

## ORIGINAL ARTICLE

# Mouse *Cntnap2* and Human CNTNAP2 ASD Alleles Cell Autonomously Regulate PV<sup>+</sup> Cortical Interneurons

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## Abstract

Human mutations in *CNTNAP2* are associated with an array of neuropsychiatric and neurological syndromes, including speech and language disorders, epilepsy, and autism spectrum disorder (ASD). We examined *Cntnap2*'s expression and function in GABAergic cortical interneurons (CINs), where its RNA is present at highest levels in chandelier neurons, PV<sup>+</sup> neurons and VIP<sup>+</sup> neurons. In vivo functions were studied using both constitutive *Cntnap2* null mice and a transplantation assay, the latter to assess cell autonomous phenotypes of medial ganglionic eminence (MGE)-derived CINs. We found that *Cntnap2* constitutive null mutants had normal numbers of MGE-derived CINs, but had reduced PV<sup>+</sup> CINs. Transplantation assays showed that *Cntnap2* cell autonomously regulated the physiology of parvalbumin (PV)<sup>+</sup>, fast-spiking CINs; no phenotypes were observed in somatostatin<sup>+</sup>, regular spiking, CINs. We also tested the effects of 4 human *CNTNAP2* ASD missense mutations in vivo, and found that they impaired PV<sup>+</sup> CIN development. Together, these data reveal that reduced *CNTNAP2* function impairs PV<sup>+</sup> CINs, a cell type with important roles in regulating cortical circuits.

**Key words:** *CNTNAP2*, Cortical interneuron, fast-spiking, MGE, parvalbumin

## Introduction

Human *CNTNAP2* encodes the CASPR2 protein, a member of the neurexin family of cell adhesion molecules. In recent years, the scope of human disorders associated with *CNTNAP2* has grown (for reviews see: Rodenas-Cuadrado et al. 2014; Poot 2015, 2017). Notably, *CNTNAP2* dysfunction in humans has been implicated in speech and language disorders, including Gilles de la Tourette syndrome, stuttering, and selective mutism (Verkerk et al. 2003; Petrin et al. 2010; Stein et al. 2011; Zhao et al. 2015). Notably, there is evidence that *CNTNAP2* is directly repressed by *FoxP2*, a gene implicated in speech and language disorders. In addition, *CNTNAP2* mutations have been discovered in individuals with cortical dysplasia-focal epilepsy syndrome (Strauss et al. 2006), Pitt-Hopkins like syndrome (Zweier et al. 2009), Schizophrenia (Friedman et al. 2008; Ji et al. 2013),

obsessive compulsive disorder (Verkerk et al. 2003), attention deficit hyperactivity disorder (Elia et al. 2010) as well as autism spectrum disorder (ASD) (Alarcon et al. 2008; Arking et al. 2008; Bakkaloglu et al. 2008; Poot et al. 2010).

In mice and zebrafish, *Cntnap2* has been implicated in many biological processes, including clustering potassium channels at the juxtaparanodes of myelinated axons, trafficking Glutamatergic AMPA receptors, positively regulating synaptic strength and the number of GABAergic neurons (Horresh et al. 2008; Anderson et al. 2012; Varea et al. 2015; Hoffman et al. 2016).

*Cntnap2* is broadly expressed in the developing and mature central nervous system (Gordon et al. 2016). Due to the number of disorders implicated in *CNTNAP2* dysfunction, one idea is that CASPR2 may serve as a hub at the cell membrane that

integrates multiple brain regions and coordinates several developmental and maturational processes through its association with both extracellular- and intracellular-binding partners (Rodenas-Cuadrado et al. 2014; Poot 2015, 2017).

Of note, recent reports have implicated CNTNAP2 in inhibitory (GABAergic) neuron development/maturation. While cortical excitation is primarily mediated by glutamatergic projection neurons and thalamic afferents, cortical inhibition is primarily mediated by locally projecting GABAergic cortical interneurons (CINs) and basal telencephalic GABAergic projection neurons. CINs have diverse morphologies, molecular makeup and electrophysiological properties; they mediate inhibition in distinct ways (Wonders and Anderson 2006; Kepecs and Fishell 2014; Kessaris et al. 2014). For instance, parvalbumin (PV)<sup>+</sup> basket CINs are fast spiking, and innervate the cell body of pyramidal neurons, whereas chandelier neurons (many of which are PV<sup>+</sup>) innervate the initial axon segment (Huang et al. 2007). Mouse mutants lacking *Cntnap2* have been reported to have decreased CINs, including the parvalbumin (PV)<sup>+</sup>, neuropeptide-Y (NPY)<sup>+</sup>, and calretinin (CR)<sup>+</sup> subgroups (Peñagarikano et al. 2011). Moreover, the number of GABAergic neurons is decreased in zebrafish with *Cntnap2* deletion (Hoffman et al. 2016). Interestingly, loss of function of another neurexin family member, *Cntnap4*, led to decreased GABAergic activity and increased dopaminergic activity, and resulted in PV<sup>+</sup> CINs that had dampened firing properties (Karayannis et al. 2014). These data suggest that CNTNAP family members may control aspects of CIN development/maturation. However, little is known about CNTNAP2's function within CINs, in part because of its broad expression, making it impossible to ascertain its cell autonomous functions in the *Cntnap2* constitutive null mouse.

Deficits in CINs have been identified in both humans diagnosed with ASD patients and in genetic animal models of ASD (Peñagarikano et al. 2011; Han et al. 2012; Karayannis et al. 2014; Vogt, Cho et al. 2015; Hoffman et al. 2016; Hashemi et al. 2017). Thus, it is important to rigorously establish whether and how human neurological disease risk genes regulate CIN development and function. Moreover, many types of mutations (e.g., nonsense, missense, and synonymous) have been discovered in humans with neuropsychiatric disorders but their potentially diverse impacts on protein function is not well understood. Of note, missense mutations have been the most difficult to evaluate as they are not obviously deleterious. Notably, in some individuals both alleles of CNTNAP2 are mutated and are either known to, or predicted to, lead to no functional protein (Strauss et al. 2006; Zweier 2012; Watson et al. 2014; Rodenas-Cuadrado et al. 2016). While these and other mutations discovered in CNTNAP2 have been either studied in detail or predicted to be deleterious, there are many missense mutations for which little is known. In addition, there is little understanding as to whether these mutations result in functional changes in CNTNAP2. Moreover, the role that CNTNAP2 dysfunction may play in ASD symptoms is still under investigation.

Herein, CNTNAP2 function was assessed in mouse CIN development and maturation using a constitutive *Cntnap2* null mouse. In conjunction, we used a transplantation method to assess cell autonomous roles for mouse *Cntnap2* in CINs. While no gross alterations were observed in the expression of several potassium channels (KV1.1, KV3.1, Kv4.2, and Kv4.3) in the null mice, there were changes in the expression of specific CIN markers and the fast-spiking CINs had altered physiology. Notably, *Cntnap2* mutant mice had reduced numbers of MGE-derived

(PV<sup>+</sup>) and CGE-derived (REELIN<sup>+</sup>/SST<sup>-</sup>) CINs. The transplantation assay showed that *Cntnap2* is necessary to autonomously establish the number and electrophysiological properties of PV<sup>+</sup> CINs. Finally, we assessed the impact of human ASD CNTNAP2 mutations in CIN development using a recently developed transduction/transplantation assay. We found that these human alleles were either hypomorphic or loss of function in autonomously promoting PV<sup>+</sup> CIN development.

## Materials and Methods

### Animals

*Cntnap2* knockouts (Poliak et al. 2003), Ai14 Cre-reporters (Madisen et al. 2010), and *Nkx2.1-Cre* (Xu et al. 2008) mouse strains have been published. Mice were initially on a mixed C57BL6/J, CD-1 background. All lines were backcrossed to CD-1 for several generations before analysis. For timed pregnancies, noon on the day of the vaginal plug was counted as embryonic Day 0.5. Animal care and procedures were performed according to the University of California at San Francisco Laboratory Animal Research Center guidelines. For single cell RNA analysis, we used the following combination of mice: chandelier cells (CHC) and PV basket cells in the cortex were labeled using the *Nkx2.1-CreER* (Taniguchi et al. 2013) (Tamoxifen induced at E17.5) and *PV-IRES-Cre* (Hippenmeyer et al. 2005) lines, which were crossed with the Ai14 reporter mouse. Intersectional labeling was achieved by breeding each of the following separately to the Ai65 intersectional reporter (Madisen et al. 2015) to label (1) *Sst-Flp*; *Nos1-CreER* for Long projecting cells (He et al. 2016), (2) *Sst-Flp*; *CR-Cre* (He et al. 2016) for Martinotti cells, (3) *VIP-Flp* (He et al. 2016); *CR-Cre* for Interneuron selective cells and (4) *VIP-Flp*; *CCK-Cre* (He et al. 2016) for Cck-basket cells. For these latter mice, the Cold Spring Harbor Laboratory animal husbandry protocol was followed (IACUC 16-13-09-8).

### Cell Counting and Statistical Analysis

For cell counts from the somatosensory cortex in constitutive mouse mutants, Image-J was used to calculate the number of CINs and then this number was divided by the area of the cortex to determine cell density. Two sections were counted from each mouse and averaged to generate each (*n*). Cell density and western blots were statistically analyzed using one-way ANOVA, followed by the Tukey's post test to determine significance. For post-hoc analysis, normality, homogeneity and independence were met. For transplanted cell counts, Image-J was also used, and those cells that were co-labeled for tdTomato and the CIN marker were counted. Since the transplanted cell data are normalized to the number of total cells transplanted, we used a nonparametric test, the Chi-squared analysis, to determine significance. For these counts, cells were assessed in multiple parts of the neocortex, due to the low number, and data were only included if at least 50 cells were counted per sample. Statistics were analyzed using Prism 6.

### DNA Vector Generation

To generate the *Dlx12b*-BG-MCS-IRES-Cre vector, the T2a site was excised from a previously reported vector (Vogt, Cho et al. 2015) and replaced with an IRES sequence. The IRES sequence was amplified from the *pIRES2-EGFP* vector (Clontech) with primers (5' GAGATGTACAACCGGATCCGCCCTCT, 3' GAGAGAA TTCTGTGGCCATATTATCATCG) that introduced BsrGI and

EcoRI sites, and then inserted into these sites in the previous vector. Next, human *CNTNAP2* cDNA (ABM) was used as a template to PCR amplify the gene with introduced 5' XbaI and 3' BsrGI sites, using the primers: (5'GAGATCTAGAATGCA GGCGGCTCCGCG; 3'GAGATGTACATCAAATGAGCCATTCCTT). The human *CNTNAP2* PCR fragment was cloned into these sites within the MCS of the vector. To introduce human ASD allele mutations, extension-overlap PCR was used to introduce each mutation using the following primers with introduced mutations (underlined) in combination with flanking primers (those used to amplify full length *CNTNAP2*, above): N407>S (5' mutant GGAACCCAGTGGTCTCCTGGTCTTCAGTCACCTTG, 3' mutant CAGGAGACCACTGGGGTTCCATGTCTCTAACTGG); N418>D (5' mutant CTTTGGCGATGATTTGGGCAATGTGGAGATTGACCTC, 3' mutant CATTGCCAAATCATCCGCAAAGTGACTGAAGACCAG); G731>S (5' mutant GTGCCTGCAGCATCGAACGCAACTGCACAGATCCCAAG, 3' mutant GCGTTCGATGCTGCAGGCACATTTCTGGATTCCAGG); T1278>I (5' mutant ATCCTGTGCATCCTGGTCTTCCTGATCCGGTACATG, 3' mutant GAAGACCAGGATGCACAGGATGGTGAAAATCACCAC). First, 2 PCR products were generated with the primers: (5' flanking and 3' mutant, 5' mutant, and 3' flanking), using human *CNTNAP2* cDNA as a template. Next, these PCR products were combined together and PCR amplified using the *CNTNAP2* flanking primers (above) with introduced 5' XbaI and 3' BsrGI sites. These full length PCR products were then ligated 5' to the IRES element in the XbaI and BsrGI sites of the *Dlx12b*-BG-MCS-IRES-Cre lentiviral vector. All vectors were verified by sequencing.

### Electrophysiology

Slice preparation and intracellular recordings followed our published protocol (Sohal and Huguenard 2005). Coronal slices, 250  $\mu$ m thick, from mice of either sex were cut in a chilled slicing solution in which Na<sup>+</sup> was replaced by sucrose, then incubated in warmed ACSF at 30–31 °C for 15 min and then at least one hour at room temperature before being used for recordings. ACSF contained (in mM): 123 NaCl, 26 NaHCO<sub>3</sub>, 3 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 1 MgCl<sub>2</sub>, 2 CaCl<sub>2</sub>, and 11 glucose. Slices were secured by placing a harp along the midline between the 2 hemispheres.

### Intracellular Recordings

Somatic whole-cell patch recordings were obtained from tdTomato<sup>+</sup> CINs in somatosensory cortex on an upright microscope (BX51WI; Olympus). Recordings were made using a Multiclamp 700A (Molecular Devices). Patch electrodes (tip resistance = 2–6 M $\Omega$ ) were filled with the following (in mM): 130 K-gluconate, 10 KCl, 10 HEPES, 10 EGTA, 2 MgCl<sub>2</sub>, 2 MgATP, and 0.3 NaGTP (pH adjusted to 7.3 with KOH). All recordings were at 32.5  $\pm$  1 °C. Series resistance was usually 10–20 M $\Omega$ , and experiments were discontinued above 30 M $\Omega$ .

### Analysis of intrinsic properties

Intrinsic properties were calculated based on the current-clamp responses to a series of 250 msec current pulse injections from –200 to 450 pA (50 pA/increment). Input resistance was calculated from the voltage response to a –50 pA, 250 ms current pulse. Spiking properties were calculated based on the response to a current pulse that was 100 pA above the minimal level that elicited spiking. Recorded CINs were therefore subdivided into fast-spiking (FS) or non-FS based on electrophysiological properties. Since fast-spiking PV<sup>+</sup> CINs have minimal spike adaptation and lower input resistances compared to SST<sup>+</sup> CINs (Kawaguchi 1993; Kawaguchi and Kubota 1996), cells were

separated into accommodating and nonaccommodating cells based on adaptation ratio and input resistance. Specifically, we classified a CIN as fast-spiking if the adaptation ratio was <1.3, and the input resistance was <350 M $\Omega$ . All data show means  $\pm$  SEM and are analyzed using 2-tailed Student's unpaired t-tests.

### HEK293T Cell Cultures

HEK293T cells were maintained in DMEM supplemented with 10% fetal bovine serum. For analysis of the human *CNTNAP2* expression vectors, the vectors were transfected into HEK293T cells using Lipofectamine<sup>2000</sup> (ThermoFisher). After 48 h, the cells were fixed with 4% paraformaldehyde (PFA) and immunolabeled with a rabbit anti-CASPR2 (Millipore) antibody. The appropriate Alexa-conjugated secondary was used to detect CASPR2<sup>+</sup> cells and DAPI was used to detect cell nuclei. Lentiviral production using HEK293T cells were performed as previously described (Vogt, Wu et al. 2015).

### Immunofluorescence tissue staining

Either P30 *Cntnap2* constitutive KO mice and controls, or P35 MGE-transplanted mice, were transcardially perfused, first with phosphate-buffered saline, followed by 4% PFA. After perfusion, brains were removed and postfixed in PFA for 30 min, then sunk in 30% sucrose overnight before embedding in OCT and freezing. About 25  $\mu$ m coronal brain sections were made using a cryostat. Immunofluorescence labeling was performed on these cryosections with the following primary antibodies: rabbit anti-parvalbumin (Swant), rat anti-somatostatin (Millipore), rabbit anti-VIP (Immunostar), mouse anti-Reelin (Millipore). The appropriate 488, 594, or 647 Alexa-conjugated secondary antibodies were from Life Technologies. Sections were coverslipped with Vectashield containing DAPI (Vector labs).

### MGE Transplantation

MGE transplantations were done as described (Vogt, Wu et al. 2015). Briefly, E13.5 MGE tissue from either *Cntnap2*<sup>+/-</sup> or *Cntnap2*<sup>-/-</sup> embryos were dissociated, then transduced with lentiviruses for 30 min. Next, the cells were washed to remove excess virus, pelleted and then transplanted into the cortices of WT P1 host mice. The cells developed for 35 days in vivo, and were then assessed via native tdTomato fluorescence.

### Lentiviral Production

Lentiviral production was performed as previously described (Vogt, Wu et al. 2015). Briefly, HEK293T cells were transfected using Lipofectamine2000 (Invitrogen) with 4 plasmids to generate lentivirus particles as previously described, including the lentiviral expression vector, *pVSV-g*, *pRSVr*, and *pMDLg-pRRE*. Media containing virus was collected and ultracentrifuged at 100 000  $\times$  g for 2.5 h at 4 °C. After the ultracentrifuge step, supernatant was removed and the pellet was resuspended in sterile PBS then stored at –80 °C until use.

### Single Cell RNA Analysis

#### Manual Cell Sorting

Single cells were collected from P28–35 animals by manual sorting procedure as previously described (Paul et al. 2012). Brains were sectioned at 300  $\mu$ m thickness, micro-dissected, and dissociated. Single RFP-positive cells were collected using patch pipette capillary and dispensed individually into separate

single tubes pre-filled with RNaseOUT (Invitrogen), ERCC spike-in RNAs in 1:400 K dilution, sample specific RT primers. Process was repeated to collect 32–64 cells in one manual cell sorting session. Cells were flash frozen in liquid nitrogen and stored at  $-80^{\circ}\text{C}$  until processed. Patch pipette was single use only and fresh pipettes were used for every single cell collected.

#### Linear RNA Amplification, Illumina Library Prep, and Sequencing

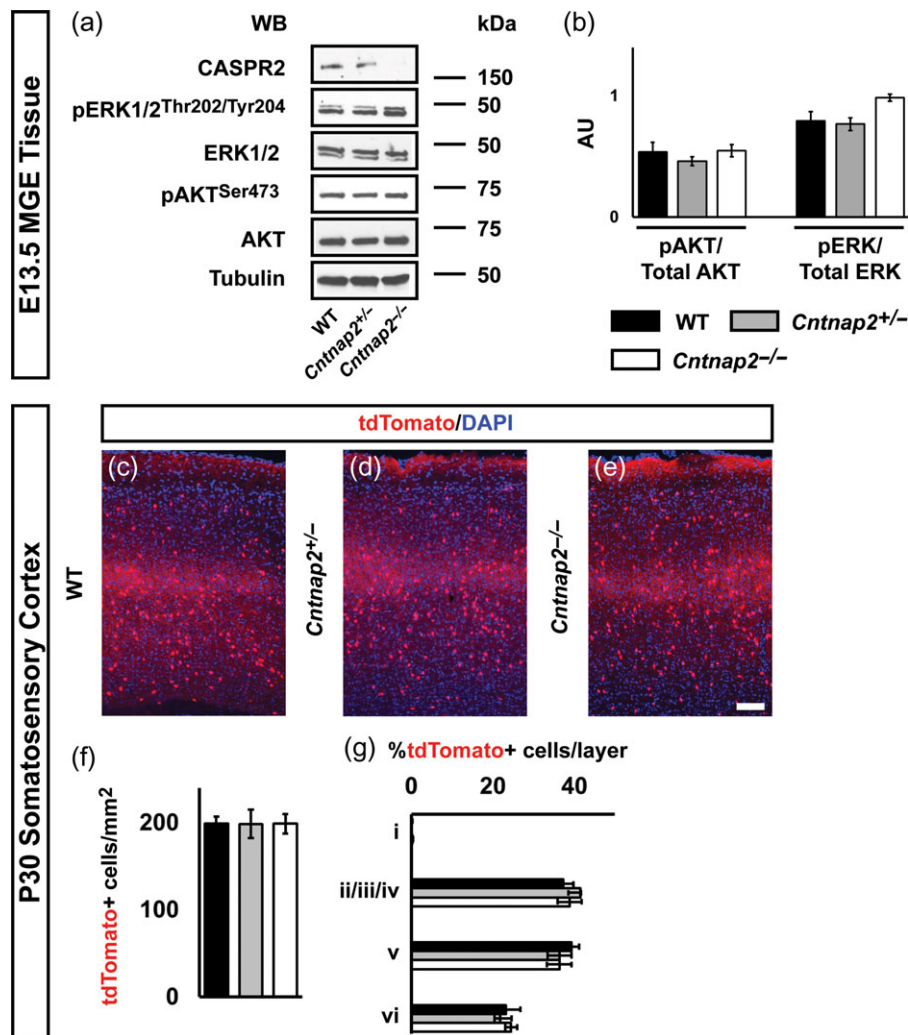
RNA was linearly amplified by T7 RNA polymerase using 2 rounds of in vitro transcription (MessageAmp-II kit Life Technologies) according to the manufacturer's recommended protocol with some modifications to make aRNA. Second round aRNAs were fragmented chemically using NEBNext<sup>®</sup> Magnesium RNA Fragmentation Module (Cat#E6150S), column purified using RNA MinElute (Qiagen) for final Illumina cDNA library preparation steps using Illumina TruSeq small RNA kit (Cat#RS-200-0012) (Hashimshony et al. 2012). The resulting library was paired-end sequenced for 101 bp in Illumina HiSeq.

#### Mapping and Tag Counting

Bowtie was used for sequence alignment of read2 (polyA primed) to the mouse reference genome (mm9), and read1 sequences were used for UMI (varietal-tag) counting. Using a custom python script (<https://github.com/maggiecrow/scCoexp>), multiple reads to the same gene with the same tag sequences were rejected and only counted as one, such that only mapped sequences with unique tags were retained and tallied for each mRNA for each cell.

#### Western Blotting

E13.5 MGE tissue was dissected and lysed in RIPA buffer (150 mM NaCl, 1% NP-40, 0.1% SDS, 0.5% sodium deoxycholate in 50 mM Tris) supplemented with protease (Halt protease inhibitor, Pierce) and phosphatase (PhosSTOP, Roche) inhibitors.  $\sim 20\mu\text{g}$  of total protein was loaded into an SDS-PAGE gel, separated, and transferred to a nitrocellulose membrane. The membrane was probed with the following antibodies: rabbit



**Figure 1.** *Cntnap2*<sup>-/-</sup> mice exhibit an increased trend for pERK1/2 in embryonic MGE but no gross changes in MGE-derived CIN numbers or lamination. Western blots from E13.5 MGE tissue lysates showing CASPR2, pERK1/2<sup>Thr202/Tyr204</sup>, total ERK1/2, pAKT<sup>Ser473</sup>, total AKT, and  $\beta$ III-tubulin (loading control) (a). (b) quantification of the levels of pAKT or pERK divided by the total amount of AKT or ERK expressed as arbitrary units (AU). (c–e) Immunofluorescence images in coronal sections showing MGE-derived CINs, tdTomato<sup>+</sup> and co-labeled with DAPI, in the somatosensory cortex at P30. (f) Quantification of tdTomato<sup>+</sup> cell density in the somatosensory cortex at P30. (g) Quantification of the proportion of tdTomato<sup>+</sup> cells per lamina at P30. Data are expressed as mean  $\pm$  SEM.  $n = 3$ , all groups. Scale bar in (e) = 100  $\mu\text{m}$ .



anti-CASPR2 (Millipore), rabbit anti-pAKT<sup>Ser473</sup> (Cell Signaling), rabbit anti-total AKT (Cell Signaling), pERK1/2<sup>threonine 202/tyrosine 204</sup> (Cell Signaling), total ERK1/2 (Cell Signaling), mouse anti- $\beta$ -tubulin (Covance), and the species-appropriate HRP-conjugated secondary antibodies (Biorad). HEK293T cells were transfected with human CNTNAP2 expression vectors using Lipofectamine<sup>2000</sup> (ThermoFisher), and cell lysates were collected after 48 h in the same manner described above. The rabbit anti-CASPR2 (Millipore), rabbit anti-GFP (ThermoFisher) and appropriate HRP-conjugated antibodies (BioRad) were used to detect proteins.

## Results

### *Cntnap2* Constitutive Mutants have Normal Numbers of CINs in the *Nkx2-1* Lineage, but Decreased PV and Reelin Expression

Towards obtaining a deeper understanding of the role of *Cntnap2* in CIN development and function, we first studied the constitutive null mutant (Poliak et al. 2003). We concentrated on CINs derived from the medial ganglionic eminence (MGE). Thus, we began by assaying CASPR2 protein expression in embryonic day (E) 13.5 MGE tissue from WT, *Cntnap2*<sup>+/-</sup>, and *Cntnap2*<sup>-/-</sup> using western blotting. We found that CASPR2 was expressed in the MGE at this age, and its expression was not detectable in *Cntnap2*<sup>-/-</sup> MGE tissue (Fig. 1a).

Little is known about whether the CASPR2 protein regulates intracellular signaling. Thus, we compared various signaling pathways from WT, *Cntnap2*<sup>+/-</sup>, and *Cntnap2*<sup>-/-</sup> in E13.5 MGE tissue. We focused on signaling pathways that are implicated in some subtypes of ASD: MAPK and PI3K/AKT/mTOR pathways (Chen et al. 2014; Wen et al. 2016). We assayed the levels of ERK1/2 and AKT proteins as well as phosphorylated epitopes of these proteins that are indicative of increased activity: pAKT<sup>serine 473</sup>, pERK1/2<sup>threonine 202/tyrosine 204</sup>. While there was an increased trend for pERK1/2<sup>threonine 202/tyrosine 204</sup> (Fig. 1a), these levels were not significantly different than WTs (Fig. 1b). We also found no change in pAKT<sup>serine 473</sup>. Due to the increased trend in pERK embryonically, we explored whether *Cntnap2*<sup>-/-</sup> mice had a change in MGE

proliferation (at E13.5 and E15.5) and cell survival at postnatal day (P) 0 and P7, but did not observe any changes (data not shown).

Since earlier reports have shown decreased numbers of GABAergic neurons and/or decreased CIN subgroups after *Cntnap2* deletion (Peñagarikano et al. 2011; Hoffman et al. 2016), we assessed numbers of CINs and the different CINs subgroups in adult mouse brains at P30. Our primary goal was to understand if the number of CINs derived from the MGE and Preoptic Area (POA) were altered in *Cntnap2*<sup>-/-</sup> mice, since these population comprise ~70% of all CINs. To this end, we crossed the *Nkx2.1-Cre* allele (Xu et al. 2008) into mice that had the *Cntnap2* null allele. In addition, the Cre-dependent reporter, Ai14 (Madisen et al. 2010), was also utilized to follow tdTomato<sup>+</sup> (red fluorescence) MGE and POA-derived cells. Notably, loss of *Cntnap2* did not change the number of tdTomato<sup>+</sup> CINs in the P30 somatosensory cortex (Fig. 1c-f), or their laminar distribution at this age (Fig. 1g). Finally, we examined the number of MGE and POA-derived cells in the striatum, and pallidum but found no differences (data not shown). Overall, these results suggest that *Cntnap2*<sup>-/-</sup> mice generate and maintain normal numbers of MGE and POA-derived CINs by P30.

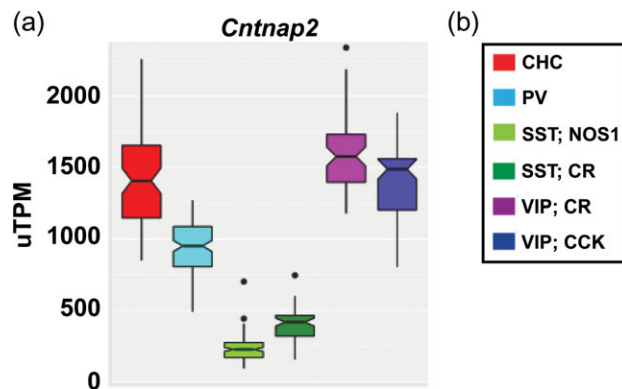
While *Cntnap2* is expressed in MGE tissue (Fig. 1), it is unknown whether it is also expressed in postnatal CINs. To assess this, we performed single cell RNA sequencing from known Cre-driver lines to assess the level of *Cntnap2* transcript in cells from P28–P35 as previously described (Paul et al. in press). *Nkx2.1-CreER* and *PV-IRES-Cre* crossed to Ai14 reporter mice were used to isolate adult chandelier cells (CHC) and PV<sup>+</sup> cells, respectively. These cells had high levels of *Cntnap2* transcripts in the adult cortex compared with other CIN subtypes (Fig. 2a,b). Next, we used a combination of *Sst-Flp* and *Nos1-CreER* lines to isolate SST<sup>+</sup> long projecting cells or *Sst-Flp* with the *calretinin (CR)-Cre* to label SST<sup>+</sup> Martinotti cells. While there was *Cntnap2* transcript in these populations (Fig. 2a,b), it was lower than in the CHC and PV lineage groups. Finally, we examined CGE-derived VIP<sup>+</sup> CINs by utilizing a combination of the *VIP-Flp* with *CR-Cre* to isolate interneurons or the *VIP-Flp* with *CCK-Cre* to label CCK<sup>+</sup> basket cells. Each of these VIP<sup>+</sup> groups also had high levels of *Cntnap2* transcript (Fig. 2a,b). Overall, many CINs express *Cntnap2* in the postnatal cortex, with higher levels of expression in the CHC/PV<sup>+</sup> and VIP<sup>+</sup> subgroups and lower expression in the SST<sup>+</sup> subgroups. Due to a lack of Cre-driver lines to specifically isolate the REELIN<sup>+</sup>; SST<sup>-</sup> CINs derived from the CGE, we do not know if *Cntnap2* is expressed in these cells postnatally.

Next, we assessed whether distinct groups of CINs were affected in *Cntnap2* mutants. To this end, we first examined parvalbumin (PV)<sup>+</sup> and somatostatin (SST)<sup>+</sup> CINs; the main CINs derived from the MGE. There was ~24% decrease in PV-expressing CINs in *Cntnap2*<sup>-/-</sup> cortices (Fig. 3a–d, *P* = 0.006), similar to a previous report (Peñagarikano et al. 2011). We found no change in SST<sup>+</sup> CINs (Fig. 3e–h).

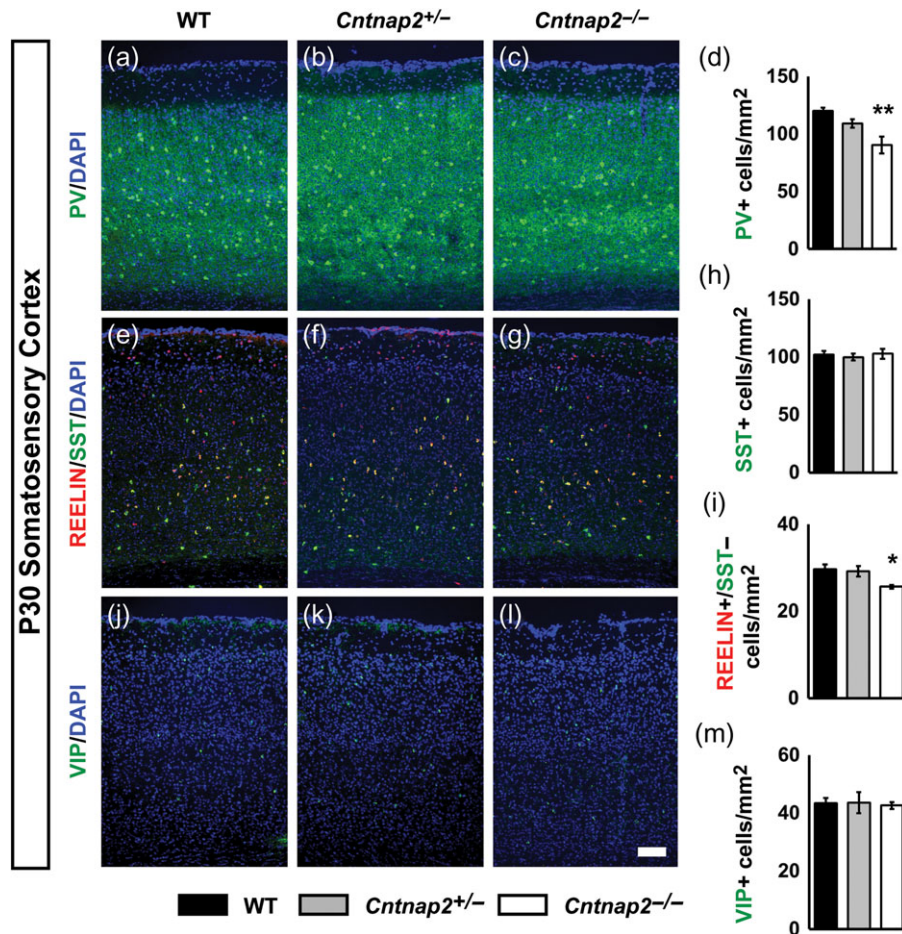
We then investigated CGE-derived CINs, including those expressing vasoactive intestinal peptide (VIP) or REELIN (but that do not express SST) (Wonders and Anderson 2006; Miyoshi et al. 2010). Notably, there was ~13% decrease in REELIN<sup>+</sup>/SST<sup>-</sup> CINs (Fig. 3e–g,i, *P* = 0.049). However, no differences in VIP<sup>+</sup> CINs were evident (Fig. 3j–m). Thus, specific subgroups of both MGE and CGE-derived CINs were reduced in *Cntnap2*<sup>-/-</sup> mutants.

### Cell Autonomous Role of CNTNAP2 in Mediating Cell Intrinsic Properties of PV<sup>+</sup> Fast-Spiking CINs

It is unknown whether *Cntnap2* loss of function alters the molecular and/or physiological properties of CINs via cell



**Figure 2.** *Cntnap2* expression in different CIN subgroups. Transcript levels of *Cntnap2* from purified single GABAergic neurons from adult mouse cortices using distinct Cre-driver lines (see methods for details). (a) Box plots showing quantification of the unique transcripts per million (uTPM) for *Cntnap2*. Single CHC and PV<sup>+</sup> CINs as well as VIP<sup>+</sup> CINs (both CR<sup>+</sup> and CCK<sup>+</sup>) have high levels of *Cntnap2* in the adult cortex. While SST<sup>+</sup> CINs (both Nos1<sup>+</sup> and CR<sup>+</sup>) express *Cntnap2*, the number of unique transcripts per million is lower than the previous groups. Single black dots in (a) represent outlier values. (b) Legend to denote the types of single cells assessed. CHC, Chandelier Cell; CR, calretinin; CCK, cholecystokinin; Nos, Nitric Oxide Synthase.



**Figure 3.** *Cntnap2* constitutive null mice have decreased numbers of the CIN markers PV and REELIN in the somatosensory cortex. Immuno-fluorescent images from the somatosensory cortex of P30 WT (a, e, j), *Cntnap2*<sup>+/-</sup> (b, f, k), or *Cntnap2*<sup>-/-</sup> (c, g, l) mice. Images show both MGE-derived (PV<sup>+</sup> and SST<sup>+</sup>) and CGE-derived (VIP<sup>+</sup> and REELIN<sup>+</sup>/SST<sup>-</sup>) CINs co-stained with DAPI. Quantification of the cell density of PV<sup>+</sup> (d), SST<sup>+</sup> cells (h) or REELIN<sup>+</sup>/SST<sup>-</sup> (i) or VIP<sup>+</sup> (m) cells in the somatosensory cortex at P30. Data are represented as mean  $\pm$  SEM.  $n = 3$ , all groups. Scale bar in (l) = 100  $\mu$ m. \* $P < 0.05$ , \*\* $P < 0.01$ .

autonomous mechanisms, and/or by secondary defects induced by other cells. A *Cntnap2* conditional mouse could help solve this problem, however, this mouse does not currently exist to our knowledge. To overcome this roadblock, we employed an MGE cell transplantation approach (Vogt, Wu et al. 2015), in which E13.5 *Cntnap2*<sup>-/-</sup> MGEs (containing immature CINs) are transplanted into the normal environment of a P1 WT cortex, where they develop and mature *in vivo*. We fluorescently labeled WT, *Cntnap2*<sup>+/-</sup>, and *Cntnap2*<sup>-/-</sup> MGE cells with tdTomato expression, by including the *Nkx2.1-Cre* and *Ai14* alleles, and assessed their electrophysiological properties at 6-8 weeks post transplantation (see schema, Fig. 4a).

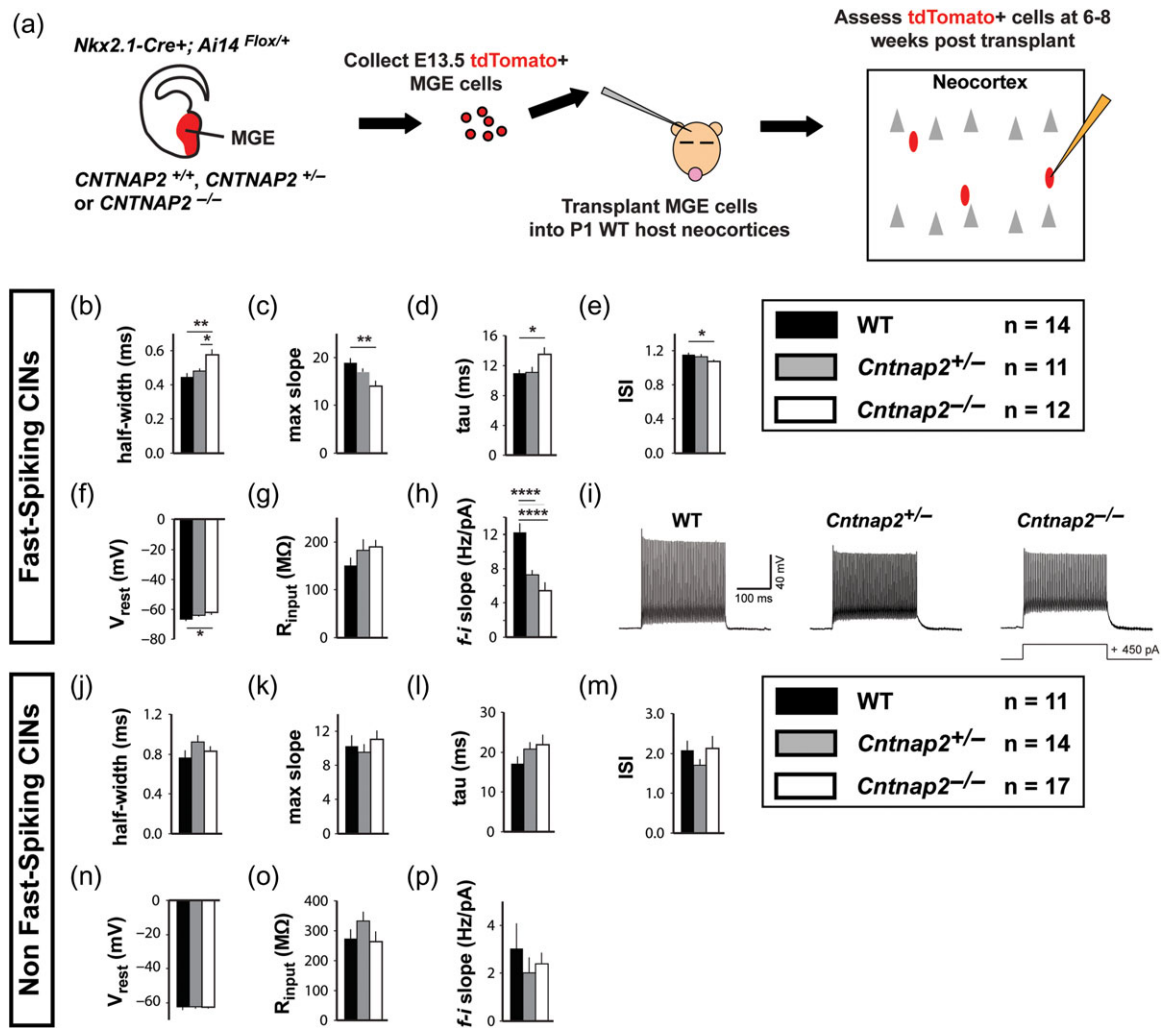
The transplanted tdTomato<sup>+</sup> CINs were separated into 2 subgroups based on their spike responses to depolarizing current pulses: accommodating (non fast-spiking, likely SST<sup>+</sup> CINs) or nonaccommodating (fast-spiking, likely PV<sup>+</sup> CINs) (see Materials and Methods section). Notably, the nonaccommodating, presumed PV<sup>+</sup>, CINs, had multiple parameters that were changed in *Cntnap2*<sup>-/-</sup> mutants. Based on their responses to a series of current injections, the nonaccommodating interneurons lacking *Cntnap2* displayed wider spikes, smaller maximum rate of rise of membrane voltage during spike rising phase, slower membrane time constants, greater adaptation ratios, and more depolarized resting membrane potentials compared to controls (Fig. 4b-f, WT compared to null: half-width  $P = 0.002$ , max slope  $P = 0.002$ , tau

$P = 0.02$ , ISI  $P = 0.01$ ). Example traces for nonaccommodating CINs are shown in Figure 4i. These changes suggest a role for *Cntnap2* in the properties of voltage-dependent sodium and/or potassium and/or sodium channels, which mediate action potentials and repolarization in nonaccommodating CINs. Interestingly, in *Cntnap2* heterozygote CINs several of these properties had values intermediate between those of the WT and *Cntnap2* null CINs. While one (action potential half-width) was significantly different between the heterozygotes and nulls (Fig. 4b,  $P = 0.02$ ), there were other parameters for which both heterozygotes and knock-outs were significantly different compared to WT (Fig. 4h,  $f$ -I slope, (heterozygote  $P = <0.0001$ , null  $P < 0.0001$ ). Thus, loss of one *Cntnap2* allele is sufficient to elicit physiological changes in nonaccommodating, presumed PV<sup>+</sup>, CINs.

In contrast, the accommodating (likely SST<sup>+</sup>) *Cntnap2* null CINs did not show differences in the same cell intrinsic properties (Fig. 4j-p). Together, our data provide evidence that *Cntnap2* preferentially regulates the physiological properties of presumed PV<sup>+</sup>, fast-spiking CINs.

### Cell Autonomous Role for Human ASD CNTNAP2 Alleles in Regulating the Number of CINs that Express PV

To probe the functional consequences of missense mutations discovered in CNTNAP2, we cloned the WT human CNTNAP2



**Figure 4.** *Cntnap2* MGE-derived CINs show cell autonomous alterations selectively in  $PV^+$  cell intrinsic properties. Schema depicting MGE cell transplantation and electrophysiological analysis (a). Briefly, E13.5 MGE cells were dissected from *Nkx2.1-Cre<sup>+</sup>; Ai14<sup>Flox/+</sup>* embryos that were either WT, *Cntnap2<sup>+/-</sup>* or *Cntnap2<sup>-/-</sup>*, then dissociated and injected into the cortices of WT P1 host mice. The cells developed *in vivo* until 6–8 weeks post-transplant and the *tdTomato<sup>+</sup>* cells were assessed. The cells were grouped into either non-accommodating (b–h) or accommodating (j–p). The action potential half-width, maximum slope, membrane time constant ( $\tau$ ), adaptation ratio (inter spike interval, ISI), resting membrane potential ( $V_{rest}$ ), resting input resistance ( $R_{input}$ ), and f–i slope were calculated from current-clamp responses of the *tdTomato<sup>+</sup>* CINs to brief current pulses (–50 pA for input resistance, 100 pA above spiking threshold for other parameters). (i) Example current-clamp responses of transplanted, *tdTomato<sup>+</sup>* non-accommodating (fast-spiking) CINs to injection of depolarizing current in WT (left), *Cntnap2<sup>+/-</sup>* (middle), and *Cntnap2<sup>-/-</sup>* (right) mice. Data are represented as mean  $\pm$  SEM. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\*\* $P < 0.0001$ .

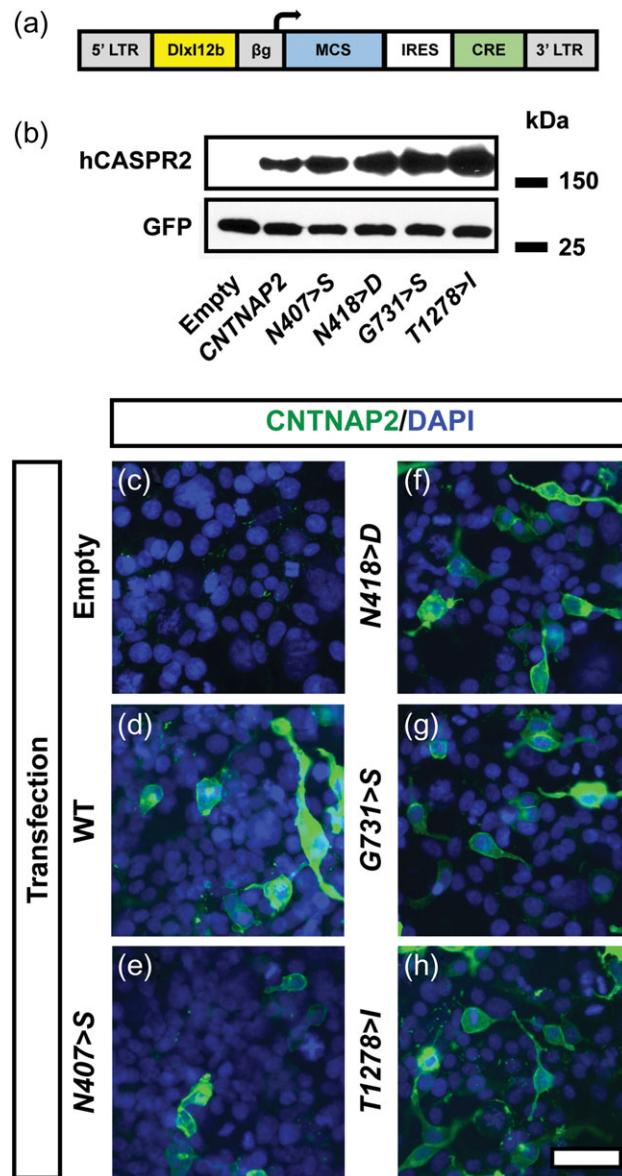
gene, as well as 4 reported CNTNAP2 missense alleles discovered in individuals diagnosed with ASD (Bakkaloglu et al. 2008), into a *Dlx12b*-IRES-Cre lentiviral vector before the IRES sequence and the Cre-recombinase gene (Fig. 5a). *Dlx12b* is an enhancer that is preferentially expressed in GABAergic neurons (Potter et al. 2009; Arguello et al. 2013). We chose to investigate 4 missense CNTNAP2 alleles discovered in populations with ASD (Bakkaloglu et al. 2008), as little is known about whether these missense alleles alter CNTNAP2 function. Expression of each of the CNTNAP2 alleles was verified by western blotting following transfection of the vector into HEK293T cells (Fig. 5b). We also verified the Cre activity from each vector by its ability to induce expression of GFP from a Cre-dependent GFP-expression plasmid (Fig. 5b). Finally, we expressed the WT and mutant CNTNAP2 alleles in HEK293T cells and found that both the WT and mutant proteins were enriched at cell membranes (Fig. 5c–h).

Next, we used a modification of the MGE transplantation assay (Vogt, Wu et al. 2015) to study the functional properties

of human CNTNAP2 mutant alleles on CINs. To this end, MGE cells from *Cntnap2<sup>+/-</sup>* or *-/-* E13.5 mouse embryos were dissociated and transduced with the *Dlx12b*-IRES-Cre lentivirus (schema, Fig. 5a), before being transplanted into the cortices of P1 WT pups. The MGE cells also harbor the *Ai14* allele, to visualize *tdTomato* after Cre recombination in the transduced cells.

Virus encoding either the “empty” vector (Cre only), or a virus encoding one of the human CNTNAP2 alleles and Cre were transduced into mouse E13.5 *Cntnap2<sup>-/-</sup>* or *Cntnap2<sup>+/-</sup>* MGE cells before transplantation and assessed for PV expression at 35 days post-transplant (DPT). Notably, *Cntnap2<sup>-/-</sup>* transplanted MGE cells had ~33% reduction in  $PV^+$  CINs compared with *Cntnap2<sup>+/-</sup>* transplants (Fig. 6h,o), demonstrating that reduced  $PV^+$  CINs is a cell autonomous phenotype. Of note, WT human CNTNAP2, transduced into *Cntnap2<sup>-/-</sup>* MGE cells, completely rescued the number of  $PV$ -expressing cells (Fig. 6b,c,h,  $P = 0.004$ ). However, transduction of human CNTNAP2 into heterozygous *Cntnap2* cells did not alter the number of  $PV$ -expressing





**Figure 5.** Human CNTNAP2 allele expression and a lentiviral expression vector for transduction. Schema of a lentiviral expression vector to express Cre and human CNTNAP2 alleles, cloned into the MCS (a). (b) Western blots showing expression of human CNTNAP2 alleles and GFP from a Cre-dependent GFP-expression vector in HEK293T cells. (c–h) Immuno-fluorescent images of HEK293T cells that were transfected with either an empty version of the vector shown in (a) or vectors containing WT or mutant human CNTNAP2 alleles. Cells expressing CASPR2 show that both the WT and mutant proteins are detected at the cell membrane. Abbreviations: LTR, Dlx12b,  $\beta$ g, MCS, IRES. Scale bar in (h) = 250  $\mu$ m.

cells (Fig. 6i,j,o). Thus, human CNTNAP2 complemented the decreased PV<sup>+</sup> interneuron phenotype in mouse MGE cells that lacked the gene but did not act in a dominant fashion in the heterozygous cells.

Next, we tested whether the 4 human CNTNAP2 missense mutations, could rescue the reduction in PV<sup>+</sup> CINs in the transplanted *Cntnap2*<sup>-/-</sup> MGE cells. None of the mutant alleles showed a significant rescue of PV<sup>+</sup> CIN numbers and were significantly different than WT CNTNAP2 transduction (Fig. 6d–h, N407>S  $P < 0.0001$ ; N418>D  $P = 0.006$ ; G731>S  $P = 0.0003$ ; T1278>I  $P = 0.007$ , compared to WT CNTNAP2 transduction). To explore if the mutant alleles act in a dominant-interfering fashion, we transduced them into heterozygote (*Cntnap2*<sup>+/-</sup>) MGE cells. Only G731>S showed a trend towards reduced PV<sup>+</sup> CINs in *Cntnap2*<sup>+/-</sup> CINs, but this effect did not reach statistical

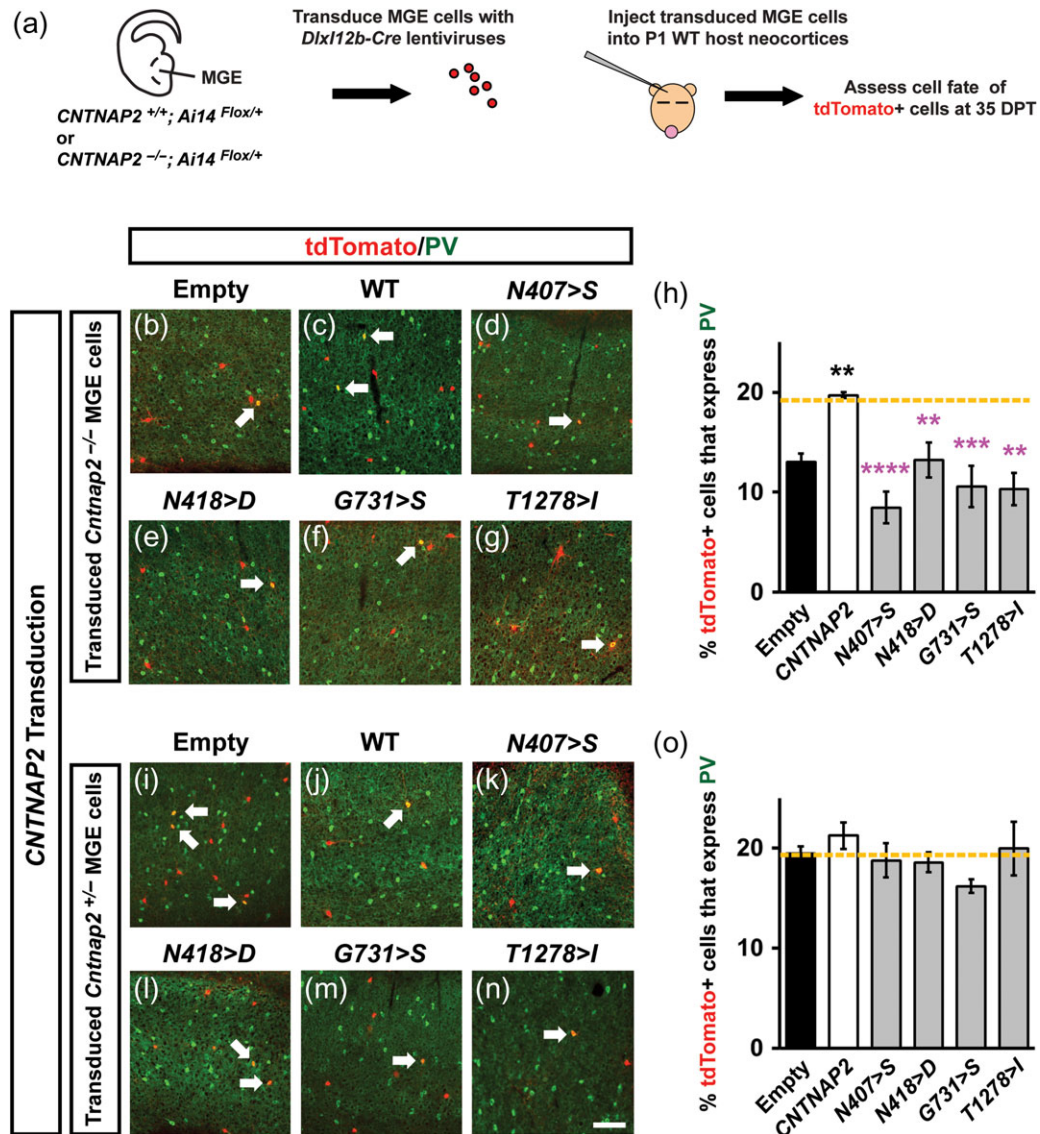
significance (Fig. 6i–o, G731>S  $P = 0.31$ ). We also examined SST<sup>+</sup> CINs in these assays, but did not observe any differences between groups (data not shown). In sum, 4 human CNTNAP2 missense mutations acted like null/hypomorphic alleles based on their inability to rescue the number of PV<sup>+</sup> CINs.

We also explored whether transplanted MGE cells lacking *Cntnap2* or those transduced with human CNTNAP2 alleles mutated in ASD resulted in elevated ERK1/2 activity but found no changes in adult brains (data not shown), suggesting that this may be a transient phenotype.

## Discussion

Herein, we focused on cell autonomous roles for CNTNAP2 in CIN development and physiology. As described previously, we found





**Figure 6.** Human ASD *CNTNAP2* mutants fail to complement the mouse *Cntnap2*<sup>-/-</sup> PV<sup>+</sup> phenotype in vivo. Schema depicting an assay to screen the function of human mutant alleles in vivo within developing/maturing CINs (a). E13.5 MGE cells from *Cntnap2*<sup>-/-</sup>; *Ai14*<sup>Flox/+</sup> mouse embryos were transduced with viruses expressing Cre only (Empty) or that also expressed human *CNTNAP2* alleles. The transduced cells were transplanted into WT mouse host neocortices and developed until 35 DPT. (b–g and i–n) Immunofluorescent images of transplanted cells, *tdTomato*<sup>+</sup>, in the neocortex that were co-labeled for PV. Arrows point to co-expressing cells. Quantification of the proportion of *Cntnap2*<sup>-/-</sup> (h) or *Cntnap2*<sup>+/-</sup> (o) transduced-MGE cells that co-express PV. Yellow dotted lines represent control levels of PV<sup>+</sup> cells. Data are represented as mean ± SEM. n = 3–4, all groups, (\*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\*P < 0.0001). Scale bar in (n) = 100 μm.

that *Cntnap2*<sup>-/-</sup> mice had reduced numbers of PV<sup>+</sup> MGE-derived CINs (Peñagarikano et al. 2011). We extended this analysis in many ways (see below), including by demonstrating that *Cntnap2*<sup>-/-</sup> mice also had reduced numbers of REELIN<sup>+</sup>/SST<sup>-</sup> (CGE-derived) CINs. We also showed the specificity of this phenotype as the number of other MGE (SST<sup>+</sup>) and CGE (VIP<sup>+</sup>)-derived CINs were normal.

We provided evidence that the reduction of PV<sup>+</sup> CINs was not due to a reduction in the number MGE-derived CINs by using *Nkx2-1-Cre*-fate mapping (Fig. 1). This suggests that the reduction in PV<sup>+</sup> CINs was not due to a defect in their production, migration, and/or survival, but probably due to a defect in their differentiation and/or activity, that secondarily reduced expression of the PV protein. Indeed, PV expression in CINs does not begin until ~P10–P14, which may depend, at least in part, on neural activity

(Vogt Weisenhorn et al. 1998; Patz et al. 2004). While we did not detect a decrease in MGE-derived CINs, it should be noted that others have noted a reduction in GABAergic cells in zebrafish that have *Cntnap2* deletion (Hoffman et al. 2016). The discrepancies between our findings could be due to several mechanisms, such as species differences or experimental approaches. Fate mapping in the mouse *CNTNAP2* mutant using a Cre that is active in all/most CINs (e.g., *GAD2-Cre*) could help resolve the issue of whether there is a global reduction of cortical CINs.

Importantly, our MGE transplantation experiments showed that *Cntnap2*<sup>-/-</sup> CINs, which had differentiated in a WT cortex, also generated fewer CINs that were PV<sup>+</sup> (Fig. 6). This provides evidence that *Cntnap2* is autonomously required during CIN maturation to promote PV expression, perhaps because of abnormal activity within these cells.

*Cntnap2*<sup>-/-</sup> MGE-derived CINs had multiple abnormal cell intrinsic physiological properties (Fig. 4). The results provide evidence that *Cntnap2* is particularly important in PV<sup>+</sup>, nonaccommodating, fast-spiking CINs, rather than in accommodating/likely SST<sup>+</sup> CINs. Consistent with this, *Cntnap2* RNA is more highly expressed in PV<sup>+</sup> fast-spiking CINs than in SST<sup>+</sup> CINs (Fig. 2). Interestingly, in the constitutive *Cntnap4* loss of function mouse, the action potential width in PV<sup>+</sup> CINs was significantly increased (Karayannis et al. 2014), similar to our observations in transplanted *Cntnap2*<sup>-/-</sup> fast-spiking CINs (Fig. 4). Moreover, while other cell intrinsic properties (i.e., input resistance, tau, and firing rate) in the constitutive *Cntnap4* mutant did not reach significance, changes in their values trended in the same direction as the changes we found in the *Cntnap2*<sup>-/-</sup> fast-spiking CINs. These data suggest these 2 neurexin family members have some overlapping functions in fast-spiking CINs.

The changes in half-width, maximum slope, resting membrane potential, and adaptation ratio suggest that the *Cntnap2*<sup>-/-</sup> fast-spiking CINs could have alterations in potassium and/or sodium channels that are associated with the fast-spiking/nonaccommodating electrophysiological phenotype. CASPR2 is known to co-localize with Kv1.1 and Kv1.2 potassium channels (Poliak et al. 1999). However, our analyses of Kv1.1, Kv3.1, Kv4.2, and Kv4.3 expression, and their intracellular localization, did not reveal differences between WT and *Cntnap2*<sup>-/-</sup> CINs (data not shown).

*Cntnap2*<sup>+/-</sup> transplanted CINs exhibited intermediate phenotypes between transplanted WT and *Cntnap2*<sup>-/-</sup> CINs (Fig. 4). Thus, the demonstration that *Cntnap2*<sup>+/-</sup> heterozygote CINs have electrophysiological alterations in PV<sup>+</sup> CINs may be clinically relevant as some individuals have heterozygous mutations in CNTNAP2. Finally, while physiological parameters were altered in the mouse *Cntnap2*<sup>+/-</sup> heterozygous CINs, CIN numbers and molecular CIN markers were only altered in *Cntnap2*<sup>-/-</sup> nulls, suggesting that CIN physiological parameters may be more sensitive to the *Cntnap2*-heterozygous state. This is interesting in relation to humans that harbor CNTNAP2 mutations, as they can exist in both the heterozygous or homozygous state. We hypothesize that individuals with heterozygous mutations may potentially have alterations in the physiological properties of PV<sup>+</sup> CINs but may lack more severe phenotypes associated with complete loss of functional CNTNAP2. Of note, individuals that have homozygous mutations that result in nonfunctional protein exhibit severe phenotypes including epilepsy, speech and language impairment as well as intellectual disability (Strauss et al. 2006; Zweier et al. 2009; Watson et al. 2014; Rodenas-Caudrado et al. 2016).

Human CNTNAP2 disease alleles have been discovered in individuals with ASD and other neurological disorders. It is important to interrogate CNTNAP2 function encoded by these alleles using relevant assays, particularly in vivo. Herein, we focused on a subset of CNTNAP2 missense alleles reported in ASD individuals (Bakkaloglu et al. 2008).

Previously, we validated an efficient in vivo approach to determine the impact of ASD alleles; we first applied this to PTEN (Vogt, Cho et al. 2015). Here, we utilized this approach to evaluate the function of CNTNAP2 ASD mutations in MGE-derived CINs that did not express mouse *Cntnap2*. Notably, none of the mutant human CNTNAP2 alleles could rescue the reduction of PV<sup>+</sup> CINs. In addition, none of them induced phenotypes when expressed in *Cntnap2*<sup>+/-</sup> cells, providing evidence that they did not have a dominant effect. Together, these data provide evidence that these mutant alleles are either hypofunctional or loss of function, with respect to promoting the development of PV<sup>+</sup> CINs. Furthermore, our results support a

functional consequence of these human missense mutations on human CIN development. Likewise, we previously found PTEN ASD missense alleles to be hypo/loss of function but not dominant-interfering (Vogt, Cho et al. 2015).

Many ASD mouse models have alterations in PV<sup>+</sup> CINs (Selby et al. 2007; Gogolla et al. 2009; Martins et al. 2011; Takano 2015), suggesting that dysfunction or alterations in this cell type is a common lesion found in ASD. While we currently do not understand why all 4 CNTNAP2 missense alleles resulted in decreased CINs expressing PV, PV expression is a late event in the development of these CINs and any number of developmental insults may contribute to this phenotype. Thus, our defining a role for CNTNAP2 in PV<sup>+</sup> CIN development and maturation elucidates a mechanism that may be part of a common pathway that leads to cortex dysfunction in some forms of ASD, and potentially in other disorders caused by CNTNAP2. We propose that our findings have implications for understanding how CNTNAP2 disease alleles result in specific phenotypes in ASD, perhaps through reducing cortical inhibition and thereby disrupting the E/I balance (Rubenstein and Merzenich 2003; Sudhof et al. 2009). Future studies are needed to understand if mutations in CNTNAP2 associated with the wider spectrum of CNTNAP2 disorders (Rodenas-Caudrado et al. 2014; Poot 2015, 2017) have similar phenotypes.

We also detected trends towards increased pERK1/2 activity in *Cntnap2*<sup>-/-</sup> MGE tissue (Fig. 1a) that should be examined in future studies. Disruptions in RAS/MAPK signaling cause multiple disorders (known as RASopathies) that have a high comorbidity with ASD (Adviento et al. 2014). Thus, it is possible that human CNTNAP2 may regulate MAPK signaling. At this point, we do not know whether the increased pERK1/2 activity contributes to the decreased number of PV<sup>+</sup> and REELIN<sup>+</sup>/SST<sup>-</sup> CINs, and/or to the alterations in intrinsic electrophysiological properties. However, it is intriguing that ERK1/2 can target potassium channels (Schrader et al. 2006, 2009), which could explain the altered electrophysiological properties found in the fast-spiking CINs.

In sum, our results provide new insights into the cell autonomous functions of CNTNAP2 in the development and physiology of CINs. They highlight CNTNAP2's function in PV<sup>+</sup> CINs, a cell type whose dysfunction may have a central role in ASD as well as other neurological disorders.

## Authors' Contributions

D.V., K.K.A.C., S.M.S., and A.P. performed experiments and analyzed data. A.P. and J.H. contributed the single cell adult CIN RNA-seq data. All authors contributed to writing the manuscript.

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## Notes

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