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# GENETICALLY-ENGINEERED PIGS AS SOURCES FOR CLINICAL RED BLOOD CELL TRANSFUSION: WHAT PATHOBIOLOGICAL BARRIERS NEED TO BE OVERCOME?

Benjamin Smood<sup>1</sup>, Hidetaka Hara<sup>1</sup>, Leah J. Schoel<sup>1</sup>, and David K.C. Cooper<sup>1,\*</sup>

<sup>1</sup>Xenotransplantation Program, Department of Surgery, University of Alabama at Birmingham, Birmingham, AL, USA

## Abstract

An alternative to human red blood cells (RBCs) for clinical transfusion would be advantageous, particularly in situations of massive acute blood loss (where availability and compatibility are limited) or chronic hematologic diseases requiring frequent transfusions (resulting in alloimmunization). Ideally, any alternative must be neither immunogenic nor pathogenic, but readily available, inexpensive, and physiologically effective. Pig RBCs (pRBCs) provide a promising alternative due to their several similarities with human RBCs, and our increasing ability to genetically-modify pigs to reduce cellular immunogenicity. We briefly summarize the history of xenotransfusion, the progress that has been made in recent years, and the remaining barriers. These barriers include prevention of (i) human natural antibody binding to pRBCs, (ii) their phagocytosis by macrophages, and (iii) the T cell adaptive immune response (in the absence of exogenous immunosuppressive therapy). Although techniques of genetic engineering have advanced in recent years, novel methods to introduce human transgenes into pRBCs (which do not have nuclei) will need to be developed before clinical trials can be initiated.

#### Keywords

Blood transfusion; Pig, genetically-engineered; Red blood cells; Sickle cell disease; Xenotransplantation; Xenotransfusion

# 1. Introduction

#### 1.1 Blood product supply and demand

The World Health Organization estimates that approximately 112 million units of donated blood are collected each year, which is far from satisfying the global need [1]. In the United States, 12.6 million red blood cell (RBC) units were collected in 2015 with 11.3 million

<sup>&</sup>lt;sup>\*</sup>Corresponding author.

Conflicts of Interest Statement

The authors declare that they have no relevant conflicts of interest.

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units transfused, highlighting a slim surplus of units on a national level, but with steadily decreasing rates of donation [2]. Transient critical blood shortages are well-recognized [2]. While blood type O availability is often scarce, supply and demand mismatch is not the only limitation to transfusion therapy [2,3].

#### 1.2 Economic and safety burdens impacting human RBC transfusions

Although donor screening, leukocyte reduction, and nucleic acid viral screening help prevent deleterious consequences of transfusion, the risk is not zero [2,4]. Ensuring safety is requisite, but varying regulatory mandates, prohibitive costs, and strenuous screening encumber accessibility, excluding up to 15% of all donors prior to or after blood collection [2]. For example, because of concerns relating to new variant Creutzfeldt-Jakob disease in Europe, eligibility for blood donation has been made more restrictive [5]. In countries where the incidence of human immunodeficiency virus infection is much higher than in the US (e.g., sub-Saharan Africa), the shortage of acceptable RBCs and the risks of blood transfusion are, of course, significantly greater.

#### 1.3 Limitations of repeated transfusion in chronic disease

Patients with diseases requiring repeated transfusions (e.g., sickle cell disease, thalassemia, hematologic cancers, etc.) are at risk of alloimmunization and the development of delayed hemolytic transfusion reactions, limiting their donor pool. Approximately 30% of transfused patients with sickle cell disease develop alloantibodies [6] and, over a 5-year period, 8% of patients develop a delayed hemolytic transfusion reaction following alloimmunization [7]. In some cases, the patient's own RBCs are also lysed (hyper-hemolysis syndrome), characterized by macrophage activation, leading to the destruction of both donor and recipient RBCs [8].

Hematologic phenotypes are controlled by various loci that affect hematopoiesis, intrinsic RBC turnover, minor antigen expression, and recipient allosensitization [9,10]. Some individuals requiring chronic transfusions are predisposed to developing alloantibodies to rare donor antigens, and have a higher risk of future alloimmunization. Other groups have successfully implemented more extensive antigen matching for such vulnerable populations [10]. However, the practicality of cross-matching donors and recipients with precision to a single locus requires continued investigation. Complicating matters, this further diminishes an already limited donor pool, which should encourage the development of novel alternatives, including genetically-modified source ('donor') pigs with 'immunologically inert' RBCs for xenotransfusion.

#### 1.4 Xenotransfusion as a novel alternative

In light of the aforementioned obstacles, innovative replacements to human RBC transfusion have garnered considerable attention, including perfluorocarbon hemoglobin, and stem cell-based therapies [11-13]. However, most substitutes have had relatively little clinical success. Important developments achieved in recent years offer hope for the eventual industrial production of *in vitro*-cultured human RBCs [13,14]. Still, technical challenges in mapping stem-cell fate and sub-optimal reticulocyte maturation pose significant hurdles to the promise of *ex vivo* human RBC production [14,15]. Transgenic manipulation of xenogeneic

RBCs (to prevent immunologic responses) may be more tangible than programming allogeneic cell differentiation. Xenotransfusion (cross-species transfusion), using the pig as a source of RBCs, may provide a solution.

There have been significant advances in organ and tissue xenotransplantation, particularly when using genetically-modified pigs as the sources of organs and cells [16,17]. With recent technological advances, there have been dramatic improvements in the results in pig-to-nonhuman primate (NHP) transplantation models (See below: *2.4 Recent progress in pig-to-nonhuman primate organ transplantation*). This progress in overcoming the pathobiological barriers to xenotransplantation can be applied to producing pigs whose RBCs might be suitable for clinical xenotransfusion.

There are a number of situations in which pig RBCs (pRBCs) might be particularly valuable, e.g., (i) in acute blood loss (hemorrhage, trauma) where sufficient human ABO-compatible blood is not available, and (ii) in patients with hematological and oncological disorders requiring frequent blood transfusions in whom sensitization to human RBCs has developed. Indeed, pRBCs may eventually provide a source of RBCs superior to that of human RBCs, and is the focus of this review.

#### 1.5 History of xenotransfusion

The earliest documented evidence of human xenotransplantation begins with xenotransfusion, when in 1667 Jean Baptiste Denis and Paul Emmerez transfused the blood of a lamb into a 15-year-old feverish boy, and later that year transfused calf blood to a mentally ill man in an attempt to cure him [18,19]. Complications and politics ultimately led to a ban of transfusions by the French Parliament in 1678, and even denunciation by the Pope in 1679. Progress halted until 1749, when Andrew Cantwell, a member of the Faculty of Medicine in Paris, recognized the potential value of transfusion in emergencies with acute hemorrhage [20-23].

A series of allogeneic human transfusions were documented by James Blundell and colleagues beginning in 1819. In 1829, they described the first successful transfusion in a woman with post-partum hemorrhage [23]. Not only was Blundell one of the earliest proponents of transfusion, he demonstrated that cross-species xenotransfusion was more hazardous than allogeneic human transfusion. Despite his observations, xenotransfusion continued throughout the 19<sup>th</sup> century.

The modern era of transfusion was ushered in when Landsteiner published his landmark paper on the ABO blood groups in 1900 [24]. With this, debate was largely settled, and human RBC allogeneic transfusion became the focus of attention for nearly a century.

Similarly, after Harold Neuhof's failed attempt at transplanting a lamb kidney into a man in 1923, it would be 40 years until xenotransplantation was attempted again [25,26]. In 1964, Keith Reemtsma began a small series of kidney transplants from chimpanzees into humans, marking the first use of immunosuppressive therapy in such a procedure. One patient survived a remarkable 9 months [26,27]. Transplantation and xenotransplantation

experienced a renaissance, and the fields became more ambitious and successful with the aid of improving immunosuppressive therapy.

As a result of scientific advances in xenotransplantation over the past 30 years, particularly in the genetic engineering of pigs, attention has returned to the possibilities afforded by xenotransfusion.

## 2. Clinical application of xenotransfusion

#### 2.1 Pig RBCs as a source for clinical transfusion

The potential for using genetically-modified pigs as sources of RBCs is considerable. pRBCs share a number of common characteristics with human RBCs (Table 1) with similar cell diameters and counts, although the average life-span of pRBCs is shorter than that of human RBCs [28,32,33]. Porcine hemoglobin shares only 85% sequence identity with its human counterpart [34]. Nevertheless, both pig and human hemoglobin have similar threedimensional structures, and it is believed that most of the 22 and 21 amino acid substitutions on the alpha and beta subunits, respectively, have no significant functional effect. For example, human alpha-hemoglobin chains hybridize with pig beta-hemoglobin chains *in vivo*; however, the opposite (human beta-chains hybridizing with porcine alpha-chains) only occurs *in vitro*. It appears that despite subtle differences in structure affecting stability and function, the molecules are functionally competent [34].

The most closely studied pig blood group system is the A-O (H) system, which is loosely related to the human ABO system [35,36]. Pig herds have been developed that are uniformly of blood type O; thus, ABO compatibility between human recipients and 'donor' pigs can be assured. Furthermore, human hemoglobin has been expressed in transgenic pigs, with normal post-translational modifications and biological function [37].

The high breeding capacity of pigs and the ability to produce them in a designated pathogenfree environment obviates many of the potential infectious risks of human RBC transfusion. Moreover, pRBCs do not have nuclei, and therefore do not harbor porcine endogenous retroviruses (PERV), although this advantage would be reduced by contamination of the product by leukocytes [38]. Nonetheless, the use of white blood cell filters could feasibly ensure that leukocytes do not contaminate pRBCs, simultaneously preventing the theoretical risk of PERV transmission, as well as leukocyte immunogenicity. Most experts now agree that with the necessary established screening protocols, and requisite biosecured housing for source pig herds, the risk of porcine xenotransplantation spreading communicable diseases is minimal (when compared to human donors) [39-41].

#### 2.2 Nonhuman primates as surrogate hosts

We have shown previously that Old World NHPs are satisfactory surrogates for humans in xenotransplant trials (See below: *2.4 Recent progress in pig-to-NHP organ transplantation)* [42-44]. NHP recipients of blood group AB can be selected, simplifying the interpretation of the response to pRBCs from blood group O source pigs.

Like humans, NHPs hyperacutely reject transplanted wild-type (WT, geneticallyunmodified) pig organs, largely due to antibody-antigen binding, complement activation, innate cell activation, and coagulation dysfunction [44]. An important antigen, galactose- $\alpha$ 1,3-galactose (Gal), is expressed on the surface of many pig cells, including RBCs. The presence of anti-Gal antibodies in humans and Old World NHPs initiates much of this response (See below: *3.1 Antigen specific barriers in xenotransplantation*). NHPs also develop similar elicited immune responses to humans, and demonstrate a similar inflammatory response.

#### 2.3 Initial studies of pRBC xenotransfusion in NHPs

Scientific investigations into pRBCs for clinical transfusion began in the 1990s [45]. Initially, pRBCs from WT pigs were treated with the enzyme  $\alpha$ -galactosidase to remove Gal epitopes [46,47]. *In vitro* binding of baboon or human antibodies to  $\alpha$ -galactosidase-treated pRBCs was greatly reduced compared to untreated pRBCs. *In vivo*, however, whereas autologous baboon RBCs survived for >16 days, and WT pRBCs for <15 minutes, treating pRBCs with  $\alpha$ -galactosidase increased pRBC survival to only two hours [30]. In complement-depleted baboons, pRBC survival increased to 24 hours, and to 72 hours when the baboon was depleted of both complement and anti-Gal antibodies, or of complement and macrophage phagocytes.

Although baboon recipients became sensitized to Gal, the lack of hemolysis of baboon RBCs, with no reduction in hematocrit and no increases in serum bilirubin or lactate dehydrogenase, suggested a lack of cross-reactive antibody-mediated destruction of baboon RBCs.

When large numbers of WT pRBCs were transfused into baboons depleted of anti-Gal antibodies and complement [48], pRBCs could be detected for 12 hours. At necropsy, the spleen was found to be congested and grossly enlarged, indicating that the pRBCs had been removed from the blood by splenic macrophages.

When <u>a1.3-galactosyltransferase</u> gene-knockout (GTKO) pigs (genetically-modified pigs whose cells do not express Gal) became available [49,50], *in vitro* binding of IgM from human or baboon sera was significantly less than to WT pRBCs. IgG binding to GTKO pRBCs was absent or minimal [51,52]. Sera had minimal cytotoxicity to GTKO pRBCs compared to WT pRBCs. Although antibody binding and serum cytotoxicity to GTKO pRBCs were significantly less than to ABO-incompatible human RBCs, they were not comparable to binding and cytotoxicity to ABO-compatible human RBCs (Figure 1) [52]. Nevertheless, GTKO pRBCs transfused into baboons could be detected in the blood for only 5 minutes, indicating that RBCs, even from GTKO pigs, are rapidly phagocytosed. While these studies were encouraging in some respects, they underscored the principle that rapid loss of GTKO pRBCs is associated with the presence of antigens other than Gal and/or to other heretofore unknown mechanisms.

The rapid loss of pRBCs appeared to be related to two key factors – (i) antibody binding to the pRBCs (thus activating complement), and (ii) phagocytosis of the pRBCs by recipient macrophages through either antibody-dependent and/or antibody-independent mechanisms

(Table 2). Similar responses have been recorded after the intravenous infusion of mobilized pig hematopoietic stem cells or bone marrow cells into baboons, and after human blood perfusion through pig livers [134]. Long et al. demonstrated that sensitization to pig antigens increased antibody-dependent phagocytosis of pRBCs (Figure 2), indicating that the adaptive immune response also has to be prevented (Table 2) [52].

#### 2.4 Recent progress in pig-to-NHP organ transplantation

Recent technological advances have dramatically improved the results of pre-clinical models of organ xenotransplantation aimed at overcoming the shortage of human organs [16,17,44]. Using an immunosuppressive regimen that prevents a T cell-dependent elicited antibody response, survival of pig heterotopic (non-life-supporting) hearts and life-supporting kidneys is now being measured in months or even years [120-122,125,136,137], rather than minutes as originally reported [42,43].

These encouraging results have been obtained by two key genetic approaches—(i) deletion of pig xenoantigens against which humans (and NHPs) have natural (preformed) antibodies, and (ii) introduction into the pig cells of transgenes for human complement- and/or coagulation-regulatory proteins [17,44,138]. We suggest that the ability to delete key pig antigens by genetic manipulation, and novel approaches to inhibit phagocytosis, will eventually overcome the barriers to pRBC xenotransfusion (detailed below). On the basis of this progress, we suggest it is timely to reconsider pRBCs for clinical transfusion.

#### 3. Overcoming the remaining barriers to pRBC xenotransfusion

#### 3.1 Antigen-specific barriers in xenotransplantation

Pigs express antigens that correlate with human A or O blood group antigens, but only blood group O pigs are used in the field of xenotransplantation [36]. Pigs have a single Rh gene that does not appear to represent a blood group antigen [139]. The many 'minor' blood group antigens (e.g., Kell) that have been investigated do not appear to be expressed on pRBCs (Gregory Martens, personal communication June 2018). Still, investigation of additional variant antigens may need to be explored to prevent potential rejection or immunization from developing, as seen in allogeneic transfusion [10].

The presence of antibodies to human leukocyte antigens (HLA) is not uncommon in patients who have been exposed to blood transfusion, organ allotransplantation, or pregnancy. Increasing evidence suggests that some humans have anti-HLA antibodies that cross-react with swine leukocyte antigens (SLA). However, SLA are not expressed on pRBCs and so will not be problematic [140].

Three major carbohydrate antigens are expressed on pRBCs against which humans have natural (preformed) antibodies, namely Gal, Neu5Gc (N-glycolylneuraminic acid), and Sd<sup>a</sup> (Table 3). The major target antigen for primate natural antibodies is Gal, a terminal oligosaccharide similar to the human blood group A, B, and O saccharides (Figure 3) [45]. Like anti-A/B antibodies, anti-Gal antibodies are believed to develop during infancy as a response to colonization of the gastro-intestinal tract by various bacterial and viral flora [143].

When WT pig organs are transplanted or pRBCs are transfused into humans or Old World NHPs, expression of Gal results in almost uniform hyperacute rejection or cell lysis, similar to that seen after ABO-incompatible organ allotransplantation or blood transfusion. Pigs homozygous for GTKO do not express Gal, thereby overcoming this immediate cause of cell destruction [49,50].

Human natural antibodies to two other carbohydrate epitopes have been identified (Tables 2 and 3) [59,61], although the cytotoxicity associated with these antibodies is relatively reduced compared to anti-Gal [85,144,145]. Nevertheless, they can initiate lysis of pig cells *in vitro* and cause rejection of pig organs in NHPs. Therefore, if pRBCs are to be transfused successfully into humans, RBCs from triple-knockout (TKO) pigs will be required, in which all three of these antigens have been deleted (Figure 4) [67]. The current evidence is that many patients awaiting kidney allografts (who do not express anti-HLA antibodies) have natural anti-pig antibodies directed only to these three known pig antigens [146], although there may be other minor, unidentified carbohydrate xenoantigens in the remaining members of the population.

A comparative analysis of human antibody binding to pRBCs isolated from GTKO, double-knockout (GTKO/CMAH-KO), and TKO pigs, as well as autologous and allogeneic (blood type O donor) human RBCs, has been carried out [67,142]. Human antibody bound less to TKO pRBCs than to allogeneic human RBCs in 43% (36/87) of samples, with varying but minimal binding in the remaining specimens (Figure 5) [67]. This demonstrated that TKO dramatically reduces or eliminates the xenoantigenicity of these pRBCs.

In the absence of human serum antibody binding to TKO pRBCs, survival of the pRBCs after transfusion would likely be prolonged. This would be a result of (i) reduced antibodymediated cell loss, and (ii) reduced phagocytosis (resulting from reduced or absent antibody binding) [67,142]. Therefore, in some patients, transfusion of TKO pRBCs may be sufficient to obtain clinically-relevant prolonged survival of the pRBCs.

Baboons and other Old World monkeys have been established as reliable surrogate hosts in xenotransplantation models because they express comparable proteins to humans. Perhaps more importantly, they lack certain carbohydrate antigens as do humans, and therefore develop similar anti-pig antibodies. For example, neither expresses Gal or Sd<sup>a</sup>, and therefore produce anti-Gal and anti-Sd<sup>a</sup> antibodies (which bind genetically-unmodified pRBCs) [147]. For these species, therefore, double-knockout pigs lacking Gal and Sd<sup>a</sup> (GTKO/Sd<sup>a</sup>-KO) will be required for *in vivo* experimental studies of pRBC transfusion. However, Old World nonhuman primates differ from humans in one important respect: they *do* express Neu5Gc, and therefore *do not* make anti-Neu5Gc antibodies (in contrast to humans) [60]. Alternatively, the capuchin monkey (a New World monkey) mimics humans exactly with respect to the antibodies developed against Gal, Sd<sup>a</sup>, and Neu5Gc expressed on pRBCs. Therefore, New World monkeys may make a preferable experimental model for studying the comparable human antibody response to TKO pRBCs *in vivo* [147].

**3.1.1 Complement regulation**—If antibody binding to TKO pRBCs is present, e.g., because of expression of other (hitherto unidentified) glycan antigens, the cells may be

destroyed by complement activation. Based on experience with pig organ and cell transplantation in NHPs, antibody-mediated rejection can be prevented or significantly reduced by the expression of a human complement-regulatory protein, such as hCD55 (decay-accelerating factor), hCD46 (membrane cofactor protein), or hCD59 (membrane attack complex-inhibiting protein) [17,44,85,139].

Pigs expressing high levels of one or more human complement-regulatory protein on vascular endothelial cells have been available for many years, and demonstrate resistance to complement-mediated injury (Figure 6). However, using current technology, it has not proved possible to express these proteins on pRBCs, as mature RBCs lack nuclei. hCD46 is expressed on almost all human cells (sparing RBCs), including peripheral blood mononuclear cells (PBMCs), and has been expressed on porcine PBMCs [66]. If expressed on pRBCs, human complement-regulatory proteins would undoubtedly protect the pRBCs from lysis. To achieve human transgene expression will be challenging (discussed below), and represents the major novel contribution needed to advance this field.

#### 3.2 Phagocytosis of pRBCs

Human macrophages present a unique immunological challenge to xenotransfusion [92,93]. Xenografts activate host immunity not only by expressing immunogenic antigens that initiate rejection, but also by lacking antigenic inhibitory signals that normally prevent host immune responses [94].

One potential mechanism of macrophage activation is associated with species incompatibility of CD47/SIRP-a (signal-regulatory protein-a) signaling. Normally, cells expressing *human* (h)CD47 interact with *human* macrophage SIRP-a to inhibit phagocytosis [91-97,106,148,149]. Expression of *pig* CD47 does not inhibit the activation of *human* macrophages [92], indicating that hCD47 will need to be transgenically expressed in pRBCs to prevent phagocytic responses. Transfection of cells with the gene for CD47 from the same species as the recipient macrophages prevents phagocytosis by activation of SIRP-a in mice [150] and humans [92].

Expression of *human* CD47 on pRBCs should, therefore, inhibit human macrophage activity through its inhibitory effect on human SIRP-a. The generation of human CD47-expressing pigs (and the recent production of viable human SIRP-a-expressing mice) increased engraftment in a murine model of pig-to-human hematopoietic progenitor cell transplantation [96,97]. There was a substantial protective effect of hCD47 expression on engraftment, associated with prolonged survival of porcine hematopoietic cells, presumably by modulation of macrophage phagocytosis. The generation of human CD47-expressing pigs indicates the potential that CD47/SIRP-a signaling can be manipulated. We anticipate that the transfusion of TKO/CD55 pRBCs that are additionally transgenic for hCD47 will successfully inhibit phagocytosis. Expression of hCD47 may also have a beneficial effect by modulating the monocyte and T cell responses [96].

As with transgenic expression of a human complement-regulatory protein, however, expression of hCD47 on pRBCs will be difficult, and novel techniques of transgenesis will be required.

# 3.2.1 Potential methods for expressing human regulatory proteins in pRBCs

—From extensive studies on pig hematopoietic cell transplantation [134], we anticipate that the problem of phagocytosis can be resolved by genetic engineering of the pig. However, one of the major challenges facing xenotransfusion is to induce expression of human transgenes in pRBCs (which lack a nucleus).

Novel gene manipulations in fetal fibroblasts, somatic cell nuclear transfer, and embryo implantation may possibly resolve this biological barrier (Figure 7) [64]. Exons and introns spanning the protein coding sequences of the pig <u>a 1,3-galactosyltransferase</u> (Gal) and <u> $\beta$ 4GalNT2</u> (Sd<sup>a</sup>) genes could be replaced with genes for hCD47 and/or a human complement-regulatory transgene (e.g., CD55) open reading frames. <u>a 1,3-</u><u>galactosyltransferase</u> and <u> $\beta$ 4GalNT2</u> promoters could drive expression of these transgenes. The CRISPR/Cas9 gene editing system has been used to insert transgenes at specific genomic locations [151] and a guide RNA could be designed to direct Cas9 to cleave specific genomic locations flanking the open reading frames of <u>a 1,3-galactosyltransferase</u> and <u> $\beta$ 4GalNT2</u>. This would increase the efficiency of gene replacement mediated by homologous recombination. Two <u>a 1,3-galactosyltransferase</u>-specific Cas9/gRNA complexes would need to be electroporated into cells along with the transgene template (Figure 7).

If the described gene-swaps failed to appropriately express CD47 and/or CD55 in pRBCs, an alternate approach could be to express the transgenes as a cassette using an exogenous promoter. The human elongation factor 1-alpha promoter has been used to express CD47 in pRBCs when the entire construct was inserted into the <u>*a*1,3-galactosyltransferase</u> gene [96,97]. Hemoglobin and glycophorin promoters could also be explored.

**3.2.2** Natural killer (NK) cells—Natural killer cells have also been demonstrated by *in vitro* studies to participate in rejection following porcine xenotransplantation (although this has been difficult to detect *in vivo*) [98-100]. Transgenic expression of HLA-G and/or E and/or Cw3 may inhibit the natural killer cell response that contributes to rejection [101-104,152]. Pigs expressing HLA-E or HLA-G have been produced, but not yet fully tested on the preferable GTKO (or TKO)/human complement-regulatory protein background [95,105,106]. Importantly, studies by Miyagawa's group indicate that expression of these transgenes also inhibits macrophage activity [95,106], and would be an additional approach that could be explored to prevent phagocytosis.

#### 3.3 The adaptive immune response

Inhibition of the T cell-mediated immune response is a necessary component of preventing rejection following organ xenotransplantation. Blockade of the CD154-CD40 T cell costimulation pathway has been shown to successfully block the adaptive immune response to a GTKO pig organ transplant [116,117,120-125], whereas conventional pharmacologic immunosuppressive regimens have proved less successful.

Regardless, overcoming the adaptive immune response *without* administering exogenous immunosuppressive therapy remains another significant challenge.Certainly, some acutely-ill patients could tolerate short-term immunosuppression for limited transfusions. However, requiring immunosuppression to tolerate repeated pRBC xenotransfusions would effectively,

and unacceptably, substitute the morbidities of chronic hematologic conditions (and blood transfusions) for those of lifelong immunosuppression. Moreover, there would be considerable contraindications in some chronically- or critically-ill patients requiring immunocompetence (i.e. cancers, HIV, etc.), or those at risk for microbial infection (i.e. requiring parenteral nutrition, indwelling catheters, or requiring mechanical ventilation, etc.).

Without exogenous immunosuppressive therapy, sensitization to pRBCs (or contaminating WBCs) is likely to develop, but may not be inevitable. (i) Many human sera do not have natural antibodies that bind TKO pRBCs, suggesting that there are no additional glycan or protein targets on pRBCs for human natural antibodies. The absence of expression of pig glycans on pRBCs will abrogate the development of elicited antibodies to these antigens. (ii) The absence of expression of SLA on pRBCs will negate the development of elicited antibodies to these antigens. (iii) The absence of Gal expression alone [153] and the expression of a human complement-regulatory protein [115] have both been demonstrated to reduce the T cell response to pig cells, thus reducing the risk of the development of elicited antibodies. The proliferative T cell response to TKO pig cells has not yet been tested, but may be reduced further.

Nevertheless, some humans demonstrate low levels of antibody binding to TKO pRBCs, and these pRBCs almost certainly express low levels of hitherto-unidentified glycan or protein antigens to which those subjects (and maybe others) may develop elicited antibodies. One approach to this potential problem might be to develop transgenic, 'enzymatically-converted' group O red blood cells, or use polymer derivatives to 'mask' any unknown pig antigens [154-157].

Several other genetic modifications could be considered, such as transgenic expression on pRBCs of the immunosuppressive agent, CTLA4-Ig, which would provide local suppression of T cell activation [128,129]. If monitoring indicates that there is a significant inflammatory response to the pRBCs (which would, in turn, augment the adaptive immune response), then pigs could be engineered to express one or more human 'anti-inflammatory' transgenes/ proteins (e.g., hemeoxygenase-1 or A20) [158-161]. There are several genetic-engineering techniques to reduce or delete expression of SLA [131-133], but, as SLA is not expressed on pRBCs, these approaches will not help.

Recently, in a rat model, autologous mesenchymal stromal cells (and even allogeneic MSCs to some extent) were demonstrated to prevent transfusion-elicited sensitization (even when transfused several days *after* the blood transfusion), and so, in the future, this form of therapy might become another possible method of preventing xenosensitization [162].

#### Future Considerations

Prior to considering clinical trials of pRBC transfusion, the pathobiologic barriers must be better understood and overcome through both *in vitro* and *in vivo* investigations. We must characterize the survival of pRBCs after xenotransfusion in NHPs, and determine to what extent pRBC loss is related to antibody binding, complement activation, phagocytosis,

and/or the adaptive immune response. Significant advances in genetic engineering can be applied to pRBCs that may overcome or diminish these barriers. Importantly, for the majority of cases, this must be done in the absence of exogenous immunosuppressive therapy.

As sensitization to pig antigens does not appear to be detrimental to the outcome of a subsequent allograft, pRBC transfusions could be followed successfully by a transfusion of ABO-compatible human RBCs [163,164]. This would allow a pRBC transfusion to be employed in an emergency before human blood becomes available. It is not known whether sensitization to human RBCs (as opposed to sensitization to HLA) results in sensitization to pRBCs, though this seems unlikely.

We anticipate that, within the next decade, pRBCs will prove to be satisfactory alternatives for human RBCs in clinical transfusion for acute blood loss and for conditions necessitating frequent transfusions, such as sickle cell disease. In these latter patients, if they are highly sensitized to human RBCs (a potentially life-threatening situation), then consideration could even be given to pRBC transfusion under exogenous immunosuppressive therapy.

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## Abbreviations

Gal	galactosea1,3-galactose
GTKO	a1,3-galactosyltransferase gene-knockout
HLA	human leukocyte antigens
Neu5Gc	N-glycolylneuraminic acid
NHP	nonhuman primate
pRBCs	pig red blood cells
SIRP-a	signal-regulatory protein-a
SLA	swine leukocyte antigens
тко	triple-knockout (i.e., pigs that express none of the three known pig antigens against which humans have natural antibodies)
WT	wild-type

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#### **Practice Points**

- Early success in xenotransplantation has demonstrated survival of tissue and whole-organs for months to years. These principles can be applied to pig RBC xenotransfusion.
- Triple-knockout source pigs (lacking Gal, Neu5Gc, and Sd<sup>a</sup>) drastically reduce xenoantigen expression on pig cells, and provides a foundation for xenotransfusion.
- Sensitization to pig antigens does not appear to be detrimental to the outcome of subsequent human ABO-compatible RBC transfusion.
- Significant advances in genetic engineering may be applied to pig RBCs, which could overcome or diminish remaining barriers to xenotransfusion, including complement-mediated rejection and phagocytosis.

#### **Research Agenda**

- Future investigations (prior to clinical trials) must characterize (1) the survival of porcine RBCs after xenotransfusion in NHPs, and (2) determine to what extent porcine RBC loss is related to antibody binding, complement activation, phagocytosis, and/or the adaptive immune response.
- Novel methods to introduce human transgenes into pig RBCs (which do not have nuclei) will need to be developed before clinical trials can be initiated.



Figure 1: Human serum complement-dependent cytoxicity (CDC) of ABO-compatible human RBCs (ABO-C), ABO-incompatible human RBCs (ABO-I), wild-type pRBCs (WT), and GTKO pRBCs (GTKO)

Human sera (50%) of blood types O (n=10), A (n=9), B (n=8), and AB (n=4) were tested for CDC of human ABO-C, human ABO-I, pig WT, and pig GTKO RBCs. There was significantly greater lysis of WT than of ABO-I and GTKO RBCs (p<0.01). ABO-I RBCs sustained significantly greater lysis than of GTKO RBCs (p<0.01), but there was significantly greater lysis of GTKO than of ABO-C RBCs (\*\*p<0.01). (Reproduced with permission from reference [52])



#### Figure 2: Phagocytosis of pRBCs is increased in GTKO-sensitized baboons

When GTKO-sensitized baboon serum (gray) was added to human and pig RBCs, there was significantly increased phagocytosis of WT and GTKO pRBCs, but decreased phagocytosis of human AB RBCs. When pooled human O serum (white) was added, human ABO-incompatible (AB) RBCs underwent greater phagocytosis than pRBCs. The small increase in phagocytosis of human group O RBCs likely reflects binding of baboon anti-human antibodies to the RBCs. \*P<0.05, \*\*P<0.01. (Modified with permission from reference [52])



#### Figure 3: Structures of human ABO and pig Gal glycans

Pig RBCs express Gal epitopes on oligosaccharides that are similar in structure to the human blood type B oligosaccharide, except for the fucose side-arm. (Reproduced with permission from reference [45])



# Figure 4: Flow cytometric comparison of human IgM and IgG antibody binding to pig and human $\mbox{RBCs}$

Human IgM (left) and IgG (right) binding to WT, GTKO, GTKO/βGalNT2-KO (DKO), and GTKO/βGalNT2-KO/CMAHKO (TKO) pig RBCs and to human RBCs. The significant differences in human IgM and IgG binding to the various RBCs are indicated (\*p<0.05, \*\*p<0.01; ‡<0.05). There was no IgM/IgG binding to TKO pig or human RBCs (ns = not significant). (A relative Mean Fluorescence Intensity [MFI] <1 indicates no significant binding of IgM or IgG).



Figure 5: Flow cytometric comparison of human IgG and IgM antibody binding to pig and human  $\mbox{RBCs}$ 

Human sera (n=83) were incubated with RBCs isolated from WT pigs (W), or from pigs lacking Gal (i.e., GTKO) and Neu5Gc (i.e., CMAH-KO) (double-knockout, D) or lacking Gal, Neu5Gc, and Sd<sup>a</sup> (i.e.,  $\beta$ 4GalNT2-KO) (triple-knockout, T). These sera were also mixed with human (allogeneic) RBCs (H) expressing blood group O. Panels A and B show a summary of IgG and IgM binding to various RBCs, respectively. The data represent median fluorescent intensity (MFI). Panel C summarizes the number of samples from panels A and B where the indicated pRBC MFI is less than the MFI for the human blood group O RBCs. (Reproduced with permission from reference [67])



# Figure 6: Protection from serum cytotoxicity provided by transgenic expression of human complement-regulatory proteins on nonactivated (left) and TNF-a-activated (right) pig corneal endothelial cells

Before activation, there was no serum cytotoxicity to GTKO, GTKO/CD46, or GTKO/

CD46/CD55 pig cells. After activation, serum cytotoxicity was significantly increased, but the expression of two human complement-regulatory proteins (CD46 and CD55) almost completely prevented cytotoxicity.



**Figure 7: Potential novel techniques for transgenic expression in pRBCs lacking nuclei** (A) CRISPR/Cas9 could be used to cleave genomic regions of GGTA1 (i.e., Gal) at sites flanking exons that contain the open reading frame (ORF). A replacement construct containing the ORF of CD47 flanked by GGTA1 sequences could be simultaneously introduced to facilitate the replacement of GGTA1 protein coding sequences with CD47. (B) The identical approach to that used in panel A could be repeated to replace codons encoding the CMAH gene with the CD55 ORF.

Table 1:	
Pig RBCs share a number of common characteristics with human RB	Cs*

	<u>Pig</u>	<u>Human</u>
Blood groups	27	30
Hematocrit	38-50%	35-45%
Hemoglobin	6-18 g/100ml	12-18 g/100ml
Isotonicity	0.85% NaCl	0.9% NaCl
Lifespan	86 days	120 days
RBC count	5.7-6.9 million/µl	4.2-6.2 million/µl
RBC diameter	4-8 μm	6-8 µm
RBC volume	56-95 ml/kg	65-75 ml/kg

\* Data from references [28-31]

		Table 2:
Immunological barriers	to pRBC	xenotransfusion

Barrier	References	Attempts to overcome barrier	References
Xenoantigenic barriers:Gal, Neu5Gc, Sd <sup>a</sup>	Gal: Galii 1988 [53] Cooper 1992 [54] Good 1992 [55] Doucet 2004 [56] Neu5Gc: Asaoka 1994 [57] Bouhours 1996 [58] Zhu 2002 [59] Padler-Karavani 2011 [60] Sd <sup>a</sup> : Byrne 2014 [61] Byrne 2018 [62] Zhao 2018 [63]	Development of triple-knock out (TKO) pigs	Phelps 2003 [49] Kolber-Simonds 2004 [50] Long 2009 [52] Estrada 2015 [64] Butler 2016 [65] Lee 2016 [66] Gao 2017 [31] Wang 2017 [67]
Complement-mediated lysis	CD55 Atkinson 1991 [68] Dalmasso 1991 [69] White 1995 [70] Morgan 2005 [71] CD46 Lublin 1988 [72] Perez de la Lastra 1991 [73] Thorley 1997 [74] CD59 Sugita 1988 [75] Rollins 1991 [76]	Transgenic expression of human complement regulatory proteins, CD46 and CD55	Cozzi 1994 [77] Fodor 1994 [78] Langford 1994 [79] McCurry 1995 [80] Diamond 1996 [81] Diamond 2001 [82] Schuurman 2002 [83] Loveland 2004 [84] Hara 2008 [85] Long 2009 [52] van der Windt 2009 [86] McGregor 2012 [87] Burdorf 2014 [88] Butler 2016 [65] Lee 2016 [66]
Macrophage phagocytosis	Qian 1999 [89] Leonard 2000 [90] Ide 2005 [91] Long 2009 [52]	Transgenic expression of human CD47	Ide 2007 [92] Yang 2010 [93] Navarro-Alvarez 2011 [94] Maeda 2013 [95] Tena 2014 [96] Tena 2017 [97]
Natural killer (NK) cells	Inverardi 1997 [98] Baumann 2004 [99] Kennett 2010 [100]	Transgenic expression of HLA-G and/or HLA-E and/or Cw3	Dorling 2000 [101] Matsunami 2001 [102] Forte 2005 [103] Crew 2007 [104] Weiss 2009 [105] Maeda 2013 [95] Esquivel 2015 [107]
T-cell-mediated immune response	Gill 1994 [107] Rollins 1994 [108] Elwood 1998 [109] Yamada 2005 [110] Davila 2006 [111] Koshika 2011 [112] Ezzelarab 2014 [113] Griesemer 2014 [114] Ezzelarab 2015 [115]	CD154 and CD40 blockade-based immunosuppressive therapy Transgenic expression of CTLA4-Ig CIITA-knockdown SLA class 1-KO	Buhler 2000 [116] Kuwaki 2004 [117] Tseng 2005 [118] Ezzelarab 2012 [119] Mohiuddin 2014 [120] Iwase 2015 [121, 122] Mohiuddin 2016 [123] Bottino 2017 [124] Iwase 2017 [125] Kim 2017 [126] Shin 2018 [127] Martin 2005 [128] Phelps 2009 [129] Bottino 2014 [130] Hara 2013 [131] Iwase 2015 [132] Reyes 2014 [133]

Table 3:
Carbohydrates known to be expressed on pRBCs against which humans have natural
(preformed) antibodies

Carbohydrate	Enzyme	References
Gal (Galactose-α1,3-galactose)	GT ( <u>a1,3-galactosyltransferase</u> )	Cooper 1992 [54] Good 1992 [55] Phelps 2003 [49] Rouhani 2004 [51] Long 2009 [52]
Neu5Gc ( <i>N</i> -glycolylneuraminic acid)	CMAH (cytidine monophosphate-N-acetylneuraminic acid hydroxylase)	Zhu 2002 [59] Padler-Karavani 2011 [60] Burlak 2014 [141] Wang 2014 [142] Lee 2016 [66]
Sd <sup>a</sup>	$\beta$ 4GalNT2 ( $\beta$ 1,4N-acetylgalactosaminyltransferase)	Byrne 2014 [61] Estrada 2015 [64] Byrne 2018 [62] Zhao 2018 [63]