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## Antipsychotics for agitation and psychosis in people with Alzheimer's disease and vascular dementia (Protocol)

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[Intervention Protocol]

# Antipsychotics for agitation and psychosis in people with Alzheimer's disease and vascular dementia

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## ABSTRACT

This is a protocol for a Cochrane Review (Intervention). The objectives are as follows:

To assess the effectiveness and safety of antipsychotics for the treatment of agitation and psychosis in people with Alzheimer's disease and vascular dementia.

## BACKGROUND

### Description of the condition

Dementia is a clinical syndrome characterised by cognitive, neuropsychiatric, and functional symptoms. It involves cognitive deterioration, disturbances in language, psychological and psychiatric changes, and impairments in activities of daily living (ADL). Five percent of people aged over 65 years and 20% of those over 80 years have dementia. In 2015, an estimated 47 million people were living with dementia worldwide. The total number of people with dementia will most likely continue to rise as the age of the population increases. Alzheimer's disease is the most common type of dementia (Livingston 2017).

Neuropsychiatric symptoms, also known as behavioural and psychological symptoms of dementia (BPSD), or challenging behaviour are common features of dementia. About 90% of people with dementia experience agitation, psychosis, or other neuropsychiatric symptoms such as anxiety, depression, and apathy at some time during the course of the disease (Borsje 2018). Symptoms often co-occur. Agitation is difficult to define simply (Cummings 2015). It covers unsettled verbal, vocal, or motor activity that is or is not accompanied by aggression (Cohen-Mansfield 1996). Common symptoms include restlessness, wandering, verbal insults, and shouting. Agitation is often measured with the Cohen-Mansfield Agitation Inventory (CMAI), a scale that covers many different types of agitation. In clinical practice, simpler definitions are also used.

Psychosis in dementia is characterised by delusions and hallucinations.

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nations. Simple delusions about theft or abandonment are typical symptoms in Alzheimer's disease (Murray 2014). Prevalence of psychosis in Alzheimer's disease varies from 25% to 50% and depends on the stage of the disease: the prevalence is lower in the early stage of the disease, and rises as the disease progresses (Murray 2014).

Agitation and psychosis are distressing for people with dementia and their carers, and make it more difficult to care for the patient (Gilley 1991; Livingston 2014; Schmidt 2012). The symptoms are associated with greater functional impairment and poorer quality of life (Morris 2015; Scarmeas 2005; Wetzels 2010). They frequently trigger placement in residential care or use of psychotropic drugs and are associated with higher care costs (Testad 2010; Toot 2017).

Agitation and psychosis can occur as a result of other causes (superimposed on dementia). Therefore, a comprehensive assessment of possible precipitating factors such as pain or delirium should be performed to rule out other treatable causes before hypothesising that agitation and psychosis are due to the dementia syndrome and considering the use of antipsychotics.

## Description of the intervention

Antipsychotics, also known as neuroleptics, are widely used to treat agitation and psychosis in dementia. Antipsychotic use in Western European nursing home residents ranges from 12% to 59% (Janus 2016). Factors influencing antipsychotic use in people with dementia in nursing homes are nurses' job satisfaction and their belief in positive treatment effects (Janus 2017).

Antipsychotics can be classified into two subgroups: typical (conventional, first-generation) and atypical (second-generation) agents. Examples for typical agents are haloperidol, chlorpromazine, or levomepromazine; atypical agents include risperidone, olanzapine, quetiapine, clozapine, or aripiprazole. Haloperidol is the most commonly used typical antipsychotic and risperidone the most commonly used atypical antipsychotic for agitation and psychosis in dementia (Yohanna 2017). The US Food and Drug Administration (FDA) has not approved any antipsychotics for use in people with dementia; in the EU, only risperidone is licensed for short-term use for aggression in this patient population (Tampi 2016; Almutairi 2018).

Despite the wide use of antipsychotics for agitation and psychosis in dementia, their benefit is uncertain because some trials have yielded negative results and effectiveness may be outweighed by harms (Schneider 2006). Antipsychotics have various severe adverse effects such as extrapyramidal symptoms (EPS), somnolence, and (further) cognitive decline (Ballard 2005; Kirchner 2001). Less frequent but serious adverse events (SAE) are malignant neuroleptic syndrome, strokes, falls, and pneumonia (Banerjee 2010; Knol 2008; Lonergan 2002).

Regulatory agencies issued the first warnings concerning the use of antipsychotics in people with dementia in the mid-2000s due to an

increased risk of death (EMA 2008; Kuehn 2005; MHRA 2009; Schneider 2005) and stroke (MHRA 2009) in this population.

Cohort studies have also shown an association between use of atypical and typical antipsychotics and an increased risk of mortality in older people (Arai 2016; Kales 2007; Kales 2012). However, it has also been postulated that this might be caused by "confounding by indication" as many cohort studies included people with terminal illness and delirium, which might explain the co-occurrence of the use of typical antipsychotics and deaths in these studies. This could also explain why mortality is highest during the first month of use (Luijendijk 2016).

Overprescribing of antipsychotics in people with dementia has become a major problem. Antipsychotic drugs are often prescribed inappropriately (unclear indication, presence of contraindications, or chronic use longer than necessary or advocated) and with little monitoring (Furniss 1998; Renom-Guiteras 2018). The use of antipsychotics in people with dementia has also provoked much debate due to the potential for SAEs. In addition, some consider the use of antipsychotics to be simply a chemical restraint, suggesting that the sedative adverse effects of antipsychotics are used to calm people down rather than treating agitation and psychosis specifically or searching for and remedying the triggers for these behaviours (Hughes 2008). Furthermore, it has been shown that antipsychotics could be successfully discontinued in people with dementia and psychosis or agitation (Van Leeuwen 2018).

## How the intervention might work

Almost all typical antipsychotics are antagonists at the dopamine receptor. Atypical antipsychotics also act as antagonists on muscarinic, serotonergic, adrenergic, and histaminergic receptors. These effects are considered to reduce agitation and psychosis. D2-receptor blockade is responsible for many adverse drug reactions, including motor EPS. Atypical antipsychotics have been marketed on the premise that they offer a better adverse effect profile than conventional antipsychotics, in particular fewer severe EPS (Pierre 2005).

## Why it is important to do this review

In the current review, we will update and combine two previous Cochrane Reviews. Both were published when concern about the use of antipsychotics began to emerge. The first concerned haloperidol for agitation in dementia (Lonergan 2002). This review did not cover psychosis in dementia. The second concerned atypical antipsychotics for neuropsychiatric symptoms (Ballard 2006). The present review will focus on agitation (with or without aggression) and psychosis.

In addition, we wish to present the evidence for atypical and typical antipsychotics in one review so that the reader can make an informed choice between the two groups. This review will sup-

port decision making for clinicians, carers, and patients. Finally, the widespread use of antipsychotics as well as the harmful adverse effects that might outweigh efficacy call for an up-to-date review.

## OBJECTIVES

To assess the effectiveness and safety of antipsychotics for the treatment of agitation and psychosis in people with Alzheimer's disease and vascular dementia.

## METHODS

### Criteria for considering studies for this review

#### Types of studies

We will include randomised, placebo-controlled trials comparing the effects of antipsychotics and placebo for the treatment of agitation or psychosis in people with dementia due to Alzheimer's disease or vascular dementia. We will include full journal publications, online clinical trial results, summaries of otherwise unpublished clinical trials, and abstracts. We will also include studies which report insufficient data for analysis and will describe the results narratively. We will exclude studies that were non-randomised, case reports, and clinical observations. We will also exclude studies using antipsychotics that are no longer available on the US or EU market, studies of antipsychotics that are used for acute short-term sedation in emergency situations, studies comparing different antipsychotics head to head, and antipsychotic withdrawal trials. There will be no language restrictions.

#### Types of participants

We will include trials in people with a diagnosis of dementia due to Alzheimer's disease, vascular dementia, or both irrespective of age, severity of cognitive impairment, and setting. Diagnoses of dementia must have been made with established diagnostic criteria for Alzheimer's or vascular dementia. We will include studies with mixed dementia populations if at least 80% of the participants have Alzheimer's or vascular dementia. We will exclude trials in people with other types of dementia, or delirium. Participants must have clinically significant agitation (including aggression) or psychosis or both at baseline. We will accept definitions of clinically significant agitation or psychosis from the included trials based either on scores on validated measurement instruments or on reports of clinical relevance from informal carers or healthcare professionals. Validated measurement instruments often used to assess agitation are the CMAI, the agitation subscale

of the Behavioral Pathology in Alzheimer's Disease Rating Scale (BEHAVE-AD), or the Neuropsychiatric Inventory (NPI). The psychosis subscale of BEHAVE-AD or NPI are frequently used to assess psychosis.

#### Types of interventions

We will include all studies using typical and atypical antipsychotics that are presently available for use on the US or EU market. As typical antipsychotics, we will consider substances coded in the Anatomical Therapeutic Chemical Classification System (ATC) as N05AA, N05AB, N05AD, N05AF, and N05AG (e.g. chlorpromazine, chlorprothixene, flupentixol, fluphenazine, haloperidol, levomepromazine, perphenazine, pimozide, thiothixene, trifluoperazine, zuclopenthixol). Atypical antipsychotics are ATC coded as N05AE, N05AH, N05AL, and N05AX (e.g. amisulpride, aripiprazole, clozapine, lurasidone, olanzapine, quetiapine, risperidone, sertindole, sulpiride, zotepine, ziprasidone) (WHO 2017).

#### Types of outcome measures

##### Primary outcomes

- Efficacy:
  - agitation or psychosis in participants with agitation or psychosis respectively at baseline.
- Adverse effects:
  - number of participants with somnolence;
  - number of participants with EPS;
  - number of participants with any adverse event;
  - number of participants with any serious adverse event (SAE), which is defined by the FDA/European Medicines Agency (EMA) as resulting in death, being life-threatening, requiring hospitalisation, or causing prolongation of existing hospitalisation, resulting in persistent or significant disability/incapacity or requiring interventions to prevent permanent impairment or damage. This includes stroke, thromboembolism, and pneumonia;
  - number of deaths.

##### Secondary outcomes

- Number of responders for agitation or psychosis (response according to definition of primary study authors, or improvement on Clinical Global Impression scale) in trials that include participants with agitation or psychosis respectively at baseline.
- Number of participants who discontinued treatment (any reason).
- Number of participants who discontinued treatment due to adverse events.

- Health-related quality of life.
- Functioning in activities of daily living (ADL).
- Cognitive function.
- Carer burden or carer quality of life.

## Search methods for identification of studies

We will search ALOIS ([www.medicine.ox.ac.uk/alois](http://www.medicine.ox.ac.uk/alois)), which is the Cochrane Dementia and Cognitive Improvement Group's (CDCIG) specialized register.

ALOIS is maintained by the Information Specialists for the CD-CIG, and contains studies that fall within the areas of dementia prevention, dementia treatment and management, and cognitive enhancement in healthy elderly populations. The studies are identified through searching:

- the Cochrane Library's Central Register of Controlled Trials (CENTRAL);
- major healthcare databases: MEDLINE (OvidSP), Embase (OvidSP), CINAHL (EBSCOhost), and PsycINFO (OvidSP);
- trial registers: ClinicalTrials.gov and the World Health Organization's (WHO) International Clinical Trials Register Platform (ICTRP) which covers ISRCTN; the Chinese Clinical Trials Register; the German Clinical Trials Register; the Iranian Registry of Clinical Trials; and the Netherlands National Trials Register, plus others;
- grey literature sources: ISI Web of Science Core Collection.

To view a list of all sources searched, see the ALOIS website ([www.medicine.ox.ac.uk/alois](http://www.medicine.ox.ac.uk/alois)).

Details of the search strategies run in healthcare bibliographic databases, used for the retrieval of reports of dementia, cognitive improvement, and cognitive enhancement trials, can be viewed on the CDCIG's website ([dementia.cochrane.org/searches](http://dementia.cochrane.org/searches)).

We will run additional searches in MEDLINE (OvidSP), Embase (OvidSP), PsycINFO (OvidSP), CINAHL (EBSCOhost), LILACs (Bireme), ClinicalTrials.gov, and the WHO Portal/ICTRP to ensure that the searches for this review are as comprehensive and up-to-date as possible. The search strategy that will be used for the retrieval of reports of trials from MEDLINE (via the OvidSP platform) can be seen in [Appendix 1](#).

Furthermore, we will contact pharmaceutical companies that market antipsychotics and the investigators of included trials to request information on unpublished and additional trials. We will search relevant trial registers of pharmaceutical companies such as those listed in Section 6.2.3.3 of the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011). In addition, we will search regulatory agency sources (specifically FDA and EMA) for relevant clinical study reports following the recommendation made by Isojarvi 2018 and Schroll 2015.

## Data collection and analysis

## Selection of studies

After removing duplicates, two review authors will independently assess eligibility of studies identified by the search with the defined inclusion criteria. Both review authors will independently review full texts of each study deemed possibly relevant. We will use [Covidence](#) to facilitate the process. There will be no language restrictions. We will resolve disagreements in consensus discussions or consultation of a third review author. We will report reasons for exclusion in a 'Characteristics of excluded studies' table. We will report details of included studies in a 'Characteristics of included studies' table.

We will collate multiple reports of the same study including retraction statements and errata, and other unpublished key information. We will include a PRISMA flow chart in the full review showing the status of identified studies (Moher 2009), as recommended in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011). We will include studies irrespective of whether measured outcome data are reported in a 'usable' way.

## Data extraction and management

Two review authors will independently extract data using a pre-specified data collection form that will be piloted before use. We will collect the following data from the main article and other data sources.

- General study characteristics: drug (and daily dose) tested, setting, type of dementia, number randomised, indication (agitation/psychosis).
- Outcomes: we will extract mean changes per treatment group for continuous outcomes and number of participants for binary outcome data, preferably for all randomised participants or otherwise for all participants available at endpoint assessment (we will report the number of participants at endpoint). If standard deviations (SDs) are not available, we will calculate them from reported data if possible.

Clinical response will be treated as a binary variable (present or not) and we will use the definition of the study authors. If response was not defined but measured with the Clinical Global Impression scale or a comparable instrument, we will use the categories 'improved' and 'no change (not worsened)'.

We will resolve any disagreements by discussion and consensus using [Covidence](#). After reaching consensus, we will transfer data into Review Manager 5 ([Review Manager 2014](#)).

## Assessment of risk of bias in included studies

We will assess risk of bias using the Cochrane 'Risk of bias' tool as described in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011). Two review authors will independently assess and rate the methodological quality of the studies to identify any potential source of bias. We will assess risk of bias in

the following domains: randomisation, blinding of outcome assessors (detection bias), attrition/incomplete outcome data, protocol deviations (performance bias), and other sources (use of run-in period). We will categorise studies as having low, high, or unclear risk of bias. We will support our judgements with information from the available data sources. The judgements will be compared automatically so that discrepancies can be discussed and resolved using [Covidence](#).

### Measures of treatment effect

Where possible, we will express the treatment effect for each continuous outcome (change from baseline in psychosis or agitation) as pooled standardised mean differences (SMD) with 95% confidence intervals (CI). We will include validated measurement instruments for agitation and psychosis in these analyses and ensure that higher scores have the same meaning across instruments.

We will express the treatment effect of dichotomous outcomes as risk ratio (RR) with 95% CI. Where informative, we will perform meta-analyses to calculate the number needed to treat for an additional beneficial outcome (NNTB) or harmful outcome (NNTH) where appropriate based on pooled risk differences (RD).

We will report the SMDs, relative and absolute risks in a 'Summary of findings' table and perform statistical analysis using Review Manager 5 ([Review Manager 2014](#)). We will report the results of trials that did not report usable data for the meta-analysis qualitatively.

### Unit of analysis issues

We will combine data from multiple active drug groups within a trial if they test the same drug (multiple dosages). If studies tested more than one drug, we will split the sample size for the control group as described in the *Cochrane Handbook for Systematic Reviews of Interventions* ([Higgins 2011](#)). We will include cross-over studies using first-phase data only to rule out carry-over effects. In multi-arm studies, we will include antipsychotic and placebo groups only and will exclude groups treated with more than one drug in the same group or groups treated with other psychotropic drugs. We will include studies that have a run-in period before randomisation, even though some eligible participants, who met inclusion criteria for the study at the start of the run-in period, might have been excluded from participation at the end of the run-in period.

### Dealing with missing data

Where possible, we will contact authors of the included studies to obtain any missing data. We will use data from intention-to-treat analyses if available. Otherwise, we will also include data from per-protocol analyses but perform sensitivity analyses to assess for their effect.

### Assessment of heterogeneity

We will assess heterogeneity of the treatment effect between the trials with a  $\text{Chi}^2$  statistic and conduct meta-analyses. We will use a fixed-effect model, unless the  $I^2$  statistic is greater than 40%, in which case we will use a random-effects model. We will use Review Manager 5 to calculate statistical heterogeneity ([Review Manager 2014](#)).

### Assessment of reporting biases

We will assess reporting bias with a funnel plot if at least 10 studies are available for meta-analysis.

### Data synthesis

We will assess the effects of typical and atypical antipsychotics separately. When assessing adverse effects, we will pool data from studies which target agitation or psychosis. We will use Review Manager 5 to analyse the results of clinically and statistically homogeneous outcomes using a fixed-effect model except in cases of heterogeneity (see above; [Review Manager 2014](#)). If meta-analysis is not suitable because of heterogeneity or insufficient data, we will present a narrative synthesis. We will assess the quality of the evidence following the GRADE approach and rating the quality of evidence behind each result as high, moderate, low, or very low ([Balslem 2011](#)).

### Subgroup analysis and investigation of heterogeneity

We will rerun all analyses including only haloperidol and risperidone studies, as these are the antipsychotics of first choice in many countries to date (2019). We will not perform subgroup analyses related to participant characteristics.

### Sensitivity analysis

We will perform a sensitivity analysis and rerun the above analyses without trials that had at least one rating of high risk of bias with the Cochrane 'Risk of bias' tool. We will also perform a sensitivity analysis without trials that only report per-protocol analysis.

### 'Summary of findings' tables

We will assess the overall quality of the body of evidence for each outcome using the GRADE approach ([Guyatt 2013a](#); [Guyatt 2013b](#)). We will present the results of the following outcomes in 'Summary of findings' tables.

- Agitation or psychosis in participants with agitation or psychosis.
- Adverse effects:
  - number of participants with somnolence;
  - number of participants with EPS;

- number of participants with any adverse event;
- number of participants with any SAE;
- number of deaths.

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\* Indicates the major publication for the study

## APPENDICES

### Appendix I. MEDLINE search strategy

- 1 exp Dementia/
- 2 Delirium/
- 3 Wernicke Encephalopathy/
- 4 Neurocognitive Disorders/
- 5 dement\*.mp.
- 6 alzheimer\*.mp.
- 7 (lewy\* adj2 bod\*).mp.
- 8 (chronic adj2 cerebrovascular).mp.
- 9 (“organic brain disease” or “organic brain syndrome”).mp.
- 10 “benign senescent forgetfulness”.mp.
- 11 (cerebr\* adj2 deteriorat\*).mp.
- 12 (cerebral\* adj2 insufficient\*).mp.
- 13 “major neurocognitive disorder”.mp.
- 14 or/1-13
- 15 Antipsychotic Agents/
- 16 (antipsychotic\* or neuroleptic\*).ti,ab.
- 17 (neurolept\* or antipsychotic\* or Amisulpride\* or Chormethiazole\* or Clomethiazole\* or Distraneurin\* or Chlorpromazin\* or Aminazine\* or Chlorazine\* or Chlordelazine\* or Contomin\* or Fenactil\* or Largactil\* or Propaphenin\* or Thorazine\* or Flupenthixol decanoate\* or Emergil\* or Fluanxol\* or Flupentixol\* or alphaFlupentixol\* or cisFlupentixol\* or Fluphenazin\* or Fluphenazine decanoate\* or Flufenazin\* or Fluphenazine Hydrochloride\* or Lyogen\* or Prolixin\* or Haloperidol\* or Haldol\* or Levomepromazin\* or Levomeprazin\* or Levopromazine\* or Tiscerin\* or Tizercine\* or Tizertsin\* or Methotrimeprazine\* or Loxapine\* or Loxapinsuccinate\* or Oxilapine\* or Cloxazepine\* or Loxapine Monohydrochloride\* or Loxipine Maleate\* or Loxipine Succinate\* or Loxitane\* or Asendin\* or Desmethyloxapine\* or Amoxapine\* or Olanzapine\* or Perphenazine\* or Chlorpipazine\* or Perfenazine\* or Trilafonor\* or Pimozide\* or Prothipendyl\* or Quetiapine\* or Fumarate\* or Risperidone\* or Risperidal\* or Sulpiride\* or Dogmatil\* or Eglonyl\* or Sulperide\* or Thioridazine\* or Meleril\* or Mellaril\* or Melleril\* or Melleryl\* or Sonapax\* or Thioridazine Hydrochloride\* or Tiaprid\* or Tiapridal\* or Trifluoperazine Hydrochloride\* or Trifluoperazine\* or Triftazin\* or Stelazine\* or “Trifluperazine\* or Tripfluoperazine Hydrochloride\* or Cisordinol\*” or Zuclopenthixol\* or Clopenthixol\* or Clozapine\* or Melperone hydrochloride\* or Ziprasidone\* or Zotemine\*).ti,ab.
- 18 PIMOZIDE/
- 19 PERPHENAZINE/
- 20 LOXAPINE/
- 21 METHOTRIMEPRAZINE/
- 22 HALOPERIDOL/
- 23 FLUPHENAZINE/
- 24 FLUPENTHIXOL/
- 25 CHLORPROMAZINE/
- 26 CHLORMETHIAZOLE/
- 27 CLOPENTHIXOL/
- 28 TRIFLUOPERAZINE/
- 29 THIORIDAZINE/
- 30 SULPIRIDE/
- 31 RISPERIDONE/
- 32 FUMARATES/
- 33 or/15-32
- 34 14 and 33
- 35 exp Psychotic Disorders/
- 36 Psychoses.ti,ab.
- 37 Psychosis.ti,ab.
- 38 exp VIOLENCE/

39 exp HOSTILITY/  
40 exp Irritable Mood/  
41 exp Impulsive Behavior/  
42 exp Paranoid Behavior/  
43 Agitat\*.ti,ab.  
44 aggress\*.ti,ab.  
45 violen\*.ti,ab.  
46 impuls\*.ti,ab.  
47 irritabl\*.ti,ab.  
48 hostil\*.ti,ab.  
49 anger.ti,ab.  
50 angry.ti,ab.  
51 anti-social.ti,ab.  
52 impuls\*.ti,ab.  
53 Restless\*.ti,ab.  
54 or/35-53  
55 34 and 54  
56 randomized controlled trial.pt.  
57 controlled clinical trial.pt.  
58 randomized.ab.  
59 placebo.ab.  
60 drug therapy.fs.  
61 randomly.ab.  
62 trial.ab.  
63 groups.ab.  
64 or/56-63  
65 exp animals/ not humans.sh.  
66 64 not 65  
67 55 and 66

## **CONTRIBUTIONS OF AUTHORS**

VM: developing the main concept, reviewing relevant literature, and drafting the protocol.

HL: developing the main concept, reviewing relevant literature, and drafting the protocol.

MND: reviewing relevant literature and drafting the protocol.

RM: reviewing relevant literature and drafting the protocol.

SUZ: reviewing relevant literature and drafting the protocol.

SK: developing the main concept, reviewing relevant literature, and drafting the protocol.

## **DECLARATIONS OF INTEREST**

VM: none known

HL: none known

MND: none known

RM: none known

SUZ: none known

SK: none known

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