



## ARTICLE

# Does relapse contribute to treatment resistance? Antipsychotic response in first- vs. second-episode schizophrenia

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Although some studies have suggested that relapse may be associated with antipsychotic treatment resistance in schizophrenia, the number and quality of studies is limited. The current analysis included patients with a diagnosis of first-episode schizophrenia or schizoaffective disorder who met the following criteria: (1) referral to the First-Episode Psychosis Program between 2003 and 2013; (2) treatment with an oral second-generation antipsychotic according to a standardized treatment algorithm; (3) positive symptom remission; (4) subsequent relapse (i.e., second episode) in association with non-adherence; and (5) reintroduction of antipsychotic treatment with the same agent used to achieve response in the first episode. The following outcomes were used as an index of antipsychotic treatment response: changes in the brief psychiatric rating scale (BPRS) total and positive symptom scores and number of patients who achieved positive symptom remission and 20 and 50% response. A total of 130 patients were included in the analyses. Although all patients took the same antipsychotic in both episodes, there were significant episode-by-time interactions for all outcomes of antipsychotic treatment response over 1 year in favor of the first episode compared to the second episode (50% response rate: 48.7 vs. 10.4% at week 7; 88.2 vs. 27.8% at week 27, respectively). Although antipsychotic doses in the second episode were significantly higher than those in the first episode, results remained unchanged after adjusting for antipsychotic dose. The present findings suggest that antipsychotic treatment response is reduced or delayed in the face of relapse following effective treatment of the first episode of schizophrenia.

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## INTRODUCTION

Relapse is associated with considerable psychological and social distress in patients with schizophrenia and their families, as well as increased economic burden [1]. Continuous antipsychotic treatment plays a key role in preventing relapse in schizophrenia [2]; however, medication non-adherence occurs frequently [3], in particular early in the illness' course [4], resulting in increased risk of relapse [5].

The implications of relapse are not entirely clear. It has been established that antipsychotic treatment response is greater in first-episode schizophrenia [6, 7], and optimal antipsychotic doses are lower than later in the illness' course [8]. This has led to speculation that subsequent psychotic episodes (i.e., relapse) may be involved in the process of diminished antipsychotic treatment response (i.e., treatment resistance) [9]. In a recent review of this topic [10], it was noted that a small number of studies indicated that relapse was associated with treatment resistance. For example, Lieberman et al. treated 70 patients with first-episode psychosis, most antipsychotic naive, and followed them for 5 years [11]. Twenty-two patients remitted but subsequently relapsed (i.e., second episode), with six relapsing twice (i.e., third episode); all were treated with the same antipsychotic to which they responded. There were significant differences in median times

to remission between the first and second episodes (8.4 vs. 11.9 weeks), as well as the second and third episodes (7 vs. 24.1 weeks). More recently, Emsley et al. evaluated 31 patients with first-episode psychosis who achieved response (50% reduction in overall symptom severity) to long-acting injectable risperidone and, thereafter, received intermittent treatment for 3 years. Among those who experienced relapse (i.e., second episode), 5 patients (16.1%) did not meet the same criteria for response to the same medication [12]. In a somewhat similar study, Emsley et al. reported on 97 patients with schizophrenia who responded to long-acting injectable paliperidone, thereafter, received placebo treatment for 1 year and experienced relapse during this period. Fourteen patients (14.4%) failed to respond to the same medication after the relapse [13]. Taken together, these studies have suggested that relapse may be associated with antipsychotic treatment resistance in schizophrenia; however, to date the number and quality of studies are limited.

Further evidence arising from clearly defined treatment algorithms would prove valuable in advancing this line of investigation. To this end, we report on longitudinal follow-up data of first-episode patients with schizophrenia who were treated from the outset within such a framework, comparing trajectories of antipsychotic treatment response before and after relapse

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following initial response. We hypothesized that antipsychotic response would diminish in the second episode compared to the first episode.

## MATERIALS AND METHODS

### Patients

Patients included in the study were referred to the First-Episode Psychosis Program at the Centre for Addiction and Mental Health (CAMH), Toronto, Ontario, Canada, between 2003 and 2013, and followed-up by a community-based treatment team for first-episode schizophrenia. A treatment algorithm standardizing pharmacologic management was implemented, in keeping with existing guidelines. Briefly, patients were offered trials with 2 oral second-generation antipsychotics, each in 3 stages with a maximum duration of 4 weeks as required and tolerated: Stage 1—a low dose treatment (risperidone 2–3 mg/day, olanzapine 5–10 mg/day, quetiapine 300–400 mg/day, aripiprazole 10–15 mg/day, paliperidone 3–6 mg/day, and ziprasidone 40–120 mg/day); Stage 2—a full dose treatment (risperidone 4–6 mg/day, olanzapine 12.5–20 mg/day, quetiapine 425–800 mg/day, aripiprazole 20 mg/day, paliperidone 9 mg/day, and ziprasidone 140–160 mg/day); and Stage 3—a high dose treatment (risperidone 6.5–10 mg/day, olanzapine 22.5–30 mg/day, quetiapine 850–1200 mg/day, aripiprazole 30 mg/day, paliperidone 12 mg/day, and ziprasidone 180–200 mg/day). Thereafter, clozapine was offered to those who continued to show suboptimal clinical response. The algorithm does not recommend the option of being without antipsychotic treatment during 5 years after the first episode. For patients who experienced relapse due to non-adherence (i.e., the second episode), the algorithm recommends the same antipsychotic and dose used to achieve response in the first episode following a short titration schedule (i.e., 2–3 days, with the opportunity to titrate further once the previous dose is achieved). Patients were advised that their treatment would be applied according to this algorithm, but that it would be flexibly administered in accordance with the individual-specific clinical condition and preferences. If a patient met substance abuse/dependence other than nicotine according to the DSM-IV, the patient was not followed-up with the algorithm.

To be included in the study, patients met the following criteria: (1) treatment for the first episode of psychosis was successful with an oral second-generation antipsychotic and resulted in meeting positive symptom remission criteria (see below); and (2) a second episode of psychosis (i.e., relapse [see below]) was experienced due to non-adherence.

Data for this study were collected in the course of routine clinical care and analyzed retrospectively. The Research Ethics Board at the CAMH approved this study and the publication of anonymized clinical data.

### Assessments

In addition to collecting general demographic, clinical, and pharmacological information, the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV) [14] diagnosis was made through clinical interview by a staff psychiatrist (O.A.) who oversaw the care and assessment of this cohort during treatment. All patients met criteria for schizophrenia or schizoaffective disorder, and for the purpose of reporting are referred to collectively as first-episode schizophrenia. Clinical ratings included the clinical global impressions scale (CGI) [15] and the 18-item brief psychiatric rating scale (BPRS) [16]. Positive symptom remission was defined as (a) the CGI-I score  $\leq 2$  and (b) the BPRS 4 core psychotic items (conceptual disorganization; hallucinatory behavior; suspiciousness; unusual thought content) scores  $\leq 3$ . Relapse was defined as a 20% increase in the BPRS total scores at a regularly scheduled visit following positive symptom remission. Positive symptom relapse was defined as  $\geq 4$  on at least 1 item of the BPRS 4 core psychotic symptoms.

Medication adherence was assessed through multiple measures, including patient and caregiver feedback, pharmacy reports regarding prescription utilization, and random pill counts and urine screens. For the data presented here, evidence from pill counts indicated an adherence rate of  $\geq 80\%$  unless otherwise stated.

### Data analysis

The primary analysis outcome was changes in the BPRS total scores from individual baseline visit (prior to treatment for the first or second episode). Secondary analysis outcomes were changes in the BPRS positive symptom scores and the rates of patients achieving positive symptom remission. The proportions of patients achieving  $\geq 20\%$  and  $\geq 50\%$  reduction in the BPRS total scores (20% responder and 50% responder, respectively) were analyzed as additional outcome measures. Percent score changes were calculated using the following formulation [17, 18]: (BPRS total score at a given time–BPRS total score at baseline)/(BPRS total score at baseline–18)  $\times 100$ . We chose 20 and 50% as a threshold of response because these correspond to “minimal improvement” and “much improvement”, respectively [19].

Mixed model for repeated-measures (MMRM) analysis was applied to compare mean change in BPRS score between the first and second episode of schizophrenia, which included fixed effects for episode, visit, and episode-by-visit interaction terms. An unstructured covariance matrix was used for within-patient correlation. In the analyses of remission and response rates for the first and second episodes, we applied a generalized estimating equation (GEE) model for longitudinal data that included terms for episode, visit, and episode-by-visit interaction effect. To account for antipsychotic doses, olanzapine equivalent antipsychotic doses were calculated according to the minimum effective dose method [20] and were added to the MMRM and GEE models as a covariate. In addition, logistic regression analyses were performed to examine the impact of demographic and clinical characteristics of patients including duration of unfollow-up between the first and second episodes (i.e., duration from the last visit of the first episode to the first visit of second episode) on patients who sustained positive symptom remission for 8 weeks or longer in the second episode vs. those who did not.

A 2-tailed *P*-value of  $<0.05$  was considered statistically significant for all tests. All statistical analyses were conducted using the SAS 7.13 (SAS Institute Inc., Cary, NC, USA).

## RESULTS

### Demographic and clinical characteristics of patients

A total of 130 patients were included in the analyses. All patients were treated as outpatients, although 78 patients (60.0%) and 67 patients (51.5%) needed a short hospitalization during the first and second episode, respectively. Table 1 displays demographic and clinical characteristics of the patients. The patients visited the clinic for the first time at a mean  $\pm$  SD age of  $22.1 \pm 3.1$  years and were followed for  $33.5 \pm 19.7$  weeks. They stopped visiting the clinic for  $19.3 \pm 9.7$  weeks, relapsed, and were followed-up again for  $30.5 \pm 14.0$  weeks. No significant difference in duration of unfollow-up between the first and second episodes were found between the patients who sustained positive symptom remission in the second episode ( $N = 63$ ) and those who did not ( $N = 67$ ) ( $19.7 \pm 7.3$  weeks vs.  $19.0 \pm 9.7$  weeks, respectively; OR = 1.008,  $X^2 = 0.17$ ,  $P = 0.68$ ) (Supplemental Table 1). At the first visit in the second episode, 126 of 129 patients (97.6%) also met positive symptom relapse criteria. Psychopathology and treatment at first and second episodes are shown in Table 2. There was no significant difference in baseline BPRS total scores between the two episodes ( $59.1 \pm 5.9$  vs.  $58.7 \pm 6.7$ ;  $t = 0.43$ ,  $P = 0.67$ ). In the majority of patients risperidone or olanzapine was administered for the first episode, with the mean  $\pm$  SD dose of risperidone or

olanzapine,  $4.2 \pm 1.1$  or  $15.5 \pm 6.2$  mg/day, respectively. All patients took the same antipsychotic at the second episode, although with the second episode doses ended up significantly higher than those in the first episode ( $17.3 \pm 6.4$  mg/day vs.  $16.6 \pm 7.4$  mg/day, respectively;  $t = -2.19$ ,  $P = 0.03$ ).

Changes in BPRS total and positive symptom scores over time in first vs. second episodes

Figure 1 illustrates changes in the BPRS total and positive symptom scores over time in the first and second episodes. There were significant episode-by-time interactions for both total and positive symptom trajectories over 1 year in the two episodes ( $F = 13.8$ ,  $df = 15$ ,  $2242$ ,  $P < 0.001$ ;  $F = 20.5$ ,  $df = 15$ ,  $2288$ ,  $P < 0.0001$ , respectively), indicating that general and core symptom improvements were more rapid and greater in the first episode than the second episode. The results remained unchanged after adjusting

for antipsychotic doses ( $F = 13.8$ ,  $df = 15$ ,  $2241$ ,  $P < 0.001$ ;  $F = 20.5$ ,  $df = 15$ ,  $2287$ ,  $P < 0.0001$ , respectively).

Changes in positive symptom remission and response rates over time in first vs. second episodes

Significant interactions between episode and time were also found for positive symptom remission rates (Fig. 2) ( $\chi^2 = 95.0$ ,  $P < 0.001$ ) and 20 and 50% response rates ( $\chi^2 = 75.2$ ,  $P < 0.001$ ;  $\chi^2 = 105.0$ ,  $P < 0.001$ , respectively) (Fig. 3); the results remained unchanged after adjusting for antipsychotic doses ( $\chi^2 = 93.6$ ,  $P < 0.001$ ;  $\chi^2 = 70.2$ ,  $P < 0.001$ ;  $\chi^2 = 105.1$ ,  $P < 0.001$ , respectively). As depicted in Figs. 2, 3, the majority of patients achieved positive symptom remission and 20% response in both the first and second episodes, with a faster attainment of this during the first episode; in contrast, more than half of patients failed to achieve 50% response in the second episode.

**Table 1.** Demographic and clinical characteristics of patients ( $N = 130$ )

	N (%) or Mean (SD)
Male	113 (86.9)
Age at first episode (years)	22.1 (3.1)
<i>Education</i>	
Less than high school	16 (12.3)
Some high school	50 (38.5)
Completed high school	38 (29.2)
Some post-secondary education	23 (17.7)
Completed post-secondary education	3 (2.3)
<i>Ethnicity</i>	
Caucasian	88 (67.7)
Asian	22 (16.9)
African Canadian	20 (15.4)
<i>Marital status</i>	
Single	125 (96.2)
Married/long-term partnership	5 (3.8)
<i>Diagnosis</i>	
Schizophrenia	126 (96.9)
Schizoaffective disorder	4 (3.1)
Duration of unfollow-up between first and second episodes (weeks)	19.3 (9.7)

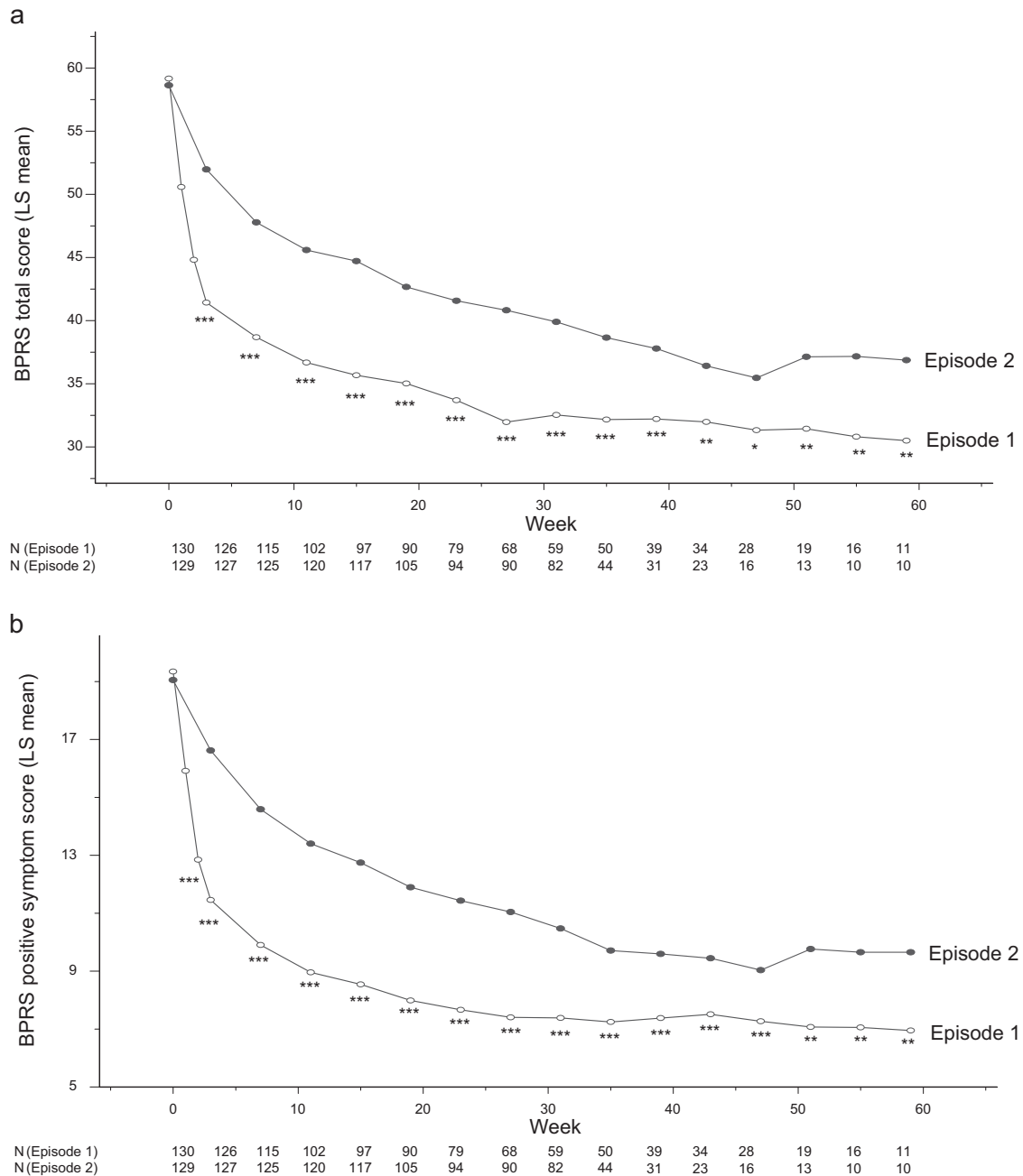
## DISCUSSION

Our findings add to the limited body of evidence presently available linking relapse in schizophrenia with increasing treatment resistance. The uniqueness and strength of the present study are threefold. First, all data were collected as part of routine clinical practice, allowing results to be generalized to 'real world' clinical practice. Second, at the same time a clearly defined treatment algorithm permits data to be grouped and compared more systematically. Third, there was homogeneity in terms of the study population; specifically, all individuals had achieved positive symptom remission in response to a first antipsychotic trial, chose to discontinue treatment and relapsed, at which point they were reinstated on the same antipsychotic. The analyses demonstrated that antipsychotic treatment response was reduced and/or delayed in the second episode. In addition, higher doses ultimately prescribed in the second episode may be seen as evidence suggesting increased treatment resistance, mirroring other evidence indicating lower antipsychotic doses are needed in first-episode schizophrenia compared to multiple-episode schizophrenia [8]; however, antipsychotic dose did not have a significant impact on the difference in antipsychotic treatment response between the two episodes, indicating no clinical significance.

Recent studies have shown that there are 2 distinct types of treatment resistance [21–23]: the majority of patients with treatment-resistant schizophrenia present "early resistance" or "treatment resistance from the illness onset" not "late resistance" or "delayed-onset treatment resistance". As only patients who responded to an antipsychotic and achieved positive symptom

**Table 2.** Psychopathology and treatment at first and second episodes

	First episode ( $N = 130$ ) N (%) or Mean (SD)	Second episode ( $N = 130$ ) N (%) or Mean (SD)	Between-episode difference P-value
Baseline BPRS total score	59.1 (5.9)	58.7 (6.7)	0.51
Baseline BPRS positive symptom score	19.3 (2.4)	19.1 (3.1)	0.28
Duration of follow-up (weeks)	33.5 (19.7)	30.5 (14.0)	0.10
<i>Type of antipsychotics [highest dose of antipsychotics (mg/day)]</i>			
Aripiprazole	10 (7.7) [16.4 (5.1)]	10 (7.7) [17.0 (4.2)]	0.66*
Olanzapine	55 (42.3) [15.5 (6.2)]	55 (42.3) [16.5 (4.4)]	<b>0.049*</b>
Paliperidone	5 (3.8) [6.6 (2.5)]	5 (3.8) [7.2 (2.7)]	0.37*
Quetiapine	7 (5.4) [743 (190)]	7 (5.4) [743 (98)]	1.00*
Risperidone	53 (40.8) [4.2 (1.1)]	53 (40.8) [4.4 (1.0)]	0.26*
Olanzapine-equivalent highest dose of antipsychotics (mg/day)	16.6 (7.4)	17.3 (6.4)	<b>0.03</b>
<i>BPRS brief psychiatric rating scale</i>			
Bold values: $P < 0.05$ *P-value for highest dose of the antipsychotic			

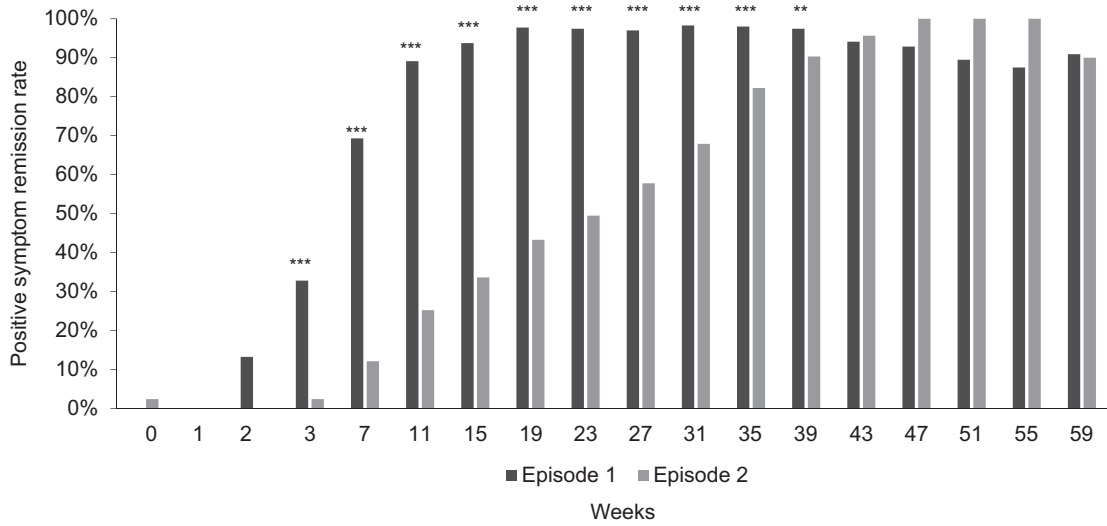


**Fig. 1** **a** Changes in BPRS total scores over time in first vs. second episodes ( $N = 130$ ). The mixed-model analysis revealed a significant interaction between episode and time ( $F = 13.8$ ,  $df = 15, 2242$ ,  $P < 0.001$ ).  $***P < 0.001$ ,  $**P < 0.01$ ,  $*P < 0.05$ . BPRS brief psychiatric rating scale. **b** Changes in BPRS positive symptom scores over time in first vs. second episodes ( $N = 130$ ). The mixed-model analysis revealed a significant interaction between episode and time ( $F = 20.5$ ,  $df = 15, 2288$ ,  $P < 0.0001$ ).  $***P < 0.001$ ,  $**P < 0.01$ ,  $*P < 0.05$ . BPRS brief psychiatric rating scale

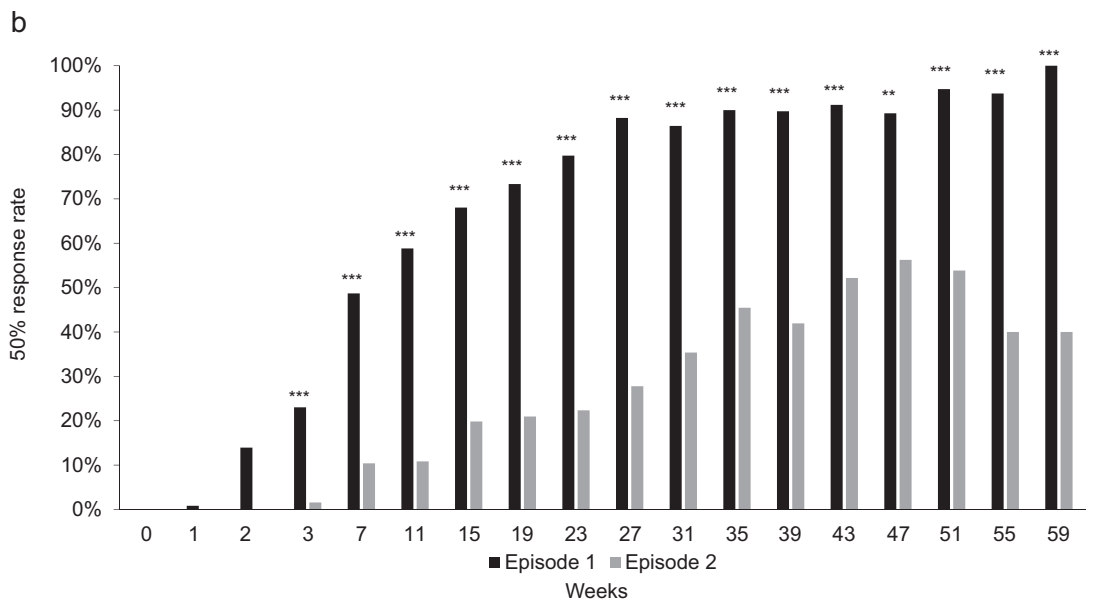
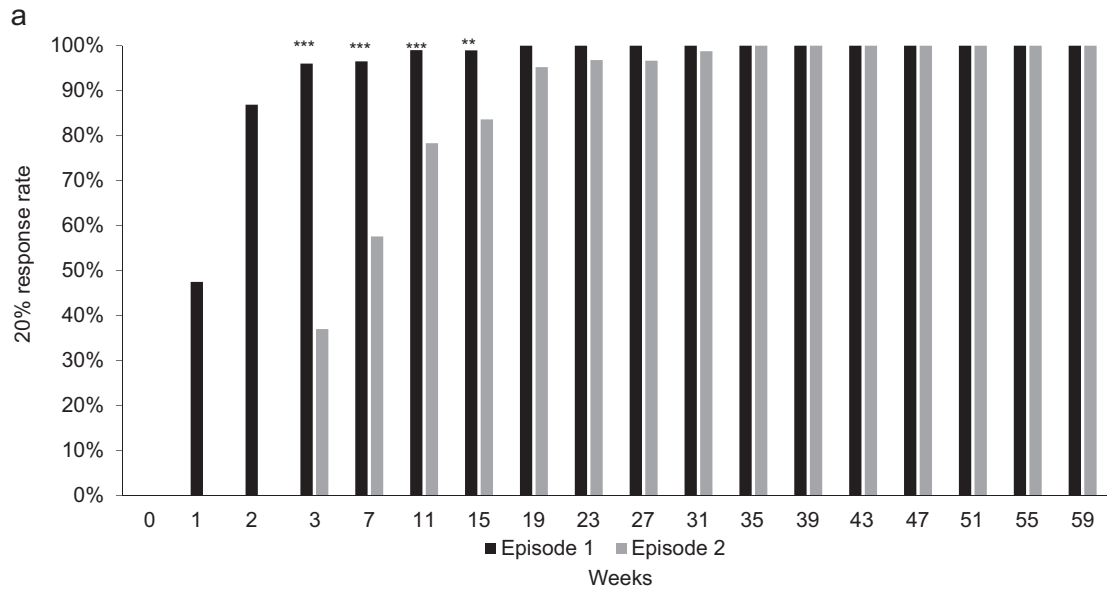
remission were included in this analysis (i.e., the former population were excluded), the findings shed light on how treatment resistance evolves in schizophrenia. This strategy represents an important link in understanding those individuals with the latter form of treatment resistance as the distinction is lost at that point where both groups are assimilated under the term “treatment-resistant schizophrenia” [24]. From a mechanistic standpoint, it will be critical to evaluate each separately as it would seem that the underlying pathophysiology may well be different.

The present findings also emphasize the importance of relapse prevention in schizophrenia. The recent 2 reviews, in which experts on clinical psychopharmacology carefully scrutinized the current evidence on long-term consequences of antipsychotic

treatment, have generally recommended long-term antipsychotic treatment in schizophrenia, because its benefits are weighed against its risks [25, 26]. The present treatment algorithm engaged numerous strategies to encourage adherence, defined as  $\geq 80\%$  here, including pharmacy contacts, reports from patient/caregivers, and random pill counts as well as urine screens during the follow-up period. Despite this, a substantial number of patients discontinued treatment and relapsed. To this point, recent meta-analyses have shown superiority of long-acting injectable antipsychotics over oral antipsychotics for study discontinuation due to any reason as well as relapse/hospitalization not in randomized controlled trials [27, 28], but in mirror-image studies [29] and cohort studies [30] which are closer to the real-world



**Fig. 2** Changes in positive symptom remission rates over time in first vs. second episodes ( $N = 130$ ). The generalized estimating equation analysis revealed a significant interaction between episode and time ( $F = 95.0, P < 0.001$ ). \*\*\* $P < 0.001$ , \*\* $P < 0.01$



**Fig. 3 a** Changes in 20% response rates over time in first vs. second episodes ( $N = 130$ ). The generalized estimating equation analysis revealed a significant interaction between episode and time ( $F = 75.2, P < 0.001$ ).  $***P < 0.001, **P < 0.01$ . **b** Changes in 50% response rates over time in first vs. second episodes ( $N = 130$ ). The generalized estimating equation analysis revealed a significant interaction between episode and time ( $F = 105.0, P < 0.001$ ).  $***P < 0.001, **P < 0.01$

clinical practice. Furthermore, a recent analysis demonstrated that 50% of patients who discontinued oral paliperidone, 1-monthly long-acting injectable paliperidone, or 3-monthly long-acting injectable paliperidone did not experience relapse for approximately 2 months, 6 months, and 13 months, respectively [31]. As such, long-acting injectable antipsychotics may warrant consideration even for patients stabilized on oral antipsychotics with good medication adherence. As noted, non-adherence is particularly problematic in first episode psychosis [4] and, at the same time, risk of relapse may be even more relevant in this population.

These findings are in line with the psychosis “neurotoxicity” hypothesis and evidence that duration of untreated psychosis is associated with changes in neurocognitive performance and brain structure [32]. Time may be less relevant than psychosis per se; here, for example, the duration between the first and second episodes was as short as 19.3 weeks. In addition, both time to response as well as degree of response are impacted. More specifically, it took longer to respond following a second episode and fewer individuals attained a 50% reduction in overall symptom severity (i.e., much improvement) compared to a 20% reduction (i.e., minimal improvement) or positive symptom remission. Importantly, here we are looking at only 1 relapse and it is plausible that response is further attenuated with repeated relapses.

Limitations to the current investigation should be noted. First and foremost is the lack of blinding, although arguably this can also be seen as a strength because the data reflect “real world” clinical practice. Second, there is no absolute agreement of what constitutes “clinical response” or “clinical relapse”, although various outcomes of antipsychotic treatment response were examined. Third, although numerous strategies were implemented to encourage and monitor adherence, pill counts were used instead of electronic monitoring to measure non-adherence to medication, which may have underappreciated non-adherence. Forth, some clinically important information (e.g., duration of untreated psychosis, antipsychotic dose at each visit, and reasons for non-adherence) was not collected on a regular basis or in a systematic fashion, or was missing. Fifth, the dataset primarily included patients who achieved positive symptom remission at the end of the first episode and experienced relapse because of non-adherence, which made it difficult to compare clinically important groups (e.g., remitters vs. non-remitters and patients who relapsed despite being adherent vs. those not being adherent). Critical to the conclusions drawn here is the fact that this was only the second psychotic episode, and it remains unclear as to whether the same results would be achieved across repeated episodes or if a longer gap occurred before re-initiation of treatment.

In conclusion, this is the first investigation to report response rates in a sample of individuals who experienced a first episode of psychosis, remitted in terms of positive symptoms with treatment, chose to discontinue pharmacotherapy and relapsed, leading to reinstatement of the same antipsychotic. The evidence here suggests that for most of these individuals it is possible to re-establish response, at least following 1 episode of relapse; this said, the response may be attenuated and/or delayed. From a clinical practice standpoint, this represents important information that must be incorporated into discussions regarding antipsychotic discontinuation. In short, the message to be conveyed is that good response cannot be guaranteed in the face of a relapse, even if the same treatment is reinitiated.

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## ADDITIONAL INFORMATION

**Supplementary Information** accompanies this paper at (<https://doi.org/10.1038/s41386-018-0278-3>).

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