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## **Increased neutrophil extracellular trap formation promotes thrombosis in myeloproliferative neoplasms**

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## **Abstract**

Thrombosis is a major cause of morbidity and mortality in Philadelphia chromosome-negative myeloproliferative neoplasms (MPNs), clonal disorders of hematopoiesis characterized by

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**Author contributions:** OW, RSS, KM, DC, RKS, MM, AM, DDW, and BLE designed the experiments. OW, RSS, KM, DC, MM, RJC, AJS, DA, and CAC performed the experiments. DJD, MW, DPS, IG, and RMS assisted with acquiring primary patient samples. RFP assisted with pathology specimens. GG, SAM, BI, and CH assisted with access and analysis of the case-control cohort. OW, RSS, and DN performed and reviewed the statistics. OW, RSS, and BLE wrote the paper. All authors critically reviewed the paper.

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activated JAK-STAT signaling. Neutrophil extracellular trap (NET) formation, a component of innate immunity, has been linked to thrombosis. We demonstrate that neutrophils from patients with MPNs are primed for NET formation, an effect blunted by pharmacological inhibition of JAK signaling. Mice with conditional knock-in of  $Jak2<sup>V617F</sup>$ , the most common molecular driver of MPN, have an increased propensity for NET formation and thrombosis. Inhibition of JAK-STAT signaling with the clinically available JAK2 inhibitor, ruxolitinib, abrogated NET formation and reduced thrombosis in a deep vein stenosis murine model. We further show that expression of PAD4, a protein required for NET formation, is increased in  $JAK2<sup>V617F</sup>$ -expressing neutrophils and that PAD4 is required for  $Jak2<sup>V617F</sup>$ -driven NET formation and thrombosis in vivo. Finally, in a population study of over 10,000 individuals without a known myeloid disorder,  $JAK2^{V617F}$ positive clonal hematopoiesis was associated with an increased incidence of thrombosis. In aggregate, our results link  $JAK2^{V617F}$  expression to NET formation and thrombosis and suggest that JAK2 inhibition may reduce thrombosis in MPNs through cell-intrinsic effects on neutrophil function.

#### **One sentence summary:**

Thrombosis in myeloproliferative neoplasms is associated with increased NETosis that can be targeted with JAK inhibitors.

### **Introduction**

The Philadelphia chromosome-negative myeloproliferative neoplasms (MPNs) encompass a group of chronic, clonal stem-cell disorders with distinct disease phenotypes characterized by increased numbers of terminally differentiated blood cells. The majority of MPNs have a  $JAK2<sup>V617F</sup>$  somatic mutation, and most of the remaining cases have mutations in MPL or CALR that also activate the JAK-STAT signaling pathway (1). Thromboembolic complications are a major cause of morbidity and mortality, but the mechanistic basis for thrombophilia in these patients is not completely understood with several pathological processes implicated (2, 3). An increased white blood cell count has been associated with an increased risk of thrombosis in MPN (4–9), and neutrophils from patients with MPNs display a number of features of enhanced activation (3, 10–13).

On stimulation, normal neutrophils can expel extracellular strands of decondensed DNA in complex with histones and other neutrophil granular proteins to produce neutrophil extracellular traps (NETs) (14). These structures have the ability to ensnare microorganisms and have also been implicated in the pathogenesis of autoimmunity and thrombosis (15, 16). We examined whether NET formation may be implicated in the enhanced thrombotic tendency seen in MPNs.

## **Results**

## **Neutrophils derived from patients with MPNs are associated with an increase in NET formation that is blunted by ruxolitinib**

We observed an increase in NET formation in neutrophils from patients with MPNs compared to those from patients with myelodysplastic syndrome (MDS) as well as age-

matched controls in an unbiased screen assessing various neutrophil functions including chemotaxis, phagocytosis and oxidative burst (fig. S1A and S1B). To further investigate this finding, we quantified NET formation in a larger cohort of MPN patients and controls. We stimulated isolated neutrophils with ionomycin, a calcium ionophore. NET formation was assessed quantitatively in neutrophils by identifying typical morphological changes and citrullinated histone 3 (H3cit) expression, which is an established and widely used marker of NET formation, as described previously (17). Stimulated neutrophils from patients with MPNs, including those with  $JAK2^{V617F}$ , had a significant increase in NET formation (p=0.0006; Fig. 1A and 1B, fig. S1C; tables S1-S3). Patients receiving a JAK1/2 inhibitor had reduced NET formation, similar to that of healthy controls (Fig. 1A). Similarly, NET formation was decreased in normal neutrophils incubated in vitro with ruxolitinib (Fig. 1C and 1D). Annexin V assay showed that there was no increase in early apoptotosis in human neutrophils exposed to ruxolitinib, suggesting that this decrease in NETosis was not due to an increase in an alternative mechanism of cell death (fig. S1D). Due to limited patient numbers receiving hydroxyurea, and no patients receiving interferon, the effects of these therapies on NET formation could not be assessed.

## **Jak2V617F-driven MPN mouse models have a NET-rich, prothrombotic phenotype**

To validate our findings from primary MPN samples in a genetically-controlled, in vivo experimental model, we used an established conditional knock-in murine model for the  $Jak2<sup>V617F</sup>$  allele (18).  $Jak2<sup>V617F/WT</sup>;$  Vav-Cre mice, with heterozygous expression of the  $Jak2^{V617F}$  allele in hematopoietic cells (abbreviated as  $Jak2^{V617F}$ ), develop an MPN phenotype reminiscent of human polycythemia vera (PV) and have a shortened lifespan (18). We first determined whether  $Jak2^{V617F}$  mice develop spontaneous pulmonary thrombosis using immunohistochemical assessment of lung sections. We found increased thrombosis in  $Jak2<sup>V617F</sup>$  mice, whereas  $Jak2<sup>WT</sup>$  mice had no evidence of spontaneous thrombosis in the lungs (Fig. 1E and 1F).

To determine whether the pathologic thrombosis was associated with NET formation, we used immunofluorescence (IF) to assess neutrophil infiltration and expression of citrullinated H3 (H3cit), an established marker of NET formation. We found an increase in H3<sup>cit</sup> expression in the lungs of  $Jak2^{V617F}$  mice (Fig. 1G). Furthermore, neutrophils isolated from the peripheral blood of  $Jak2^{V617F}$  mice had a significant increase in NET formation upon stimulation with ionomycin (p=0.01; Fig. 1H and 1I).

## **Ruxolitinib reduces the rate of induced venous thrombosis in Jak2V617F-driven MPN mouse models.**

To interrogate the propensity for acute thrombosis in  $Jak2<sup>V617F</sup>$  mice, we assessed thrombosis after experimental stenosis of the inferior vena cava, an established model for determining predisposition to venous thrombosis that has previously been shown to be NETdependent (19). To ensure that the observed effects were a result of cell-intrinsic properties of  $Jak2^{V617F}$ -expressing neutrophils, we isolated c-Kit positive cells from  $Jak2^{V617F}$  mice and transplanted them into sub-lethally irradiated  $Jak2<sup>WT</sup>$  recipient mice. As expected, recipient mice developed a PV-like phenotype with high hematocrit (HCT) and enlarged spleens (fig. S2). Recipient mice were then treated for 72 hours with ruxolitinib or vehicle.

Mice engrafted with  $Jak2^{V617F}$ -expressing hematopoietic cells had a marked predisposition to thrombosis. At 2 hours after partial ligation of the inferior vena cava (IVC), 45% of Jak2<sup>V617F</sup> vehicle-treated mice developed thrombosis, whereas none of the  $Jak2<sup>WT</sup>$  mice had evidence of thrombosis (p=0.04; Fig. 2A and 2B). Plasma concentrations of dsDNA, a marker of NET activity, were increased in Jak2<sup>V617F</sup> as compared to  $Jak2<sup>WT</sup>$  mice (Fig. 2C). At 4 hours, thrombosis rates were not significantly different in the  $Jak2^{\gamma 617F}$  as compared to Jak2<sup>WT</sup> mice (71% vs. 60%, respectively; p=0.7). The thrombi seen in the Jak2<sup>V617F</sup> mice had an increase in H3<sup>cit</sup> and neutrophil content as compared to  $Jak2<sup>WT</sup>$  mice (Fig. 2D and 2E; fig. S3A and S3B). No qualitative differences were noted in the amount or pattern of platelet staining, fibrin, or RBC content between  $Jak2^{WT}$  and  $Jak2^{V617F}$  mice (fig. S3C and S3D).

Ruxolitinib decreased the rate of thrombosis in  $Jak2^{V617F}$  mice after IVC stenosis. At 2 hours post-IVC ligation, after 72 hours of ruxolitinib treatment, the ruxolitinib-treated mice had significantly lower thrombosis rates compared to vehicle-treated  $Jak2^{\text{V617F}}$  mice (Fig. 2A; 0% vs. 45%, respectively; p=0.04). At 4 hours, ruxolitinib treatment significantly reduced thrombosis in  $Jak2^{V617F}$  mice (Fig. 2A; 21% vs. 71%; p=0.02). Furthermore, the amount of H3<sup>cit</sup> as well as neutrophil content within the thrombi were significantly reduced in ruxolitinib-treated  $Jak2^{V617F}$  as compared to vehicle-treated mice (p=0.009 and p=0.001, respectively; Fig. 2D and 2E; fig. S3A and S3B). Ruxolitinib treatment did not significantly affect the HCT, neutrophil, or platelet counts, all potential contributors to a thrombotic phenotype (Fig. 2F).

To further investigate the contribution of NETs to the thrombotic tendency in the partial ligation model, we assessed clot formation rate after treatment with vehicle or deoxyribonuclease (DNase; Pulmozyme, Genentech). DNase has been previously shown to disrupt NET structures and protect mice from thrombus formation in NET-dependent models (20, 21).  $Jak2<sup>V617F</sup>$  mice treated with DNase had lower thrombus formation rates than vehicle-treated mice (0/8 clots and 5/7 clots in the DNase- and vehicle-treated mice, respectively; p=0.007). Thrombus formation rates were 62% and 14% for  $Jak2<sup>WT</sup>$  vehicleand DNase-treated mice, respectively (5/8 clots and 1/7 clots in the vehicle and DNase treated mice, respectively;  $p=0.06$ ) (fig. S4A). There was no significant decrease in the concentration of dsDNA in plasma from  $Jak2<sup>WT</sup>$  or  $Jak2<sup>V617F</sup>$  mice after DNase treatment (fig. S4B). There was no evidence of H3<sup>cit</sup> in thrombi from  $Jak2<sup>WT</sup>$  mice after treatment with vehicle or DNase (fig. S4C). Again, there was diffuse H3<sup>cit</sup> in thrombi from  $Jak2^{V617F}$ mice (fig. S4C). However, because no  $Jak2^{V617F}$  mice treated with DNase formed thrombi, we were unable to assess H3<sup>cit</sup> in this setting.

We repeated our thrombosis experiments in a full ligation ('stasis') model that was previously shown to be associated with NET-independent thrombus formation (22, 23) (fig. S5). At 4 hours, no difference in clotting rate was noted between vehicle and ruxolitinibtreated  $Jak2<sup>WT</sup>$  mice (4/6 and 5/6 clots for vehicle and ruxolitinib-treated, respectively; p=0.33) (fig. S6A). Furthermore, no differences in the patterns of platelet and citrullinated H3 staining were noted on immunofluorescence studies or in the measurements of plasma dsDNA ( $p=0.48$ ) (fig. S6B and S6C). No clots were observed in any of the  $Jak2^{V617F}$  mice treated with vehicle or ruxolitinib (0/4 and 0/5, respectively) (fig. S6A).

To further evaluate aspects of neutrophil activation, we assessed the formation of reactive oxygen species [using dihydrorhodamine (DHR)] and CD11b expression in  $Jak2<sup>WT</sup>$  and  $Jak2^{V617F}$  mice. No differences were observed between  $Jak2^{WT}$  and  $Jak2^{V617F}$  mice at baseline or after IO treatment and there was no evidence of decreased baseline concentrations or post-stimulation concentrations in neutrophils from mice treated for 72 hours with ruxolitinib (fig. S7).

To assess a potential impact of genotype or treatment on platelet function in our model we performed platelet aggregometry (fig. S8A and S8B). Platelets from  $Jak2^{\text{V617F}}$  were hyporeactive as compared to  $Jak2<sup>WT</sup>$  mice with reduced maximal aggregation responses for activation after stimulation with adenine di-phosphate (ADP), collagen, or thrombin. To assess whether this reflected  $Jak2^{V617F}$  platelets that were already maximally activated, we performed assays of activation at baseline and after thrombin stimulation, as assessed by measurement of surface expression of P-selectin and αIIb/βIII. There was no evidence that platelets from  $Jak2^{V617F}$  had increased baseline activation, and reduced activation after stimulation was again demonstrated (fig. S8C). These findings are in line with previous reports of hyporeactive platelets in  $Jak2<sup>V617F</sup>$  mice (24).

## **PAD4 is overexpressed in MPNs and is essential for the NET-driven prothrombotic phenotype in Jak2V617F-driven MPN mouse models.**

Chromatin decondensation is an essential step for NET formation. One mechanism by which this occurs is the activation and nuclear localization of peptidyl-arginine deiminase (PAD4), resulting in the citrullination of histones. Inhibition of this pathway abrogates NET formation in murine models (25, 26). To determine the role of PAD4 in  $Jak2<sup>V617F</sup>$ -driven NET formation and thrombosis, we used an established  $Jak2^{\text{V617F}}$  retroviral bone marrow transplant model (27). We transduced c-Kit positive bone marrow cells from Pad4 null (Pad4<sup>-/-</sup>) mice (26) with  $Jak2^{V617F}$  retrovirus and transplanted the cells into lethally irradiated  $Jak2<sup>WT</sup>$  recipients. As in other models with  $Pad4$  inactivation (19), neutrophils from mice engrafted with  $Jak2^{V617F}$ -expressing  $Pad4$  null cells did not form NETs (fig. S9). Mice with  $Jak2^{V617F}$ -expressing  $Pad4^{+/+}$  cells and mice with  $Jak2^{V617F}$ -expressing  $Pad4^{-/-}$ cells both showed similar increases in HCT as compared to  $Jak2<sup>WT</sup>$ -expressing mice (fig. S10). Although thrombi were evident in the lungs from mice engrafted with  $Jak2^{\gamma 617F}$ expressing *Pad4*<sup>+/+</sup> cells, there was no evidence of thrombus in lungs from  $Jak2^{V617F}$ expressing  $Pad4^{-/-}$  mice (Fig. 3A). In addition, there was no evidence of H3<sup>cit</sup> in the lungs of *Jak2*<sup>V617F</sup>-expressing *Pad4<sup>-/-</sup>* mice (Fig. 3B). These findings demonstrate that *Jak2*<sup>V617F</sup>driven NET formation and thrombosis is dependent on PAD4. These findings also provide further evidence of a role for neutrophils in causing thrombosis in  $Jak2^{\gamma 617F}$ -driven MPN and are consistent with a previously reported finding that isolated polycythemia in mice (induced with exogenous erythropoietin administration) is insufficient for thrombus formation (24).

Because high expression of PAD4 has previously been linked to an increased propensity for NET formation (28, 29), we examined PAD4 expression in MPN patients. Analysis of published gene expression profiling data from neutrophils derived from MPN patients and healthy controls revealed that neutrophils from patients with homozygous  $J\!A K2^{\text{V617F}}$  MPN

had 2.4 fold higher PAD4 RNA expression (30). We also found that PAD4 protein expression is increased in neutrophils from patients with  $JAK2<sup>V617F</sup>$  PV as compared to healthy controls (Fig. 3C).

## **JAK2V617F positive clonal hematopoiesis is associated with increased thrombosis rates.**

Recent studies have demonstrated that clonal somatic mutations, including  $JAX2^{\text{V617F}}$ , can be present in the blood of otherwise healthy individuals, a state that has been termed clonal hematopoiesis of indeterminate potential (CHIP) (31, 32). We hypothesized that individuals with  $JAK2<sup>V617F</sup>$ -positive CHIP, without MPN or other hematologic malignancy, may also have an increased propensity for venous thrombosis due to the presence of a population of clonal neutrophils that is primed to produce NETs.

To test this hypothesis, we examined the association between  $J \text{A} K 2^{\text{V}617F}$  positive CHIP and venous thrombosis in a previously described large case-control cohort that included healthy controls and patients with schizophrenia (31). After excluding patients with a diagnosis of a myeloid blood disorder, including MPN, 10,893 individuals had both clinical and exome sequencing data available (Fig. 4A). Excluding those with a diagnosis of MPN,  $JAK2<sup>V617F</sup>$ mutant CHIP was powerfully associated with major venous thrombotic events, including deep venous thrombosis and pulmonary embolus, which occurred in 25% of such cases  $(n=4/16, p=0.0003$  as compared to non-CHIP), a rate much higher than in Individuals with CHIP bearing other somatic mutations  $(n=11/250, p=0.02; Fig. 4B, table S4 and S5)$ . This association was not significant in the schizophrenic group considered in isolation (n=4946), potentially because of an increased baseline incidence of thrombosis in the schizophrenia vs control cohort without CHIP  $(2.5\% \text{ v } 1.8\% \text{ p} = 0.02)$  that masks an effect (Table 1).

Thrombotic events occurred even in individuals with  $JAK2<sup>V617F</sup>$  positive CHIP and a lower variant allele frequency (VAF) (range 7–26%) (Fig. 4C). In 3 patients, DNA sampling postdated the initial documented thrombotic event, suggesting that thrombosis cannot be attributed to a subsequent large clonal expansion. It is noteworthy that patients with CHIP were older at the time of DNA sampling than those without CHIP (median 65 v 55  $p<0.0001$ ; however, this age difference was seen in both those with thrombosis (65.5 v 58.5,  $p=0.0002$ ) and those without (65 v 55,  $p<0.0001$ ) (fig. S11). These data suggest that  $JAK2<sup>V617F</sup>$  mutations, even at a low VAF and in the absence of demonstrable MPN or other hematologic malignancy, are associated with increased risk of major venous thrombotic events. These cases might provide a basis for some cases of spontaneous thrombosis in the general population.

## **Discussion**

NETs have previously been linked to the pathogenesis of thrombosis (15). In this study we offer a mechanism for the thrombotic tendency observed in MPNs. We show that  $JAK2<sup>V617F</sup>$ expression is associated with increased NET formation in response to neutrophil stimulation in MPN patients and in  $Jak2^{V617F}$  mouse models. Although a previous study indicated that neutrophils from patients with MPN have impaired in vitro NET formation when stimulated with cytokines (33), our study demonstrates increased NET formation in vitro in both  $Jak2<sup>V617F</sup>$  human and mouse neutrophils in response to ionomycin. Such apparently

discrepant results may be the result of the different stimuli used, including the selective use of specific inflammatory cytokines in the previous study. In addition, the patient samples in this previous report indicated that circulating nucleosomes, one source of which is NETs, were increased in blood samples from individuals with MPNs, although the authors propose that the nucleosomes are not NET derived in this setting. Moreover, we performed in vivo experiments and found that increased NET formation is associated with increased thrombosis in  $Jak2<sup>V617F</sup>$  mice, and both NET formation and thrombosis were dependent on PAD4, an enzyme essential for citrullination of histones. Treatment with ruxolitinib, a JAK1/2 inhibitor, abrogated NET formation in vitro and decreased thrombosis in  $Jak2^{V617F}$ mice in vivo.

The thrombogenic effect of the  $Jak2<sup>V617F</sup>$  phenotype was apparent in NET-dependent venous murine thrombosis models, but not in a full ligation stasis model. These models rely principally on different components of the coagulation system and highlight the important point that there is no single murine venous thrombosis model that completely and faithfully simulates all aspects of human thrombosis. Furthermore, although we hypothesize that activation of the JAK-STAT pathway may serve as an endogenous regulator of NET formation, we have not defined where the JAK-STAT pathway fits in the currently accepted models of NET activation. Finally, although we have shown a clear association between  $JAK2<sup>V617F</sup>$  CHIP and venous thrombosis, our study is insufficiently powered to determine the importance of VAF in relation to the risk of thrombosis. This would be important for assessing which individuals may benefit from pharmacologic intervention, such as with ruxolitinib, designed to reduce the incidence or recurrence of thrombosis.

Ruxolitinib is currently FDA-approved for the treatment of intermediate and high-risk myelofibrosis and hydroxyurea-refractory PV (34, 35). In the RESPONSE trial, a large phase III study that resulted in the FDA approval of ruxolitinib for patients with PV not responding or intolerant to hydroxyurea, it is notable that there was a lower rate of thromboembolic events in the ruxolitinib-treated group (1.2 per 100 patient years with ruxolitinib v 2.8 for patients treated with other therapies) (34). In aggregate, our results suggest that JAK-STAT inhibition may have therapeutic utility in reducing NET formation and venous thrombosis in patients with MPNs including PV, and also in individuals with  $J\!A K2^{\rm{W617F}}$ -mutant CHIP.

#### **Materials and Methods**

#### **Study design**

The aim of this study was to assess whether neutrophils harboring a  $JAK2<sup>V617F</sup>$  mutation had an increased propensity to form NETs and whether this was linked to an increased incidence of venous thrombosis. In addition, we sought to assess whether NETosis and subsequent thrombosis could be abrogated using clinically available inhibitors of JAK-STAT signaling.

We performed ex vivo assessment of mouse and human neutrophils with either  $JAK2<sup>WT</sup>$  or  $JAK2<sup>V617F</sup>$  with and without ruxolitinib treatment. NET formation was quantified before and after neutrophil stimulation using DAPI to detect morphological changes and

immunofluorescence (IF) to detect citrullinated histone  $3 \times 3$  (H3<sup>cit</sup>) expression in the cells. Two different in vivo models of thrombosis (partial ligation and full ligation of the mouse IVC) were used to assess rates of thrombosis (at 4 hours unless otherwise stated) in both  $Jak2<sup>WT</sup>$ and  $Jak2^{V617F}$  backgrounds. The impact of treatment with either ruxolitinib or DNase on the rate of thrombosis and on the composition of thrombi was also assessed in the partial ligation (NET-dependent) model. A potential role for PAD4, a protein required for NETosis, was assessed in patient samples and in a  $Jak2^{V617F}/Pad4$  null mouse model. Finally, we assessed the incidence of venous thrombosis in individuals without a known myeloid disorder with  $JAK2<sup>V617F</sup>$  clonal hematopoiesis.

In all studies mice were randomly assigned to control or treatment groups. The assessment and quantification of immunohistochemistry and IF staining were performed blinded to the genetic and treatment conditions. Experiments were done in triplicates. Sample sizes were based on previous studies comparin thrombosis rates between genetic/treatment groups using the same thrombosis models (36). No outliers were excluded. Primary data are reported in table S6.

#### **Human blood samples.**

Blood was drawn from patients with myeloproliferative neoplasms (MPNs) and myelodysplastic syndromes (MDS) who were seen at the Dana-Farber Cancer Institute and from age-matched normal controls. Whole blood was collected into ethylenediaminetetraacetic acid (EDTA) -coated tubes. Patients and controls were excluded if they had conditions that are known or suspected to affect NET formation such as active infection, active cancer (37), history of an autoimmune disease (38), treatment with immunosuppressive or anti-inflammatory drugs, or history of diabetes (29). In addition, patients with recent history of infection, trauma or surgery (3 months before blood draw) were excluded. Therapy with acetylsalicylic acid (aspirin), hydroxyurea or anagrelide was allowed. All patients and controls gave their written consent and all blood samples were acquired according to protocols approved by the Dana-Farber Cancer Institute and Brigham and Women's Hospital Institutional Review Board.

#### **Human neutrophil isolation and NET formation assay.**

Neutrophil isolation and NET formation were carried out as previously described (39). Briefly, blood was drawn from patients and normal controls into EDTA-coated tubes and was processed within 3 hours. Red blood cells were first sedimented with Hetastarch (6% hydroxyethyl starch, HES) in 0.9% NaCl solution at 1:4  $v/v$  dilution at 37<sup>o</sup>C and then was re-suspended in RPMI1640 (Corning) supplemented with 2% fetal bovine serum (FBS, Omega Scientific). The supernatant was harvested and neutrophils were isolated using Percoll Plus (GE Healthcare) as previously described (39). Purity of cells was >95% as determined by Wright-Giemsa staining (fig. S1B). For the screening NET assay, NET-bound neutrophil elastase was quantified using an available commercial kit according to manufacturer's instructions (Cayman chemical). For the validation immunofluorescence assay, neutrophils were resuspended in 2% heat-inactivated FBS and plated at 15,000 cells per well in 96-well optical-bottom plates in triplicates (Nunc MicroWell 96-Well Optical-Bottom Plates, ThermoFisher Scientific). Cells were then stimulated with ionomycin at 4

μM (Sigma-Aldrich) or PMA at 10 and 100 nM (Sigma-Aldrich) for 2.5 hours. Cells were then instantly fixed in 2% paraformaldehyde (PFA) and stained as described below.

#### **Mouse neutrophil isolation and NET formation assay.**

We used a previously published  $\text{VavCre}/\text{Jak2}^{\text{V617F}}$  murine model that results in constitutive heterozygous expression of the  $Jak2<sup>V617F</sup>$  activating mutation (18). Peripheral blood was collected from 10 to 12-week-old mice via the retroorbital venous plexus and was processed within 2 hours. Red blood cells were first sedimented with Hetastarch (6% hydroxyethyl starch, HES) in 0.9% NaCl solution at 1:4 v/v dilution at 37°C. Next, supernatant was collected and subjected to brief hypotonic lysis with sterile water. Neutrophils were isolated by negative selection with magnetic beads according to manufacturer's instructions (Neutrophil Isolation Kit, mouse, Miltenyi Biotec) and resuspended in 2% heat-inactivated FBS. Neutrophils were plated at 10–15,000 cells per well in 96-well optical-bottom plates in triplicates (Nunc MicroWell 96-Well Optical-Bottom Plates, ThermoFisher Scientific). Cells were then stimulated with ionomycin 4 μM (Sigma-Aldrich) or PMA 10 and 100 nM (Sigma-Aldrich) for 2.5 hours. Cells were then instantly fixed in 2% PFA and stained as described below.

#### **Immunostaining, fluorescence microscopy, and NET quantification.**

Fixed cells were processed as detailed above. Immunostaining, fluorescence microscopy, and NET quantification procedures were similar for human and mouse specimens. Samples were washed with PBS and permeabilized (0.1% Triton X-100, 0.1% sodium citrate) for 10 min at 4 °C. Samples were blocked with 3% BSA for 90 min at 37 °C, rinsed, and then incubated overnight at 4  $\rm{°C}$  or for 1 hour at 37  $\rm{°C}$  in antibody dilution buffer containing 0.3% BSA, 0.1% Tween-20, and either rabbit antihistone H3 (citrulline 2, 8, 17) (0.3 μg/mL, ab5103; Abcam) or rat anti-Ly6G (0.5 μg/mL, clone 1A8; Biolegend). After 3 washes, samples were incubated for 2 hours at room temperature in antibody dilution buffer containing Alexa Fluor-conjugated secondary antibodies in 0.3% BSA in PBS: goat anti-rat IgG (Alexa555, 2 μg/mL) or donkey anti-rabbit IgG (Alexa488, 1.5 μg/mL). DNA was counterstained with 1 μg/mL DAPI, and slides were cover-slipped with Fluoromount gel (Electron Microscopy Sciences).

Images were acquired on an Axiovert 200M wide-field fluorescence microscope (Zeiss) coupled to an AxioCam MR3 monochromatic CCD camera (Zeiss) using a Zeiss Plan-Neofluar 20×/0.4 Corr Ph2 objective lens with the Zeiss AxioVision software (version 4.6.3.0). Neutrophils positive for citrullinated histone H3 (H3cit) were determined by thresholding analysis using ImageJ software (National Institutes of Health, USA).

Morphologic quantification of NETs was performed based on morphological criteria that included nuclear delobulation and swelling and/or observed extension of web-like DNA strands. Percentages of H3<sup>cit</sup> cells and NETs were determined from five or six nonoverlapping fields per well and the average was taken from duplicates or triplicates for each condition in every experiment. Exposure time for H3<sup>cit</sup> and DNA were identical for all treatments within the same experiment.

Results are expressed as percent NETs of DAPI positive neutrophils. NET quantification was assessed independently by 2 investigators blinded to the conditions (OW and RS). Results obtained by the first investigator were independently verified by a second investigator blinded to the results.

#### **Organ harvest and staining.**

Mice were sacrificed and injected immediately post-mortem via the trachea with a 50:50 mixture of 10% neutral buffered formalin and optimal cutting temperature (OCT) compound (Sakura Finetech). Lungs were then removed and preserved in 10% neutral buffered formalin solution for at least 24 hours before being processed for staining. Organs were embedded in paraffin, sectioned, rehydrated and stained at the pathology research core facility at Harvard Medical School in Boston, MA.

Lung tissue to be used for immunofluorescence was prepared for harvest in a similar manner as above but was embedded in OTC and snap frozen immediately after harvest. 10  $\mu$ m cryosections were then produced for staining. The Martius Scarlet Blue (MSB; trichrome) stains were performed on frozen sections fixed in Bouin's solution at 56°C for 1 hour and stained with a MSB kit per manufacturer's instructions (Atom Scientific).

#### **Immunofluorescence of thrombus and lung cryosections**

Sections were allowed to come to room temperature, before rinsing in PBS with 0.1% Tween 20 (PBST). Sections were blocked for 1 hour at room temperature with 10% FBS in PBST in a humidified chamber. Sections were incubated in the same chamber overnight at 4<sup>o</sup>C with the primary antibodies described above against Ly6G and H3<sup>cit</sup> or rat anti-CD41 [MWReg30 clone (recognizes integrin α2b), Biolegend catalog number 133901] in 10% FBS in PBST. Slides were rinsed in PBST before incubating with the secondary antibody under the same conditions as used for the primary antibody [goat anti-rat Ig (IgG) (Alexa555, 1.5 μg/mL) or donkey anti-rabbit IgG (Alexa647, 1.5 μg/mL)]. After a further wash in PBST, sections were stained with DAPI and mounted as described above. Sections were visualized using AxioImager D1 (Carl Zeiss Microscopy) and images taken using Axiocam MRc Camera (Carl Zeiss Microscopy). Zen software 2.3 (version 13) was used to analyze images. For the final images used in the figures, Alexa647 was represented using green.

#### **Venous stenosis model.**

After bone marrow harvest, c-Kit positive marrow cells were obtained from VavCre/  $Jak2<sup>V617F</sup>$  KI mice using CD117 Microbeads according to manufacturer's instructions (Miltenyi Bitotec). After being resuspended in Hank's Balanced Salt Solution (Life Technologies), cells were injected into sub-lethally irradiated wild type (WT) recipients [8 week old female CD45.1-postive B6.SJL (Jackson Laboratory) recipients; 350,000 per animal], resulting in constitutive heterozygous expression of the mutation in hematopoietic cells only. Successful engraftment was checked using donor chimerism, as assessed by relative abundance of hematopoietic cells detected with either CD45.1-PE clone A20 or CD45.2-FITC clone 104 (eBioscience), and a phenotype reminiscent of PV was documented (fig. S2).

Mice were anesthetized with 3.5% isoflurane, and isoflurane was maintained at 2% in 100% oxygen. A midline laparotomy was performed, and the inferior vena cava was exposed. Any side branches between the renal and iliac veins were ligated with 7/0 polypropylene suture. A 30-G spacer was placed parallel to the inferior vena cava, and 7/0 polypropylene suture was used to partially ligate the IVC to ∼10% of its original diameter. The spacer was removed, and the mouse was sutured and allowed to recover. In the full ligation model, side branches were ligated as before. In addition, posterior branches were cauterized before the IVC was fully ligated with 7/0 polypropylene suture. At the designated post-ligation time points (2 and 4 hours), mice were anesthetized with isoflurane and blood was collected via the retroorbital sinus plexus. After the mice were sacrificed the IVC was exposed to allow for collection of the thrombi formed within the IVC. Thrombi were embedded in OCT and snap frozen for cryosectioning. All mice were given buprenorphine (0.03 μg/ mL, vol/vol) (0.1 mg/kg) s.c. as an analgesic immediately before surgery.

## **Pad4 null Jak2V617F model.**

The previously published  $Jak2^{\text{V617F}}$  MSCV-IRES-GFP vector (27) was used to generate ecotropic retrovirus using packaging plasmid (Ecopak), TransIT-LT1 Transfection Reagent (Mirus Bio LLC), and the human embryonic kidney-cell line 293T using standard methods. Briefly, 293T cells were cultured in Dulbecco's Modified Eagle's Medium (DMEM, Corning) supplemented with 10% FBS and 1% penicillin/streptomycin/glutamine (PSG, Life Technologies). A total of 1.5 million cells were plated on a 10 cm plate. When cells were at 60–80% confluency, a transfection cocktail of 1 ml reduced serum medium (OptiMEM, Life Technologies), 45 μl TransIT-LT1 Transfection Reagent, 10 μg of MSCV-IRES-GFP vector, and 10 μg of Ecopak vector was added to the plate. Medium containing the transfection mixture was removed after 12 hours. Fresh DMEM with 10% FBS and 1% PSG was added. After 36 hours the medium (containing retrovirus) was harvested and filtered (22 μM). Bone marrow from Pad4 null mice and Pad4 wild type controls was harvested and c-Kit cell isolated using CD117 Microbeads as described above. Cells were cultured in Serum-Free Expansion Medium (StemSpan SFEM, Stem Cell Technologies) with 50 ng/ml recombinant murine thrombopoietin (TPO, PeproTech), 50 ng/ml recombinant murine stem cell factor (SCF, PeproTech), and 1% PSG for 48 hours. Cells were then transduced with fresh retrovirus using RetroNectin (Takara Bio Inc.) according to the manufacturer's instructions. After 24 hours, cells were resuspended in HBSS before transplantation of 350,000 cells by retroorbital injection into lethally irradiated 8-week-old female CD45.1-postive B6.SJL (Jackson Laboratory) recipients. At 8 weeks post-transplant, expression of viral construct was confirmed by assessing GFP using BD FACSCanto II (BD Biosciences) and hematocrit assessed in animals by retroorbital bleeding. The lungs from mice in the context of either  $Pad4^{-/-}$  or  $Pad4^{+/+}$  were harvested and processed for IHC and IF as described above.

#### **Western blot analysis.**

After collection of human neutrophils, the samples were snap frozen and homogenized in RIPA buffer supplemented with protease inhibitor cocktail (Sigma) on ice. After centrifugation at  $20,000g$  for 20 min at 4 $\degree$ C, equal amounts of protein per sample were resolved on Criterion 4–15% Tris-HCl gels (BioRad) and electroblotted on Immobilon-P

PVDF membranes (Merck Millipore), which were then incubated with primary antibodies (rabbit polyclonal anti-H3Cit, 1:1,000, Abcam, cat. no. ab5103; mouse monoclonal antihuman PAD4, 1:1,000, Abcam, cat. no. ab128086) at 4 °C overnight and subsequently with appropriate HRP-conjugated secondary antibodies [1:15,000, donkey anti-rabbit IgG (H+L)- HRP conjugate (GE Healthcare)] for 1 hour at room temperature. The blots were developed with SuperSignal West Dura Extended Duration Substrate enhanced chemiluminescence substrate (Thermo Scientific). Equal loading was confirmed using an HRP conjugated monoclonal antibody against human β-actin (mAbcam 8226).

#### **Treatment with ruxolitinib.**

For ex vivo experiments, ruxolitinib (Selleckchem) was used at a concentration of 300 nM for 150 min. For in vivo experiments, mice were gavaged with 90 mg/kg of ruxolitinib or vehicle (5% dimethylacetamide, DMAC, Sigma-Aldrich) twice daily for 3 days (6 doses) as previously described (40). For the ex vivo NET inhibition assay, we used a PAD4 inhibitor (GSK 484 at 10 µM) as a negative control (Cayman chemical).

#### **Treatment with DNase.**

DNase 1 (Pulmozyme, Genentech) was diluted in sterile saline and injected immediately after surgery (50 μg intraperitoneally and 10 μg intravenously via tail vein). Control mice were injected with the DNase vehicle buffer (8.77 mg/mL sodium chloride and 0.15 mg/mL calcium chloride) diluted in sterile saline. Mice were assessed for thrombosis at 4 hours.

#### **Analysis of mouse plasma.**

Plasma dsDNA was analyzed using the Quant-iT Picogreen assay (Invitrogen) according to manufacturer's instructions.

#### **Platelet and neutrophil functions.**

Mouse blood was collected in tubes containing 0.2  $\mu$ g/mL enoxaparin (Sanofi-Aventis). Platelet-rich plasma (PRP) was collected after two centrifugation steps at  $300 \times g$  for 7 min at RT. ADP-induced aggregation was monitored in PRP, whereas responses after stimulation with thrombin or collagen were analyzed in washed platelet suspensions. For this, PRP was pelleted at 700  $\times$  g in the presence of prostacyclin (PGI<sub>2</sub>) (0.1 µg/mL) and apyrase (0.02 U/ mL). Platelet pellets were washed twice in modified Tyrode-HEPES buffer (134 mM NaCl,  $0.34$  mM  $\text{Na}_2\text{HPO}_4$  ,  $2.9$  mM KCl,  $12$  mM  $\text{NaHCO}_3,$  5 mM HEPES,  $1$  mM  $\text{MgCl}_2,$  5 mM glucose, 0.35% BSA, pH 7.4) containing PGI<sub>2</sub> and apyrase. Platelet suspensions (150 µL with  $5 \times 10^5$  platelets/ $\mu$ L) in Tyrode-HEPES buffer containing 2 mM CaCl<sub>2</sub> were stimulated with the indicated agonists and light transmission was recorded on a Chronolog platelet aggregometer.

For the assessment of CD11b induction, whole blood from  $Jak2^{WT}$  or  $Jak2^{V617F}$  mice that had been treated for 72 hours with either ruxolitinib or vehicle was processed as above to isolate neutrophils. After 20 minutes of stimulation with 4 µM ionomycin, neutrophils were stained with anti-mouse Ly6G APC antibody clone 1A8 (BioLegend) and anti-mouse CD11b FITC clone M1/70 (Invitrogen) and analyzed by flow cytometry (BD FACSCanto II). CD11b expression was quantified as the MFI of FITC in LyG-positive single cells.

Reactive oxygen species were quantified after 20 minutes of stimulation with 4  $\mu$ M ionomycin, before staining with DHR dihydrorhodamine-123 (Invitrogen) and anti- mouse Ly6G APC antibody clone  $1A8$  (BioLegend) at  $4^{\circ}$ C on ice. ROS concentrations were determined as the MFI of FITC for Ly6-positive single cells.

#### **Case-control cohort.**

Schizophrenic patients and controls from a case-control cohort were assessed, including only patients with both molecular and clinical data. Clinical data were assessed using the ICD10 codes for different categories of major venous thrombosis and for myeloid disease including MPN, MDS, and AML. ICD10 codes and the corresponding diagnoses are shown in table S4. Individuals were regarded as being in one of two clinical groups; ever or never having had a venous thrombosis. Because previous data from this cohort suggested an effect of smoking on increased vascular events, and there were higher rates of smoking in the cohort of patients with schizophrenia, the schizophrenic patients and control cases were also assessed separately. Whole-exome sequencing, and the identification of mutations and their variant allele frequency, have been described previously (31).

#### **Statistics.**

Data are presented as means  $\pm$  SEM unless otherwise noted and were analyzed using a twotailed Mann–Whitney  $U$  test. For murine data, thrombus frequencies were analyzed using Fisher's exact test. For human population, differences in thrombosis incidence were assessed using Fisher's exact test with Bonferroni correction for testing of multiple hypotheses. Differences in age were assessed using Mann–Whitney U test. All analyses were performed using GraphPad Prism software (Version 5.0). Results were considered significant at  $p <$ 0.05. Nominal p-values were used unless otherwise specified.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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**Figure 1: Neutrophils derived from patients with MPNs are associated with an increase in NET formation and a prothrombotic, NET-rich phenotype**

(A) NET formation in patients with myeloproliferative neoplasms (MPN) (receiving a JAK inhibitor n=5, receiving other therapy  $n=14$ ) compared to healthy controls  $(n=11)$  when stimulated with 4 μM ionomycin (IO) or DMSO for 2 hours. Patients receiving a JAK inhibitor are indicated by JAKI. Data shown as individual values and medians. (B) Representative immunofluorescence images of human neutrophils after stimulation with 4 μM IO or DMSO for 2 hours. DAPI is shown in blue and citrullinated histone H3 (H3<sup>cit</sup>) in green. Scale bar=50  $\mu$ m. (C) The percentages of human neutrophils with evidence of NET formation after stimulation with PMA (10 nM) with and without ruxolitinib pre-treatment (n=4). Neutrophils are derived from controls. (D) Representative images of human neutrophils from healthy controls stimulated with PMA (10 nM) after 150 minutes of ex vivo pre-treatment with DMSO, ruxolitinib (300 nM), or GSK484 (PAD4 inhibitor,10 μM). Scale bar=50  $\mu$ m. (E) Lung tissue sections from mice expressing the *Jak2*<sup>V617F</sup> mutation as

compared to  $Jak2^{WT}$  mice. Scale bar=200 µm. (F) Characterization of clot content in the lungs of  $Jak2<sup>V617</sup>$  mice. Hematoxylin and eosin (H&E) stain. Scale bar=50 µm. VWF – Von Willebrand factor. (G) Lung tissue sections from mice expressing the  $Jak2<sup>V617F</sup>$  mutation as compared to  $Jak2<sup>WT</sup>$  mice. Neutrophil infiltration and NETs are shown by neutrophilspecific Ly6G (red) and H3<sup>cit</sup> (green), respectively. Scale bar=100  $\mu$ m. (H) The percentages of mouse neutrophils with evidence of NET formation by morphological criteria (left) (n=9 for all genotype/treatment combinations) or  $H3<sup>cit</sup>$  positive staining (right) (n=6 for all genotype/treatment combinations) grouped by genotype after stimulation with 4 μM IO or DMSO for 2 hours. (I) Representative immunofluorescence images of mouse neutrophils derived from  $Jak2^{WT}$  and  $Jak2^{V617F}$  mice after stimulation with 4  $\mu$ M IO or DMSO for 2 hours. DAPI is shown in blue and H3cit in green. Scale bar=50 μm.



#### **Figure 2:** *Jak2***V617F is associated with increased venous thrombosis tendency which is reversed with ruxolitinib.**

(A) Rates of thrombosis at 2 hours and 4 hours after surgical stenosis of the IVC, with animals grouped according to genotype and in vivo treatment (vehicle or ruxolitinib 90 mg/kg twice a day for 72 hours). At 2 hours:  $Jak2^{WT}$  vehicle n=8,  $Jak2^{V617F}$  vehicle n=11,  $Jak2^{V617F}$  ruxolitinib n=8. At 4 hours:  $Jak2^{WT}$  vehicle n=15,  $Jak2^{V617F}$  vehicle n=14,  $Jak2<sup>V617F</sup>$  ruxolitinib n=14. (B) A representative image at 2 hours after IVC stenosis in a *Jak2*<sup>WT</sup> and a *Jak2*<sup>V617F</sup> mouse. (C) dsDNA plasma concentration in *Jak2*<sup>WT</sup> (n=13) and  $Jak2<sup>V617F</sup>$  (n=10) mice subjected to partial stenosis of the IVC. (D) Neutrophil infiltration and NET content of sections of thrombi harvested at 4 hours after IVC stenosis, as shown by neutrophil-specific Ly6G (red) and H3<sup>cit</sup> (green), respectively. DAPI is shown in blue. Scale  $bar=100 \mu m$ . (E) The percentage of cells (DAPI) staining positively for H3<sup>cit</sup> in thrombi harvested at 4 hours after IVC stenosis. (F) The hematocrit (HCT), neutrophil count, and

platelet count (PLT) in  $Jak2<sup>V617F</sup>$  mice after 72 hours of treatment with vehicle (n=36) or ruxolitinib 90 mg/kg twice a day (n=29).

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## **Figure 3: PAD4 is overexpressed in MPNs and is essential for the NET-driven prothrombotic phenotype in** *Jak2***V617F-driven MPN mouse models.**

(A) Lung sections from mice 10 weeks after transplantation with  $Pad4^{+/+}$  or  $Pad4^{-/-}$  c-Kit positive cells transduced with  $Jak2^{V617F}$  vector. Hematoxylin and eosin (H&E) stain. VWF – Von Willebrand factor. Scale bar=200 μm. (B) Immunofluorescence studies of lung sections from mice 10 weeks after transplantation with  $Pad4^{+/+}$  or  $Pad4^{-/-}$  c-Kit cells transduced with  $Jak2^{V617F}$  vector. Immunofluorescence studies demonstrate H3<sup>cit</sup> depositions in the background of a hypercellular lung section in  $Pad4^{+/+/Jak}2^{V617F}$  mice as compared to  $Pad4^{-/-}/Jak2^{V617F}$  mice. Neutrophil infiltration and NETs are shown by neutrophil-specific Ly6G (red) and H3<sup>cit</sup> (green), respectively. DAPI is shown in blue. Scale bar=100 μm. (C) PAD4 protein expression and quantification in neutrophils isolated from healthy controls and patients with polycythemia vera (PV) harboring the  $JAK2<sup>V617F</sup>$ mutation (actin used as loading control; representative image of 3 technical replicates; n=5 for both groups).



**Figure 4:** *JAK2V617F* **positive clonal hematopoiesis is associated with increased thrombosis rates.** (A) CONSORT (Consolidated Standards of Reporting Trials) diagram of individuals in the population study. (B) Rates of venous thrombosis in patients with or without clonal hematopoiesis of indeterminate potential (CHIP) and/or  $JAK2<sup>V617F</sup>$  mutation. (C) Variant allele frequency (VAF) of individuals with  $JAX2<sup>V617F</sup>$  CHIP separated according to the incidence of venous thrombosis.

\*Individuals with either one or two mutations of unknown significance were excluded from further analysis.

#Rates of thrombosis between groups by Fishers' exact test \$Bonferroni correction

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#### **Table 1:**

Comparison of incidence of venous thrombosis between groups according to the presence of CHIP and  $JAK2^{\text{V617F}}$  mutation.



\* Individuals with <3 mutations of unknown significance were excluded from further analysis. Individuals with ≥3 mutations of unknown significance are classified as having clonal hematopoiesis with unknown driver (see also table S5).

# Rates of thrombosis compared between groups by Fisher's exact test

\$ Nominal P values are given first. Adjusted P values after Bonferroni correction are given in parentheses.