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Development of a multivariable gene-expression signature targeting T-cell-mediated rejection in peripheral blood of kidney transplant recipients validated in cross-sectional and longitudinal samples

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ABSTRACT

Background: Acute T-cell mediated rejection (TCMR) is usually indicated by alteration in serum-creatinine measurements when considerable transplant damage has already occurred. There is, therefore, a need for non-invasive early detection of immune signals that would precede the onset of rejection, prior to transplant damage.

Methods: We examined the RT-qPCR expression of 22 literature-based genes in peripheral blood samples from 248 patients in the Kidney Allograft Immune Biomarkers of Rejection Episodes (KALIBRE) study. To account for post-transplantation changes unrelated to rejection, we generated time-adjusted gene-expression residuals from linear mixed-effects models in stable patients. To select genes, we used penalised logistic regression based on 27 stable patients and 27 rejectors with biopsy-proven T-cell-mediated rejection, fulfilling strict inclusion/exclusion criteria. We validated this signature in i) an independent group of stable patients and patients with concomitant T-cell and antibody-mediated-rejection, ii) patients from an independent study, iii) cross-sectional pre-biopsy samples from non-rejectors and iv) longitudinal follow-up samples covering the first post-transplant year from rejectors, non-rejectors and stable patients.

Findings: A parsimonious TCMR-signature (*IFNG, IP-10, ITGA4, MARCH8, RORc, SEMA7A, WDR40A*) showed cross-validated area-under-ROC curve 0.84 (0.77–0.88) (median, 2.5th–97.5th centile of fifty cross-validation cycles), sensitivity 0.67 (0.59–0.74) and specificity 0.85 (0.75–0.89). The estimated probability of TCMR increased seven weeks prior to the diagnostic biopsy and decreased after treatment. Gene expression in all patients showed pronounced variability, with up to 24% of the longitudinal samples in stable patients being TCMR-signature positive. In patients with borderline changes, up to 40% of pre-biopsy samples were TCMR-signature positive.

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[4] We both declare that we have had access to the full data and share the final responsibility for the decision to submit for publication.

Interpretation: Molecular marker alterations in blood emerge well ahead of the time of clinically overt TCMR. Monitoring a TCMR-signature in peripheral blood could unravel T-cell-related pro-inflammatory activity and hidden immunological processes. This additional information could support clinical management decisions in cases of patients with stable but poor kidney function or with inconclusive biopsy results.

Abbreviations

ABMR	antibody mediated rejection
AR	acute rejection
AUC	area under the ROC curve
ATG	anti-thymocyte globuline
ATS	American Transplantation Society
BKVN	BK-virus nephropathy
BTS	British Transplantation Society
CV.AUC	cross-validated AUC
eGFR	estimated glomerular filtration rate
ESOT	European Society of Organ Transplantation
GLMM	generalised linear mixed-effects models
HLA	Human Leucocyte Antigen
IS	immunosuppression
KTR	kidney transplant recipients
Parsimonious	The answer that makes the fewest assumptions; in this manuscript the smallest set of genes showing a satisfactory predictive performance
PB	peripheral blood
ROC	receiver operator characteristics curve
RT-qPCR	Real time – quantitative Polymerase Chain Reaction
SCr	Serum Creatinine
TCMR	T cell mediated Rejection
TTS	The Transplantation Society

Research in context

Evidence before the study

Patients with kidney transplants are at significant risk of transplant failure, risking return to renal replacement therapy or having another kidney transplant. Apart from HLA variants mismatches, specific genetic features that are responsible for kidney transplant failure have not been identified thus far.

It remains unknown which of the large number of patients with kidney transplants will get worsening of their kidney function with time. Molecular analysis of peripheral samples from transplant recipients potentially would allow surveillance of immune activation enabling earlier detection and treatment of rejection.

Our literature search has been primarily focused on PubMed and Scopus searches and through information received in and around transplantation meetings (BTS, ATC, ESOT and TTS) where preliminary work of ours and other groups has been presented and discussed.

Previous studies in kidney transplant recipients have identified a number of genes in blood and urine samples which correlate with acute rejection; many of which are involved in cytotoxic T lymphocyte function and cell trafficking. These include *Granzyme B*, *Perforin*, *Fas-ligand*, *FoxP3* and *CXCL10* and interleukins. However, single genes have lacked the sensitivity and specificity to translate early acute rejection detection into clinical practice. In urine, a three-gene signature has been found which was also able to predict the clinical episode by some weeks. In blood microarray studies have identified gene-sets capable of distinguishing acute rejection. These, however, have not been analysed in a serial fashion to allow for determination of their predictive value and they do not examine the effects of anti-rejection therapy. In cardiac transplantation a commercially available 11 gene set has been shown to reduce the need to perform biopsies and led to greater patient satisfaction.

Most recently, the multi-centre AART study from the US has identified a 17 gene set in blood with an AUC of 0.94 and show a predictive value up to 3 months before detection by biopsy, but further clinical validation is still awaiting.

Added value of this study

This is the first European study to comprehensively analyse serial blood samples from renal transplant recipients. We collected samples from 450 consecutive adult recipients at regular intervals over their first year post-transplant. This has allowed us to perform both cross sectional and longitudinal analysis. Patients selected for the discovery phase all received a similar anti-rejection protocol. Importantly this included induction therapy with an IL-2R blocking antibody (Basiliximab) rather than a lymphocyte depleting antibody, the latter being more common practice in the US. Given that some of the genes are lymphocyte expressed, the induction agent might have a significant effect on lymphocyte gene expression, which we have observed. In longitudinal analysis we have demonstrated for the first time the significant intra patient variability over time and a relationship to changes in anti-rejection therapy. Here we describe a *parsimonious* (the one that makes the fewest assumptions) T cell mediated rejection (TCMR) signature using the expression of seven genes in peripheral blood.

We have also been able to demonstrate the predictive value of our signature, with detection of acute rejection demonstrable up to two months before the clinical event. We have subsequently carried out validation in a separate cohort of patients. All in all the number of samples analysed throughout our study nearly doubles the numbers of samples used in the AART study, including therefore a more comprehensive longitudinal picture of the gene measurements.

In order to assist the differential diagnosis with BK-virus nephropathy (BKVN), which has the same clinical presentation as T cell mediated rejection (TCMR), but requires the opposite therapy, namely immunosuppression reduction, we have additionally developed a six-gene signature of BKVN. Further, we have examined patients with alternative induction regimens. Patients treated with Rituximab showed similar gene-expression patterns to patients

treated with Basiliximab, whilst patients receiving Alemtuzumab treatment showed both, high TCMR and high BKVN positivity.

Implications of all the available evidence

Information from gene expression in peripheral blood samples from transplant recipients could provide valuable information to clinicians for more personalised management and finally provide some information on the recipient's immune status.

Potential benefits include earlier detection and treatment of acute rejection as well as separation from other causes of graft dysfunction, something which the presently used non-invasive monitoring tool, namely serum creatinine is unable to do. It may also allow reduction of anti-rejection therapy in other patients, minimising side effects, that may further allow personalised precision medicine.

A trial of these biomarkers for evaluation in clinical practice is now needed.

We believe the potential of the analysis strategy we applied could be used in other biomarker signatures where longitudinal evaluation is critical and this warrants the scrutiny by the wider readership.

1. Introduction

Kidney transplantation remains the optimal treatment for patients with end-stage kidney disease but requires life-long anti-rejection therapy, which is a major contributor to morbidity and mortality in kidney transplant recipients (KTRs). Balancing the level of immune suppression in each recipient remains a major challenge, and occurs in a reactive fashion in response to clinical events.

Monitoring of allograft function presently relies on serum creatinine (SCr) values. SCr is not a sensitive marker, as it often changes only after a considerable graft damage, and is not a specific marker either, as it can be affected by several factors other than rejection and patients further require a percutaneous biopsy to diagnose the cause of transplant dysfunction. A biopsy, however, is an invasive procedure carrying risks and, being prone to sampling error, could potentially fail to adequately uncover the cause of transplant dysfunction, with many cases reported as "borderline suspicious for acute cellular rejection" [1]. Further, a biopsy is usually carried out only when there is clear evidence of transplant dysfunction, at which point irreversible tissue damage may already have occurred. Studies from centres carrying out routine biopsies at defined time-intervals have also demonstrated a significant amount (10–30%) of rejection in the presence of unchanged renal function.

As molecular events precede the development of the immune response, they provide an ideal opportunity to detect host responses before significant damage to the transplant has occurred. While such changes
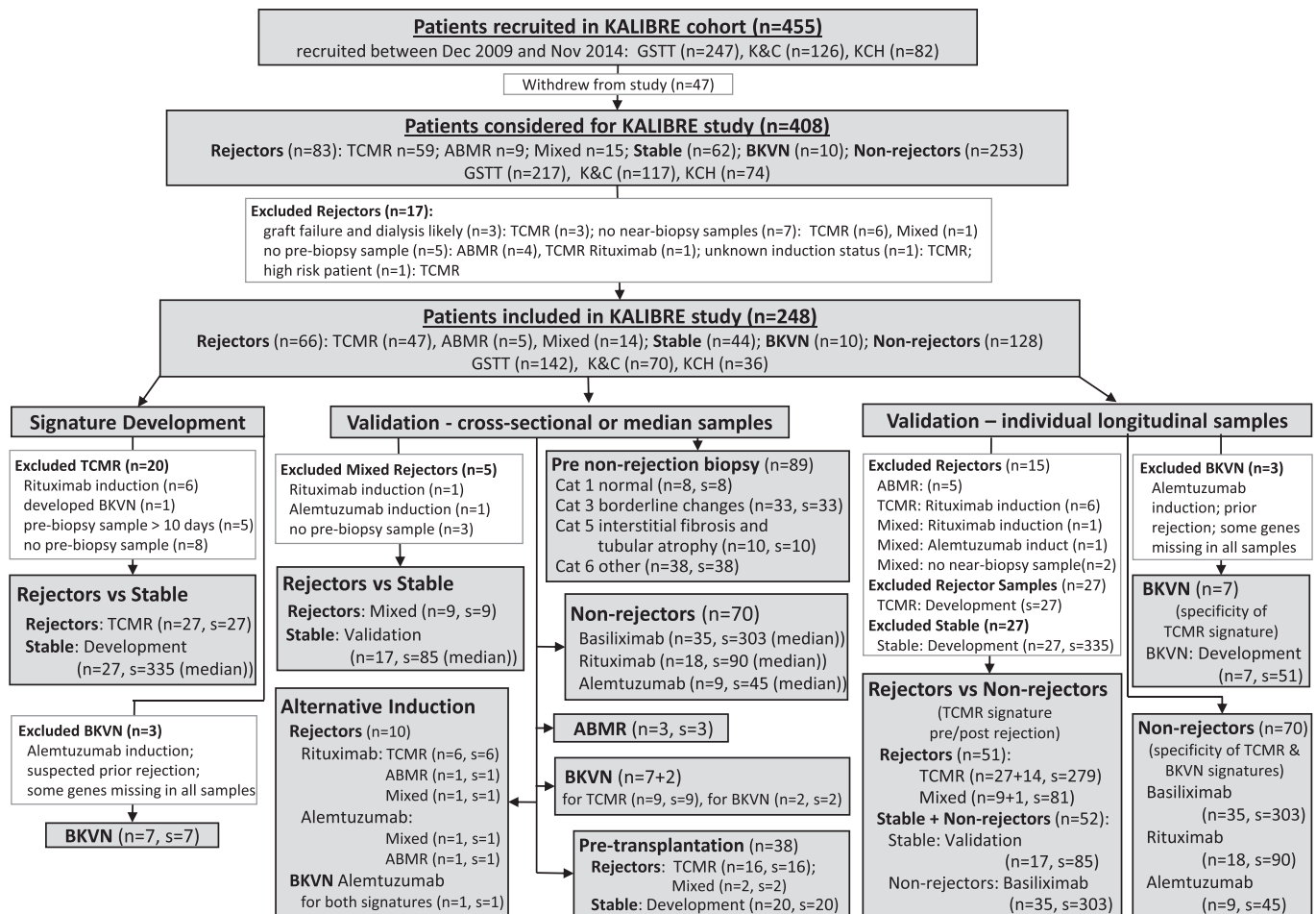
Fig. 1. Flow diagram of patients from the KALIBRE study. Biopsy categories were defined according to Banff '09 classification: **GSTT** – Guy's and St Thomas' NHS Foundation Trust (London, UK); **KCH** – King's College Hospital NHS Foundation Trust (London, UK); **K&C** – East Kent Hospitals University NHS Foundation Trust (Canterbury, UK); **Cat** – Banff category; **ABMR** – antibody-mediated rejection (category 2); **TCMR** – T-cell-mediated rejection (category 4); **Mixed** – histological features of both, ABMR and TCMR; **BKVN** – BK virus nephropathy (confirmed with a specialised histological staining); unless specifically indicated, patients received Basiliximab induction; Inclusion and exclusion criteria for patients in categories Rejector, Stable and BKVN for the discovery dataset are listed in Table 1; **n** – number of patients; **s** – number of samples; **median** – the median predicted probability from all samples of the same stable patient was used as representative for each stable patient in the signature development and cross-sectional validation.

Table 1
Inclusion and exclusion criteria for patients in the signature-development dataset.

Type	Inclusion criteria	Exclusion criteria
Rejector	• histological features of T-cell mediated rejection (TCMR) – category 4 according to the Banff '09 criteria, evidenced in a *for-cause* biopsy between days four and 400 post transplantation; • no preceding category 4 biopsy or category 3 biopsy judged as requiring treatment for rejection; • available sample up to ten days before the diagnostic biopsy; • Basiliximab induction	• antibody-mediated rejection (ABMR), mixed-type rejections (ABMR and TCMR), and borderline lesions (category 3 according to Banff'09 criteria) - to focus on definitive features of TCMR; • post biopsy samples - to avoid influence from alteration of the immunosuppressive treatment; • samples more than ten day before the diagnostic biopsy – to maximise the chances of capturing an immunological response; • ABO or HLA incompatibility; • Alemtuzumab or Rituximab induction
Stable	• kidney transplant only – to avoid capturing immunological features of pancreatic rejection; • baseline creatinine levels reached by day seven; • creatinine levels not exceeding 20% above the upper limit of the normal range between days seven and 400 post transplantation (i.e. up to 126 μmol/L in women and 156 μmol/L in men); • standard deviation of creatinine between days seven and 400 post transplantation not exceeding 15 μmol/L; • Basiliximab induction	• combined kidney/pancreas transplants - to avoid isolated subclinical pancreatic rejection; • *for-cause* biopsy up to day 400 post transplantation - considered an indication of clinical instability; • delayed graft function and post-transplantation dialysis; • ABO or HLA incompatibility; • Alemtuzumab or Rituximab induction.
BKVN	• specialised staining indicative of BK virus nephropathy (BKVN) performed in a *for-cause* biopsy between days four and 400 post transplantation *; • no further deterioration of graft function after treatment for BKVN, i.e. reduction of immunosuppression; • available sample within seven days of the diagnostic biopsy – post-biopsy samples allowed as immunosuppression reduction for treatment for BKVN was expected to show slower effect than immunosuppression increase for treatment of TCMR; • Basiliximab induction	• evidence of TCMR or ABMR during the first year post transplantation; • ABO or HLA incompatibility; • Alemtuzumab or Rituximab induction.

* The specialised BKVN staining has become a routine procedure in more recent years, but for the largest part of the KALIBRE study it would mainly have been be performed if a histological differential diagnosis from TCMR was questionable.

can be detected in tissue from biopsies, the ability to detect a signal in non-invasive samples such as peripheral blood and urine has the added practical advantage of allowing collection of serial samples. Monitoring of gene-expression signatures in peripheral blood and urine samples offers the opportunity for surveillance of the recipient immune system and earlier detection of acute rejection (AR), of diverse aetiology.

In fact, previous studies have identified in both, blood and urine, a number of mRNAs associated with AR [7]. These have included molecules associated with cytotoxic lymphocyte function, such as Perforin, Granzyme B, Fas-ligand and FoxP3. Single genes, however, lack the sensitivity and specificity to translate into clinical practice, and could hardly capture the complexity of the rejection process. Technological advances now allow reliable and cost-effective analysis of multiple genes in a single sample. In urine, a three-gene signature of AR has been described with an area under the curve (AUC) of 0.85 (sensitivity 79%, specificity 78%) and an increase in gene expression detected up to 20 days before

a clinically-evident AR [2]. In cardiac transplantation, the use of an 11-gene panel has been studied and compared against the standard approach of routine biopsies. Use of the panel resulted in fewer performed biopsies and greater patient satisfaction [3].

A critical differential diagnosis of AR is polyoma BK-virus nephropathy (BKVN) [4]. This is manifested, similarly to AR, with graft dysfunction and mononuclear infiltrates in biopsy samples but, unlike AR, is the result of immunosuppression (IS) that maybe excessive for the requirements of the individual. Importantly, the treatment of BKVN (reduction of IS medication) is opposite to that of AR and the definitive diagnosis relies on a specialised immunohistochemistry staining of a biopsy sample [5]. While a reasonable inter-laboratory agreement in detection of BKVN was found in a Banff quality assurance initiative [6], focal lesions may become responsible for a false-negative biopsy. Taking all evidence into account, there is still a need for an alternative non-invasive biomarker of clinically-relevant BKVN.

In this study we have performed a comprehensive analysis in serial peripheral blood samples from KTRs of a set of 22 candidate genes with reported association with T-cell-mediated rejection (TCMR) in the literature (Supplementary Table S1). We have identified a robust gene-expression signature for TCMR and have examined longitudinally gene expression and the effect of different anti-rejection therapies. We subsequently tested the performance of our signature in a validation set of patients and an independent cohort.

This information could finally provide clinicians with some insight into the status of a recipient's immune system and be used as part of the complex clinical management process, when deciding whether or not to perform a biopsy and in evaluating the level of anti-rejection therapy required by a particular individual.

2. Methods

2.1. Patients

Blood samples were collected serially from 455 consecutive KTRs, transplanted at a single regional transplant centre (Guy's Hospital) in the **K**idney **Al**lograft **I**mmunological **B**iomarkers of **Re**jection (KALIBRE) study. Patients were followed up at three independent Renal units (Guy's, King's College, and Kent & Canterbury Hospitals). Samples were collected at 26 time-points during clinic visits over the first post-transplant year. A total of 1464 samples from 248 patients were used in the study, including 66 patients with an episode of rejection (Supplementary Fig. S1). Patient flow-chart is shown in Fig. 1.

All patients contributing to the signature-development training dataset (inclusion/exclusion criteria listed in Table 1) had received treatment according to an anti-rejection protocol including Basiliximab induction followed by maintenance therapy with Tacrolimus or Cyclosporine, Mycophenolate Mofetil and Prednisolone. Histological criteria followed the Banff '09 classification [8], as this was the most updated version at the beginning of recruitment and it was maintained for consistency throughout the study. Patients were categorised as Stable (when their SCr levels were within 20% of baseline), antibody-mediated rejection (category 2, ABMR); T-cell-mediated rejection (category 4, TCMR); mixed rejection (histological features of both, ABMR and TCMR) (mixed); and BK virus nephropathy (BKNV). Patient demographics are summarised in Table 2A and their immunological risk stratification in Table 2B. External validation KTRs (nine rejectors, 15 non-rejectors, one BKVN) were provided by patients from Guy's Hospital (UK) participating in the EMPIRIKAL trial [9] (EUdraCT: 2011-000958-30). We also included healthy controls ($n = 14$), previously recruited as part of the GAMBIT study [10].

2.2. Ethics statement

Approval from research ethics committees was obtained for all included studies: KALIBRE - Research Ethics No: 09/H0711/58; GAMBIT

Table 2A
Patient demographics. All cohorts.

Group	Number patients	Age at transplantation	Gender Female (%)	Donor type living (%)	Time to biopsy (days)
Total patients used from KALIBRE study					
KTRs (all)	248	47 (17–73)	92 (37)	138 (56)	–
Rejectors (all)	66	48 (18–71)	25 (38)	38 (58)	68 (4–384)
Signature development patients (KALIBRE study)					
Rejectors (TCMR)	27	39 (19–68)	9 (33)	16 (59)	103 (5–364)
Stable (Discovery)*	27	41 (19–70)	9 (33)	17 (63)	–
BKVN*	7	54 (36–69)	1 (14)	4 (57)	195 (51–369)
Cross-sectional validation patients (KALIBRE study)					
Category 1	8	42 (24–64)	2 (25)	4 (50)	87 (25–189)
Category 3	33	49 (25–73)	14 (42)	10 (30)	49 (7–196)
Category 5	10	53 (33–70)	4 (40)	5 (50)	58 (7–185)
Category 6	38	54 (18–71)	14 (37)	15 (39)	56 (6–336)
Rejectors (Mixed-type)	9	52 (24–64)	4 (44)	2 (22)	87 (5–349)
Stable (Validation)*	17	43 (25–68)	5 (29)	10 (59)	–
Rejectors (pre-transplantation)	18	38 (18–64)	5 (28)	16 (89)	99 (4–384)
Stable (pre-transplantation)	20	41 (19–70)	8 (40)	16 (80)	–
Rejectors (Rituximab/Alemtuzumab)	10	42 (30–69)	3 (30)	10 (100)	21 (4–367)
Longitudinal validation patients (KALIBRE study)					
Rejectors (TCMR + Mixed)	51	48 (18–71)	20 (39)	26 (51)	78 (4–384)
Stable (Discovery + Validation)	44	42 (19–70)	14 (32)	27 (61)	–
Non-rejectors (Basiliximab)	35	46 (18–73)	14 (40)	24 (69)	–
Non-rejectors (Alemtuzumab)	9	43 (36–65)	4 (44)	8 (89)	–
Non-rejectors (Rituximab)	18	47 (17–72)	8 (44)	18 (100)	–
External validation patients (EMPIRIKAL trial)					
Rejectors (TCMR + Mixed)	9	57 (23–79)	4 (44)	0	8 (7–69)
Non-rejectors (External)	15	50 (20–76)	4 (27)	0	–
Healthy controls (GAMBIT study)					
Healthy Controls	14	45 (23–72)	3 (21)	–	–

* Patients contributed all individual samples for longitudinal validation, while for cross-sectional comparisons and signature development contributed a summary sample with median values per patient; **Age at transplantation** and **Time to biopsy** – summarised with median (minimum – maximum) per group; **Longitudinal samples** – covered the period between days four and 400 post transplantation; **Rejector** – a patient with a biopsy-proven rejection; **TCMR** – T-cell-mediated rejection; **Mixed** – histological features of TCMR and antibody-mediated rejection; **Stable** - patients fulfilling the inclusion/exclusion criteria in Table 1; **Non-rejector** – patients not fulfilling the selection criteria in Table 1 but without clinical or histological evidence of TCMR, ABMR or BKVN up to day 400 post-transplantation; **BKVN** – patients with biopsy-proven BK-virus nephropathy fulfilling the criteria in Table 1; **Biopsy categories** – non-rejection features, according to Banff'09 classification (category 1 – normal, category 3 – borderline changes, category 5 - interstitial fibrosis and tubular atrophy, category 6 – other non-rejection histology).

- Research Ethics No: 09/H0713/12; EMPIRIKAL - Research Ethics No: 12/LO/1334. Written informed consent was obtained from all patients participating in each of those studies.

2.3. Gene-expression analysis

Peripheral blood was collected into Tempus™ Blood RNA Tubes (Life-Technologies) and stored at −20 °C. RNA isolation, cDNA synthesis and RT-qPCR conditions have been previously described in detail [10]. We analysed 22 genes (Supplementary Table S2a–b). Relative gene expression values were calculated with the −ΔCt method, detecting the difference with hypoxanthine-phosphoribosyltransferase (*HPRT*) as a house-keeping gene. An in-house quality control (QC) sample was included in every analytical batch, which showed very low between-run variability (coefficients of variation between 0·19% and 1·09%, median 0·48%). Missing data was minimal (below 0·5%).

2.4. Sample size

Sample size for signature development was determined by patient availability. We included all recipients with T-cell-mediated rejection (TCMR) ($n = 27$) and BKVN ($n = 7$) fulfilling the inclusion/exclusion criteria (Table 1) and the same number of stable patients (n = 27), matched to rejectors in age, sex, and donor type, with no biopsy performed and <20% SCr change after achieving baseline. Power calculation (using an exponential approximation to estimate AUC variance) [11], showed that with 27 patients in each group, we could estimate a 95% confidence interval with half-width 0·103 for an expected AUC of 0·85 and with better precision for higher AUC.

2.5. Statistical analysis

Statistical analysis was performed in R version 3.2.2 [12]. Non-parametric Wilcoxon-Mann-Whitney test was used for univariate class comparisons. Association between continuous variables was evaluated with Spearman correlation coefficient (r). Outliers were recoded to the next highest or lowest value for multivariable analysis. Missing gene-expression data were imputed with K-nearest neighbour for microarrays (**impute** package) [13]. Missing values were first imputed in a 22-gene matrix of longitudinal samples, including samples collected from day 4 to rejection in training rejectors ($n = 201$) and between days 4 and 400 post-transplantation from stable patients ($n = 335$, Supplementary Fig. S2). The complete training matrix was then used to impute missing gene-expression for test samples, one at a time and based only on the genes included in the examined model.

To account for the dependency of samples from the same patient, serial samples were analysed with generalised linear-mixed effects models (GLMM) with a linear, quadratic and cubic term for the fixed and random effects of time.

To account for dependency of gene-expression on time post-transplantation we generated time-adjusted gene-expression values, individually for each gene, as the residuals of cubic GLMM linear regression models with the −ΔCt values, based on serial samples from training stable patients (residuals for all other patients were generated using these training models).

To develop a TCMR signature, we compared samples from TCMR rejectors (a single pre-rejection sample per patient, zero to nine, median: three days pre-biopsy) and stable patients (serial samples of ten to 20, median: 12 per patient; total: 335, summarised with the median time-adjusted expression for each gene per patient). To develop a gene-expression signature of BKVN, we compared BKVN-positive patients

Table 2B
Immunological Risk stratification in test and validations cohorts.

Group	Number patients	Low % patients	Standard % patients	High % patients
Total patients used from KALIBRE study				
KTRs (all)	248	26.6	56.9	16.5
Rejectors (all)	66	22.7	63.6	13.6
Signature development patients (KALIBRE study)				
Rejectors (TCMR)	27	37.0	55.6	7.4
Stable (Discovery)*	27	33.3	66.7	0
BKVN*	7	14.3	85.7	0
Cross-sectional validation patients (KALIBRE study)				
Category 1	8	50.0	37.5	12.5
Category 3	33	27.3	57.6	15.2
Category 5	10	30.0	60.0	10.0
Category 6	38	42.1	50.0	7.9
Rejectors (Mixed-type)	9	0	88.9	11.1
Stable (Validation)*	17	29.4	58.8	11.8
Rejectors (Rituximab/Alemtuzumab)	10	0	60·0	40.0
Longitudinal validation patients (KALIBRE study)				
Rejectors (TCMR + Mixed)	51	27.5	64.7	7.8
Stable (Validation) + Non-rejectors (Basiliximab)	52	34.6	57.7	7.8
Non-rejectors (Basiliximab)	35	37.1	57.1	5.7
Non-rejectors (Alemtuzumab)	9	0	11.1	88.9
Non-rejectors (Rituximab)	18	0	50.0	50.0
External validation patients (EMPIRIKAL trial)				
Rejectors (TCMR + Mixed)	9	N/A		
Non-rejectors (External)	15	N/A		

Percent of patients assigned to each immunological risk level per group within the cohorts. As per local centre protocol patients deemed to be of **Low immunological risk** were: recipients without HLA antibodies or recipients receiving a first transplant kidney from a HLA identical sibling. **Standard immunological risk**: recipients with HLA antibodies; and the following groups (regardless of presence or absence of HLA antibodies): Husband to Wife, Child to Mother, Second or subsequent kidney transplant, Black recipient. **High immunological risk**: recipients who are cross match negative by flow-cytometry but who have a current or historic antibody which is directed against the new organ, and has arisen following exposure to this antigen from a previous solid organ transplant or pregnancy. Recipients who are cross-match positive by flow-cytometry are deemed HLA Antibody Incompatible (HLAi) and receive Alemtuzumab (Campath®) induction and may also undergo pre-operative antibody removal.
The **induction agent** for patients in the KALIBRE study was Basiliximab, unless otherwise specified. Patients in the EMPIRIKAL study all received induction with Basiliximab, and 2/3 of the donor grafts would have been treated with an experimental complement inhibitor right before transplantation (unblinding had not been available at the time of submission).

(a single sample per patient, within seven (median zero) days of a diagnostic biopsy) with the combined group of TCMR rejectors and stable patients, to secure simultaneous discrimination from non-BKVN KTRs.

To select a parsimonious gene-expression signature, i.e. the smallest set of genes showing a satisfactory predictive performance, we used penalised logistic regression with an elastic net penalty [14] (**glmnet** package [12]). Elastic net enables gene selection by shrinking the regression coefficients of genes statistically non-informative for discrimination and, hence, retaining only genes, which are statistically-important based on the data used in the model. For the penalty parameters, we selected the alpha (tested in increments of 0·1), which enabled retaining a satisfactory model performance with the minimum number of strong predictors (i.e. those gene remaining without shrinkage at high values of alpha). The penalty parameter lambda was optimised as the median of 200 seven-fold cross-validation repeats of the **cv.glmnet** function. The final signature models were based on imputation, time-adjustment and elastic net regression performed in the complete signature-development dataset.

To evaluate model performance, we used the AUC (95% De Long confidence interval) and calculated sensitivity and specificity for a cut-off that optimised both for TCMR and specificity only for BKVN, but retaining sensitivity above 0·70 (**pROC** package) [15].

To compare the pre- and post-rejection trajectories of the probability of TCMR in rejectors and non-rejectors, we used GLMM linear regression with an interaction term for group and time. We used as outcome the predicted log-odds of rejection, which, unlike probability, has an unrestricted continuous scale. As a reference time-point in rejectors we used the day of the diagnostic biopsy. In non-rejectors, after demonstrating the time-independence of the predicted probability of TCMR, we assigned a time with respect to the reference point at random. This ensured that the distribution of samples from non-rejectors matched the pattern of rejectors with respect to time post-transplantation (Supplementary Fig. S3). Samples contributing to signature development, i.e. the 27 pre-biopsy samples for patients with TCMR and the 335 samples from the training stable patients, were excluded from the longitudinal analysis. Although the remaining pre-rejection samples from the 27 training rejectors were included in the imputation matrix, they did not contribute to elastic net regression (i.e. gene selection and regression coefficients) and, with a missingness below 0·5%, they would not have materially influenced signature development.

2.6. Validation strategy

It should primarily be noted, that obtaining the 22 initial genes from literature reports and not from a statistical analysis of microarrays performed in our own dataset meant that our study provided a validation dataset for already published findings.

Further, to evaluate the performance of the selected parsimonious gene-expression signature with unseen data, we used the following approaches:

First, we used cross-validation within the signature-development dataset. In the cross-validation cycles all steps of signature development (including the linear regression models generating time-adjusted residuals, the imputation of missing data and the elastic net regression models performing the inherent to them gene selection (starting from the complete list of 22 genes for each model) and the required optimisation of the lambda parameter), were performed with the training subset. The left-out test subset was used solely for model validation (see Note 1 in Supplementary Discussion for further details). A cross-validation AUC (CV.AUC) was determined for each of 50 repeats of seven-fold cross-validation cycles, along with sensitivity and specificity at the fixed cut-off determined as optimal for the final signature model. Model performance measures obtained in the 50 cross-validation cycles were summarised with median (2.5th – 97.5th centile).

Second, we performed cross-sectional validation in unseen test patients, using mixed-type rejectors (with histological features of both, TCMR and antibody-mediated rejection (ABMR)) and new (test) stable patients. We further examined samples collected prior to non-rejection biopsies with different histological categories, pre-rejection samples from patients with ABMR and from rejectors treated with alternative immunosuppression induction agents (Alemtuzumab and Rituximab), near-biopsy samples from patients with BKVN, and samples from healthy controls.

Third, we performed validation in longitudinal samples. To test signature specificity we used the individual longitudinal samples from the new test stable patients and also from other unseen test non-rejectors with more compromised renal function (with or without a for-cause biopsy during the first post-transplant year) and from non-rejectors with alternative immunosuppression induction (the median sample per patient participated in the cross-sectional validation). Specificity of the TCMR signature was further examined in longitudinal samples from BKVN patients. In addition, we compared serial samples from rejectors with the combined group of the non-rejectors and the new stable patients. Rejectors included independent test rejectors (with TCMR and mixed-type rejection) and only the pre and post rejection samples from the 27 training rejectors with TCMR, which were unseen in the elastic net regression defining the signature model.

Fourth, we performed external validation with samples from independent rejectors with TCMR features and non-rejectors from the EMPIRIKAL trial (a pre-rejection sample for rejectors and longitudinal samples for non-rejectors).
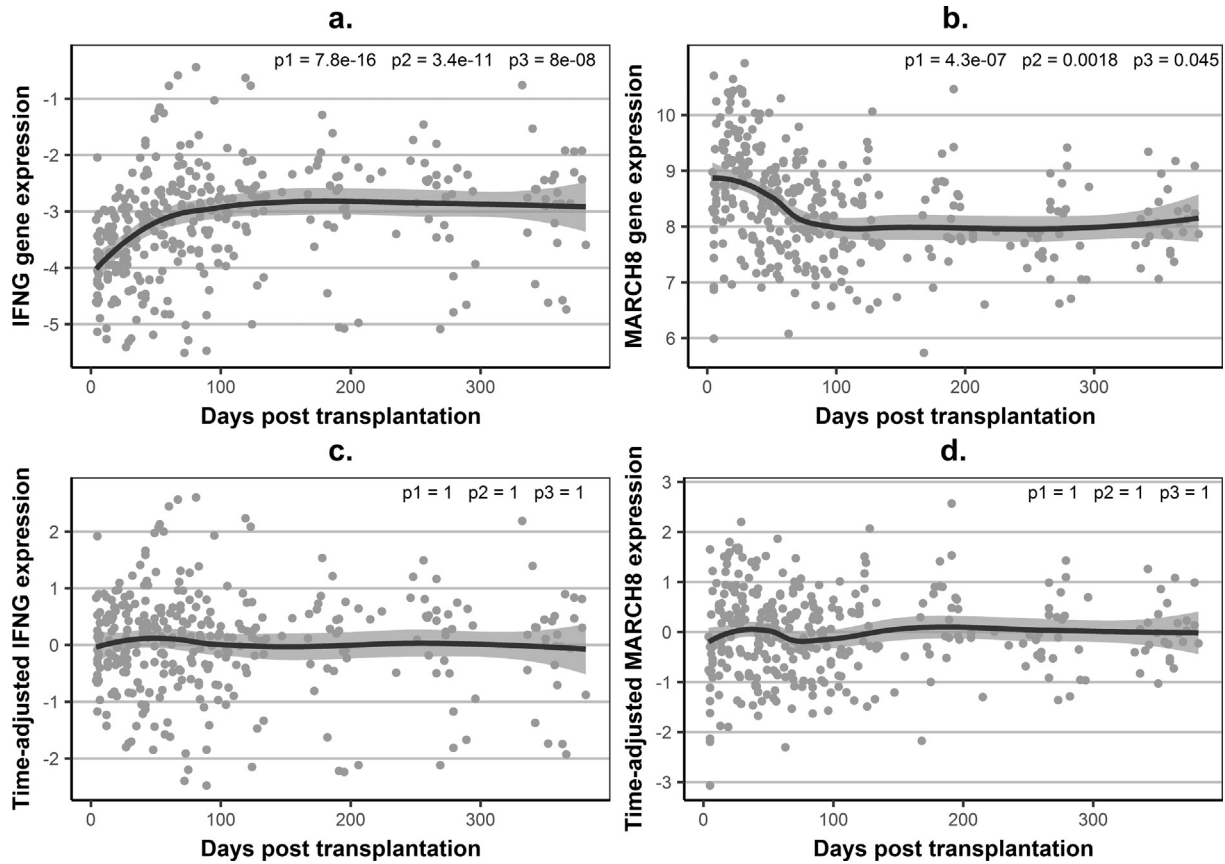
Fig. 2. Examples of association between gene-expression levels and time in stable patients. **(a)** – observed (unadjusted) –ΔCt values for *IFNG*, as an example of a gene with increasing expression levels; **(b)** – observed (unadjusted) –ΔCt values for *MARCH8*, as an example of a gene with decreasing expression levels; **(c)** – time-adjusted gene-expression levels for *IFNG*, illustrating abolition of time-dependence for gene expression; **(d)** – time-adjusted gene-expression levels for *MARCH8*; **Gene expression** – observed ΔCt values, relative to hypoxanthine phosphoribosyltransferase (HPRT), in individual samples (**grey dots**) and a loess average curve with 95% confidence interval; **Time-adjusted gene expression** – fold difference on log2 scale (same scale as gene expression), derived as the difference between the observed –ΔCt values and the predicted values using generalised linear mixed-effects models (GLMM) linear regression, based on all serial samples (total $n = 335$) from the training stable kidney transplant recipients ($n = 27$) (a cubic relationship between gene expression and time post transplantation was modelled for the fixed and random effects); **p1, p2, p3** - *p*-values for the linear, quadratic and cubic fixed-effects terms for time in GLMM (in (a) and (b) GLMM was based on the observed –ΔCt values and the p-values confirm a statistically significant association between gene-expression and time, in (c) and (d) GLMM was based on time-adjusted gene expression values and p-values demonstrate the time-independence of the time-adjusted gene-expression levels).

2.7. Data sharing

Research data will be made available through application to the Biobank "Transplantation, Immunology and Nephrology Tissue and Information Nexus" (TIN-TIN) based at King's College London, London UK. Provisional Ethics Ref: 17/LO/0220.

## 2.8. Role of the funding sources

The study sponsors had no involvement in the study design, the collection, analysis, and interpretation of data, in the writing of the report, and in the decision to submit the paper for publication.

Table 3
Gene-expression signatures.

Event	Genes	Data	AUC	Sens	Spec
TCMR	All genes	Training data	0.96 (0.92–1.00)	0·85	0·89
		Cross-validation	0.80 (0.75–0.83)	0.67 (0.59–0.70)	0.81 (0.71–0.88)
TCMR	*IFNG, IP-10, ITGA4, MARCH8, RORc, SEMA7A, WDR40A*	Training data	**0.95** (0.91–1.00)	0.85	0.93
		Cross-validation	**0.84** (0.77–0.88)	0.67 (0.59–0.74)	0.85 (0.75–0.89)
BKVN	All genes	Training data	0.93 (0.85–1.00)	0.71	0.93
		Cross-validation	0.68 (0.64–0.73)	0 (0–0.25)	0.91 (0.85–0.94)
BKVN	*IL-15, IL1R2, MARCH8, PDCD1, TGFB, WDR40A*	Training data	**0.95** (0.90–1.00)	0.71	0.91
		Cross-validation	**0.73** (0.66–0.80)	0.43 (0·29–0.57)	0.89 (0.83–0.91)

Signature-development (training) data comprised the following groups of patients defined according to the criteria in Table 1: **Rejectors** (n = 27) with T-cell mediated rejection providing a single sample per patient, collected between zero and nine days (median three days) prior to the date of the diagnostic biopsy; **Stable** ($n = 27$) patients providing a summary (median) of the predicted probabilities for all samples from a given patient (10 to 20 samples per patient, median 12, total 335 samples); **BKVN** ($n = 7$) patients with biopsy-proven BK-virus nephropathy providing a single sample per patient collected within seven days before or after a diagnostic biopsy (median zero days); **Gene-expression signatures** resulted from gene selection based on penalised logistic regression with elastic net penalty (Supplementary Fig. S5 and Fig. S11); **AUC** – area under the receiver operating characteristics (ROC) curve with 95% DeLong confidence interval; **Sens** – sensitivity; **Spec** – specificity; **Cut-offs** for Sens and Spec– probability of TCMR 0·5, probability of BKVN 0·2; **Cross-validation** – summary of model performance measures based on the predicted probabilities for unseen data obtained in each of 50 repeats of seven-fold cross-validation cycles – median (2.5[th] – 97.5[th] centile).
Highlighted in bold the most relevant AUC.
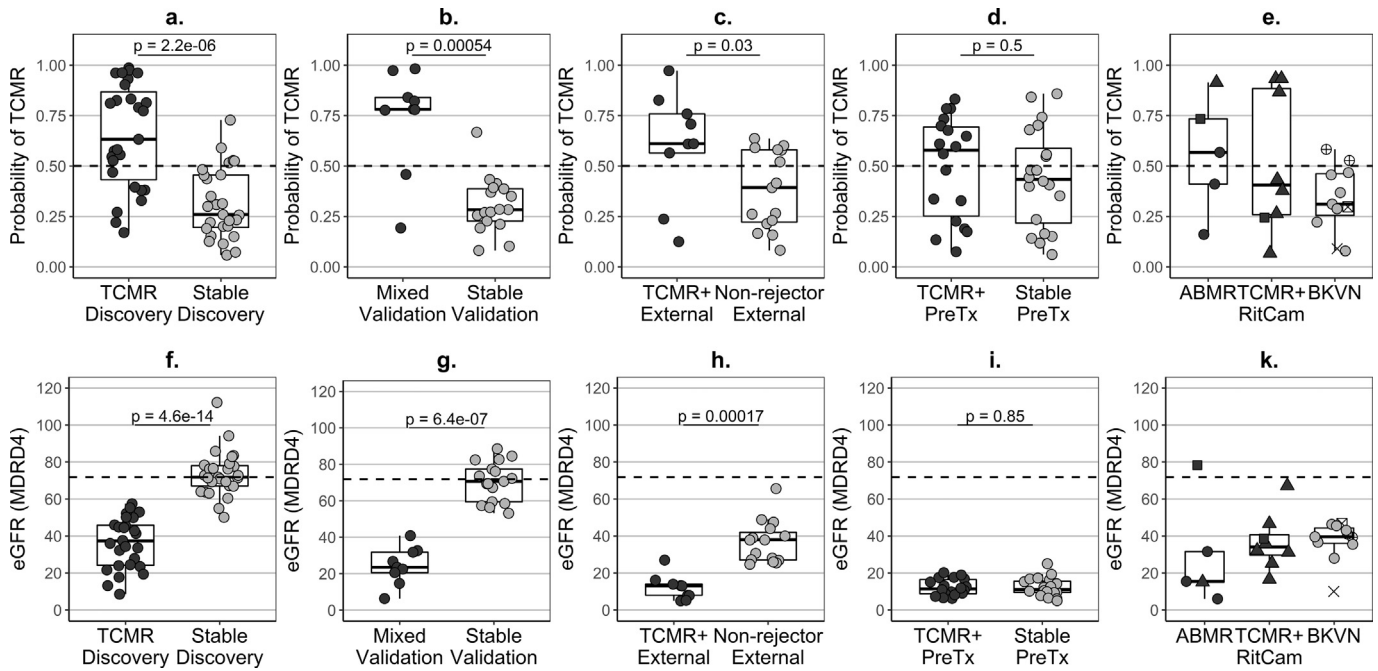
Fig. 3. Predicted probability of TCMR and kidney function in rejectors and stable or non-rejector controls and in patients with BK virus nephropathy. **a** – median of the set of probabilities of T-cell-mediated rejection (TCMR) estimated for each patient of the development dataset in the 50 repeats of the cross-validation cycles (Step 12 of Note 1 in Supplementary Discussion); (**b–e**) – predicted probability of TCMR, based on the newly-developed seven-gene signature (Supplementary Fig. S5); (**f–k**) – estimated glomerular filtration rate (eGFR), based on the MDRD4 equation; **ABMR** – antibody-mediated rejection (category 2 according to Banff'09 classification); **TCMR** – T-cell-mediated rejection (category 4); **Mixed** – histological features of both, ABMR and TCMR; **TCMR+** – patients with TCMR alone and with mixed-type histology; **BKVN** – BK virus nephropathy (confirmed with a specialised histological staining); **Stable** – patients fulfilling the inclusion and exclusion criteria listed in Table 1; **Non-rejector** – patient not fulfilling the selection criteria in Table 1 but without evidence of TCMR, ABMR or BKVN up to day 400 post-transplantation; **dot colour: black** – rejectors, **grey** – stable or non-rejector patients; **dot shape** – induction agent in KALIBRE patients: **circle** – Basiliximab, **triangle** – Rituximab, **square** – Alemtuzumab; **dotted lines** – cut-off 0·5 for dichotomous classification of the probability of TCMR (a-e) and median eGFR (71.8 mL/min/1.73m^2) of the summary of stable patients in the discovery signature-development dataset (F—K); **samples**: rejectors – single pre-biopsy sample, BKVN – single near-biopsy sample, stable/non-rejector patients – summary (median per patient) of longitudinal samples covering between days four and 400 post transplantation; **Discovery** (**a,f**) – training patients used in TCMR signature development (TCMR (n = 27) fulfilling the inclusion and exclusion criteria listed in Table 1 and stable patients (n = 27)); **Validation** (**b,g**) – test patients from the KALIBRE study used for TCMR signature validation (Mixed-type rejectors (n = 9) and new stable patients (n = 17)); **External** (**c,h**) – patients from the independent trial EMPIRIKAL used for TSMR signature validation (rejectors (n = 9) with TCMR or Mixed-type histology, non-rejectors (n = 15)); **PreTx** (**d,i**) – cross-sectional pre-transplantation samples – one per patient from rejectors with TCMR (n = 16) and Mixed-type (n = 2) histology and training stable patients from the signature development dataset (n = 20); **e,h** – **ABMR** rejectors (n = 5), **RitCam** rejectors with alternative induction and TCMR (n = 6) or Mixed-type histology (n = 2); **BKVN** patients: **grey dots BKVN** (n = 7) included in the BKVN signature development dataset, **crossed dots BKVN**: **+dot** (n = 2) excluded from the training dataset due to prior suspected TCMR or some genes missing, **x-dot** (n = 1) Alemtuzumab induction, **x** (n = 1) patient from the EMPIRICAL trial; **p-values** derived from a Wilcoxon-Mann-Whitney tests.

3. Results

Examining gene expression in longitudinal samples of training stable patients demonstrated high within-patient variability and systematic trajectory changes over the first four months post-transplantation (Fig. 2a–b). The expression of 19 of the 22 studied genes was significantly associated with time (Supplementary Table S3). After accounting for prednisolone dose, which is systematically reduced during the first post-transplant months (Supplementary Fig. S4), the association of gene-expression and time was retained, independently of prednisolone, for 10 of the 22 genes (Supplementary Table S3), whilst eight genes showed an association with prednisolone, independent of time. Consequently, we generated time-adjusted gene-expression levels (Fig. 2c–d) and used these in signature development. This ensured that differences between stable patients and rejectors were accounted for by rejection and not by time-related post-transplantation changes.

Using penalised logistic regression with elastic net penalty, we developed a parsimonious signature of TCMR, retaining seven genes with non-zero regression coefficients and, hence, referred to as a "seven-gene" signature (Supplementary Fig. S5/S6a/S7, Tables S4/S5), which showed improved predictive performance in cross-validation (CV.AUC 0.84 (0.77–0.88)) compared to the 22-gene model (Table 3, Fig. 3a). This suggests that many of the 22 original genes contribute more variability and noise to the 22-gene model than information facilitation the discrimination and, therefore, they could not be validated in our dataset (Supplementary Fig. S5). It should also be noted that a

comparison with eGFR as a diagnostic marker is not appropriate, as SCr has been used as a selection criterion (see Note 2 in Supplementary Discussion).

The TCMR signature showed excellent discrimination between mixed-type rejectors and new stable patients in cross-sectional validation samples that had similar distribution of immunological risk pre-transplant stratification (Table 2B, Fig. 3b) (AUC 0.90 (0.70–1.00)). In the external validation dataset from the EMPIRIKAL trial, seven out of the nine rejectors (78%) were TCMR-positive near the diagnostic biopsy (Fig. 3c). EMPIRIKAL non-rejectors had distinctly worse kidney function compared to KALIBRE stable patients (Fig. 3f–g vs 3h), with eight out of 15 patients requiring dialysis in the first two weeks post-transplantation. Nevertheless, a discrimination could be achieved from TCMR (AUC 0.77 (0.53–1.00)). No discrimination could be achieved between TCMR and stable patients pre-transplantation (Fig. 3d) (AUC 0.57 (0.38–0.76)).

Whilst BKVN patients had low eGFR, similar to that of rejectors (Fig. 3k), they were TCMR-negative or only weakly positive (Fig. 3e). Five out of ten mixed-type rejectors treated with a different induction agent were TCMR-positive near the diagnostic biopsy (Fig. 3e). Three of the five patients with features only of ABMR in the first biopsy diagnostic of AR were TCMR-positive, but the one with the highest probability of TCMR showed features of mixed-type rejection in a subsequent biopsy, performed eight days after the collection of the sample shown in Fig. 3e.
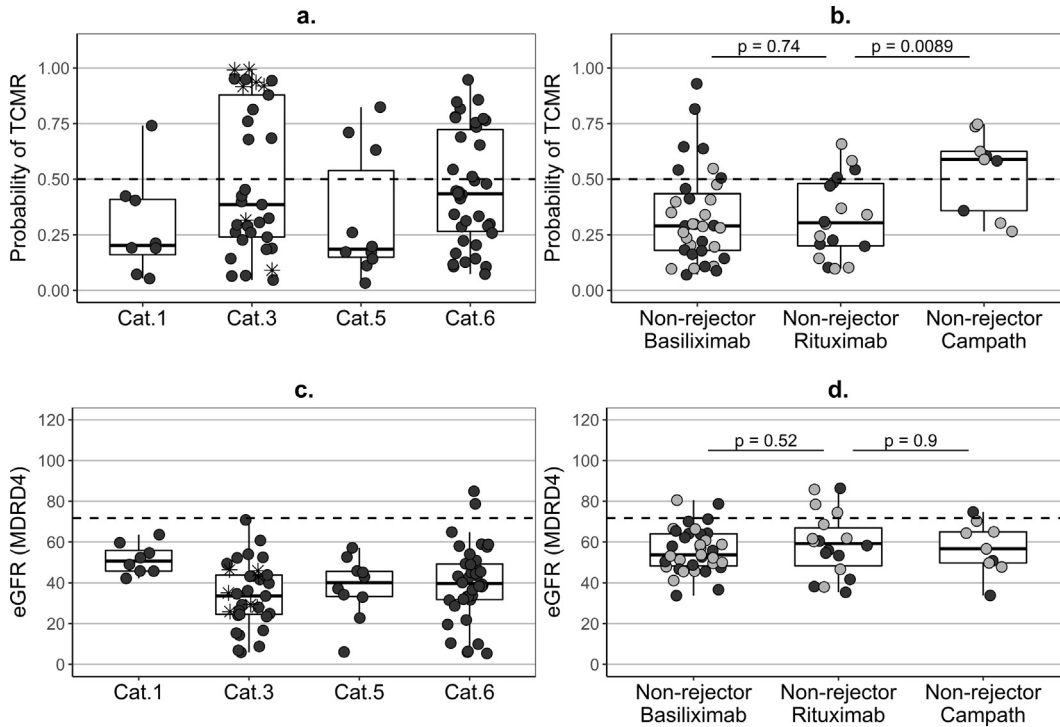
Fig. 4. Predicted probability of TCMR and kidney function in non-rejectors. **(a–b)** – predicted probability of T-cell-mediated rejection (TCMR), based on the newly-developed seven-gene signature (Supplementary Fig. S5); **(c–d)** – estimated glomerular filtration rate (eGFR), based on the MDRD4 equation; **(a,c)** – cross-sectional pre-biopsy samples (up to 15 days prior to the first post-transplantation biopsy (median two days) and a single one at 25 days) from patients which did not show features of rejection at least up to three months post biopsy; **Cat** – histological category according to Banff'09 classification (**Cat.1** – normal ($n = 8$, one (13%) TCMR-positive), **Cat.3** – borderline changes ($n = 33$, 13 (39%) TCMR-positive), **Cat.5** – interstitial fibrosis and tubular atrophy ($n = 10$, three (30%) TCMR-positive), **Cat.6** – other non-rejection histology ($n = 38$, 14 (37%) TCMR-positive)); **star dots** (*) – patients with histological features of borderline changes treated for rejection due to clinical considerations; **(b,d)** – summary of longitudinal validation follow-up samples covering one year post transplantation (median per patient) in non-rejectors with different induction agents (not fulfilling the strict inclusion/exclusion criteria set for stable patients in Table 1, but without clinical or histological evidence of rejection up to day 400 post tansplantation): Basiliximab induction ($n = 35$, 18 with biopsy, seven (20%) TCMR-positive median), Rituximab ($n = 18$, nine with biopsy, four (22%) TCMR-positive median), Alemtuzumab ($n = 9$, three with biopsy, six (67%) TCMR-positive median); **black dots** – non-rejection biopsy during the first post-transplant year; **grey dots** – no biopsy; **dotted lines** – cut-off 0·5 for dichotomous classification of the probability of TCMR (a,b) and median eGFR (71.8 mL/min/1.73m^2) (c,d) from the summary samples of the stable patients in the discovery signature-development data; **p-values** derived from a Wilcoxon-Mann-Whitney tests.

Preceding a *for-cause* biopsy without features of AR, seven out of eight KTRs with normal histology were TCMR-negative, but >30% of the patients with histological features of borderline changes, chronic rejection or other non-rejection alterations were TCMR-positive (Fig. 4a) and the predicted probability of TCMR was negatively correlated with eGFR ($r = -0.40$, $p < 0.0001$)(Fig. 4c). Half of the 14 healthy controls were TCMR-positive.

In longitudinal samples from the stable patients used for signature development, the average predicted probability of TCMR remained constant with time post-transplantation, below the cut-off, and was not influenced by adjustment for prednisolone dose (Supplementary Fig. S8a). The probability of TCMR also remained below the cut-off for validation stable patients and non-rejectors (Supplementary Fig. S8b).

Further, over the first post-transplant year, the TCMR signature demonstrated very good specificity (above 70%) in stable patients, non-rejectors, and BKVN patients (Table 4). Rituximab induction showed similarity to Basiliximab induction (Fig. 4b), but TCMR-signature positivity was higher following Alemtuzumab induction (71%), despite the comparable eGFR in alternative induction groups (Fig. 4d). Similarly, in non-rejectors of the EMPIRIKAL trial a larger proportion of the longitudinal samples were TCMR-positive (44%), with ten out of the 14 samples from the first post-transplant week being TCMR-positive.

In longitudinal samples from rejectors, the probability of TCMR increased well ahead of rejection and decreased after treatment (Fig. 5a) following kidney function and not immunosuppression changes. There was a very clear difference between rejectors and non-rejectors at the

Table 4

Specificity of gene-expression signatures in longitudinal samples from non-rejection patients.

Group	Patients	Samples total	Samples per patient*	TCMR negative(%)	BKVN negative (%)	Double negative (%)	Double positive (%)
Stable Discovery	27	335	12 (10−20)	262 (78)	264 (79)	192 (57)	1 (0)
Stable Validation	17	85	5	65 (76)	58 (68)	41 (48)	3 (4)
Non-rejectors Basiliximab	35	303	8 (5–20)	216 (71)	234 (77)	149 (49)	2 (1)
Non-rejectors Rituximab	18	90	5	69 (77)	68 (76)	51 (57)	4 (4)
Non-rejectors Alemtuzumab	9	45	5	13 (29)	15 (33)	3 (7)	20 (44)
Non-rejectors EMPIRIKAL	15	77	5 (3–6)	43 (56)	63 (82)	31 (40)	2 (3)
BKVN	7	51	7 (6–10)	38 (75)	–	–	1 (2)

Cells – number (percentage) of longitudinal samples collected during the first post-transplant year; * – median (minimum – maximum); **TCMR** – gene-expression signature of T-cell-mediated rejection (Supplementary Fig. S5); **BKVN** – gene-expression signature of BK-virus nephropathy (six genes, Supplementary Fig. S11); **negative** – probability of event below the cut-off of 0·5 for TCMR and 0·2 for BKVN (equivalent to specificity); **Positive** – predicted probabilities of event above the cut-offs; **Double positive/negative** – predicted probabilities of both, TCMR and BKVN, for the same sample above/below the corresponding cut-offs; **Stable** – patients fulfilling the criteria in Table 1; **Non-rejectors** - patients with a more variable and compromised kidney function, which either did not require a biopsy during the first year post transplantation and, hence, were considered clinically stable, or had biopsies lacking features of T-cell, or antibody-mediated rejection, or BKVN.

a.

b.
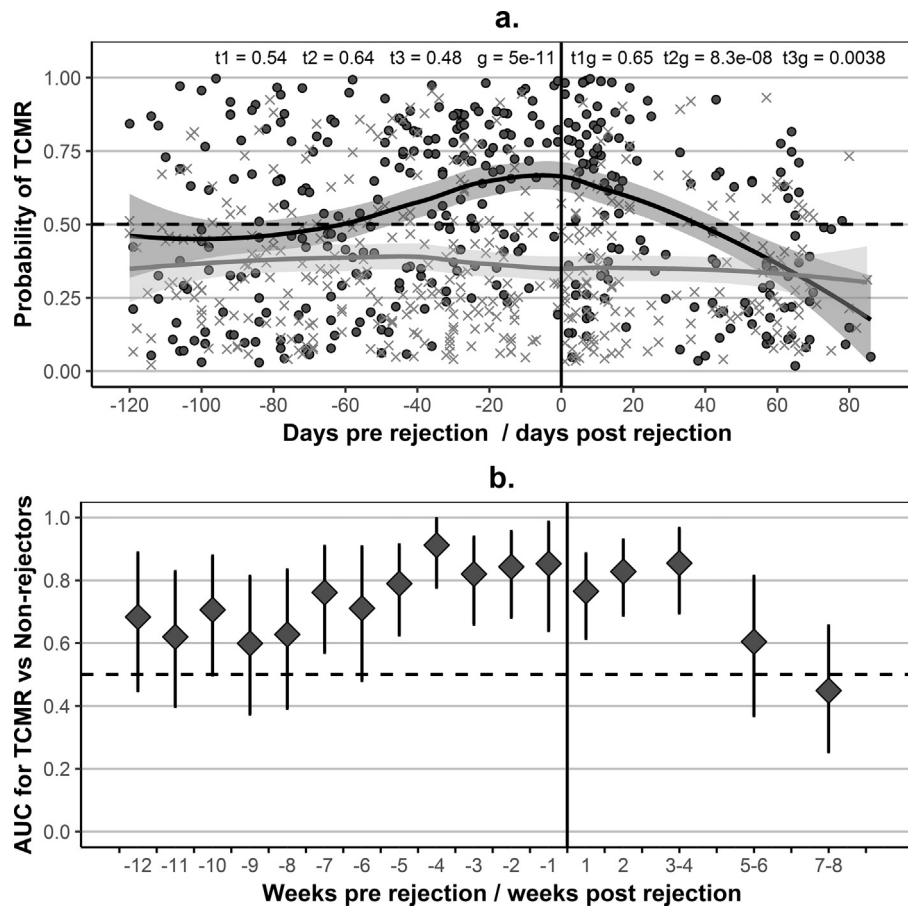
Fig. 5. Longitudinal evaluation of the gene-expression signature of TCMR with respect to the diagnostic biopsy. **(a)** – Evolution of the predicted probability of T-cell-mediated rejection (**TCMR**), based on the seven-gene signature of TCMR (Supplementary Fig. S5), with time pre and post rejection: individual samples and average loess trajectories for patient groups; **Black dots** – longitudinal samples from patients with TCMR: 279 samples from 41 patients (the 27 samples contributing to the signature-development dataset were excluded) and 81 samples from 10 patients with mixed-type rejection (antibody-mediated rejection (ABMR) and TCMR); **Grey * ** – longitudinal samples from the 17 (test) stable patients included in the validation dataset (85 samples) (fulfilling the inclusion/exclusion criteria in Table 1) and the 35 non-rejectors with Basiliximab induction (303 samples) included in the validation dataset; **Vertical line** (zero days) – reference point; **Reference point (time 0)** – for rejectors, the day of the diagnostic biopsy (222 samples pre-biopsy (164 from TCMR and 58 from patients with mixed-type rejection) and 138 post rejection (115 TCMR, 23 mixed-type)); for stable patients and non-rejectors time was assigned at random, such that the samples were distributed along the timeline proportional to the number of samples from rejectors in the given week-wide time window, as well as proportional to the contribution of training and validation stable patients, and ensuring that a single sample per patient was included in each week-wide window (240 samples before (189 non-rejectors, 51 stable) and 148 after the reference point (114 non-rejectors, 34 stable)); samples from stable patients covered the period between days 4 and 400 post transplantation; **t1, t2** and **t3** – p-values for the linear, quadratic and cubic terms for time in a generalised linear mixed-effects model (GLMM) (linear regression) based on log-odds of TCMR as an outcome and including fixed and random effects for time, a group term (stable patients as baseline), and interaction terms between time and group; **g** – p-value for the group term in GLMM; **t1g, t2g,** and **t3g** – p-values for the interaction terms in GLMM; **(b)** – classification performance of the gene-expression signature of TCMR for weekly intervals (from 12 weeks pre to two weeks post rejection) or fortnightly intervals (from three to eight weeks post rejection), time limited to 120 days pre and 90 days post rejection; **Diamonds** – area under the receiver operating characteristics curve (AUC) for a comparison between unique samples per patient in each window (8 to 29 samples per window for rejectors (median 14, using 170 pre and 101 post-rejection samples) and 9 to 31 samples per window for stable patients and non-rejectors (median 13, using 184 samples assigned at random to pre and 109 samples assigned to post reference point, as described in A); **Error bars** – 95% DeLong confidence intervals for AUC.

time of rejection ($p < 0.0001$ for the group term in GLMM) and a clear difference between the average trajectories of the two groups (Supplementary Fig. S9). Discrimination between rejectors and non-rejectors was possible for at least five weeks before and four weeks after rejection. AUC remained near or above 0.80 for the five weeks preceding rejection and above 0.70 for weeks six and seven (Fig. 5b). It is not a common practice in the UK to use anti-thymocyte globuline (ATG) as an induction agent, but 11 of the rejectors had received it as a treatment for rejection. The probability of TCMR increased before the biopsy and remained above 0.70 within two weeks after administration of ATG and in some of the patients for considerably longer (Supplementary Fig. S10).

Given the histological similarities between BKVN and TCMR and the fact that they both represent some form of inflammation, it was important to explore whether the genes in the TCMR signature reflect BKVN activity. To assist differential diagnosis, we additionally developed a parsimonious six-gene signature of BKVN (Supplementary Fig. S11/S6b/S12, Tables S4/S5), showing (like the TCMR signature) an improved

performance at cross-validation (CV.AUC 0.73 (0.66–0.80)) compared to the full 22-gene model (Table 3). Only *MARCH8* and *WDR40A* genes were shared between the two signatures. These genes were strongly positively correlated ($r = 0.96$, $p < 0.0001$ in the joint signature-development group of rejectors, BKVN, and stable patients), but were lower in TCMR compared to BKVN (Supplementary Fig. S7) and were selected by the statistical algorithm as informative in both signatures because the signature for BKVN was trained to discriminate BKVN from TCMR, as well as from stable patients. Correspondingly, the signatures of BKVN and TCMR were negatively correlated ($r = -0.45$, $p < 0.0001$). Notably, the majority of TCMR and mixed-type rejectors were BKVN-negative pre-biopsy (Fig. 6a–c). The specificity of the BKVN signature in longitudinal samples from stable patients and non-rejectors was close or above 70% (Table 4), similarly in Basiliximab and Rituximab-induced patients (Fig. 6g), with virtually no double-positives for TCMR and BKVN (Table 4). On the contrary, 67% of the samples from Alemtuzumab-induced non-rejectors were BKVN-positive and, as high as 44%, were double-positive, with only
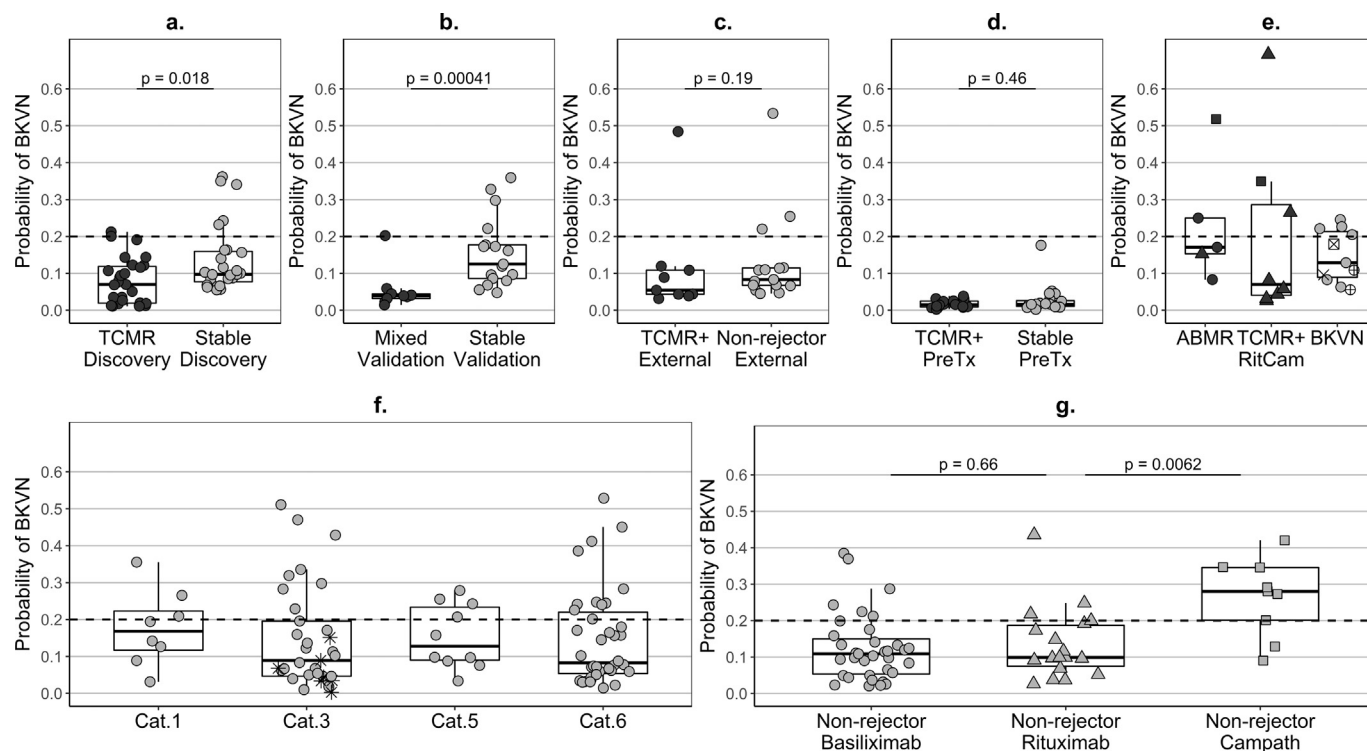
Fig. 6. Predicted probability of BK-virus nephropathy in kidney transplant recipients. **Predicted probability** – **(a,e)** calculated as the median of the set of probabilities estimated for each patient of the development dataset in the 50 repeats of the cross-validation cycles (Step 12 of Note 1 in Supplementary Discussion) or **(b,c,d,f,g)** based on the newly-developed six-gene signature (Supplementary Fig. S11); **ABMR** – antibody-mediated rejection (category 2 according to Banff'09 classification); **BKVN** – BK virus nephropathy (confirmed with a specialised histological staining); **TCMR** – T-cell-mediated rejection (category 4); **Mixed** – histological features of both, ABMR and TCMR; **TCMR+** — patients with TCMR only or with mixed-type histology; **Stable** – patients fulfilling the inclusion and exclusion criteria listed in Table 1; **Non-rejector** – patients not fulfilling the selection criteria in Table 1 but without clinical or histological evidence of TCMR, ABMR or BKVN up to day 400 post-transplantation; **dot colour: black** – rejectors, **grey** – stable or non-rejector patients; **dot shape** - induction agent in KALIBRE patients: **circle** – Basiliximab, **triangle** – Rituximab, **square** – Alemtuzumab; **dotted lines** - cut-off 0.2 for dichotomous classification of the probability of BKVN; **samples**: rejectors - single pre-biopsy sample, BKVN –single near-biopsy sample, stable/non-rejector patients – summary (median per patients) of longitudinal samples covering between days four and 400 post transplantation; **(a) Discovery** – training patients used in TCMR signature development (TCMR ($n = 27$) fulfilling the inclusion and exclusion criteria listed in Table 1 and stable patients ($n = 27$)); **(b) Validation** – test patients from the KALIBRE study used for TCMR signature validation (Mixed-type rejectors ($n = 9$) and new stable patients ($n = 17$)); **(c) External** – patients from the independent trial EMPIRIKAL used for TSMR validation (rejectors ($n = 9$) with TCMR or Mixed-type histology and non-rejectors ($n = 15$)); **(d) PreTx** – cross-sectional pre-transplantation samples - one per patient from rejectors with TCMR ($n = 16$) and Mixed-type ($n = 2$) histology and training stable patients from the signature development dataset ($n = 20$); **(e) ABMR** rejectors ($n = 5$), **RitCam** rejectors with alternative induction and TCMR ($n = 6$) or Mixed-type ($n = 2$) histology; **BKVN** patients: **grey dots BKVN** ($n = 7$) included in the BKVN signature development dataset, **crossed dots BKVN: +dot** ($n = 2$) excluded from the training dataset due to prior suspected TCMR or some genes missing, **x-dot** ($n = 1$) Alemtuzumab induction, **x** ($n = 1$) a patient from the EMPIRICAL trial; **(f)** – cross-sectional pre-biopsy samples (up to 15 days prior to the first post-transplantation biopsy (median two days) and a single one at 25 days) from patients which did not show features of rejection at least up to three months post biopsy; **Cat** – histological category according to Banff'09 classification (**Cat.1** – normal ($n = 8$, three (38%) BKVN-positive), **Cat.3** – borderline changes ($n = 33$, eight (24%) BKVN-positive), **Cat.5** - interstitial fibrosis and tubular atrophy ($n = 10$, four (40%) BKVN-positive), **Cat.6** – other non-rejection histology ($n = 38$, 11 (29%) BKVN-positive)); **star dots** (*) – patients with histological features of borderline changes treated for rejection due to clinical considerations; **(g)** – summary (median per patient) of longitudinal validation follow-up samples from non-rejectors with different induction agents: Basiliximab induction ($n = 35$, six (17%) BKVN-positive median), Rituximab ($n = 18$, four (22%) BKVN-positive), Alemtuzumab ($n = 9$, seven (78%) BKVN-positive median); **p-values** derived from a Wilcoxon-Mann-Whitney tests.

a few samples being double-negative (Table 4). KTRs pre-transplantation (Fig. 6d), as well as healthy controls, were strongly BKVN-negative.

4. Discussion

We present out the most comprehensive analysis of potential non-invasive biomarkers of AR following kidney transplantation to date. Notably, we have conducted longitudinal, as well as cross sectional analysis, considering changes in gene expression over time post-transplantation. We have also examined the effect of immunosuppressive agents (type of induction agent and prednisolone reduction) and have shown separation from BKVN, a different form of allograft inflammation. We accept, however, that a limitation of our study is the relatively small number of independent validation patients with TCMR, the lack of diagnostically difficult patients and the very limited number of BKVN patients. It should also be noted that AR is not a simplified present/absent condition and has various degrees of severity, so the clinical value of AUCs and other performance measures for binary outcomes should be evaluated with caution. Further, the number of patients

with features only of ABMR in the first biopsy diagnostic of AR was limited, so we could not reliably evaluate whether our six-gene signature could discriminate TCMR from ABMR, or whether it would have the same predictive value for ABMR. However, we believe that our signature is relevant to TCMR because it includes genes that have been associated with TCMR in completely different datasets and the statistical algorithm was trained to discriminate TCMR from non-rejection.

Two previous similarly-sized studies have identified gene panels in non-invasive samples to detect AR. The assessment of AR in renal transplantation (AART study) involved 436 adult renal transplant recipients from eight transplant centres in the United States (US), Spain and Mexico and used the 17 gene panel kidney solid organ response test (kSORT) to detect patients at high risk of AR [16]. However, this study collected only cross-sectional samples with lower number of samples analysed, from a heterogeneous population of both, adult and paediatric recipients, from different countries and without a standard immuno-suppression regimen. Given that the majority of the centres were in the US, it is likely they received depleting antibody induction therapy. This might explain why the statistical selection of genes for their signature did not favour any of the literature-based genes selected by the

statistical algorithm in our signature, when it is highly likely that the microarrays informing gene selection in the AART study would have contained these genes. As some of the genes involved are derived from lymphocytes, which are killed by depleting induction therapy, it is not surprising that we have found an effect of this therapy on our biomarker performance. Potentially, differences in the statistical approaches for selection may have also contributed to the lack of overlap. The CTOT-04 study collected serial urine samples from 485 recipients from multiple centres across the US, and identified a three-gene signature predictive of AR [2]. However, the study highlighted the difficulty of QC of urine samples. Analysis of urine is not possible in many patients with delayed graft function and anuria. This signature was also positive in BKVN.

Our study is the only one to date to demonstrate and display the pronounced within-patient gene-expression variability, systematic changes post-transplantation and association with prednisolone dose. It also uniquely examines individual patient trajectories. Our QC samples demonstrated very low between-batch variability, indicating that the high within-person variability is driven by biological, rather than analytical factors. TCMR-positivity in non-rejectors with poorer kidney function was similar to that in stable KTRs with good kidney function (Table 4), illustrating that our TCMR signature provides information on the underlying immunological response, independent of kidney function. Further, half of the samples from healthy controls, expected to show vigilant immunological response to everyday environmental triggers, were TCMR-positive, indicating an association of our TCMR signature with active host-defence mechanisms.

Evidence that the TCMR signature genes reflect pro-inflammatory immunological pathways stems from the fact that *IFNG* and *IP10*, both coding cytokines generated after Th1-cell activation [17], were up-regulated in TCMR (Supplementary Fig. S5). Further, *SEMA7A* gene, included in the Allomap signature of cardiac AR [18] and strongly negatively associated with heart function [19], showed the highest positive regression coefficient, equivalent to the largest fold-increase in TCMR (Supplementary Fig. S5). Its product Sema7A, a membrane bound semaphorine, is a potent pro-inflammatory monocyte [20] and macrophage stimulator [21].

Our signature emerges four weeks earlier than that shown by a three-gene urine-based signature of TCMR [2], which shares with our signature *IP10* up-regulation. Similarly *Perforin*, *GranzymeB*, *CXCR3* and *TGFB* were statistically excluded as not relevant to TCMR discrimination (Supplementary Fig. S5). A criticism of the three-gene signature has been the lack of discrimination from BKVN [22]. We, however, additionally provide a six-gene BKVN signature, negatively associated with the predicted probability of TCMR, to complement the differential diagnosis (Supplementary Fig. S11). Only in the case of Alemtuzumab induction there was high positivity for both, TCMR and BKVN (Table 4), the latter likely stemming from the vigorous immunosuppression. All healthy controls and KTRs pre-transplantation (Fig. 6d) were strongly BKVN-negative, further supporting that our BKVN signature reflects BKV activation kept tightly under control in individuals without immunosuppression.

In support of a mechanistic involvement of BKVN signature-genes (Supplementary Fig. S11) in the immune response to viral pathogens, *TGFB* gene expression in peripheral blood mononuclear cells and transplants has been found positively associated with BKV viremia and BKVN in KTRs [23–25]. Further, *IL15* gene, the product of which is instrumental to NK-cell activation in response to viral infections [26] and is implicated in the expansion of BKV-specific T-cells [27], has been reported as downregulated in human endothelial cells infected with BKV [28]. In addition, *MARCH8*, has been identified as an antiviral factor involved in reduction of viral infectivity, with high expression in monocyte-derived macrophages [29]. Nevertheless, our BKVN signature would need further validation in a larger BKVN dataset.

While not able to replace the present biopsies as a gold standard to confirm AR, our panel may have a role in serial monitoring, providing the clinician with valuable extra information on immune system status to help manage KTRs. Serial monitoring with biopsies remains a high-risk, costly and impractical strategy. Clinical decision-making post-transplantation is complex and utilises a number of factors to determine a particular course of action, and this should remain the case. Potential clinical applications of our test could refine better the patients that may need a biopsy, it could include earlier detection and treatment of AR through earlier biopsy, help in interpretation of cases where the biopsy is reported as "borderline", detection of sub-clinical rejection in a biopsy where there is no evidence of graft dysfunction based on SCr and separation of other causes of graft dysfunction such as BKVN. The panel could also be used to detect patients at low risk of rejection, thereby allowing reduction of immunosuppression, thus minimising side effects. Further prospective analysis is now required to determine whether or not the use of such a test can improve clinical outcomes.

Declaration of conflict of interests

Grants have been declared above.
MR, PM, TLT, CD, CD, CD-V, YK, FD, RM, AS, SPK, BT and CF declare no conflict of interest.

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Contributions

Sofia Christakoudi contributed to literature search, study design, data analysis and interpretation & manuscript preparation and revision. Manohursing Runglall contributed to literature search, study design, sample collection, data analysis and interpretation & manuscript preparation and revision. Paula Mobillo contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Tjir-Li Tsui contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Claire Duff contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Clara Domingo-Vila contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Yogesh Kamra contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Florence Delaney contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Rosa Montero contributed to literature search, sample collection, data analysis and interpretation & manuscript preparation and revision. Anastasia Spiridou contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Theodoros Kassimatis contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Sui Phin-Kon contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Beatriz Tucker contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Christopher Farmer contributed to sample collection, data analysis and interpretation & manuscript preparation and revision. Terry B Strom contributed to literature search, study design and grant provision/supervision. Graham M Lord contributed to manuscript preparation and revision and grant provision/supervision. Irene Rebollo-Mesa contributed to study design, data analysis and interpretation, manuscript preparation and revision and grant provision/supervision. Daniel Stahl contributed to study design, data analysis and interpretation, manuscript preparation and revision and grant provision/supervision. Steven Sacks contributed to literature search, study design, manuscript preparation and revision and grant provision/supervision. Maria P Hernandez-Fuentes contributed to literature search, study design, data analysis and interpretation, manuscript preparation and revision and grant provision/supervision. Paramit Chowdhury contributed to literature search, study design, data analysis and interpretation, manuscript preparation and revision and grant provision/supervision.

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Appendix A. Supplementary data

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