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# Clonidine for sedation and analgesia for neonates receiving mechanical ventilation (Review)

mechanical ventilation (Review)
Romantsik O, Calevo MG, Norman E, Bruschettini M

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[Intervention Review]

## Clonidine for sedation and analgesia for neonates receiving mechanical ventilation

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## **ABSTRACT**

#### Background

Although routine administration of pharmacologic sedation or analgesia during mechanical ventilation in preterm neonates is not recommended, its use in clinical practice remains common. Alpha-2 agonists, mainly clonidine and dexmedetomidine, are used as adjunctive (or alternative) sedative agents alongside opioids and benzodiazepines. Clonidine has not been systematically assessed for use in neonatal sedation during ventilation.

## **Objectives**

To assess whether clonidine administered to term and preterm newborn infants receiving mechanical ventilation reduces morbidity and mortality rates. To compare the intervention versus placebo, no treatment, and dexmedetomidine; and to assess the safety of clonidine infusion for potential harms.

To perform subgroup analyses according to gestational age; birth weight; administration method (infusion or bolus therapy); dose, duration, and route of clonidine administration; and pharmacologic sedation as a co-intervention.

## **Search methods**

We used the standard search strategy of the Cochrane Neonatal Review Group to search the Cochrane Central Register of Controlled Trials (CENTRAL; 2016, Issue 12) in the Cochrane Library, MEDLINE via PubMed (1966 to January 10, 2017), Embase (1980 to January 10, 2017), and the Cumulative Index to Nursing and Allied Health Literature (CINAHL; 1982 to January 10, 2017). We also searched clinical trials databases, conference proceedings, and the reference lists of retrieved articles for randomized controlled trials and quasi-randomized trials.

## **Selection criteria**

We searched for randomized controlled trials, quasi-randomized controlled trials, and cluster trials comparing clonidine versus placebo, no treatment, or dexmedetomidine administered to term and preterm newborns receiving mechanical ventilation via an endotracheal tube.

## **Data collection and analysis**

For the included trial, two review authors independently extracted data (e.g. number of participants, birth weight, gestational age, all-cause death during initial hospitalization, duration of respiratory support, sedation scale, duration of hospital stay) and assessed risk of bias (e.g. adequacy of randomization, blinding, completeness of follow-up). This review considered primary outcomes of all-cause neonatal death, all-cause death during initial hospitalization, and duration of mechanical ventilation in days.



#### **Main results**

One trial, which included 112 infants, met the inclusion criteria for this review. Term newborn infants on mechanical ventilation with the need for continuous analgesia and sedation with fentanyl and midazolam were eligible for enrollment during the first 96 hours of ventilation. Study authors administered clonidine  $1 \mu g/kg/h$  or placebo on day 4 after intubation.

We found no differences between the two groups in all-cause death during hospitalization (risk ratio [RR] 0.69, 95% confidence interval [CI] 0.12 to 3.98). The quality of the evidence supporting these findings is low owing to imprecision of the estimates (one study; few events). The median (interquartile range) duration of mechanical ventilation was 7.1 days (5.7 to 9.1 days) in the clonidine group and 5.8 days (4.9 to 7.9 days) in the placebo group, respectively (P = 0.070). Among secondary outcomes, we found no differences in terms of duration of stay in the intensive care unit. Sedation scale values (COMFORT) and analgesia scores (Hartwig) during the first 72 hours of infusion of study medication were lower in the clonidine group than in the placebo group.

#### **Authors' conclusions**

At present, evidence is insufficient to show the efficacy and safety of clonidine for sedation and analgesia in term and preterm newborn infants receiving mechanical ventilation.

## PLAIN LANGUAGE SUMMARY

## Clonidine for neonates receiving mechanical ventilation

Review question: Does clonidine reduce mortality and the duration of mechanical ventilation in term and preterm newborn infants?

**Background:** Although routine pharmacologic sedation or analgesia during mechanical ventilation in preterm neonates is not recommended, its use in clinical practice remains common. Clonidine may be used as an adjunctive (or alternative) sedative agent alongside other opioids and benzodiazepines. This review reported and critically analyzed available evidence on the effectiveness of clonidine in term and preterm newborn infants on a ventilator.

**Study characteristics:** In medical literature searches completed to January 2017, we identified and included one trial with 112 newborns comparing clonidine with placebo.

Study funding resources: We did not identify funding by industry for the included trial.

**Results:** Clonidine did not reduce death, duration of mechanical ventilation, or duration of stay in the intensive care unit. Sedation and pain scale values were lower among newborns receiving clonidine.

**Conclusions:** Owing to the small number of newborns included in the single included trial, we are uncertain as to whether clonidine is effective or safe in providing analgesia and sedation for mechanically ventilated neonates.

#### SUMMARY OF FINDINGS

Summary of findings for the main comparison. Clonidine versus placebo for neonates receiving mechanical ventilation

## Clonidine versus placebo for neonates receiving mechanical ventilation

Patient or population: neonates receiving mechanical ventilation

**Settings:** neonatal intensive care unit **Intervention:** clonidine vs placebo

Outcomes	Illustrative comparative risks* (95% CI)	Relative effect — (95% CI)	Number of par- ticipants	Quality of the evidence	Comments	
	Assumed risk Corresponding risk	(66 / 6 6.1)	(studies)	(GRADE)		
	Control Clonidine vs placebo					
All-cause mortality during initial hospi- talization	Study population	<b>RR 0.69</b> (0.12 to 3.98)	112 (1 study)	⊕⊕⊙⊙ <b>low</b> <sup>a</sup>	Downgraded for imprecision: 1 study identified with few events	

<sup>\*</sup>The basis for the **assumed risk** (e.g. median control group risk across studies) is provided in footnotes. The **corresponding risk** (and its 95% confidence interval) is based on assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

CI: confidence interval; RR: risk ratio

GRADE Working Group grades of evidence

**High quality:** Further research is very unlikely to change our confidence in the estimate of effect

Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate

**Very low quality:** We are very uncertain about the estimate

aOne study and few events



## BACKGROUND

## **Description of the condition**

Neonatal pain was poorly understood and often unrecognized until the 1980s, when research describing the developmental physiology of nociception and adverse responses of neonates to noxious stimuli emerged (Anand 1987a; Anand 1987b). Despite early maturation of ascending neural pathways responsible for nociception, descending inhibitory pathways, which localize and mitigate pain, do not form until later in maturation (Fitzgerald 1986). Moreover, normal brain development is abruptly interrupted by preterm birth, which results in unique susceptibility to neurologic remodeling after repetitive noxious stimuli (Taddio 2009). Despite growing knowledge about long-term consequences of neonatal pain and discomfort, consensus has not been reached regarding a safe and effective strategy for controlling these complications in many routine clinical situations.

Mechanical ventilation is a common stressful experience among preterm neonates (Hall 2007). Non-pharmacologic therapies, including non-nutritive sucking and swaddling, form the foundation for relief of neonatal pain and agitation, but in many cases, pharmacologic support is needed to provide comfort during invasive ventilation (Golianu 2007). Although routine administration of pharmacologic sedation or analgesia during mechanical ventilation in preterm neonates is not recommended, use of benzodiazepines and opiates in clinical practice remains common because alternative therapies are lacking (Clark 2006; Kumar 2008). Benzodiazepines have no analgesic effect, and data from two randomized controlled trials (RCTs) show that midazolam may increase the incidence of brain injury (Anand 1999; Jacqz-Aigrain 1994). Furthermore, the Cochrane review titled "Intravenous midazolam infusion for sedation of infants in the neonatal intensive care unit" reported controversial data on the neurologic effects of midazolam, raising questions regarding the safety of this drug (Ng 2017). Additionally, studies in rodent models have shown widespread neuroapoptosis and suppressed neurogenesis elicited by early benzodiazepine exposure (Stefovska 2008; Young 2005).

Morphine and fentanyl are the opiates most commonly utilized in neonates (Clark 2006; Kumar 2008). Three large RCTs examined the impact of morphine on acute brain injury in mechanically ventilated preterm neonates (Anand 1999; Anand 2004; Simons 2003). The first - the Neonatal Outcome and Prolonged Analgesia in Neonates (NOPAIN) trial - demonstrated that the incidence of the composite outcome of severe intraventricular hemorrhage (IVH), periventricular leukomalacia (PVL), or death was decreased in the morphine group (4%) compared with the midazolam (32%) and placebo (24%) groups (Anand 1999). However, in the two RCTs that followed, investigators detected no difference in the composite outcome of severe IVH, PVL, or death (Anand 2004; Simons 2003). In addition, they noted no impact of fentanyl on the incidence of the composite outcome of severe IVH, PVL, or death (Lago 1998). A later Cochrane review, in which review authors described that infants receiving morphine needed a longer time to achieve a full enteral feeding (Bellù 2008), confirmed this finding.

Research has shown that early opiate exposure in rodent models can diminish neuronal density and dendritic length, and can increase apoptosis (Hammer 1989; Ricalde 1990; Seatriz 1993). Further, rodents exposed to postnatal morphine have exhibited

reduced brain growth (Zagon 1977) and persistently decreased motor activity and impaired learning ability (Handelmann 1985; Ma 2007; McPherson 2007). Conflicting results have been reported for human neonates with regard to the long-term neurodevelopmental impact of early morphine exposure. It has been shown that morphine-treated children had smaller head circumference, impaired short-term memory, and greater social problems when compared with placebo-treated children (Ferguson 2012).

Data on the impact of morphine therapy on the intelligence quotient are controversial (de Graaf 2011; Ferguson 2012). Children treated with morphine displayed a lower overall intelligence quotient than was seen in children given placebo (de Graaf 2011). This difference disappeared after correction for the treatment condition, open-label morphine consumption over the first 28 days, and determination of a propensity score for clinically relevant co-variables in multiple regression analyses. Of note, scores on one intelligence quotient (IQ) subtest, "visual analysis," were significantly negatively related to receipt of morphine and open-label morphine consumption in the first 28 days. In a small pilot follow-up study (NEOPAIN population), children treated with morphine completed 27% less of the short-term memory task than children in the placebo group, although overall IQ did not differ between groups (Ferguson 2012).

## **Description of the intervention**

Thus, investigators have tested alternative sedation strategies. Alpha-2 agonists, mainly clonidine and dexmedetomidine, may be used as adjunctive (or alternative) sedative agents alongside opioids and benzodiazepines. They have a wide range of effects, including sedation, analgesia, and relief of anxiety (Mantz 2011; Pichot 2012). Alpha-2-adrenergic receptor subtype agonism within the locus ceruleus is known to mediate these effects. Both clonidine and dexmedetomidine reduce the activity of neurons in the locus ceruleus without affecting the respiratory drive (Hoy 2011). Moreover, it has been suggested that alpha-2 agonists might show neuroprotective and anti-inflammatory actions (Mantz 2011). Both drugs preserve neutrophil function and inhibit the cytokine response in animal models of endotoxic shock (Nishina 1999; Taniguchi 2004; Taniguchi 2008). Researchers have confirmed the impact of dexmedetomidine on cytokine levels in septic adult humans (Tasdogan 2009). Both alpha-2 agonists reduced the number of damaged neurons in vitro and reduced the size of the lesions in vivo (Laudenbach 2002; Paris 2006). Adverse events of alpha-2 agonists, such as bradycardia and hypotension, are mediated via alpha-2 adenoreceptors in the medullary dorsal motor nucleus and motor complex, and thus are independent of sedative effect (Gregoretti 2009; Pichot 2012). Traditionally, investigators have used clonidine to treat attention deficit hyperactivity disorder (ADHD) (Hazell 2003) and opioid withdrawal (Gold 1978), and as an anesthetic adjuvant (Gregoretti 2009; Lambert 2014). Its use for sedation remains "off label" in many countries. However, in the critically ill pediatric population, clonidine is frequently used as a sedative agent, particularly as an adjunctive agent when response to opioids and benzodiazepines is inadequate, or to facilitate weaning from mechanical ventilation (Duffett 2012). Dexmedetomidine has a higher alpha- 2/alpha-1 selectivity ratio (dexmedetomidine 1620:1, clonidine 220:1) (Virtanen 1988). The US Food and Drug Admnistration approved dexmedetomidine in 1999 for short-term sedation in adults. Currently, dexmedetomidine is not approved



for pediatric use but is widely used in critically ill children and infants (Mason 2011). The first case report regarding the use of dexmedetomidine in an extremely preterm newborn was published in 2009 (O'Mara 2009); this was followed by a retrospective description of the efficacy and safety of dexmedetomidine infusion for mechanically ventilated preterm neonates (O'Mara 2012).

## How the intervention might work

Clonidine is a centrally acting alpha-2 selective adrenergic agonist. It has been postulated that alpha-2 agonists exert their sedative effects via stimulation of the pre-synaptic alpha-2 adrenoceptors of the locus ceruleus, decreasing norepinephrine release (Jamadarkhana 2010). Clonidine also has shown action in cholinergic, purinergic, and serotonergic pathways, resulting in analgesia (Jamadarkhana 2010). Mechanically ventilated preterm neonates treated with dexmedetomidine infusion required less adjunctive sedation when compared with historical controls treated with fentanyl infusion (O'Mara 2012). These data support the findings of RCTs that included adult participants (Riker 2009; Ruokonen 2009). Moreover, clonidine may exert neuroprotective effects by preventing apoptosis induced by anesthesia (Pontén 2012).

## Why it is important to do this review

Publiahed Cochrane reviews have examined pharmacologic treatment of newborns receiving mechanical ventilation (Bellù 2008; Ng 2017). Important issues raised by review authors include lack of data on safety and on long-term neurodevelopmental effects of midazolam and opioid treatment; however, extremely preterm infants, who constitute the largest population requiring mechanical ventilation in neonatal intensive care units, are underrepresented in these clinical trials.

Clonidine has not been systematically assessed for neonatal sedation during ventilation; the Cochrane review titled "Alpha-2 agonists for long-term sedation during mechanical ventilation in critically ill patients" excluded neonates (Chen 2015). One systematic review, which focused only on pediatric patients in the intensive care unit, found that adjunctive clonidine use decreased the requirement for other sedative agents, decreased withdrawal symptoms during weaning off of benzodiazepines or opiates, and was associated with minimal clinically significant adverse effects (Duffett 2012). A Cochrane review titled "Dexmedetomidine for analgesia and sedation in newborn infants receiving mechanical ventilation" is in preparation (Ibrahim 2016); however, despite the theoretical advantages of clonidine, safety and efficacy for both short-term and long-term use remain unclear. A comprehensive synthesis is needed to assess whether clonidine is safe, and whether it confers advantages over traditional sedatives for longterm sedation.

## **OBJECTIVES**

To assess whether clonidine administered to term and preterm newborn infants receiving mechanical ventilation reduces morbidity and mortality rates. To compare the intervention versus placebo, no treatment, and dexmedetomidine; and to assess the safety of clonidine infusion for potential harms.

To perform subgroup analyses according to gestational age; birth weight; administration method (infusion or bolus therapy);

dose, duration, and route of clonidine administration; and pharmacologic sedation as a co-intervention.

## METHODS

## Criteria for considering studies for this review

## Types of studies

We included RCTs, quasi-randomized controlled trials, and cluster trials. We excluded cross-over trials.

## **Types of participants**

We included full-term and preterm newborns receiving mechanical ventilation via an endotracheal tube.

## Types of interventions

- Clonidine versus placebo
- · Clonidine versus no intervention
- Clonidine versus dexmedetomidine

We included any route of administration, dose, frequency, timing of initiation, and duration for clonidine, dexmedetomidine, and cointerventions.

We planned to assess in subgroup analyses inclusion of pharmacologic co-interventions within sedation and pain management (e.g. morphine, fentanyl, midazolam).

## Types of outcome measures

#### **Primary outcomes**

- All-cause neonatal death (death within 28 days of birth)
- All-cause death during initial hospitalization
- Duration of mechanical ventilation (days)

## Secondary outcomes

- Sedation assessed with tools or scales such as COMFORT (Ista 2005). We planned to report mean values from sedation scales assessed at 30 minutes and at 3 hours post administration of the drug in question
- Analgesia assessed via validated pain scales with age-appropriate behavioral measures and physiologic parameters such as COMFORTneo (van Dijk 2009), Échelle Douleur Inconfort Nouveau-Né (neonatal pain and discomfort scale; EDIN) (Debillon 2001), Astrid Lindgren and Lund Children's Hospitals Pain and Stress Assessment Scale for Preterm and Sick Newborn Infants (ALPS-Neo) (Lundqvist 2014), the Neonatal Infant Pain Scale (NIPS) (Lawrence 1993), and the Pain Assessment Tool (PAT) (Hodgkinson 1994). See Appendix 1 for a more detailed list. We planned to report mean values from analgesia scales assessed at 30 minutes and at 3 hours post administration of the drug in question
- Duration of any co-interventions (e.g. morphine, fentanyl, midazolam) in days. We planned not to report this outcome if the study protocol mandated sedation with a co-intervention
- Any intraventricular hemorrhage: any IVH, grade 1 to 4 (according to Papile classification (Papile 1978)); severe IVH (grades 3 and 4)
- Cerebellar hemorrhage on brain ultrasound in the first month of life (yes/no; Graça 2013)



- Cystic periventricular leukomalacia at brain ultrasound in the first month of life (yes/no)
- Brain magnetic resonance imaging (MRI) abnormalities at term equivalent age (yes/no), defined as white matter lesions (i.e. cavitations (Rutherford 2010)) and punctate lesions (Cornette 2002); germinal matrix (GM)-IVH (Parodi 2015); and cerebellar hemorrhage (Limperopoulos 2007)
- Retinopathy of prematurity (ICROP 1984): any; requiring laser therapy
- Pneumothorax (on chest x-ray)
- Duration of respiratory support (intermittent positive-pressure ventilation [IPPV] or continuous positive airway pressure in days)
- Duration of oxygen therapy in days
- Duration of hospital stay in days
- Bronchopulmonary dysplasia (BPD)/chronic lung disease (CLD), defined as:
  - Respiratory support or oxygen, or both, at 28 days of life (NIH 1979):
  - Treatment with oxygen greater than 21% for at least 28 days, with grade of severity scored at 36 weeks of postmenstrual age (PMA) (Jobe 2001); or
  - Physiologic definition (measured at 36 weeks' post menstrual age) (Walsh 2004)
- Necrotizing enterocolitis (any grade; requiring surgery)
- Need for treatment (medical; surgical) for persistent ductus arteriosus (PDA)
- Time to full enteral feeding in days
- Episodes of bradycardia, defined as a fall in heart rate greater than 30% below baseline or less than 100 beats per minute for 10 seconds or longer, during exposure to the intervention
- Major neurodevelopmental disability: cerebral palsy, developmental delay (Bayley Mental Developmental Index (Bayley 1993; Bayley 2006) or Griffiths Mental Development Scale (Griffiths 1954) assessment more than two standard deviations [SDs] below the mean), intellectual impairment (IQ > 2 SD below the mean), blindness (vision < 6/60 in both eyes), or sensorineural deafness requiring amplification (Jacobs 2013). We plan to evaluate each of these components as a separate outcome and to extract data on this long-term outcome from studies that evaluated children after 18 months of chronological age. Data on children aged 18 to 24 months and those aged three to five years are to be assessed separately</li>

## Search methods for identification of studies

## **Electronic searches**

We used the criteria and standard methods of Cochrane and the Cochrane Neonatal Review Group (see the Cochrane Neonatal search strategy for specialized register).

We conducted a comprehensive search that included the Cochrane Central Register of Controlled Trials (CENTRAL; 2016, Issue 12) in the Cochrane Library; MEDLINE via PubMed (1966 to January 10, 2017); Embase (1980 to January 10, 2017); and the Cumulative Index to Nursing and Allied Health Literature (CINAHL; 1982 to January 10, 2017) using the following search terms: (clonidine OR alpha-2 agonists), plus database-specific limiters for RCTs and neonates

(see Appendix 2 for full search strategies for each database). We applied no language restrictions.

## **Searching other resources**

We searched clinical trials registries for ongoing or recently completed trials (clinicaltrials.gov; the World Health Organization International Trials Registry and Platform [www.whoint/ictrp/search/en/], and the ISRCTN Registry).

## **Data collection and analysis**

We used the standard methods of the Cochrane Neonatal Review Group as described below.

#### Selection of studies

Two review authors (OR, MB) independently searched and identified eligible trials that met the inclusion criteria. We screened titles and abstracts to identify potentially relevant citations, and we retrieved the full texts of all potentially relevant articles and independently assessed study eligibility by filling out eligibility forms designed in accordance with the specified inclusion criteria. We reviewed studies for relevance on the basis of study design and types of participants, interventions, and outcome measures. We resolved disagreements by discussion and, when necessary, by consultation with a third review author (MGC). We planned to provide details of studies excluded from the review in the Characteristics of excluded studies table, along with reasons for exclusion. We contacted trial authors when details of primary trials were not clear.

## **Data extraction and management**

Two review authors (OR, MB) independently extracted data using a data extraction form developed ad hoc and integrated with a modified version of the Cochrane Effective Practice and Organisation of Care Group data collection checklist (Cochrane EPOC 2013).

We extracted the following characteristics from each included study.

- Administrative details: author(s); published or unpublished; year of publication; year in which study was conducted; details of other relevant papers cited.
- Details of the study: study design; type, duration, and completeness of follow-up (i.e. > 80%); country and location of study; informed consent; ethics approval.
- Details of participants: sex, birth weight, gestational age, number of participants.
- Details of intervention: initiation, dose, and duration of the intervention (clonidine) and of co-interventions, if any.
- Details of outcomes as mentioned above under Types of outcome measures.

We resolved disagreements by discussion. We planned to describe ongoing studies identified by our search, when available, detailing the primary author, research question(s), methods, and outcome measures, together with an estimate of the reporting date.

When queries arose, or when additional data were required, we contacted the original study investigators/authors for clarification.



Two review authors (MGC, MB) used Cochrane statistical software (Revman 2014) for data entry.

#### Assessment of risk of bias in included studies

Two review authors (OR, MGC) independently assessed risk of bias in all included studies using the Cochrane tool for assessing risk of bias (Higgins 2011).

We assessed the following items.

- Selection bias: random sequence generation and selection bias, that is:
  - random sequence generation (biased allocation to interventions) due to inadequate generation of a randomized sequence; and
  - allocation concealment (selection bias [biased allocation to interventions] due to inadequate concealment of allocations before assignment.
- Blinding of participants and personnel: performance bias due to knowledge of allocated interventions by participants and personnel during the study.
- Blinding of outcome assessment: detection bias due to knowledge of allocated interventions by outcome assessors.
- Incomplete outcome data: attrition bias due to quantity, nature, or handling of incomplete outcome data.
- Selective reporting: reporting bias due to selective outcome reporting.
- Other bias: bias due to problems not covered elsewhere in the table.

We used a "Risk of bias" graph to illustrate risk across studies. We resolved disagreements by consensus and, if necessary, by consultation with a third review author (MB).

## Random sequence generation (selection bias)

For each included study, we categorized risk of bias regarding random sequence generation as follows.

- Low risk: Investigators describe a random component in the sequence generation process such as referral to a random number table, use of a computer random number generator, coin tossing, shuffling of cards or envelopes, throwing of dice, drawing of lots, minimization.
- High risk: Investigators describe a non-random component in the sequence generation process (sequence generated by odd or even date of birth, sequence generated by some rule based on date or day of admission, sequence generated by some rule based on hospital or clinic record number, allocation by judgment of the clinician, allocation by preference of the participant, allocation based on results of a laboratory test or series of tests, allocation by availability of the intervention).
- Unclear risk: no or unclear information provided.

## Allocation concealment (selection bias)

For each included study, we categorized risk of bias regarding allocation concealment as follows.

 Low risk: Participants and investigators enrolling participants could not foresee assignment because they used one of the following, or an equivalent method, to conceal allocation:

- central allocation (including telephone, web-based, and pharmacy-controlled randomization), sequentially numbered drug containers of identical appearance, sequentially numbered sealed opaque envelopes.
- High risk: Participants and investigators enrolling participants could possibly foresee assignments and thus introduce selection bias, such as allocation based on open random allocation schedule (e.g. a list of random numbers), unsealed or non-opaque envelopes, alternation or rotation, date of birth, or case record number.
- Unclear risk: no or unclear information provided.

## Blinding of study participants and personnel (performance bias)

For each included study, we categorized methods used to blind study participants and personnel from knowledge of which intervention a participant received as follows.

- Criteria for a judgment of "low risk": no blinding or incomplete blinding, but review authors judge that the outcome is not likely to be influenced by lack of blinding; or blinding of participants and key study personnel ensured and unlikely that blinding could have been broken.
- Criteria for a judgment of "high risk": no blinding or incomplete blinding and the outcome is likely to be influenced by lack of blinding; or blinding of key study participants and personnel attempted but likely that blinding could have been broken and the outcome is likely to be influenced by lack of blinding.
- Unclear risk: no or unclear information provided.

## Blinding of outcome assessors (detection bias)

For each included study, we categorized methods used to blind outcome assessors from knowledge of which intervention a participant received as follows.

- Criteria for a judgment of "low risk": no blinding or incomplete blinding but review authors judge that the outcome is not likely to be influenced by lack of blinding; or blinding of participants and key study personnel ensured and unlikely that blinding could have been broken.
- Criteria for a judgment of "high risk": no blinding of outcome assessment and the outcome is likely to be influenced by lack of blinding; or blinding of outcome assessment but likely that blinding could have been broken and outcome measurement is likely to be influenced by lack of blinding.
- Unclear risk: no or unclear information provided.

## Incomplete outcome data (attrition bias)

For each included study and for each outcome, we described completeness of data including attrition and exclusions from analysis as follows.

- Criteria for a judgment of "low risk".
  - No missing outcome data.
  - Reasons for missing outcome data unlikely to be related to true outcome (for survival data, censoring unlikely to be introducing bias).
  - Missing outcome data balanced in numbers across intervention groups, with similar reasons for missing data across groups.



- For dichotomous outcome data, proportion of missing outcomes compared with observed event risk not enough to have a clinically relevant impact on the intervention effect estimate.
- For continuous outcome data, plausible effect size (difference in means or standardized differences in means) among missing outcomes not enough to have a clinically relevant impact on observed effect size.
- o Missing data imputed through appropriate methods.
- Criteria for a judgment of "high risk".
  - Reasons for missing outcome data likely to be related to true outcome, with imbalance in numbers or reasons for missing data across intervention groups.
  - For dichotomous outcome data, proportion of missing outcomes compared with observed event risk enough to induce clinically relevant bias in intervention effect estimate.
  - For continuous outcome data, plausible effect size (difference in means or standardized difference in means) among missing outcomes enough to induce clinically relevant bias in observed effect size.
  - "As-treated" analysis done with substantial departure of the intervention received from that assigned at randomization.
  - o Potentially inappropriate application of simple imputation.
- Unclear risk: no or unclear information provided.

## Selective reporting (reporting bias)

For each included study, we described how we investigated the risk of selective outcome reporting bias and what we found. We planned to attempt to access all protocols of the included studies through clinical trials registries (e.g. ClinicalTrials.gov (ClinicalTrials.gov), the International Standard Randomised Controlled Trial Number (ISRCTN) registry (www.controlled-trials.com)) and through direct contact with study authors.

We assessed methods as follows.

- Low risk: Study protocol is available and all of the study's
  prespecified (primary and secondary) outcomes of interest in
  the review have been reported in the prespecified way; or study
  protocol is not available but it is clear that published reports
  include all expected outcomes, including those that were
  prespecified (convincing text of this nature may be uncommon).
- High risk: Not all of the study's prespecified primary outcomes have been reported; or one or more primary outcomes have been reported using measurements or analysis methods or subsets of data (e.g. subscales) that were not prespecified; or one or more reported primary outcomes were not prespecified (unless clear justification for their reporting is provided, such as an unexpected adverse effect); or one or more outcomes of interest in the review have been reported incompletely so cannot be entered into a meta-analysis; or the study report fails to include results for a key outcome that would be expected to have been reported for such a study.
- Unclear risk: no or unclear information provided (study protocol was not available).

## Other potential sources of bias (other bias)

For each included study, we described any important concerns we had about other possible sources of bias (e.g. whether a potential source of bias was related to the specific study design used).

We assessed whether each study was free of other problems that could put it at risk of bias as follows.

- Low risk: appears to be free of other sources of bias.
- High risk: has at least one important risk of bias (e.g. study has a
  potential source of bias related to the specific study design used
  or has been claimed to have been fraudulent or has some other
  problem).
- Unclear risk: possible risk of bias, but insufficient information to assess whether an important risk of bias exists; or insufficient rationale or evidence that an identified problem will introduce bias.

#### Measures of treatment effect

We followed standard methods of the Cochrane Neonatal Review Group for data synthesis. We extracted categorical data for each intervention group and calculated risk ratios (RRs) and absolute risk differences (RDs). We obtained means and standard deviations for continuous data and performed analyses using mean differences (MDs). For each measure of effect, we also calculated corresponding 95% confidence intervals (CIs). We planned to present the number needed to treat for an additional beneficial outcome (NNTB) and the number needed to treat for an additional harmful outcome (NNTH) when we found that RDs were statistically significant (P < 0.05).

## Unit of analysis issues

The unit of randomization was the intended unit of analysis (individual neonate). If we identified any cluster-randomized trials for inclusion, we planned to adjust their sample sizes using methods described in the *Cochrane Handbook for Systematic Reviews of Interventions* (Section 16.3.4 or 16.3.6) using an estimate of the intracluster correlation co-efficient (ICC) derived from the trial (if possible), from a similar trial, or from a study of a similar population (Higgins 2011). If we used ICCs from other sources, we planned to report this and to conduct sensitivity analyses to investigate effects of variation in the ICC.

## Dealing with missing data

When data were missing, we contacted the original study investigators to request the missing data. We planned to obtain a drop-out rate for each study. If we found a significant drop-out rate (> 20%), we planned to contact study authors to request additional data. We planned to perform a sensitivity analysis to evaluate overall results with and without inclusion of studies with a significant drop-out rate. If a study reported outcomes only for participants who completed the trial or only for participants who followed the protocol, we planned to contact study authors to ask them to provide additional information to facilitate an intention-to-treat analysis; when this was not possible, we planned to perform a complete case analysis.

## Assessment of heterogeneity

We planned to assess clinical heterogeneity by comparing the distribution of important participant factors between trials and



trial factors (randomization concealment, blinding of outcome assessment, loss to follow-up, treatment type, co-interventions). We planned to assess statistical heterogeneity by examining the  $\rm I^2$  statistic (Higgins 2011) - a quantity that describes the proportion of variation in point estimates that is due to variability across studies rather than to sampling error.

We planned to interpret the I<sup>2</sup> statistic as described by Higgins 2003.

- < 25%: no heterogeneity.
- 25% to 49%: low heterogeneity.
- 50% to 74%: moderate heterogeneity.
- ≥ 75%: high heterogeneity.

We planned to consider statistical heterogeneity as substantial when I² was greater than 50%. In addition, we planned to employ the Chi² test of homogeneity to determine the strength of evidence that heterogeneity is genuine. We planned to explore clinical variation across studies by comparing the distribution of important participant factors among trials and trial factors (randomization concealment, blinding of outcome assessment, loss to follow-up, treatment type, and co-interventions). We planned to consider a threshold P value of less than 0.1 as indicating whether heterogeneity (genuine variation in effect sizes) was present.

## **Assessment of reporting biases**

We planned to investigate publications by preparing funnel plots if we included at least 10 clinical trials (Egger 1997; Higgins 2011).

## **Data synthesis**

We summarized all eligible studies in Review Manager 5 (Revman 2014) and utilized standard methods for meta-analysis as described in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011). We used the fixed-effect model and presented all results with 95% CIs. We planned to calculate RR, RD, and NNTB or NNTH if RD was significant, each with 95% CI, for categorical outcomes; and MD with 95% CI for continuous outcomes. For outcomes for which included studies were not sufficiently homogeneous, or for which insufficient data were available for meta-analysis, we planned to present a narrative synthesis.

## **Quality of evidence**

We used the Grading of Recommendations Assessment, Development and Evaluation (GRADE) approach, as outlined in the *GRADE Handbook* (Schünemann 2013), to assess the quality of evidence for the following (clinically relevant) outcomes: all-cause neonatal death, all-cause death during initial hospitalization, and duration of mechanical ventilation in days; important outcomes were intraventricular hemorrhage; duration of hospital stay; and major neurodevelopmental disability.

Two review authors independently assessed the quality of the evidence for each of the outcomes above. We considered evidence from RCTs as high quality but downgraded the evidence one level for serious (or two levels for very serious) limitations on the basis of the following: design (risk of bias), consistency across

studies, directness of the evidence, precision of estimates, and presence of publication bias. We used the GRADEpro GDT Guideline Development Tool to create a "Summary of findings" table to report the quality of the evidence.

The GRADE approach yields an assessment of the quality of a body of evidence according to four grades.

- High: We are very confident that the true effect lies close to the estimate of effect.
- Moderate: We are moderately confident in the effect estimate:
   The true effect is likely to be close to the estimate of effect but may be substantially different.
- Low: Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of effect.
- Very low: We have very little confidence in the effect estimate:
   The true effect is likely to be substantially different from the estimate of effect.

## Subgroup analysis and investigation of heterogeneity

We planned to present data from the following subgroups.

- Gestational age: preterm (< 37 weeks' gestational age) versus term infants (≥ 37 weeks); extreme preterm (< 28 weeks) versus preterm infants (≥ 28 but < 37 weeks).</li>
- Birth weight: less than 1500 grams versus greater than or equal to 1500 grams.
- Parenteral versus enteral administration of the intervention.
- Dose of clonidine (low: < 0.3 mcg/kg/h; standard: 0.3 to 1 mcg/kg/h; high: > 1 mcg/kg/h).
- Duration of treatment (< 24 hours; 1 to 5 days; ≥ 5 days).
- With versus without pharmacologic sedation and pain management as co-interventions.
- Within studies that included co-interventions: studies in which the protocol allowed co-interventions for sedation and pain management for one or both of the intervention groups versus studies in which the protocol mandated sedation with cointerventions.

## Sensitivity analysis

We planned to conduct sensitivity analyses to explore the effect of the methodological quality of trials, while checking to ascertain whether studies at high risk of bias overestimated effects of treatment.

## RESULTS

## **Description of studies**

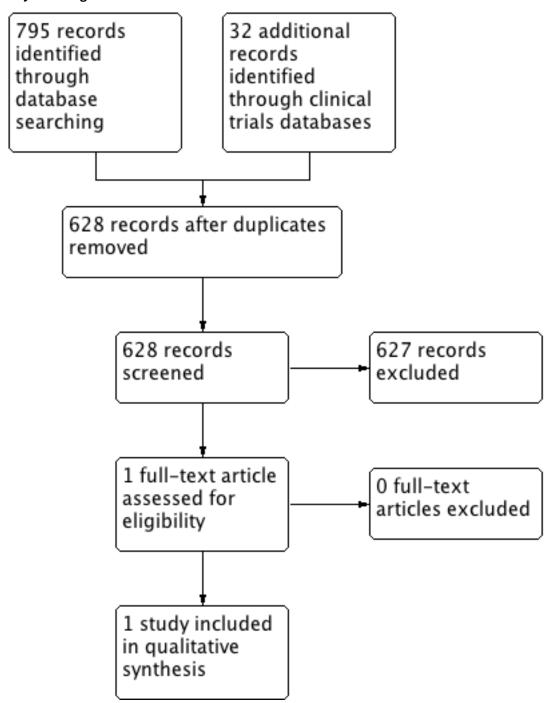
See the Characteristics of included studies table.

## Results of the search

The literature search run in January 2017 identified 628 references (Figure 1). After screening, we included only one RCT (Hünseler 2014).



Figure 1. Study flow diagram.



We found no relevant studies on the clinical trials registries for ongoing or recently completed trials.

## **Included studies**

One trial recruiting 112 term infants met the inclusion criteria (Hünseler 2014; see the Characteristics of included studies table).

Hünseler 2014 is a prospective, double-blind, randomized, placebo-controlled, multicenter trial conducted at 28 level 3 German pediatric/neonatal intensive care units. Investigators computed a randomization scheme in blocks, stratified according

to study center and age (stratum I: 1 to 28 days; stratum II: 29 to 120 days; stratum III: 121 days to 2 years); we considered in this review only data from the first stratum. A designated pharmacist at each study center received the lists of treatment group assignments. Upon inclusion, researchers assigned the study participant to the appropriate study medication in neutral, blinded ampoules forwarded to the local investigator by the local pharmacy. Term newborn infants (gestational age  $\geq$  37.0 weeks) with a duration of mechanical ventilation greater than three days and of an expected six days with the need for continuous analgesia and sedation with fentanyl and midazolam were eligible for enrollment



during the first 96 hours of ventilation. Infants in postoperative care or with respiratory failure for other reasons were included. Criteria for exclusion were states precluding pain assessment (encephalopathy, encephalitis, severe head injury, cerebral edema, and neuromuscular blockade) and maternal drug abuse during pregnancy. Participants received clonidine 1 µg/kg/h or placebo on day 4 after intubation. Fentanyl and midazolam were adjusted to achieve a defined level of analgesia and sedation according to Hartwig score. Primary outcome measures were consumption of fentanyl and midazolam and use of thiopentone during the 72 hours following study medication. Secondary outcome measures were number of protocol violations (administration of analgesics or sedatives not provided by study protocol), blood pressure, heart rate, catecholamines and volume replacement, diuresis, "therapeutic intervention scoring system," Hartwig and Comfort scores during the first 72 hours of infusion of study medication, withdrawal symptoms (Finnegan score), therapy for withdrawal symptoms, length of intensive care unit stay, mortality, and serum

concentration of clonidine in steady state. There was no statistically significant difference in baseline demographic characteristics among the two groups of newborns at randomization. Infusion with clonidine was associated with significantly lower mean fentanyl and midazolam consumption compared with placebo; investigators reported values as dose per unit of time - not as duration of treatment as specified in this review. Frequencies of administration of at least one thiopentone dose were similar between clonidine and placebo groups. Hartwig score values were significantly lower in the clonidine group during the first 72 hours of infusion of study medication (11.1  $\pm$  2.0 vs 12.5  $\pm$  2.4; P < 0.001).

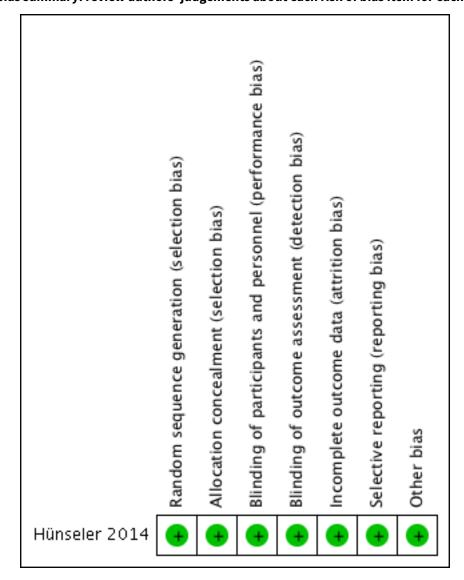
## **Excluded studies**

None of the other identified studies were eligible.

## Risk of bias in included studies

Figure 2 summarizes risk of bias for the trial included in this review.

Figure 2. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.





#### Allocation

Investigators carried out randomization (in blocks and stratified according to study center) using a computer-generated randomization sequence. A designated pharmacist at each study center received the lists of treatment group assignments. Upon inclusion, researchers assigned the study participant to the appropriate age stratum and treatment arm, and study medication in neutral, blinded ampoules was forwarded to local investigator by the local pharmacy.

## **Blinding**

Parents and investigators remained blinded to medications administered throughout the study period.

## Incomplete outcome data

Study authors reported outcomes for all randomized infants (no drop-outs).

## **Selective reporting**

The study protocol was available (ISRCTN77772144, Controlled.Trials.com.gov).

## Other potential sources of bias

The trial appeared free of other biases.

## **Effects of interventions**

See: Summary of findings for the main comparison Clonidine versus placebo for neonates receiving mechanical ventilation

## Clonidine versus placebo

We identified only one trial, which included a total of 112 newborns (Hünseler 2014). Tests for heterogeneity were not applicable for any of the analyses, as we identified only one study.

## **Primary outcomes**

#### All-cause neonatal death (death within 28 days of birth)

The study did not report all-cause death during initial hospitalization.

## All-cause death during initial hospitalization

Two infants in the clonidine group and three in the placebo group died (typical RR 0.69, 95% CI 0.12 to 3.98; typical RD -0.02, 95% CI -0.09 to 0.06; one study, 112 infants; Analysis 1.1; Figure 3). These five events occurred during stay in the intensive care unit (we obtained data for this outcome directly from trial authors). The test for heterogeneity was not applicable. The quality of the evidence supporting these findings is low owing to imprecision of the estimates (one study; few events).

Figure 3. Forest plot of comparison: 1 Clonidine versus placebo, outcome: 1.1 All-cause mortality during initial hospitalization.

	Clonic	line	Place	bo		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Hünseler 2014	2	55	3	57	100.0%	0.69 [0.12, 3.98]	
Total (95% CI)		55		57	100.0%	0.69 [0.12, 3.98]	
Total events	2		3				
Heterogeneity: Not ap Test for overall effect:	•	L (P = 0	).68)				0.01 0.1 1 10 100 Favours Clonidine Favours Placebo

## **Duration of mechanical ventilation**

We reported this outcome as medians (interquartile ranges) - not as means or SDs. Medians for duration of mechanical ventilation were 7.1 days (5.7 to 9.1 days) in the clonidine group and 5.8 days (4.9 to 7.9 days) in the placebo group, respectively (P = 0.070).

## Secondary outcomes

## **Sedation assessed with COMFORT**

Sedation scale values refer to the first 72 hours of infusion of study medication - not to mean values assessed at 30 minutes and 3 hours post administration, as prespecified in our protocol. Analgesia assessed with COMFORT was significantly lower in the clonidine group than in the placebo group (13.4  $\pm$  2.2 vs 14.8  $\pm$  2.4; P < 0.004).

## Analgesia assessed with Hartwig

Although not explicitly listed in our protocol, we report here the Hartwig score, as this score has been validated in newborns (Hünseler 2011). Analgesia scale values refer to the first 72 hours of infusion of study medication - not to mean values assessed at 30 minutes and 3 hours post administration, as prespecified in our protocol. Analgesia assessed with Hartwig during the first 72

hours of infusion of study medication was significantly lower in the clonidine group than in the placebo group (11.1  $\pm$  2.0 vs 12.5  $\pm$  2.4; P < 0.001).

## **Duration of hospital stay**

The included study reported this outcome as duration of stay in the intensive care unit - not as total hospital stay, as prespecified in our protocol. Medians for duration of intensive care unit stay were 16.9 days (10.7 to 25.8 days) in the clonidine group and 16.8 days (13.0 to 21.2 days) in the placebo group, respectively.

## Outcomes with no available data

- Duration of co-interventions
- Intraventricular hemorrhage (any; grades 3 and 4)
- Cerebellar hemorrhage on brain ultrasound
- Cystic periventricular leukomalacia on brain ultrasound
- Brain MRI abnormalities at term equivalent age
- Retinopathy of prematurity (any; requiring laser therapy)
- Pneumothorax
- · Duration of respiratory support
- Duration of oxygen therapy



- Bronchopulmonary dysplasia/chronic lung disease
- Necrotizing enterocolitis (any grade; requiring surgery)
- Need for treatment (medical; surgical) for persistent ductus arteriosus
- · Time to full enteral feeding
- Episodes of bradycardia during exposure to the intervention
- Major neurodevelopmental disability

In addition, we found no data on all-cause neonatal death.

## Subgroup analysis

We were unable to conduct any of the planned subgroup analyses, as we included only one trial.

## DISCUSSION

## **Summary of main results**

We evaluated the efficacy and safety of clonidine in neonates receiving mechanical ventilation. Only one trial including 112 term newborns with duration of mechanical ventilation greater than three days and of expectedly six days with the need for continuous analgesia and sedation with fentanyl and midazolam met the inclusion criteria (Hünseler 2014). Participants received clonidine 1  $\mu g/kg/h$  or placebo on day 4 after intubation. Study authors reported no differences in the primary outcomes of this review (i.e. mortality and duration of mechanical ventilation). Sedation scale values (COMFORT) and analgesia scores (Hartwig) during the first 72 hours of infusion of study medication were lower in the clonidine group than in the placebo group. The quality of the evidence was low owing to imprecision of the estimates.

## Overall completeness and applicability of evidence

The only identified study included few newborns (112) and was underpowered to assess the outcomes specified in this review. While taking this important limitation into account, study authors reported no adverse effects in the 55 newborns treated with clonidine. They administered no loading dose: It has been argued that in this case, because of the long half-life of clonidine, steady state would have been achieved in newborns only toward the end of the 72-hour study period (Sheng 2015). The included trial compared clonidine versus placebo; we identified no trials comparing clonidine versus other alpha-2 agonists (e.g. dexmedetomidine). We could not perform a priori subgroup analyses (gestational age; birth weight; dose, duration, and route of clonidine administration; and presence of pharmacologic sedation as a co-intervention) to detect differential effects, as we included only one randomized controlled trial (RCT).

## Quality of the evidence

The included trial had low risk of bias and no relevant limitations in trial design. However, the overall quality of the evidence was affected by imprecision owing to the small number of infants (112) and few events in the included study (see Summary of findings for the main comparison).

## Potential biases in the review process

It is unlikely that the literature search applied to this review may have missed relevant trials; thus, we are confident that this systematic review summarizes all presently available evidence from RCTs on clonidine for neonates receiving mechanical ventilation. We did not exclude any potentially relevant trial. We designed the methods of the review to minimize the introduction of additional bias. Two review authors independently completed data screening, data extraction, and "risk of bias" ratings (OR, MB). We contacted the authors of the included trial (Hünseler 2014) but could not obtain additional information on the outcomes specified in this review. Deviations from the protocol (see Differences between protocol and review) had no effect on the conclusions of this review.

## Agreements and disagreements with other studies or reviews

A systematic review on the pediatric use of alpha-2 agonists for the indication of sedation included three RCTs on dexmedetomidine and three RCTs on clonidine (Hayden 2016). Investigators compared clonidine with either placebo (two trials) or midazolam (one trial). However, Hünseler 2014 was the only included trial on clonidine in newborns that was included in Hayden 2016 as well as in our review. Moreover, we agree with the judgment of risk of bias provided by Hayden and collaborators in Hünseler 2014. Another systematic review on clonidine for sedation is under preparation, although the population of interest excludes studies exclusively enrolling neonates (Jing Wang 2015).

## **AUTHORS' CONCLUSIONS**

## Implications for practice

Evidence is of low quality and is insufficient to establish the efficacy and safety of clonidine for treatment of the ventilated newborn. The only included trial, which reported a small sample size, showed no differences in any clinically relevant outcomes. Given the imprecision of our estimates, this systematic review found no benefit and no detrimental effect of clonidine and cannot provide a definitive answer to the review question.

## Implications for research

As dosage regimen is undefined, dose-finding studies are needed to guide the design of future trials in which clonidine might be administered as the primary sedation agent or adjunctively with other interventions and might be compared with either placebo or dexmedetomidine. Trials in extremely preterm newborn infants would require additional caution as regards pharmacokinetics and effects on long-term neurodevelopmental outcomes.

## ACKNOWLEDGEMENTS

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## CHARACTERISTICS OF STUDIES

**Characteristics of included studies** [ordered by study ID]

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Taniguchi T, Kurita A, Kobayashi K, Yamamoto K, Inaba H. Doseand time-related effects of dexmedetomidine on mortality and inflammatory responses to endotoxin-induced shock in rats. *Journal of Anesthesia* 2008;**22**(3):221-8. [DOI: 10.1007/ s00540-008-0611-9; PUBMED: 18685927]

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Zagon IS, McLaughlin PJ. Morphine and brain growth retardation in the rat. *Pharmacology* 1977;**15**(3):276-82. [PUBMED: 866403]

## Hünseler 2014

Methods

Prospective, double-blind, randomized, controlled multicenter (three 28-level German pediatric/neonatal intensive care units) clinical trial



Hünseler 2014 (Continued)	Randomization in blocks stratified according to study center and age; only data from the first stratum (1 to 28 days) have been included in this review
	The study participant was assigned to the appropriate study medication forwarded in neutral, blinded ampoules to the local investigator by the local pharmacy
Participants	Inclusion criteria: Term (≥ 37 weeks) infants with a duration of mechanical ventilation > 3 days and of expectedly 6 days with the need for continuous analgesia and sedation with fentanyl and midazolam were eligible for enrollment during the first 96 hours of ventilation; infants in postoperative care or with respiratory failure for other reasons were included
	Exclusion criteria: states precluding pain assessment (encephalopathy, encephalitis, severe head injury, cerebral edema, and neuromuscular blockade) and maternal drug abuse during pregnancy
Interventions	Clonidine 1 µg/kg/h or placebo on day 4 after intubation
	Fentanyl and midazolam were adjusted to achieve a defined level of analgesia and sedation according to Hartwig score
Outcomes	Primary outcomes: consumption of fentanyl (μg/kg/h) and midazolam (μg/kg/h) and use of thiopentone during the 72 hours following study medication
	Secondary outcomes: number of protocol violations (administration of analgesics or sedatives not provided by study protocol), blood pressure, heart rate, catecholamines and volume replacement, diuresis, "therapeutic intervention scoring system," Hartwig and COMFORT scores, withdrawal symptoms (Finnegan score), therapy for withdrawal symptoms, length of stay in the intensive care unit, mortality, and serum concentration of clonidine in steady state

## Risk of bias

Notes

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Computer-generated randomization sequence, in blocks, stratified according to study center and age
Allocation concealment (selection bias)	Low risk	A designated pharmacist at each study center received the lists of treatment group assignments. Upon inclusion, the study participant was assigned to the appropriate age stratum and treatment arm, and study medication was forwarded in neutral, blinded ampoules to the local investigator by the local pharmacy
Blinding of participants and personnel (perfor- mance bias) All outcomes	Low risk	Study was blinded
Blinding of outcome assessment (detection bias) All outcomes	Low risk	Study was blinded
Incomplete outcome data (attrition bias) All outcomes	Low risk	No outcome data were missing
Selective reporting (reporting bias)	Low risk	Study protocol was available (ISRCTN77772144, Controlled.Trials.com.gov)



## Hünseler 2014 (Continued)

Other bias Low risk Study appears to be free of other sources of bias

## DATA AND ANALYSES

## Comparison 1. Clonidine versus placebo

Outcome or subgroup title	No. of studies	No. of partici- pants	Statistical method	Effect size
1 All-cause mortality during initial hospitalization	1	112	Risk Ratio (M-H, Fixed, 95% CI)	0.69 [0.12, 3.98]

## Analysis 1.1. Comparison 1 Clonidine versus placebo, Outcome 1 All-cause mortality during initial hospitalization.

Study or subgroup	Clonidine Placebo Risk I		Risk Ratio			Weight	Risk Ratio		
	n/N	n/N		М-Н	, Fixed, 95%	CI			M-H, Fixed, 95% CI
Hünseler 2014	2/55	3/57			1			100%	0.69[0.12,3.98]
Total (95% CI)	55	57						100%	0.69[0.12,3.98]
Total events: 2 (Clonidine), 3 (Placebo)									
Heterogeneity: Not applicable									
Test for overall effect: Z=0.41(P=0.68)									
		Favours Clonidine	0.01	0.1	1	10	100	Favours Placebo	

## **APPENDICES**

## Appendix 1. Neonatal pain scores

- Comfort-Neo (van Dijk 2009)
- Échelle Douleur Inconfort Nouveau-Né (neonatal pain and discomfort scale; EDIN) (Debillon 2001)
- Astrid Lindgren and Lund Children's Hospitals Pain and Stress Assessment Scale for Preterm and Sick Newborn Infants (ALPS-Neo) (Lundqvist 2014)
- Neonatal Infant Pain Scale (NIPS) (Lawrence 1993)
- Pain Assessment Tool (PAT) (Hodgkinson 1994)
- Premature Infant Pain Profile (PIPP) (Stevens 1996)
- APN: evaluation behavioral scale of acute pain in newborn infants (Carbajal 1997)
- Neonatal Facial Coding System (NFCS) (Grunau 1986; Peters 2003)
- DAN (Douleur Aiguë du Nouveau-né) (Carbajal 2005)
- ABC Pain Scale (Bellieni 2005)
- Neonatal Pain, Agitation, and Sedation Scale (N-PASS) (Hummel 2010)
- 'Faceless' Acute Neonatal Pain Scale (FANS) (Milesi 2010)
- Premature Infant Pain Profile Revised (PIPP-R) (Gibbins 2014)



## Appendix 2. Standard search methods

PubMed: ((infant, newborn[MeSH] OR newborn OR neonate OR neonatal OR premature OR low birth weight OR VLBW OR LBW or infan\* or neonat\*) AND (randomized controlled trial [pt] OR controlled clinical trial [pt] OR randomized [tiab] OR placebo [tiab] OR drug therapy [sh] OR randomly [tiab] OR trial [tiab] OR groups [tiab]) NOT (animals [mh] NOT humans [mh]))

Embase: (infant, newborn or newborn or neonate or neonatal or premature or very low birth weight or low birth weight or VLBW or LBW or Newborn or infan\* or neonat\*) AND (human not animal) AND (randomized controlled trial or controlled clinical trial or randomized or placebo or clinical trials as topic or randomly or trial or clinical trial)

CINAHL: (infant, newborn OR newborn OR neonate OR neonatal OR premature OR low birth weight OR VLBW OR LBW or Newborn or infan\* or neonat\*) AND (randomized controlled trial OR controlled clinical trial OR randomized OR placebo OR clinical trials as topic OR randomly OR trial OR PT clinical trial)

Cochrane Library: (infant or newborn or neonate or neonatal or premature or preterm or very low birth weight or low birth weight or VLBW or LBW)

## CONTRIBUTIONS OF AUTHORS

OR and MB reviewed the literature and wrote the review.

MGC assisted in the review of literature and in writing of the review.

EN commented on and reviewed the review.

## **DECLARATIONS OF INTEREST**

OR, MGC, EN, and MB declare no known conflicts of interest.

## SOURCES OF SUPPORT

#### **Internal sources**

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to OR, EN and MB

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to MGC

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## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

Although not explicitly listed in our protocol, we report analgesia assessment based on Hartwig score, as this score has been validated in newborns (Hünseler 2011).

We modified the definition of the dose of clonidine as follows: low: < 0.3 mcg/kg/h; standard: 0.3 to 1 mcg/kg/h; high: > 1 mcg/kg/h.

We changed the title on the basis of editorial input: from "Clonidine for neonates receiving mechanical ventilation" to "Clonidine for sedation and analgesia for neonates receiving mechanical ventilation".

Changes included bolus administration (in the protocol, we specified only infusion).



## INDEX TERMS

## **Medical Subject Headings (MeSH)**

\*Clonidine [administration & dosage] [adverse effects]; \*Dexmedetomidine [administration & dosage] [adverse effects]; \*Hypnotics and Sedatives [administration & dosage] [adverse effects]; \*Respiration, Artificial; Cause of Death; Hospital Mortality; Intensive Care Units, Neonatal [statistics & numerical data]; Length of Stay [statistics & numerical data]; Randomized Controlled Trials as Topic

## **MeSH check words**

Humans; Infant, Newborn