# Increased risk for posterior circulation ischaemia in patients with vertebral artery hypoplasia: A systematic review and meta-analysis

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## Abstract

**Introduction:** Although several study protocols reported that vertebral artery hypoplasia can predispose to posterior circulation ischaemia, the role of vertebral artery hypoplasia in the risk of posterior circulation ischaemia still remains controversial. The aim of the present meta-analysis was to investigate the association of vertebral artery hypoplasia and posterior circulation ischaemia.

**Patients and methods:** We performed a systematic review and random effects meta-analysis of all eligible observational study protocols reporting prevalence rates of vertebral artery hypoplasia in patients with anterior circulation ischaemia and posterior circulation ischaemia.

**Results:** We identified eight study protocols including a total of 3875 acute ischemic stroke patients (mean age: 64.2 years, 61.3% males) and reporting a pooled prevalence of vertebral artery hypoplasia 18.6% (95%Cl: 10.8–30.0%). In the overall analysis, a significantly higher probability of vertebral artery hypoplasia presence was found in posterior circulation ischaemia patients compared to patients with anterior circulation ischaemia (risk ratio = 2.12, 95%Cl: 1.60–2.82, p < 0.001). In the subsequent sensitivity analysis, vertebral artery hypoplasia was again found to be significantly more prevalent in patients with posterior circulation ischaemia compared to anterior circulation ischaemia (risk ratio = 1.81, 95%Cl: 1.58–2.06, p < 0.001), with no evidence of heterogeneity ( $I^2 = 0$ %, p for Cochran Q = 0.55) between included studies.

**Discussion:** The present report is a meta-analysis of retrospective observational study protocols, with all the inherent limitations of included studies. The heterogeneity on the reported rates of vertebral artery hypoplasia could be attributed to differences in population age, sex, race, imaging protocols and vertebral artery hypoplasia definition between included studies.

**Conclusion:** Our meta-analysis provides further evidence for a possible causal relationship between vertebral artery hypoplasia and cryptogenic posterior circulation ischaemia, an association which undoubtedly deserves further investigation in future prospective study protocols.

## **Keywords**

Vertebral artery hypoplasia, posterior circulation ischaemia, ischemic stroke

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## Introduction

Cryptogenic embolism has been reported to represent about 10% of the ischemic strokes in posterior circulation.<sup>1</sup> Although several study protocols have reported that vertebral artery hypoplasia (VAH) can predispose to posterior circulation ischaemia (PCI) even in young, the role of VAH in the risk of cerebral ischaemia still remains controversial.<sup>2–4</sup>

In the present manuscript, we performed a systematic review and random effects meta-analysis of all Department of Neurology, University of Ioannina School of Medicine, Ioannina, Greece

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Aristeidis H. Katsanos, Department of Neurology, University of Ioannina School of Medicine, University Campus, Ioannina 45110, Greece. Email: ar.katsanos@gmail.com available cohort studies investigating the association of VAH and cerebral ischaemia.

## Methods

Eligible observational study protocols that reported prevalence rates of VAH in patients with anterior circulation ischaemia (ACI) and PCI were identified by searching MEDLINE and SCOPUS databases. The combination of search strings that was used in both database searches included the terms: 'vertebral artery hypoplasia', 'ischemic stroke' and 'cerebral ischaemia'. No language or other restrictions were imposed. Last literature search was conducted on 4 July 2016. All retrieved studies were scanned independently by the two authors (AHK and SG), while any disagreement was resolved with consensus. We excluded from the quantitative/qualitative analysis all case series, case reports and studies not reporting VAH prevalence rates on either ACI or PCI.

We calculated the corresponding risk ratios (RRs) in each included study to express the relative risk of VAH presence in both the aforementioned subgroups. A random effects model (DerSimonian-Laird) was used to calculate the pooled RRs. The equivalent z-test was performed for each pooled RR, and if p < 0.05 it was considered statistically significant. We assessed heterogeneity between studies with the Cochran Q and I<sup>2</sup> statistics. For the qualitative interpretation of heterogeneity,  $I^2$  values of at least 50% were considered to represent substantial heterogeneity, while values of at least 75% indicated considerable heterogeneity, as per the Cochrane Handbook.<sup>5</sup> All statistical analyses were conducted using Review Manager (RevMan) Version 5.3 software (Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration, 2014) and Comprehensive Meta-analysis Version 2 software (Borenstein M, Hedges L, Higgins J, et al. Englewood, NJ: Biostat, 2005).

## Results

Our systematic literature search on MEDLINE and SCOPUS databases highlighted eight potential studies,<sup>6–13</sup> reporting VAH prevalence rates in both patients with ACI and PCI (Figure 1). Included study protocols recruited a total of 3875 acute ischemic stroke patients (mean age: 64.2 years, 61.3% males; Table 1) and reported a pooled prevalence of VAH in 18.6% (95%CI: 10.8–30.0%;  $I^2=98\%$ , p for Cochran Q < 0.001; Figure 2).

In the overall analysis of all included studies, significantly higher rates of VAH presence were found in patients with PCI (31.8% (95%CI: 19.2–48.0%)) compared to patients with ACI (12.8% (95%CI: 7.6–20.7%)) (RR = 2.12, 95%CI: 1.60–2.82, p < 0.001; Figure 3), with considerable heterogeneity among included studies (I<sup>2</sup>=75%, p for Cochran Q < 0.001). In the subsequent sensitivity analysis, after excluding a small-sized study reporting a considerably higher effect size compared to the other studies,<sup>6</sup> VAH was found to be significantly more prevalent in patients with PCI (29.2% (95%CI: 16.6–46.2%)) compared to ACI (15.0% (95%CI: 9.0–24.0%)) (RR = 1.81, 95%CI: 1.58–2.06, p < 0.001; Figure 4), with no evidence of heterogeneity in remaining studies (I<sup>2</sup>=0%, p for Cochran Q=0.55).

## Discussion

In our meta-analysis of available cohort studies, we found that VAH is present in approximately one out of five patients with ischemic stroke, while is almost twice more prevalent in patients with PCI compared to patients with ACI.

We found that the total prevalence of VAH varied significantly among the included studies. This heterogeneity could be attributed to the differences in mean population age across the included studies,<sup>6–13</sup> as a higher prevalence of reported VAH-related PCI is anticipated in younger patients with cryptogenic stroke due to the increase of all other stroke aetiologies (large vessel disease, lacunar, cardioembolic) and stroke risk factors with age.<sup>14,15</sup> Apart from age differences, racial (Asian versus Caucasian) and gender (female versus males) disparities across included studies could reflect imbalances in stroke subtypes and stroke mechanisms,<sup>16–18</sup> and thus be partially responsible for the observed differences in the reported VAH prevalence rates.

Even though it has been reported that VAH can be reliably diagnosed and categorised on cervical MRI scans,<sup>19</sup> the differentiation of VAH from both sten $scale_{2,2,2}$  and  $occlusion^{22}$  still remains challenging. Moreover, taking also into account that contrastenhanced magnetic resonance angiography has been associated with an increased rate of false positive results in the detection of VA stenosis<sup>23</sup> and that CTA has been reported to have a higher sensitivity and positive predictive value than time-of-flight MRA for the detection of intracranial vessel stenosis and occlusion,<sup>24</sup> the use of different imaging modalities in included studies and the lack of information on the time interval from stroke onset to vessel imaging for most study protocols (Table 1) should be regarded as significant limitations of the present meta-analysis and another potential source of heterogeneity on the reported prevalence rates of VAH. It is therefore evident that as all flow-dependent imaging studies bare a high risk of false positive results, the imaging has to be



Figure 1. Flow chart presenting the selection of eligible studies.

evaluated by a specialist to confirm the diagnosis of VAH. Although cerebrovascular ultrasound was used for the definition of VAH in only one of the included studies,<sup>10</sup> the ultrasound's flow profile could be used as an initial screening tool to differentiate between stenosis and hypoplasia,<sup>25</sup> with other imaging modalities (CTA, MRA, DSA) confirming the final diagnosis at a later stage.

Finally, even though VAH is one of the most common congenital vascular variations there is still no standard definition.<sup>2</sup> In the included studies, the threshold of VA diameter for the definition of VAH ranged from 2 to 3 mm (Table 1) and an additional criterion of VA asymmetry diameter ratio of more than 1:1.7 was applied in three out of eight studies.<sup>10,11,13</sup> The disparities in VAH definition could therefore be at least partially responsible for the heterogeneity across included studies and for the strikingly high prevalence of VAH reported in one of the study protocols.<sup>7</sup>

The association between VAH and PCI has also been consistently highlighted in all included studies, except from one,<sup>11</sup> suggesting thus a potentially increased risk of PCI in patients with VAH. Even though Sauer et al.<sup>11</sup> reported that they found no clear evidence of a causal relationship between VAH and cerebral ischaemia, they reported that in their cohort VAH was associated with younger age (p=0.037), stroke localisation in posterior circulation (p = 0.009) and with cerebrovascular ischemic events of 'undetermined' aetiology (p = 0.042, although nonsignificant after Bonferroni correction).<sup>11</sup> Therefore, the only negative study so far on the association of VAH and PCI if interpreted from a different point of view might further enhance the possibility of a causal association, rather than reject it.

Apart from the included cohort studies, several imaging study protocols have also independently reported that VAH can predispose to posterior circulation regional hypoperfusion,<sup>26,27</sup> and that when combined other

<b>Table I.</b> Baseline	s characté	eristics of includ	led studies.								
			No of ∆Is	Mean 200 + SD	Solor	Total			Vessel diamater for		Time from
Study name	Year	Country	patients	age ⊥ 3∪ (years)	(%)	of VAH (%)	(%)	(%)	VAH definition	modality	symptom unset to imaging
Chuang et al. <sup>6</sup>	2006	Taiwan	161	$55.8 \pm 14.0$	68	11.51	54.5	2.5	<2 mm	MRA	≤72 h
Gaigalaite et al. <sup>7</sup>	2016	Lithuania	367	I	I	51.1	58.3	30.5	<3 mm	<b>MRA/CTA</b>	I
Hu et al. <sup>8</sup>	2013	China	841	$64.6 \pm 13.5$	68.6	10.8	17.0	8.5	<2 mm	CE-MRA/(CTA)	I
Park et al. <sup>9</sup>	2007	Korea	529	I	I	26.5	45.6	27.1	_<2 mm	TOF-MRA	$2.9\pm1.8$ days
Perren et al. <sup>10</sup>	2007	Switzerland	725	67.I	61.1	7.4	13.0	4.6	≤2.5 mm or difference to	CDU	1
									contralateral side> 1:1.7		
Sauer et al. <sup>11</sup>	2016	Germany	815	70 土 I <del>4</del>	52.8	13.6	17.1	11.7	≤2.5 mm or difference to contralateral	TOF-MRA	I
Yang et al. <sup>12</sup>	2015	China	235	<b>42.9</b> ± <b>6.3</b>	64.7	16.1	25.0	12.9	<pre>side &gt; 1:1./ &lt;2 mm</pre>	DSA	I
Zhang et al. <sup>13</sup>	2016	China	172	61.3	54.6	33.1	44.8	27.2	<pre>&lt;2 mm or difference to contralateral side &gt; 1:1.7</pre>	CE-MRA	.≤5 days
ACI: anterior circulat magnetic resonance	tion ischae angiograph	imia; AIS: acute isch γ; PCI: posterior	hemic stroke; ( circulation isch	CDU: colour dupl haemia; SD: stand	lex ultrasou Jard deviati	ind; CE: contrast e on; TOF: time of 1	nhanced; CTA: flight; VAH: ver	computed tom tebral artery h	ography angiography; 🛛 ypoplasia.	OSA: digital subtraction	angiography; MRA:

Study name	Statis	stics for each	Event rate and 95% CI					
	Event rate	Lower limit	Upper limit					
Chuang et al	0.115	0.077	0.169	+				
Gaigalaite et al	0.511	0.460	0.562		+			
Hu et al	0.108	0.089	0.131	-				
Park et al	0.265	0.229	0.304		E			
Perren et al	0.074	0.057	0.095	-				
Sauer et al	0.136	0.114	0.161	•				
Yang et al	0.161	0.119	0.214	+				
Zhang et al	0.331	0.265	0.405					
	0.186	0.108	0.300	-	-			
				0.00	0.50	1.00		

Figure 2. Pooled prevalence of vertebral artery hypoplasia reported in the included study protocols.

	VAH in	PCI	VAH in	ACI		<b>Risk Ratio</b>		F	Risk Ratio		
Study or Subgroup	Events	Total	Events	Total	Weight	IV, Random, 95% C		IV, Ra	andom, 95%	CI	
Chuang et al	18	33	4	158	5.4%	21.55 [7.80, 59.53]					
Gaigalaite et al	214	367	39	128	15.7%	1.91 [1.45, 2.52]			-		
Hu et al	39	230	52	611	13.7%	1.99 [1.35, 2.93]					
Park et al	103	226	82	303	16.4%	1.68 [1.33, 2.13]					
Perren et al	32	247	22	478	11.3%	2.81 [1.67, 4.74]					
Sauer et al	35	205	66	566	13.9%	1.46 [1.00, 2.14]			-		
Yang et al	16	64	22	171	10.4%	1.94 [1.09, 3.46]			-		
Zhang et al	26	58	31	114	13.2%	1.65 [1.09, 2.49]			-		
Total (95% CI)		1430		2529	100.0%	2.12 [1.60, 2.82]			•		
Total events	483		318								
Heterogeneity: Tau <sup>2</sup> =	0.11; Chi2	= 27.47	, df = 7 (F	= 0.00	003); l <sup>2</sup> = 7	5%	+			-	-+
Test for overall effect:	Z = 5.24 (I	P < 0.00	0001)				0.02	0.1	1	10	50
								Favours	ACI Favours	S PCI	

Figure 3. Overall analysis on the reported prevalence of vertebral artery hypoplasia in patients with anterior cerebral ischaemia compared to patients with posterior cerebral ischaemia.

	VAH in	PCI	VAH in	ACI		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Gaigalaite et al	214	367	39	128	22.8%	1.91 [1.45, 2.52]	
Hu et al	39	230	52	611	11.6%	1.99 [1.35, 2.93]	
Park et al	103	226	82	303	31.8%	1.68 [1.33, 2.13]	
Perren et al	32	247	22	478	6.4%	2.81 [1.67, 4.74]	8
Sauer et al	35	205	66	566	12.2%	1.46 [1.00, 2.14]	
Yang et al	16	64	22	171	5.2%	1.94 [1.09, 3.46]	
Zhang et al	26	58	31	114	10.1%	1.65 [1.09, 2.49]	
Total (95% CI)		1397		2371	100.0%	1.81 [1.58, 2.06]	•
Total events	465		314				
Heterogeneity: Tau <sup>2</sup> =	0.00; Chi <sup>2</sup>	= 4.99,	df = 6 (P	= 0.55)	; l <sup>2</sup> = 0%	+	
Test for overall effect:	Z = 8.80 (I	P < 0.00	0001)			0.2	0.5 1 2 5
	1000 1000 1000 1000 1000 1000 1000 100						Favours ACI Favours PCI

Figure 4. Sensitivity analysis on the reported prevalence of vertebral artery hypoplasia in patients with anterior cerebral ischaemia compared to patients with posterior cerebral ischaemia.

risk factors can result in the clinical manifestation of PCI.<sup>28</sup> This theory suggests that normally when blood flow on the one vertebral artery is temporarily reduced, the flow on the opposite vertebral artery is compensatory augmented to provide sufficient flow in the basilar artery, but in severe VAH the blood flow is reduced to a greater degree than the contralateral vertebral artery can reverse resulting thus in unbalanced haemodynamics and in inadequate blood supply to the brain.<sup>29</sup>

Small diameter arteries have also been reported to be more vulnerable to stenosis or occlusion, as its low flow velocity predisposes to prothrombotic or atherosclerotic processes in the presence of conventional vascular risk factors,<sup>30</sup> and therefore PCI may occur as a result of artery-to-artery embolism from the low-flowed stenotic VA.<sup>31</sup> VAH has also been associated with an increased risk of ipsilateral VA dissection compared to the normal counterpart, providing thus another possible stroke pathomechanism of artery-to-artery embolism from the hypoplastic vessel,<sup>32</sup> while the increased vessel diameter of the contralateral to the hypoplastic VA could provide a route prone to the transfer of cardiac emboli due to its low resistance and increased blood flow.<sup>33</sup>

According to the aforementioned data, we consider that all patients with PCI and VAH should undergo a comprehensive diagnostic stroke workup to exclude both other VA abnormalities (dissection, stenosis or occlusion) and occult cardioembolism.<sup>34–36</sup> Since no data are available to date on either the primary or secondary stroke prevention of patients with VAH, VAH patients with PCI and no other evident stroke aetiology should be treated according to the current guidelines for cryptogenic stroke.<sup>37,38</sup>

In conclusion, we considered that our meta-analysis of available retrospective cohort studies indicates a possible association between VAH and cryptogenic PCI. As only retrospective data are available to date, this association undoubtedly deserves further investigation in future prospective study protocols not only to elucidate further the potential causality but also to provide invaluable data on the underlying stroke mechanisms and potential therapeutic approaches.

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### Ethical approval

Not applicable (the present report is a review of already published studies).

## Guarantor

AHK.

#### Contributorship

AHK and SG researched literature and conceived the study. AHK and SG wrote the first draft of the manuscript. All authors reviewed and edited the manuscript and approved the final version of the manuscript

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