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## **Induced pluripotent stem cells for neural drug discovery**

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## **Abstract**

Neurological diseases such as Alzheimer's disease and Parkinson's disease are growing problems, as average life expectancy is increasing globally. Drug discovery for neurological disease remains a major challenge. Poor understanding of disease pathophysiology and incomplete representation of human disease in animal models hinder therapeutic drug development. Recent advances with induced pluripotent stem cells (iPSCs) have enabled modeling of human diseases with patientderived neural cells. Utilizing iPSC-derived neurons advances compound screening and evaluation of drug efficacy. These cells have the genetic backgrounds of patients that more precisely model disease-specific pathophysiology and phenotypes. Neural cells derived from iPSCs can be produced in a large quantity. Therefore, application of iPSC-derived human neurons is a new direction for neuronal drug discovery.

## **Teaser:**

Patient-derived induced pluripotent stem cells are a useful model system for neural drug discovery.

#### **Keywords**

Induced pluripotent stem cells; iPSC models; neuronal diseases; cell-based disease models; drug discovery and development

## **Introduction**

Globally, the increasing number of patients suffering from neurological and neuropsychiatric diseases is costing the healthcare industry billions of dollars. Affecting millions of

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individuals worldwide, these diseases present a massive economic, emotional and physical burden to individuals, their families and society [1]. Accordingly, the global demand for effective therapeutics against neuronal disorders has dramatically increased. Discovering ways to meet this need is a monumental challenge to 21st century medicine. Despite significant investments, there are still no cures or effective disease-modifying therapies for most neuronal diseases including Alzheimer's disease (AD) and Parkinson's disease (PD). The available therapies only help manage some symptoms of these disorders. To date, no treatments identified can halt or prevent their progression.

For drug development, animal disease models have crucial roles in the stages of lead discovery and preclinical development. Animal models are beneficial tools to identify the etiologies underlying human diseases and targets for drug development as well as for evaluating drug efficacy prior to conducting clinical trials. However, species differences could result in incomplete representation or misrepresentation of human diseases, especially when small animals are used for neuronal disease modeling [3]. Although many transgenic mouse models have been created, none has captured the full spectrum of human disease pathology [4]; and large animal models have their own limitations such as higher costs, longer experimental times and ethical constraints. Large animal models still retain the problematic characteristic of dissimilarity to humans [5]. Additionally, positive efficacy results observed in preclinical animal models are often not able to be reproduced in clinical trials [6]. Thus, there is an essential need to generate alternative human disease models for drug development that will be further discussed below.

Human induced pluripotent stem cell (iPSC) technology has opened a new path for disease modeling and drug discovery because patient-derived iPSCs and their derivative cells represent a more relevant disease system in the appropriate setting. Patient-derived iPSC models are more suitable for phenotypic-based drug discovery because they share the same genetic background with patients and can have the same disease phenotypes [7]. Differentiation of iPSCs into many cell types, including different types of neurons, enables disease modeling. These differentiated cells can be used to develop disease-relevant assays for drug screening. Thus, patient-derived iPSCs offer a new way to model and study sporadic (arising or occurring randomly without known cause as somatic mutations) diseases in addition to genetic diseases. Many neuronal disorders occur sporadically including in AD where 95% of patients show sporadic onset [8,9]. Although iPSCs can be used extensively in drug discovery and cell therapy without ethical constraints, there are still limitations such as incomplete cellular reprogramming and the genetic and epigenetic changes that can occur with prolonged culturing of iPSCs [10,11]. CRISPR- or TALEN-based DNA recombinant technology could be used as a beneficial and complementary tool for confirmation, because they enable the minimization of genetic variability. However, a major challenge in CRISPR– Cas9 technology is the possibility of off-target effects [3]. Another concern with using iPSCs is the lack of environmental factors in vitro that play an important part in neuropsychiatric diseases such as depression and anxiety [12]. Underrepresentation of iPSCderived neurons for different developmental stages of the fetal brain could also be a disadvantage [13]. Nevertheless, the application of iPSCs in modeling neuronal diseases is an important alternative to animal disease models for drug discovery and development. The continuous development of iPSC technologies will help to overcome these shortcomings and

improve the representation of human diseases using iPSC-derived models. In this review, we provide a brief overview of the applications of iPSC-derived neuronal disease models in drug discovery for neurodegenerative and neuropsychiatric diseases, as well as perspectives and highlights of emerging opportunities.

## **Neural iPSC-based models**

#### **iPSC generation**

iPSCs can be generated from patient cell samples such as dermal fibroblasts, peripheral blood, urine, hair follicles and keratinocytes [3,14] (Figure 1). Several gene-delivery methods have been reported for generating iPSC lines: single cassette reprogramming vectors, reprogramming by nonintegrating viruses, nonviral reprogramming methods (mRNA transfection) and minicircle vectors [3,15]. However, Sendai virus technology and episomal plasmid vectors are integration free and most commonly used for efficient generation of iPSCs [15]. The Sendai-virus-based method has high efficiency for iPSC generation from patient samples [16]. iPSCs are easily proliferated and can be differentiated into many cell types.

#### **Neural stem cells and neuronal progenitor cells**

Neural stem cells (NSCs) and neuronal progenitor cells (NPCs) can be quickly generated from iPSCs that are self-renewable. These cells can be produced in large quantities with high reproducibility. Depending on the disease types, NSCs and NPCs can have the relevant disease phenotypes that can be used as disease models for compound screening and efficacy tests [17]. NPCs have also been used as disease models for compound screening [6].

#### **Neurons**

Neuronal cells can be differentiated from the NSCs and NPCs or directly differentiated from iPSCs [18]. We have generated general neurons differentiated from iPSC-derived neural stem cells [17]. These neurons are relatively quick to obtain (usually in 2 weeks), exhibit disease phenotypes and can be used for evaluation of drug efficacy, although their purity and maturity are in question [19]. Finally, iPSCs can also be differentiated to more-specific neuron types, such as cortical neurons [20], glutamatergic neurons [21], GABAergic neurons [22], serotonergic neurons, dopaminergic neurons [21], motor neurons and sensory neurons [23], as well as astrocytes and oligodendrocytes [24].

#### **Co-culture and neural organoids and minibrains**

To better mimic brain histology and function, co-cultures of neurons with astrocytes and other cells (epithelial and endothelial cells) have been reported [24]. Limitations of dissociated neuronal cultures and the potential importance of cell–cell interactions for some neuronal diseases point the way toward 3D models. 3D neuronal cell culture systems have been reported; these recapitulate many of the cellular aspects of early brain development and permit the study of disease biology in more-complex environments, including cerebral organoids, cortical spheroids or forebrain organoids that mimic the organizational features of the human brain [25]. These 3D approaches have been used to study the disease biology of AD and microcephaly; they have yet to be used to study other neurological disorders. More-

recent studies on familial AD have applied the 3D culture model to generate high-throughput models for drug screening against tau aggregation [26], or to compare efficacy of drug candidates in 2D versus 3D culture systems [27]. Raja et al. reported that brain organoids from familial AD patients recapitulate AD disease phenotypes and pathologies including amyloid aggregation, hyperphosphorylated tau and endosome abnormalities, all of which were reduced by treatment with secretase inhibitors [28].

## **Patient iPSC-based neural disease models**

#### **Alzheimer's disease**

AD is a highly prevalent neurodegenerative disorder involving the progressive loss of neurons in the brain. It has been estimated that by the year 2050 the population suffering from AD will reach ~100 million [29,30]. The majority of patients with AD suffer from sporadic AD (sAD) and ~0.5% of all AD patients suffer from familial AD (fAD) [31]. Reasons for the development of sAD are mostly unknown but some studies suggest that spontaneous germline mutations in APOE might explain some cases. A recent study using human iPSC (hiPSC)-derived neurons to test R33, a compound that stabilizes the retromer, indicated that retromer stabilization is a promising avenue for therapeutic development against sAD [32].

The major causes of fAD are mutations in PS1, PS2 and APP genes [29]. Using fAD patient-derived iPSC lines, the APP cleavage product β-CTF was found to be involved in the regulation of tau pathology [33]. Apigenin, an autophagy inducer, has been evaluated in fAD patient-derived neurons co-cultured with activated murine microglial cells. The treatment resulted in a reversal of morphological deficiencies, a reduction of hyperexcitability and protection against apoptosis [30]. Experiments with another line of iPSC-derived fAD neurons suggest that overactivated BRCA1 could lead to amyloid-β pathology and promote cell cycle reentry-driven cell death [34]. All of the above and following examples can be found in Table 1.

#### **Parkinson's disease**

PD is the second-most-common age-dependent complex neurodegenerative disease and is characterized by selective loss of dopamine neurons in the substantia nigra [36]. PD has been extensively modeled using patient-derived iPSCs [35]. To date, 17 genes with PDcausing mutations have been identified and many of these have been used to generate dopaminergic (DA) neurons from patient iPSCs [37]. Interestingly, many of the disease phenotypes such as increased apoptosis, reduced neurites, impaired autophagy, impaired mitophagy, irregular DA metabolism, mitochondrial deficits and oxidative stress are confirmed in familial and sporadic PD patient iPS-DA neurons [36,38]. Midbrain DA neurons were generated using iPSCs from PD patients with the GBA-N370S mutation that showed increased autophagosome numbers and higher expression of LAMP1, LAMP2 and cathepsin, indicating deficient autophagic flux in these cells [38]. Patient-derived midbrain DA neurons with mutations in the E3 ubiquitin ligase, *Parkin* or *PINK1* showed impaired mitophagy in comparison with the controls [39]. Vanhauwaert et al. used iPSC-derived neurons from PD patients with the R258Q mutation and found defects in the translation of

the PI3P signal into productive autophagosome assembly [40]. A recent iPSC-derived DA neuron study revealed a potential novel link between hsp90 and PHD2-HIF1α through an interaction with the hsp90 co-factor p23, suggesting that dopaminergic p23 inhibition could be considered as a novel therapeutic target for the disorder.

#### **Amyotrophic lateral sclerosis**

Amyotrophic lateral sclerosis (ALS) is a devastating neurodegenerative disease with a population of 223 000 in 2015 across the globe, which features progressive loss of motor neurons (MNs). There is no effective treatment. Several iPSC models have recently been reported [42,43]. Patient iPSC-derived MNs exhibited the specific pathophysiology of ALS subtypes [18]. Sporadic disease represents 90% of ALS patients and iPSCs from these patients have been differentiated into neurons that exhibited cytoplasmic aggregation of TDP-43 [44]. Furthermore, gene expression profiling of sporadic ALS iPSC-derived MNs suggests deficiencies in mitochondrial function [45]. Additionally, familial ALS mutation phenotypes have been recapitulated in iPSC disease models showing characteristic proteinopathies, endoplasmic reticulum stress and oxidative stress [42,46]. Isogenic corrections of known genetic mutations, such as SOD1, successfully rescued the disease phenotypes in these patient cells [46]. In another study, a phenotypic repurposing screen using ALS iPSC-derived motor neurons showed that bosutinib, an autophagy inducer, reduced the amount of misfolded mutant SOD1 protein and attenuated altered expression of mitochondrial genes. Bosutinib also increased survival of ALS iPSC-derived motor neurons from sporadic ALS and familial ALS patients, suggesting that Src/c-Abl could be a potentially useful target for new ALS drug development [43].

#### **Huntington's disease**

Huntington's disease (HD) is an inherited neurodegenerative disorder, primarily affecting GABAergic neurons in the striatum. Around the world, cases of HD are found in 5–10 out of 100 000 people [47,48]. Increased cell death, sensitivity to stressors, glutamate toxicity and reduced sporadic electrical firing have been reported in striatal-like neurons derived from HD patient iPSCs. Interestingly, the severity of several of these phenotypes increased with the number of CAG trinucleotide repeats in the HTT gene. Another study reported that cell death occurred following BDNF withdrawal, as well as increased susceptibility to glutamate toxicity, which could be blocked by NMDA and AMPA receptor inhibitors [49], suggesting novel therapeutic approaches for HD. Several potential HD-related pathways such as MAPK and Wnt have been identified using patient-derived iPSC neurons [50]. Neskarov et al. reported that HD iPSC neurons showed increased numbers of lysosomes and autophagosomes and exhibited increased cell death alongside nuclear indentation [51]. Furthermore, nuclear deficits including nucleoporin aggregation and impaired nucleocytoplasmic transport have recently been demonstrated in HD-patient-derived neurons [47]. One of the most recent studies showed an important role for the peroxisome-activated receptor PPAR-δ in the disease pathophysiology of HD-patient-derived iPSC neurons [52]. Indirect activation of PPAR-γ (another subtype of the PPAR family) by the small-molecule compounds bexarotene or KD3010 significantly rescued impaired oxidative metabolism in HD neurons. Another recent study analyzed proteasome activity and the expression of FOXO transcription factors in HD-iPSC-derived NPCs and neurons, suggesting that FOXOs

modulated proteasome activity and thus represent a potentially valuable therapeutic target for HD [48].

#### **Epilepsy**

Epilepsy is a neurological disorder causing seizures or unusual behavior, sensations and sometimes loss of awareness. Approximately 50 million people worldwide suffer from epilepsy, making it one of the most common neurological diseases globally [53]. Mutations in  $SCN1A$ , which encodes the  $\alpha$ -subunit of Nav1.1, a voltage-gated sodium channel, causes epilepsy with wide ranges of clinical phenotypes known as Dravet syndrome. In a study using iPSC-derived GABAergic neurons, a mutation of Nav 1.1 (c.A5768G) influenced the level of current produced by (and activation of) the channel. Additionally, whole postsynaptic activity was changed from the inhibition-dominated state to the excitationdominated state in patient-derived neuronal networks, a reversal of the excitatory level of spontaneous postsynaptic activity [53]. A recent study tied together the two forms of Rett syndrome: classical and atypical, by showing that the only expression change in patient iPSCs was an upregulation of GRID1, which encodes the glutamate D1 receptor [54]. In neurons differentiated from Timothy syndrome patients, iPSC lines with a mutation in CACNA1C displayed action potential width increase and sustained calcium current; the increase in the action potential width and the sustained calcium current were blocked by the atypical L-type calcium channel blocker nimodipine [55].

#### **Schizophrenia**

Schizophrenia (SZ) is a debilitating neuropsychiatric disorder with a worldwide prevalence of 1%. The symptoms vary in patients including abnormal behavior and speech, hallucinations, delusions and extremely disordered thinking [56]. Although the precise cause of this disease remains unclear, genetics and environmental factors have been linked to the disease. By generating hiPSCs from SZ patients with *DISC1* mutations, a risk factor for SZ, researchers found that the mutant DISC1 gene causes aberrant synaptic formation and synaptic vesicle release deficits in SZ neurons in comparison with the isogenic hiPSCs [57]. The hiPSC-derived NPCs from SZ patients carrying 15q11.2 microdeletions also exhibited defects in adherent junctions and apical polarity [58]. A study of gene expression patterns of the SZ neurons revealed altered expression of genes involved in Wnt signaling, cAMP signaling and glutamate receptors [56]. A gene expression and proteomics study using NPCs found abnormalities in cytoskeletal remodeling and oxidative stress in SZ patient cells [59]. Dopaminergic neurons derived from SZ-iPSCs had a reduced neurite count and dopamine release and also showed delayed maturation [60]. Interestingly, these defective neurons exhibited perturbations in mitochondria, suggesting that the mitochondrial defect could play a key part in the pathogenesis of SZ [61]. In a recent study, treatment with the dopaminergic antagonist loxapine during the final 3 weeks of neuronal differentiation increased neuronal connectivity in SZ-iPSC-derived neurons.

#### **Bipolar disorder**

Bipolar disorder (BD) is a complex neuropsychiatric disorder characterized by intermittent episodes of mania and depression. Without treatment, ~15% of patients can commit suicide. Worldwide, the prevalence of BD is 2.4% [61]. BD-patient-derived neurons showed changes

in expression of genes crucial for neuroplasticity, including Wnt pathway components and ion channel subunits. Interestingly, NPCs generated from BD patients showed impaired neural differentiation and decreased proliferation, both of which were rescued by a selective inhibitor of GSK3β that is a known target of lithium therapy [62]. Of note, BD-patientderived neurons showed altered expression of mitochondrial, calcium-signaling and neuronal excitability genes, and a hyperexcitability phenotype with higher frequency of spontaneous action potentials. Remarkably, a 1-week treatment with lithium partially normalized the changes in mitochondrial gene expression and a hyperexcitability phenotype that only occurred in neurons derived from patients with BD who were responsive to lithium [61], suggesting a role of mitochondrial signaling in BD pathogenesis. Because BD patients often show atrophy in the hippocampus, a study with patient iPSC-derived hippocampal dentate gyrus granule cells revealed significant enhancement of expression in genes of the PKA/PKC signaling pathways, the action potential firing-related sodium and potassium channel subunit and the mitochondrial system. Patch-clamp recordings and calcium ion images of the BD patient neurons exhibited hyperexcitability in these neurons [63].

#### **Autism spectrum disorder**

Autism spectrum disorder (ASD; incidence of  $\tilde{\phantom{a}}$  1% in the population) is a neuropsychiatric condition characterized by atypical development of social communication, and the presence of restrictive interests and repetitive behaviors [64]. Syndromic forms of autism spectrum diseases, such as Rett syndrome (RTT), Fragile X syndrome and Timothy Syndrome, have been modeled with hiPSCs [25]. For instance, hiPSCs generated from RTT patients were able to recapitulate several neurological phenotypes of the disease such as smaller soma size, reduced dendritic spine density, decreased glutamatergic synapse number, lower spontaneous calcium ion transient frequency, abnormal excitatory synaptic transmission and fewer excitatory synapses. A treatment with IGF-1 improved the neuronal growth and synaptogenesis, specifically glutamatergic synapses, in these patient iPSC-derived neurons [64]. hiPSC-derived neurons from patients with Rett-like syndrome with a mutation in CDKL5 [65] also showed a marked deficit in the expression of neuron-specific KCC2 and consequently a delayed GABA functional switch from excitation to inhibition [66], suggesting that rescue of KCC2 function in RTT neurons could serve as a potential therapeutic strategy for RTT.

#### **Depression and anxiety disorders**

Major depressive disorder (MDD) affects ~300 million people worldwide, ~4.5% of the global population [67]. Serotonin uptake modulators are currently the first-line treatment for MDD and anxiety disorders. Dysregulation of GABAergic neurons, reduced GABA receptors in the parahippocampal gyrus and reduction in the number and size of GABAergic neurons in the dorsolateral prefrontal cortex have also been implicated in depression and anxiety [68]. In addition, deficiency of BDNF plays a part in depression, anxiety and other neuropsychiatric illnesses [69] giving it great potential as a therapeutic agent [12,70]. It is conceivable that iPSC-derived cells with inducible BDNF expression might one day be used in a form of cell-based therapy for patients. Human embryonic stem cells and fibroblastderived iPSCs have been used to generate serotonergic neurons that could lead to the discovery of new drug targets and new insights into the pathogenesis of depression [71].

#### **Rare genetic diseases (neurological diseases)**

The iPSC-based disease modeling system has added enormous value to modeling rare neuronal diseases caused by congenital gene mutations [72]. Disease-relevant animal models are usually unavailable for these diseases. For example, the neuronal cells from iPSCs of Niemann–Pick disease type 1C showed a cholesterol accumulation phenotype that is similar to human disease and responded to drug treatments [17]. The potency  $(IC_{50}: \text{half maximal})$ inhibition) of methyl-β-cyclodextrin was 14.3 μM in neuronal cells compared with 60.5 μM in patient-derived fibroblasts, whereas the  $IC_{50}$  value of hydroxypropyl-β-cyclodextrin was 26.3 μM in neuronal cells compared with 1–3 mM in patient fibroblasts [17,73], indicating that neuronal cells are better as a model for evaluation of compound efficacy for the disease. In cystic fibrosis, mutation-specific iPSC lines were generated that were used to establish organoid models for evaluating and predicting drug clinical efficacies [74,75]. This approach has advantages because the appropriate animal models for evaluating drug efficacy to treat cystic fibrosis patients are not available. It is not easy to generate many individual mutationbased animal models to predict human drug efficacy. Another example is the NGLY-1 deficiency that is a rare neurological disease caused by mutations in the NLGY1 gene encoding N-glycanase 1, a hydrolase responsible for removing N-linked glycans from glycoproteins [76]. It has been difficult to generate a mouse model because NGLY1 knockout is lethal [77]. Therefore, generation of patient iPSCs and disease modeling with patient iPSC differentiated neurons will facilitate the study of disease pathophysiology and promote drug development [78].

## **Concluding remarks and future perspectives**

Neural and neuropsychiatric diseases affect large populations globally. With improvement in healthcare, humans no longer succumb to historic causes of death such as infectious disease, accidents and now-easy-to-treat disorders like high blood pressure. Although the improvement in lifespan is welcome, the ensuing higher proportions of neural disorders is a challenge. There is an unmet need for relevant models of pathophysiology for neurological diseases. Major limitations in current neurological research and drug discovery include lack of valid disease models and unavailability of disease-specific human neuronal cells. The iPSC technology offers new opportunities to model human neuronal diseases using diseaserelevant patient cells. In Figure 1 we present a schematic of how actual patients can provide tissues leading to the development of iPSCs, biologically relevant assays and finally drugs to address the unmet need in neural diseases. Although the method for iPSC generation has been well established, the technologies for iPSC-derived neuronal cells still need to be further developed to produce cost effective, matured and reproducible neuronal cells. The disease-relevant cell-based disease models are still to be established, optimized and developed. We believe that the drug development for neurological diseases will advance more quickly in parallel to the development of iPSC-based technologies.

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## **Highlights:**

**•** Neural diseases and neuropsychiatric diseases are growing global problems

- **•** Effective therapies for neurological diseases are still unmet medical needs
- **•** Animal models do not often accurately represent human neurological diseases
- **•** Induced pluripotent stem cells are a good model system for human diseases

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#### **Figure 1.**

Applications of iPSCs in drug discovery and development. Cells easily obtained from actual patients are cultured and dedifferentiated into iPSCs. Next, the iPSCs can be redifferentiated into specific neural cell types and employed in assay development, drug screens, lead development, new drugs and clinical trials, leading to new therapies for neural diseases.

## **Table 1.**

## Examples of iPSC applications in neuronal diseases







a<br>From patient-derived iPSCs

 $b$ <br>Potential drug candidate.

Abbreviations: AD, Alzheimer's disease; ND, not determined; PD, Parkinson's disease;

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