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## Hyperbaric oxygen therapy for people with autism spectrum disorder (ASD) (Review)

Xiong T, Chen H, Luo R, Mu D

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## TABLE OF CONTENTS

ABSTRACT .....	1
PLAIN LANGUAGE SUMMARY .....	2
SUMMARY OF FINDINGS .....	3
BACKGROUND .....	5
OBJECTIVES .....	7
METHODS .....	7
RESULTS .....	10
Figure 1. ....	11
Figure 2. ....	12
DISCUSSION .....	13
AUTHORS' CONCLUSIONS .....	14
ACKNOWLEDGEMENTS .....	14
REFERENCES .....	15
CHARACTERISTICS OF STUDIES .....	21
DATA AND ANALYSES .....	24
Analysis 1.1. Comparison 1 Primary outcome, Outcome 1 Social interaction and communication. ....	24
Analysis 1.2. Comparison 1 Primary outcome, Outcome 2 Behavioral problems. ....	24
Analysis 2.1. Comparison 2 Second outcome, Outcome 1 Communication and linguistic abilities. ....	25
Analysis 2.2. Comparison 2 Second outcome, Outcome 2 Cognitive function. ....	25
Analysis 2.3. Comparison 2 Second outcome, Outcome 3 Safety of hyperbaric oxygen therapy. ....	26
ADDITIONAL TABLES .....	26
APPENDICES .....	27
WHAT'S NEW .....	35
CONTRIBUTIONS OF AUTHORS .....	35
DECLARATIONS OF INTEREST .....	35
SOURCES OF SUPPORT .....	35
DIFFERENCES BETWEEN PROTOCOL AND REVIEW .....	36
INDEX TERMS .....	36

[Intervention Review]

# Hyperbaric oxygen therapy for people with autism spectrum disorder (ASD)

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## ABSTRACT

### Background

The rising prevalence of autism spectrum disorder (ASD) has increased the need for evidence-based treatments to lessen the impact of symptoms. Presently, no therapies are available to effectively treat individuals with all of the symptoms of this disorder. It has been suggested that hyperbaric oxygen therapy may alleviate the biochemical dysfunction and clinical symptoms of ASD.

### Objectives

To determine whether treatment with hyperbaric oxygen:

1. improves core symptoms of ASD, including social communication problems and stereotypical and repetitive behaviors;
2. improves noncore symptoms of ASD, such as challenging behaviors;
3. improves comorbid states, such as depression and anxiety; and
4. causes adverse effects.

### Search methods

On 10 December 2015, we searched CENTRAL, Ovid MEDLINE, Embase, and 15 other databases, four of which were Chinese language databases. We also searched multiple trial and research registers.

### Selection criteria

We selected randomized controlled trials (RCTs) and quasi-RCTs of any dose, duration, and frequency for hyperbaric oxygen therapy compared with no treatment or sham treatment for children and adults with ASD.

### Data collection and analysis

We used standard methodological procedures expected by The Cochrane Collaboration, in that three review authors independently selected studies, assessed them for risk of bias, and extracted relevant data. We also assessed the quality of the evidence by using the GRADE approach.

## Main results

We included one trial with a total of 60 children with a diagnosis of ASD who randomly received hyperbaric oxygen therapy or a sham treatment. Using GRADE criteria, we rated the quality of the evidence as low because of the small sample size and wide confidence intervals (CIs). Other problems included selection bias and short duration or follow-up.

Overall, study authors reported no improvement in social interaction and communication, behavioral problems, communication and linguistic abilities, or cognitive function. With regard to the safety of hyperbaric oxygen therapy (adverse events), they reported minor-grade ear barotrauma events. Investigators found significant differences between groups in total number of side effect events (Peto odds ratio (OR) 3.87, 95% CI 1.53 to 9.82) and in the number of children who experienced side effects (Peto OR 4.40, 95% CI 1.33 to 14.48).

## Authors' conclusions

To date, there is no evidence that hyperbaric oxygen therapy improves core symptoms and associated symptoms of ASD. It is important to note that adverse effects (minor-grade ear barotrauma events) can occur. Given the absence of evidence of effectiveness and the limited biological plausibility and possible adverse effects, the need for future RCTs of hyperbaric oxygen therapy must be carefully considered.

## PLAIN LANGUAGE SUMMARY

### High-pressure oxygen therapy for children and adults with autism spectrum disorder (ASD)

#### Background

Autism spectrum disorder (ASD) is associated with problems in social communication and restricted behaviors. High-pressure (hyperbaric) oxygen therapy has been proposed as treatment for these ASD symptoms. We reviewed the evidence on effects of high-pressure (hyperbaric) oxygen therapy among children and adults with ASD. We also assessed the evidence on the safety of high-pressure oxygen therapy.

#### Review question

Does high-pressure oxygen therapy improve social communication or other aspects of function in children and adults with ASD, and how safe is this therapy?

#### Study characteristics

We searched electronic databases and identified randomized controlled trials (in which participants are randomly allocated to one of two or more treatment groups) consisting of participants who received high-pressure oxygen therapy or room air or no treatment as a control.

The evidence is current up to December 2015.

#### Key results

We found a single, small study of 60 children that evaluated high-pressure oxygen therapy for ASD.

There was no evidence that high-pressure oxygen therapy improved social interaction, behavioral problems, speech or language communication, or mental function in children with ASD. However, children who received high-pressure (hyperbaric) oxygen therapy showed an increased occurrence of ear barotrauma events when compared with those in the control group.

#### Quality of the evidence

The quality of the evidence is low. Evidence is insufficient to confirm that high-pressure oxygen is an effective treatment for individuals with ASD.

## SUMMARY OF FINDINGS

### Summary of findings for the main comparison. Hyperbaric oxygen therapy for autism spectrum disorder (ASD) in children

Hyperbaric oxygen therapy for autism spectrum disorder (ASD) in children

**Patient or population:** children with ASD

**Settings:** trial conducted in Thailand

**Intervention:** hyperbaric oxygen therapy

**Comparison:** control group (same chambers as those in hyperbaric oxygen therapy group with an oxygen concentration of 21%)

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	Number of participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	Control	Primary outcome				
<b>Social interaction and communication - Parental ATEC score</b> (scale from 0 to 40) <b>Follow-up:</b> 20 sessions of interventions	Mean score in the control groups was 14.28	Mean score in the intervention groups was <b>1.21 higher</b> (2.21 lower to 4.63 higher)	Not estimable	60 (1 study)	⊕⊕○○ <b>Low</b> a,b	—
<b>Social interaction and communication - Clinician ATEC score</b> (scale from 0 to 40) <b>Follow-up:</b> 20 sessions of interventions	Mean score in the control groups was 14.28	Mean score in the intervention groups was <b>1.55 higher</b> (1.35 lower to 4.45 higher)	Not estimable	60 (1 study)	⊕⊕○○ <b>Low</b> a,b	—
<b>Behavioral problems - Parental ATEC score</b> <b>Follow-up:</b> mean 20 sessions	Mean score in the control groups was 20.41	Mean score in the intervention groups was <b>0.24 lower</b> (4.80 lower to 4.32 higher)	Not estimable	60 (1 study)	⊕⊕○○ <b>Low</b> a,b	—
<b>Behavioral problems - Clinician ATEC score</b> (scale from 0 to 40) <b>Follow-up:</b> 20 sessions of interventions	Mean score in the control groups was 13.52	Mean score in the intervention groups was <b>1.28 lower</b> (4.47 lower to 1.91 higher)	Not estimable	60 (1 study)	⊕⊕○○ <b>Low</b> a,b	—

\*The basis for the **assumed risk** (e.g. median control group risk across studies) is provided in footnotes. The **corresponding risk** (and its 95% CI) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

**ATEC:** Autism Treatment Evaluation Checklist; **CI:** confidence interval.

GRADE Working Group grades of evidence

**High quality:** Further research is very unlikely to change our confidence in the estimate of effect.

**Moderate quality:** Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

**Low quality:** Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

**Very low quality:** We are very uncertain about the estimate.

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<sup>a</sup>Small sample size (60 participants).

<sup>b</sup>Wide CIs, which include no effect AND appreciable harm and benefit.

## BACKGROUND

### Description of the condition

Autism spectrum disorder (ASD) consists of a group of neurodevelopmental disorders classically characterized by impaired social interaction and communication, repetitive behaviors (Ghanizadeh 2012), and selective (inward) attention (Hughes 2008). Three different types of ASD have been defined: autistic disorder, Asperger syndrome, and pervasive developmental disorder not otherwise specified (PDD-NOS) (APA 2013). People with ASD often present with challenging behaviors, including aggression, tantrums, irritability, hyperactivity, inattention, impulsivity, self-injury, and pica (Matson 2011).

The fifth edition of the *Diagnostic and Statistical Manual of Mental Disorders* (DSM-5) states that ASD is characterized by persistent deficits in social communication and social interaction, as well as restricted, repetitive patterns of behavior, interests, or activities. Furthermore, these symptoms must be present in the early developmental period and must not be attributable to another medical or neurological condition. Severity levels for ASD include level one (requiring support), level two (requiring substantial support), and level three (requiring very substantial support). These levels are based on the severity of social communication impairments and restricted, repetitive patterns of behavior (APA 2013).

The number of people with a diagnosis of ASD has escalated over the past decade, and prevalence rates continue to rise. In the United States, 1% of individuals are reported to have ASD (Gal 2012). ASD has a strong genetic basis. High-throughput, next-generation sequencing of large cohorts has exposed a heterogeneous and complex genetic landscape and has revealed novel risk genes (De Rubeis 2015). Current estimates suggest that approximately 1000 genes are likely to be involved in ASD (De Rubeis 2014). Two recent population-based studies have converged on a more refined estimate of greater than 50% heritability, with most cases due to common variations (Gaugler 2014; Sandin 2014). A person carrying one of the individual variants is, at most, 1.2 times more likely to develop ASD (Anitha 2012). Although the causes are unclear, studies on gray and white matter implicate brain development abnormalities in ASD pathology. These abnormalities predominantly affect the association areas and undermine functional integration (O'Hearn 2008). Changes to the structure and function of synapses and dendrites have been reported (Pardo 2007). Impaired microglial function may contribute to several major etiological factors in ASD (Maezawa 2011). Recent studies have discovered hundreds of ASD risk genes, which indicate a strong genetic basis for ASD susceptibility (Devlin 2012). Multiple genetic loci predispose a person to ASD (Yang 2007). A specific mutation or deletion of a gene may determine one's susceptibility to ASD, and interactions between multiple genes may cause 'idiopathic' ASD. Evidence suggests that impaired methylation and genetic polymorphisms of cytochrome enzymes are linked to ASD (Currenti 2010). Other studies show that environmental factors interact with underlying genetic profiles to produce the clinical heterogeneity observed in ASD (Dufour-Rainfray 2011; Muhle 2004).

Evidence supports early intensive behavioral and developmental intervention to improve cognitive performance, language skills, and adaptive behavior in children with ASD (AHRQ 2011; Eldevik 2009; Howlin 2009; Maglione 2012; Reichow 2012;

Warren 2011). Interventions that provide parent training and cognitive-behavioral therapy (CBT) for bolstering social skills and managing challenging behaviors may be useful for improving social communication, language use, and symptom severity in children with ASD. The Treatment and Education of Autistic and Related Communication Handicapped Children (TEACCH) program produced some improvements in motor skills and cognitive measures (AHRQ 2011).

In the field of pharmacotherapy, no agents have been proven to change the core features of autism, but some compounds can be effective for treating individuals with specific behavioral or mental health problems. The antipsychotic drugs risperidone and aripiprazole improve challenging behaviors, such as emotional distress, aggression, hyperactivity, and self-injury, but both drugs have a high incidence of harm (AHRQ 2011; Ching 2012; Jesner 2007; McPheeters 2011). Use of antipsychotic medications for managing behavior should be considered when psychosocial or other interventions are insufficient or cannot be delivered because of the severity of the behavior (NICE 2013). Little evidence is available on other behavioral interventions, allied health therapies, or complementary and alternative medicine (AHRQ 2011).

### Description of the intervention

The Undersea and Hyperbaric Medical Society defines hyperbaric oxygen therapy as an intervention in which the patient breathes near 100% oxygen intermittently while inside a hyperbaric chamber pressurized to greater than sea level pressure (one atmosphere absolute, or ATA) (UHMS 2016). With this method, it is possible to deliver a greatly increased partial pressure of oxygen to the tissues. As reported by the Undersea and Hyperbaric Medical Society, hyperbaric oxygen therapy has been shown to be effective in the treatment of patients with various conditions, including air or gas embolism, carbon monoxide poisoning, clostridial myositis and myonecrosis (gas gangrene), crush injuries, compartment syndrome and other acute traumatic peripheral ischemias, decompression sickness, arterial insufficiencies, severe anemia, intracranial abscess, necrotizing soft tissue infections, osteomyelitis (refractory), delayed radiation injury, compromised grafts and flaps, acute thermal burn injuries, and idiopathic sudden sensorineural hearing loss (UHMS 2016). Systematic reviews and randomized controlled trials (RCTs) support the clinical use of hyperbaric oxygen for refractory diabetic wound healing (Huang 2015; Stoekenbroek 2014), radiation injury (Hoggan 2014), and compromised flaps and grafts (Goldman 2009). Use of this treatment for ischemia-reperfusion disorders is supported by animal studies (Wei 2015) and by a small number of clinical trials (Thom 2011; Zhou 2008). Several other hyperbaric oxygen trials on frost injury, hypoxic ischemic encephalopathy, osteoradionecrosis, and traumatic brain injury are ongoing. Hyperbaric oxygen is well tolerated, but middle ear barotrauma is a common adverse effect (Muller-Bolla 2006).

Hyperbaric oxygen therapy may also help individuals with autism. One case series showed that seven Thai autistic children benefited from hyperbaric oxygen, as evidenced by improvement in social interaction and language and motor skills (Chungpaibulpatana 2008).

In addition to the 'classical' hyperbaric oxygen therapy defined by the Undersea and Hyperbaric Medical Society (UHMS 2016), some ASD trials have used nonclassical hyperbaric oxygen therapy



(Granpeesheh 2010; Rossignol 2009). In these trials, nonclassical hyperbaric oxygen therapy consisted of a chamber pressurized to greater than one ATA with less than 100% oxygen concentration. In one study, both classical and nonclassical hyperbaric oxygen therapies produced significant improvement in children with autism, as evidenced by normal levels of oxidative stress and inflammation markers (Rossignol 2007a). As outlined in our protocol (Xiong 2014), we assessed only the use of classical hyperbaric oxygen therapy in this review.

### How the intervention might work

The core theoretical basis for hyperbaric oxygen therapy is that it is possible to deliver a greatly increased partial pressure of oxygen to the tissues. To date, the potential mechanisms of hyperbaric oxygen therapy for ASD have been proposed on the basis of animal experiments. In this section of the review, we summarize these possible mechanisms of hyperbaric oxygen therapy (more than one ATA with 100% oxygen).

Recently, studies in ASD have reported many possible specific dysfunctions such as cerebral hypoperfusion (Ito 2005), inflammation (Vargas 2005), immune dysregulation (Li 2009a), neurotransmitter abnormalities (Connors 2006), and mitochondrial dysfunction (Rossignol 2007b; Rossignol 2012b).

In autistic children, it has been demonstrated that hypoperfusion in ASD is positively correlated with severity of autistic behaviors (Gendry 2005). Lower cerebral perfusion is significantly correlated with increasing age in children with ASD (Rossignol 2012a). The outcome of hypoperfusion occurs as hypoxia in the brain, which can be improved with increased oxygen delivery via hyperbaric therapy. The application of hyperbaric oxygen therapy is based on the theory that inhalation of oxygen at increased atmospheric pressure produces a marked elevation in the partial pressure of oxygen in arterial blood, and thus improves hypoxia in the brain (Calvert 2007). In neonatal rats, hyperbaric oxygen therapy improved hypoxic-ischemic brain injury in multiple cerebral regions, including the cortex (Wang 2009), white matter (Wang 2007b), and hippocampus (Bai 2008). In addition, hyperbaric oxygen therapy improved the oxygen delivery capacity of the brain by inducing angiogenesis in mature rats with traumatic brain injury (Lin 2012a). Direct angiogenesis may be attributed to the proliferation of neural stem cells induced by hyperbaric oxygen therapy (Ichim 2007).

Hyperbaric oxygen therapy has shown anti-inflammatory effects in animal studies. In a middle cerebral artery occlusion adult rat model, hyperbaric oxygen therapy inhibited neutrophil infiltration in the injured brain by reducing inflammation (Miljkovic-Lolic 2003). In a mature rat model of inflammatory pain, hyperbaric oxygen therapy decreased inflammation and subsequent pain (Wilson 2006). In the immune system of an adult rat, hyperbaric oxygen therapy modulated immune-mediated delayed neurological dysfunction following carbon monoxide poisoning (Thom 2006).

An insufficient supply of the neurotransmitter serotonin may be linked to autism. Mice genetically depleted of brain serotonin displayed symptoms of autism (Kane 2012). Hyperbaric oxygen therapy exerted antidepressant effects similar to those produced by some selective serotonin reuptake inhibitors in a rat model of forced swimming (Sumen-Secgin 2005). Alternatively, impairments in neuroplasticity may contribute to the pathophysiology of ASD

(Abdallah 2012). Increasing the level of dissolved oxygen with hyperbaric oxygen therapy appeared to activate neuroplasticity in patients with chronic neurologic deficiencies resulting from stroke (Efrati 2013). This therapy intensified neuroplastic responses by promoting axonal sprouting and synapse remodeling, which contributed to the recovery of locomotor performance in rats (Brkic 2012).

Mitochondrial dysfunction has been observed in approximately 5% of children with ASD (Anitha 2012). Mitochondrial dysfunction is associated with apoptosis, and thus, the causes of autism may include apoptotic mechanisms (El-Ansary 2012). Members of the caspase family, the most important pre-apoptosis molecules, are significantly increased in children with ASD (Siniscalco 2012). Hyperbaric oxygen therapy may ameliorate mitochondrial dysfunction and apoptosis in ASD. Hyperbaric oxygen therapy reduces apoptosis via the mitochondrial pathway after ischemia-reperfusion brain injury (Li 2009; Yin 2013). The opening of mitochondrial, adenosine triphosphate (ATP)-sensitive potassium channels plays a role in this effect (Lou 2006). Hyperbaric oxygen therapy significantly increased neuronal survival (Malek 2013). Another study showed that hyperbaric oxygen therapy increased cell proliferation and reduced infarct size in the hippocampus after stroke (Mu 2013).

Neural stem cells can differentiate into neurons, induce angiogenesis, and nonspecifically modulate the immune response (Ichim 2007). Hyperbaric oxygen therapy promotes the proliferation, migration, and differentiation of neural stem cells (Wang 2007a; Wang 2009), and this may contribute to neural recovery in children with ASD.

Hyperbaric oxygen therapy may play a neuroprotective role via modulation of gene regulation and protein expression in neurons in ASD. The genes and proteins regulated by hyperbaric oxygen therapy include factors associated with stress responses, transport, neurotransmission, signal transduction, and transcription factors (Chen 2009a). Hyperbaric therapy has been shown to activate ion channels (Mrsić-Pelčić 2004), upregulate superoxide dismutase (SOD) (Freiberger 2006), decrease caspases (Chen 2009b), suppress p38 mitogen-activated protein kinase (Yamashita 2009), induce heat shock protein (HSP) 70 overexpression (Lin 2012b), and activate Wnt signaling (Wang 2007a).

In children with ASD, hyperbaric oxygen therapy may improve behavioral symptoms, such as memory, social interaction, cognitive function, coloring skills, and speech and self-help skills, and may alleviate other symptoms such as eczema, chronic diarrhea, and abdominal distention. Children completing 80 sessions of hyperbaric oxygen therapy showed improvement on the clinician-rated Clinical Global Impression - Improvement (CGI-I) scale and on several parent-based measures of behavior (Bent 2012).

### Why it is important to do this review

Investigations into effective interventions for improving the core features of autism without adverse effects are ongoing. Hyperbaric oxygen as a potential treatment for ASD remains controversial. Although some evidence supports the benefits of hyperbaric oxygen (Chungpaibulpatana 2008; Rossignol 2009a; Rossignol 2012a), other findings do not (Ghanizadeh 2012; Granpeesheh 2010). In addition to other treatment options, the effectiveness of



hyperbaric oxygen was assessed in a systematic review conducted by [Rossignol 2009b](#). This review, now seven years old, included three observational studies and nonrandomized trials. Review authors did not assess the risk of bias in included studies and provided a purely narrative report of study findings. Since 2009, additional RCTs on the efficacy of hyperbaric oxygen have been completed. Therefore, a systematic review that synthesizes contemporary evidence of the potential benefits and harms of hyperbaric oxygen as reported in RCTs is timely.

## OBJECTIVES

To determine whether treatment with hyperbaric oxygen:

1. improves core symptoms of ASD, including social communication problems and stereotypical and repetitive behaviors;
2. improves noncore symptoms, such as challenging behaviors;
3. improves comorbid states, such as depression and anxiety; and
4. causes adverse effects.

## METHODS

### Criteria for considering studies for this review

#### Types of studies

Randomized controlled trials (RCTs) and quasi-RCTs (i.e. when allocation is made by not truly random methods such as alternation, date of birth, or case record number), including cross-over trials ([Higgins 2011a](#)).

#### Types of participants

Participants of any age with a diagnosis of autism spectrum disorder (ASD) based on the criteria of the *Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition* (DSM-5) ([APA 2013](#)); or individuals with a diagnosis of one of the four pervasive developmental disorders from the fourth edition text revision version of the DSM (DSM-IV-TR) ([APA 2000](#)), including autistic disorder, Asperger syndrome, or pervasive developmental disorder not otherwise specified (PDD-NOS); or from the *International Classification of Mental and Behavioural Disorders, 10th Edition* (ICD-10) ([WHO 1993](#)). We accepted diagnoses that were derived following use of assessment tools, such as the Autism Diagnostic Observation Scale (ADOS) ([Lord 1997](#)) and the Autism Diagnostic Interview - Revised (ADI-R) ([Lord 1994](#)).

#### Types of interventions

All forms of hyperbaric oxygen therapy (more than one atmosphere absolute (ATA) with 100% oxygen concentration), regardless of dose, duration, frequency, and pressure of treatment. Control interventions consisted of no treatment or a sham treatment. Sham treatment refers to treatment at one ATA or room air (21% oxygen), or both. We included studies that conducted four different comparisons.

1. Hyperbaric oxygen therapy only versus no treatment.
2. Hyperbaric oxygen therapy only versus sham treatment.
3. Hyperbaric oxygen therapy plus baseline treatment versus the same baseline treatment alone.
4. Hyperbaric oxygen therapy plus baseline treatment versus sham treatment plus the same baseline treatment.

We excluded trials that compared only different forms of hyperbaric oxygen therapy.

### Types of outcome measures

#### Primary outcomes

The core features of ASD, which include impaired social interaction and communication and behavioral problems such as stereotypy or restricted repetitive patterns of behavior, interests, or activities, as measured by validated instruments and behavioral observation tools such as the Aberrant Behavior Checklist (ABC) ([Aman 1987](#)), the Ritvo-Freeman Real Life Rating Scale (RFRLRS) ([Freeman 1986](#)), the Autism Treatment Evaluation Checklist (ATEC) ([Rimland 1999](#)), and the ADOS ([Lord 1997](#)).

#### Secondary outcomes

1. Communication and linguistic abilities, as measured by standardized instruments such as the Reynell Language Developmental Scale (RLDS) ([Edwards 1997](#)) and the Symbolic Play Test (SPT) ([Lowe 1976](#))
2. Cognitive function, as measured by standardized instruments such as the Griffiths Mental Developmental Scale (GMDS) ([Griffiths 1996](#)), the Leiter International Performance Scale - Revised (Leiter-R) ([Leiter 1980](#)), and the Stanford-Binet Intelligence Scale - Fourth Edition (SB4) ([Thorndike 1986](#))
3. Global function, as measured by standardized instruments such as the Pediatric Evaluation Disability Inventory (PEDI) ([Haley 1992](#)) and the Functional Independence Measure for Children (WeeFIM) ([Msall 1994](#))
4. Safety of hyperbaric oxygen therapy, as measured by the incidence of adverse reactions such as barotrauma to the ear, round window blowout, "sinus squeeze," visual refractive changes, and numb fingers ([Phillips 2005](#))

As stated in our protocol ([Xiong 2014](#)), we presented the primary outcomes of impaired social interaction and communication and behavioral problems in [Summary of findings for the main comparison](#).

### Search methods for identification of studies

#### Electronic searches

We searched the electronic databases and trial registers listed below on 25 November 2014, and updated the search in December 2015. We searched all available years and applied no language limits.

1. Cochrane Central Register of Controlled Trials (CENTRAL; 2015, Issue 11) in the Cochrane Library, which includes the Cochrane Developmental, Psychosocial and Learning Problems Group Specialized Register (searched 14 December 2015).
2. Ovid MEDLINE (1946 to November week 3 2015).
3. Ovid MEDLINE In-Process & Other Non-Indexed Citations (10 December 2015).
4. Embase Ovid (1980 to 2015 week 50).
5. PsycINFO Ovid (1967 to December week 2 2015).
6. Science Citation Index Web of Science (SCI; 1970 to 14 December 2015).
7. Social Sciences Citation Index Web of Science (SSCI; 1970 to 14 December 2015).

8. Conference Proceedings Citation Indexes - Science Web of Science (CPCI-S; 1990 to 14 December 2015).
9. Conference Proceedings Citation Indexes - Social Sciences & Humanities Web of Science (CPCI-SS&H; 1990 to 14 December 2015).
10. WorldCat (limited to theses; [worldcat.org](http://worldcat.org); searched 14 December 2015).
11. *Cochrane Database of Systematic Reviews* (CDSR; 2015, Issue 12) in the Cochrane Library (searched 14 December 2015).
12. Database of Abstracts of Reviews of Effects (DARE; 2015, Issue 2) in the Cochrane Library (searched 14 December 2015).
13. CINAHL Plus EBSCOhost (Cumulative Index to Nursing and Allied Health Literature; 1937 to current; searched 14 December 2015).
14. ERIC EBSCOhost (Education Resources Information Center; 1966 to current; searched 14 December 2015).
15. HBO Evidence. The Database of Randomised Controlled Trials in Diving and Hyperbaric Medicine ([hboevidence.unsw.wikispaces.net](http://hboevidence.unsw.wikispaces.net); searched 14 December 2015).
16. World Health Organization International Clinical Trials Registry Platform (WHO ICTRP; [apps.who.int/trialsearch](http://apps.who.int/trialsearch); searched 15 December 2015).
17. ClinicalTrials.gov ([clinicaltrials.gov](http://clinicaltrials.gov); searched 15 December 2015).
18. metaRegister of Controlled Trials (mRCT; [controlled-trials.com/mrct](http://controlled-trials.com/mrct); searched 21 November 2013. This service was subsequently unavailable).
19. Research Autism ([researchautism.net/pages/welcome/home.ikml](http://researchautism.net/pages/welcome/home.ikml); searched 14 December 2015).
20. Autism Data ([autism.org.uk/about-autism/research/autism-data.aspx](http://autism.org.uk/about-autism/research/autism-data.aspx); searched 14 December 2015).
21. Australian New Zealand Clinical Trials Registry (ANZCTR; [anzctr.org.au](http://anzctr.org.au); searched 26 November 2014).
22. China National Knowledge Infrastructure (CNKI; [cnki.net](http://cnki.net); searched 10 December 2015).
23. WEIPU periodical database ([cqvip.com](http://cqvip.com); searched 10 December 2015).
24. Wan Fang Data ([wanfangdata.com.cn](http://wanfangdata.com.cn); searched 10 December 2015).
25. Chinese Biologic Medical Database (CBM; [sinomed.imicams.ac.cn](http://sinomed.imicams.ac.cn); searched 10 December 2015).

The search strategies are outlined in [Appendix 1](#).

### Searching other resources

On 11 November 2014, we contacted the authors of RCTs identified by the electronic searches, to ask if they knew of any additional published or unpublished trials. We emailed the following experts using the addresses provided in published papers: Dr DA Rossignol, Dr C Schneider, Dr J Neubrandner, Dr G Hintz, Dr LW Rossignol, Dr S Logerquist, Dr EM Madren, Dr B Grushkin, Dr S Smith, Dr A Usman, Dr EA Mumper, Dr D Granpeesheh, Dr CT Chaiyakul, and Dr J Tarbox. Three experts (Dr DA Rossignol, Dr G Hintz, and Dr J Tarbox) responded, and one expert (DA Rossignol) attached four citations ([Xiong 2014 \[pers comm\]](#)).

We manually searched the reference lists of relevant studies for additional published or unpublished trials. We checked the publication status of each trial identified by our search of trial

registers, and we contacted study authors to request the results of completed but unpublished trials.

We searched the Chinese gray literature, which is available online, using the academic search engine "Baidu Scholar."

For non-English language articles, we read the English title or abstract first, to determine relevance. If deemed relevant, we translated the main text into English. We completed this process for several Chinese studies, which we subsequently excluded because they did not meet the inclusion criteria (see [Excluded studies](#)).

## Data collection and analysis

### Selection of studies

We assessed all potentially relevant, published articles identified by our literature search. TX and HC independently read abstracts retrieved from the search in an effort to identify all trials that met the inclusion criteria. If needed, we retrieved full-text articles. We involved a third review author (RL) to help resolve differences in opinion. We contacted trial authors to request clarification if details of the primary trials were not clear. We recorded our selection process in a PRISMA diagram ([Moher 2009](#)).

### Data extraction and management

We designed a form onto which we extracted the data described below.

1. Study methods.
  - a. Design.
  - b. Randomization method (including list generation).
  - c. Method of allocation concealment.
  - d. Blinding method.
  - e. Stratification factors.
2. Participants.
  - a. Inclusion/exclusion criteria.
  - b. Number (total/per group).
  - c. Age and sex distribution.
  - d. Specific diagnosis/diagnostic subtypes.
  - e. Comorbidities.
  - f. Duration of disorder.
  - g. Previous treatments.
3. Intervention and control.
  - a. Types of hyperbaric oxygen therapy.
  - b. Details of treatment, including duration of treatment.
  - c. Types of controls.
  - d. Details of control treatment, including drug dosage.
  - e. Details of cointerventions.
4. Follow-up data.
  - a. Duration of follow-up.
  - b. Dates of treatment withdrawal and reasons for treatment withdrawal.
  - c. Withdrawal rates.
5. Outcome data (as described in the subsection on [Types of outcome measures](#)).
6. Data analysis.
  - a. Methods of analysis (intention-to-treat/per-protocol analysis).

- b. Comparability of groups at baseline (yes/no).
- c. Statistical techniques.

Two review authors (TX and HC) used the data extraction form to independently extract, assess, and code all data for each available study. We involved a third review author (RL) to negotiate differences in opinion. TX entered the data into Review Manager 5 (RevMan 5) (RevMan 5 2014), and DM checked the accuracy of the data input.

#### **Assessment of risk of bias in included studies**

Two review authors (TX and HC) independently assessed the risk of bias of the included study using the Cochrane 'Risk of bias' tool (Higgins 2011b). We resolved disagreements by consulting with the third review author (RL).

We evaluated risk of bias as low, high, or unclear for each of the domains listed below. We entered the results into the corresponding 'Risk of bias' table, beneath the [Characteristics of included studies](#) tables (Higgins 2011b).

#### **Sequence generation (selection bias)**

For each included study, we categorized the risk of selection bias regarding sequence generation as follows.

1. Low risk of bias: adequate (e.g. any truly random process such as a random number table or computerized random number generator).
2. High risk of bias: inadequate (e.g. any nonrandom process such as odd or even date of birth or hospital or clinic record number).
3. Unclear risk of bias: no or unclear information provided.

#### **Allocation concealment (selection bias)**

For each included study, we categorized the risk of selection bias regarding allocation concealment as follows.

1. Low risk of bias: adequate (e.g. telephone or central randomization or consecutively numbered sealed opaque envelopes).
2. High risk of bias: inadequate (e.g. open random allocation, unsealed or nonopaque envelopes, alternation, or date of birth).
3. Unclear risk of bias: no or unclear information provided.

#### **Blinding of participants and personnel (performance bias)**

For each included study, we categorized the methods used to blind study personnel from knowledge of which intervention a participant received. We categorized the risk of performance bias regarding the methods used to blind participants and personnel as follows.

1. Low risk of bias: adequate for personnel (e.g. a normal pressure chamber, which could not be distinguished from a hyperbaric oxygen chamber, was used in the control group when no blinding was provided, but the outcome and outcome measurements were not likely to be influenced).
2. High risk of bias: inadequate (e.g. personnel aware of group assignment).
3. Unclear risk of bias: no or unclear information provided.

#### **Blinding of outcome assessors (detection bias)**

For each included study, we categorized the methods used to blind outcome assessors from knowledge of which intervention a participant received. Blinding was assessed separately for different outcomes or classes of outcomes. We categorized the risk of detection bias regarding methods used to blind outcome assessors as follows.

1. Low risk of bias: adequate (e.g. assessors blinded to the group at follow-up).
2. High risk of bias: inadequate (e.g. assessors aware of group assignment at follow-up).
3. Unclear risk of bias: no or unclear information provided.

#### **Incomplete data addressed (attrition bias)**

For each included study and each outcome, we described the completeness of data, including attrition and exclusions from analyses. We noted whether attrition and exclusions were reported, numbers included in the analyses at each stage (compared with the total number of randomly assigned participants), whether the reasons for attrition or exclusion were reported, and whether missing data were balanced across groups or were related to outcomes. When sufficient information was reported or was supplied by the trial authors, we included missing data in the analyses. We categorized the risk of attrition bias regarding completeness of outcome data for each outcome as follows.

1. Low risk of bias: adequate (e.g. no missing outcome data, reasons for missing outcome data unlikely to be related to the true outcome, data missing for similar reasons across groups, or missing proportions were balanced).
2. High risk of bias: inadequate (e.g. reasons for missing outcome data likely to be related to true outcome or inappropriate imputation used for missing data).
3. Unclear risk of bias: no or unclear information provided.

#### **Selective outcome reporting (reporting bias)**

For each included study, we described how we investigated the risk of selective outcome reporting bias and the results of this investigation. We assessed the risk of reporting bias as follows.

1. Low risk of bias: adequate (e.g. all of the study's pre-specified outcomes and expected outcomes of interest to this review were clearly reported or the methods and results sections of the study agree with what was reported).
2. High risk of bias: inadequate (e.g. not all of the study's pre-specified outcomes were reported, one or more reported primary outcomes were not pre-specified, the outcomes of interest were incompletely reported and thus cannot be used, the study failed to include the results of a key outcome that was expected to be reported, or the methods and results sections of a study disagree with what was reported).
3. Unclear risk of bias: no or unclear information provided (e.g. the study protocol was not available).

#### **Other bias**

For each included study, we described any important concerns about other possible sources of bias not covered by the domains above (e.g. whether a potential source of bias was related to the specific study design, whether the trial was stopped early because

of a data-dependent process). We assessed the risk of other bias for each study as follows.

1. Low risk of bias: no concerns of other bias raised.
2. High risk of bias: potential source of bias related to the specific study design, fraudulent data or a similar problem, or a difference in the number of participants enrolled in the abstract and reported in the final publication.
3. Unclear risk of bias: concerns raised about potential sources of bias that could not be verified by contacting study authors.

### Measures of treatment effect

We did not conduct a meta-analysis, as we only included one study in this review. Please see [Appendix 2](#) and our protocol ([Xiong 2014](#)) for measures of treatment effects that will be used in future updates of this review.

### Unit of analysis issues

For most outcomes, the unit of analysis was the individual participant. We found no cluster-randomized trials and no study with multiple intervention groups. Please see [Appendix 2](#) and our protocol ([Xiong 2014](#)) for more information as to how we will resolve these issues in future updates of this review.

### Dealing with missing data

We contacted the corresponding author of the one included study to request missing data but received no response.

We noted differential dropout in the intervention group, and in the published trials when reasons were provided. We reported reasons for missing data in the [Characteristics of included studies](#) tables. We explored the impact of missing data by examining the distribution of data and explanations for the missing information. In the Discussion section, we addressed the potential impact of missing data on the findings of our review (see [Quality of the evidence](#)).

Please see [Appendix 2](#) and our protocol ([Xiong 2014](#)) for additional methods archived for use in future updates of this review.

### Assessment of heterogeneity

We did not assess heterogeneity, as we were able to include only one study in this review. Please see [Appendix 2](#) for methods to assess heterogeneity archived for future updates of this review.

### Assessment of reporting biases

We were unable to obtain the protocol for the one included study, to compare outcomes reported in the protocol versus those reported in the published findings. Also, we tried to contact the study authors to request the missing outcome data, but no study authors replied.

We did not find a sufficient number of trials to test for publication bias by using funnel plots and the Egger test ([Egger 1997](#)); we included only one trial in this review, and a funnel plot requires 10 or more studies.

Please see [Appendix 2](#) and our protocol ([Xiong 2014](#)) for methods archived for future updates of this review.

### Data synthesis

We did not conduct a meta-analysis, as we included only one study in this review. Instead, we presented a narrative description of the study's results (see [Results](#)).

For methods archived for future updates of this review, please see [Appendix 2](#) and our protocol ([Xiong 2014](#)).

### GRADE

We used the GRADE approach to describe the quality of the evidence and the strength of recommendations ([GRADE 2013](#)). We expressed the quality of the evidence on a four-point adjectival scale ranging from 'high' to 'very low'. We initially gave evidence from RCTs a rating of high quality. However, we downgraded this rating on the basis of specific criteria: clinically important heterogeneity was unexplained; the study methods had risk of bias; the evidence was indirect; we noted important uncertainty about the estimates of effects; or we found evidence of publication bias. Thus, it was possible for data from RCTs to be given a very low quality rating if several of these concerns were present.

We used the GRADEpro: Guideline Development Tool (GRADEproGDT) ([GRADEpro GDT 2014](#)) to construct [Summary of findings for the main comparison](#). This table presents information on the body of evidence (e.g. number of studies), review authors' judgements on the underlying quality of the evidence, key statistical results, and a grade for the quality of evidence for each outcome.

### Subgroup analysis and investigation of heterogeneity

We did not perform a subgroup analysis because we identified only one small study consisting of 60 children. Please see [Appendix 2](#) and our protocol ([Xiong 2014](#)) for subgroup analyses planned for future updates of this review.

### Sensitivity analysis

We found only one study consisting of 60 children; thus, we performed no sensitivity analyses. Please see [Appendix 2](#) and our protocol ([Xiong 2014](#)) for sensitivity analyses planned for future updates of this review.

## RESULTS

### Description of studies

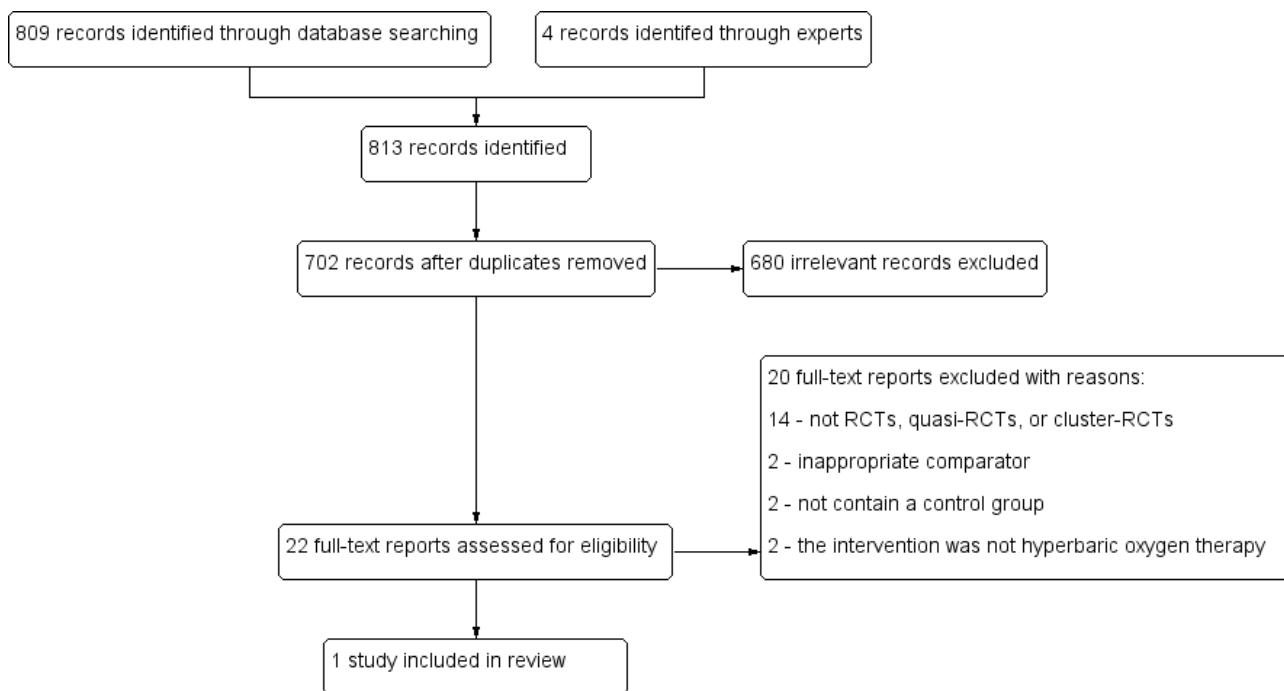
See [Characteristics of included studies](#) and [Characteristics of excluded studies](#).

### Results of the search

Our search strategy yielded 813 citations. We deemed that 22 of these studies were potentially eligible on the basis of their title or abstract. We obtained and inspected the full-text copies of these 22 studies. After reviewing all full-text copies, we included one study ([Sampanthavivat 2012](#)) and excluded 20 studies ([Bent 2012](#); [Chungpaibulpatana 2008](#); [Jepson 2011](#); [Lerman 2008](#); [Rossignol 2006](#); [Rossignol 2007a](#); [Rossignol 2009c](#); [Rossignol 2012](#); [VanEstenberg 2006](#); [Yildiz 2008](#); [Li 2012](#); [Ou 2005](#); [Ou 2010](#); [Pan 2009](#); [Wan 2013](#); [Wu 2009](#); [Yu 2010](#); [Yu 2010a](#); [Granpeesheh 2010](#); [Rossignol 2009](#)). See [Figure 1](#) for more information.



**Figure 1. Flow diagram. Footnotes RCT: randomized controlled trial.**



**Included studies**

We included one RCT (Sampanthavivat 2012), which is described below.

**Participants**

Sampanthavivat 2012 randomized 60 children with autism using criteria from the *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV)* (APA 2000). The study included 56 boys and four girls who had never received hyperbaric oxygen therapy. Children ranged from three to nine years of age, and their average age was 4.9 years. Children who had seizure disorders, uncontrolled asthma, a history of previous spontaneous pneumothorax, current ear or upper respiratory tract infection, emphysema, current or recent chemotherapy, severe claustrophobia, and ongoing chelating therapy were excluded.

**Setting**

The study was conducted in Thailand, at the Royal Thai Navy Medical Department (Sampanthavivat 2012).

**Interventions and comparisons**

Hyperbaric oxygen therapy was administered in a multiplace hyperbaric chamber for the Sampanthavivat 2012 study. The pressure of hyperbaric oxygen therapy was 1.5 ATA with 100% oxygen concentration. Hyperbaric oxygen therapy consisted of 20 one-hour sessions held on weekdays over 10 weeks.

Children in the control group received identical sessions in the same chambers as those in the intervention group (hyperbaric oxygen therapy). The control group had an oxygen concentration of 21%. A slightly higher pressure of 1.15 ATA was used to mimic the experience of hyperbaric treatment, thus keeping the children blinded to their group assignment.

**Outcomes**

The study had multiple primary and secondary outcomes and used validated instruments to assess treatment effects on different clinical aspects of ASD. Sampanthavivat 2012 assessed autistic features by using the Autism Treatment Evaluation Checklist (ATEC) (Rimland 1999) and the Clinical Global Impression (CGI) scale of illness severity (CGIS) and change scores (CGIC) (Guy 1976). The ATEC consists of four subtests: speech or language communication; sociability; sensory or cognitive awareness; and health, physical or behavior (Rimland 1999). The CGIS provides a seven-point scale to rate a range of responses from one (normal) and two (borderline mentally ill) to seven (among the most extremely ill patients) (Guy 1976). Investigators assessed CGIC scores after the intervention to score the improvement of each participant; CGIC scores ranged from one (very much improved) to seven (very much worse) (Guy 1976).

**Social interaction and communication**

In Sampanthavivat 2012, parents and the clinician assessed social interaction and communication by using the ATEC (Rimland 1999).

**Behavioral problems**

Sampanthavivat 2012 assessed behavioral problems by using the ATEC (Rimland 1999).

**Communication and linguistic abilities**

Sampanthavivat 2012 assessed communication and linguistic abilities by using the ATEC (Rimland 1999).

**Cognitive function**

Sampanthavivat 2012 assessed cognitive function by using the ATEC (Rimland 1999).

**Safety of hyperbaric oxygen therapy**

[Sampanthavivat 2012](#) reported on possible adverse events.

**Excluded studies**

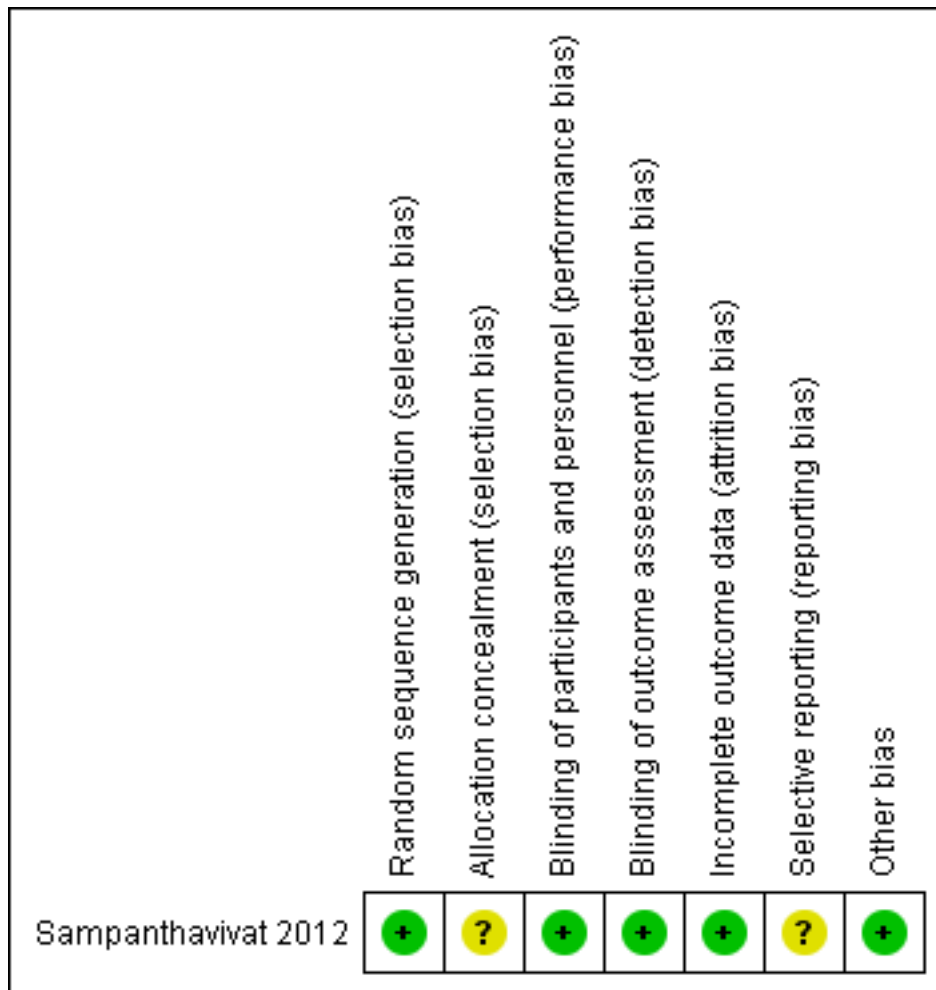
We excluded 20 studies: 14 because they were not a RCT, quasi-RCT, or cluster-RCT ([Chungpaibulpatana 2008](#); [Jepson 2011](#); [Lerman 2008](#); [Li 2012](#); [Pan 2009](#); [Rossignol 2006](#); [Rossignol 2007a](#); [Rossignol 2009c](#); [Rossignol 2012](#); [Wan 2013](#); [Wu 2009](#); [Yildiz 2008](#); [Yu 2010](#); [Yu 2010a](#)); two because they did not include a control group

([Bent 2012](#); [Ou 2005](#)); two because they used an inappropriate comparator ([Ou 2010](#); [VanEstenberg 2006](#)); and two because the intervention was not hyperbaric oxygen therapy (24% oxygen, not 100% oxygen) ([Granpeesheh 2010](#); [Rossignol 2009](#)). See the [Characteristics of excluded studies](#) tables for more information.

**Risk of bias in included studies**

Figure 2 provides an overview of the risk of bias in the [Sampanthavivat 2012](#) study. See below for more detailed information.

**Figure 2. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.**



**Allocation**

Investigators in [Sampanthavivat 2012](#) randomized children by using a random number table; however, allocation concealment was unclear. We judged the sequence generation to be at low risk of bias and allocation concealment to be at unclear risk of bias in this study.

**Blinding**

The [Sampanthavivat 2012](#) study used the term "double-blind." Children and their parents, as well as all investigators and assessors involved in the study, were kept blinded to group assignments. Although the hyperbaric technician was not blinded, he or she

was instructed not to discuss the intervention with anyone else. Therefore, we rated this study as having low risk of performance and detection bias.

**Incomplete outcome data**

[Sampanthavivat 2012](#) reported loss of children to follow-up. Three children withdrew: one child (gender not reported) because of parental refusal to enter the chamber because of a medical condition. This happened after consent, but before treatment allocation. After treatment allocation, one boy withdrew from the hyperbaric oxygen therapy group because of his uncooperative behavior, and another boy in the sham group dropped out following a febrile convulsion. Because of low withdrawal rates (2/60) overall,

the proportion of missing outcomes was not enough to have a clinically relevant impact on the intervention effect estimate. We judged this study to be at low risk of attrition bias.

### Selective reporting

Reporting biases include outcome reporting bias, attrition bias, and duplicate (multiple) publication bias (Sterne 2011). We judged the [Sampanthavivat 2012](#) study to have an unclear risk of reporting bias.

### Outcome reporting bias

Selective reporting of certain outcomes depends on the nature and direction of results. Although the study authors reported both positive and negative results, we rated this study as having unclear risk of reporting bias. We did not find trial registry information for [Sampanthavivat 2012](#), and no study authors replied to our email inquiry.

### Attrition bias

This type of bias was low in the [Sampanthavivat 2012](#) study because of low withdrawal rates (2/60). The proportion of missing outcomes was not enough to have a clinically relevant impact on the intervention effect estimate. In addition, loss of participants was balanced between groups, and the reasons for missing data are unlikely to be related to the true outcome. One boy in the hyperbaric oxygen therapy group withdrew because of his uncooperative behavior, and another boy in the sham group dropped out following a febrile convulsion.

### Duplicate (multiple) publication bias

We did not find duplicate publications of the one included study.

### Other potential sources of bias

No other sources of bias appear to exist in the [Sampanthavivat 2012](#) study, and hence, we judged the trial to be at low risk of other bias.

### Effects of interventions

See: [Summary of findings for the main comparison Hyperbaric oxygen therapy for autism spectrum disorder \(ASD\) in children](#)

For the current version of this review, the only included trial consisted of 60 children with autism who were treated for 20 sessions. As the review includes only one trial, we were unable to conduct a meta-analysis for our two primary outcomes (social interaction and communication and behavioral problems) and three secondary outcomes (communication and linguistic abilities, cognitive function, and safety of hyperbaric oxygen therapy). We did not assess heterogeneity or reporting bias and we did not perform subgroup analyses or sensitivity analyses.

Below, we provide a narrative description of the results of the included study.

### Primary outcomes

#### Social interaction and communication

Investigators in [Sampanthavivat 2012](#) assessed social interaction by using the Autism Treatment Evaluation Checklist (ATEC). They reported no significant difference between intervention (hyperbaric oxygen therapy) and control groups (sham treatment,

room air (21% oxygen under 1.15 ATA)) for parental score (mean difference (MD) 1.21, 95% confidence interval (CI) -2.21 to 4.63, n = 60; low-quality evidence) or clinician score (MD 1.55, 95% CI -1.35 to 4.45, n = 60; low quality evidence). See [Analysis 1.1](#).

#### Behavioral problems

[Sampanthavivat 2012](#) assessed behavioral problems by using the ATEC. Results showed no significant differences between intervention (hyperbaric oxygen therapy) and control groups (sham treatment, room air (21% oxygen) under 1.15 ATA) for parental score (MD -0.24, 95% CI -4.80 to 4.32, n = 60; low-quality evidence) or clinician score (MD -1.28, 95% CI -4.47 to 1.91, n = 60; low-quality evidence). See [Analysis 1.2](#).

#### Secondary outcomes

##### Communication and linguistic abilities

[Sampanthavivat 2012](#) assessed communication and linguistic abilities by using the ATEC and found no significant differences between intervention (hyperbaric oxygen therapy) and control groups (sham treatment, room air (21% oxygen) under 1.15 ATA) in parental score (MD 1.21, 95% CI -2.12 to 4.54, n = 60) or clinician score (MD -0.27, 95% CI -3.93 to 3.39, n = 60). See [Analysis 2.1](#).

##### Cognitive function

[Sampanthavivat 2012](#) assessed cognitive function by using the ATEC and reported no significant differences between intervention (hyperbaric oxygen therapy) and control groups (sham treatment, room air (21% oxygen) under 1.15 ATA) in parental score (MD 0.93, 95% CI -2.71 to 4.57, n = 60) or clinician score (MD -0.38, 95% CI -3.06 to 2.30, n = 60). See [Analysis 2.2](#).

##### Safety of hyperbaric oxygen therapy

[Sampanthavivat 2012](#) reported possible adverse events including minor-grade ear barotrauma events. Investigators noted significant differences between intervention (hyperbaric oxygen therapy) and control groups (sham treatment, room air (21% oxygen) under 1.15 ATA) in total number of side effect events (Peto odds ratio (OR) 3.87, 95% CI 1.53 to 9.82, n = 60; risk ratio (RR) 5, 95% CI 1.46 to 17.18; risk difference (RD) 0.02, 95% CI 0.01 to 0.03) and in the number of children who had side effects (Peto OR 4.40, 95% CI 1.33 to 14.48; n = 60; RR 3.67, 95% CI 1.14 to 11.79; RD 0.28, 95% CI 0.07 to 0.48). The proportion of children with adverse events was significantly greater in the hyperbaric oxygen therapy group than in the control group. See [Analysis 2.3](#).

## DISCUSSION

### Summary of main results

To date, few rigorous studies have been conducted or reported on hyperbaric oxygen therapy for autism spectrum disorder (ASD). This review included only one trial involving 60 children ([Sampanthavivat 2012](#)) in which investigators used 100% oxygen at 1.5 atmospheres absolute (ATA). Treatment consisted of 20 sessions of one hour duration. The included study reported data on two primary outcomes (social interaction and communication and behavioral problems) and three secondary outcomes (communication and linguistic abilities, cognitive function, and safety of hyperbaric oxygen therapy). We found no evidence of a treatment effect on the two primary outcomes or on two secondary outcomes (communication and linguistic abilities,



cognitive function). Notably, the proportion of children with adverse events was significantly greater in the hyperbaric oxygen therapy group than in the control group.

### Overall completeness and applicability of evidence

One trial consisting of 60 children is not sufficient to provide robust evidence for hyperbaric oxygen therapy in ASD. All of the included participants were children (participants' ages ranged from three to nine years), and they were predominantly male. Thus, these findings are applicable only to a subgroup of children with ASD and are not applicable to adults.

The study was performed before 2013 and used *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition* (DSM-IV) constructs for diagnosis (APA 2000). Tools used in the study included the Autism Treatment Evaluation Checklist (ATEC) (Rimland 1999) and the Clinical Global Impression (CGI) scale (Leckman 1989). Although assessment tools did not change during the study period, interpretation of these results should consider recent papers on the use of the same diagnostic tools in evaluating the impact of *Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition* (DSM-5) criteria for diagnosis of the 'new' ASD (APA 2013). We were unable to conduct a meta-analysis for our two primary outcomes (social interaction and communication and behavioral problems) and our three secondary outcomes (communication and linguistic abilities, cognitive function, and safety of hyperbaric oxygen therapy) because only one study was included. We could draw no robust conclusions.

Upon considering all available evidence, we found no evidence for the benefits of hyperbaric oxygen treatment for children with ASD. However, we did identify possible short-term adverse events (minor-grade ear barotrauma events) due to hyperbaric oxygen therapy. Thus, future clinical studies of hyperbaric oxygen treatment for children with ASD may not be appropriate, particularly given the absence of a persuasive theory of change from experimental and clinical studies, the unknown long-term safety of the treatment, and the financial and opportunity costs of not participating in other proven therapies.

### Quality of the evidence

Risk of bias was generally low or unclear in the included trial. Selection bias may exist in this study because details on allocation concealment were lacking. Attrition bias was low in this study because of low withdrawal rates (2/60), and the proportion of missing outcomes was not enough to have a clinically relevant impact on the intervention effect estimate. We considered performance and detection biases to be low because the study achieved and reported blinding of participants and outcome assessors to group assignments.

Using the GRADE approach, we rated the quality of this body of evidence as low (see [Summary of findings for the main comparison](#)) because of the small study sample size (only 60 children with ASD) and wide confidence intervals (CIs) for the estimates of effects.

### Potential biases in the review process

Although we attempted to minimize publication bias by using a comprehensive search strategy and by searching numerous sources, we may have failed to identify potentially relevant trials or experiments. For example, although we applied no language limits

in our searches, the search for this review was based on English and Chinese. Thus, we may have missed some trials in other non-English databases. It is unclear how this may have affected our conclusions.

We planned to carry out a meta-analysis and additional subgroup analyses to identify program components associated with more effective outcomes and factors that modified intervention effectiveness; however, we included only one study. Similarly, we identified too few studies to conduct sensitivity analyses to examine the impact of study design or quality.

### Agreements and disagreements with other studies or reviews

A systematic review evaluating the effectiveness of hyperbaric oxygen therapy for ASD was published in 2012 (Ghanizadeh 2012). This review included two randomized controlled trials (RCTs) and other types of nonrandomized trials such as case series, case reports, pilot studies, and retrospective studies; did not perform data synthesis; and found that although some studies suggested that hyperbaric oxygen is effective for autism, these promising effects were not replicated by other studies. In addition, serious adverse effects were not reported in many of the studies, including two RCTs, three case series, one retrospective study, one open-label pilot trial, and one case study report. The results of our review, consisting of only one small RCT of 60 children, do not support the recommendations made by Ghanizadeh 2012. Our review suggests that no persuasive evidence supports the effectiveness of hyperbaric oxygen for ASD. Furthermore, the proportion of children with adverse events was significantly higher in the hyperbaric oxygen therapy group than in the control group. The long-term safety of hyperbaric oxygen therapy remains unknown.

## AUTHORS' CONCLUSIONS

### Implications for practice

Evidence is insufficient to show that hyperbaric oxygen is an effective treatment for ASD. Adverse effects (minor-grade ear barotrauma events) can occur.

### Implications for research

Given the absence of evidence on the effectiveness of hyperbaric oxygen therapy, and its limited biological plausibility, researchers must carefully consider the need for future RCTs. Researchers should first weigh the financial and opportunity costs (e.g. not participating in other proven therapies) of hyperbaric oxygen treatment before beginning any new RCTs. Given that the follow-up period of the trial included in this review was short, long-term effects and side effects of hyperbaric oxygen for ASD may not have been observed. Basic research results and clinical evidence for hyperbaric oxygen therapy are contradictory and weak. Thus, the focus of research should shift toward other, more important studies (such as behavioral and developmental interventions and pharmacotherapy) on ASD.

## ACKNOWLEDGEMENTS

We thank the staff at the Cochrane Developmental, Psychosocial and Learning Problems Group for assistance in preparation of the review: Professor Geraldine Macdonald, Dr Joanne Wilson, Margaret Anderson, and Gemma O'Loughlin.

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Warren Z, McPheeters ML, Sathe N, Foss-Feig JH, Glasser A, Veenstra-Vanderweele J. A systematic review of early intensive intervention for autism spectrum disorders. *Pediatrics* 2011;**127**(5):e1303-11. [DOI: [10.1542/peds.2011-0426](https://doi.org/10.1542/peds.2011-0426); PUBMED: 21464190]

**Wei 2015**

Wei L, Wang J, Cao Y, Ren Q, Zhao L, Li X, et al. Hyperbaric oxygenation promotes neural stem cell proliferation and protects the learning and memory ability in neonatal hypoxic-ischemic brain damage. *International Journal of Clinical and Experimental Pathology* 2015;**8**(2):1752-9. [PUBMED: 25973064]

**WHO 1993**

World Health Organization. The ICD-10 Classification of Mental and Behavioural Disorders: Diagnostic Criteria for Research. Geneva: WHO, 1993.

**Wilson 2006**

Wilson HD, Wilson JR, Fuchs PN. Hyperbaric oxygen treatment decreases inflammation and mechanical hypersensitivity in an animal model of inflammatory pain. *Brain Research* 2006;**1098**(1):126-8. [PUBMED: 16750177]

**Xiong 2014 [pers comm]**

Xiong T. Cochrane Review Group: call for new RCT information regarding hyperbaric oxygen therapy for autism spectrum disorder [personal communication]. Email to: DA Rossignol, C Schneider, J Neubrandner, G Hintz, LW Rossignol, S Logerquist, EM Madren, B Grushkin, S Smith, A Usman, EA Mumper, D Granpeesheh, CT Chaiyakul, J Tarbox; 11 November 2014.

**Yamashita 2009**

Yamashita S, Hirata T, Mizukami Y, Cui YJ, Fukuda S, Ishida K, et al. Repeated preconditioning with hyperbaric oxygen induces neuroprotection against forebrain ischemia via suppression of p38 mitogen activated protein kinase. *Brain Research* 2009;**1301**:171-9. [PUBMED: 19747454]

**Yang 2007**

Yang MS, Gill M. A review of gene linkage, association and expression studies in autism and an assessment of convergent evidence. *International Journal of Developmental Neuroscience* 2007;**25**(2):69-85. [DOI: [10.1016/j.ijdevneu.2006.12.002](https://doi.org/10.1016/j.ijdevneu.2006.12.002)]

**Yin 2013**

Yin X, Meng F, Wang Y, Wei W, Li A, Chai Y, et al. Effect of hyperbaric oxygen on neurological recovery of neonatal rats following hypoxic-ischemic brain damage and its underlying mechanism. *International Journal of Clinical and Experimental Pathology* 2013;**6**(1):66-75. [PUBMED: 23236544]

**Zhou 2008**

Zhou BY, Lu GJ, Huang YQ, Ye ZZ, Han YK. Efficacy of hyperbaric oxygen therapy under different pressures on neonatal hypoxic-ischemic encephalopathy. *Chinese Journal of Contemporary Pediatrics* 2008;**10**(2):133-5. [PUBMED: 18433528]

**References to other published versions of this review**
**Xiong 2014**

Xiong T, Chen H, Luo R, Mu D. Hyperbaric oxygen therapy for autism spectrum disorder (ASD) in children and adults. *Cochrane Database of Systematic Reviews* 2014, Issue 1. [DOI: [10.1002/14651858.CD010922](https://doi.org/10.1002/14651858.CD010922); Art. No.: CD010922]

**CHARACTERISTICS OF STUDIES**
**Characteristics of included studies [ordered by study ID]**
**Sampanthavivat 2012**

Methods	<p><b>Date:</b> published 3 September 2012</p> <p><b>Design:</b> randomized controlled trial, parallel groups (1 hyperbaric oxygen therapy group, 1 control group)</p> <p><b>Stratification factors:</b> no</p>
Participants	<p><b>Inclusion/exclusion criteria:</b></p> <ol style="list-style-type: none"> <li>1. Included children aged 3 to 9 years, diagnosed with autism according to DSM-IV-TR, who had never received hyperbaric oxygen therapy</li> <li>2. Excluded children with seizure disorders, uncontrolled asthma, a history of previous spontaneous pneumothorax, current ear or upper respiratory tract infection, emphysema, current or recent chemotherapy, severe claustrophobia, and ongoing chelating therapy</li> </ol> <p><b>Number (total/per group):</b> N = 60; hyperbaric oxygen therapy 29; control 29</p> <p><b>Age:</b> 3 to 9 years</p> <p><b>Sex distribution:</b> total 56 boys and 4 girls. Hyperbaric oxygen therapy 29 boys and 1 girl. Sham air 27 boys and 3 girls</p>

**Sampanthavivat 2012** (Continued)

**Specific diagnoses/diagnostic subtypes:** no

**Comorbidities:** unclear

**Duration of disorder:** unclear

**Previous treatments:** unclear

Interventions	<p><b>Type of hyperbaric oxygen therapy:</b> multiplace hyperbaric chamber</p> <p><b>Details of treatment:</b> at 153 kPa (1.5 ATA) with 100% oxygen for a total of 20 sessions, each lasting 1 hour on weekdays</p> <p><b>Types of controls:</b> multiplace hyperbaric chamber</p> <p><b>Details of control treatment, including drug dosage:</b> pressurized room air at 116 kPa (1.15 ATA) for a total of 20 sessions, each lasting 1 hour, on weekdays</p> <p><b>Details of cointerventions:</b> risperidone, nutrition supplements, and other medications</p>
Outcomes	<p><b>Primary outcome measures:</b> parental and clinician total/subscale ATEC scores</p> <p><b>Secondary outcome measures:</b> parental and clinician CGIS scores, adverse events, and tolerance</p>
Notes	<p><b>Follow-up data</b></p> <p><b>Duration of follow-up:</b> 20 sessions of interventions</p> <p><b>Dates of treatment withdrawal:</b> 1 child was excluded after consent, before treatment allocation. 1 boy in the hyperbaric oxygen therapy group dropped out during the intervention procedure, and another boy in the sham group dropped out following a febrile convulsion.</p> <p><b>Reasons for treatment withdrawal:</b> 1 child (gender not specified) withdrew owing to parental refusal to enter the chamber because of a medical condition; 1 boy in the hyperbaric oxygen therapy group withdrew because of his uncooperative behavior; and another boy in the sham group dropped out following a febrile convulsion.</p> <p><b>Withdrawal rates:</b> 3/60 overall</p>

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Random number table
Allocation concealment (selection bias)	Unclear risk	No details given
Blinding of participants and personnel (performance bias) All outcomes	Low risk	Double-blinded. Investigators, parents, participants, nursing staff, and all other clinical staff were blinded.
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Investigators, parents, nursing staff, and all other clinical staff were blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	Low withdrawal rates: 2/60. One child withdrew because of parental refusal to enter the chamber as the result of a medical condition. This happened after consent, but before treatment allocation.

**Sampanthavivat 2012** (Continued)

Selective reporting (reporting bias)	Unclear risk	Protocol was unavailable. Unclear whether outcomes were reported as per trial registration
Other bias	Low risk	Appears to be free from other sources of bias

ATA: atmosphere absolute.

ATEC: Autism Treatment Evaluation Checklist.

CGIS: Clinical Global Impression - Severity scale.

DSM-IV-TR: *Diagnostic and Statistical Manual of Mental Disorders - Fourth Edition - Text Revision*.

**Characteristics of excluded studies** [ordered by study ID]

Study	Reason for exclusion
<a href="#">Bent 2012</a>	No control group
<a href="#">Chungpaibulpatana 2008</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Granpeesheh 2010</a>	Intervention was provided at 1.3 ATA and 24% oxygen, not 100% oxygen. It is not HBO.
<a href="#">Jepson 2011</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Lerman 2008</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Li 2012</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Ou 2005</a>	No control group
<a href="#">Ou 2010</a>	Inappropriate comparator. Study compared hyperbaric oxygen plus psychological nursing and conventional comprehensive therapy with conventional therapy.
<a href="#">Pan 2009</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Rossignol 2006</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Rossignol 2007a</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Rossignol 2009</a>	Intervention was given at 1.3 ATA and 24% oxygen, not 100% oxygen. It is not HBO.
<a href="#">Rossignol 2009c</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Rossignol 2012</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">VanEstenberg 2006</a>	Inappropriate comparator. Study compared hyperbaric oxygen at 1.75 ATA with 1.3 ATA.
<a href="#">Wan 2013</a>	Not an RCT, quasi-RCT, or cluster-RCT. This was a case-controlled study.
<a href="#">Wu 2009</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Yildiz 2008</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Yu 2010</a>	Not an RCT, quasi-RCT, or cluster-RCT
<a href="#">Yu 2010a</a>	Not an RCT, quasi-RCT, or cluster-RCT

ATA: atmosphere absolute.  
HBO: hyperbaric oxygen.  
RCT: randomized controlled trial.

**DATA AND ANALYSES**

**Comparison 1. Primary outcome**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
<b>1 Social interaction and communication</b>	1		Mean Difference (IV, Fixed, 95% CI)	Totals not selected
1.1 Parental ATEC score	1		Mean Difference (IV, Fixed, 95% CI)	0.0 [0.0, 0.0]
1.2 Clinician ATEC score	1		Mean Difference (IV, Fixed, 95% CI)	0.0 [0.0, 0.0]
<b>2 Behavioral problems</b>	1		Mean Difference (IV, Fixed, 95% CI)	Totals not selected
2.1 Parental ATEC score	1		Mean Difference (IV, Fixed, 95% CI)	0.0 [0.0, 0.0]
2.2 Clinician ATEC score	1		Mean Difference (IV, Fixed, 95% CI)	0.0 [0.0, 0.0]

**Analysis 1.1. Comparison 1 Primary outcome, Outcome 1 Social interaction and communication.**

Study or subgroup	Hyperbaric oxygen therapy		Control		Mean Difference Fixed, 95% CI	Mean Difference Fixed, 95% CI
	N	Mean(SD)	N	Mean(SD)		
<b>1.1.1 Parental ATEC score</b>						
Sampanthavivat 2012	29	13.5 (6.4)	29	12.2 (6.8)		1.21[-2.21,4.63]
<b>1.1.2 Clinician ATEC score</b>						
Sampanthavivat 2012	29	14.9 (6.5)	29	13.3 (4.6)		1.55[-1.35,4.45]

Favours hyperbaric oxygen      Favours control

**Analysis 1.2. Comparison 1 Primary outcome, Outcome 2 Behavioral problems.**

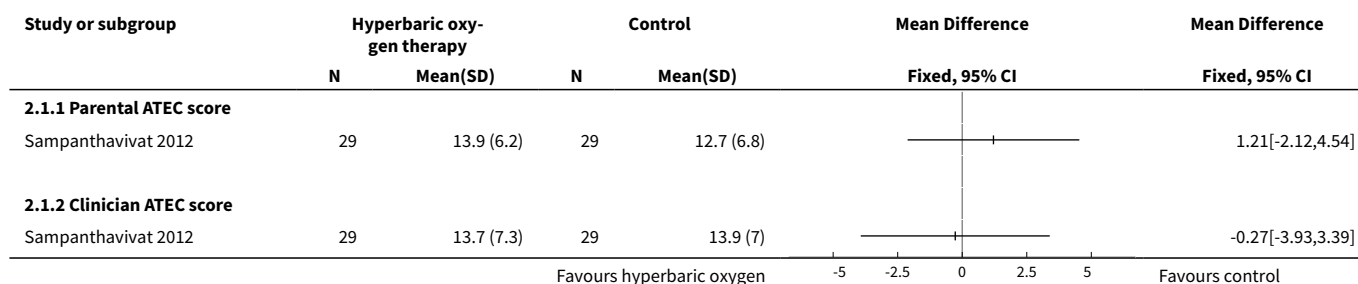
Study or subgroup	Hyperbaric oxygen therapy		Control		Mean Difference Fixed, 95% CI	Mean Difference Fixed, 95% CI
	N	Mean(SD)	N	Mean(SD)		
<b>1.2.1 Parental ATEC score</b>						
Sampanthavivat 2012	29	16.8 (8.2)	29	17 (9.4)		-0.24[-4.8,4.32]
<b>1.2.2 Clinician ATEC score</b>						
Sampanthavivat 2012	29	10.8 (5.4)	29	12.1 (6.9)		-1.28[-4.47,1.91]

Favours hyperbaric oxygen      Favours control

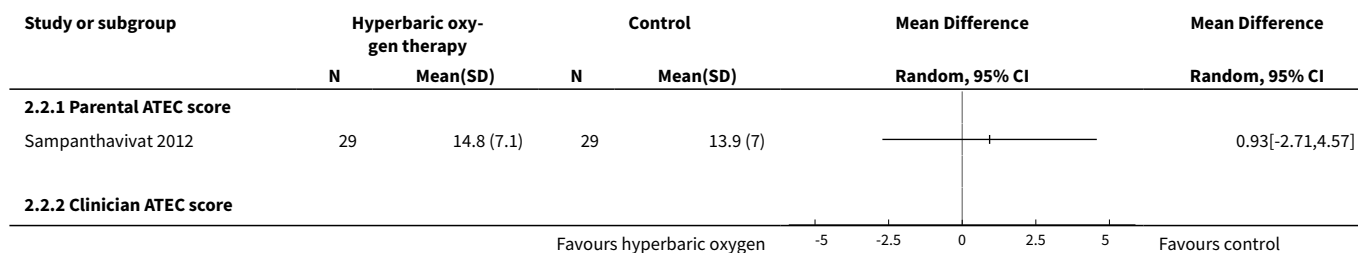
**Comparison 2. Second outcome**

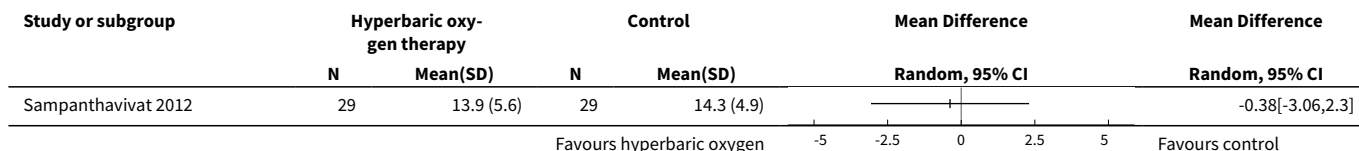
Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
<b>1 Communication and linguistic abilities</b>	1		Mean Difference (IV, Fixed, 95% CI)	Totals not selected
1.1 Parental ATEC score	1		Mean Difference (IV, Fixed, 95% CI)	0.0 [0.0, 0.0]
1.2 Clinician ATEC score	1		Mean Difference (IV, Fixed, 95% CI)	0.0 [0.0, 0.0]
<b>2 Cognitive function</b>	1		Mean Difference (IV, Random, 95% CI)	Totals not selected
2.1 Parental ATEC score	1		Mean Difference (IV, Random, 95% CI)	0.0 [0.0, 0.0]
2.2 Clinician ATEC score	1		Mean Difference (IV, Random, 95% CI)	0.0 [0.0, 0.0]
<b>3 Safety of hyperbaric oxygen therapy</b>	1		Peto Odds Ratio (Peto, Fixed, 95% CI)	Totals not selected
3.1 Side effect (barotrauma) events in all sessions	1		Peto Odds Ratio (Peto, Fixed, 95% CI)	0.0 [0.0, 0.0]
3.2 The number of children who had side effects (barotrauma)	1		Peto Odds Ratio (Peto, Fixed, 95% CI)	0.0 [0.0, 0.0]

**Analysis 2.1. Comparison 2 Second outcome, Outcome 1 Communication and linguistic abilities.**

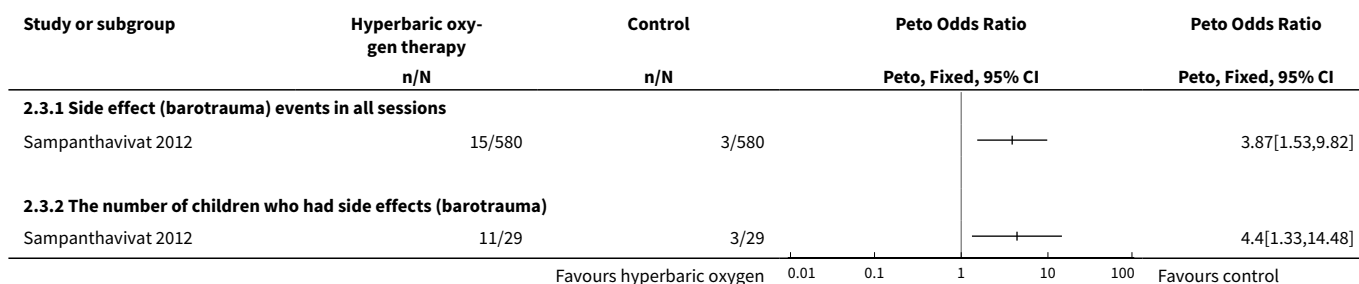


**Analysis 2.2. Comparison 2 Second outcome, Outcome 2 Cognitive function.**





**Analysis 2.3. Comparison 2 Second outcome, Outcome 3 Safety of hyperbaric oxygen therapy.**



**ADDITIONAL TABLES**

**Table 1. Differences between protocol and review**

Review section	Change
<b>Description of the intervention</b>	<p>We added the paragraph below on 'nonclassical' hyperbaric oxygen therapy:</p> <p>In addition to the 'classical' hyperbaric oxygen therapy defined by the Undersea and Hyperbaric Medical Society (UHMS 2016), some ASD trials have used nonclassical hyperbaric oxygen therapy (Granpeesheh 2010; Rossignol 2009). In these trials, nonclassical hyperbaric oxygen therapy consisted of a chamber pressurized to greater than one ATA with less than 100% oxygen concentration. In one study, both classical and nonclassical hyperbaric oxygen therapies produced significant improvement in children with autism, as evidenced by normal levels of oxidative stress and inflammation markers (Rossignol 2007a). As outlined in our protocol (Xiong 2014), we assessed only the use of classical hyperbaric oxygen therapy in this review.</p>
<b>Types of participants</b>	<p>We revised this section as follows:</p> <p>Participants of any age with a diagnosis of autism spectrum disorder (ASD) based on the criteria of the <i>Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition</i> (DSM-5) (APA 2013); or individuals with a diagnosis of one of the four pervasive developmental disorders from fourth edition text revision version of the DSM (DSM-IV-TR) (APA 2000), including autistic disorder, Asperger syndrome, or pervasive developmental disorder not otherwise specified (PDD-NOS); or from the <i>International Classification of Mental and Behavioural Disorders, 10th Edition</i> (ICD-10; WHO 1993). We accepted diagnoses that were derived following use of assessment tools, such as the Autism Diagnostic Observation Scale (ADOS) (Lord 1997) and the Autism Diagnostic Interview - Revised (ADI-R) (Lord 1994).</p>
<b>Electronic searches</b>	<p>We have specified that, when searching online clinical trial registries such as ClinicalTrials.gov and WHO ICTRP, we checked the publication status of each trial identified and contacted study authors for results of finished unpublished trials.</p>
<b>Searching other resources</b>	<p>We have clarified that we handsearched reference lists of relevant studies. We also searched the gray literature from the Internet using the academic search engine "Baidu Scholar."</p>

**Table 1. Differences between protocol and review** (Continued)

<b>Measures of treatment effect</b>	<p>We have clarified how we will handle final values and changes from baseline data, as follows:</p> <p>When final values and changes from baseline data are available in included trials, we shall analyse them separately. Apart from analysing those values separately, we will combine final values and changes from baseline data using the MD when both types of data are available for the same scale. We will not incorporate skewed data in future analyses.</p>
<b>Unit of analysis issues</b>	<p>We explained how we would handle multiple intervention groups. See <a href="#">Appendix 2</a>.</p>
<b>Assessment of heterogeneity</b>	<p>We regraded the degree of heterogeneity, as follows (<a href="#">Deeks 2011</a>).</p> <ol style="list-style-type: none"> <li>1. 0% to 40%: might not be important.</li> <li>2. 30% to 60%: may represent moderate heterogeneity.</li> <li>3. 50% to 90%: may represent substantial heterogeneity.</li> <li>4. 75% to 100%: may represent considerable heterogeneity.</li> </ol> <p>We added the following information:</p> <p>Studies have shown that different estimation methods may lead to different results and conclusions. For example, the DerSimonian and Laird (DL) estimator, which is currently widely used by default to estimate between-study variance, has been long challenged (<a href="#">Veroniki 2016</a>). The DL estimator can lead to erroneous conclusions (<a href="#">Cornell 2014</a>) or can largely underestimate the true value for dichotomous outcomes (<a href="#">Novianti 2014</a>). For continuous data, the restricted maximum likelihood estimator is a better alternative for estimating between-study variance when compared with other estimators (<a href="#">Veroniki 2016</a>).</p> <p>We also specified that:</p> <p>We plan to assess heterogeneity by comparing the estimated magnitude of the heterogeneity variance with the empirical distribution of <a href="#">Turner 2012</a> for dichotomous data and <a href="#">Rhodes 2015</a> for continuous data.</p>
<b>Data synthesis</b>	<p>We have clarified when we will report results of the fixed-effect model and when we will report results of the random-effects model, as follows:</p> <p>If no significant heterogeneity is present, we will report the results of the fixed-effect model only. If significant heterogeneity or severe asymmetry of the funnel plot is observed, we will report the results of the random-effects model.</p>

ASD: autism spectrum disorder.

ATA: atmosphere absolute.

DSM-IV-TR: *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition, Text Revision*.

MD: mean difference.

WHO ICTRP: World Health Organisation International Clinical Trials Registry Platform.

## APPENDICES

### Appendix 1. Search strategies

#### Cochrane Central Register of Controlled Trials (CENTRAL) in the Cochrane Library

CENTRAL 2015, Issue 11. Searched 14 December 2015, limited to publication years 2014-2015 [0 records].

CENTRAL 2014, Issue 10. Searched 25 November 2014, limited to publication years 2013-2014 [1 record].

CENTRAL 2013, Issue 10. Searched 20 November 2013 [6 records].

#1 [mh ^"child development disorders, pervasive"]

#2 [mh "Developmental Disabilities"]

#3 pervasive development\* disorder\*

#4 (pervasive near/3 child\*)



#5 (PDD or PDDs or PDD-NOS or ASD or ASDs)  
#6 autism\*  
#7 asperger\*  
#8 kanner\*  
#9 childhood next schizophrenia  
#10 {or #1-#9}  
#11 [mh "Hyperbaric Oxygenation"]  
#12 (Hyperbaric near/3 oxygen\*)  
#13 oxygen next therap\*  
#14 (Hyperbaric near/3 therap\*)  
#15 HBO  
#16 HBOP  
#17 {or #11-#16}  
#18 #10 and #17

**Ovid MEDLINE**

Ovid MEDLINE 1946 to November week 3 2015. Searched 14 December 2015, limited to ed=20141101-20151117 [2 records].  
Ovid MEDLINE 1946 to November week 2 2014. Searched 25 November 2014, limited to ed=20131101 to 20141125 [4 records].  
Ovid MEDLINE 1946 to November week 1 2013. Searched 19 November 2013 [44 records].

1 exp child development disorders, pervasive/  
2 Developmental Disabilities/  
3 pervasive development\$ disorder\$.tw.  
4 (pervasive adj3 child\$).tw.  
5 (PDD or PDDs or PDD-NOS or ASD or ASDs).tw.  
6 autism\$.tw.  
7 asperger\$.tw.  
8 kanner\$.tw.  
9 childhood schizophrenia.tw.  
10 or/1-9  
11 Hyperbaric Oxygenation/  
12 (Hyperbaric adj3 oxygen\$).tw.  
13 oxygen therap\$.tw.  
14 (Hyperbaric adj3 therap\$).tw.  
15 HBO.tw.  
16 HBOP.tw.  
17 or/11-16  
18 10 and 17

**Ovid MEDLINE In-Process & Other Non-Indexed Citations**

Ovid MEDLINE In-Process & Other Non-Indexed Citations, 10 December 2015. Searched 14 December 2015 [5 records].  
Ovid MEDLINE In-Process & Other Non-Indexed Citations, 24 November 2014. Searched 25 November 2014 [2 records].  
Ovid MEDLINE In-Process & Other Non-Indexed Citations, 18 November 2013. Searched 19 November 2013 [2 records].

1 pervasive development\$ disorder\$.tw.  
2 (pervasive adj3 child\$).tw.  
3 (PDD or PDDs or PDD-NOS or ASD or ASDs).tw.  
4 autism\$.tw.  
5 asperger\$.tw.  
6 kanner\$.tw.  
7 childhood schizophrenia.tw.  
8 or/1-7  
9 (Hyperbaric adj3 oxygen\$).tw.  
10 oxygen therap\$.tw.  
11 (Hyperbaric adj3 therap\$).tw.  
12 HBO.tw.  
13 HBOP.tw.  
14 or/9-13  
15 8 and 14

**Embase (Ovid)**

Embase 1980 to 2015 Week 50. Searched 14 December 2015, limited to ed=201447-201550 [12 records].

Embase 1980 to 2014 Week 47. Searched 25 November 2014, limited to ed=201346 to 201447 [10 records].

Embase 1980 to 2013 Week 46. Searched 19 November 2013 [82 records].

1 exp autism/  
2 developmental disorder/  
3 autis\*.tw.  
4 pervasive development\$ disorder\$.tw.  
5 (pervasive adj3 child\$).tw.  
6 (PDD or PDDs or PDD-NOS or ASD or ASDs).tw.  
7 childhood schizophrenia.tw.  
8 asperger\$.tw.  
9 kanner\$.tw.  
10 or/1-9  
11 hyperbaric oxygen/  
12 (Hyperbaric adj3 oxygen\$).tw.  
13 oxygen therap\$.tw.  
14 (Hyperbaric adj3 therap\$).tw.  
15 HBO.tw.  
16 HBOP.tw.  
17 or/11-16  
18 10 and 17  
19 limit 18 to em=201346-201447  
20 from 19 keep 1-10

**PsycINFO (Ovid)**

PsycINFO 1667 to December Week 2 2015. Searched 14 December 2015, limited to up=20141101-20151207 [1 record].

PsycINFO 1967 to November Week 3 2014. Searched 25 November 2014, limited to up=20131118 to 20141125 [0 records].

PsycINFO 1967 to November Week 2 2013. Searched 19 November 2013 [18 records].

1 exp pervasive developmental disorders/  
2 developmental disabilities/  
3 pervasive development\$ disorder\$.tw.  
4 (pervasive adj3 child\$).tw.  
5 (PDD or PDDs or PDD-NOS or ASD or ASDs).tw.  
6 autis\$.tw.  
7 asperger\$.tw.  
8 kanner\$.tw.  
9 childhood schizophrenia.tw.  
10 or/1-9  
11 oxygenation/  
12 (Hyperbaric adj3 oxygen\$).tw.  
13 oxygen therap\$.tw.  
14 (Hyperbaric adj3 therap\$).tw.  
15 HBO.tw.  
16 HBOP.tw.  
17 or/11-16  
18 10 and 17  
19 limit 18 to up=20131118-20141125

**Web of Science databases**

Science Citation Index (SCI) 1970 to 14 December 2015. Searched 14 December 2015, limited to publication years 2014-2015 [1 record].

SCI 1970 to 21 November 2014. Searched 25 November 2014, limited to publication years 2013-2014 [3 records].

SCI 1970 to 15 November 2013. Searched 20 November 2013 [21 records].

Social Sciences Citation Index (SSCI) 1970 to 14 December 2015. Searched 14 December 2015, limited to publication years 2014-2015 [0 records].

SSCI 1970 to 21 November 2014. Searched 25 November 2014, limited to publication years 2013 to 2014 [0 records].

SSCI 1970 to 15 November 2013. Searched 20 November 2013 [13 records].

Conference Proceedings Citation Index - Science (CPCI-S) and Conference Proceedings Citation Index - Social Sciences & Humanities 1990 to 14 December 2015. Searched 14 December 2015, limited to publication years 2014-2015 [0 records].  
CPCI-S & CPCI-SS&H 1990 to 21 November 2014. Searched 25 November 2014, limited to publication years 2013 to 2014 [0 records].  
CPCI-S & CPCI-SS&H 1990 to 15 November 2013. Searched 20 November 2013 [2 records].

#12 #11 AND #6

DocType=All document types; Language=All languages;

#11 #10 OR #9 OR #8 OR #7

DocType=All document types; Language=All languages;

#10 TS= (HBO OR HBOP)

DocType=All document types; Language=All languages;

#9 TS=(Hyperbaric near/3 therap\*)

DocType=All document types; Language=All languages;

#8 TS=("oxygen therap\*")

DocType=All document types; Language=All languages;

#7 TS=(Hyperbaric near/3 oxygen\*)

DocType=All document types; Language=All languages;

#6 #5 OR #4 OR #3 OR #2 OR #1

DocType=All document types; Language=All languages;

#5 TS=(PDD or PDDs or PDD-NOS or ASD or ASDs)

DocType=All document types; Language=All languages;

#4 TS=("childhood schizophrenia")

DocType=All document types; Language=All languages;

#3 TS= (pervasive near/3 child\*)

DocType=All document types; Language=All languages;

#2 TS= ("pervasive development\* disorder\*")

DocType=All document types; Language=All languages;

#1 TS=(autis\* or asperger\* or kanner\*)

DocType=All document types; Language=All languages;

#### WorldCat

([worldcat.org](http://worldcat.org))

14 December 2015 [0 records].

25 November 2014 [0 records].

21 November 2013 [1 record].

Advanced Search; kw:autis\* AND hyperbaric then limited to Theses

#### **Cochrane Database of Systematic Reviews (CDSR) and Database of Abstracts of Reviews of Effects (DARE), in the Cochrane Library**

CDSR 2015, Issue 12. Searched 14 December 2015 [0 records].

CDSR 2014, Issue 11. Searched 25 November 2014 [0 records].

CDSR 2013, Issue 11. Searched 20 November 2013 [1 record].

DARE 2015, Issue 2. Searched 14 December 2015 [0 records].

DARE 2014, Issue 4. Searched 25 November 2014 [0 records].

DARE 2013, Issue 4. Searched 20 November 2013 [0 records].

#1[mh ^"child development disorders, pervasive"]

#2[mh "Developmental Disabilities"]

#3(pervasive development\* disorder\*):ti,ab

#4(pervasive near/3 child\*):ti,ab

#5(PDD or PDDs or PDD-NOS or ASD or ASDs):ti,ab

#6(autis\*):ti,ab

#7(asperger\*):ti,ab

#8(kanner\*):ti,ab

#9(childhood next schizophrenia):ti,ab

#10{or #1-#9}

#11[mh "Hyperbaric Oxygenation"]

#12(Hyperbaric near/3 oxygen\*):ti,ab

#13(oxygen next therap\*):ti,ab

#14(Hyperbaric near/3 therap\*):ti,ab

#15"HBO":ti,ab  
#16HBOP:ti,ab  
#17{or #11-#16}  
#18#10 and #17 in Cochrane Reviews (Reviews only) and Other Reviews

#### **CINAHL Plus EBSCO (Cumulative Index to Nursing and Allied Health Literature)**

CINAHL 1937 to current. Searched 14 December 2015, limited to EM 20141101-current [4 records].  
CINAHL 1937 to current. Searched 26 November 2014, limited to EM=20131101-current [1 record].  
CINAHL 1937 to current. Searched 20 November 2013 [31 records].

S17 S10 AND S16  
S16 S11 OR S12 OR S13 OR S14 OR S15  
S15 HBO or HBOP  
S14 (Hyperbaric N3 therap\*)  
S13 oxygen therap\*  
S12 (Hyperbaric N3 oxygen\*)  
S11 (MH "Hyperbaric Oxygenation")  
S10 S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7 OR S8 OR S9  
S9 (PDD or PDDs or PDD-NOS or ASD or ASDs)  
S8 childhood schizophren\*  
S7 kanner\*  
S6 asperger\*  
S5 autis\*  
S4 (pervasive N3 child\*)  
S3 pervasive development\* disorder\*  
S2 (MH "Developmental Disabilities")  
S1 (MH "Child Development Disorders, Pervasive+")

#### **ERIC EBSCOhost (Education Resources Information Center)**

ERIC 1966 to current. Searched 14 December 2015 [0 records].  
ERIC 1966 to current. Searched 25 November 2014, limited by publication year 2013 to current [0 records].

S17 S10 AND S16  
S16 S11 OR S12 OR S13 OR S14 OR S15  
S15 HBO or HBOP  
S14 (Hyperbaric N3 therap\*)  
S13 oxygen therap\*  
S12 (Hyperbaric N3 oxygen\*)  
S11 (MH "Hyperbaric Oxygenation")  
S10 S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7 OR S8 OR S9  
S9 pervasive development\* disorder\*  
S8 (PDD or PDDs or PDD-NOS or ASD or ASDs)  
S7 childhood schizophren\*  
S6 kanner\*  
S5 asperger\*  
S4 autis\*  
S3 (pervasive N3 child\*)  
S2 (MH "Developmental Disabilities")  
S1 (MH "Child Development Disorders, Pervasive+")

#### **ERIC (ProQuest)**

ERIC 1966 to current. Searched 21 November 2013 [15 records].

Set#: S1  
Searched for: SU.EXACT.EXPLODE("Pervasive Developmental Disorders") or autis\* or Asperger\* or kanner\* or "pervasive development\* disorder\*" or "childhood schizophrenia" or pervasive near/3 child\* or pdd or pdds or asd or asds or pdd-nos  
Databases: ERICSet#: S5  
Searched for: Hyperbaric or oxygen\* or HBO OR HBOP  
Databases: ERIC  
Set#: S6

Searched for: (SU.EXACT.EXPLODE("Pervasive Developmental Disorders") OR autis\* OR Asperger\* OR kanner\* OR "pervasive development\* disorder\*" OR "childhood schizophrenia" OR pervasive NEAR/3 child\* OR pdd OR pdds OR asd OR asds OR pdd-nos) AND (Hyperbaric OR oxygen\* OR HBO OR HBOP)

Databases: ERIC

### **HBO Evidence. Database of Randomised Controlled Trials in Diving and Hyperbaric Medicine**

([hboevidence.unsw.wikispaces.net](http://hboevidence.unsw.wikispaces.net))

HBO accessed 14 December 2015 [0 records].

HBO accessed 26 November 2014 [0 records].

HBO accessed 21 November 2013 [2 records].

Search term: autism

### **World Health Organization International Clinical Trials Registry Platform (WHO ICTRP)**

([apps.who.int/trialsearch](http://apps.who.int/trialsearch))

15 December 2015 [10 records].

25 November 2014 [0 records].

21 November 2013 [10 records].

Basic search : autism AND oxygen OR autism AND hyperbaric

### **ClinicalTrials.gov**

([clinicaltrials.gov](http://clinicaltrials.gov))

15 December 2015 [8 records].

25 November 2014 [0 records].

21 November 2013 [8 records].

Basic search: hyperbaric AND autism

### **metaRegister of Controlled Trials (mRCT)**

([isrctn.com/mrct](http://isrctn.com/mrct))

14 December 2015. Not searched. Website message "service under review".

26 November 2014. Not searched. Website message "service under review".

21 November 2013 [4 records].

Selected: All registers

Search terms: hyperbaric AND autism

### **Research Autism**

([researchautism.net](http://researchautism.net))

14 December 2015 [3 records].

26 November 2014 [0 records].

21 November 2013 [9 records].

Browsed the alphabetical list from the Interventions tab for "hyperbaric" and downloaded the webpage.

### **Autism Data**

([autism.org.uk](http://autism.org.uk))

14 December 2015 [0 records].

25 November 2014 [1 record].

21 November 2013 [15 records].

### **Australian New Zealand Clinical Trials Registry (ANZCTR)**

([anzctr.org.au](http://anzctr.org.au))

Searched 26 November 2014 [0 records]. This registry was not searched separately after this date as the content feeds into WHO ICTRP.

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### **Hyperbaric oxygen therapy for people with autism spectrum disorder (ASD) (Review)**

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## Chinese databases

China National Knowledge Infrastructure (CNKI) ([cnki.net](http://cnki.net)). Searched 18 December 2013, 5 December 2014 and 10 December 2015.

WEIPU periodical database ([cqvip.com](http://cqvip.com)). Searched 18 December 2013, 5 December 2014 and 10 December 2015.

Wan Fang Data ([wanfangdata.com.cn](http://wanfangdata.com.cn)). Searched 18 December 2013, 5 December 2014 and 10 December 2015.

Chinese Biologic Medical Database (CBM) ([sinomed.imicams.ac.cn](http://sinomed.imicams.ac.cn)). Searched 18 December 2013, 5 December 2014 and 10 December 2015.

- 1 高压氧
- 2 孤独症 或 自闭症
- 3 随机
- 4 1 and 2 and 3

## Appendix 2. Additional methods archived for future updates of this review

Analysis	Methods
<b>Measures of treatment effect</b>	<p><b>Continuous data</b></p> <p>For continuous data, we will calculate the mean difference (MD) and 95% confidence intervals (CIs). Because different scales may be used to measure the same outcomes in trials on autism spectrum disorder (ASD), standardized mean differences (SMDs) may be used widely in our review. Final values and changes from baseline data should not be combined together as SMDs. When final values and changes from baseline data are available in included trials, we shall analyze them separately. Apart from analyzing those values separately, we will combine final values and changes from baseline data using the MD when both types of data are available for the same scale. We will not incorporate skewed data in future analyses.</p> <p><b>Dichotomous data</b></p> <p>For dichotomous data, we will calculate the risk ratio (RR), odds ratio (OR), and risk difference (RD) with 95% CIs.</p>
<b>Unit of analysis issues</b>	<p>For most outcomes, the unit of analysis will be the individual participant.</p> <p><b>Cluster-randomized trials</b></p> <p>We will include cluster-randomized trials along with individually randomized trials in the analysis. We will analyze them, as detailed in section 16.3 of the <i>Cochrane Handbook for Systematic Reviews of Interventions</i> (Higgins 2011a), using an estimate of the intracluster correlation coefficient (ICC) derived from the trial (if possible) or from another source. If we use ICCs from other sources, we will report this fact and we will conduct sensitivity analyses to investigate effects of variation in the ICC. If we identify both cluster-randomized trials and individually randomized trials, we will synthesize relevant information. We will consider it reasonable to combine the results derived from both if little heterogeneity between the study designs is noted, and if interaction between the effect of the intervention and the choice of randomization unit is considered unlikely. We will also acknowledge heterogeneity in the randomization unit, and we will perform a separate meta-analysis.</p> <p><b>Multiple intervention groups</b></p> <p>We were not faced with multiple intervention studies until now. In the future, for a study with multiple intervention groups, if appropriate, we will combine groups to create a single pairwise comparison. The recommended method in most situations is to combine all relevant experimental intervention groups of the study into a single group, and to combine all relevant control intervention groups into a single control group (Higgins 2011a).</p> <p>Indirect comparisons are not randomized comparisons. They are observational findings across trials and may suffer the biases of observational studies (Higgins 2011a). Thus, we will exclude indirect comparisons.</p>
<b>Dealing with missing data</b>	<p>When published data are incomplete, we will try to obtain the missing data from the primary investigator, if possible. If this approach is unsuccessful, we will restrict the analyses to available data. We will use sensitivity analyses to examine whether overall findings are robust to the potential in-</p>



(Continued)

fluence of missing data. We will assess how sensitive results are to reasonable changes in the assumptions made. We will critically appraise issues of the intention-to-treat (ITT) analysis and will compare them with specifications of primary outcome parameters and power calculations.

### Assessment of heterogeneity

We will consider 3 types of heterogeneity: clinical, methodological, and statistical. We will assess clinical heterogeneity by comparing the distribution of important participant factors between trials such as age, gender, specific diagnoses or diagnostic subtypes (or both), duration of the disorder, and associated neuropsychiatric diseases. We will assess methodological heterogeneity by comparing trial characteristics such as randomization concealment, blinding, and losses to follow-up (see [Quality of the evidence](#)).

We will assess statistical heterogeneity by examining  $\text{Chi}^2$  and  $I^2$ . We will use the  $\text{Chi}^2$  test ( $P \leq 0.10$  shows substantial or considerable heterogeneity) to determine whether statistically significant heterogeneity is present. The  $\text{Chi}^2$  test is not very reliable when a few studies or small sample sizes form the dataset. This means that a nonsignificant result cannot be taken as evidence of no heterogeneity. We will also assess the degree of statistical heterogeneity by examining  $I^2$ . We will grade the degree of heterogeneity as follows ([Deeks 2011](#)):

1. 0% to 40%: might not be important.
2. 30% to 60%: may represent moderate heterogeneity.
3. 50% to 90%: may represent substantial heterogeneity.
4. 75% to 100%: may represent considerable heterogeneity.

We will examine the trials to investigate possible explanations for heterogeneity. If heterogeneity is identified among a group of studies, we will check the data and establish potential reasons for the observed heterogeneity. For heterogeneity that cannot be readily explained, we intend to divide the data into subgroups if an appropriate basis is identified.

Studies have shown that different estimation methods may lead to different results and conclusions. For example, the DerSimonian and Laird (DL) estimator, which is currently widely used by default to estimate between-study variance, has long been challenged ([Veroniki 2016](#)). The DL estimator can lead to erroneous conclusions ([Cornell 2014](#)), or can largely underestimate the true value for dichotomous outcomes ([Novianti 2014](#)). For continuous data, the restricted maximum likelihood estimator is a better alternative for estimating between-study variance when compared with other estimators ([Veroniki 2016](#)).

We plan to assess heterogeneity by comparing the estimated magnitude of the heterogeneity variance with the empirical distribution of [Turner 2012](#) for dichotomous data and [Rhodes 2015](#) for continuous data.

### Assessment of reporting biases

We will try to obtain the study protocols of all included studies so that we can compare outcomes reported in the protocol versus those reported in the findings. When we suspect reporting bias, we will attempt to contact study authors to ask them to provide missing outcome data. When this is not possible, and the missing data are thought to introduce serious bias, we will conduct a sensitivity analysis to evaluate the impact of including such studies in the overall assessment of results. We will assess publication bias by using funnel plots or the Egger test ([Egger 1997](#)), depending on the number of clinical trials included in the systematic review. The funnel plot should be seen as a generic means of displaying small-study effects. Asymmetry may arise as a result of publication bias or a relationship between trial size and effect size. True heterogeneity in intervention effects is only one cause of funnel plot asymmetry ([Egger 1997](#); [Sterne 2011](#)).

### Data synthesis

If more than one eligible trial is identified and sufficient homogeneity is observed among studies with respect to participants and reported outcomes, we will perform meta-analyses using Review Manager 5 (RevMan 5) ([RevMan 5 2014](#)). We will use both the fixed-effect model and random-effects model in the meta-analysis. Both models will yield similar results if no significant heterogeneity and no publication bias are noted among the trials. If no significant heterogeneity is present, we will report results of the fixed-effect model only. However, the asymmetry of the funnel plot may be due to true heterogeneity. If significant heterogeneity or severe asymmetry of the funnel plot is observed, we will report the results of the random-effect model.

(Continued)

For continuous data, we will use the inverse variance method, which is available in [RevMan 5 2014](#). When data are sparse, in terms of low event rates or small study size, the Mantel-Haenszel methods have better statistical properties than the inverse variance method for dichotomous data ([Deeks 2011](#)). In such cases, we will choose the Mantel-Haenszel method for calculating the RR and RD for dichotomous data. Both Mantel-Haenszel and inverse variance methods are poor when event rates are very low. In such cases, Peto's method works well; however, Peto's method can be used only to pool odds ratios ([Deeks 2011](#)).

#### Subgroup analysis and investigation of heterogeneity

We will perform subgroup analysis based on the factors below.

1. Participant age.
2. ASD severity.
3. Therapeutic time window of hyperbaric oxygen therapy.
4. Peak pressure of hyperbaric oxygen therapy.
5. Number of courses of hyperbaric oxygen therapy.

#### Sensitivity analysis

We will perform sensitivity analyses for missing data and for study risk of bias. We will employ sensitivity analysis using different approaches to impute missing data. We will critically appraise last observation carried forward (LOCF), ITT, and per-protocol (PP) analysis and will compare them with primary outcome parameters and power calculations. If appropriate, we will conduct sensitivity analyses by study risk of bias based on the presence or absence of a reliable random allocation method, concealment of allocation, and blinding of participants or outcome assessors. We will test robustness of the results by including or excluding studies of poor quality.

## WHAT'S NEW

Date	Event	Description
7 November 2016	Amended	Added second affiliations for all authors

## CONTRIBUTIONS OF AUTHORS

Conceiving the review: Tao Xiong.

Designing the review: Tao Xiong.

Coordinating the review: Tao Xiong and Dezhi Mu.

Designing search strategies: Tao Xiong, Hongju Chen, and Rong Luo.

Extracting data: Tao Xiong, Hongju Chen, and Rong Luo.

Writing the review: Tao Xiong and Hongju Chen.

Providing general advice on the review: Dezhi Mu.

Securing funding for the review: Tao Xiong and Dezhi Mu.

## DECLARATIONS OF INTEREST

Tao Xiong - none known.

Hongju Chen - none known.

Rong Luo - none known.

Dezhi Mu - none known.

## SOURCES OF SUPPORT

### Internal sources

- Department of Pediatrics, West China, Second University Hospital, Sichuan University, Chengdu, China.

Salary support for Tao Xiong, Hongju Chen, and Dezhi Mu

## External sources

- Chinese Cochrane Center, West China, Second University Hospital, Sichuan University, Chengdu, China.

Academic support to the team

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- Cochrane Developmental, Psychosocial and Learning Problems Group, Other.

Academic support to the team

## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

We created a table to detail the differences between the protocol and the review (see [Table 1](#)).

## INDEX TERMS

### Medical Subject Headings (MeSH)

\*Hyperbaric Oxygenation [adverse effects]; Autism Spectrum Disorder [\*therapy]; Cognition; Communication; Interpersonal Relations; Randomized Controlled Trials as Topic

### MeSH check words

Child; Child, Preschool; Humans