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# PD-L1 methylation regulates PD-L1 expression and is associated with melanoma survival

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### SUMMARY

The aim of this study is to determine the significance of programmed death-ligand 1 (PD-L1 or CD274) methylation in relation to PD-L1 expression and survival in melanoma. Despite the clinical importance of therapies targeting the PD-1/PD-L1 immune checkpoint in melanoma, factors regulating PD-L1 expression, including epigenetic mechanisms, are not completely understood. In this study, we examined PD-L1 promoter methylation in relation to PD-L1 expression and overall survival in melanoma patients. Our results suggest that DNA methylation regulates PD-L1 expression in melanoma, and we identify the key methylated CpG loci in the PD-L1 promoter, establish PD-L1 methylation as an independent survival prognostic factor, provide proof-of-concept for altering PD-L1 expression by hypomethylating agents, and uncover that PD-L1 methylation is associated with an interferon-signaling transcriptional phenotype. Based on our findings, measuring and altering PD-L1 promoter DNA methylation may have potential prognostic and therapeutic applications in melanoma.

## MAIN TEXT

Despite recent breakthroughs in immune checkpoint therapy (Hodi et al., 2010; Larkin et al., 2015; Robert et al., 2015), treatment of metastatic melanoma remains a clinical challenge (Gershenwald et al., 2017). Immune checkpoint blockade therapies have demonstrated promising efficacy, inducing high rates of anti-melanoma immune response (Wolchok et al., 2017), and even robust complete responses in some cases (Schadendorf et al., 2015; Wolchok et al., 2013). However, most patients do not experience a durable response through primary or acquired resistance mechanisms which are incompletely understood (Larkin et al., 2015; Sharma, Hu-Lieskovan, Wargo, & Ribas, 2017). Understanding the mechanisms affecting treatment response, identifying patients most likely to benefit from anti-PD1/PD-L1 therapy, and developing treatments that could potentially expand the efficacy of immune checkpoint therapies to current non-responders remain a significant challenge.

Corresponding authors: Goran Micevic, Yale Department of Dermatology, 333 Cedar St, LCI 501, PO Box 208059, New Haven CT, 06520-8059, Telephone: (515) 203 – 9079, Fax: (203) 785-7637, goran.micevic@yale.edu. Marcus W. Bosenberg, MD, PhD, 333 Cedar Street, PO Box 208059, New Haven, CT 06520-8059, TEL: 203.737.3484, FAX: 203.785.6869, marcus.bosenberg@yale.edu. CONFLICT OF INTEREST

MB serves as a consultant for Eli Lilly and Company. Other authors state no conflict of interest.

Expression of PD-L1 in treatment naïve melanoma tumor biopsies has been associated with treatment response to anti-PD-1, longer progression-free survival, and longer overall survival (Daud et al., 2016; Tumeh et al., 2014). Despite the common clinical use of PD-L1 expression as a marker of potential response to anti-PD1 therapy (Liu, Wang, & Bindeman, 2017), factors regulating PD-L1 expression are incompletely understood. DNA methylation is an epigenetic modification that plays important roles in regulating gene expression, tumor suppressor silencing, genomic stability, and is commonly dysregulated in melanoma (Micevic, Theodosakis, & Bosenberg, 2017). DNA methylation has been reported to regulate expression of PD-L1 in other malignancies, including acute myeloid leukemia (Goltz, Gevensleben, Grunen, et al., 2017), head and neck squamous cell carcinoma (Goltz, Gevensleben, Dietrich, Schroeck, et al., 2017), myelodysplasias (Yang et al. Myelodysplasias 2004), and colorectal cancer (Goltz, Gevensleben, Dietrich, & Dietrich, 2017), but has not been investigated in melanoma. The aim of this study is to determine whether DNA methylation of the programmed death-ligand 1 (PD-L1 or CD274) promoter occurs in melanoma and whether it is associated with PD-L1 expression and overall survival of melanoma patients.

We analyzed the variation in DNA methylation at all CpG probes (cg15837913, cg02823866, cg14305799, cg1347877, and cg19724470) associated with the PD-L1 promoter across the melanoma patient cohort (Fig. 1A, n=473) from The Cancer Genome Atlas (TCGA). Two CpG loci, cg15837913 and cg19724470, exhibited a large range of methylation across the cohort (Fig. 1A), suggesting a potentially functional role for DNA methylation at these loci. We next analyzed the association of DNA methylation at each of the five CpG loci with PD-L1 mRNA expression (Fig. 1B-F). We found that methylation at cg15837913 (Fig. 1B, p<0.0001) and cg19724470 (Fig. 1F, p<0.0001) significantly negatively correlated with PD-L1 expression. The strongest relationship was seen between methylation of CpG locus cg19724470 and PD-L1 gene expression (Pearson's r=–0.54, p=2.2E-16). The remaining CpG loci had a modest range of methylation in the patient cohort (Fig. 1C, 1D and 1E).

To investigate whether PD-L1 methylation differs between melanoma and normal skin, we analyzed PD-L1 methylation levels in melanoma (n=376) and normal skin samples (n=14). We found that PD-L1 was significantly hypermethylated in melanoma samples compared to normal tissue (Fig. 2A, p<0.005). Based on DNA methylation of promoter CpG elements cg15837913 and cg19724470, we hypothesized that treating melanoma cells with the hypomethylating agent 5-azacytidine would lead to transcriptional de-repression and increased PD-L1 expression. We measured PD-L1 mRNA levels by qPCR upon treating human metastatic melanoma cell lines (YUGEN8, YUSIT1 and YUSAC2, courtesy of Yale SPORE) with 5-azacytidine (in DMSO) for 72 hours and found a significant increase in PD-L1 mRNA expression relative to control treatment (DMSO) in each of the cell lines tested (Figure 2B, p<0.001).

We next investigated whether PD-L1 methylation is associated with survival in melanoma. We stratified the overall survival of melanoma patients from The Cancer Genome Atlas (TCGA) based on PD-L1 methylation into a mPD-L1 low (hypomethylated) and mPD-L1 high (hypermethylated) cohort. Clinical characteristics of the cohort are shown in Table S1.

Kaplan-Meier analysis demonstrated that hypomethylation of PD-L1 was associated with significantly longer overall survival in melanoma patients of all stages (Figure 2C, p<0.0005), as well as in a subset analysis of Stage III patients only (Figure 2D, p<0.05).

We further investigated whether PD-L1 methylation is an independent prognostic factor of melanoma overall survival. In the univariate Cox-proportional hazard analysis, PD-L1 methylation was significantly associated with overall survival (data not shown). In a multivariate analysis, using age, gender, ulceration status and Breslow thickness as co-variates, PD-L1 methylation remained significantly associated with melanoma overall survival (Figure 2E, p=0.0009).

To define the transcriptome-wide phenotype of PD-L1 hypomethylated tumors (associated with longer survival), we performed a genome-wide differential expression analysis of 20,530 genes between the PD-L1 hypomethylated (n=108) and PD-L1 hypermethylated (n=108) tumor cohorts (Figure 3A). The rank list of all differentially expressed genes in the comparison are in Supplementary Table S2. Among the top differentially expressed genes, many have known immunologic functions, such as PDCD1 (PD-1), IFNG, CD8A, CD8B, TIGIT, TAP1, GZMB, CD3D, IRF1. CD274 (PD-L1) was ranked as the most differentially expressed gene in the PD-L1 hypomethylated cohort, consistent with the strong association between PD-L1 methylation and expression we observed earlier (Figure 1B and 1F). To investigate potentially overrepresented pathways, we performed gene set enrichment analysis (GSEA) (Subramanian et al., 2005). GSEA of the PD-L1 hypomethylated cohort (Figure 3B top) revealed that the most enriched pathways were allograft rejection (Figure 3C), interferon gamma response (Figure 3D), interferon alpha response (Figure 3E), inflammatory response, JAK/STAT signaling, TNF-a signaling, and apoptosis (Table S3). In the PD-L1 hypermethylated cohort, the only significantly enriched gene set was Myc targets (Figure 3B bottom). These findings suggest that hypomethylation of PD-L1 is associated with a transcriptomic phenotype of immune activation and expression of interferon signaling pathway genes.

Altogether, our results suggest that hypomethylation of PD-L1 is an independent prognostic factor for better overall survival in melanoma and implicate PD-L1 methylation as a potential marker of an immune transcriptional phenotype, setting the stage for future investigations in larger prospective trials.

#### DISCUSSION

In this study, we examined the significance of PD-L1 methylation in relation to PD-L1 expression, and overall survival in melanoma. Hypermethylation of PD-L1 is associated with poorer survival in several tumor types (Gevensleben, Dietrich, et al., 2016). In colorectal cancer, hypermethylation of PD-L1 is associated with shorter overall survival, recurrence-free survival, and is an independent prognostic factor of overall survival (Goltz, Gevensleben, Dietrich, & Dietrich, 2017). Similarly, in prostate cancer, PD-L1 methylation is a prognostic factor of biochemical recurrence (Gevensleben, Holmes, et al., 2016). PD-L1 promoter methylation was reported to be associated with transcriptional repression in the setting of HPV infection in head and neck squamous cell carcinoma (Franzen et al., 2018).

In non-small cell lung cancer, expression of PD-L1 is regulated by DNA methylation in response to TGF-ß or NF-kB signaling (Asgarova et al., 2018). However, despite the prominent role of anti PD-1 therapies in melanoma, no studies have yet investigated PD-L1 methylation in melanoma. We found that hypermethylation of PD-L1 in melanoma is associated with decreased PD-L1 expression and shorter patient overall survival, in consensus with studies from other tumor types. We identified the key CpG loci regulating PD-L1 expression, and demonstrated that PD-L1 expression can be altered by using DNA hypomethylating agents in human melanoma. Furthermore, we uncovered that PD-L1 methylation is a significant independent prognostic factor of overall survival. Interestingly, transcriptome analysis of PD-L1 hypomethylated tumor samples uncovered that PD-L1 methylation status is associated with a transcriptional phenotype enriched for interferon signaling, and other immunologic pathways, in contrast to PD-L1 hypermethylated tumors. Why PD-L1 is hypermethylated in some melanomas and portends a poor survival is currently unknown. Others have reported the existence of methylation clusters and a "methylator phenotype" in melanoma that are associated with poorer overall survival (Cancer Genome Atlas, 2015; Lauss et al., 2015). Importantly, it remains to be investigated whether PD-L1 methylation is an appropriate marker of an aberrant methylation program that also silences interferon signaling. If such a methylation cluster exists, there may be therapeutic benefit in combining immune checkpoint therapies with hypomethylating agents. Pre-clinical evidence suggests that hypomethylating agents in combination with immunecheckpoint therapy can induce a double-stranded RNA response and improve efficacy (Chiappinelli et al., 2017). Several clinical trials are currently investigating combination therapy in melanoma (reviewed in (Micevic et al., 2017). This report sets the stage for future investigations measuring and targeting PD-L1 methylation, which may have prognostic and therapeutic applications in melanoma.

#### MATERIALS AND METHODS

#### Cell culture

Melanoma cell lines YUSIT1, YUGEN8 and YUSAC2 were obtained from the Yale SPORE, are part of the Yale University melanoma cell line collection, and have been described previously (Halaban et al., 1997; Halaban et al., 2009; Theodosakis et al., 2015). They were originally isolated from melanoma specimens collected from adult donors with participants' informed consent according to Health Insurance Portability and Accountability Act regulations with the Human Investigative Committee protocol at Yale University. Human melanoma cell lines were grown at 37°C and 5% CO<sub>2</sub>, in Opti-MEM (GIBCO, Grand Island, New York, USA) media supplemented with heat-inactivated fetal bovine serum (Sigma-Aldrich) and penicillin–streptomycin (GIBCO), as described previously (Micevic et al., 2016). For 5-aza-2-deoxycytidine (5-aza-dC) treatment, growth medium was supplemented with 10 µmol/1 5-aza-dC (Sigma-Aldrich) every 24 h over a 72 h period, or as otherwise specific in figure.

#### Statistical analysis

Statistical analyses were performed using paired and unpaired two-tailed Student's t-test, Mann-Whitney U-test and Log-rank (Mantel-Cox) test in GraphPad Prism using a

significance cut-off \*p<0.05. GSEA was performed using publicly available software from the Broad Institute. All experiments were conducted in triplicate (three technical replicates). The statistical significance of all variables examined was reached when the two-sided Student's t-test reached P-value less than 0.05. For multiple comparison adjustment, Benjamini-Hochberg and FDR q-values were used for correction.

#### PD-L1 RT-PCR

1  $\mu$ g of total RNA was converted to cDNA using the FirstStrand kit (Roche) with oligo-dT primers per manufacturer's instructions. RT-PCR was carried using a StepOne Plus thermal cycler (Applied Biosystems) for SYBR green-based quantification. DNA melting profiles were observed to ensure single products for each target gene. Standard curves were also created for each target by serial dilution of a reference sample. Quantification was determined using the Ct method for comparison to a GAPDH control. RT-PCR primers used: 5'-ATATAAAATAAATAAATAATCATTCTTATACG-3' and 5'

CGTTTAGGGATTTTGGATTTGGTTTAGC-3<sup>'</sup>. The assays were performed using the TaqMan® Universal Master Mix II on a StepOne (AppliedBiosystems) thermal cycler according to manufacturer's instructions.

#### **TCGA** data analysis

Methylation beta values were obtained from the TCGA SKCM DNA Methylation (Methylation450k) dataset using the UCSC Cancer Genomics Browser. Melanoma patients for which DNA methylation and survival information were available were divided by PD-L1 methylation level into the top quartile - high methylation and bottom quartile - low methylation group. Full cohort is information available in Table S1. Groups were compared using the Mantel-Cox test. PD-L1 expression values and patient clinical information were obtained from the TCGA SKCM Gene Expression (IlluminaHiSeq) dataset. Kaplan-Meier plots were constructed using GraphPad Prism version 6.00

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

#### ACKNOWLEDGEMENTS

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#### SIGNIFICANCE

This is the first report of PD-L1 methylation as a regulator of PD-L1 expression and as an independent predictor of overall survival in melanoma. PD-L1 methylation has been investigated in other tumor types, where hypermethylation is associated with a poor prognosis. However, despite the important clinical role of PD-1/PD-L1 checkpoint inhibition in melanoma, the role of PD-L1 methylation is currently unclear. We identify the key CpG loci affecting PD-L1 expression in melanoma, and uncover that PD-L1 methylation is part of a wider transcriptional phenotype of immune activation. Our findings implicate PD-L1 methylation as a potential biomarker in melanoma, and set the stage for future investigations measuring and targeting PD-L1 methylation.

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#### Figure 1. PD-L1 methylation is associated with PD-L1 expression.

A) Distribution of methylation  $\beta$ -values (y-axis) across five PD-L1-associated CpG loci (x-axis) in a cohort of 473 melanoma clinical samples from TCGA. **B-F**) Correlation of individual CpG locus methylation and PD-L1 mRNA expression. Gene expression (log 2 RPKM) shown on x-axis, methylation  $\beta$ -value on y-axis. **B**) cg15837913, r = -0.36, p = 3.2E-16. **C**) cg02823866, r = -0.19. **D**) cg14305799, r = -0.15. **E**) cg13474877, r = -0.24. **F**) cg19724470, r = -0.54, p = 2.2E-16. Pearson's r and Wilcoxon rank-sum tests used for statistical analysis, respectively. Median with interquartile range shown.

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E)

Variable	Levels	HR	%95 CI	p value
Age	Continuous	1.03	1.01-1.03	2.22E-05
Gender	Female	0.94	0.66-1.33	0.75
	Male	NA	NA	NA
Breslow depth	Continuous	1.02	1.00-1.03	0.01
Stage	I	0.86	0.35-2.13	0.75
	11	0.99	0.40-2.47	0.99
	III	0.84	0.30-2.29	0.73
	IV	4.10	1.28-13.15	0.01
PD-L1				
methylation	Continuous	22.2	3.51-139.9	0.0009

#### Figure 2. PD-L1 methylation is an independent predictor of melanoma survival.

A) PD-L1 is hypermethylated in melanoma (red) compared to control normal skin (green) (\*\*p<0.005, Student's t-test). B) Treatment of human metastatic melanoma cell lines YUGEN8 (shown in red), YUSIT1(blue) and YUSAC2 (green) in vitro with hypomethylating agent 5-azacitidine leads to increased PD-L1 expression (\*\*p < 0.005, Student's t-test, three technical replicates per experiment). Metastatic melanoma cell lines were obtained from the Yale SPORE, further described in Materials and Methods. C) Survival analysis of melanoma patients (TCGA) stratified by methylation of PD-L1. Cohort was split into high PD-L1 promoter methylation (top quartile, red) versus low PD-L1 promoter methylation (bottom quartile, blue). D) Survival analysis of Stage III melanoma patients only (\*p < 0.05, \*\*\*p<0.001, Log rank test). E) Summary of Cox-proportional hazard multivariable analysis. PD-L1 methylation is associated with increased risk of death (HR =22.1, 95% CI = 3.51–139.9) in melanoma patients from TCGA cohort. Age, Breslow

depth and stage IV disease were also significant predictors of survival (n= 334, number of events= 159). Relapse-free survival (not shown) HR=14.92 (95% CI 1.2–185, n=258, number of events= 72).

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Figure 3. PD-L1 methylation is a marker of a transcriptomic phenotype enriched for interferon signaling.

A) Differential transcriptomic analysis between the PD-L1 hypermethylated cohort (n=108, top quartile by methylation) versus the PD-L1 hypomethylated cohort (n=108, bottom quartile by methylation) comparing the expression of 20,530 genes from RNA-seq data. Complete results are available in Supplementary Table S3. B) **Top:** Gene set enrichment analysis (GSEA) of the PD-L1 hypomethylated transcriptional phenotype uncovered many immunologic pathways, such as interferon signaling. Significantly enriched gene sets are shown in bold. Complete results are available in Supplementary Table S3. **Bottom:** GSEA of the PD-L1 hypermethylated transcriptional phenotype was significant only for targets of Myc. Complete results are available in Supplementary Table S3. C) Allograft rejection was the most significantly over-represented gene set in the PD-L1 hypomethylated cohort, with an absolute enrichment score of 2.59, and multiple comparison adjusted p-value of 0. D) Interferon gamma and E) Interferon alpha response genes were also enriched in the PD-L1 hypomethylated cohort, with enrichment score of 2.53 and 2.42, respectively and adjusted p-values of 0.