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# **The protein phosphatase Shp1 regulates invariant Natural Killer T cell effector differentiation independently of TCR and Slam signaling**

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## **Abstract**

Invariant Natural Killer T (iNKT) cells are innate lipid-reactive T cells that develop and differentiate in the thymus into  $\frac{NKT}{2/17}$  subsets, akin to  $T_H1/2/17$  conventional CD4 T cell subsets. The factors driving the central priming of iNKT cells remain obscure, although strong/ prolonged TCR signals appear to favor iNKT2 cell development. The Src homology domaincontaining phosphatase 1 (Shp1) is a protein tyrosine phosphatase that has been identified as a negative regulator of TCR signaling. In this study, we found that mice with a T cell-specific deletion of Shp1 had normal iNKT cell numbers and peripheral distribution. However, iNKT cell differentiation was biased towards the iNKT2/17 subsets in the thymus, but not in peripheral tissues. Shp1-deficient iNKT cells were also functionally biased towards the production of  $T_H2$ cytokines, such as IL-4 and IL-13. Surprisingly, we found no evidence that Shp1 regulates the TCR and Slamf6 signaling cascades, which have been suggested to promote iNKT2 differentiation. Rather, Shp1 dampened iNKT cell proliferation in response to IL-2, IL-7 and IL-15, but not following TCR engagement. Our findings suggest that Shp1 controls iNKT cell effector differentiation independently of positive selection through the modulation of cytokine responsiveness.

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AUTHOR CONTRIBUTIONS

M.C.T. designed and performed experiments, analyzed the data and wrote the manuscript. T.M. designed experiments, analyzed the data and wrote the manuscript. M. Z. designed and performed experiments, analyzed the data and reviewed the manuscript. M. K. designed experiments and reviewed the manuscript. I.L., J.M.U., M.K., C.W. and Q.L. performed experiments and reviewed the manuscript.

## **INTRODUCTION**

iNKT cells recognize self and foreign lipid antigens presented on the MHC Class Ib molecule CD1d and have been shown to play protective or deleterious functions in many diseases due to their capacity to rapidly secrete large amounts of cytokines and chemokines following antigen encounter(1). iNKT cell ontogeny occurs in the thymus and requires thymocyte-thymocyte interactions at the double positive (DP) stage, which provide signals mediated by the TCR(2) and by members of the signaling lymphocytic-activation molecule (SLAM) family, especially Slamf6 (Ly108) and Slamf1 (CD150) through their adaptor molecule SAP(3–5). iNKT cell development relies on strong or agonist TCR signals, similarly to other unconventional T cells such as Foxp3<sup>+</sup> regulatory T (T<sub>REG</sub>) cells,  $\gamma \delta$  T cells, and  $CD8a\alpha^+$  intraepithelial lymphocytes (IELs) (for review(6–10)). These stronger than normal TCR signals(11) impart iNKT cells with an effector/memory phenotype that is consistent with their innate effector functions, and largely governed by the expression of the transcription factor PLZF (promyelocytic leukemia zinc finger, Zbtb16)(12, 13).

iNKT cells appear to be "primed" in the thymus and functionally differentiate into discrete subsets that preferentially secrete  $T_H1$  (iNKT1),  $T_H2$  (iNKT2) and  $T_H17$  (iNKT17) cytokines(14). iNKT cell subsets can be identified by differential expression of PLZF as well as the other signature transcription factors T-bet, RORγt and to a lower extent GATA-3(8, 14). Although the factors controlling the differentiation of the various iNKT cell subsets are only poorly understood, it is suspected that TCR signal strength and duration plays a central role(14–17). In parallel, studies from multiple groups have shown that co-engagement of the TCR and Slamf6 enhances the expression of the early growth response (Egr)-2 and PLZF transcription factor in pre-selection double positive thymocytes (PSDPs)(18–20), which favors the iNKT2 effector fate(21). Several cell-intrinsic factors that impact TCR signaling and/or PLZF expression have been shown to influence iNKT cell selection or effector differentiation. These include several microRNAs(22, 23), the lipid phosphatase PTEN and other factors of the PI3K pathway(24), several components of the autophagy pathway such as mammalian target of rapamycin (mTOR)(25–27), the E protein transcription factor HEB and its negative regulators Id2 and Id3(28–30). As for extrinsic factors, certain cytokines such as IL-7 and IL-15 are necessary for iNKT cell homeostasis(31, 32), but their role in effector differentiation is unclear. Finally, the chemokine receptor CCR7 has been shown to drive iNKT cells from the thymic cortex into the medulla(33), but its role in iNKT cell maturation or effector differentiation has not been fully elucidated.

Tyrosine phosphorylation and dephosphorylation of target proteins by specific protein kinases and protein phosphatases is a central feature of signal transduction. The Src homology region 2 domain-containing phosphatase (Shp)-1 is a protein tyrosine phosphatase (encoded by the Ptpn6 gene) expressed in all hematopoietic cells, and plays important functions in T cell development and function(34). Shp1 is primarily considered to be a key negative regulator of TCR signaling(35), as well as many other immune receptors such as the B cell receptor(36), natural killer (NK) receptors(37, 38), chemokine and cytokine receptors(39, 40), SLAM receptors(20, 41), the death receptor FAS and integrins(37, 38). The role of Shp1 in signal transduction has been widely studied through the use of various strains of mice carrying partial or total loss-of-function mutations at the Ptpn6 locus

(motheaten, motheaten viable and spin)(34, 42–44). These mice suffer from severe systemic inflammation and autoimmunity, which is a confounding and limiting factor. The recent development of cell-specific deletions of Shp1 has shed new light on its function in immune cells. For example, Shp1 deletion in B cells or dendritic cells (DCs) resulted in autoimmunity whereas Shp1 deletion in neutrophils led to cutaneous inflammation(45). T cell-specific deletion of Shp1 did not lead to autoimmunity or inflammation. Instead, these mice accumulated innate memory CD4 and CD8 T cells(46, 47). Recent work from Johnson et al. suggested that this phenotype was due to enhanced IL-4 sensitivity in T cells in the absence of Shp1(47). Overall, these studies argue that Shp1 has a minor(48), negligible(47) or even surprisingly positive(49) role in TCR signaling.

The agonistic nature of iNKT cell selection raised the question whether Shp1 controls the ontogeny and differentiation of these cells. One study by the Casorati and Dellabona groups used mice transgenic for the human CD1d molecule as well as heterozygous motheaten mice to suggest that Shp1 expression in iNKT cells prevents their hyperactivation in response to exogenous glycolipid antigens(50). To avoid extrinsic confounding factors, we characterized iNKT cell development and function using a T cell-specific Shp1 deletion (Shp1 $f<sup>1/f1</sup>CD4$ -cre mice). Although Shp1<sup>fl/fl</sup> CD4-cre mice had normal numbers of iNKT cells in all the tissues tested, they had a cell-intrinsic bias towards iNKT2 and iNKT17 cells in the thymus, but not in peripheral tissues. Shp-1-deficient iNKT cells from the thymus and spleen also had a functional bias towards a  $T_H2$  response upon activation *in vitro* and *in vivo*. Shp1-deficiency did not impact signaling downstream of the TCR or Slamf6 as previously proposed. Rather, Shp1 regulated iNKT cell proliferation in response to multiple cytokines. We propose a model whereby Shp1 regulates cytokine receptor signaling in iNKT cells to regulate their effector differentiation and functional responses.

## **MATERIALS AND METHODS**

#### **Mice and reagents**

Mice were used between 6–8 weeks of age, unless otherwise specified. C57BL/6 (B6) wildtype (WT), CD4-creER<sup>T2</sup> and RAG1<sup>-/-</sup> mice were purchased from Jackson Laboratories. Shp1<sup>fl/fl</sup> and Shp1<sup>fl/fl</sup> CD4-cre mice were generously provided by Dr. Benjamin Neel (Princess Margaret Cancer Center, Canada; UofT mice) and Dr. Toshiaki Kawakami (La Jolla Institute for Immunology, USA; LJI mice). As these mice have a mixed 129/C57BL/6 genetic background, littermate mice were analyzed with the exception of Fig. 1D, where CD45.1 WT non-littermate mice were used. CD1d−/− mice were generated and generously provided by Dr. Chyung-Ru Wang (Northwestern University, USA) (51). SAP<sup>-/−</sup> and CD5−/− mice were provided by Dr. Andre Veillette (Institut de Recherches Cliniques de Montréal, Canada) and Dr. Daniel Hawiger (Saint Louis University School of Medicine, St. Louis, MO, USA), respectively. All strains were housed at the Division of Comparative Medicine, University of Toronto animal facility and the La Jolla Institute for Immunology (LJI) vivarium, under specific-pathogen free conditions. All animal procedures were approved by the Faculty of Medicine and Pharmacy Animal Care Committee at the University of Toronto (Animal use protocols 20010135, 20010715, 20011113, 20011656), or by the LJI Institutional Animal Care and Use Committee. α-galactosylceramide

(KRN7000, αGC) was purchased from Diagnocine. Antibodies used were purchased from eBiosciences, Biolegend or BD Biosciences. PBS57-loaded and unloaded biotinylated CD1d monomers were obtained from the NIH Tetramer Core Facility, and were tetramerized by addition of fluorochrome-conjugated streptavidin. For stimulation assays, mouse CD1d monomers were purified from the culture supernatant of transduced HEK293 cells obtained from the NIH Tetramer Core facility, using affinity chromatography.

#### **Bone marrow chimeras and adoptive transfer**

Bone marrow was collected from femur and tibia of C57BL/6 and Shp1<sup>fl/fl</sup> CD4-cre mice, and injected i.v. either separately or in a 1:1 ratio into lethally irradiated  $(2 \times 450 \text{ cGy})$ RAG1<sup>-/-</sup> recipient mice. Mice were analyzed 7 weeks post-transfer. For adoptive transfer experiments, magnetically-enriched thymic or splenic iNKT cells were injected i.v. into recipient mice. 5 days post-transfer, iNKT cells were identified using anti-TCRβ antibodies and PBS57-loaded CD1d tetramers by flow cytometry.

#### **Flow cytometry and cell sorting**

Cells were stained with Aqua Live/Dead (Life Technologies) in PBS for 30 min at room temperature (RT), and stained with antibodies and tetramers for 45 min in MACS buffer (PBS containing 0.5% fecal calf serum and 2 mM EDTA). For transcription factor staining, cells were fixed and permeabilized using the FoxP3/transcription factor buffer set (eBiosciences). For cytokine staining, cells were fixed and permeabilized using the Cytofix/ Cytoperm buffer set (BD Biosciences). Cells were analyzed using the LSR Fortessa (BD Biosciences). For cell sorting, thymocytes and splenocytes were stained with APC conjugated PBS57 tetramers. iNKT cells were enriched from these preparations using an APC positive selection kit according to the manufacturer's protocol (StemCell Technologies). Examples of the gating strategies used are depicted in Supplementary Fig. 1. For adoptive transfer experiments, iNKT cells were magnetically enriched using StemCell kits and identified in recipient mice by PBS57-loaded CD1d tetramer staining by flow cytometry using LSR Fortessa (BD Biosciences). iNKT cells were sorted as TCRβ<sup>+</sup> CD1dtetramer<sup>+</sup> cells using a FACSAria (BD Biosciences).

#### **In vitro and in vivo proliferation**

Sorted iNKT cells were labelled with 5 ng/ml CFSE, washed and cultured for 4 days in 96 well plates in complete RPMI medium supplemented with 10 ng/ml of IL-2, IL-7 or IL-15. In parallel, 24-well plates were coated with 10 μg/ml of polyclonal anti-hamster antibody (Life Technologies) for 2 h at  $37^{\circ}$ C, washed, and incubated with the indicated concentrations of anti-CD3 antibody alone or together with anti-CD3 antibodies for 2 h at 37°C. Plates were washed and  $3.3 \times 10^6$  CFSE-labelled splenocytes were added and cultured for 72 h. Cells were analyzed by flow cytometry. Shp1 $f<sup>1/f1</sup>$  and Shp1 $f<sup>1/f1</sup>$  CD4-cre mice received 3 consecutive daily intraperitoneal injections of 1 mg BrdU. BrdU incorporation by thymic and splenic iNKT cells and CD44high CD8 T cells was analyzed on day 4, using the APC BrdU Flow Kit (BD Biosciences), according to the manufacturer's specifications.

#### **Stimulation assays**

Mice were injected with 0.5 μg αGC, and spleen and liver cells were collected after 90 min. Shp1 $f<sup>1/f1</sup>$  and Shp1 $f<sup>1/f1</sup>$  CD4-creER<sup>T2</sup> received three consecutive intraperitoneal injections of 1 mg tamoxifen on days 0, 2 and 4. On day 11, mice were injected with 0.2 μg αGC, and spleen cells were collected after 90 min. For in vitro stimulations, 96-well flat-bottom plates were coated with 10 μg/ml of mouse CD1d monomers for 1 h at 37°C, washed and incubated with 5 μg/ml  $\alpha$ GC in PBS containing 0.05% tyloxapol overnight.  $5 \times 10^5$ thymocytes or splenocytes were stimulated by these CD1d/aGC complexes, or PMA and ionomycin, for 6 h at 37°C, with addition of Golgi stop after 2 h. In parallel, cells were stimulated with 100 ng/ml phorbol 12-myristate 13-acetate (PMA) and 5 μg/ml ionomycin. Cells were then analyzed by flow cytometry. To measure Nur77 and Egr2 upregulation, 96 well flat-bottom plates were coated with different concentrations of anti-CD3 antibody (clone 2C11) for 2 h at 37°C.  $5 \times 10^5$  thymocytes or splenocytes were then added to each well and incubated for 2 h at 37°C. For co-culture experiments, bone marrow cells were cultured for 9 days in medium supplemented with 200 ng/ml recombinant FLT3L.  $5 \times 10^4$ BMDCs and  $5 \times 10^4$  sorted iNKT cells were co-cultured in 200 µl complete RPMI with isotype control, anti-CD25 or anti-CD127 antibodies  $(40 \mu g/ml)$  for 48 h. Cytokines were assessed using ProcartaPlex™ immunoassays (ThermoFisher Scientific) and a Luminex Magpix. In some experiments, IL-4 was assessed by ELISA using antibody pairs purchased from eBioscience (ThermoFisher Scientific), following standard procedures.

#### **Egr2 and PLZF upregulation assay**

Pre-selection double positive thymocytes (PSDPs) were enriched and stimulated as previously described(19). Flat-bottom 96-well plates were coated with 1 μg/ml anti-CD3 and 5 μg/ml of either anti-Slamf6, anti-CD28 or isotype control antibodies overnight. Plates were washed and seeded with  $5 \times 10^5$  PSDPs for 30 min or 48 h to measure upregulation of Egr2 and PLZF, respectively. To measure downregulation of Egr2 expression, PSDPs were stimulated with anti-CD3 and anti-Slamf6 for 30 min, washed and seeded in complete RPMI medium for the indicated times.

#### **Data Analysis**

Flow cytometry data were analyzed using FlowJo (Tree Star). Statistical analysis was performed using GraphPad Prism. Statistical tests are indicated for each figure and were selected based on the normality test for each data set.

## **RESULTS**

#### **Cell intrinsic deletion of Shp1 biases iNKT cell effector differentiation**

To investigate how Shp1 affects iNKT cell development and function, we took advantage of Shp1f<sup>l/fl</sup> CD4-cre mice in which Shp1 is deleted in  $\alpha\beta$  T cells at the DP stage of thymic development(47). Because iNKT cells are selected at the DP stage, the *Ptpn6* locus is excised upon CD4 expression. We confirmed that the  $Ptpn6$  gene was deleted in splenic iNKT cells from Shp1<sup>fl/fl</sup> CD4-cre mice (Supplementary Fig. 2A). Importantly, absence of Shp1 at the DP stage did not affect expression of CD1d or the SLAM family receptors

SLAMF1 and SLAMF6, which are all essential for iNKT cell development and function (Supplementary Fig. 2B). Furthermore, CD1d expression levels on splenic CD11b<sup>+</sup> and CD11 $c^+$  antigen-presenting cells were not affected in Shp1 $f<sup>1/f<sup>T</sup></sup>$  CD4-cre mice (Supplementary Fig 2C, D). We found no difference in relative and absolute iNKT cell numbers between Shp1 $f<sup>1/f<sup>1</sup></sup>$  and Shp1 $f<sup>1/f<sup>1</sup></sup>$  CD4-cre mice in all tissues tested, as previously reported(52), with the exception of a significantly higher frequency in the inguinal lymph nodes of Shp1<sup>fl/fl</sup> CD4-cre mice (Fig. 1A). This indicated that iNKT cell selection and homing were largely unaltered. Upon or after positive selection, iNKT cells differentiate into IFN-γ-producing (iNKT1), IL-4-producing (iNKT2) or IL-17-producing (iNKT17) cells that can significantly alter the function of surrounding cells(14). These iNKT cell subsets can be identified as PLZF<sup>lo</sup> ROR $\gamma t^{TM}$  (iNKT1), PLZF<sup>hi</sup> ROR $\gamma t^{TM}$  (iNKT2) and PLZF<sup>int</sup> ROR $\gamma t^+$  (iNKT17). We observed a stark increase in iNKT2 and iNKT17 cells, and a concomitant decrease in iNKT1 cells, in the thymus of  $Shp1<sup>f1/f1</sup>$  CD4-cre mice (Fig. 1B). Total iNKT cells in Shp1<sup>fl/fl</sup> CD4-cre mice expressed higher levels of the folate receptor 4 (FR4, or IZUMO1R) and PD-1, and lower levels of CD69 and CD44, consistent with a bias towards the iNKT2 phenotype (Supplementary Fig. 3A). iNKT1 cells found in Shp1 $f<sup>[f/f]</sup>$ CD4-cre mice had a similar expression pattern (Supplementary Fig. 3B), suggesting that these cells are also more "iNKT2-like". iNKT cell subsets were unaffected in the spleen of  $Shp1<sup>f1/f1</sup>$  CD4-cre mice (Fig. 1C), as well as other peripheral tissues, with the exception of modest iNKT17 cell alterations in inguinal and mesenteric lymph nodes of Shp1<sup>fl/fl</sup> CD4-cre mice (Supplementary Fig. 3C-E). In addition, iNKT cells subsets were unaffected in the thymus and spleen of Shp1 $f1/4$  and Shp1 $f1/4$  CD4-cre control mice (Supplementary Fig. 3F and not shown).

To test whether this phenotype was cell intrinsic, we performed single and competitive (mixed) bone marrow chimeras by reconstituting irradiated Rag1−/− recipient mice with CD45.1 wild type and/or CD45.2 Shp1 $\text{fI/H}$  CD4-cre bone marrow cells (Fig. 1D, left). Thymic Shp1-deficient iNKT cells contained a significantly higher proportion of iNKT2 cells, and a lower proportion of iNKT1 cells, than Shp1-sufficient iNKT cells, in both single and mixed bone marrow chimeras (Fig. 1D). This result shows that Shp1 deficiency skews thymic iNKT cell effector differentiation towards the iNKT2 subset in a cell-intrinsic fashion. To test whether this phenotype was a transient developmental defect, we analyzed iNKT cell effector differentiation in 16-week old mice. Although the overall frequency of iNKT2 cells was much lower compared to 6-week-old mice(14), 16-week-old Shp1 $f<sup>1/f1</sup>CD4$ cre mice nevertheless had an increased proportion of iNKT2 and iNKT17 cells in the thymus, but not the spleen (Fig. 1E). Taken together, these results demonstrate that Shp1 deficiency results in a cell intrinsic biased iNKT cell differentiation in favor of iNKT2 and iNKT17 cells in the thymus, but not the spleen.

## **Shp1-deficient iNKT cells have an increased TH2 response**

We assessed the functional response of Shp1-deficient iNKT cells using several approaches. First, Shp1 $f<sup>1f/f</sup>$  and Shp1 $f<sup>1f/f</sup>$  CD4-cre mice were injected with  $\alpha$ GC and we measured splenic iNKT cell production of IL-4 and IFN- $\gamma$  by flow cytometry. Surprisingly, iNKT cells from  $Shp1<sup>f1/f1</sup>$  CD4-cre mice were not hyper-responsive, which is different from previous findings using *motheaten* mice(50). In contrast, Shp1-deficient iNKT cells produced lower amounts

of IFN- $\gamma$ , overall biasing their response towards a T<sub>H</sub>2 phenotype (Fig. 2A). Interestingly, the reduction in IFN- $\gamma$ -producing cells was mainly the result of a decrease in IL-4<sup>+</sup> IFN- $\gamma$ <sup>+</sup> double producer iNKT cells, and not in IL-4 or IFN-γ single producers (Fig. 2A). Next, we stimulated thymocytes and splenocytes from  $\text{Shp1}^{\text{fl/fl}}$  and  $\text{Shp1}^{\text{fl/fl}}$  CD4-cre mice in vitro with immobilized CD1d-αGC complexes or PMA/ionomycin for a short period of time, and assessed iNKT cell production of IFN-γ and IL-4 by flow cytometry. Thymic iNKT cells from Shp1 $f<sup>1/f1</sup>$  CD4-cre mice were overall less responsive and produced less IL-4 and less IFN- $\gamma$  (Fig. 2B). Splenic iNKT cells from Shp1<sup>fl/fl</sup> CD4-cre mice produced slightly more IL-4 than Shp1fl/fl CD4-cre iNKT cells (Fig. 2C). Both thymic and splenic Shp1-deficient iNKT cells had elevated IL-4/IFN- $\gamma$  ratios, demonstrating a bias towards a T<sub>H</sub>2 response upon stimulation with CD1d-αGC and to a lower extent with PMA/ionomycin, (Fig. 2B, C). In order to assess whether the biased  $T_H2$  response resulting from Shp1 deficiency was imprinted during iNKT cell development or induced upon activation, we crossed Shp $1<sup>f1/f1</sup>$ mice with CD4-cre $ER^{T2}$  mice, treated them with tamoxifen and injected  $\alpha$ GC. In this setting, Shp1-deficient iNKT cells were hyporesponsive without the functional  $T_H2$  bias (Fig. 2D). Although Shp1-deficient iNKT cells newly generated in the thymus may have populated the spleen during the course of this experiment, this result suggested that the functional  $T_H$ 2 bias observed in Shp1<sup>fl/fl</sup> CD4-cre mice may be acquired during iNKT cell ontogeny.

Finally, we sorted splenic iNKT cells from Shp1<sup>fl/fl</sup> and Shp1<sup>fl/fl</sup> CD4-cre mice, which contained similar effector subsets (Fig. 1), and cultured them with bone marrow-derived dendritic cells (BMDCs) with or without the addition of αGC (pre-loaded on BMDCs), IL-12, IL-25 or IL-23 for 48 h, which allowed us to detect a broader set of cytokines (Fig. 2E). Wild type iNKT cells produced IL-4 in response to BMDCs, but no other cytokine. Shp1-deficient iNKT cells produced significantly more IL-4 and IL-13 than Shp1-sufficient iNKT cells. That increased IL-4 and IL-13 signature remained the same regardless of the antigen or cytokine used. As expected, αGC strongly activated iNKT cells to produce all the cytokines tested. In contrast to short-term stimulations, Shp1-deficient iNKT cells produced significantly more IFN- $\gamma$ , IL-13, IL17A and IL-22 than Shp1-sufficient iNKT cells when measured at 48 h, suggesting that Shp1 may regulate early and late stages of the response differently. IL-25 induced increased production of IL-4 and IL-13, but also other cytokines, in a similar way to that of  $\alpha$ GC. IL-12 and IL-23 induced the production of IFN- $\gamma$  and IL-17A/IL-22, respectively, with no difference between genotypes. We found that the enhanced steady-state  $T_H2$  response of Shp1-deficient iNKT cells required TCR stimulation as IL-4 production was abrogated in the presence of anti-CD1d antibodies (Fig. 2F). However, neutralizing CD25 or CD127, components of the IL-2 and IL-7 receptors, respectively, did not affect IL-4 levels (Fig. 2F), suggesting that potential homeostatic dysregulation during the 2-day culture period was not responsible for the increased  $T_H2$ response. Taken together, these data demonstrate that Shp1-deficient iNKT cells are functionally biased towards the production of  $T_H2$  cytokines.

# **Shp1 deficient iNKT cells induce memory Eomes+ CD8 T cell expansion in the thymus but not the spleen**

Shp-1<sup>fl/fl</sup> CD4-cre mice have an expansion of memory CD4 and CD8 T cells defined by the high expression of CD44(47). Several studies have shown that iNKT cell-derived IL-4 drives the expansion of these Eomes<sup>+</sup> innate memory CD8 T cells, which are typically also CD44 $high$  (14). As iNKT cells in Shp-1 $<sup>f1/f1</sup>$  CD4-cre mice produce higher amounts of IL-4</sup> and IL-13, we investigated their contribution in the expansion of innate memory T cells. In agreement with a previous study, we found an increase of Eomes<sup>+</sup> CD8 T cells in the spleen of Shp1 $f<sup>1/f</sup>$  CD4-cre mice (Fig. 3A). The thymus of Shp1 $f<sup>1/f</sup>$  CD4-cre mice also showed a 3fold increase in the frequency of Eomes<sup>+</sup> CD8 T cells (Fig. 3A), despite previous studies reporting no difference in CD44high cells the thymus(47). The frequency of CD44high CD8 T cells were unaffected in the thymus and spleen of  $\text{Shp1}^{f1/+}$  and  $\text{Shp1}^{f1/+}$  CD4-cre control mice (not shown). CD44high CD8 T cells from Shp1<sup>fl/fl</sup> CD4-cre mice incorporated more bromodeoxyuridine (BrdU) in vivo than their Shp1 $f<sup>1/f</sup>$  counterpart, both in the thymus and in the spleen (Fig. 3B). To assess the role of iNKT cells, or other CD1d-restricted T cells, in this homeostatic expansion of innate memory CD8 T cells, we crossed Shp $1<sup>f</sup>/f1$  CD4-cre mice with CD1d<sup>-/−</sup> mice. Interestingly, deletion of CD1d reduced the frequency of Eomes<sup>+</sup> CD8T cells back to wild type levels in the thymus, but not the spleen (Fig. 3B). This result suggests that although iNKT cell-derived IL-4 likely drives the homeostatic expansion of innate memory CD8 T cell in the thymus, a different source of IL-4 and/or CD8 T cellintrinsic Shp1 deficiency(47) drive the expansion of  $Eomes<sup>+</sup> CDS T$  cells in the periphery.

#### **Shp1 deficiency does not regulate iNKT TCR signaling**

Multiple lines of evidence have suggested that strong TCR signaling favors iNKT2 differentiation(14, 15), specifically due to prolonged TCR interactions(15). The enhanced iNKT2 differentiation in the thymus of Shp1 $f<sup>1/f1</sup>$  CD4-cre mice is *a priori* consistent with a role for Shp1 in the dampening of TCR signaling(48). We found that Shp1-deficient thymic iNKT cells expressed higher levels of Egr2 (Fig. 4A), which is consistent with their higher expression of PLZF reported above. However, when we analyzed Egr2 and PLZF expression by the various iNKT cell sub-lineages (stages 0–3), we found that the newly selected CD24hi stage 0 iNKT cells from Shp1 $f<sup>1/f1</sup>$  and Shp1 $f<sup>1/f1</sup>$  CD4-cre mice expressed similar levels of Egr2 and PLZF (Fig. 4B), which suggest that these cells receive similar signals at the time of positive selection. Among the more "mature" iNKT cell sub-lineages, only stage 2–3 iNKT cells from Shp1fl/fl CD4-cre mice expressed higher levels of Egr2 and PLZF compared to iNKT cells found in control mice (Fig. 4B). This result suggests that iNKT cells from both strains receive similar signals at the time of positive selection, but that Shp1 may dampen these signals at later stages of iNKT cell development.

To determine whether Shp1 specifically regulated TCR signaling in iNKT cells, we first stimulated Shp1-sufficient and deficient iNKT cells in vitro with anti-CD3 antibodies and measured the expression of Egr2 and Nur77, both induced by strong TCR signals. Although thymic and splenic iNKT cells upregulated both Egr2 and Nur77 expression upon TCR stimulation, we found no difference between Shp1-sufficient and deficient iNKT cells (Fig. 4C). We obtained similar results following stimulation with immobilized CD1d-αGC complexes (not shown). Next, we analyzed iNKT cell proliferation and found that iNKT

cells from Shp1fl/fl and Shp1fl/fl CD4-cre mice proliferated to the same extent after 3 days of culture with anti-CD3 antibodies alone or together with anti-CD28 antibodies (Fig. 4D). Finally, as it was suggested that Vβ7-containing iNKT TCRs preferentially recognize selfantigens(53), and that iNKT2s are enriched in  $V\beta7$ -containing TCRs(14), we assessed whether Shp-1 deficiency affected the TCRβ repertoire of iNKT cells. We found no difference in the frequency of V $\beta$ 8<sup>+</sup> or V $\beta$ 7<sup>+</sup> iNKT cells in the thymus or spleen of Shp1<sup>fl/fl</sup> and Shp $1<sup>f1/f1</sup>$  CD4-cre mice (Fig. 4E).

We next analyzed the expression of CD5, which correlates with TCR strength in developing thymocytes(54, 55). iNKT cells from Shp1 $f<sup>1/f<sup>f</sup></sup>$  and Shp1 $f<sup>f</sup>/f<sup>f</sup>$  CD4-cre mice expressed similar levels of CD5, as did CD4 and CD8 T cells (Supplementary Fig. 4A). However, splenic Shp1-deficient iNKT, CD4 and CD8 T cells had significantly higher CD5 expression than their Shp1-sufficient counterparts (Supplementary Fig. 4B). Peripheral CD5<sup>hi</sup> T cells have been shown to preferentially contribute to the effector/memory pool, which could be due to greater TCR sensitivity towards self and foreign peptide MHC complexes and/or enhanced sensitivity to the microenvironment(54, 56). To test whether the enhanced CD5 expression by peripheral iNKT cells was due to TCR-mediated signals, potentially regulated by Shp1, we adoptively transferred splenic iNKT cells from V $\alpha$ 14 transgenic Shp1fl/fl and Shp1fl/fl CD4-cre mice into either wild type or CD1d-deficient mice. CD5 levels on Shp1-deficient iNKT cells remained similarly high after transfer into CD1d-sufficient or deficient mice (Supplementary Fig. 4C), suggesting that this process was independent of peripheral TCR engagement with CD1d-self lipid complexes. Nevertheless, as CD5 has been shown to negatively regulate TCR signaling through the recruitment of Shp1, we analyzed the phenotype of iNKT cells in  $CD5^{-/-}$  and  $CD5^{-/-}$  Shp1<sup>fl/fl</sup> CD4-cre mice. We found that CD5deficient iNKT cells were not biased towards the iNKT2 subset, and that only Shp1 deficiency led to the iNKT bias, regardless of the CD5 genotype (Supplementary Fig. 4D). In sum, these results strongly suggest that the phosphatase Shp1 does not negatively regulate the strength of TCR signaling during positive selection or following TCR-mediated activation of iNKT cells.

#### **Shp1 deficiency does not affect Slamf6-mediated upregulation of Egr2 and PLZF**

Aside from TCR-mediated signals, members of the signaling lymphocytic-activation molecule (SLAM) family, especially Slamf6 (Ly108) and Slamf1 (CD150), and their adaptor SAP provide complimentary signals that are essential for iNKT cell development(3–5). Slamf6 activation has been reported to enhance the TCR-mediated upregulation of both Egr2 and PLZF in preselection double positive (PSDP) thymocytes(19, 20), and favor iNKT2 cell development(21). In addition, several studies have suggested that Shp1 attenuates signaling of SLAM family receptors in NK and T cells(20, 41). To determine whether Shp1 regulates Slamf6 signaling within iNKT cells, we first measured PLZF expression in PSDP thymocytes upon crosslinking of CD3 and Slamf6. As previously described, we found that co-stimulation with anti-CD3 and anti-Slamf6 antibodies significantly increased the frequency of PLZF positive PSDPs compared to anti-CD3 or anti-CD3/28 (Fig. 5A). However, both Shp1-sufficient and Shp1-deficient PSDPs upregulated PLZF to the same extent (Fig. 5A), suggesting that Shp1 does not regulate SLAMF6 signaling. Egr2 is rapidly and transiently induced upon co-ligation of CD3 and Slamf6(19). Because phosphatases are

often involved in the downregulation or termination of signaling cascades, we measured the Egr2 expression decay following stimulation. However, Shp1 deficiency did not affect the magnitude or duration of Egr2 expression in PSDPs (Fig 5B). Shp1 has been proposed to compete with SAP for binding to the Slamf6 receptor to modulate the development of iNKT cells and follicular helper T cells (20). Specifically, genetic deletion of Slamf6 in addition to SAP, partially restored the severe iNKT cell defect in SAP<sup>-/−</sup> mice(20). With this in mind, we crossed the Shp1<sup>fl/fl</sup> CD4-cre mice with  $Sh2d1a^{-/-}$  (SAP<sup>-/-</sup>) mice. As expected, we found that iNKT cells were absent in  $SAP^{-/-}$  Shp1<sup>fl/fl</sup> mice, but were not restored in  $SAP^{-/-}$  $Shp1<sup>f1/f1</sup>CD4$ -cre mice (Fig 5C). This shows that Shp1 does not compete with SAP for binding to Slamf6, as has been proposed(20), or that other phosphatases can compensate for the absence of Shp1. Taken together, these data suggest that Shp1 does not control iNKT cell effector differentiation through the regulation of the Slamf6 signaling cascade.

#### **Shp1 regulates iNKT cell cytokine-mediated proliferation**

Although iNKT cells require certain cytokines such as IL-2, IL-7 and IL-15 for their survival and proliferation(31, 32, 57, 58), the role cytokines play in their effector differentiation has been largely overlooked. As several studies have suggested that Shp1 regulates cytokine and chemokine receptor signaling(39, 47, 59, 60), we compared the proliferative capacity of Shp1-sufficient and deficient iNKT cells in response to cytokines. Surprisingly, unlike TCRmediated proliferation (Fig. 4D), we found that Shp1-deficient iNKT cells isolated from the thymus or the spleen had enhanced proliferation in response to IL-2, IL-7 and IL-15, compared to Shp1-sufficient iNKT cells (Fig. 6A). We analyzed the proliferation capacity iNKT cells at steady state using BrdU labelling in vivo. We found that iNKT cells from the thymus, but not the spleen, of Shp1<sup>fl/fl</sup> CD4-cre mice incorporated more BrdU than iNKT cells from Shp1 $f<sup>1/fl</sup>$  control mice (Fig. 6B), suggesting that their proliferation was enhanced in vivo. Because thymic and splenic iNKT cells from Shp1 $f<sup>1/f1</sup>$  and Shp1 $f<sup>1/f1</sup>$  CD4-cre mice express comparable levels of the IL-2Rα (CD25), IL-7Rα (CD127) and IL-2Rβ (CD122) chains (Fig. 6B), we hypothesize that Shp1 may negatively regulate signals downstream of these cytokine receptors(47). Overall, these data point to a role of Shp1 in maintaining cytokine signals in check to regulate iNKT cell homeostasis.

### **DISCUSSION**

The making of an iNKT cell from developing DP thymocytes requires stronger than normal TCR signaling, as well as cooperative engagement of members of the signaling lymphocytic-activation molecule (SLAM) family of receptors, which culminate in the expression of PLZF, a master regulator of iNKT cell innate effector programs(8). Here, we found that the protein tyrosine phosphatase Shp1, traditionally viewed as a negative regulator of TCR signaling, regulates iNKT cell effector differentiation independently of TCR and Slamf6 signaling. Instead, Shp1 dampens iNKT cell proliferation in response to IL-2, IL-7 and IL-15, but not following TCR engagement, which suggests that certain cytokines may participate in iNKT cell effector programming.

Although Shp1 has been shown to regulate signaling downstream of various immune receptors(34), many initial studies have been confounded by the various degrees of

autoimmunity/autoinflammation present in mice with constitutive total or partial Shp1 lossof-function (motheaten, motheaten viable and spin)(42–44). Alternative approaches, including conditional Shp1 deletion, have so far failed to provide a consensus on Shp1 function in TCR signaling and T cell biology(46–48, 61). Conditional Shp1 deficiency enhanced iNKT2 differentiation in the thymus in a cell-intrinsic fashion, which was a priori consistent with increased TCR signaling in developing iNKT cells. However, we found no evidence that Shp1 dampens TCR signaling within iNKT cells. Shp1-deficient iNKT cells were not overtly autoreactive in vitro or in vivo, contrary to what could have been predicted from previous work(50). In fact, these cells were somewhat hyporesponsive under some stimulation conditions, which is reminiscent of Shp1-deficient NK cells(62). Shp1 deficiency also did not impact the ability of iNKT cells (or DPs) to upregulate expression of Nur77, Egr2 and PLZF, or proliferate following TCR engagement. In sum, although TCR interactions with self-lipid/CD1d complexes are crucial for iNKT cell positive selection(2), negative selection(63, 64) and effector differentiation(14, 15), this work suggests that Shp1 does not regulate TCR signaling in iNKT cells, and therefore controls their effector differentiation through TCR-independent mechanisms.

Homotypic Slamf6-Slamf6 interactions facilitated by DP-DP interactions in the cortex are also critical for iNKT cell development(5). In agreement with previous reports(19, 20), we found that Slamf6 co-engagement potentiates the TCR-mediated upregulation of Egr2 and PLZF in pre-selection DPs. Although Shp1 has been proposed to compete with SAP for interaction with Slamf6 to regulate Slamf6 signaling in iNKT cells and follicular helper T cells(20), we found no evidence of such regulation. Although Slamf1 (CD150) and Slamf3 (Ly-9 or CD229) have been shown to play a role in iNKT cell effector differentiation, coengagement of these receptors failed to upregulate Egr2 and PLZF expression in preselection DPs in vitro (not shown). Given that mice with combined deficiency in multiple Slam family receptors have iNKT cell defects(65), it is possible that other Slam family receptors impact iNKT cell development and/or differentiation through the recruitment of Shp1.

We found that Shp1 regulates the proliferation of iNKT cells following exposure to IL-2, IL-7 and IL-15, without affecting cytokine receptor expression, which is in line with previous studies(39, 47, 59, 60). How Shp1 regulates cytokine-mediated proliferation, and how this increased proliferative capacity ties back to the in vivo bias towards iNKT2 differentiation remains unclear and will require further investigation. Generally, the role these cytokines play in iNKT cell homeostasis has been studied through the adoptive transfer of peripheral iNKT cells into various (often lymphopenic) recipient mice(31, 32). It is welldescribed that stage 3 iNKT cells (or iNKT1 cells) express the IL-15Rβ chain (CD122) and proliferate in response to IL-15(31, 32). Additionally, a recent study from Webster et al. suggested that IL-7 controls the homeostasis of IL-17-producing iNKT cells(58). To the best of our knowledge, there has been no clear demonstration of the role of cytokines, chemokines and their receptors in iNKT2 cell development, although IL-25(66) and IL-33(67) could be important contributors.

In agreement with previous studies(14, 28, 29, 68, 69), we found that the expansion of iNKT2s in Shp1 $f<sup>ff/f</sup>$  CD4-cre mice was accompanied by an increased prevalence of Eomes<sup>+</sup>

innate memory  $CD8^+$  T cells(47). Whereas the study from Johnson *et al.* reported an expansion of innate memory  $CD8<sup>+</sup> T$  cells in the spleen but not the thymus, we found an expansion in both tissues. The discrepancy may lie in the use of CD44 vs. Eomes to identify these cells. This expansion of thymic Eomes<sup>+</sup> CD8<sup>+</sup> T cells was entirely abrogated in CD1ddeficient mice, suggesting that iNKT cells are a major source of IL-4 to drive the differentiation of these innate memory CD8+ T cells. Interestingly, the much larger expansion of peripheral  $Eomes<sup>+</sup> CDS<sup>+</sup> T$  cells was completely iNKT cell-independent, suggesting that other cells, such as CD4-dependent  $\gamma\delta$  T cells, are producing IL-4, and/or that the increased IL-4 sensitivity in  $CD8<sup>+</sup>$  T cells is sufficient to promote a memory phenotype even in the absence of IL-4-producing iNKT cells(47).

In this study, we have shown that the protein tyrosine phosphatase Shp1 regulates the effector differentiation of iNKT cells after positive selection, and independently of the TCR and Slamf6 signaling cascades. We found that Shp1 dampens the cytokine-mediated proliferation of iNKT cells, suggesting that cytokines may play an underappreciated role in the functional programming of iNKT cells.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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# **ABBREVIATIONS**



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## **KEY POINTS**

Shp1-deficient iNKT cells are biased towards the iNKT2/17 subsets.

Shp1 deficiency does not alter TCR or Slam signaling.

Shp1 dampens iNKT cell proliferation in response to cytokines.



#### **Figure 1.**

Shp1-deficient iNKT cells are biased towards iNKT2 and iNKT17 subsets. (**A**) Frequency (top row) and absolute numbers (bottom row) of iNKT cells in the indicated tissues of Shp1fl/fl and Shp1fl/fl CD4-cre mice. (**B**, **C**) iNKT cell subsets in the thymus (B) and spleen (C) of Shp1f<sup>I/fl</sup> (fl/fl) and Shp1<sup>fl/fl</sup> CD4-cre (cre) mice were assessed by PLZF and ROR $\gamma t$ staining. (**D**) Frequency of iNKT cells in the thymus of single or mixed (mix) bone marrow chimeras. Rag1<sup>-/-</sup> mice were reconstituted with wild type CD45.1 and/or Shp1<sup>fl/fl</sup> CD4-cre CD45.2 bone marrow cells. (**E**, **F**) Frequency of iNKT2 and iNKT17 subsets in the thymus (E) and spleen (F) of 16-week-old  $\text{Shp1}^{\text{fl/fl}}$  and  $\text{Shp1}^{\text{fl/fl}}$  CD4-cre mice. Data shows representative dot plots, individual mice and the mean values +/− s.e.m. \* $p < 0.05$ , \*\* $p <$ 0.01, \*\*\* $p < 0.001$ , two-tailed unpaired Student t test.



#### **Figure 2.**

Shp1-deficient iNKT cells produce more  $T_H2$  cytokines. (A) Shp1<sup>fl/fl</sup> and Shp1<sup>fl/fl</sup> CD4-cre mice were injected i.v. with αGC (0.5 μg). Intracellular FACS analysis of IFN-γ and IL-4 in spleen iNKT cells 90 min post-injection. Data shows representative flow cytometry plots (left), as well as the corresponding frequency (individual mice and mean values +/− s.e.m.) of total, single and double producers of IFN-γ and/or IL-4 (right). (**B**, **C**) Thymocytes (B) and splenocytes (C) from Shp1 $f<sup>1/f1</sup>$  and Shp1 $f<sup>1/f1</sup>$  CD4-cre mice were stimulated with platebound CD1d-αGC, or PMA/ionomycin (P/I) for 6 hours. Intracellular FACS analysis of IFN-γ and IL-4 in iNKT cells. Data shows representative flow cytometry plots (left), as well as individual and mean values +/− s.e.m. of IL-4/IFN-γ ratios. (**D**) Shp1fl/fl and Shp1fl/fl CD4-creERT2 mice were treated with tamoxifen and subsequently injected i.v. with αGC (0.2 µg). Data shows individual and mean values  $+/-$  s.e.m. of IFN- $\gamma^+$  or IL-4<sup>+</sup> iNKT cells, as well as IL-4/IFN-γ ratios. (**E**) iNKT cells from Shp1fl/fl or Shp1fl/fl CD4-cre mice were sorted and cultured with BMDCs alone, BMDCs pre-loaded with αGC, or BMDCs in the presence of the indicated cytokine for 48 h. in the presence of indicated cytokines. IFN-γ, IL-4, IL-13, IL-17A and IL-22 production was assessed using a multiplex assay. (**F**) iNKT cells from Shp1fl/fl or Shp1fl/fl CD4-cre mice were sorted and cultured with BMDCs with isotype control, anti-CD25 or anti-CD127 antibodies (40 μg/ml) for 48 h. Data shows the mean +/− s.e.m. of triplicate values and is representative of 2 individual experiments. \*p< 0.05, \*\*p < 0.01, \*\*\*p < 0.001, two-tailed unpaired Student t test (A-D) or two-way ANOVA (E).



### **Figure 3.**

Shp1-deficient iNKT cells drive the expansion of innate memory CD8 T cells in the thymus. (A) Frequency of Eomes<sup>+</sup> CD8 T cells in the thymus (left) and spleen (right) of Shp1<sup>fl/fl</sup> and Shp1<sup>fl/fl</sup> CD4-cre mice. (**B**) BrdU incorporation by CD44<sup>high</sup> CD8 T cells from the thymus (left) and spleen (right) of Shp1 $f<sup>1f/f</sup>$  and Shp1 $f<sup>1f/f</sup>$  CD4-cre mice. (**C**) Frequency of Eomes<sup>+</sup> CD8 T cells in the thymus (top) and spleen (bottom) of Shp1f<sup>l/fl</sup> CD1d<sup>+/−</sup>, Shp1f<sup>l/fl</sup> CD1d<sup>-/−</sup>, Shp1fl/fl CD4-cre CD1d<sup>+/−</sup> and Shp1fl/fl CD4-cre CD1d<sup>-/−</sup> mice. Data represents individual experiments and mean values +/− s.e.m of 2 or 3 independent experiments. \* $p < 0.05$ , \*\* $p <$ 0.01, \*\*\* $p < 0.001$ , two-tailed unpaired Student t test.



#### **Figure 4.**

Shp1 deficiency does not affect iNKT TCR signaling. (**A**) Expression of Egr2 in thymic iNKT cells from Shp1fl/fl (fl/fl) and Shp1fl/fl CD4-cre (cre) mice was determined by FACS. (**B**) Expression of Egr2 and PLZF in thymic stage 0 (CD24<sup>hi</sup> CD44<sup>™</sup> NK1.1<sup>™</sup>), stage 1  $(CD24^{TM} CD44^{TM} NK1.1^{TM})$ , stage 2  $(CD24^{TM} CD44+NK1.1^{TM})$  and stage 3  $(CD24^{TM} CD44+$ NK1.1<sup>+</sup>) iNKT cells from Shp1<sup>fl/fl</sup> (fl/fl) and Shp1<sup>fl/fl</sup> CD4-cre (cre) mice. (**C**) Frequency of Nur77<sup>+</sup> and Egr2<sup>+</sup> thymic (top) or splenic (bottom) iNKT cells upon stimulation with different concentrations of anti-CD3 antibodies. (D) Splenocytes from Shp1 $f<sup>1/f1</sup>$  (fl/fl) and Shp1fl/fl CD4-cre (cre) mice were labelled with CellTrace™ CFSE and stimulated for 72 h with the indicated concentrations of anti-CD3 (top) or anti-CD3/CD28 (bottom) antibodies. Proliferation of iNKT cells (TCRβ<sup>+</sup> PBS57-CD1d Tetramer<sup>+</sup>) was assessed by FACS. (**E**) Frequency of Vβ8<sup>+</sup> and Vβ7<sup>+</sup> iNKT cells in the thymus (left) and spleen (right) of Shp1<sup>fl/fl</sup> and Shp1fl/fl CD4-cre mice. Data represents individual mice and mean +/− s.e.m of 2-3 independent experiments (A, E) or the mean +/− s.e.m. of 2 to 3 independent experiments (n 4). \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , two-tailed unpaired Student t test.



#### **Figure 5.**

Shp1 deletion does not affect Slamf6 mediated upregulation of Egr2 and PLZF. (**A**) Frequency of live PLZF<sup>+</sup> PSDPs from Shp1<sup>fl/fl</sup> and Shp1<sup>fl/fl</sup> CD4-cre mice after 48 hours of stimulation with the indicated immobilized antibodies. (**B**) PSDPs were stimulated stimulation with anti-CD3 and anti-Slamf6 antibodies for 30 min., washed and analyzed for Egr2 expression at the indicated times following stimulus withdrawal. (**C**) Frequency of iNKT cells in the thymus of SAP−/− Shp1fl/fl, SAP−/− Shp1fl/fl CD4-cre and SAP+/− Shp1fl/fl CD4-cre mice Representative dot plots gated on live cells are shown. Data represents individual mice and mean +/− s.e.m. of 2-3 independent experiments.



#### **Figure 6.**

Shp1 regulates cytokine-mediated iNKT cell proliferation. (**A**) Representative histogram plots (3 mice per group) of CFSE dilution profile of iNKT cells sorted from the thymus (left) or the spleen (right) of Shp1 $f<sup>1/f1</sup>$  and Shp1 $f<sup>1/f1</sup>$  CD4-cre mice cultured for 4 days in the presence of 10ng/ml of IL-2, IL-7 or IL-15. One experiment out of two is shown. (**B**) BrdU incorporation by iNKT cells from the thymus (left) and spleen (right) of  $\text{Shp1}^{\text{fl/fl}}$  and Shp1<sup>fl/fl</sup> CD4-cre mice. (C) Expression of CD25, CD127 and CD122 on thymic (left) and splenic (right) iNKT cells from  $\text{Shp1}^{\text{fl/fl}}$  and  $\text{Shp1}^{\text{fl/fl}}$  CD4-cre mice. Data shows representative histogram plots as well as individual mice and the mean values +/− s.e.m of 2-3 independent experiments.