* WT cells increased with passages, while that of *Cebpd* KO cells decreased (Fig. 1c). These data show that C/EBP δ promotes the generation or maintenance of cells with stem cell-like characteristics in this mouse mammary tumor model.

Across human breast epithelial cell lines, C/EBP δ is highly expressed in untransformed MCF-10A cells compared to several breast cancer lines³⁵. However, we found that C/EBP δ was also highly expressed in vitro and in vivo (Fig. S1b-c) in SUM159 triple-negative breast cancer (TNBC) cells, which are known to express many stem cell markers³². C/EBP δ knockdown with two independent siRNAs in SUM159 cells significantly reduced their SFE (Fig. 1d) and expression of the CD44 receptor as well as the mesenchymal markers N-cadherin, Vimentin and Twist (Fig. 1e). *CEBPD*-silencing also reduced the number of CD44⁺:CD24⁻ cells (Fig. 1f, Fig. S1d), that are enriched for CSCs⁴⁴, as well as the SFE and self-renewal of sphere-forming cells (Fig. 1g-h). While 60–70% of SUM159 cells were CD44⁺:CD24⁻, less than 20% formed spheres, which is in agreement with a fraction of cells exhibiting expression of a STAT3-reporter that are highly enriched for TICs⁴³. In our hands, 19% of SUM159 STAT3-GFP cells expressed the STAT3 reporter, and *CEBPD* silencing reduced the number of STAT3-activated cells (Fig. 1i, Fig. S1f). As an alternative model system, we analyzed SUM159 cells with the cODC-ZsGreen reporter (SUM159ZsG), which is constitutively degraded by the proteasome but stabilized in cells with low proteasome activity and high tumor initiating and metastatic activity²⁰. *CEBPD* silencing also reduced the number of ZsGreen⁺ cells in this line (Fig. 1j). Expression analysis showed that C/EBP δ was present in most cells including green cells (Fig. 1k), and quantitatively enriched in ZsGreen⁺ cells along with stemness factors (Fig. 1l).

The TNBC cell lines MDA-MB-231 and MDA-MB-468 express low levels of C/EBP δ (Fig. S1b). However, culture of cells as spheres, which increases the fraction of TICs¹, induced expression of C/EBP δ along with CD44, Myc, Nanog and KLF4 in a C/EBP δ -dependent manner, as also seen in SUM159 (Fig. 1m-n). Thus, despite differences in basal expression levels, C/EBP δ contributes to expression of stemness factors in all three cell lines. Taken together, these results show that C/EBP δ is expressed in cells with stemness reporter

activity, albeit not exclusively so, and enhances cancer stem cell-like gene expression and sphere formation in TNBC lines.

C/EBP δ promotes tumor growth, lung colonization, and stemness gene expression in vivo

To assess the role of C/EBP δ in SUM159 cells *in vivo*, we generated cells with doxycycline (Dox)-dependent expression of *CEBPD*-shRNA and confirmed that Dox reduced the SFE only in cells with *CEBPD*-shRNA, while cell proliferation was not affected (Fig. S2a-c). The initial tumor onset and growth was similar between the two cell populations (Fig. S2d). However, subsequent Dox treatment inhibited the growth specifically of tumors expressing *CEBPD*-shRNA (Fig. 2a-b), and reduced expression of CD44, Myc, and KLF4 along with C/EBP δ (Fig. 2c). In contrast, Dox did not affect C/EBP δ expression in tumors from sh*Control* cells (Fig. S2e). Furthermore, SFE was decreased in cells from Dox-treated sh*CEBPD*-SUM159 tumors (Fig. 2d). These data show that C/EBP δ promotes the growth of SUM159 tumors and expression of stemness-associated genes *in vivo*.

To address the role of C/EBP δ in the establishment of experimental lung metastases, we injected SUM159 cells by the tail vein. Mice injected with C/EBP δ -depleted cells survived significantly longer than the control cohort (Fig. S2f). Microscopic quantification showed a significant reduction in lung colonies by *CEBPD*-silenced cells (Fig. S2g). Magnetic resonance imaging of mice that did not display overt symptoms 64 days after tumor cell injection revealed several large nodules in the lungs of 3/4 (75%) control mice compared to single and/or smaller nodules in 4/9 (44%) mice that had received C/EBP δ -depleted cells (Fig. 2e). Using the Dox-inducible system (Fig. S2h), we found by MRI that silencing of *CEBPD* in established lung colonies caused regression of the lung lesions in most mice, while the lung tumor burden continued to increase in most Dox-treated sh*Control* mice (Fig. 2f). In agreement with the decreased incidence of lung metastasis in *Cebpd*-deficient MMTV-Neu mice², these data indicate that C/EBP δ contributes to the survival of CTCs and/or the establishment and maintenance of breast tumor cell growth in lungs.

Previously, we reported that abundant C/EBP δ expression is associated with estrogen receptor (ER)+ BC and low tumor grade, and that C/EBP δ attenuates proliferation and motility of ER+ MCF-7 cells in culture²⁷. When assessed *in vivo*, however, *CEBPD*-silenced MCF-7 tumors grew significantly more slowly than controls and mice therefore survived longer (Fig. 2g and S2k-l), harbored fewer sphere-forming cells (Fig. 2h) and expressed lower levels of CD44, Vimentin, and stemness-related transcription factors, while in some cases expression of Ecadherin was elevated (Fig. 2i). C/EBP δ expression was elevated in MCF-7 tumors compared to cells grown on plastic (Fig. S2m), possibly due to the more hypoxic environment. C/EBP δ depletion also significantly reduced the tumor take rate when 10-times fewer cells were injected (Fig. 2j), suggesting a reduction of TICs in C/EBP δ -depleted MCF-7 cells.

Analysis of ER+ cells reveals a role for C/EBP δ in hypoxia- and IL-6-induced stem cell-like features

Consistent with the known role of C/EBP δ in HIF-1a expression^{2, 45}, we also noticed reduced HIF-1a protein in *CEBPD*-depleted tumors (Fig. 2c and 2i). This observation is

indicative of the more hypoxic environment *in vivo* compared to cell culture, which prompted us to address the potential role of C/EBP δ in mediating hypoxia-mediated stemness in ER $^+$ cells. Indeed, *CEBPD*-depletion had no effect on the basal levels of E-cadherin or N-cadherin in MCF-7 and T47D cells but compromised the hypoxia-mediated downregulation of E-cadherin as well as the upregulation of mesenchymal N-cadherin and vimentin (Fig. 3a). The SFE and number of CD44 $^+$:CD24 $^-$ cells is much lower in MCF-7 compared to SUM159 but can be increased by hypoxia or treatment with IL-6^{17, 34}. Silencing of C/EBP δ caused a modest reduction in the basal level SFE and CD44 $^+$:CD24 $^-$ cells but was more critical in the context of hypoxia and IL-6 (Fig. 3b-c).

Expression analysis showed that C/EBP δ was enriched in CD44 $^+$:CD24 $^-$ cells compared to CD44 $^-$:CD24 $^+$, which are considered non-CSCs^{25, 46}, along with Nanog, Sox2, Klf4, Myc and also pSTAT3, while E-cadherin was enriched in non-CSCs (Fig. 3d). Similar results were obtained at the level of mRNA, which also showed an inverse correlation of C/EBP δ with Ecadherin (*CDH1*) and *FBXW7* (Table S2). C/EBP δ knockdown reduced the expression of all of these stemness-associated factors including Notch-intracellular domain 1 (NICD) within the CD44 $^+$:CD24 $^-$ MCF-7 cell fraction, while E-cadherin expression was increased (Fig. 3e). Similar results were obtained in MCF-7 cell spheres at the level of protein (Fig. 3f) and mRNA, which also showed increased expression of *CDH1* and *FBXW7* in C/EBP δ -depleted MCF-7 spheres (Fig. 3g). This result is consistent with the significant reduced number of CD44 $^+$:CD24 $^-$ cells when *CEBPD*-silenced MCF-7 cells were cultured as spheres (Fig. 3h). Hypoxia or IL-6 increased C/EBP δ expression along with several stemness factors not only in the bulk population but also in the CD44 $^+$:CD24 $^-$ cells of MCF-7 and T47D cells (Fig. 3i-j). Collectively, these data show that C/EBP δ expression is not unique to a specific subpopulation of cells, but its expression level correlates with and directly or indirectly enhances expression of genes that have been associated with cancer stem cell activity.

Our finding that C/EBP δ promotes the cadherin switch, stemness-related gene expression, and sphere formation in response to hypoxia and IL-6 was also confirmed in the luminal MMTV-Neu mouse model (Fig. 3k-l). Hypoxia and IL-6 induced a switch from Ecadherin to N-cadherin and expression of Vimentin and Twist in control cells. These responses were significantly blunted in *Cebpd* KO cells, including HIF-1 α induction by IL-6. *Cebpd* null cells exhibited a modest reduction in baseline SFE but a greater reduction under hypoxia or IL-6 treatment (Fig. 3m-n). Furthermore, the self-renewal of sphere forming cells (Fig. 1c) could not be rescued by hypoxia (Fig. 3o). Lastly, these findings were not unique to luminal cells, as hypoxia or IL-6 also increased the fraction of SUM159 ZsGreen $^+$ CSCs and HIF-1 α expression in a C/EBP δ -dependent manner (Fig. S3a-b). Taken together, these results show that C/EBP δ promotes EMT, sphere formation, abundance of CD44 $^+$ /CD24 $^-$ cells, and expression of stemness factors in response to hypoxia and IL-6. Furthermore, the data suggest a role for C/EBP δ in the cross-talk between hypoxia and IL-6.

C/EBP δ acts upstream of IL-6 signaling through activation of the IL6RA gene

We had noticed that C/EBP δ promoted STAT3 phosphorylation in MCF-7 CD44 $^+$ /CD24 $^-$ cells in the absence of exogenous IL-6 treatment (Fig. 3d-e). Consistent with findings in

macrophages²¹, we observed that C/EBP δ supported *IL6* gene expression in SUM159 and MCF7 cells as well as mouse mammary tumor cells at both the basal level and in response to hypoxia (Fig. S4a-c). Therefore, we hypothesized that C/EBP δ stimulates STAT3 phosphorylation through activation of IL-6 expression. However, ectopic IL-6 could only partially rescue STAT3 phosphorylation (and HIF-1 α accumulation) in *CEBPD*-silenced MCF-7 cells under hypoxia (Fig. 4a). This defect in the IL-6 response was also seen at the level of JAK2 phosphorylation in MCF-7 and T47D cells (Fig. 4b). In SUM159 cells, the high basal level phosphorylation of JAK2 and STAT3 was also reduced upon *CEBPD*-silencing (Fig. 4c). These results led us to assess the expression of the IL-6 receptor. C/EBP δ depletion reduced the mRNA levels of the common IL-6 cytokine family receptor subunit gp130 (*IL6ST*) in SUM159 cells but not in MCF7 cells, while expression of the IL-6 specific gp80 subunit (IL-6R α , *IL6RA*) was reduced in both cell lines (Fig. 4d). Breast CSCs are known to express the IL-6 receptor²⁵ and we also found higher levels of *IL6RA* mRNA in CD44⁺:CD24⁻ MCF-7 cells compared to CD44⁻:CD24⁺ cells (Table S2). IL-6R α protein expression was not detectable by Western analysis. However, the secreted form sIL-6R α ²⁸, was reduced in media from *CEBPD*-silenced MCF-7 cells and SUM159 cells with either transient or Dox-induced knockdown of C/EBP δ (Fig. 4e-g). On the other hand, knockdown of *FBXW7* in MCF-7 cells increased sIL-6R α production (Fig. 4e), which is consistent with its role in directly targeting C/EBP δ for degradation³. The *IL6RA* promoter harbors two potential C/EBP binding sites, and ChIP analysis in MCF-7 cells demonstrated that C/EBP δ could bind this region (Fig. 4h). In MCF-7 and T47D cells, ectopic sIL-6R α supplementation completely rescued IL-6-induced pSTAT3 accumulation in C/EBP δ -depleted cells (Fig. 4i). However, sphere formation in response to IL-6 was only partially rescued (Fig. 4j), indicating that C/EBP δ promoted sphere formation not only by supporting sIL6R α production. Taken together, these data demonstrate that C/EBP δ contributes to IL-6-mediated STAT3 phosphorylation by activating IL-6R α expression and thereby enhancing IL6/STAT3-mediated signaling and phenotypes in breast cancer cells.

C/EBP δ and IL-6 signaling are also critical for myeloid cell activation. Therefore, we investigated their relationship in mouse peritoneal cells comprising >70% myeloid cells³, and found that *Il6ra* expression was reduced in *Cebpd* knockout cells (Fig. 4k). These data indicate that the role of C/EBP δ in the expression of the *ILRA* gene is not limited to breast cancer cells.

C/EBP δ enhances *NOTCH1*/NICD expression through regulation of HIF-1 α and *FBXW7*

One of the final executors of many stemness-inducing signaling pathways is the intracellular domain of Notch, NICD¹². In MCF-7 cells, both IL-6 and hypoxia induced *NOTCH1* mRNA (Fig. S5a) and NICD protein (Fig. 5a) in a C/EBP δ -dependent manner. Also in mammary tumor cells C/EBP δ was necessary for NICD induction by hypoxia (Fig. 5b). Given the known role of HIF-1 in *NOTCH1* expression⁴⁹, we asked whether ectopic HIF-1 α would rescue stemness-related phenotypes in C/EBP δ -depleted cells under hypoxia. First, we verified that ectopic C/EBP δ expression along with *CEBPD* siRNA not only rescued sphere formation (Fig. 5c), but also restored induction of NICD, its target Hey1, and several other stemness factors and prevented the induction of *FBXW7* (Fig. 5d-e).

Next, we tested the effect of ectopic HIF-1 α by transfecting a P402A/P564A-mutated HIF-1 α (*HIF-1 α) protein, which is more stable than wild-type HIF-1 α ¹⁸. In contrast to the complete rescue by ectopic C/EBP δ , expression of *HIF-1 α only partially rescued the SFE in C/EBP δ -depleted cells under hypoxia (Fig. 5f), although HIF-1 α protein levels were reconstituted in hypoxic C/EBP δ -depleted cells (Fig. 5g) as well as expression of the targets *NOTCH1* and *CAIX* (Fig. 5h). However, expression of NICD protein (Fig. 5g) and mRNAs for *SOX2*, *POU5F1*, and *NANOG* were only partially rescued (Fig. 5h). These results showed that C/EBP δ induced *NOTCH1* mRNA expression through HIF1 α , but additional mechanisms act at the protein level. C/EBP δ enhances HIF-1 α expression by inhibiting expression of *FBXW7*², which also targets NICD for degradation¹⁰. Accordingly, co-silencing of *FBXW7* rescued HIF-1 α and NICD protein expression in C/EBP δ -depleted cells (Fig. 5i). Together, these data support a model by which C/EBP δ promotes *NOTCH1* mRNA expression through HIF-1 α , while NICD protein degradation is attenuated through inhibition of *FBXW7* expression (Fig. 5j).

C/EBP δ directly targets the promoters of stemness and reprogramming factors

We next assessed whether ectopic NICD would rescue stemness features of C/EBP δ -depleted cells. A NICD expression construct restored NICD protein levels in *CEBPD*-silenced cells, but – as expected - did not recover HIF-1 α expression (Fig. 6a). Ectopic NICD completely rescued mRNA expression of its targets *HEY1* and *HEY2* in *CEBPD*-silenced cells, but not of *SOX2*, *KLF4*, and *NANOG* (Fig. 6b). Accordingly, SFE under hypoxia was only partially rescued by ectopic NICD (Fig. 6c). Similar results were obtained in SUM159 cells (Fig. 6d-e). While the lack of complete rescue could be in part due to still impaired HIF-1 α expression, these data also prompted us to investigate if C/EBP δ regulated some of the stemness genes directly. The promoters of *SOX2*, *KLF4*, *POU5F1*, *MYC*, and *NANOG* all harbor C/EBP binding motifs (Table S3). In agreement with C/EBP δ -dependent mRNA expression of these genes in MCF-7 spheres (Fig. 3g) and xenograft tumors (Fig. 6f), ChIP analysis confirmed that most of the predicted sites were bound by C/EBP δ in MCF-7 (Fig. 6g-h). Promoter reporter assays further support a role for C/EBP δ in the regulation of *NANOG* and *POU5F1* as *CEBPD*-silencing (Fig. 6i) or mutation of the C/EBP binding sites (Fig. 6j) significantly reduced promoter reporter gene expression in SUM159 cells. Collectively, these results indicate that C/EBP δ can directly regulate the promoters of several stemness-promoting factors.

C/EBP δ is expressed in metastatic patient-derived xenografts (PDXs) and lung metastases

To revisit C/EBP δ expression in human cancer and determine if C/EBP δ was detectable in metastatic breast cancer tissues, we turned to PDX models because this resource allows the confirmation of immunostaining results by Western analysis. We assessed 26 PDX models representing mostly TNBCs, 11 of which are metastatic in mice at a rate of 7–66%⁴⁸ (and Table S1). As expected²⁷, the majority of these tissues did not exhibit abundant C/EBP δ staining. Fig. 7a illustrates C/EBP δ expression in two of the metastatic models (BCM-0002 and –4272), lack of nuclear detection in one specimen (BCM-3963), and abundant expression in BCM-3469. Western data (Fig. 7b-c) agreed with these results and also showed that human C/EBP δ was expressed in most models. In agreement with a previous report¹⁶, tissue level expression of stemness-factors did not correlate with each other, nor

with C/EBP δ (data not shown). However, a highly significant positive relationship was observed between the expression of C/EBP δ and Myc, which has been linked to CSC phenotypes and tumor progression^{13, 47} (Fig. 7d). Of the 11 PDXs that are metastatic, four expressed C/EBP δ levels similar to SUM159 (BCM-3887, -3204, -5471, and -4013), and three expressed higher amounts (BCM-0002, -2665, and -4272). Notably, BCM-0002 was established from a brain metastasis. Collectively, these data show that C/EBP δ can be expressed at low levels and/or in a subset(s) of cells within metastatic PDX models.

Next, we assessed C/EBP δ expression in lung lobes of 2–3 mice each from 11 models. CK19 and/or pan-cytokeratin staining demonstrated the presence of varying numbers of metastases in 1–3 mice from eight models. Most of these represented micrometastases and the majority did not exhibit C/EBP δ staining (data not shown). However, C/EBP δ positive cells were detectable in some metastases from seven models, as shown by the examples in Fig. 7e. Thus, while C/EBP δ expression was not frequent in metastases, its expression appears compatible with metastatic tumor cell growth.

Discussion

Although C/EBP δ had often been associated with growth arrest and differentiation in cell culture assays⁴, our results demonstrate that human breast cancer cell intrinsic C/EBP δ promotes the growth of primary tumors and experimental metastases in preclinical assays. Tumors that express C/EBP δ have greater numbers of cells with CSC cell surface marker expression and sphere formation capability. Furthermore, self-renewal of sphere formation is supported by C/EBP δ , along with expression of several stemness-promoting factors. Specifically, we find that hypoxia and IL-6 signaling mechanistically synergize by recruiting C/EBP δ as a link between two positive feedback loops (Fig. 7f), which results in the concerted activation of HIF-1, STAT3 and C/EBP δ . This pathway includes inhibition of the FBXW7a tumor suppressor program and activation of the Notch pathway (see Figure 5j), which all together are likely to drive the generation and maintenance of CSCs. Furthermore, C/EBP δ activates expression of the chemokine receptor CXCR4³, which has also important roles in CSCs⁹. FBXW7 regulates normal tissue stem cell balance and inhibits cancer cell stemness^{10, 33}. We suggest that targeting of C/EBP δ for degradation³ may be part of FBXW7's function to promote CSCs³³.

In the context of tumor inflammation, the IL-6/STAT3 pathway can convert nonCSCs into CSCs and stimulate the expansion of CSC populations^{7, 25, 38, 43}. Accordingly, the IL-6R α receptor is overexpressed in breast CSCs and correlates with poor prognosis²⁵. Despite this important role of IL-6, nothing is known about the transcriptional regulation of its receptor *IL6RA* in epithelial cells. We found that C/EBP δ activates *IL6RA*, adding a new element to the positive feedback loop between IL-6 and C/EBP δ . Thus, C/EBP δ may establish both an autocrine and paracrine pathway to support the development of CSCs. Furthermore, we suggest that some reported functions of C/EBP δ downstream of IL-6 (as a STAT3-induced gene) in other cell types may need to be re-evaluated in light of its role in IL-6R α expression.

In the context of hypoxia, HIF-1 induces the expression of pro-inflammatory cytokines in the tumor microenvironment²⁴. However, their crosstalk in establishing an IL-6 autocrine loop had not been fully appreciated. We found that C/EBP δ mediated at least in part hypoxia-induced STAT3 phosphorylation as well as IL-6-induced HIF-1 α expression. Conceivably, C/EBP δ may fulfill this role also in the context of the innate immune response. Given that Notch and HIF-1 cooperate to maintain an undifferentiated state¹⁵, our observations reveal how C/EBP δ may serve as a focal point for the generation and amplification of CSCs.

The stem cell phenotype requires the cooperation of several factors as well as the tight regulation of their dosage, because high expression of a given stemness factor can also drive lineage specific differentiation³⁶. Such consideration may reconcile our current data with C/EBP δ 's expression in a subset of normal breast epithelial cells and lower grade, hormonereceptor positive cancers that correlates with longer patient survival²⁷, and which may be related to different functions within more differentiated cells. In this regard, the pattern of C/EBP δ expression in breast cancer is similar to that of ER α , the target of first line therapy in ER+ cancers. In ER+ cell lines, C/EBP δ 's role in supporting stemness features was most pronounced under hypoxia, IL-6 treatment, and loss of substrate adhesion. Thus, conditions in the tumor microenvironment, as well as the cellular context, have profound effects on C/EBP δ functions, which may also explain its role in supporting MCF-7 cell tumor growth *in vivo* (this study), but not their proliferation in culture²⁷. Traditionally, lower grade, ER+ cancers could not be established as PDX models¹¹. Future mechanistic studies will have to address how C/EBP δ functions are modulated in this context.

In the absence of hypoxia or ectopic IL-6, C/EBP δ supported expression of mesenchymal markers and stemness only in the TNBC cell lines. Analysis of PDX tissues demonstrated that C/EBP δ can be expressed in TNBC specimen including metastatic models, notably also one that had been established from a brain metastasis. The pattern of expression suggests that C/EBP δ may be expressed in specific subclones within these heterogeneous tissues or reflect transient expression. Different subsets of CSCs can co-exist and interconvert and may only need to exist transiently in order to contribute to metastasis^{23,44}. Thus, even low levels of C/EBP δ protein may still be sufficient to promote stemness features, especially during transient and acute activation by hypoxia/inflammation and possibly during transition as a circulating tumor cell.

In conclusion, this study shows that by linking and amplifying hypoxia and IL-6 signaling, C/EBP δ orchestrates the synergistic actions of microenvironmental conditions on tumor cell intrinsic signaling pathways that promote cancer cell dedifferentiation, mesenchymal transition, and stem cell-like properties. Our gene silencing/deletion approaches provide proof of principle that C/EBP δ inhibition can reduce tumor growth.

Materials and Methods

See *Supplementary Information* for details on standard reagents and methodologies.

Sphere cultures.

2,500–10,000 cells were plated on ultra-low attachment plates with MammoCult medium (STEMCELL Technologies, cat.#05621&05622) for 4–5 Days. SFE is expressed as % of cells seeded, evaluating 150–500 μm -sized spheres by Gelcount (Oxford Optronix, UK). For self-renewal assays, the spheres were dissociated into single-cell suspension and re-plated.

Silencing of *CEBPD* gene expression.

In addition to genetic gene deletion, collectively six different regions of the *CEBPD* gene were targeted by RNA-interference; with siRNAs, constitutive shRNAs and Dox-inducible shRNAs targeting unique regions (Fig. S6); and complemented by several reconstitution assays. Unless indicated otherwise, cells were passaged 24 h after transfection with si/shRNA, and the effect of si/shRNAs on protein/RNA expression was assessed 2 days after nucleofection. Every experiment included non-specific si/shRNA as control.

Patient-derived xenografts.

PDX models were established and maintained as previously reported⁴⁸. Western blot analysis was from transplant generations 2–13, and within 0–3 generations of the samples used to obtain immunohistochemistry data. Analysis of lung metastases was from mice with primary tumors of $\sim 1\text{ cm}^3$ in volume. Animal care for mice bearing PDX tumors was in accordance with the NIH Guide for the Care and Use of Experimental Animals.

Statistical analysis.

Unless stated otherwise, quantitative data were analysed by the two-tailed unequal variance t-test and are shown as the mean \pm S.E.M. The number of samples (n) refers to biological replicates. See *Supplementary Information* for further details.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Competing Interest Statement

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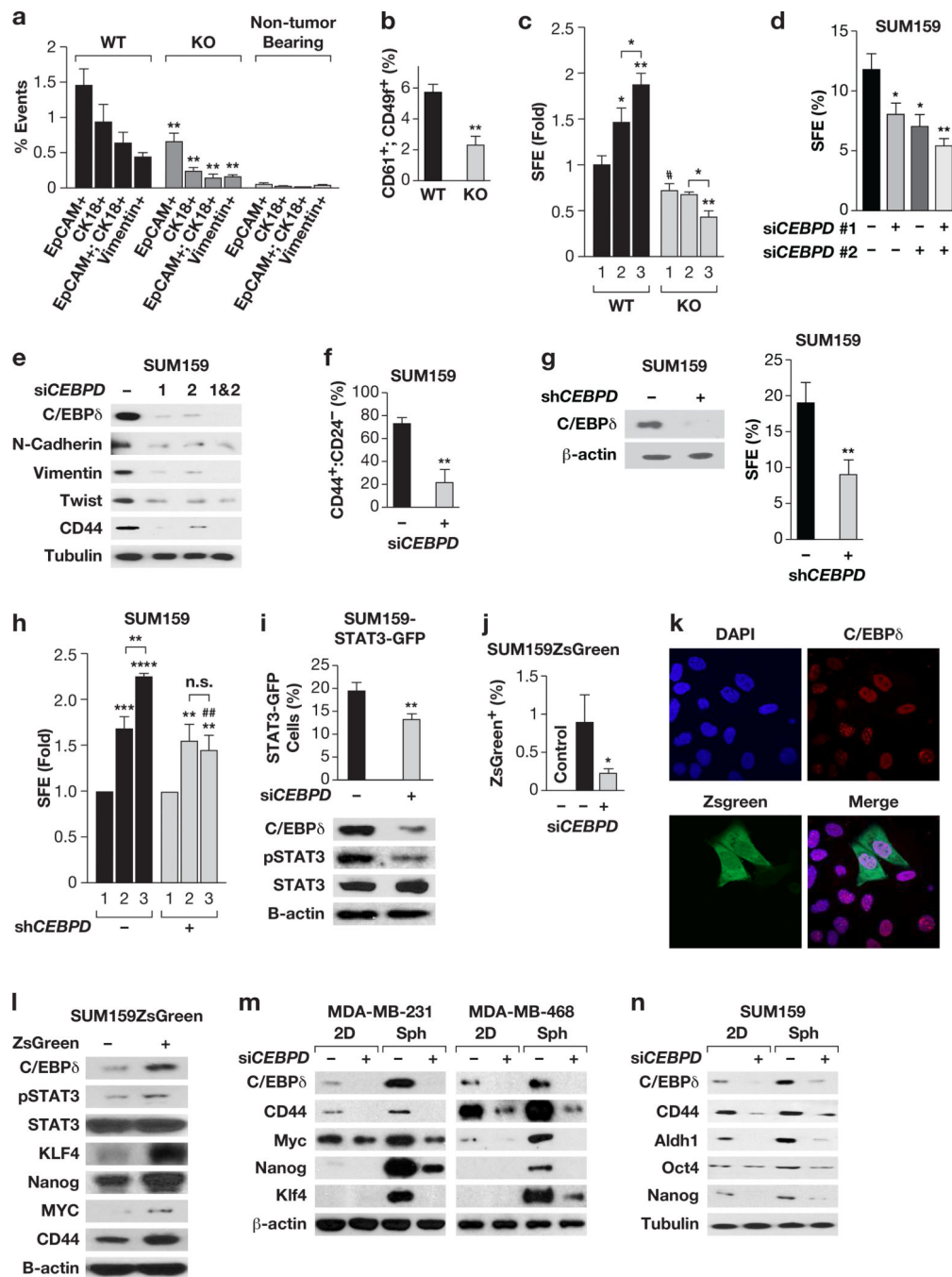


Figure 1. C/EBP δ promotes CSC-like phenotypes in MMTV-Neu mouse mammary tumor cells and human breast cancer cell lines.

(a) Flow-cytometric quantification of epithelial (EpCam⁺, CK18⁺)-, and mesenchymal (Vimentin⁺)-like CTCs⁴² from peripheral blood of tumor-bearing wild-type (WT) and *Cebpd*-deficient (KO) MMTV-Neu transgenic mice. Data represent the mean \pm S.E.M; n=5, ***P*<0.01, two-tailed unequal variance t-test. Non-tumor-bearing *Cebpd* ko/+ mice were used as negative controls (n=3). (b) Flow-cytometric quantification of CD61⁺:CD49f⁺ cells in tumors from mice as in panel (a). Data represent the mean \pm S.E.M;

n=8, ** $P < 0.01$, two-tailed unequal variance t-test. (c) SFE of cells dissociated from tumors and cultured in suspension for 5 days (1st generation) and further cultured for 2nd and 3rd generation spheres (mean \pm S.E.M; n=3, * $P < 0.05$, ** $P < 0.01$, # $P < 0.05$, two-tailed unequal variance t-test). (d) SFE of SUM159 cells after transfection with *Control* or two independent *CEBPD* siRNA oligos (1, 2) alone or in combination (mean \pm S.E.M; n=3, * $P < 0.05$, ** $P < 0.01$, two-tailed unequal variance t-test). (e) Western analysis of the indicated proteins in SUM159 cells 2d after transfection as in panel (d). (f) Flow-cytometric quantification of CD44⁺:CD24⁻ cells in SUM159 cell cultures 3d after transfection of si*Control* and si*CEBPD* siRNA oligos (mean \pm S.E.M; n=3, ** $P < 0.01$, two-tailed unequal variance t-test). (g) Representative Western analysis of C/EBP δ expression in SUM159 cells with stable depletion of C/EBP δ (+, sh*CEBPD*) or control cells (-, sh*Control*) as well as SFE (% of cells seeded) after 4 days of culture in suspension. Similar results were obtained with transient silencing of *CEBPD* (Fig. S1e). (h) Fold change in SFE by 2nd and 3rd generation spheres of SUM159 cells with stable depletion of *CEBPD* or sh*Control* cells. Data represent the mean \pm S.E.M; n=3, ** $P < 0.01$, *** $P < 0.001$, **** $P < 0.0001$; ## $P < 0.01$, effect of sh*CEBPD*; n.s., not significant, two-tailed unequal variance t-test. (i) Quantification of STAT3-GFP⁺ populations from SUM159 cells 72 h after transfection with *Control* or *CEBPD* siRNA and the western analysis as indicated (mean \pm S.E.M; n=3, ** $P < 0.01$, two-tailed unequal variance t-test). (j) Flow-cytometric quantification of green fluorescent cells in SUM159ZsGreen cells transfected with *Control* or *CEBPD* siRNA for 72 h. No green cells were detected in control SUM159 cells without ZsGreen (*Control*). Data represent the mean \pm S.E.M; n=3, * $P < 0.05$, two-tailed unequal variance t-test. (k) Representative immunocytochemistry of C/EBP δ expression in SIM159ZsGreen cells. Nuclei were stained with DAPI. (l) Western analysis of the indicated proteins in SUM159ZsGreen sorted by GFP expression. (m, n) Western analysis of the indicated cell lines transfected with *Control* (-) or *CEBPD* siRNA (+) for 24 h followed by separation into culture on plastic (2D) or as spheres (Sph.) for 4 days.

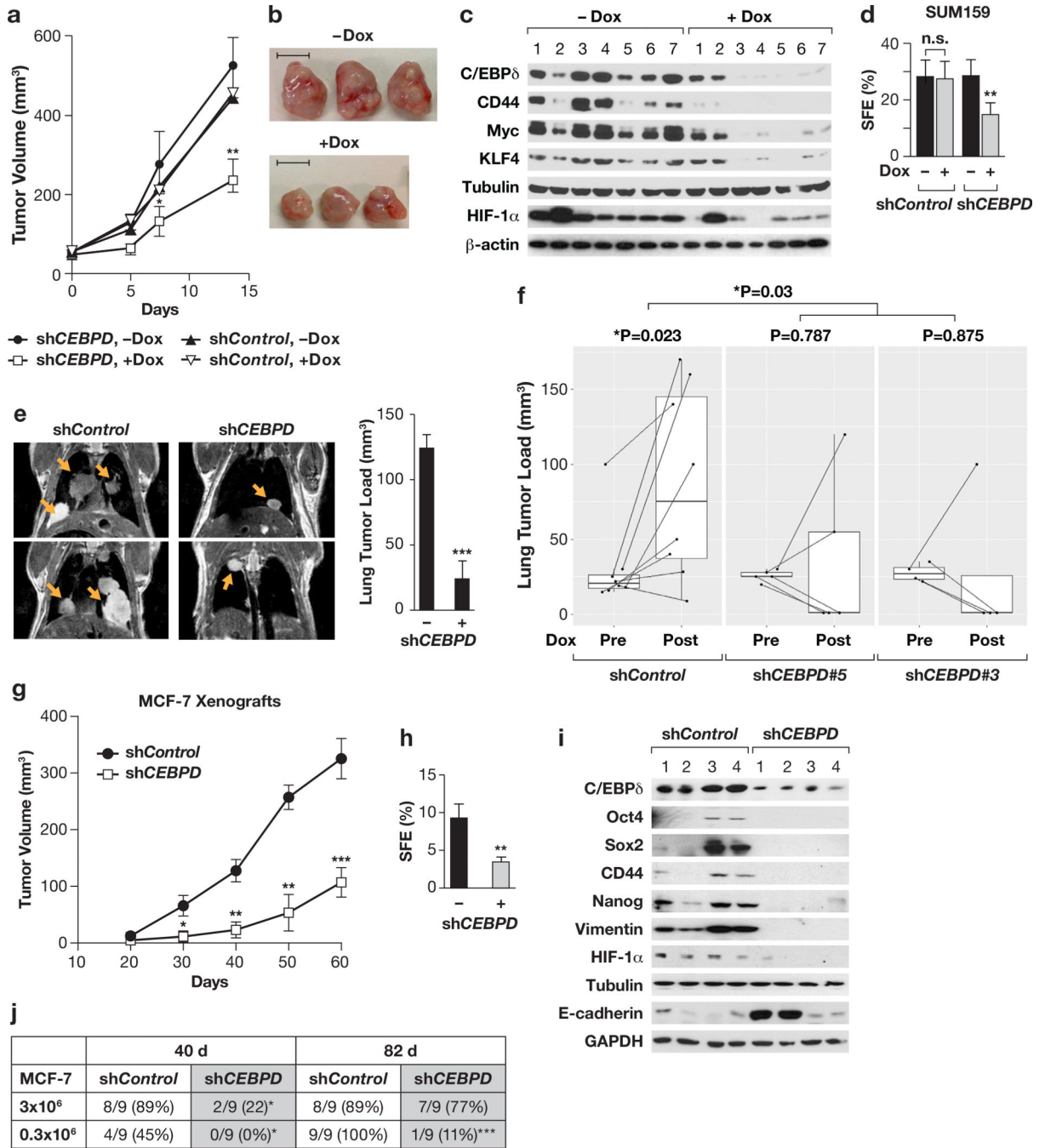


Figure 2. C/EBP6 promotes primary tumor growth and experimental metastasis *in vivo*. (a) Tumor volumes of SUM159 cells with Dox-inducible shRNAs at the indicated times of treatment, started at 50mm³ (n=13, *P<0.05, **P<0.01, by exact logistic regression in the SAS logistic procedure). (b) Representative tumors from panel (a) at endpoint (day 14). Scale bar = 1 cm. (c) Western analysis of the indicated proteins in seven independent tumors per group as in panel (b). (d) SFE of cells dissociated from tumors as in panel (b), cultured for 5 days (n=5–7, **P<0.01, two-tailed unequal variance t-test). (e) Representative magnetic resonance images of mouse thoraxes 8 weeks after tail vein injection and

quantification of the tumor load in lungs by ImageJ from those mice that showed lesions, i.e. 3/4 for sh*Control* and 4/9 for sh*CEBPD*. Data represent the mean \pm S.E.M; n=3 sh*Control*, n=4 sh*CEBPD*, *** P <0.001, two-tailed unequal variance t-test. (f) Quantification of lung tumor load by MRI imaging before (Pre) and after four weeks (Post) of doxycycline treatment using two SUM159 cell lines with independent Doxinducible *CEBPD*-shRNAs or sh*Control* (sh*Control*, n=8; sh*CEBPD*#5, n=5; sh*CEBPD*#3, n=4). The indicated P values were generated with the Paired Wilcoxon rank test. Dox significantly reduced the lung tumor load in the combined sh*CEBPD* mice compared to controls (P =0.03 by Wilcoxon rank test of log-fold changes in tumor load). (g) Tumor volume measurements of MCF-7 stable cells (sh*Control* and sh*CEBPD*) injected orthotopically into athymic nude mice. Data are from three independent sets of cells as described in Fig. S2i-j (Batch I, n=14; Batch II, n=4; Batch III; n=5; mean \pm SEM; * P <0.05, ** P <0.01, *** P <0.001, by exact logistic regression in the SAS logistic procedure). (h) SFE of single cell suspensions from similar-sized MCF-7 xenograft tumors, cultured for 5 days (n=5, ** P <0.01, two-tailed unequal variance ttest). (i) Western analysis of the indicated proteins in four independent tumors as in panel (g). (j) Tumor initiation by MCF-7 cells (3×10^6 or 0.3×10^6) with stable depletion of *C/EBP δ* (sh*CEBPD*) or control cells (sh*Control*) injected orthotopically. Data are from two experiments and indicate the presence of tumors from palpable stage at the indicated times after injection (n=9, * P <0.05, *** P <0.001, by exact logistic regression in the SAS logistic procedure).

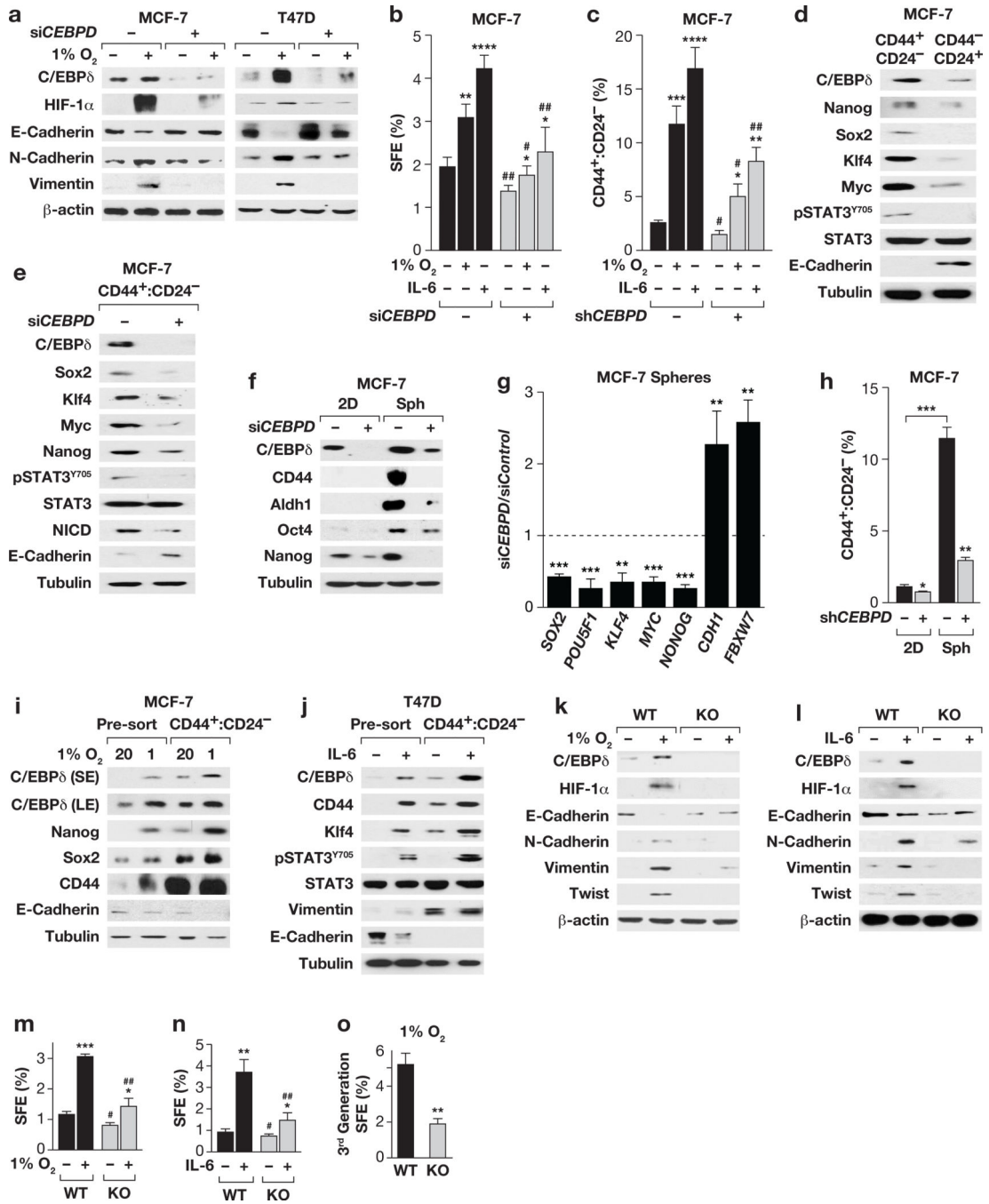


Figure 3. C/EBP6 promotes hypoxia and IL-6-induced CSC-related features in breast cancer cells.

(a) Western analysis of MCF-7 and T47D cells transfected with *Control* (–) or *CEBPD* siRNA followed by culture ± 1% O₂ for 3 days. (b) SFE of MCF-7 cells transfected and cultured for 4 days in suspension ± IL-6 (100 ng/ml) or 1% O₂. Data represent the mean ± S.E.M; n=6, untreated, n=3, treated. **P*<0.05, ***P*<0.01, *****P*<0.0001; #*P*<0.05, ##*P*<0.01, effect of si*CEBPD*, two-tailed unequal variance t-test. (c) Flow-cytometric quantification of CD44⁺:CD24[–] cells in MCF-7 cells with stable depletion of C/EBP6

(sh*CEBPD*, +) or sh*Control* cells (-) cultured \pm 1% O₂ or IL-6 (50 ng/ml) for 10 days. Data represent the mean \pm S.E.M; n=3, **P*<0.05, ***P*<0.01, ****P*<0.001, *****P*<0.0001; #*p*<0.05, ##*p*<0.01, effect of sh*CEBPD*, two tailed unequal variance t-test. Representative flow cytometry scatter-plots of CD44/CD24 expressing MCF-7 cells are shown in Fig. S2n. **(d)** Western analysis of the indicated proteins in MCF-7 cell subpopulations as indicated. **(e)** Western analysis of the CD44⁺:CD24⁻ population sorted from MCF-7 cells 48 h after transfection with *Control* or *CEBPD* siRNA. **(f)** Western analysis of MCF-7 cells transfected with *Control* (-) or *CEBPD* siRNA (+) for 24 h followed by culture on plastic (2D) or as spheres (Sph) for 4 days. **(g)** qPCR analysis of the indicated genes in MCF-7 cells transfected with siRNAs against *Control* or *CEBPD* followed by culture in suspension for 4 days. Data represent the mean \pm S.E.M; n=3, ** *P*<0.01, ****P*<0.001, two-tailed unequal variance t-test. Data are shown as relative to the levels in si*Control* cells. **(h)** Flow-cytometric quantification of CD44⁺:CD24⁻ cells in MCF-7 cells treated as described in panel (f). Data represent the mean \pm S.E.M; n=3, **P*<0.05, ***P*<0.01, ****P*<0.001, two-tailed unequal variance t-test. **(i)** Western analysis of the indicated proteins in MCF-7 cells cultured \pm 1% O₂ for 10 days followed by FACS isolation of CD44⁺:CD24⁻ cells, compared to unsorted (pre-sort). **(j)** Western analysis of the indicated proteins in T47D cells \pm IL-6 (50 ng/ml, 10 days), prepared as in panel (i) (S/LE, short/long exposure). **(k&l)** Western analysis of the indicated proteins in primary tumor cells after 3 days of culture at 1% O₂ or with IL-6 (100 ng/ml) as indicated. **(m&n)** SFE of cells dissociated from tumors and cultured in suspension at 1% O₂ or with IL-6 (100 ng/ml) for 5 days as indicated. Data represent the mean \pm S.E.M; n=3, **P*<0.05, ***P*<0.01, ****P*<0.001; #*p*<0.05, ##*p*<0.01, genotype effect within treatment, two-tailed unequal variance t-test. **(o)** SFE of primary tumor cells from 3rd generation spheres cultured in suspension at 1% O₂. Data represent the mean \pm S.E.M; n=3, ***P*<0.01, two-tailed unequal variance t-test.

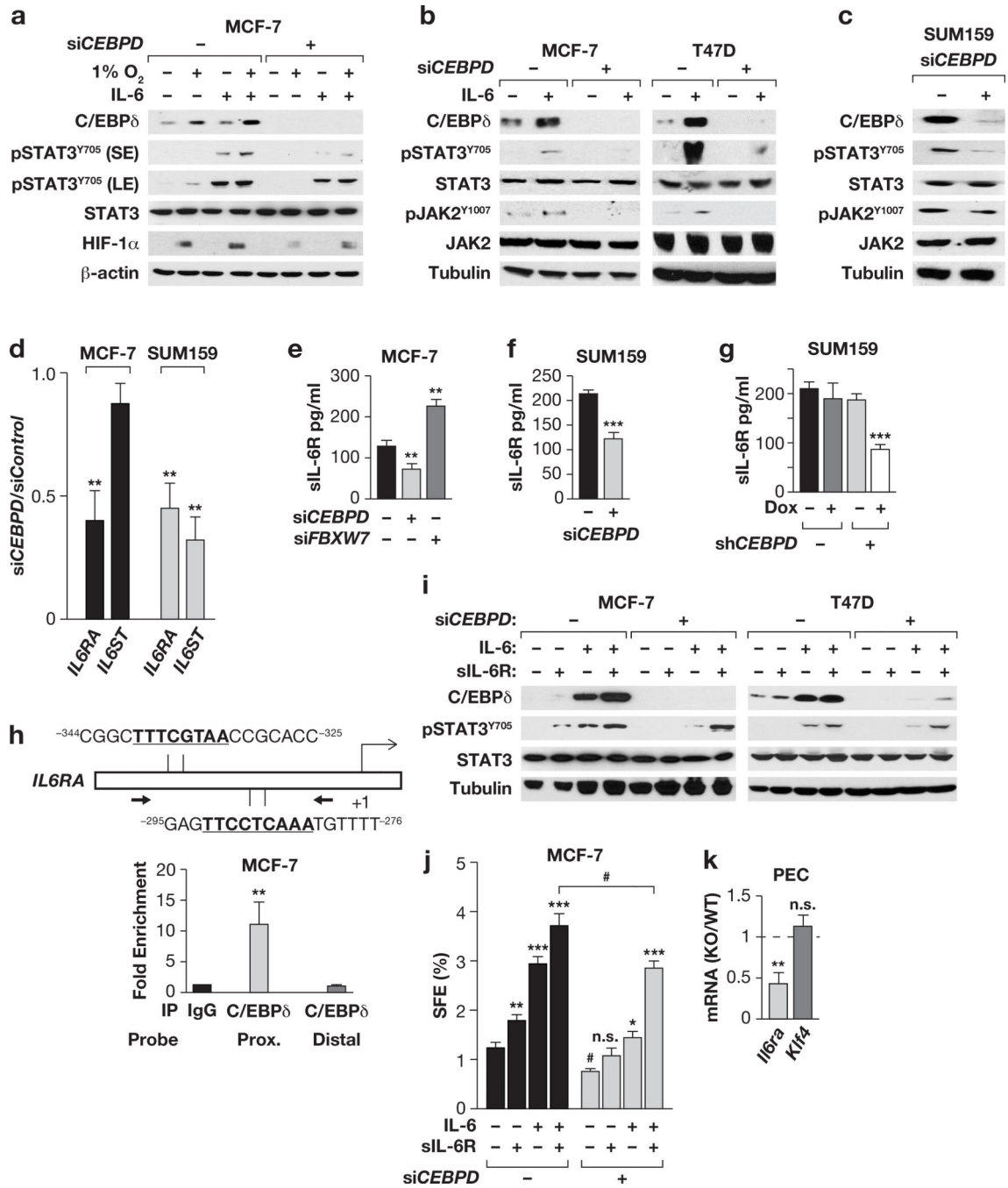


Figure 4. C/EBPδ promotes IL-6 signaling through activation of the IL-6 receptor gene *IL6RA*. (a) Western analysis of the indicated proteins in MCF-7 cells ± *CEBPD* siRNA and cultured ±1% O₂ or IL-6 (200 ng/ml) for 8 h (S/LE, short/long exposure). (b) Western analysis of the indicated proteins in MCF-7 and T47D cells ± *CEBPD* siRNA and cultured ±IL-6 (100 ng/ml, 24 h). (c) Western analysis of the indicated proteins in SUM159 cells 48 h after nucleofection with *Control* or *CEBPD* siRNA. (d) qPCR analysis of *IL6RA* and *IL6ST* mRNA in MCF-7 and SUM159 cells 48 h after nucleofection with *Control* or *CEBPD* siRNA. Data represent the mean ± S.E.M; n=3, ***P*<0.01, by two-tailed unequal variance t-

test. **(e&f)** Quantification of IL-6Ra protein in the conditioned medium from cells 48 h after transfection with the indicated siRNA. Data represent the mean \pm S.E.M; n=3, ** $P<0.01$, *** $P<0.001$, two-tailed unequal variance t-test. See Fig. S4d for silencing controls. **(g)** Quantification of sIL-6Ra in the conditioned media from SUM159 cells with Dox-inducible *Control* and *CEBPD* shRNA cells treated \pm Dox for 24 h (n=3; *** $P<0.001$). **(h)** Schematic showing two C/EBP binding motifs in the *IL6R* promoter and relative location of qPCR primers for ChIP analysis (bottom panel) with MCF-7 chromatin and a C/EBPd-specific antibody relative to IgG control. Distal: negative control from the *CD44* gene. Data represent the mean \pm S.E.M; n=3, ** $P<0.01$, twotailed unequal variance t-test. **(i)** Western analysis of the indicated proteins in MCF-7 and T47D cells \pm *CEBPD* siRNA after 16 h of treatment with IL-6 and/or sIL-6R (200 ng/ml each). **(j)** SFE of MCF-7 cells under conditions as shown in panel (i). Data represent the mean \pm S.E.M; n=3, * $P<0.05$, ** $P<0.01$, *** $P<0.001$; # $p<0.05$, effect of si *CEBPD*; n.s., not significant, two-tailed unequal variance t-test. **(k)** qPCR analysis of *Il6ra* and *Klf4* mRNA levels in freshly isolated peritoneal exudate cells (PEC) from *Cebpd* WT and KO mice; *Klf4* is shown as a negative control as it was not altered (n=4; ** $P<0.01$, two-tailed unequal variance t-test.).

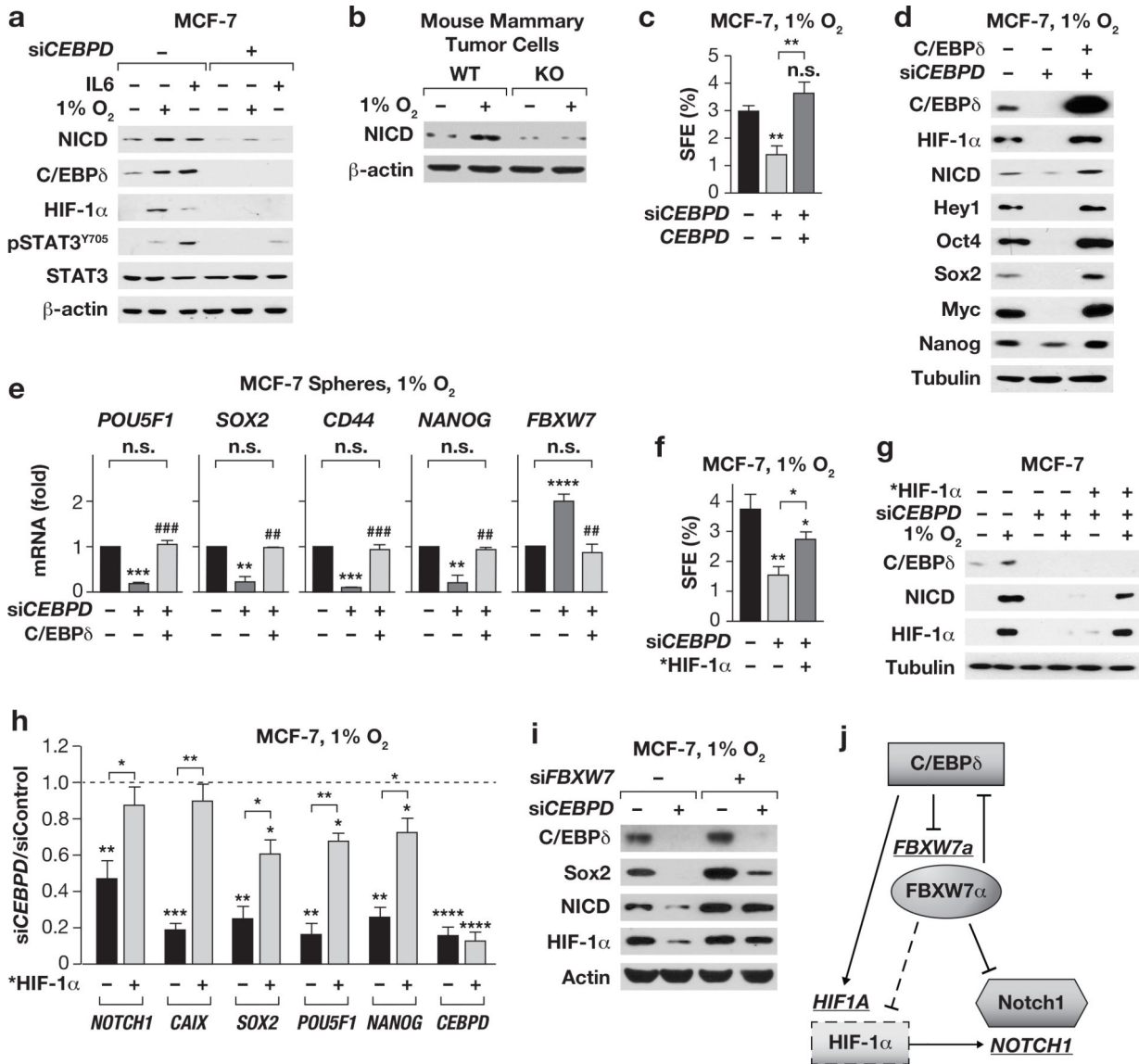


Figure 5. C/EBPδ augments NOTCH1/NICD expression through HIF-1α and FBXW7α.
(a) Western analysis of the indicated proteins in MCF-7 cells ± *CEBPD* siRNA cultured ±1% O₂ or IL-6 (100 ng/ml) for 24 h. **(b)** Western analysis of primary MMTV-Neu tumor cells from *Cebpd* WT and KO mice cultured ± 1% O₂ for 3 days. **(c)** SFE of MCF-7 cells transfected with siRNA and a *C/EBPδ* expression construct or vector, cultured in suspension at 1% O₂ for 4 days. Data represent the mean ± S.E.M; n=3, ***P*<0.01, n.s., not significant, two-tailed unequal variance t-test. **(d)** Western analysis and **(e)** qPCR analysis of cells as in panel (c) (n=3, ***P*<0.01, ****P*<0.001, *****P*<0.0001, compared to siControl; ##*P*<0.01, ###*P*<0.001, effect of *C/EBPδ* overexpression; n.s., not significant). **(f)** SFE as in panel (c) of MCF-7 cells transfected with siRNA along with mutant *HIF-1α expression plasmids as indicated (n=3, **P*<0.05, ***P*<0.01, two-tailed unequal variance t-test). **(g)** Western analysis and **(h)** qPCR analysis of MCF-7 spheres as in panel (f). Data represent the mean ± S.E.M; n=3, **P*<0.05, ***P*<0.01, ****P*<0.001, *****P*<0.0001, two-tailed unequal variance t-test. **(i)** Western analysis of MCF-7 cells transfected with the indicated siRNA followed by culture

in suspension at 1%O₂ for 4 days. See Fig.S5b for silencing controls. **(j)** Model of the regulatory circuit of the indicated genes (underlined) and resultant proteins (shapes). The model is supported by the data in this manuscript plus published reports (see in-text citations).

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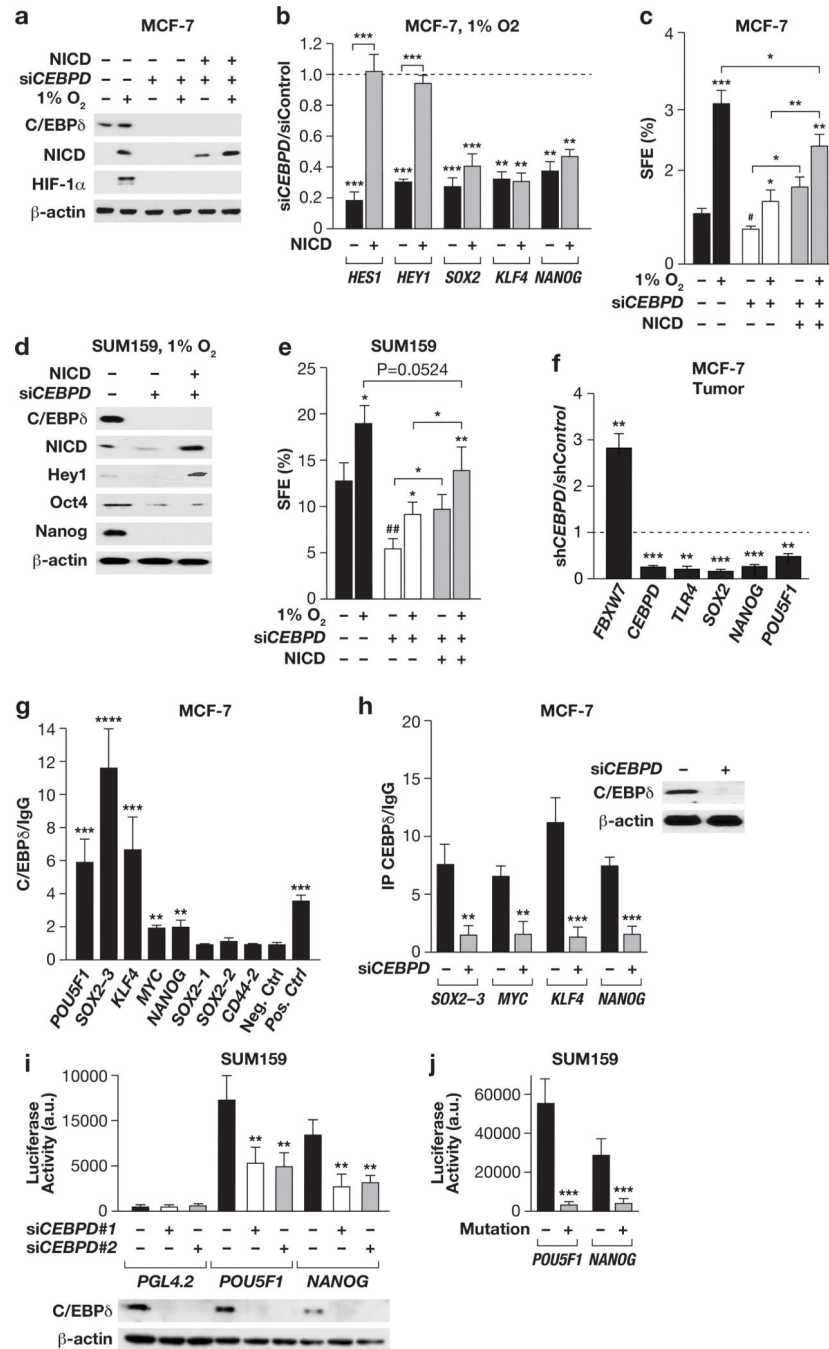


Figure 6. C/EBP6 directly targets the promoters of several stemness factors.

(a) Western analysis of the indicated proteins in MCF-7 cells transfected with siRNA and NICD expression plasmids or controls as indicated and cultured in suspension \pm 1% O₂ for 4 days. (b) qPCR analysis of the indicated mRNAs in MCF-7 spheres as in panel (a). Data represent the mean \pm S.E.M; n=3, ** P <0.01, *** P <0.001, two-tailed unequal variance t-test. (c) SFE of MCF-7 as in panel (a). Data represent the mean \pm S.E.M; n=3, * P <0.05, ** P <0.01, *** P <0.001; # P <0.05, siControl vs siCEBPD, two-tailed unequal variance t-test. (d) Western analysis of the indicated proteins in SUM159 cells transfected and cultured as in

panel (a). (e) SFE of SUM159 cells as in panel (c). Data represent the mean \pm S.E.M; n=3, * P <0.05, ** P <0.01; ## p <0.01, si $Control$ vs si $CEBPD$, two-tailed unequal variance t-test. (f) qPCR analysis of the indicated genes from MCF-7 control and sh $CEBPD$ xenograft tumors (n=6, ** P <0.01, *** P <0.001). (g) qPCR analysis of DNA fragments in ChIP assays with MCF-7 chromatin and C/EBP δ -specific antibodies relative to IgG as control. Neg. Ctrl.: from $KLF4$ locus; Pos. Ctrl.: $TLR4$. Data represent the mean \pm S.E.M; n=3, ** P <0.01, *** P <0.001, **** P <0.0001, two-tailed unequal variance t-test. (h) qPCR analysis of ChIP assays with IgG or C/EBP δ -specific antibodies and chromatin from MCF-7 cells 72 h after transfection with $Control$ or $CEBPD$ siRNA (n=3, ** P <0.01, *** P <0.001). The inset shows Western analysis of C/EBP δ and β -actin in representative cell lysates. (i) Luciferase reporter activity in SUM159 cells transfected with the indicated promoter reporter constructs or PGL4.2 vector control along with scrambled control siRNA (-) or one of two independent $CEBPD$ siRNAs. Data represent the mean \pm S.E.M; n=3, ** P <0.01. The Western shows CEBPD silencing in representative extracts. (j) Luciferase reporter activities expressed from $POU5F1$ and $NANOG$ promoters \pm mutations of the C/EBP binding motif (as shown in Table S3) 72 h after transfection into SUM159 cells (n=3, *** P <0.001).

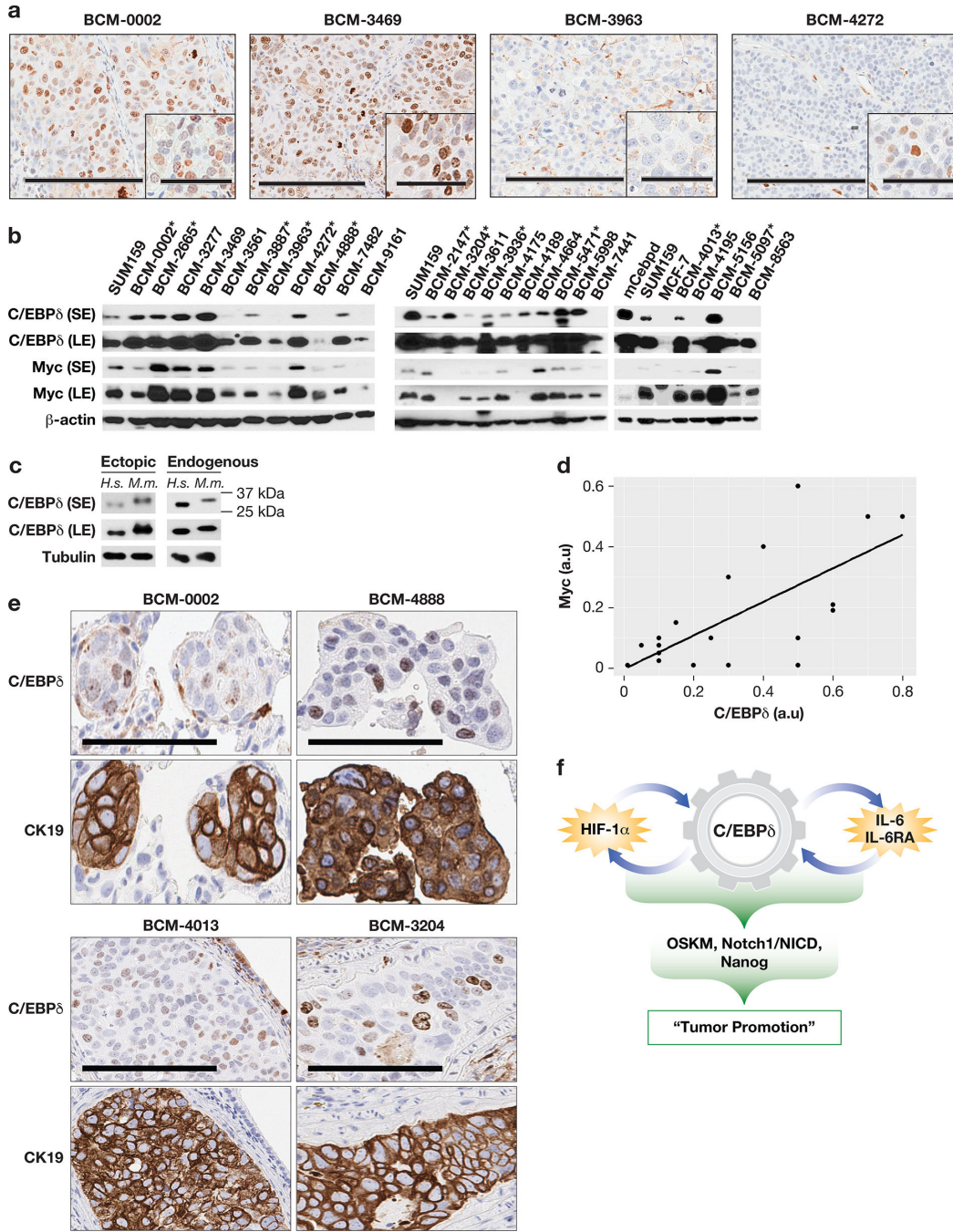


Figure 7. C/EBPδ is expressed in metastatic patient-derived xenografts (PDXs) and lung metastases.
 (a) C/EBPδ immunostaining in four PDX models. Scale bars = 200, respectively 60 μm. (b) Western analysis of the indicated proteins in PDX tissues as indicated compared to SUM159 cells in culture (*metastatic in mice; S/LE, short/long exposure). (c) Western analysis of C/EBPδ showing the migration differences between human (*H.s.*) and mouse (*M.m.*) C/EBPδ. Ectopic proteins are from HEK293 cells transfected with the respective expression constructs. Endogenous proteins are in extracts from SUM159 human breast cancer cells and

the mouse monocytic cell line RAW264.7. Tubulin served as loading control. **(d)** Correlation plot of ImageJ quantifications of the LE signals for C/EBP δ and Myc from panel (b), normalized to β -actin (a.u., arbitrary units; $P=1.032\times 10^{-6}$, Linear Regression). **(e)** Immunostaining of C/EBP δ and CK19 on parallel section of lung metastases from mice bearing endpoint tumors of the indicated PDX models (scale bar = 100 μ m). **(f)** Model illustrating how the integration of the two positive feed-back loops of HIF-1 and IL-6 signaling together with C/EBP δ 's direct targeting of stemness genes can coordinate and amplify the induction of stemness-like features and promote tumor growth (OSKM: Oct4, Sox2, Klf4, Myc).