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## Error-prone replication through UV lesions by DNA polymerase $\theta$ protects against skin cancers

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### SUMMARY

Cancers from sun-exposed skin accumulate “driver” mutations, causally implicated in oncogenesis. Since errors incorporated during translesion synthesis (TLS) opposite UV lesions would generate these mutations, TLS mechanisms are presumed to underlie cancer development. To address the role of TLS in skin cancer formation, we determined which DNA polymerase is responsible for generating UV mutations, analyzed the relative contributions of error-free TLS by Pol $\eta$  and error-prone TLS by Pol $\theta$  to the replication of UV damaged DNA and to genome stability, and examined the incidence of UV induced skin cancers in Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\theta^{-/-}$  Pol $\eta^{-/-}$  mice. Our findings that the incidence of skin cancers rises in Pol $\theta^{-/-}$  mice and is further exacerbated in Pol $\theta^{-/-}$  Pol $\eta^{-/-}$  mice than in Pol $\eta^{-/-}$  mice support the conclusion that error-prone TLS by Pol $\theta$  provides a safeguard against tumorigenesis and suggest that cancer formation can ensue in the absence of somatic point mutations.

### Graphical Abstract

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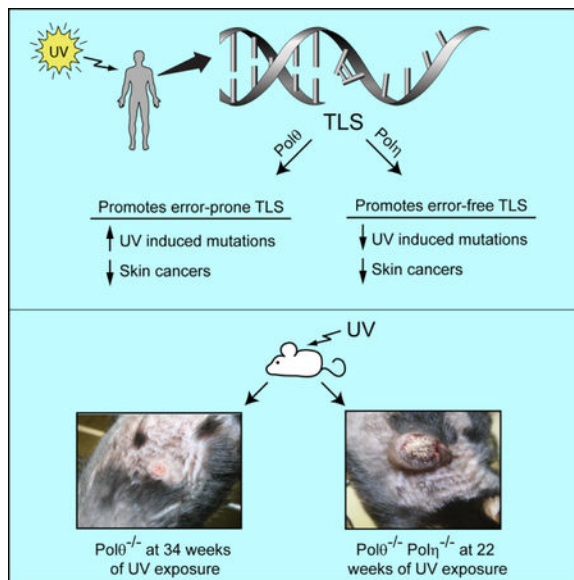
#### AUTHOR CONTRIBUTIONS

J.H.Y. performed the experiments and analyzed the data; M.J.M performed histopathological examination of tumors; J.P. performed UV irradiation of mice and carried out other experiments; D.B. performed biochemical studies; M.W. generated mutant mice; L.P. and S.P. designed and coordinated the study; J.H.Y., L.P., and S.P. wrote the paper.

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#### DECLARATION OF INTERESTS

The authors declare no competing interests.



## In Brief

Both error-free TLS by DNA polymerase  $\eta$  and error-prone TLS by DNA polymerase  $\theta$  through UV lesions protect against replication stress induced chromosomal instability and prevent skin cancer formation.

## Keywords

UV signature mutations; error-prone translesion synthesis; DNA polymerase  $\theta$ ; DNA polymerase  $\eta$ ; replication stress; genomic rearrangements; skin cancers

## INTRODUCTION

The UV component of sunlight is a major epidemiological risk factor for skin cancers that include basal cell carcinoma (BCC), squamous cell carcinoma (SCC), and melanoma (Glass and Hoover, 1989; Magnus, 1991; Oikarinen and Raitio, 2000). UV induces covalent links between two adjacent pyrimidines and causes the formation of two major types of photoproducts, *cis-syn* cyclobutane pyrimidine dimers (CPDs) and (6–4) pyrimidine-pyrimidone photoproducts [(6–4) PPs]. Because of their higher level of induction and slower rate of repair, CPDs constitute a much greater proportion of pro-mutagenic lesion than (6–4) PPs and it has been estimated that CPDs account for ~ 80% of UVB induced mutations in mammalian cells (Pfeifer, 1997; Yoon et al., 2000; You et al., 2001). The incidence of UV induced mutations is highly elevated in nucleotide excision repair (NER) defective xeroderma pigmentosum (XP) cells and DNA Polymerase (Pol)  $\eta$  defective XP variant (XPV) cells also exhibit high UV mutability (Friedberg et al., 2005). Since the incidence of UV induced mutations and UV induced skin cancers rises in the absence of NER, and also in the absence of Pol $\eta$ , which replicates through CPDs in a predominantly error-free manner in human cells (Yoon et al., 2009), the elevation in mutation frequency resulting from error-prone replication through UV lesions is thought to underlie skin cancers in XP and XPV

patients. The evidence that “driver” mutations which confer selective clonal growth advantage accumulate in skin cancers (Durinck et al., 2011; Jayaraman et al., 2014; Martincorena et al., 2017; Pickering et al., 2014) is also compatible with a causal relationship between translesion synthesis (TLS) mechanisms which incorporate errors during replication and tumorigenesis.

To determine the contribution of error-prone TLS to skin cancer formation, we sought to identify the DNA polymerase responsible for generating UV-induced mutations. Here we show that Pol $\theta$  is indispensable for mutagenic replication through CPDs and it is also essential for mutagenic TLS opposite (6–4) PPs. Since Pol $\theta$  deficiency abolishes UV induced mutations, we generated Pol $\theta^{-/-}$  mice and examined their susceptibility to UV induced skin cancers. Contrary to the expectation of a reduction in tumorigenesis, we find that the susceptibility to UV induced skin cancers is elevated in Pol $\theta^{-/-}$  mice and it is further exacerbated in Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  mice; thus, error-prone TLS protects against skin cancer formation.

To evaluate how TLS mechanisms might prevent cancer formation, we analyzed the contributions of error-free TLS by Pol $\eta$  and error-prone TLS by Pol $\theta$  to the replication of UV damaged DNA and to the prevention of genome instability. Our data indicate that by preventing the collapse of replication forks (RFs) stalled at DNA lesions, both error-free and error-prone TLS mechanisms act as potent inhibitors of DNA double strand break (DSB) formation and of the ensuing increase in genomic rearrangements; thereby, TLS mechanisms provide an effective barrier to chromosomal instability and tumorigenesis.

## RESULTS

### Requirement of Pol $\theta$ for TLS Opposite UV Lesions in Human Cells

The SV40-based duplex plasmid system, in which bidirectional replication initiates from an origin of replication, provides a convenient and highly reliable means for analyzing the genetic control of TLS opposite a DNA lesion carried on the leading or the lagging strand DNA template. In this system, the frequency of blue colonies among the total *Kan<sup>r</sup>* colonies provides a highly repeatable measure of TLS frequency (Yoon et al., 2009). Previously, we have provided evidence that TLS through a *cis-syn* TT dimer occurs *via* Pol $\eta$ -dependent pathway which acts in an error-free manner, and *via* two other pathways, which depend respectively upon Pol $\kappa$  and Pol $\zeta$  and act in an error-prone manner (Yoon et al., 2009) (Figure S1A). By contrast to Pol $\eta$ , which can proficiently incorporate a nt opposite the 3'T and 5'T of the *cis-syn* dimer (Biertumpfel et al., 2010; Johnson et al., 1999a; Johnson et al., 1999b; Masutani et al., 1999; Silverstein et al., 2010) Pols  $\kappa$  and  $\zeta$  lack the ability to incorporate a nt opposite the 3'T of the *cis-syn* TT dimer, but they can insert a nt opposite the 5'T of the dimer and extend further synthesis (Johnson et al., 2000; Vasquez-Del Carprio et al., 2011; Washington et al., 2002). Hence, we had proposed that another Pol(s) would insert a nt opposite the 3'T of the *cis-syn* TT dimer from which Pol $\kappa$  or Pol $\zeta$  would extend synthesis (Yoon et al., 2009). Here we provide evidence that Pol $\theta$  plays such a role for both Pol $\kappa$  and Pol $\zeta$ . As shown in Table 1, TLS opposite a *cis-syn* TT dimer present on the leading strand template in NER defective XPA cells treated with control siRNA, occurs with a frequency of ~41%, and it declines to ~18% in Pol $\eta$  depleted cells. Depletion of Pol $\kappa$  or

Pol $\zeta$  catalytic subunit Rev3 reduces TLS to ~31%, and co-depletion of Pol $\kappa$  and Pol $\zeta$  reduces TLS to ~23%. Depletion of Pol $\theta$  also reduced TLS frequency to ~23% and co-depletion of Pol $\theta$  with Pol $\kappa$  or with Rev3 caused no further reduction in TLS. In striking contrast, co-depletion of Pol $\theta$  with Pol $\eta$  resulted in a drastic reduction in TLS frequency to ~5%. These results indicating epistasis of Pol $\theta$  over Pol $\kappa$  or Pol $\zeta$  and synergism of Pol $\theta$  with Pol $\eta$  imply that Pol $\theta$  functions together with Pol $\kappa$  or Pol $\zeta$  and that Pol $\theta$  and Pol $\eta$  promote TLS opposite a *cis-syn* TT dimer *via* alternative pathways. Further, the observation that TLS in Pol $\theta$  depleted XPV cells is reduced to ~3% adds to the evidence that Pol $\eta$  and Pol $\theta$  function in alternate pathways for TLS opposite CPDs (Table S1 and Figure S1A). We also verified this conclusion for TLS opposite a *cis-syn* TT dimer present on the lagging strand template (Table 1 and Table S1).

Previously, we have shown that TLS opposite a (6–4) TT PP occurs *via* two alternative error-prone pathways, dependent respectively upon Pol $\eta$  and Pol $\iota$ , and *via* an error-free Pol $\zeta$ -dependent pathway (Yoon et al., 2010b). Since Pol $\eta$  and Pol $\iota$  can insert a nt opposite the 3'T of the (6–4) TT PP but fail to extend synthesis (Johnson et al., 2001; Johnson et al., 2000), we had proposed that another TLS polymerase would act together with Pol $\eta$  and Pol $\iota$  to carry out such a role. Here we provide evidence that Pol $\theta$  functions together with Pol $\eta$  and Pol $\iota$  in TLS opposite a (6–4) TT PP. As we showed previously (Yoon et al., 2010b), TLS opposite a (6–4) TT 5 PP present on the leading strand template of the plasmid occurs with a frequency of ~37% in XPA cells treated with control siRNA, and TLS is reduced to ~18% in Rev3 (Pol $\zeta$ )-depleted cells (Table 1). Even though TLS frequency is not affected in cells depleted for Pol $\eta$  or Pol $\iota$ , it declines to ~27% in cells co-depleted for Pol $\eta$  and Pol $\iota$ , consistent with their role in alternate TLS pathways. Importantly, TLS in Pol $\theta$ -depleted XPA cells is reduced to the same level (~27%) as in cells co-depleted for Pol $\eta$  and Pol $\iota$ , and co-depletion of Pol $\theta$  with Rev3 results in a drastic reduction in TLS to ~5%. These results (Table 1) and the TLS analyses in XPV cells (Table S1) provide evidence that Pol $\theta$  functions together with Pol $\eta$  and Pol $\iota$  and that Pol $\theta$  and Pol $\zeta$  provide alternative pathways for replication through a (6–4) TT PP (Figure S1B).

### Pol $\theta$ is Indispensable for UV Induced Mutations

The requirement of Pol $\theta$  for Pol $\kappa$  and Pol $\zeta$ -dependent error-prone TLS opposite a *cis-syn* TT dimer (Figure S1A) and for Pol $\eta$  and Pol $\iota$ -dependent error-prone TLS opposite a (6–4) TT PP (Figure S1B) predicted that mutagenic TLS opposite both these UV lesions will be absent in Pol $\theta$ -depleted cells. Accordingly, we did not find any evidence of mutations among TLS products generated from replication of plasmid carrying a *cis-syn* TT dimer or a (6–4) TT photoproduct on the leading or the lagging strand DNA template (Table S2).

Since mutagenic TLS opposite both the UV lesions occurs with a low frequency (~2%) (Yoon et al., 2009; Yoon et al., 2010b) (Table S2), and since these mutational analyses examine TLS only opposite a *cis-syn* TT dimer or a (6–4) TT PP, we examined the effects of Pol $\theta$  depletion on mutations resulting from TLS opposite CPDs and (6–4) PPs formed at the various TT, TC, and CC dipyrimidine sites in the *cII* gene that has been integrated into the genome of big blue mouse embryonic fibroblasts (BBMEFs). In response to UV and other DNA damaging treatments, the *cII* gene exhibits mutational responses similar to those

observed with endogenous chromosomal genes (Besaratnia and Pfeifer, 2006; You et al., 2001; You and Pfeifer, 2001). To examine UV mutations resulting from TLS opposite CPDs, the (6–4) PPs are selectively removed from the genome by expressing a (6–4) PP photolyase gene in the BBMEF cell line (You et al., 2001) and the effects of siRNA depletions analyzed. In unirradiated cells treated with control siRNA, spontaneous mutations in the *cII* gene occur at a frequency of  $\sim 18 \times 10^{-5}$ , and this frequency rises to  $\sim 45 \times 10^{-5}$  in UV irradiated ( $5 \text{ J/m}^2$ ) mouse cells exposed to photoreactivating light to activate (6–4) PP removal by (6–4) PP photolyase (Table 2). Thus, the additional increase in mutational frequency of  $\sim 27 \times 10^{-5}$  CPDs formed at various dipyrimidine sites in the *cII* gene. results from mutagenic TLS opposite As expected from the requirement of Pol $\theta$  for Pol $\kappa$  and Pol $\zeta$ -dependent error-prone TLS opposite CPDs, Pol $\theta$  depletion reduced UV induced mutation frequency to a level similar to that in unirradiated cells; furthermore, the highly elevated UV induced mutation frequency in Pol $\eta$  depleted cells ( $\sim 83 \times 10^{-5}$ ) was reduced to  $\sim 16 \times 10^{-5}$  in cells co-depleted for Pol $\eta$  and Pol $\theta$ , a level similar to that in unirradiated cells (Table 2). Thus, Pol $\theta$  is essential for the generation of UV induced mutations opposite CPDs.

To examine UV mutagenesis resulting from TLS opposite (6–4) PPs formed at various dipyrimidine sites, the CPDs were selectively removed from the genome by expressing a CPD photolyase gene in BBMEF cells (You et al., 2001). In this cell line, spontaneous mutations occur at a frequency of  $\sim 17 \times 10^{-5}$ , and this mutation frequency rises to  $\sim 29 \times 10^{-5}$  in UV irradiated ( $5 \text{ J/m}^2$ ) cells exposed to photoreactivating light to activate CPD photolyase. In Pol $\theta$  depleted cells, the elevation in mutation frequency resulting from mutagenic TLS opposite (6–4) PPs is reduced to a level similar to that in unirradiated cells (Table 2), thus indicating the indispensability of Pol $\theta$  for UV induced mutations opposite (6–4) PPs.

The requirement of Pol $\theta$  for mutagenic TLS opposite CPDs and (6–4) PPs implies that in the absence of any photolyase, Pol $\theta$  depletion would inhibit the formation of UV induced mutations that would have resulted from error-prone TLS opposite CPDs and (6–4) PPs. To verify this, we analyzed mutation frequencies in the *cII* gene in a BBMEF cell line which expresses no photolyase. In this cell line, spontaneous mutations occur at a frequency of  $\sim 16 \times 10^{-5}$ , and mutation frequency rises to  $\sim 55 \times 10^{-5}$  in UV irradiated cells. Pol $\theta$  depletion reduced UV induced mutation frequency to  $\sim 17 \times 10^{-5}$ , and the highly elevated UV induced mutation frequency in Pol $\eta$  depleted cells was strongly inhibited in cells co-depleted for Pol $\eta$  and Pol $\theta$  (Table 2).

UV induced C>T and CC>TT signature mutations resulting from TLS opposite CPDs and (6–4) PPs are clustered at particular hot spots in the *cII* gene. Mutagenic replication through CPDs generates mutational hot spots located at 11 dipyrimidine sequences, #1 to #11 (Yoon et al., 2009), whereas mutagenic TLS through (6–4) PPs generates mutational hot spots which occur primarily at the sequences labeled #3, #10, and #11 (Yoon et al., 2010b) (Figure S1C). The pattern of UV induced hot spot mutations in the *cII* gene in BBMEF cells treated with control siRNA and lacking any photolyase results from error-prone TLS opposite CPDs and (6–4) PPs, and as expected from the requirement of Pol $\theta$  for mutagenic TLS opposite both the UV lesions, these mutational hot spots are absent in Pol $\theta$  depleted cells (Figure S1C).

An earlier study reported a reduction in UV mutagenesis in Pol $\theta$  depleted 293T cells (Ceccaldi et al., 2015). However, 293T cells differ strikingly from normal cells in that they exhibit cancer stem cell features (Debeb et al., 2010). In 293T cells, NER becomes highly deranged: it uses different combinations of proteins than are used in highly conserved NER, it occurs *via* alternative pathways, and rather than act in an error free manner, these NER pathways act in an error prone manner (J-H Yoon, L Prakash, S Prakash, unpublished data). One of these NER pathways requires XPA, Pol $\theta$ , and additional proteins. UV induced mutation frequency is reduced to the same level in 293T cells depleted for XPA, Pol $\theta$ , or for both XPA and Pol $\theta$  (Figure S1D). The epistasis of Pol $\theta$  with XPA is indicative of a role for Pol $\theta$  in the aberrant XPA dependent NER pathway. This Pol $\theta$  role in 293T cells has no biological relevance for the role of Pol $\theta$  in error prone TLS we describe in this study and which occurs during DNA replication when RFs stall opposite UV lesions in normal cells.

### The C-terminal Polymerase Domain is Sufficient for Pol $\theta$ Function in TLS Opposite UV Lesions in Human Cells

Human Pol $\theta$  is a 290 kDa protein comprised of an N-terminal ATPase/helicase domain, a large central domain, and a C-terminal polymerase domain (Figure S2A) that shares high similarity with A-family DNA Pols such as *Escherichia coli* polI (Seki et al., 2003). Previously, we showed that the C-terminal polymerase domain of Pol $\theta$  comprised of residues 1708–2590 was sufficient for its role in TLS opposite the oxidative lesion thymine glycol (TG) in human cells (Yoon et al., 2014).

To determine whether the C-terminal polymerase domain is sufficient for TLS opposite UV lesions, we expressed Pol $\theta$  (1708–2590) in normal human fibroblasts (HFs) (Figure S2A) which harbor the duplex plasmid containing a *cis-syn* TT dimer or a (6–4) TT PP on the leading strand template. In these cells treated with control siRNA, TLS opposite a *cis-syn* TT dimer occurs at a frequency of ~21%, irrespective of whether the cells carry just the vector plasmid with no Pol $\theta$  or express the C-terminal Pol $\theta$  polymerase domain (Table S3). However, in cells treated with Pol $\theta$  siRNA and carrying the vector control, TLS is reduced to ~11% and TLS is restored to normal levels in cells expressing WT Pol $\theta$  (1708–2590). Expression of WT Pol $\theta$  (1708–2590) also restored normal TLS levels opposite (6–4) TT PP in cells treated with Pol $\theta$  siRNA (Table S3). Thus, the N-terminal helicase and the central domains are dispensable and the C-terminal polymerase domain is sufficient for Pol $\theta$  function in TLS opposite UV lesions.

To establish that Pol $\theta$  DNA synthesis function was required for TLS opposite UV lesions, we examined TLS in human cells that express the Pol $\theta$  (1708–2590) D2540A, E2541A mutant protein defective in DNA synthesis (Figure S2A). In human cells from which genomic Pol $\theta$  has been depleted and that express catalytically inactive Pol $\theta$  (1708–2590), TLS opposite both the UV lesions is reduced to the same level as in cells that carry the control vector (Table S3), thus indicating the requirement for Pol $\theta$  DNA polymerase activity in the replication of UV damaged cells.

## PCNA Ubiquitination Promotes the Assembly of Pol $\theta$ (1708–2590) into Foci in UV Damaged Human Cells

The observation that Pol $\theta$  (1708–2590) containing only the polymerase domain is sufficient for TLS opposite UV lesions in human cells implies that this Pol $\theta$  protein is targeted to replication forks (RFs) stalled at UV lesions. To examine this, we expressed GFP-Pol $\theta$  (1708–2590) in HFs and analyzed the frequency of GFP-Pol $\theta$  (1708–2590) foci-containing cells. Whereas ~20% of unirradiated cells contain GFP-Pol $\theta$  (1708–2590) foci, the frequency of cells containing these Pol $\theta$  foci rises to ~50% in UV irradiated cells, and it rises to ~60% upon Pol $\eta$  depletion (Figures S2C,D). Similar to the requirement of Rad6-Rad18 mediated PCNA ubiquitination for the UV induced accumulation of Pol $\eta$ , Pol $\kappa$ , and Pol $\zeta$  into foci (Yoon et al., 2015), UV induced assembly of GFP-Pol $\theta$  (1708–2590) into foci does not occur in Rad18 depleted cells (Figure S2D). Moreover, in chromatin fraction isolated from UV irradiated HFs, Pol $\theta$  (1708–2590) co-immunoprecipitates with ub-PCNA and Rad18 (Figure S2B). Pol $\theta$  (1708–2590) could bind to ub-PCNA *via* the PCNA binding PIP motif Q N L F Q T F I (conserved residues underlined) present between residues 1836–1843.

## Biochemical Analysis of Pol $\theta$ Role in TLS Opposite a *cis-syn* TT Dimer and a (6–4) TT PP

Previously, biochemical studies carried out with full length Pol $\theta$  have indicated that it lacks the ability to insert a nt opposite the 3'T of the *cis-syn* TT dimer (Seki et al., 2004) but it could carry out extension of synthesis from an A opposite the 3'T of a (6–4) TT PP (Seki and Wood, 2007). Since the C-terminal polymerase domain is sufficient for the TLS function of Pol $\theta$  in human cells (Table S3) and Pol $\theta$  containing only this domain assembles into foci and co-immunoprecipitates with ub-PCNA and Rad18 (Figure S2), we examined purified Pol $\theta$  (1708–2590) for its ability to insert dATP, dTTP, dGTP, or dCTP opposite the 3'T of a *cis-syn* TT dimer and to replicate DNA through the lesion in the presence of 4 dNTPS (Figure S3A). We find that Pol $\theta$  inserts dATP or dGTP opposite the 3'T of the *cis-syn* TT dimer, and in the presence of 4 dNTPs, it fails to extend synthesis any further. On undamaged DNA, Pol $\theta$  inserts dATP opposite the corresponding 3'T as well as opposite the next 3–4 template residues, and it also inserts dTTP or dGTP opposite the 3'T but to a lesser extent. Opposite (6–4) TT PP, Pol $\theta$  (1708–2590) extends synthesis from the 3'T•A base pair (Figure S3B). Pol $\theta$  exhibits a high error-proneness when inserting nts opposite the 5'T of (6–4) TT PP as well as opposite the corresponding 5'T in undamaged DNA.

## Pol $\theta$ Promotes Replication Fork (RF) Progression in UV Damaged Human Cells

To investigate the contribution of Pol $\theta$  dependent mutagenic TLS to replication of UV damaged DNA, we monitored RF progression on single DNA fibers. siRNA treated HFs were pulse labeled with iododeoxyuridine (IdU) for 20 min, then irradiated with UV light (10 J/m<sup>2</sup>) followed by labeling with chlorodeoxyuridine (CldU) for 30 min. Compared to HFs treated with control siRNA, Pol $\theta$  depletion conferred a reduction in CldU incorporation relative to IdU incorporation; Pol $\eta$  depletion, however, conferred a greater reduction in fork progression than Pol $\theta$  depletion, and co-depletion of both Pol $\eta$  and Pol $\theta$  resulted in a further additive reduction in fork progression in UV damaged cells (Figure 1A-C).

As expected from the more significant role of Pol $\eta$  in replication of UV damaged DNA than Pol $\theta$ , UV survival was reduced to a greater extent in HFs depleted for Pol $\eta$  than for Pol $\theta$  and co-depletion of Pol $\eta$  with Pol $\theta$  led to a further reduction in UV survival (Figure 1D).

### **Translesion Synthesis Opposite UV Lesions, Replication Fork Progression through UV Lesions, and UV Survival in Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\eta^{-/-}$ Pol $\theta^{-/-}$ MEFs**

Before analyzing the contribution of Pol $\theta$  dependent mutagenic TLS to UV induced skin cancers, we confirmed that the results of siRNA depletions of Pol $\theta$  and Pol $\eta$  in HFs were recapitulated in Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs (Figure 2). Similar to that in HFs, TLS opposite a *cis*-syn TT dimer and a (6–4) TT photoproduct was reduced by ~50% in Pol $\theta^{-/-}$  MEFs (Figure 2B). Compared to WT MEFs, RF progression through UV lesions was reduced by ~23% in Pol $\theta^{-/-}$  MEFs, ~36% in Pol $\eta^{-/-}$  MEFs, and ~54% in Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs (Figure 2C). The rate of RF progression was not affected significantly in unirradiated Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs. (Figure 2D). Pol $\theta^{-/-}$  MEFs exhibited a very significant reduction in UV survival, but UV survival was reduced to a greater extent in Pol $\eta^{-/-}$  MEFs than in Pol $\theta^{-/-}$  MEFs, and a further reduction in UV survival occurred in Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs over that in Pol $\eta^{-/-}$  MEFs (Figure 2E).

### **DSB Formation Increases in Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\eta^{-/-}$ Pol $\theta^{-/-}$ MEFs**

Since impairment of RF progression through UV lesions would generate regions of unreplacated ssDNA, we carried out experiments to determine whether the extent of ssDNA accumulation corresponds to the RF progression defect engendered by inactivation of the respective Pol. The generation of ssDNA was detected by BrdU immunoassay carried out under non-denaturing conditions (Rubbi and Milner, 2001). The increase in the level of ssDNA in UV irradiated Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs (Figure 3A) corresponds to the level of RF progression defect in mutant MEFs (Figure 2C). A significant increase in ssDNA was also observed in non-UV irradiated Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs but not in Pol $\theta^{-/-}$  or Pol $\eta^{-/-}$  MEFs (Figure 3A).

Since unreplacated ssDNA could become subject to endonucleolytic cleavage, we reasoned that the level of DSBs would rise in UV irradiated Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs. To assess this, we quantified DSB formation in UV irradiated MEFs by neutral comet assay (Figure 3B). As indicated by the percentage of DNA in tail, which is linearly related to break frequency (Collins et al., 2008; Gyori et al., 2014), Pol $\eta^{-/-}$  MEFs exhibited a greater increase in DSBs than Pol $\theta^{-/-}$  MEFs, and the level of DSBs was higher in Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs than in Pol $\eta^{-/-}$  MEFs. A significant increase in the level of DSBs was also observed in non-UV irradiated Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs (Figure 3B).

### **Sister Chromatid Exchanges (SCEs) and Chromosomal Aberrations are Elevated in Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\eta^{-/-}$ Pol $\theta^{-/-}$ MEFs**

Our observations indicating that impairment of TLS results in unreplacated regions of ssDNA and leads to DSB formation suggested that the one-ended DSBs thus generated could be repaired by homologous recombination (HR) when the opposing RF reaches the break site and the fully replicated unbroken sister chromatid is used for repairing the DSB by HR. Since SCEs are formed as cross-over products of HR, we examined the frequency of



SCEs in UV irradiated ( $2 \text{ J/m}^2$ )  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. Compared to that in WT MEFs, a highly significant increase in SCEs occurs in  $\text{Pol}\theta^{-/-}$  MEFs; SCE frequency is elevated almost 2-fold in  $\text{Pol}\eta^{-/-}$  MEFs over that in  $\text{Pol}\theta^{-/-}$  MEFs and a further rise in SCEs occurs in  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs over that in  $\text{Pol}\eta^{-/-}$  MEFs (Figure 4B).

The very significant increase in ssDNA and DSBs observed in non-UV irradiated  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs (Figure 3) suggested a role for these Pols in the rescue of stalled RFs in undamaged cells. To further explore this possibility, we examined the frequency of SCEs in non-UV irradiated  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. Our data show that a significant increase in SCEs occurs in  $\text{Pol}\theta^{-/-}$  MEFs, SCEs occur at a higher frequency in  $\text{Pol}\eta^{-/-}$  MEFs than in  $\text{Pol}\theta^{-/-}$  MEFs, and SCEs rise further in  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs over that in  $\text{Pol}\eta^{-/-}$  MEFs (Figure 4A). In cells not exposed to extraneous DNA damaging agents, these Pols may conduct replication through DNA lesions that derive from endogenous cellular reactions and they may play additional roles in the rescue of stalled RFs.

Next, we analyzed the frequency of chromosomal aberrations in UV damaged and undamaged  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. In response to UV irradiation, chromosomal aberrations rise in  $\text{Pol}\theta^{-/-}$  and  $\text{Pol}\eta^{-/-}$  MEFs and a more than additive increase in chromosomal aberrations occurs in  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs (Figure 4D). Chromatid breaks are the most prevalent lesion in  $\text{Pol}\theta^{-/-}$  or  $\text{Pol}\eta^{-/-}$  MEFs, whereas the prevalence of both chromatid breaks and radial structures increases in  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. The frequency of chromosomal aberrations also rises significantly in non-UV irradiated  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs and chromatid breaks are the predominant lesion (Figure 4C).

### Complementation of TLS and Associated Defects in $\text{Pol}\theta^{-/-}$ MEFs by the C-terminal $\text{Pol}\theta$ Polymerase Domain

Since both the DNA helicase and DNA polymerase domains of  $\text{Pol}\theta$  have been deleted in  $\text{Pol}\theta^{-/-}$  MEFs (Figure 2A), we verified that the TLS and TLS-associated defects in  $\text{Pol}\theta^{-/-}$  MEFs accrue from the lack of polymerase function and not from the lack of helicase function. To this end, we expressed the C-terminal polymerase domain (1708–2590) of human  $\text{Pol}\theta$  in  $\text{Pol}\theta^{-/-}$  MEFs (Figure S4A) and analyzed its effect on TLS and other associated defects.  $\text{Pol}\theta$  (1708–2590) complemented the TLS deficiency opposite both the UV lesions (Figure S4B), restored wild type level of RF progression in UV damaged cells (Figure S4C), reduced SCEs and chromosomal aberrations in UV damaged as well as undamaged MEFs (Figure S4D-F), and restored UV resistance (Figure S4G). Thus, all the TLS and TLS-associated defects in  $\text{Pol}\theta^{-/-}$  MEFs derive from the lack of C-terminal polymerase domain and not from the lack of its N-terminal helicase domain. Since in addition to the N-terminal domain, most of the central domain is also missing in  $\text{Pol}\theta^{-/-}$  MEFs carrying  $\text{Pol}\theta$ (1708–2590) (Figure S2A), both these domains are dispensable for  $\text{Pol}\theta$  function in TLS.

Using the DR-GFP assay, which consists of direct repeats of mutated GFP genes integrated close-by on the same chromosome and in which one of the repeats is targeted for DSB formation by the I-SceI endonuclease (Moynahan and Jasin, 2010), a role for  $\text{Pol}\theta$  N-

terminal helicase domain in the inhibition of Rad51-ssDNA nucleofilament assembly and suppression of HR has been indicated (Ceccaldi et al., 2015). Our observation that the increase in SCEs in unirradiated or UV irradiated Polθ<sup>-/-</sup> MEFs is reduced to WT levels by the introduction of the Polθ (1708–2590) C-terminal polymerase domain (Figure S4D,E), however, indicates that the increased levels of SCEs in Polθ<sup>-/-</sup> MEFs result from the lack of Polθ C-terminal polymerase domain and not from the lack of its N-terminal helicase domain. Furthermore, our results that SCEs are reduced in Rad51 depleted undamaged or UV damaged Polθ<sup>-/-</sup> MEFs which either lack or express the Polθ C-terminal polymerase domain (Figure S4D,E) imply that SCEs in Polθ<sup>-/-</sup> MEFs arise from a Rad51-dependent pathway. Taken together, our data show that the increase in Rad51-dependent SCEs in Polθ<sup>-/-</sup> MEFs results from the lack of Polθ C-terminal polymerase domain.

The different effects of Polθ N-terminal helicase domain and C-terminal polymerase domain in HR as visualized by SCE formation in Polθ<sup>-/-</sup> MEFs or as determined by the analyses of a DSB generated by the I-SceI endonuclease can be reconciled by the fact that the I-SceI endonuclease initiated HR of DR-GFP would involve a two-ended DSB whereas SCEs arising from RF collapse in Polθ<sup>-/-</sup> MEFs would result from one-ended DSBs. Thus, while the Polθ N-terminal helicase domain functions in inhibiting Rad51-dependent HR of two-ended DSBs, it plays no such suppressive role in HR of one-ended DSBs, which would arise in cells under replication stress generated by UV lesions or by lesions in unirradiated cells. Since the rescue of stalled RFs by Polθ polymerase function prevents the formation of one-ended DSBs and the consequent generation of SCEs by HR, Polθ polymerase domain effects the suppression of HR generated by fork collapse in UV damaged or in undamaged cells.

### **Polθ<sup>-/-</sup> Mice are Prone to UV Induced Skin Cancers**

To determine the impact of error-prone TLS on tumorigenesis, we UV irradiated (2 KJ/m<sup>2</sup> UVB, 3 times/week) a cohort of wild type, Polθ<sup>+/-</sup>, and Polθ<sup>-/-</sup> mice and monitored them for skin tumor development on UV exposed dorsal skin. At ~45 weeks of UV exposure, 20% (4/20) of wild type mice had developed skin lesions that exhibited small focal epidermal hyperplasia, or non-invasive SCC with cellular atypia (Figure 5B,5E). Among Polθ<sup>+/-</sup> heterozygotes, by ~45 weeks of UV irradiation ~30% (6/21) of mice had developed skin lesions that included ulcerative dermatitis, hyperplasia, papilloma, or SCC (Figure 5B, 5E). Polθ<sup>-/-</sup> mice were significantly more prone to skin cancers than Polθ<sup>+/-</sup> mice as ~75% of Polθ<sup>-/-</sup> mice had developed skin tumors by ~40 weeks and all of the Polθ<sup>-/-</sup> mice had skin tumors by ~45 weeks (Figure 5B). Almost all the tumors from Polθ<sup>-/-</sup> mice were SCCs and a majority of them were invasive deep into the muscular layer. Combinations of SCCs and carcinomas or carcinomas alone were infrequent in tumors from Polθ<sup>-/-</sup> mice (Figures 5E, S5, and S6). The evidence that the incidence of skin cancers rises in Polθ<sup>-/-</sup> mice shows that error-prone TLS by Polθ suppresses skin cancer formation and implies that skin cancers can form in the absence of UV induced driver mutations.

### **Polθ Deficiency Exacerbates the Susceptibility of Polη<sup>-/-</sup> Mice to UV Induced Skin Cancers**

To examine the relative effectiveness of error-free and error-prone TLS in suppression of tumorigenesis, we first compared the susceptibility of wild type, Polη<sup>+/-</sup> and Polη<sup>-/-</sup> mice to UV induced skin cancers. None of the wild type or Polη<sup>+/-</sup> mice showed evidence of skin

tumors by 35 weeks of UV exposure when the experiment was terminated. Tumors developed much earlier in Pol $\eta$ <sup>-/-</sup> mice than in Pol $\theta$ <sup>-/-</sup> mice; 50% of Pol $\eta$ <sup>-/-</sup> mice had skin tumors by ~20 weeks of UV exposure and all of the Pol $\eta$ <sup>-/-</sup> experimental mice had skin tumors by ~28 weeks of UV exposure (Figure 5C). Among the tumors from Pol $\eta$ <sup>-/-</sup> experimental mice, we identified SCCs, a combination of carcinomas with SCCs, and carcinomas (Figure 5F, Figure S6). SCCs were the most frequent UV induced tumors identified in a previous study with Pol $\eta$ <sup>-/-</sup> mice (Lin et al., 2006).

To gain further understanding of Pol $\theta$ 's role in cancer suppression, we examined the susceptibility of Pol $\eta$ <sup>-/-</sup> Pol $\theta$ <sup>-/-</sup> mice to UV induced skin cancers. Pol $\theta$  deficiency exacerbated the susceptibility of Pol $\eta$ <sup>-/-</sup> mice to UV induced skin cancers, as all the 34 experimental Pol $\eta$ <sup>-/-</sup> Pol $\theta$ <sup>-/-</sup> mice had developed skin cancers by 20 weeks of UV exposure (Figure 5D). Tumors from Pol $\eta$ <sup>-/-</sup> Pol $\theta$ <sup>-/-</sup> mice exhibited increased invasive tendency, were more poorly differentiated, and they presented multiple SCCs, carcinomas, or a combination of carcinomas and SCCs (Figures 5F and S6).

## DISCUSSION

### **Pol $\eta$ Makes a More Significant Contribution to the Replication of UV Damaged DNA and is a More Effective Barrier to UV Induced Skin Cancers than Pol $\theta$**

Pol $\eta$  is highly adapted for replicating through CPDs and our observation that UV induced mutations opposite CPDs are strongly inhibited in Pol $\theta$  depleted MEFs (Table 2) documents the remarkable proficiency of Pol $\eta$  for error-free replication through this UV lesion. In addition, our evidence indicates that Pol $\eta$  plays a more significant role in the replication of UV damaged DNA than Pol $\theta$ . Both in HFs and MEFs, Pol $\eta$  deficiency impedes replication of UV damaged DNA to a greater extent than Pol $\theta$  deficiency, and UV survival is affected more adversely in Pol $\eta$  deficient cells than in Pol $\theta$  deficient cells (Figures 1 and 2). In UV damaged MEFs, Pol $\eta$  mediated TLS protects RFs from collapse and the consequent formation of DSBs to a greater extent than Pol $\theta$  dependent TLS (Figure 3B), and the much greater increase in SCEs in UV damaged Pol $\eta$ <sup>-/-</sup> MEFs than in Pol $\theta$ <sup>-/-</sup> MEFs (Figure 4B) also points to a more prominent role of Pol $\eta$  in the replication of UV damaged DNA than Pol $\theta$ . Furthermore, our data indicating that cancers arise much sooner in Pol $\eta$ <sup>-/-</sup> mice than in Pol $\theta$ <sup>-/-</sup> mice (Figure 5D) provide strong evidence that Pol $\eta$  makes a much greater contribution to prevention of UV induced skin cancers than Pol $\theta$ .

### **Error prone TLS by Pol $\theta$ Protects Against UV Induced Skin Cancers**

Even though by causing mutations in tumor suppressors and other cancer driver genes, error prone TLS by Pol $\theta$  could contribute to tumorigenesis in sun exposed skin, our results show that rather than cause an increase in tumorigenesis, Pol $\theta$  protects against it. This inference is supported by the increased incidence of skin cancers in Pol $\theta$ <sup>-/-</sup> mice and the evidence that skin cancers arise much sooner in Pol $\eta$ <sup>-/-</sup> Pol $\theta$ <sup>-/-</sup> mice than in Pol $\eta$ <sup>-/-</sup> mice (Figure 5D). The protective role of mutagenic TLS against skin cancers implies that DNA repair processes which come into play in the absence of TLS make a much more consequential contribution to tumorigenesis than would be conferred by error-prone TLS.

## Cancer Driver Mutations in Normal Human Skin Cells

The protective role of error-prone TLS against cancer development suggests that cells can tolerate significant mutational burden before they become prone to transformation and invasion. In accord with this, clonal patches of keratinocytes carrying C>T UV signature mutations in the *TP53* gene occur in normal sun exposed skin (Jonason et al., 1996; Klein et al., 2010; Ling et al., 2001; Nakazawa et al., 1994; Ziegler et al., 1994). Analyses of UV signature mutations in 74 cancer genes in sun-exposed epidermis have shown that normal skin cells harbor a high frequency of driver mutations in multiple cancer genes subject to strong selective pressure; moreover, the pattern of cancer driver mutations in normal skin cells is similar to mutations in skin SCCs (Martincorena et al., 2015). Yet normal skin cells exhibit no evidence of malignant transformation (Martincorena et al., 2015). Studies with *TP53* mutations in UV exposed epidermis in mice have revealed that exponential growth of *TP53* mutant clones is slowed down relatively early in the expansion of the clones. This explains the limited range of the clone size and suggests that constraints on clonal growth provide a critical protection against progressive accumulation of driver mutations and malignant transformation (Martincorena et al., 2015). The observation that stem cell compartments act as physical barriers to the clonal expansion of *TP53* mutant keratinocytes in murine epidermis has led to the concept that such mutant clones become ‘imprisoned’, unable to escape the barrier presented by the stem cell compartment arrangement (Zhang et al., 2001).

The observation that about 25% of normal skin cells carry cancer driver mutations and that clones carrying 2 to 3 driver mutations show no evidence of malignant potential (Martincorena et al., 2015) suggests that mutations generated by error-prone TLS by Pol $\theta$  would make relatively little contribution to the initiation of UV induced skin cancers. That raises the question of how TLS protects against tumorigenesis and the nature of genomic instability that may underlie tumorigenesis.

## Role of TLS in Prevention of Genomic Rearrangements and Suppression of Tumorigenesis

Our observations that inactivation of error-free TLS by Pol $\eta$  or of error-prone TLS by Pol $\theta$  results in unreplacated regions of ssDNA (Figure 3A), increased incidence of DSBs (Figure 3B), highly elevated SCEs (Figure 4B), and an increase in the frequency of chromosomal aberrations (Figure 4D) in UV damaged cells suggest that by promoting replication through DNA lesions, TLS mechanisms provide a key safeguard in preventing the collapse of RFs stalled at DNA lesions. In the absence of TLS, the collapse of RFs leaves unreplacated ssDNAs prone to nucleolytic attack, resulting in the formation of one-ended DSBs. Such DSBs could be repaired by Rad51-dependent HR or by non-homologous end-joining (NHEJ) when the opposing RF arrives at the break site. Consequently, SCEs and chromosomal aberrations increase in the absence of Pol $\eta$  or Pol $\theta$  and they rise further in the absence of both Pols (Figure 4). While HR between homologous sequences will cause no genomic rearrangements, HR between paralogous repeat sequences or low copy repeats can result in genomic deletions and duplications (Liu et al., 2012). The joining of two distal one-ended DSBs on the same chromatid by NHEJ would result in loss of the intervening region, and joining of one-ended DSBs on different chromatids or chromosomes would lead to the formation of interchromatid or interchromosomal fusions, or to other types of aberrations. In

addition to large chromosomal aberrations, additions or deletions of small genomic regions could result from the joining of the two one-ended DSBs by NHEJ when the opposing fork arrives at the break site.

Genomic rearrangements can activate a gene to become an oncogene. The activated oncogenes would induce additional replication stress by deregulating the cell cycle, which would lead to further stalling and collapse of RFs, the formation of DSBs, and the generation of chromosomal rearrangements. Evidence of such a cycle of continuous formation of DSBs and oncogene activation in precancerous lesions and cancers has identified replication stress as an important driver of cancer (Halazonetis et al., 2008; Macheret and Halazonetis, 2015, 2018; Negrini et al., 2010).

Studies with cell lines derived from skin SCCs originating from sun-exposed site that represented stepwise progression of skin carcinogenesis and included primary tumor, two recurrences, and a metastatic lesion from the same patient have shown that genomic rearrangements occur early in cancer development being already present in the primary tumor (Popp et al., 2000). In pancreatic cancer evolution, preneoplastic cells acquire an extensive mutational burden, yet they remain non-invasive (Murphy et al., 2013); but changes in DNA copy number and acquisition of complex genomic rearrangements rapidly lead to invasion and metastasis (Notta et al., 2016). Topographic single-cell DNA sequencing of cells from ductal carcinoma *in situ* (DCIS) which is an early-stage breast cancer, and from invasive ductal carcinoma (IDC) in which tumor cells migrate to other areas of breast tissue has revealed that DCIS arises from a single tumor-initiating cell which acquires alterations in the number of copies of genes. IDC descends from DCIS either from a single tumor-initiating clone or from multiple clones which diverged from the initial founding clone by the acquisition of additional copy number aberrations (Casasent et al., 2018). The acquisition of genomic rearrangements early in tumorigenesis supports the notion that they play a causal role.

### **Pol $\theta$ 's Role in Protection From Replication Stress Induced Genome Instability vs. Its Role in Alternative End-Joining**

A role for Pol $\theta$  in microhomology dependent alternative NHEJ has been deduced from endonuclease-mediated cleavage of reporter constructs. In this role, Pol $\theta$  generates chromosome rearrangements; for example, induction of a site-specific DSB at loci in two different mouse chromosomes by the CRISPR/Cas9 system led to a large increase in translocation events in Pol $\theta^{+/+}$  cells whereas the frequency of translocations was greatly reduced in Pol $\theta$  defective cells (Mateos-Gomez et al., 2015; Mateos-Gomez et al., 2017). In striking contrast, Pol $\theta$ 's role in TLS protects against chromosome rearrangements. In UV irradiated Pol $\theta^{-/-}$  or Pol $\theta^{-/-}$  Pol $\eta^{-/-}$  MEFs, the frequency of chromosome aberrations rises (Figure 4D) instead of declines, as would occur from Pol $\theta$ 's role in end-joining. By generating chromosome rearrangements, Pol $\theta$ 's role in end-joining would be causal for cancers (Bunting and Nussenzweig, 2013), whereas Pol $\theta$ 's role in TLS protects against skin cancers. These results, as well as the lack of any suppressive effect of Pol $\theta$  N-terminal helicase domain on Rad51-dependent SCEs in UV damaged or undamaged Pol $\theta^{-/-}$  MEFs (Figure S4D,E) support the conclusion that the mechanisms for the repair of two-ended

DSBs generated by endonuclease cleavage differ from those used for the repair of one-ended DSBs resulting from replication stress induced by TLS defects. .

### Somatic Mutations and Cancers

The observation of a strong correlation between the number of stem cell divisions in the lifetime of a given tissue and the lifetime risk of cancer in that tissue has indicated that stochastic effects associated with DNA replication account for over 60% of the variation in cancer risk in a tissue (Tomasetti and Vogelstein, 2015) and the prevalence of driver point mutations in cancer cells has buttressed the notion that somatic mutations generated from replication errors play a causal role (Martincorena et al., 2017; Tomasetti and Vogelstein, 2015). Our findings, however, suggest that genomic rearrangements that result from fork collapse due to stochastic impairments in DNA replication would play an effective role in tumorigenesis and that cancer formation can ensue in the absence of somatic point mutations.

## STAR \* METHODS

### CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Dr. Satya Prakash (saprakas@utmb.edu).

### EXPERIMENTAL MODEL AND SUBJECT DETAILS

**Cell Lines and Cell Culture**—Normal human fibroblast (GM00637), XPA deficient human fibroblast (GM04429), XPV deficient human fibroblast (GM03617) and mouse embryonic fibroblast cells were grown in DMEM medium (GenDEPOT) containing 10% fetal bovine serum (GenDEPOT) and 1% Antibiotic-Antimycotic (GenDEPOT). Cells were grown on plastic culture dishes at 37 °C in a humidified incubator with 5% CO<sub>2</sub>. Normal (GM00637) and XPA (GM04429) cells are female and XPV (GM03617) is male.

**Mice**—All the animal manipulations and experiments described in this report have been approved by the UTMB Institutional Animal Care and Use Committee (IACUC protocol no. 0809061), and conducted according to the protocol. Our procedures complied with the policies and guidelines of UTMB Animal Resources Center and IACUC, and Health Research Extension Act of 1985 (Public Law 99–158). We followed the Public Health Service Policy on Humane Care and Use of Laboratory Animals (revised September 1986) and the NAS Guide for the Care and Use of Laboratory Animals (ISBN-13: 978-0-309-15400-0 revised 2010).

For UV irradiation experiment, we used 8–12-week-old males. The experimental animals were healthy and immunocompetent. They did not undergo any experimental manipulation or receive any drug before UV irradiation except for genotyping. We used C57BL/6J congenic single mutants: *Polq<sup>tm1Jes</sup>/Polq<sup>tm1Jes</sup>*, *Polq<sup>tm1Jes</sup>/Polq<sup>+</sup>*, *Polh<sup>tm1Rak</sup>/Polh<sup>tm1Rak</sup>*, and *Polh<sup>tm1Rak</sup>/Polh<sup>+</sup>*, C57BL/6J congenic double mutants: *Polq<sup>tm1Jes</sup>/Polq<sup>tm1Jes</sup> Polh<sup>tm1Rak</sup>/Polh<sup>tm1Rak</sup>*, and C57BL/6J congenic wild-type mice. The mice were specific-pathogen-free, maintained within the UTMB Animal Resources Center, and housed in individually

ventilated cages with food and water *ad libitum* at a constant temperature and humidity on a 12 hr light-dark cycle (lights on 0600–1800 hrs).

## METHOD DETAILS

**DNA polymerase assays with human Pol $\theta$** —The standard DNA polymerase reaction (5 $\mu$ L) contained 40 mM Tris-HCl (pH 7.5), 5 mM MgCl<sub>2</sub>, 1 mM DTT, 10% Glycerol, 100  $\mu$ g/mL BSA and 100 $\mu$ M each of dGTP, dATP, dTTP and dCTP and 10nM of DNA substrate. The DNA substrate for TLS assays opposite a *cis-syn* TT dimer was generated by annealing a 75 nt template 5'-

AGCAAGTCACCAATGTCTAAGAGTTCGTA**TT**ATGCCTACACTGGAGTACCGGAGCATCGTC GTGACTGGGAAAAC-3', in which there was either an undamaged TT or a *cis-syn* TT dimer at the position indicated by **TT**, to a 5' <sup>32</sup>P labelled oligonucleotide primer N4309, 5'-GTTTTCCAGTCACGACGATGCTCCGGTACT CCAGTGTAGGCAT-3' (44 nt). For TLS assays opposite a (6–4) TT photoproduct, the above noted 75 nt template containing an undamaged TT or a (6–4) TT photoproduct was annealed to a 23 nt 5' <sup>32</sup>P labeled oligonucleotide primer 5'-TCCGGTACTCCAGTGTAGGCATA-3'. The reactions for both undamaged template and damaged template contained 0.5 nM DNA polymerase  $\theta$  (1708–2590) and were incubated at 37°C for 5 min with undamaged DNA and for 20 min with DNA containing a *cis-syn* TT dimer or a (6–4) TT photoproduct. The reactions were stopped by the addition of loading buffer (25 $\mu$ L) containing EDTA (20nM), 95% formamide, 0.3% bromophenol blue and 0.3% xylene cyanol. The reaction products were resolved on a 12% polyacrylamide gel containing 8M urea and visualized with the Typhoon FLA 7000 Phosphoimager.

**Construction of plasmid vectors containing a *cis-syn* TT dimer or a (6–4) TT photoproduct**—The heteroduplex vectors containing a *cis-syn* TT dimer or a (6–4) TT photoproduct on the leading or lagging strand template were constructed as described previously (Yoon et al., 2010a; Yoon et al., 2009).

**Translesion synthesis assays in human cells**—For siRNA knock down of Pol $\theta$ , HPLC purified duplex siRNA for human and mouse genes were purchased from Ambion. The sense sequence of siRNA target sequence is provided in Key Resources Table and the efficiency of Pol $\theta$  knockdown was verified by western blot analysis (Figure S2). The siRNA knock down efficiency of other TLS Pols as well as the detailed methods for TLS assay have been described previously (Yoon et al., 2015).

**Western blot analysis**—48h after siRNA transfection, cells were washed with PBS buffer and lysed with RIPA buffer (1x PBS, 1% IP-40, 0.5% sodium deoxycholate, 0.1% SDS). After 15 min incubation on ice, cellular mixture was centrifuged and the supernatant was collected. Equivalent amounts (approximately 30 $\mu$ g) of prepared cellular extracts were separated on a 6% SDS-polyacrylamide gel and transferred to a PVDF membrane (Bio-rad). The membranes were probed with antibodies against human Pol $\theta$  (monoclonal antibody in rabbit raised against pol $\theta$  peptide by Abmart for us) or Flag (Sigma-Aldrich), followed by appropriate secondary antibodies conjugated with horseradish peroxidase. The signals were

detected using ECL-Plus (GenDEPOT). For the loading control, anti- $\beta$ -tubulin antibody (Santa Cruz Biotechnology) was used.

**Stable expression of wild type and catalytic mutation of Pol $\theta$  (1708–2590) in HF cells**—Full length (7776 bp) human Pol $\theta$  cDNA was obtained from Addgene plasmid Repository (plasmid #:73132). WT Pol $\theta$  C-terminal domain (1708–2590) and its catalytic mutation were subcloned into pCMV7–3xFlag-zeo vector (Sigma). The vectors were transfected into GM637 HFs by Lipofectamine 2000 reagent (Invitrogen). After 24h incubation, 0.5  $\mu$ g of Zeocin (GenDEPOT) were added to the culture media. After 3 days of incubation, cells were washed with PBS buffer and were continuously cultured with the media containing 250 ng of Zeocin for ~ 2 weeks. Protein expression and siRNA knock down efficiency were verified by western blot analysis (Figure S2A).

**Co-immunoprecipitation of proteins in chromatin extracts**—For chromatin bound nuclear extracts, GM637 HF cells were washed twice with ice-cold PBS buffer. Cells were lysed with CSK (Cytoskeleton) buffer (10mM HEPES pH 6.8, 100mM NaCl, 300mM sucrose, 3mM MgCl<sub>2</sub>, 1mM EGTA, 0.1% Triton X-100, e-complete protease inhibitors) and chromatin extracts were crosslinked with 1% formalin (Sigma-Aldrich) in PBS buffer for 10min at room temperature followed by 125mM glycine addition. Cell pellets were resuspended in micrococcal nuclease (MNase) buffer containing 2,000 units/mL of MNase (NEB). Extracts were incubated at room temperature for 10min and then diluted with an equal volume of 2X immunoprecipitation buffer (300mM NaCl, 50mM Tris-HCl pH7.5, 2mM EDTA, 0.5% TritonX100, 10% glycerol, phosphatase inhibitor and protease inhibitors). The extracts were solubilized by sonication (4 $\times$  10sec with 30sec interval) and isolated by centrifugation at 17,000g for 15min at 4°C. 2mg of chromatin extracts were mixed with 40  $\mu$ L of Flag agarose beads (Sigma-Aldrich) overnight at 4°C. Flag agarose beads were washed with IP buffer twice and bound proteins were eluted in 2x sample buffer (20% glycerol, 125mM Tris-HCl pH6.8, 5%  $\beta$ -mercaptoethanol, 50mM DTT, 0.05% bromophenol blue). PCNA, Rad18, Flag ab were used for western blot analysis.

**UV survival assay**—GM637 HF cells were transfected with siRNAs and 48h after siRNA transfection, cells were treated with UV. For primary MEFs, cells were seeded on duplicated 6 well plates and incubated overnight. For UV irradiation, cells were washed with PBS buffer and irradiated with various doses of UVC light in the presence of PBS buffer. After irradiation, fresh growth media were added into cells. Cells were incubated for additional 48h after UV irradiation. The UV cytotoxicity was determined by MTS assay (Promega). Briefly, 100  $\mu$ L of MTS assay solutions were added to each well and incubated for 30 min. Cell viability was determined by measuring OD at 490nm, and four independent experiments were carried out.

**Big blue transgenic mouse cell line and siRNA knockdown**—The big blue transgenic mouse embryonic fibroblast (BBMEF) cells were grown in DMEM medium containing 10% FBS (GenDEPOT) and antibiotics. HPLC purified duplex siRNA for mouse Pol $\theta$  was purchased from Ambion. The sense sequence of mPol $\theta$  siRNA is shown in Key Resources Table and the efficiency of its knockdown was verified by western blot analysis.



For the *cII* mutation assay, cells were plated on 100 mm plates at 50% confluence (approximately  $5 \times 10^6$  cells) and 500 pmoles of synthetic duplex siRNAs were transfected using 50  $\mu$ l of Lipofectamine 2000 reagent (Invitrogen) following the manufacturer's instructions.

**UV irradiation, photoreactivation, and *cII* mutational assays in siRNA treated BBMEF cells**—48h after siRNA knock down, cells were washed with HBSS buffer (Invitrogen) and irradiated at 5 J/m<sup>2</sup> with UVC light, followed by photoreactivation for 3 h at room temperature as previously described (Yoon et al., 2009; Yoon et al., 2010b). Fresh growth medium was then added and cells were incubated for 24 h. After the 24h incubation period, the second siRNA transfection was carried out to maintain the siRNA knock down of the target gene(s). Cells were incubated for an additional 4 days to allow for mutation fixation. The mouse genomic DNA was isolated using the genomic DNA isolation kit (Qiagen). The LIZ shuttle vector was rescued from the genomic DNA by mixing DNA aliquots and transpack packaging extract (Stratagene), and the *cII* assay was carried out as previously described (Yoon et al., 2009; Yoon et al., 2010b). The mutation frequency was calculated by dividing the number of mutant plaques by the number of total plaques. For mutation analysis, the sequence of PCR products of the *cII* gene from the mutant plaques were analyzed as described previously (Yoon et al., 2009; Yoon et al., 2010b).

**SupF UV mutation assay**—293T cells were transfected with siRNAs (100 pmole) and 48 h after siRNA transfection, cells were cotransfected with siRNA (50 pmole) and with UV irradiated (UVC 500 J/m<sup>2</sup>) pSP189 shuttle vector. Plasmid DNA was rescued after 48 h incubation and treated with DpnI to remove the unreplicated plasmid DNA. The rescued plasmids were transformed into MB7070 bacterial cells which carry a *lacZ* gene harboring an amber mutation. The transformed bacterial cells were grown on LB plates containing ampicillin, IPTG, and X-gal, and mutation frequency was determined by the ratio of white (mutant) colonies to total (blue and white) colonies.

**Foci formation assay**—For Pol $\theta$  (1708–2590) foci analysis, cells stably expressing GFP Pol $\theta$ (1708–2590) and pcDNA3-zeo-GFP vector were treated with siRNA and cultured on a coverslip with 50% confluence. After 48h, cells were treated with UVC (30J/m<sup>2</sup>). After UV irradiation, fresh growth media were added and cells were incubated for 6h. After washing with PBS buffer, cells were pre-extracted in 0.2% Triton X-100 for 2min and fixed with 4% paraformaldehyde for 20min. Nuclear staining was performed with DAPI (Molecular probe) in PBS buffer for 20min. The fluorescent images were visualized and captured by fluorescence microscope (Nikon Eclipse 80i).

**DNA fiber assay**—GM637 HF cells were transfected with siRNAs (100 pmole) and 48h after siRNA transfection, cells were pulse-labelled with 25 $\mu$ M IdU (sigma) for 20 min. Cells were then washed with PBS buffer twice and irradiated with UVC (10 J/m<sup>2</sup>). After UV irradiation, cells were labelled with 250 $\mu$ M CldU for 30 min. DNA fibers were spread on glass slides, and slides incubated in 2.5M HCl for 90min and then washed with PBS buffer. The slides were incubated in blocking buffer, 5% BSA in PBS for 2h. Primary antibodies, rat anti-BrdU antibody (Abcam) and mouse anti-BrdU antibody (BD bioscience) were diluted

in blocking buffer and incubated for 1h followed by extensive washing with PBS buffer. Secondary antibodies, goat anti-rat Alexa 594 and goat anti-mouse Alexa 488 were applied for 30min and slides were mounted with antifade gold mounting media (Invitrogen). Fibers were analyzed by Nikon Eclipse fluorescence microscope.

**Generation of  $Pol\theta^{-/-}$ ,  $Pol\eta^{-/-}$ , and  $Pol\eta^{-/-} Pol\theta^{-/-}$  mice**—For  $Pol\theta^{-/-}$  mutant mice, C57BL/6J congenic strain, B6.Cg-*Polq<sup>tm1Jes</sup>/J* was purchased from the Jackson Laboratory (Shima et al., 2004). Mutant mice were backcrossed to C57BL/6J for nine generations prior to arrival at the UTMB. We maintained the mutants by backcrossing them to C57BL/6J and generated experimental mice by heterozygous intercrosses. We genotyped 253 offspring generated by heterozygous intercrosses, and found 57  $Pol\theta^{-/-}$ , 123  $Pol\theta^{+/+}$ , and 73  $Pol\theta^{+/-}$ . The average number of viable pups was 6.4/litter (313/49 litters).

For  $Pol\eta^{-/-}$  mutant mice, B6;129-*Polh<sup>tm1Rak</sup>* (Lin et al., 2006) was backcrossed to C57BL/6J under the speed congenic program at the Jackson Laboratory. Experimental mice, heterozygous and homozygous males, were produced at the Jackson Laboratory and at the UTMB after a line >98% derived from C57BL/6 was established.  $Pol\eta^{-/-}$  mice were overtly normal and fertile. The average number of viable pups from homozygous incross breeders was 7.3/litter (86/12 litters).

For  $Pol\theta^{-/-} Pol\eta^{-/-}$  mutant mice, we first crossed *Polh<sup>tm1Rak</sup>/Polh<sup>tm1Rak</sup>* females and *Polq<sup>tm1Jes</sup>/Polq<sup>+</sup>* males to get double heterozygotes, and then intercrossed double heterozygotes to get  $Pol\theta^{-/-} Pol\eta^{-/-}$  mice. From the  $Pol\theta^{+/-} Pol\eta^{+/-}$  x  $Pol\theta^{+/-} Pol\eta^{+/-}$  intercrosses, we recovered  $Pol\theta^{-/-} Pol\eta^{-/-}$  and other genotypes (Table S4). We incrossed  $Pol\theta^{-/-} Pol\eta^{-/-}$  for 2–3 generations to produce experimental  $Pol\theta^{-/-} Pol\eta^{-/-}$  males, and backcrossed  $Pol\theta^{-/-} Pol\eta^{-/-}$  mice to C57BL/6J to produce experimental  $Pol\theta^{+/-} Pol\eta^{+/-}$  males.  $Pol\theta^{-/-} Pol\eta^{-/-}$  mice were overtly normal and fertile, but they were poor breeders. The neonatal litter size of  $Pol\theta^{-/-} Pol\eta^{-/-}$  incrosses appeared to be smaller, and pups were often lost before weaning. The average number of viable pups was 2.9/litter (176/60 litters). C57BL/6J females paired with  $Pol\theta^{-/-} Pol\eta^{-/-}$  males produced larger litters and successfully raised them, i.e., the average number of viable pups was 7.8/litter (109/14 litters). These results suggest that the poor reproductive performance of double homozygous breeders may be due to the dams, and/or that the lack of both  $Pol\theta$  and  $Pol\eta$  may cause embryonic/perinatal lethality with incomplete penetrance or decreased survival rate in the mouse.

To identify the  $Pol\eta$  knock out, tail DNA genotyping was performed using the following primers; for wild type allele (370bp PCR products), XPV-com primer: 5'–AAGGGACAAGCGAACAGAGA, and XPV-wt primer: 5'–TCACTTCAACTAGCTTCCC, and for mutant allele (500bp PCR products), XPV-com primer: 5'–AAGGGACAAGCGAACAGAGA, and XPV-mut: 5'–AGCAATATCACAGGCCCAAC. All primers were purchased from Sigma-Aldrich. To identify the  $Pol\theta$  knock out, tail DNA genotyping was performed using the following primers; for wild type allele (300bp PCR products), QWT forward primer: 5'–TGCAGTGTACAGATGTTACTTTT, and QWT-reverse primer: 5'–TGGAGGTAGCATTTCTTCTC, and for mutant allele (190bp PCR products), Qmut-

forward primer: 5'-TCACTAGGTTGGGGTTCT, and Qmut-reverse primer: 5'-CATCAGAAGCTGACTCTAGAG.

**Isolation of Pol $\theta$ <sup>-/-</sup> Pol $\eta$ <sup>-/-</sup>, and Pol $\theta$ <sup>-/-</sup> Pol $\eta$ <sup>-/-</sup>MEFs**—Primary MEFs were isolated from embryos derived from intercrossing of Pol $\eta$ <sup>+/-</sup> and Pol $\theta$ <sup>+/-</sup> mice as described previously (Tommasi et al., 2005; Yoon et al., 2015). In brief, mouse embryos harvested in utero at 13.5 days of gestation were roughly minced and incubated with trypsin for 20 min at room temperature. Homogenous cell suspensions were then added to 25 ml of Dulbecco Modified Eagle's Medium (DMEM, Genedepot), supplemented with 10% fetal calf serum. Early passage (P<5) mouse embryonic fibroblasts (MEFs) were used for all experiments. For verifying the Pol $\theta$ <sup>-/-</sup> and Pol $\eta$ <sup>-/-</sup> genotypes, RT-PCR was carried out. Total RNA was extracted using Qiagen RNasy extraction kit (Qiagen) and 100 ng of total RNA were used for RT-PCR analysis. RT-PCR was performed with Qiagen one step RT-PCR kit following the manufacturer's protocols. For mouse GAPDH, the amplification was carried out at 95 °C for 30 sec, 55 °C for 45 sec, and 72 °C for 50 sec for 24 cycles. Twenty six cycles for mouse Pol $\theta$  and Pol $\eta$  were applied for the amplification at 95 °C for 30 sec, 55 °C for 45 sec, and 72 °C for 1 min. RT-PCR products were analyzed on 1.5% agarose. The primers used for RT-PCR analyses are given in Table S5. Assays for DNA synthesis in UV irradiated MEFs and for UV survival were performed as described for HFes.

**Stable expression of full length Pol $\theta$  and C-terminal (1708–2590) polymerase domain of Pol $\theta$  in Pol $\theta$ <sup>-/-</sup> MEFs**—Pol $\theta$ <sup>-/-</sup> MEFs were immortalized by lentivirus expressing SV40 large T antigen transfection (Genecopoeia). Transformed MEFs were transfected with plasmids carrying Myc-full length (pCDNA3.1-Myc-Pol $\theta$ ) or GFP-C-terminal (1708–2590) Pol $\theta$ . Transformed Pol $\theta$ <sup>-/-</sup> MEFs stably expressing Myc-full length or GFP-C-terminal (1708–2590) Pol $\theta$  were confirmed by western blot analysis.

**ssDNA detection assay (non-denaturing BrdU staining)**—Primary MEFs were cultured with 20 $\mu$ M BrdU for 20h and irradiated with UVC (20J/m<sup>2</sup>). Cells were incubated in growth media for 6h to induce ssDNA accumulation. Cells were then harvested and treated with 75mM KCl for 20min at 37 °C, fixed with 3:1 methanol: acetic acid mix and spread on glass slides. Primary mouse anti-BrdU antibody (BD bioscience) were diluted in blocking buffer (PBS with 5% BSA) and incubated for 1h followed by extensive washing with PBS buffer. Secondary antibodies, goat anti-mouse Alexa 488 were applied for 30min and DAPI staining done for 10min. Slides were mounted with antifade gold mounting media (Invitrogen). ssDNA accumulation and DAPI staining were analyzed with a Nikon Eclipse 80i fluorescence microscope.

**Neutral comet assay**—To analyze UV induced DSBs in primary MEFs, neutral comet assays were performed. Cells were irradiated with UVC (20J/m<sup>2</sup>) and incubated in growth media for 6h. Cells were then harvested and mixed with agar and spread on glass slides. Neutral comet assay was done with Comet Assay kit (Trevigen) as described (Collins et al., 2008). Comet tail DNA was visualized and captured by fluorescence microscope (Nikon Eclipse 80i).

**Sister chromatid exchange assay**—Wild type and mutant primary MEFs were irradiated with UVC ( $2\text{J}/\text{m}^2$ ) and labeled with BrdU ( $100\mu\text{M}$ ) in growth media for 48h followed by colcemid ( $0.2\mu\text{g}/\text{ml}$ , Invitrogen) treatment for 3 h. Cells were then harvested and treated with  $75\text{mM}$  KCl for 20 min at  $37^\circ\text{C}$ , fixed with 3:1 methanol: acetic acid mix and spread on glass slides. After drying for one day, slides were stained with Acridine Orange (Invitrogen,  $0.1\text{ mg}/\text{ml}$ ) for 5 min and incubated in Sorensen's buffer ( $0.1\text{M}$   $\text{NaH}_2\text{PO}_4$  +  $0.1\text{M}$   $\text{Na}_2\text{HPO}_4$ , pH6.8). Metaphases were visualized and analyzed by Nikon Eclipse fluorescence microscope.

**Chromosomal aberration assay**—WT and mutant primary MEFs were irradiated with UVC ( $2\text{J}/\text{m}^2$ ) and incubated for 48h followed by colcemid ( $0.2\mu\text{g}/\text{ml}$ , Invitrogen) treatment for 3 h. Cells were then harvested and treated with  $75\text{mM}$  KCl for 20min at  $37^\circ\text{C}$ , fixed with 3:1 methanol: acetic acid mix and spread on glass slides. After drying for one day, slides were stained with Giemsa staining solution (4%, Invitrogen) Metaphases were visualized and analyzed by Nikon Eclipse microscope.

**Analysis of UVB induced skin tumors in WT,  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-}$   $\text{Pol}\theta^{-/-}$  mice**—8 week old male mice were treated with UVB ( $2\text{KJ}/\text{m}^2$  for 3 times per week) after removing hair on dorsal area of mice. For the  $\text{Pol}\theta^{-/-}$  cohorts, mice were irradiated for 50 weeks and for  $\text{Pol}\eta^{-/-}$  and  $\text{Pol}\eta^{-/-}$   $\text{Pol}\theta^{-/-}$  cohorts, mice were irradiated for up to 30 weeks. All mice were carefully and extensively checked once per week for skin tumor development on the dorsal skin. For histopathological analyses, skin lesions (larger than 3mm diameter) were removed from the dorsal skin and fixed with 10% neutral-buffered formalin. Samples were embedded in paraffin and sections were prepared.

## QUANTIFICATION AND STATISTICAL ANALYSIS

Statistical details of individual experiments, including number of observations and number of experiments done, mean values and standard deviations, and p values of two-tailed t-tests are described in the figure legends and indicated in the figures. Information on quantification of data is also included in Tables and described in Table legends. Statistical analyses were done using GraphPad software Prism6.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## ACKNOWLEDGMENTS

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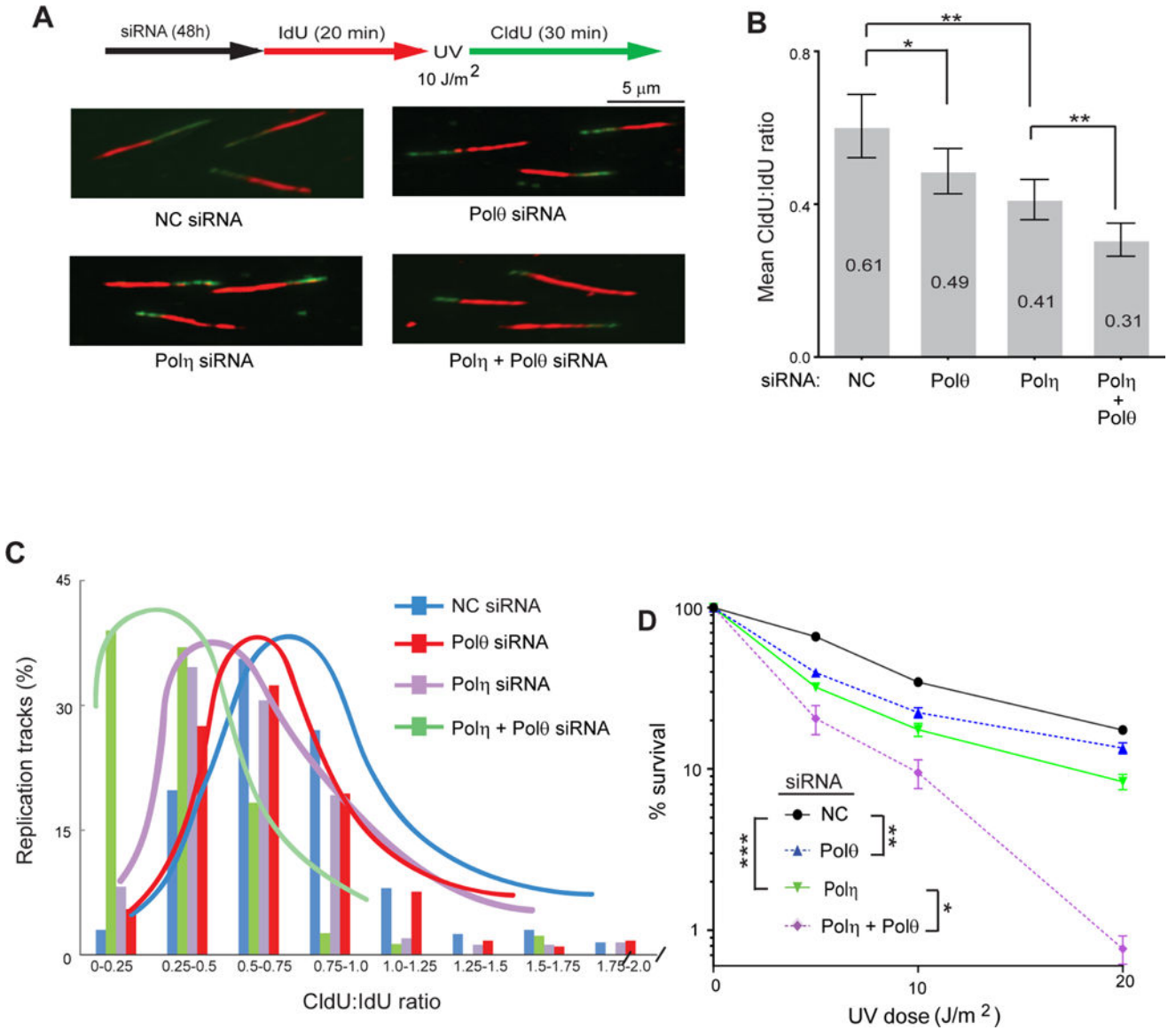
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**Highlights**

- DNA polymerase  $\theta$  is essential for mutagenic replication through UV lesions
- UV induced skin cancer formation rises in the absence of Pol $\theta$
- Translesion synthesis by Pol $\eta$  or Pol $\theta$  prevents replication fork collapse
- TLS protects against chromosomal instability and tumorigenesis





**Figure 1. Requirement of Polθ for Replication through UV Lesions in Human Cells**

(A) Schematic of DNA fiber assay and representative images of stretched DNA fibers in UV damaged GM637 HF treated with control (NC), Polη, Polθ, or Polη and Polθ siRNAs

(B) Quantitative analyses of RF progression through UV lesions (mean CldU:IdU ratio). The data represent ~400 DNA fibers from four independent experiments. Error bars indicate the standard deviation. Student's two-tailed p values, \*, p<0.05; \*\*, p<0.01.

(C) The % of replication tracts and the CldU:IdU ratios were measured in fibers from UV damaged GM637 HF treated with NC, Polθ, Polη, or Polη and Polθ siRNAs. The data represent ~400 DNA fibers from four independent experiments.

(D) UV survival assay. GM637 HF treated with siRNAs for 48h and irradiated with UV light in PBS buffer. Cells were incubated for additional 48h after UV irradiation and UV survival was determined by the MTS assay. Error bars indicate the standard deviation of

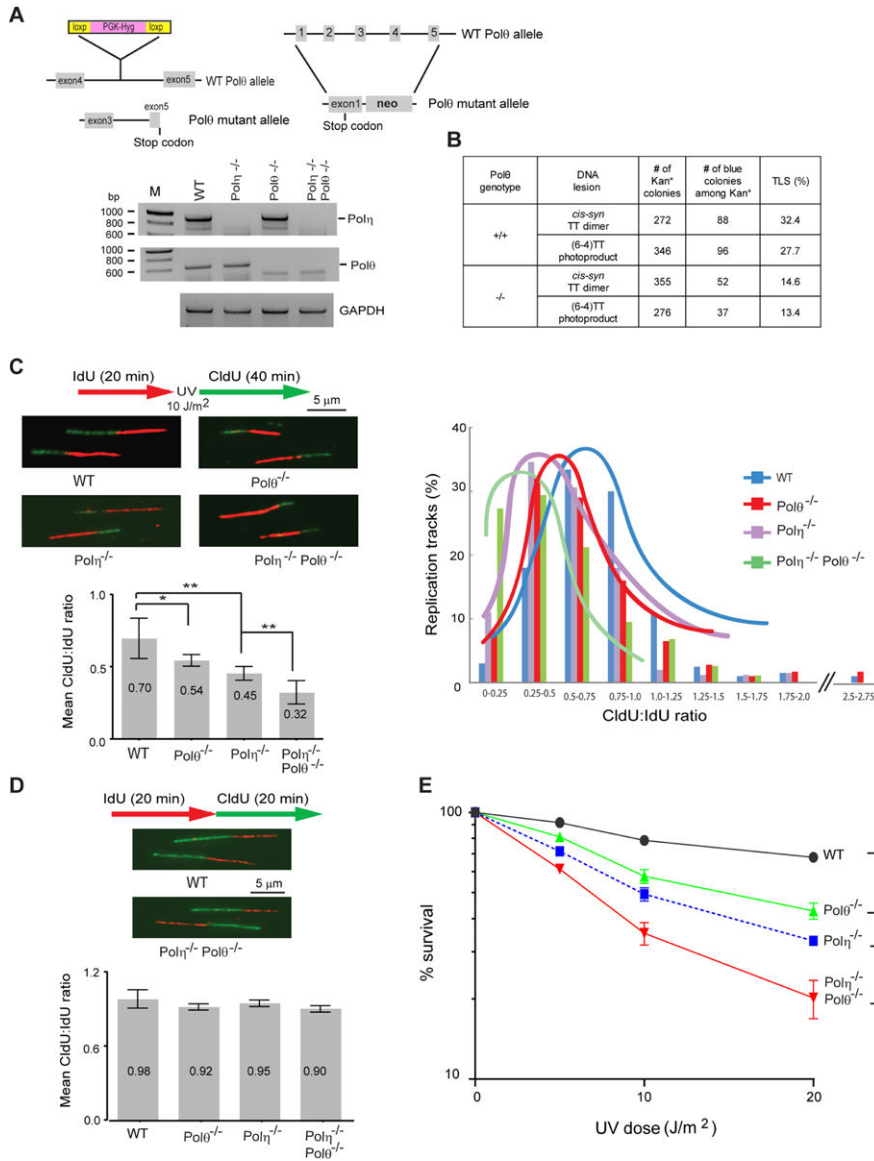
results of 4 independent experiments. Student's two-tailed t-test p values, \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .  
See also Figure S3.

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**Figure 2. Analysis of TLS through UV Lesions in Primary Polθ<sup>-/-</sup>, Polη<sup>-/-</sup>, and Polθ<sup>-/-</sup> Polη<sup>-/-</sup> MEFs**

(A) Schematic for targeting the knock outs of Polη and Polθ genes and RT-PCR analyses of Polη<sup>-/-</sup>, Polθ<sup>-/-</sup> and Polη<sup>-/-</sup> Polθ<sup>-/-</sup> MEFs. GAPDH was used for a negative control.

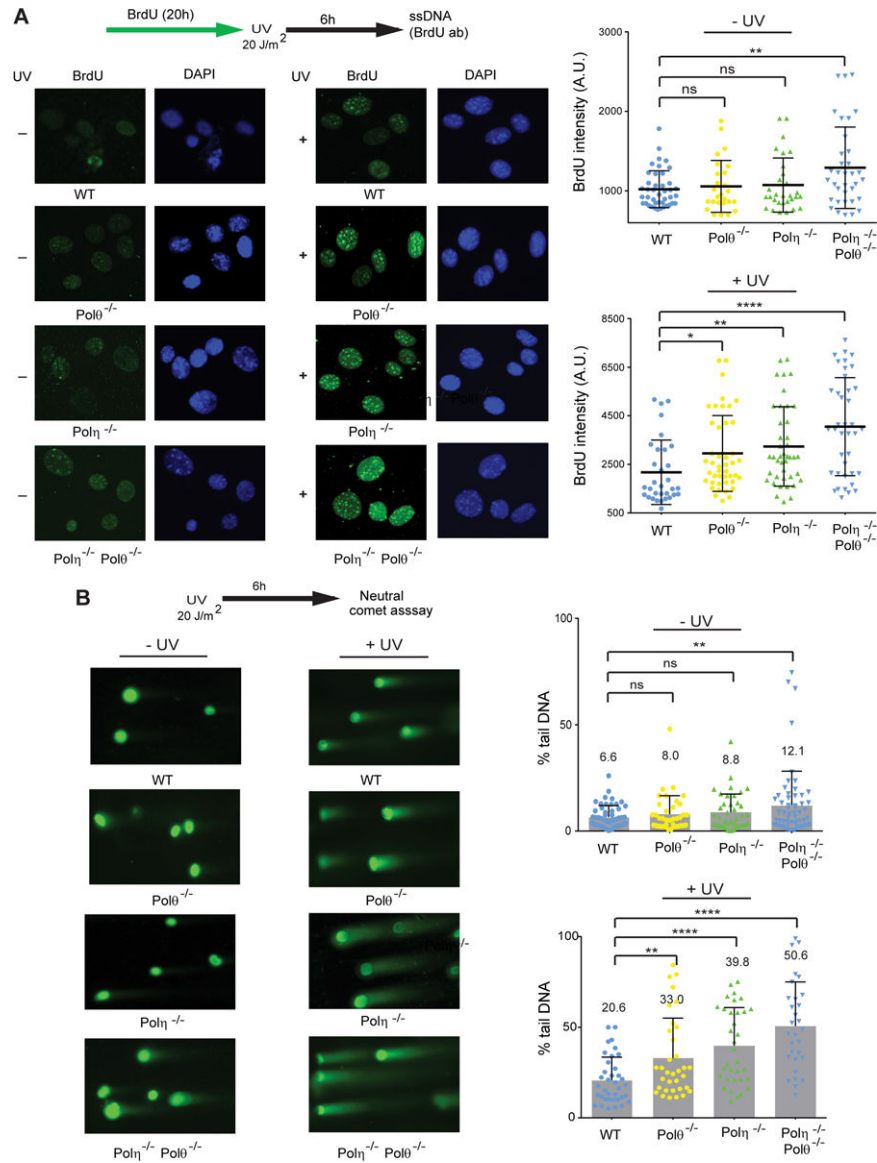
(B) TLS opposite UV lesions in SV40 transformed Polθ<sup>-/-</sup> MEFs.

(C) Analyses of RF progression through UV lesions in primary WT, Polθ<sup>-/-</sup>, Polη<sup>-/-</sup>, and Polη<sup>-/-</sup> Polθ<sup>-/-</sup> MEFs. (Top left), schematic of DNA fiber assay and representative images of stretched DNA fibers. (Bottom left), quantitative analyses of RF progression through UV lesions (mean CldU:IdU ratio). The data represent ~400 DNA fibers from four independent experiments. Error bars indicate the standard deviation. Student’s two-tailed t-test p values, \*, p<0.05; \*\*, p<0.01. (Right), the % of replication tracts and the CldU:IdU ratios measured in fibers from UV damaged primary WT, Polθ<sup>-/-</sup>, Polη<sup>-/-</sup>, and Polη<sup>-/-</sup> Polθ<sup>-/-</sup> MEFs. The data represent ~400 DNA fibers from four independent experiments.

(D) Schematic of DNA fiber assay and images of stretched DNA fibers in unirradiated primary WT and  $\text{Pol}\eta^{-/-}$   $\text{Pol}\theta^{-/-}$  MEFs and quantitative analyses of RF progression (mean CldU:IdU ratio) in WT,  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-}$   $\text{Pol}\theta^{-/-}$  MEFs.

(E) UV survival of primary WT,  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-}$   $\text{Pol}\theta^{-/-}$  MEFs. Error bars indicate the standard deviation of results of four independent experiments. Student's two-tailed t-test p values, \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

See also Figure S4 and Table S5.



**Figure 3. Generation of ssDNA and formation of DSBs in UV damaged primary  $Pol\theta^{-/-}$ ,  $Pol\eta^{-/-}$ , and  $Pol\eta^{-/-} Pol\theta^{-/-}$  MEFs.**

(A) BrdU immuno-assay for ssDNA detection in UV irradiated or unirradiated MEFs. Cells were treated with BrdU for 20h and irradiated with UV (20 J/m<sup>2</sup>) or not, followed by 6h incubation. Immuno-staining with BrdU was visualized by fluorescence microscopy. (Left) representative images of BrdU staining in unirradiated or UV irradiated primary WT,  $Pol\theta^{-/-}$ ,  $Pol\eta^{-/-}$ , and  $Pol\eta^{-/-} Pol\theta^{-/-}$  MEFs; (Right) quantification of BrdU immuno-staining intensity in unirradiated and UV irradiated primary MEFs. The mean and standard deviation were analyzed from 4 independent experiments and are indicated by a horizontal and a vertical black bar, respectively. Student's two-tailed t-test values, ns, not significant; \*, p<0.05; \*\*, p<0.01; \*\*\*\*, p<0.0001.

(B) Neutral comet assay for detection of DSBs in unirradiated or UV irradiated MEFs. Comet assay was performed on cells irradiated with UV (20J/m<sup>2</sup>) and incubated for 6h. (Left), representative images of neutral comet tails in DNA from unirradiated or UV

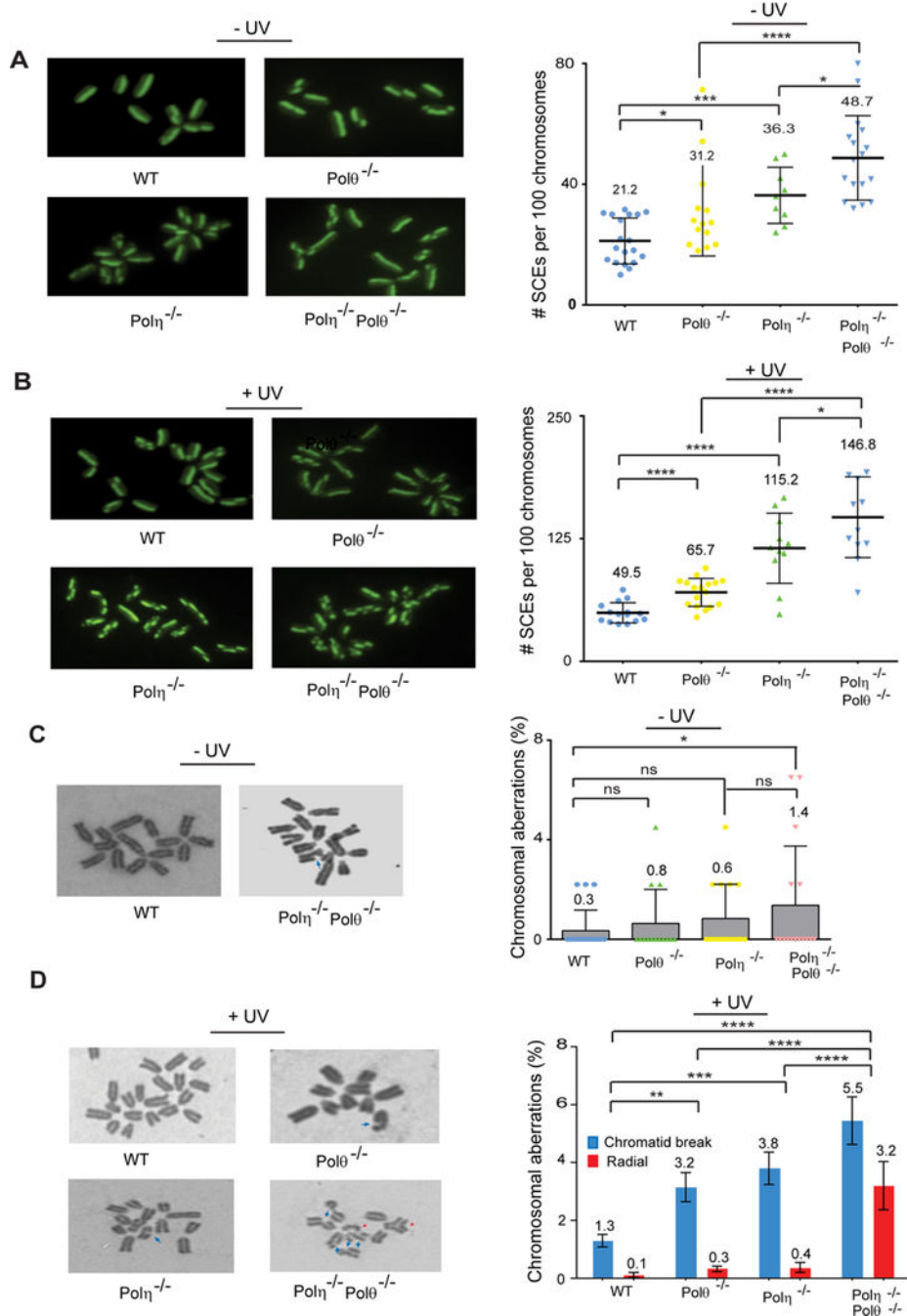
irradiated primary WT, Pol $\theta^{-/-}$ , Pol $\eta^{-/-}$ , and Pol $\eta^{-/-}$  Pol $\theta^{-/-}$  MEFs. (Right), quantification of % of DNA in the comet tail in unirradiated and UV irradiated MEFs. The mean and standard deviation were analyzed from 4 independent experiments and are indicated by a numeral and a vertical black bar, respectively. Student's two-tailed t-test p values, ns, not significant; \*\*, p<0.01; \*\*\*\*, p<0.0001.

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**Figure 4. Analysis of Sister Chromatid Exchanges (SCEs) and Chromosomal Aberrations in UV Damaged Primary Polθ<sup>-/-</sup>, Polη<sup>-/-</sup>, and Polη<sup>-/-</sup> Polθ<sup>-/-</sup> MEFs**

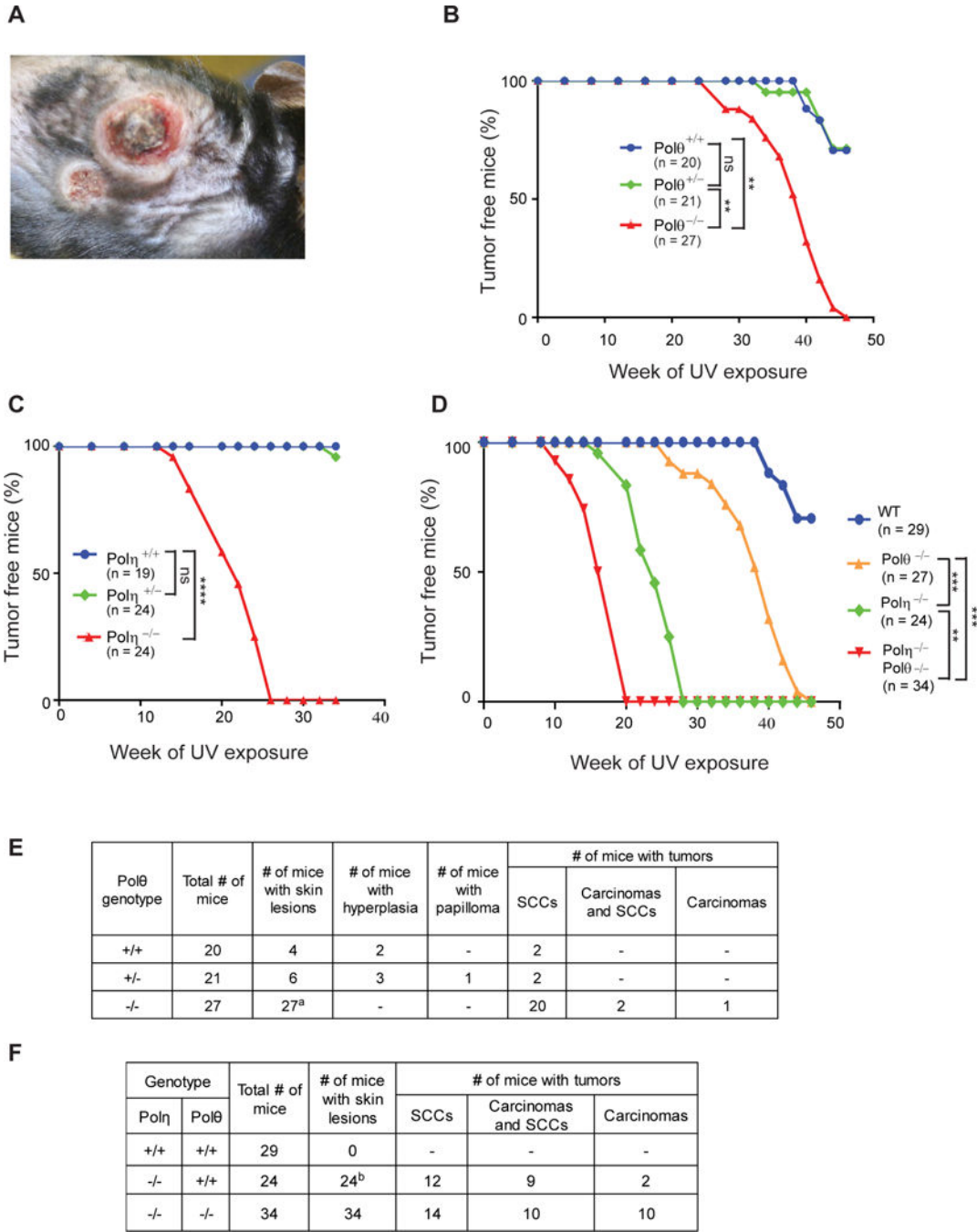
(A) SCEs in unirradiated MEFs. (Left), representative images of metaphases in unirradiated primary WT, Polθ<sup>-/-</sup>, Polη<sup>-/-</sup>, and Polη<sup>-/-</sup> Polθ<sup>-/-</sup> MEFs. (Right), quantification of SCE frequency in unirradiated primary WT, Polθ<sup>-/-</sup>, Polη<sup>-/-</sup> and Polη<sup>-/-</sup> Polθ<sup>-/-</sup> MEFs. Each datum point represents a single metaphase and ~1,000 metaphase chromosomes were analyzed. The mean and standard deviation were analyzed from 4 independent experiments and are indicated by a numeral and a vertical black bar, respectively. Student's two-tailed t-test p values, \*, p<0.05; \*\*\*, p<0.001; \*\*\*\*, p<0.0001.

(B) SCEs in UV irradiated MEFs. (Left), representative images of metaphases with UV ( $2 \text{ J/m}^2$ ) induced SCEs in primary WT,  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. (Right), quantification of scatterplot analysis of UV induced SCE frequency in primary WT,  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. Each datum point represents a single metaphase and  $\sim 1,000$  metaphase chromosomes were analyzed. The mean and standard deviation were analyzed from 4 independent experiments and are indicated by a numeral and a vertical black bar, respectively. Student's two-tailed t-test p values, \*,  $p < 0.05$ ; \*\*\*\*,  $p < 0.0001$ .

(C) Chromosomal aberrations in unirradiated MEFs. (Left), chromatid breaks in  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. (Right), quantification of chromosomal aberrations in unirradiated primary WT,  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$  and  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. The data represent analyses of  $\sim 400$  metaphases from four independent experiments. The mean and standard deviation were analyzed from 4 independent experiments and are indicated by a numeral and a vertical black bar, respectively. Student's two-tailed t-test p values, ns, non-significant; \*,  $p < 0.05$ .

(D) Chromosome aberrations in UV irradiated MEFs. (Left), chromatid breaks (blue arrow) and radial structures (red arrow head) in UV irradiated primary WT,  $\text{Pol}\theta^{-/-}$ ,  $\text{Pol}\eta^{-/-}$ , and  $\text{Pol}\eta^{-/-} \text{Pol}\theta^{-/-}$  MEFs. (Right), quantification of chromosomal aberrations in MEFs. The data represent analyses of  $\sim 400$  metaphases from four independent experiments. The mean and standard deviation were analyzed from 4 independent experiments and are indicated by a numeral and a vertical black bar, respectively. Two way ANOVA p values, \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ; \*\*\*\*,  $p < 0.0001$ .





**Figure 5. Skin Tumors Induced by Chronic Exposure to UVB irradiation in  $Pol\theta^{-/-}$ ,  $Pol\eta^{-/-}$ , and  $Pol\eta^{-/-} Pol\theta^{-/-}$  Mice**

(A) UVB-induced skin tumors on the dorsal area of  $Pol\theta^{-/-}$  mice at 42 weeks of UV exposure.

(B), (C), and (D) Kaplan-Meier curves of mice free of skin tumors after chronic UVB irradiation ( $2\text{ KJ/m}^2$ , 3 times per week). (B)  $Pol\theta^{+/+}$ ,  $Pol\theta^{+/-}$  and  $Pol\theta^{-/-}$  mice. (C)  $Pol\eta^{+/+}$ ,  $Pol\eta^{+/-}$  and  $Pol\eta^{-/-}$  mice. (D) WT,  $Pol\theta^{-/-}$ ,  $Pol\eta^{-/-}$  and  $Pol\eta^{-/-} Pol\theta^{-/-}$  mice. Two way ANOVA p values, ns, non-significant; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ; \*\*\*\*,  $p < 0.0001$ .

(E) Results of histopathological analyses of skin tumors from Polθ<sup>+/+</sup>, Polθ<sup>+/-</sup> and Polθ<sup>-/-</sup> mice. <sup>a</sup>Tumors from 23 Polθ<sup>-/-</sup> mice were analyzed.

(F) Results of histopathological analyses of skin tumors from WT, Polη<sup>-/-</sup> and Polη<sup>-/-</sup> Polθ<sup>-/-</sup> mice. <sup>b</sup>Tumors from 23 Polη<sup>-/-</sup> mice were analyzed.

See also Figures S5 and S6 and Table S4.

**Table 1.**

The effects of siRNA knockdowns of Pol $\theta$  and other TLS Pols on replicative bypass of a *cis-syn* TT dimer or a (6–4) TT photoproduct carried on the leading or lagging DNA strand template in XPA human fibroblasts

UV lesion	siRNA	Leading strand			Lagging strand		
		Number of <i>Kan</i> <sup>+</sup> colonies	Number of blue colonies among <i>Kan</i> <sup>+</sup>	TLS (%)	Number of <i>Kan</i> <sup>+</sup> colonies	Number of blue colonies among <i>Kan</i> <sup>+</sup>	TLS (%)
<i>cis-syn</i> TT dimer	NC	642	265	41.3	562	170	30.2
	Pol $\eta$	637	117	18.4	614	90	14.6
	Pol $\kappa$	602	190	31.6	546	122	22.3
	Rev3	587	181	30.8	483	94	19.5
	Pol $\kappa$ + Rev3	508	115	22.6	424	64	15.1
	Pol $\theta$	762	174	22.8	623	102	16.4
	Pol $\theta$ + Pol $\kappa$	702	166	23.6	-	-	-
	Pol $\theta$ + Rev3	656	147	22.4	-	-	-
	Pol $\theta$ + Pol $\eta$	652	36	5.5	678	28	4.1
(6–4) TT PP	NC	673	252	37.4	624	189	30.3
	Pol $\eta$	637	243	38.1	745	207	27.8
	Pol $\iota$	582	232	37.8	723	190	26.3
	Pol $\eta$ + Pol $\iota$	802	220	27.4	612	94	15.4
	Pol $\theta$	623	167	26.8	685	100	14.6
	Rev3	402	73	18.2	455	62	13.6
	Pol $\theta$ + Rev3	712	34	4.8	582	32	5.5

See also Figures S1–S4 and Tables S1 and S3.

**Table 2.**

UV induced mutation frequencies in the *cII* gene in BBMEF cells expressing a (6–4) PP photolyase, CPD photolyase, or no photolyase and treated with siRNA for Polθ or other TLS Pols

Photolyase	siRNA	UV <sup>a</sup>	Photoreactivation <sup>b</sup>	Mutation frequency <sup>c</sup> (x10 <sup>-5</sup> )
(6–4) PP photolyase	NC	–	+	17.6 ± 1.5
	Polθ	–	+	16.8 ± 1.7
	NC	+	+	45.4 ± 2.2
	Polη	+	+	82.6 ± 2.4
	Polθ	+	+	17.8 ± 1.1
	Polη + Polθ	+	+	16.4 ± 1.4
CPD photolyase	NC	–	+	17.2 ± 1.6
	Polθ	–	+	17.0 ± 1.5
	NC	+	+	28.7 ± 1.6
	Polθ	+	+	18.1 ± 0.9
pNeo vector (no photolyase)	NC	–	+	15.9 ± 1.4
	Polθ	–	+	15.8 ± 1.2
	NC	+	+	55.1 ± 2.3
	Polη	+	+	97.1 ± 2.9
	Polκ	+	+	36.3 ± 1.7
	Rev3	+	+	32.1 ± 1.1
	Polθ	+	+	17.4 ± 1.3
Polη + Polθ	+	+	19.2 ± 1.6	

<sup>a</sup> 5 J/m<sup>2</sup> of UVC (254 nm) light

<sup>b</sup> Photoreactivation with UVA (360nm) light for 3h

<sup>c</sup> Data are represented as mean ± SEM. Mean mutation frequencies and standard error of the mean were calculated for 4 independent experiments

See also Figures S1–S4 and Tables S2 and S3.

## KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
Goat anti-rabbit AlexaFluor 488	ThermoFisher Scientific	A-11034
Goat anti-rabbit AlexaFluor 594	ThermoFisher Scientific	A-11012
Goat anti-rabbit AlexaFluor 488	ThermoFisher Scientific	A-11034
Goat anti-rat AlexaFluor 594	ThermoFisher Scientific	A-11007
Goat anti-mouse AlexaFluor 488	ThermoFisher Scientific	A-11001
Goat anti-rat IgG-HRP	Santa Cruz Biotechnology	sc-2006
Goat anti-rabbit IgG-HRP	Santa Cruz Biotechnology	sc-2004
Goat anti-mouse IgG-HRP	Santa Cruz Biotechnology	sc-2005
Rabbit anti-goat IgG-HRP	Santa Cruz Biotechnology	sc-2768
Mouse anti-BrdU	BD Biosciences	347580
Rat anti-BrdU	Abcam	Ab6326
Mouse monoclonal anti-Flag	Sigma-Aldrich	F1804
Rabbit polyclonal anti-GFP	ThermoFisher Scientific	A-11122
Mouse monoclonal anti- $\beta$ tubulin (D-10)	Santa Cruz Biotechnology	sc-5274
Rabbit polyclonal anti-Rad51 (H-92)	Santa Cruz Biotechnology	sc-8349
Mouse monoclonal anti-PCNA (PC10)	Abcam	ab29
Rabbit monoclonal anti-Rad18	Cell Signaling Technology	9040
Rabbit polyclonal anti-Pol $\theta$	Abcam	Ab80906
Experimental Models: Cell Lines		
Human: Normal fibroblast	Coriell Institute Cell Repository	GM00637
Human: XPA deficient fibroblast	Coriell Institute Cell Repository	GM04429
Human: XPV deficient fibroblast	Coriell Institute Cell Repository	GM03617, XP30RO
Mouse: big blue mouse embryonic fibroblast	Agilent	Cat# 726010
Experimental Models: Organisms/Strains		
Pol $\eta$ knock out mice	Jackson Laboratory	N/A
Pol $\theta$ knock out mice	Jackson Laboratory	N/A
Chemicals		
Polyacrylamide	Bio-Rad	162-0177
Acetic acid	EMD chemicals	AX0073-75
Paraformaldehyde	Sigma-Aldrich	F1635
Acridine Orange	ThermoFisher Scientific	A-3568
BrdU	Sigma-Aldrich	B5002
Chlorodeoxyuridine (CldU)	Sigma-Aldrich	C6891
5-Iodo-2'-deoxyuridine (IdU)	Sigma-Aldrich	I7125
Colcemid	ThermoFisher Scientific	15212012

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Geimsa stain solution	ThermoFisher Scientific	10092013
DAPI	ThermoFisher Scientific	D1306
Sodium dodecyl sulfate	Bio-Rad	161-0302
Sodium deoxycholate	Sigma-Aldrich	D6750
Sodium phosphate monobasic	Sigma-Aldrich	71505
Sodium phosphate dibasic	Sigma-Aldrich	S3264
IGEPAL CA-630	Sigma-Aldrich	I8896
Isopropyl-beta-D-thiogalactopyranoside (IPTG)	GenDEPOT	I0355
5-bromo-4-chloro-indolyl-B-D- galactopyranoside (X-gal)	GenDEPOT	X0320
<b>Antibiotics</b>		
Antibiotic-Antimycotic	GenDEPOT	CA002
Zeocin	GenDEPOT	Z4500
Hygromycin B	ThermoFisher Scientific	10687010
Kanamycin	MP Biochemicals	0215002925
<b>Bacterial strain</b>		
XL1 blue super competent cells	Fisherscientific	50-125-047
MB7070		N/A
<b>Media constituents, reagents, buffers</b>		
DMEM high glucose	GenDEPOT	CM002
EMEM media	ThermoFisher Scientific	11095080
DPBS buffer	GenDEPOT	CA008
HBSS buffer	GenDEPOT	CA507
Fetal Bovine Serum opti Gold	GenDEPOT	F0900
Prolong gold antifade mounting media	ThermoFisher Scientific	P-36930
Trypsin-EDTA, 0.25%	GenDEPOT	CA014
Opti-MEM media	ThermoFisher Scientific	31985088
Lipofectamine 2000	ThermoFisher Scientific	11668019
IMfectin DNA transfection reagent	GenDEPOT	I7200
West-Q Pico ECL solution	GenDEPOT	W3652
West-Q Femto Clean ECL solution	GenDEPOT	W3680
<b>Constructs and Plasmids</b>		
p3xFLAG-CMV-7.1	Sigma-Aldrich	E4026
p3xFLAG-CMV-7.1/zeocin-human Pol $\eta$	This study	Yoon et al, G&D 2015
p3xFLAG-CMV-7.1/zeocin-human Rev1	This study	Yoon et al, G&D 2015
pEGFP-N1 vector	Clontech	6085-1
pGFP-Pol $\eta$	This study	Yoon et al, G&D 2015
pcDNA3.1-myc-PolQ-flag	Addgene	Plasmid# 73132
pBS vector/CPD	This study	Yoon et al, PNAS 2009

REAGENT or RESOURCE	SOURCE	IDENTIFIER
pBS vector/(6–4)PP	This study	Yoon et al, G&D 2010
Kits		
Transpack packaging extract	Fisherscientific	50–125–041
QIAamp DNA mini kit	Qiagen	51304
QIAquick PCR purification kit	Qiagen	28106
FastLinky DNA ligation kit	GenDEPOT	F0661
AmfiSure ultra fidelity PCR master mix	GenDEPOT	P0346
Spin column for DNA purification	GenDEPOT	S1920
MTS cell proliferation assay	Promega	G5421
SV40 Large T Antigen Lentiviral particles	GeneCopeia	LPP-SV40T- Lv105
Comet assay kit	Trevigen	4252–040
Blood & cell culture DNA midi kit	Qiagen	13343
Restriction and other enzymes		
MfeI	New England Biolab	R3589
SpeI	New England Biolab	R0133
DpnI	New England Biolab	R0176
BamHI	New England Biolab	R3136
SbfI	New England Biolab	R0642
T4 DNA polymerase	New England Biolab	M0203
T4 DNA ligase	New England Biolab	M0202
Dam methyltransferase	New England Biolab	M0222
RnaseA	ThermoFisher Scientific	EN0531
Proteinase K	ThermoFisher Scientific	25530015
Softwares and Algorithms		
Adobe illustrator	Adobe Systems	Version CS5
GraphPad Prism	GraphPad software	Version6
NIS-Elements AR	Nikon	Version4
Oligonucleotides and siRNAs		
human siPolθ 1 (GCCAAUGGUCUGAAUCAAUC)	ThermoFisher Scientific (Ambion)	siRNA ID#s122557
human siPolθ 2 (CCGCUUUUGGAGUCAGUAA)	ThermoFisher Scientific (Ambion)	siRNA ID#s21059
human siRad51 (GGGAAUUAGUGAAGCCAAA)	ThermoFisher Scientific (Ambion)	siRNA ID#s4467
Mouse siPolθ (GCGAAGAGUUUCUGAUGAC)	ThermoFisher Scientific (Ambion)	siRNA 4467ID#s174721
mouse siRad51 (GCAGCAAAAUGGUUCCAA)	ThermoFisher Scientific (Ambion)	siRNA ID# s72671